

FEDERAL ELECTION COMMISSION

Press Office

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FOR IMMEDIATE RELEASE:
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FEC RELEASES FIVE COMPLIANCE CASES

WASHINGTON -- The Federal Election Commission has made public its final action on five matters previously under review (MURs). This release contains only summary information. Closed files should be thoroughly read for details, including the FEC's legal analysis of the case. (Please see footnote at the end of this release.) Closed MUR files are available in the Public Records Office.

1. MUR 2717

RESPONDENTS:

- (a) Haig for President, Inc. (VA)
- (b) Dominic J. Saraceno, treasurer, Haig for President, Inc. (MA)
- (c) Victor A. Nicolazzo, Jr. (MA)
- (d) Judith S. Curtin (MA)
- (e) Ingeborg E. Saraceno (MA)
- (f) Kurt Saraceno (MA)
- (g) David L. Goldman (RI)
- (h) Joseph W. Smith (VT)
- (i) Trade Winds Systems (MA)
- (j) Fellows Corporation (VT)
- (k) Rabbi Milton Balkany (NY)
- (l) Donald A. Cooke, Jr. (MA)
- (m) Dennis M. Cooke (MA) (deceased)
- (n) Mary E. Cooke (MA)
- (o) Bobby Cowart (MA)
- (p) Olympic International Bank & Trust Company (MA)
- (q) Crown Equipment Corporation (FKA Crown Controls Corporation) (OH)
- (r) Allyson Allen (VT)
- (s) Jeffrey W. Allen (VT)
- (t) Maureen Becker-Taylor (MA)
- (u) Donald Chabot (VT)
- (v) June Chabot (VT)
- (w) Richard Clegg (MA)
- (x) Matthew L. Curtin (MA)
- (y) Anthony DiBona (MA)
- (z) Dane W. Dicke (OH)
- (aa) Eileen W. Dicke (OH)
- (bb) James F. Dicke (OH)
- (cc) James F. Dicke, II (OH)
- (dd) Janet S. Dicke (OH)
- (ee) Kerry Dicke (OH)
- (ff) Susan M. Fraser (MA)
- (gg) Arsene E. Frappier, Jr. (MA)
- (hh) Gregory Gagnon (MA)
- (ii) Carl Johnson (VT)
- (jj) Linda Johnson (VT)
- (kk) Richard Knowlton (NH)
- (ll) Dennis Morancy (MA)
- (mm) Daniel F. O'Hara (RI)
- (nn) Mary B. O'Hara (RI)
- (oo) Frederick H. Shea (MA)
- (pp) Kimberly A. Stockus (MA)
- (qq) Nancy D. Stockus (MA)
- (rr) Henry Swierczynski (VT)
- (ss) Nora Swierczynski (VT)
- (tt) Erich Tlaker (VT)
- (uu) Jean Tlaker (VT)

-more-

COMPLAINANT:
SUBJECT:

DISPOSITION:

- (vv) Laureen A. White (MA) (ww) Frank N. Cardullo (MA)
(xx) Peter S. Donadio (MA) (yy) Jon A. Lichter (MA)
(zz) Joseph G. Maloney (MA) (ab) Nora F. Moran (MA)
(ac) Joann M. O'Brien (MA) (ad) William J. O'Brien (MA)
(ae) Heidi Saraceno (MA) (af) Pauline Saraceno (MA)
(ag) Cynthia Sneider (MA) (ah) Joseph G. Sneider (MA)
(ai) David Spiegel (MA) (aj) Michael E. Tierney (MA)
- FEC Initiated (1988 Presidential Audit)
Contributions in the name of another; corporate
contributions; excessive contributions
(a) Waived further civil prosecution. See MUR 3367
Conciliation Agreement.*
(b) Waived further civil prosecution if Mr. Saraceno
entered a guilty plea in the matter United States v.
Dominic J. Saraceno.*
(c) Conciliation Agreement: \$750 civil penalty*
[re: contributions in the name of another; excessive
contributions]
(d) Conciliation Agreement: \$500 civil penalty*
[re: contributions in the name of another]
(e) Take no action regarding probable cause to believe*
(f) Reason to believe, case closed*
[re: contributions in the name of another]
(g) Reason to believe knowingly and willfully made
contributions in the name of another and excessive
contributions; case closed*
(h) Reason to believe knowingly and willfully made
contributions in the name of another, case closed*
(i-j) Reason to believe, case closed*
[re: corporate contributions; contributions in the
name of another]
(k) Reason to believe, case closed*
[re: excessive contributions; contributions in the
name of another]
(l-o) Probable cause to believe, but took no further action*
[re: contributions in the name of another]
(p-q) Reason to believe, but took no further action*
[re: corporate contributions; contribution by bank;
contributions in the name of another]
(r-vv) Reason to believe, but took no further action*
[re: contributions in the name of another]
(ww) Reason to believe, but took no further action*
[re: excessive contributions]
No probable cause to believe*
[re: contributions in the name of another]
(xx-aj) No probable cause to believe*
[re: contributions in the name of another]

