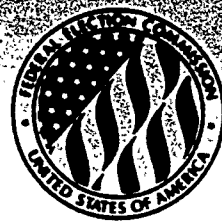


FEDERAL ELECTION COMMISSION

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For Immediate Release:
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FEC RELEASES 19 COMPLIANCE CASES

WASHINGTON – The Federal Election Commission has made public its final action on 19 matters previously under review (MURs). This release contains only summary information. Closed files should be thoroughly read for details, including the FEC's legal analysis of the case. (Please see footnote at the end of this release.) Closed MUR files are available in the Public Records Office.

1. MUR 3582

RESPONDENTS:

- (a) The Honorable Carol Moseley Braun (IL)
- (b) Carol Moseley Braun for Senate Committee, Billie Page, treasurer (IL)
- (c) Citizens for Carol Moseley Braun, Carol Moseley Braun, treasurer (IL)
- (d) Democratic Party of Illinois, Stuart R. Winstein, treasurer (IL)
- (e) Air Line Pilots Association Political Action Committee, Duane E. Woerth, treasurer (DC)
- (f) American Federation of State County and Municipal Employees - PEOPLE, William Lucy, treasurer (DC)
- (g) American Federation of Teachers Committee on Political Education, Edward J. McElroy, treasurer (DC)
- (h) American Nurses Association Political Action Committee (ANA-PAC), Gwendolyn E. Johnson, treasurer (DC)
- (i) Association of Flight Attendants Political Action Committee (AFA FlightPAC), Sharon E. Madigan, treasurer (DC)
- (j) Committee on Letter Carriers Political Education (COLCPE), Florence Johnson, treasurer (DC)
- (k) Fifth Horseman Political Action Committee, Craig Kennedy, treasurer (IL)
- (l) Fund for a Democratic Majority, Cozette Washington, treasurer (DC)
- (m) Machinists Non-Partisan Political League, Donald E. Wharton, treasurer (DC)
- (n) Multi-Issue Political Action Committee (MI-PAC), Carole Boron, treasurer (IL)
- (o) Sierra Club Political Committee, Daniel Weiss, treasurer (CA)
- (p) Active Ballot Club, A Dept. of United Food & Commercial Workers International Union, Jerry Menapace, treasurer (DC)
- (q) United Mine Workers of America - Coal Miners Political Action Committee (UMWA - COMPAC), Jerry D. Jones, treasurer (DC)

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- (r) Service Employees International Union Committee on Political Education (SEIU - COPE), Richard W. Cordtz, treasurer (DC)
- (s) National Education Association Political Action Committee (NEA-PAC), Ken Melley, treasurer (DC)
- (t) Association of Trial Lawyers of America Political Action Committee (ATLA PAC), Joan C. Pollitt, treasurer (DC)
- (u) AFL-CIO Committee on Political Education (AFL-CIO COPE), Thomas R. Donahue, treasurer (DC)

COMPLAINANTS: Craig Engel, General Counsel, National Republican Senatorial Committee (DC) (originally filed by Jay Velasquez, Legal Counsel)

SUBJECT: Excessive contributions; prohibited contributions; filing reports containing activities outside proper reporting period; failure to accurately report receipts; misreporting purpose of expenditures; failure to register and report; disclaimer; expenditures on behalf of the general election campaign of the party's presidential candidate without prior authorization of the DNC

DISPOSITION:

- (a) Reason to believe, but took no further action*
[re: receipt of prohibited contributions while acting as an agent of committee]
- (b) Reason to believe, but took no further action*
[re: excessive contributions; prohibited contributions; filing reports containing activities outside proper reporting period; failure to accurately report receipts; misreporting purpose of expenditures]
No reason to believe* [re: disclaimer]
- (c) Reason to believe, but took no further action*
[re: excessive contributions; use of prohibited contributions; failure to register and report]
- (d) Conciliation Agreement: \$5,500 civil penalty*
- (e-u) Took no action*

2. MUR 3586

RESPONDENTS:

- (a) Democratic State Central Committee of California -- Federal, David Rosenberg, treasurer (CA)
- (b) Gordon & Schwenkmeyer, Inc. (CA)
- (c) River West Developments, Inc. (CA)
- (d) Phil Angelides (CA)

COMPLAINANTS: James Dignan, individually and as Chairman, Republican Party of California (CA)

SUBJECT: Corporate contributions; excessive contributions; failure to continuously report debts until extinguished; failure to allocate expenses; failure to properly report allocable expenses

DISPOSITION:

- (a) Reason to believe, but took no further action*
[re: corporate contributions; excessive contributions; failure to continuously report debts until extinguished]
No reason to believe*
[re: failure to allocate expenses; failure to properly report allocable expenses]
- (b-c) Reason to believe, but took no further action*
[re: corporate contributions]
- (d) Reason to believe, but took no further action*
[re: excessive contributions]

