

FEDERAL ELECTION COMMISSION

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FEC RELEASES 11 COMPLIANCE CASES

WASHINGTON -- The Federal Election Commission has made public its final action on 11 matters previously under review (MURs). This release contains only summary information. Closed files should be thoroughly read for details, including the FEC's legal analysis of the case. (Please see footnote at the end of this release.) Closed MUR files are available in the Public Records Office.

1. MUR 3570

RESPONDENTS: (a) New York State Democratic Committee, Rosemary Conway, treasurer (NY)
(b) Dump D'Amato in '92! Committee, James Luce, treasurer (NY)
COMPLAINANT: William D. Powers, Chairman, New York Republican State Committee (NY)
SUBJECT: Failure to report contributions and expenditures; excessive contributions; failure to file disclosure reports; failure to file 24 hour independent expenditure reports; disclaimers
DISPOSITION: (a) Reason to believe, but took no further action* [re: failure to report contributions and expenditures; excessive contributions]
(b) Reason to believe, but took no further action* [re: all of the above]
(a-b) Sent admonishment letters

2. MUR 3921

RESPONDENTS: (a) Ken Bell for Congress Committee, Ken Bell, acting as treasurer (NC)
(b) Mark N. Poovey, treasurer, Ken Bell for Congress Committee (NC)
COMPLAINANT: FEC Initiated (1990 Audit)
SUBJECT: Failure to amend Statement of Organization; failure to itemize receipts and disbursements; failure to provide contributor information ("best efforts")
DISPOSITION: (a) Probable cause to believe, but took no further action* [re: all of the above]
(b) Reason to believe, but took no further action* [re: all of the above]

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3. MUR 3960

RESPONDENTS: (a) Whitfield for Congress Committee, E.O. Whitfield, treasurer (KY)
(b) E.O. Whitfield (KY)
(c) The Honorable Ed Whitfield (KY)
COMPLAINANT: Steve Hamrick, Steve Hamrick for Congress (KY)
SUBJECT: Disclaimers
DISPOSITION: (a) Conciliation Agreement: \$1,675 civil penalty*
(b) Reason to believe, but took no further action*
(c) No reason to believe*

4. MUR 3972/Pre MUR 283

RESPONDENTS: (a) The Wilson Committee (TX)
(b) Amy S. Trites, as treasurer and in her individual capacity
COMPLAINANT: Referral from John C. Keeney, Deputy Assistant Attorney General, U.S. Department of Justice, Criminal Division (DC)
SUBJECT: Failure to disclose loans to candidate; failure to report disbursements; failure to report an advance to a candidate; failure to report loan repayments, repayment of advance, and refunds; failure to report debts
DISPOSITION: (a) Conciliation Agreement: \$90,000 civil penalty*
Committee to file amended reports
(b) Reason to believe, but took no further action*

5. MUR 3979

RESPONDENTS: Santorum '94, Judith M. McVerry, treasurer (PA)
COMPLAINANT: Rick Bloomingdale, Executive Director, Pennsylvania Democratic State Committee (PA)
SUBJECT: Failure to provide contributor information ("best efforts")
DISPOSITION: Reason to believe, but took no further action*
Sent admonishment letter

6. MUR 4094

RESPONDENTS: Ortiz for Congress, Frank A. Tompkins (TX)
COMPLAINANT: Erol A. Stone, Stone for Congress (TX)
SUBJECT: Disclaimers
DISPOSITION: Reason to believe, but took no further action*
Sent admonishment letter

7. MUR 4112

RESPONDENTS: (a) The Honorable James Merrill Jeffords (VT)
(b) Jeffords for Vermont, James A. Johnston, treasurer (VT)
COMPLAINANT: Democratic Senatorial Campaign Committee, Robert F. Bauer, General Counsel (DC)
SUBJECT: Personal use of campaign funds; failure to itemize disbursements (credit card charges) accurately
DISPOSITION: (a) Reason to believe, but took no further action* [re: personal use of campaign funds]
(b) Reason to believe, but took no further action* [re: all of the above]
Sent admonishment letter
Committee to amend 1993 Year End report

8. MUR 4147

RESPONDENTS: (a) The Honorable Karen L. Thurman (FL)
(b) Thurman for Congress, Joseph Matus, treasurer (FL)
(c) United Parcel Service of America, Inc. (GA)
COMPLAINANT: Sumner R. Waite (FL)
SUBJECT: Corporate contributions
DISPOSITION: (a-c) No reason to believe*

9. MUR 4207

RESPONDENTS: Sisisky for Congress, Mark B. Sisisky, treasurer (VA)
COMPLAINANT: FEC Initiated (RAD)
SUBJECT: Failure to file 48-hour report (\$50,000 candidate loan)
DISPOSITION: Conciliation Agreement: \$6,500 civil penalty*

10. MUR 4219

RESPONDENTS: Dan Frisa for Congress Committee, Patrick H. O'Sullivan, treasurer (NY)
COMPLAINANT: FEC Initiated (RAD)
SUBJECT: Failure to file disclosure report timely; failure to file 48-hour reports
DISPOSITION: Conciliation Agreement: \$10,500 civil penalty*

11. MUR 4221

RESPONDENTS: Political Club for Growth, Lisa B. Nelson, treasurer (VA)
COMPLAINANT: FEC Initiated (RAD)
SUBJECT: Failure to file disclosure reports timely
DISPOSITION: Conciliation Agreement: \$3,200 civil penalty*

*There are four administrative stages to the FEC enforcement process:
1. Receipt of proper complaint
2. "Reason to believe" stage
3. "Probable cause" stage
4. Conciliation stage
It takes the votes of at least four of the six Commissioners to take any action. The FEC can close a case at any point after reviewing a complaint. If a violation is found and conciliation cannot be reached, then the FEC can institute a civil court action against a respondent.
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