PRIMARY ELECTION
REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONNECTICUT July 2, 2010

POLITICAL COMMITTEES INVOLVED IN THE PRIMARY (08/10/10):

REPORT	REPORTING PERIOD [1]	REG./CERT. & OVERNIGHT MAILING DEADLINE	FILING DEADLINE
Pre-Primary	07/01/10 - 07/21/10	07/26/10	07/29/10
48-Hour Notices	07/22/10 - 08/07/10	-- CT primary candidates only – see filing info --	
October Quarterly	07/22/10 - 09/30/10	10/15/10	10/15/10

WHO MUST FILE

The following committees must file the Connecticut Pre-Primary Report:

- **Principal campaign committees** of congressional candidates (including unopposed candidates and candidates whose names do not appear on the ballot) who seek election in the primary election must file the above reports and notices.
- **PACs and party committees** filing on a quarterly basis in 2010 are subject to pre-election reporting if they make previously undisclosed contributions or expenditures (including independent expenditures) in connection with an election by the close of books of the applicable report(s).

Supplemental Filing Information is available:

- **Congressional Committees**
- **Parties and PACs**

Additional information for Connecticut Campaign Committees -- click here

[1] These dates indicate the beginning and end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered up through the close of books for the first report due.

2010 SUPPLEMENTAL FILING INFORMATION CONGRESSIONAL COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

Principal campaign committees of congressional candidates [1] (including unopposed candidates and candidates whose names do not appear on the ballot) must file Quarterly Reports in 2010. [2]

Campaigns that raise or spend more than $5,000 for the 2010 election cycle (and thus trigger registration and reporting requirements) must file quarterly reports throughout 2010, even if the candidate plans to retire, withdraws from the race prior to the primary election, loses the primary or drops out of the race prior to the general election. See 11 CFR 104.5(a)(2).

Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e).

- Web Page: Electronic Filing Page
- *Campaign Guide for Congressional Candidates and Committees (Candidate Guide)*, pp. 82-84 [PDF]

Paper Filing - Meeting the Filing Deadline

Paper Report filing options -- Registered, Certified or Overnight or First Class Mail.
See 11 CFR 104.5(e).

- Web Page: Link to Paper Forms (for downloading and printing)
- *Candidate Guide*, p. 81 [PDF]

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[1] Generally, an individual becomes a candidate for federal office (and thus triggers registration and reporting obligations) when his or her campaign exceeds $5,000 in either contributions or expenditures. If the campaign has not crossed the $5,000 threshold, it is not required to file reports. See 11 CFR 100.3(a).

[2] If a candidate has more than one authorized committee, the principal campaign committee must also file a consolidated report on Form 3Z [PDF].

PRE- AND POST-ELECTION REPORTS

A committee whose candidate participates in a 2010 state primary, nominating convention or runoff election -- even if unopposed -- must also file a pre-election report 12 days prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports.
See 11 CFR 104.5(a)(2).

- Web Page: 2010 Congressional Pre-Primary Reporting Dates
- The *Record*: January 2010 issue [PDF]
- *Candidate Guide*, pp. 79-80 [PDF]

COMPLIANCE

Treasurer Responsibility

Committee Treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time.
See 11 CFR 104.14(d).

- Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings [PDF]
- *Candidate Guide*, p. 7 [PDF]

Administrative Fine Program

Political committees and their treasurers who fail to file their reports on time may be subject to civil money penalties up to $17,600 (or more for repeat late- and non-filers). [3]
See 11 CFR 111.30.

- Web Page: Administrative Fine Program
- *Candidate Guide*, pp. 81-82 [PDF]

IMPORTANT FILING INFORMATION - PAPER FILERS

In response to the 2001 anthrax threat, the U.S. Postal Service is irradiating mail directed to many federal agencies, including the FEC and the Secretary of the Senate. [4] This process has not only delayed mail delivery, it has also damaged and in some cases destroyed pieces of mail. As a result, committees that file reports with the FEC may want to consider submitting their reports by some means other than U.S. mail. Alternative methods include electronic filing, overnight mail or delivery service, and hand delivery.

2010 REPORTING SCHEDULE

- Web Page: 2010 Reporting Dates Page
- The *Record*: January 2010 issue [PDF]
- *Candidate Guide*, p. 79 [PDF]

[3] Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed $17,600, even for first-time violations.

[4] Senate committees should contact the Secretary of the Senate at (202) 224-0322 for more information on filing reports via US mail.

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Campaign committees must file FEC Form 3L [PDF] if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registrant PACs that aggregate in excess of $16,000 during the applicable reporting period (see page 1 of this notice). See 11 CFR 104.22 and 11 CFR 110.17(e).