3. MUR 3838

RESPONDENTS: (a) The Honorable Frank D. Riggs (CA)
(b) Frank Riggs for Congress Committee, Daniel J. Christensen, treasurer (CA)
(c) Fitzpatrick & Walker (CA)
(d) Frank and Cathy Riggs, Inc., dba Duncan Enterprises (CA)
(e) Cathy A. Riggs (CA)
(f) Winifred Riggs Morris (CA)
(g) George D. Riggs (CA)
(h) Patricia Riggs (CA)

COMPLAINANT: FEC Initiated (Audit - 1990 Election)

SUBJECT: Excessive contributions; corporate contributions; failure to properly itemize contributions

DISPOSITION: (a) Reason to believe, but took no further action*
[re: excessive contributions; corporate contributions]
(b) Reason to believe, but took no further action*
[re: excessive contributions; corporate contributions; failure to properly itemize contributions]
(c) Conciliation Agreement: \$ 750 civil penalty*
(d) Reason to believe, but took no further action*
[re: corporate contributions]
(e-h) Reason to believe, but took no further action*
[re: excessive contributions]

4. MUR 3841

RESPONDENTS: (a) United Conservatives of America, Robert G. Mills, treasurer (VA)
(b) Richard A. Viguerie, Chairman, United Conservatives of America (VA)
(c) The Viguerie Company and Richard A. Viguerie, President (VA)
(d) American Mailing List Corporation and Richard A. Viguerie, President (VA)
(e) Webcraft Technologies, Inc. (VA)

COMPLAINANT: FEC Initiated (Audit)

SUBJECT: Corporate contributions; failure to timely itemize loan activity; failure to provide documentation for loan activity; failure to continuously report debts and obligations; failure to amend summary pages of reports; failure to amend Statement of Organization

DISPOSITION: (a) Reason to believe, but took no further action*
[re: all of the above]
(b-d) Reason to believe, but took no further action*
[re: corporate contributions]
(a-d) Sent admonishment letters.

5. MUR 3938

RESPONDENTS: (a) Lenora B. Fulani for President, Francine Miller, treasurer (NY)
(b) Dr. Lenora B. Fulani (NY)
(c) Fred Newman (NY)
(d) Rachel Massad (NY)

COMPLAINANT: Kellie Gasink (NY)

SUBJECT: Failure to accurately identify payees; inclusion of non-qualified campaign expenses (overpayment to vendor) on Statement of Net Outstanding Campaign Obligations

DISPOSITION: (a) Reject request to dismiss complaint.
Reason to believe, but took no further action*
[re: failure to accurately identify payees; inclusion of non-qualified campaign expenses (overpayment to vendor) on Statement of Net Outstanding Campaign Obligations]
Sent admonishment letter.
(b-d) No reason to believe*
[re: any provision of the Federal Election Campaign Act or Presidential Primary Matching Payment Account Act]

6. MUR 3986

RESPONDENTS: (a) Wilder for President Committee, Mark R. Warner, treasurer (VA)
(b) Thomas J. Berenguer (VA)
(c) Commonwealth of Virginia (VA)

COMPLAINANT: FEC Initiated (Audit - 1992 Election)
SUBJECT: Excessive contributions; use of state-owned airplanes and telephones; corporate contributions

DISPOSITION: (a) Probable cause to believe, but took no further action*
[re: excessive contributions; use of state-owned airplanes and telephones; corporate contributions]
(b) Conciliation Agreement: \$ 1,100 civil penalty*
(c) Reason to believe, but took no further action*
[re: excessive contributions; use of state-owned airplanes and telephones]

7. MUR 4091

RESPONDENTS: (a) James T. Lichoulas, Jr. (MA)
(b) Beth A. Cataldo (MA)

COMPLAINANTS: FEC Initiated (Audit of Tsongas Committee - 1992 and news stories)
Referral from Department of Justice, A. John Pappalardo, United States Attorney, District of Massachusetts

SUBJECT: Excessive contributions/contributions in the names of others

DISPOSITION: (a) Conciliation Agreement: \$24,500 civil penalty*
(Knowing and willfull)
(b) Probable cause to believe (knowing and willfull), but took no further action*

8. MUR 4167/Pre-MUR 303

RESPONDENTS: (a) Republican National Committee (DC)
(b) National Republican Senatorial Committee, Stan Huckaby, treasurer (DC)
(c) National Republican Congressional Committee, Donna singleton, treasurer (DC)

COMPLAINANT: FEC Initiated (OGC/Litigation)

SUBJECT: Failure to provide contributor information and demonstrate "best efforts"

DISPOSITION:

- (a) Conciliation Agreement: \$ 20,000 civil penalty*
[re: failure to provide contributor information and demonstrate "best efforts" during the period 3/3/94 and 12/31/96]
- (b) Reason to believe, but took no further action*
[re: failure to provide contributor information and demonstrate "best efforts" following 7/22/94]
- (b-c) Reason to believe, but took no further action*
[re: failure to provide contributor information and demonstrate "best efforts" prior to 7/22/94]
Sent admonishment letters.

9. MUR 4172

RESPONDENTS:

- (a) Clinton for President Committee, J.L. "Skip" Rutherford, treasurer (DC)
- (b) American Federation of Teachers (DC)
- (c) Mozark Productions, Inc. (CA)
- (d) Newmark and Company Real Estate, Inc. (NY)
- (e) TAC Air, Inc. (AR)
- (f) Manatt, Phelps and Phillips (CA)
- (g) O'Keefe, Ashenden, Lyons and Ward (IL)
- (h) Paul Carey (DC)
- (i) Harold Ickes (NC)
- (j) Kenneth Brody (DC)
- (k) Erskine Bowles (DC)
- (l) Sheila Davis Lawrence (CA)
- (m) Kevin M. O'Keefe (IL)
- (n) Walter S. Kyle (MA)
- (o) Sutherland Company (TX)

COMPLAINANT:
SUBJECT:

FEC Initiated (Audit - 1992 Election)
Excessive contributions; union contribution; corporate contributions; failure to provide contributor information and demonstrate "best efforts"; failure to properly report refunds and reimbursements

DISPOSITION:

- (a) Conciliation Agreement: \$ 15,000 civil penalty*
[re: excessive contributions; union contribution]
Reason to believe, but took no further action*
[re: corporate contributions; failure to provide contributor information and demonstrate "best efforts"; failure to properly report refunds and reimbursements]
- (b) Conciliation Agreement: \$ 2,000 civil penalty*
[re: union contribution]
- (c-e) Reason to believe, but took no further action*
[re: corporate contributions]
- (f-m) Reason to believe, but took no further action*
[re: excessive contributions]
- (h-m) Sent admonishment letters.
- (n-o) Took no action*

10. MUR 4183 (formerly MUR 3672/Pre-MUR 259)

RESPONDENTS:

- (a) Clinton for President Committee, J.L. "Skip" Rutherford, treasurer (AR)
- (b) Goldman, Sachs & Co. (NY)
- (c) Kenneth Brody (NY)

COMPLAINANT: FEC Initiated (News stories)

SUBJECT: Facilitation of contributions by corporation and federal contractor; failure to report conduit contributions; excessive contributions

DISPOSITION: (a) Reason to believe, but took no further action*
[re: facilitation of contributions by corporation and federal contractor; failure to report conduit contributions; excessive contributions]

(b) Reason to believe, but took no further action*
[re: facilitation of contributions by corporation and federal contractor; failure to report conduit contributions; excessive contributions]

(c) Reason to believe, but took no further action*
[re: facilitation of contributions by corporation and federal contractor]

(a-c) Sent admonishment letters.

11. MUR 4267

RESPONDENTS: Democratic Executive Committee of Florida, George E. Comerford, treasurer (FL)

COMPLAINANT: FEC Initiated (Audit - 1992 Election)

SUBJECT: Use of funds from non-federal account

DISPOSITION: Took no action*
Sent admonishment letter.

12. MUR 4272

RESPONDENTS: (a) The Honorable Sanford D. Bishop, Jr. (GA)
(b) Sanford Bishop for Congress, D. Clyde Fountain, treasurer (GA)
(c) Evelyn Turner (GA)
(d) Cathy Parker (GA)
(e) The Honorable Mary A. Buckner (GA)
(f) Columbus Bank and Trust Company (GA)
(g) Melvin Bettis, Branch Manager, Columbus Bank and Trust Company (GA)
(h) Richard Gordy, Assistant Branch Manager, Columbus Bank and Trust Company (GA)
(i) Calvin Smyre (GA)
(j) Nathaniel Lindsey (GA)
(k) Danita Gibson (GA)
(l) Phillip W. Aldridge (GA)
(m) Anthony Whitehurst (GA)
(n) Renee E. Shelton (GA)
(o) SunTrust Bank (GA)
(p) Goeffrey Nielson (GA)
(q) Janet Gray (GA)
(r) Mary Young Cummings (GA)
(s) Thomas Williams (GA)
(t) Reverend Clayton Smith (GA)
(u) Lonnie Miley (GA)
(v) John White (GA)

COMPLAINANT: Edsel Hostillo (GA)

SUBJECT: Bank loans made outside the ordinary course of business; failure to accurately report bank loans