- The Record: March 2009 issue [PDF]
- Federal Register: Notice 2009-03 (February 17, 2009) [PDF]; Notice 2010-02 (2/24/10) [PDF].

SUPPLEMENTAL FILING INFORMATION
CONNECTICUT CAMPAIGN COMMITTEES ONLY

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives any contribution **(including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee)** of $1,000 or more per source, during the period of July 22 through August 7, 2010.

The notices must reach the appropriate federal filing office within 48 hours of the committee's receipt of the contribution(s). **Campaign committees that file electronically MUST submit their 48-hour notices electronically.** See 11 CFR 104.5(f).

- Web Page: Link to Paper Forms (for downloading and printing)
- Form 6 Fax numbers
  - Senate campaigns (Secretary of the Senate): (202) 224-1851
  - House Campaigns (FEC): (202) 219-0174
- Campaign Guide: *Candidate, p. 80* [PDF].

2010 SUPPLEMENTAL FILING INFORMATION
PACs AND PARTY COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file either quarterly or monthly reports in 2010. See 11 CFR 104.5(c). Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e).

- Web Page: Electronic Filing Page
- Campaign Guide: *Nonconnected Committees (Nonconnected), pp. 51-53* [PDF]; *Corporations and Labor Organizations (SSF), pp. 49-51* [PDF]; *Political Party Committees (Party), pp. 69-71* [PDF].

Paper Filing -- Meeting the Filing Deadline

Paper report filing options – Registered, Certified or Overnight or First Class Mail. See 11 CFR 104.5(e).

- Web Page: Link to Paper Forms (for downloading and printing)
- Campaign Guide: *Nonconnected, pp. 47-48* [PDF]; *SSF, pp. 45-46* [PDF]; *Party, p. 65* [PDF].

PRE-ELECTION REPORTING

Quarterly filing committees that make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See 11 CFR 104.5(c)(1)(ii).

- Web Page: 2010 Congressional Pre-Primary Reporting Dates Page
- The *Record*: January 2010 issue [PDF]
- Campaign Guide: *Nonconnected, pp. 49-51* [PDF]; *SSF, pp. 46-48* [PDF]; *Party, pp. 67-68* [PDF].

2010 REPORTING SCHEDULE

- Web Page: 2010 Reporting Dates Page
- The *Record*: January 2010 issue [PDF]
- Campaign Guide: *Nonconnected, pp. 49-51* [PDF]; *SSF, pp. 46-48* [PDF]; *Party, pp. 67-68* [PDF].

COMPLIANCE

Treasurer Responsibility

Committee treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. See 11 CFR 104.14(d).

- *Statement of Policy Regarding Treasurers Subject to Enforcement Proceeding* [PDF]
- Campaign Guide: *Nonconnected, pp. 3-4* [PDF]; *SSF, pp. 1-2* [PDF]; *Party, pp. 6-7* [PDF].

Administrative Fine Program

Failure to file reports on time may be subject to civil money penalties up to $17,600 (or more for repeat late- or non-filers). See 11 CFR 111.30.

- Web Page: Administrative Fine Program Page
- Campaign Guide: *Nonconnected, pp. 48-49* [PDF]; *SSF, p. 46* [PDF]; *Party, p. 66* [PDF].

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Party committees and Leadership PACs must simultaneously file FEC Form 3L if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registrant PACs that aggregate in excess of $16,000 during the covered period (see page 1 of this notice).
See 11 CFR 104.22 and 110.17(e).

- The *Record*: March 2009 issue [PDF]
- Federal Register: Notice 2009-03 (2/17/09) [PDF]; Notice 2010-02 (2/24/10) [PDF].
- Campaign Guide: *Party, pp. 143-149* [PDF]

CHANGE IN FILING FREQUENCY

Committees able to change their reporting schedule (for example, from monthly to quarterly) who wish to do so must notify the Commission in writing and may change their filing frequency no more than once per calendar year. See 11 CFR 104.5(c).

- Web Page: Filing Frequency by Type of Committee
- Campaign Guide: *Nonconnected, p. 51* [PDF]; *SSF, p. 49* [PDF]; *Party, p. 67* [PDF].

48- AND 24-HOUR REPORTS OF INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in 2010 may have to disclose this activity within 48- or 24-hours based upon the date and amount of the expenditure. See 11 CFR 104.4.

- Web Page: 48- and 24-hour periods for independent expenditures for 2010 elections
- Campaign Guide: *Nonconnected, pp. 72-74* [PDF]; *SSF, pp. 65-67* [PDF]; *Party, pp. 87-89* [PDF].

These reports are not required when a PAC or Party Committee makes a contribution directly to a candidate.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100