DISPOSITION: (a-v) Took no action*

13. MUR 4332

RESPONDENTS: (a) The Honorable William M. Thomas (CA)
(b) California Republican Assembly Political Action Committee, James D. Smith, treasurer (CA)
(c) 96th Club Campaign Committee, Brian D. Boyle, treasurer (CA)
(d) Kern County Republican Party and treasurer (CA)
(e) Walter J. Asher (CA)
(f) Western Pacific Research, Inc. (CA)
(g) Robin Lake Foster (CA)
(h) Community Construction (CA)
(i) Mark David Abernathy (CA)
(j) Catherine Abernathy (CA)
(k) Committee to Elect Trice Harvey, L. David Brandon, treasurer (CA)
(l) Bill Thomas Campaign Committee, John Cummings, treasurer (CA)
(m) Citizens for a Better America, James D. Smith, treasurer (CA)
(n) Connie Brunni for Supervisor Committee, Roy Sekine, treasurer (CA)
(o) Citizens for a Better America, Brian Rossling, treasurer (CA)

COMPLAINANT: John Evans (CA)

SUBJECT: Failure to accurately report loan, loan repayments and correct balances on reports; prohibited funds; disclaimer; failure to demonstrate "best efforts"; failure to disclose authorized committees; failure to file accurate personal and campaign financial reports; use of federal employee for campaign purposes; misuse of federal funds

DISPOSITION: (a-o) Took no action*

14. MUR 4370

RESPONDENTS: Carol Moseley Braun for U.S. Senate, Billie Paige, treasurer (IL)

COMPLAINANT: FEC Initiated (Audit - 1992 Election)

SUBJECT: Excessive contributions; excessive anonymous cash contributions

DISPOSITION: Took no action*

15. MUR 4392

RESPONDENTS: (a) Services Group of America Political Action Committee (SGA PAC), Dennis Specht, treasurer (WA)
(b) Services Group of America and its subsidiaries (WA)
(c) Thomas J. Stewart (WA)
(d) Ronald Lee Bane (FL)
(e) Patricia Bulzomi (WA)
(f) Robert W. Caswick (GA)
(g) William F. Floten (WA)
(h) Paul J. Junker (WA)
(i) Peter von Reichbauer (WA)
(j) Ernest Snyder (WA)
(k) Kenneth P. Wagner (WA)
(l) Gary L. Walsh (CA)

COMPLAINANTS: Paul Berendt, Chair, Washington State Democratic Party (WA)

SUBJECT: Contributions in the name of others; corporate contributions

DISPOSITION: (a-l) Took no action*

16. MUR 4413

RESPONDENTS: (a) New York Republican Federal Campaign Committee, Lewis Bart Stone, treasurer (NY)
(b) New York Republican State Committee, Lewis Bart Stone, treasurer (NY)
COMPLAINANT: FEC Initiated (RAD)
SUBJECT: Use of non-federal funds; use of prohibited funds; incorrectly calculating the Federal committee's allocable share of administrative expenses; failure to make payments through an allocation account; transfer of funds from the non-federal account to the allocation account to pay for non-federal activity
DISPOSITION: Conciliation Agreement: \$20,000 civil penalty*
The Federal committee will transfer \$67,785.81 to the state committee. The committee will amend disclosure reports to reflect a correct calculation of the allocation ratio for administrative expenses.

17. MUR 4432

RESPONDENTS: Idaho Republican Party Federal Campaign Account, Richard W. Jackson, treasurer (ID)
COMPLAINANT: FEC Initiated (Audit - 1994 Election)
SUBJECT: Use of non-federal funds
DISPOSITION: Took no action.
Sent admonishment letter.

18. MUR 4468

RESPONDENTS: Democratic State Central Committee of California - Federal, Gary Paul, treasurer (CA)
COMPLAINANT: FEC Initiated (Audit - 1992 Election)
SUBJECT: Corporate contributions in the form of extensions of credit outside the ordinary course of business; excessive contributions in the form of staff advances; incorrectly depositing non-federal funds in federal account; failure to properly allocate costs of generic voter drives
DISPOSITION: Took no action*
Sent admonishment letter.

19. MUR 4591

RESPONDENTS: North Carolina Democratic Victory Fund, W. Lyndo Tippet, treasurer (NC)
COMPLAINANT: FEC Initiated (Audit - 1992 Election)
SUBJECT: Expenditures on behalf of party's presidential candidate; failure to provide contributor information; corporate contributions
DISPOSITION: Took no action*
Sent admonishment letter.

*There are four administrative stages to the FEC enforcement process:

1. Receipt of proper complaint
2. "Reason to believe" stage
3. "Probable cause" stage
4. Conciliation stage

It requires the votes of at least four of the six Commissioners to take any action. The FEC can close a case at any point after reviewing a complaint. If a violation is found and conciliation cannot be reached, then the FEC can institute a civil court action against a respondent.