2. MUR 2903

RESPONDENTS:

- (a) Haig for President, Inc. (VA)
(b) Dominic Saraceno, treasurer, Haig for
President, Inc. (MA)
(c) Micro Computer Software, Inc. (NY)
(d) Romeo D'Agostino (MA)
(e) Olympic International Bank and Trust Company (MA)
(f) James J. Maguire (NJ)
(g) F. Lee Bailey (MA)

COMPLAINANT: FEC Initiated (1988 Presidential Audit)
SUBJECT: Corporate contributions; excessive contributions; extension
of credit by bank
DISPOSITION: (a) Waived further civil prosecution. See MUR 3367
Conciliation Agreement.*
(b) Waived further civil prosecution if Mr. Saraceno
entered a guilty plea in the matter United States v.
Dominic J. Saraceno.*
(c) Conciliation Agreement: \$1,000 civil penalty*
[re: corporate contributions]
(d) Conciliation Agreement: \$1,000 civil penalty*
[re: excessive contributions]
(e) Reason to believe, but took no further action*
[re: corporate contributions]
(f-g) Reason to believe, but took no further action*
[re: excessive contributions]

3. MUR 3342/3111/DSR 90-16

RESPONDENTS: (a) Gephardt for President Committee, S. Lee King,
treasurer (DC)
(b) Gephardt for Congress Committee, John R. Tumbarello,
treasurer (DC)
(c) Richard A. Gephardt (DC)
(d) Trammell Crow Asset Company (TX)
(e) Beryl Anthony for Congress Campaign Committee, Joseph
Hickey, treasurer (AR)
(f) Slattery for Congress Committee, Mike Van Dyke,
treasurer (KS)
(g) F.P. Blank (FL)
(h) James C. Robinson (FL)
(i) Iowa Democratic Party (Federal Division), Mary Maloney,
treasurer (IA)
(j) Swann & Haddock (FL)
(k) Katz, Kutter, Haigler, Alderman, Eaton, Davis & Marks
(FL)
(l) Richard H. Hughes (OK)
(m) Edmund M. Reggie (LA)
(n) William D. Rollnick (NY)
(o) Louis B. Susman (MO)
(p) Mack E. Barham (LA)
(q) William J. Fleming (MO)
(r) Heard, Goggan, Blair & Williams (TX)
(s) Federal City National Bank (DC)
(t) Texas Commerce Bank (TX)
(u) Chippewa First Financial Bank (MO)

COMPLAINANT: FEC Initiated (1988 Presidential Audit)
SUBJECT: Excessive contributions; excessive transfers between
principal campaign committees of a candidate; corporate
contributions; spending in excess of IA state limit;
spending in excess of candidate's personal limit; failure
to report in-kind political party contribution
DISPOSITION: (a-c) Conciliation Agreement: \$80,000 civil penalty*
and refund approximately \$70,000 of excessive and
prohibited contributions, file amended reports
(d) Conciliation Agreement: \$ 1,900 civil penalty*
(e) Conciliation Agreement: \$ 1,500 civil penalty*
(f) Conciliation Agreement: \$ 750 civil penalty*
(g) Conciliation Agreement: \$ 500 civil penalty*
(h) Conciliation Agreement: \$ 400 civil penalty*
(i) Reason to believe, but took no further action*
[re: excessive contribution; failure to disclose
disbursements]

- (j) Reason to believe, but took no further action*
[re: corporate contributions]
- (k) Reason to believe, but took no further action*
[re: corporate contributions]
Sent admonishment letter.
- (l-m) Probable cause to believe, but took no further action*
- (n-q) Reason to believe, but took no further action*
[re: excessive contributions]
- (r) No probable cause to believe*
[re: excessive contributions]
- (s-t) No reason to believe*
[re: bank loans]
- (u) Failed to find reason to believe*
[re: bank loans]

4. MUR 3367

RESPONDENTS: (a) Haig for President, Dominic Saraceno, treasurer (VA)
(b) Committee for America, Sherwood D. Goldberg, treasurer (DC)

COMPLAINANT: FEC Initiated (1988 Presidential Audit)

SUBJECT: Excessive contributions; corporate contributions; furnishing fictitious or fraudulent information and falsifying information for primary matching fund certification; earmarked contributions

DISPOSITION: (a-b) Conciliation Agreement: \$60,000 civil penalty*

5. MUR 3929/3867/Pre-MUR 291/Pre-MUR 250

Respondents: (a) Elsie Hillman (Pa) [MUR 3929/3867/Pre-MUR 291]
(b) Henry L. Hillman (PA) [MUR 3867/Pre-MUR 291]
(c) RCAC Federal Campaign Committee (FKA Western Pennsylvania Victory '92 Committee), Kurt M. Saunders, treasurer (PA) [MUR 3929/Pre-MUR 250]
(d) Republican Federal Committee of Pennsylvania, Patricia K. Poprik, treasurer (PA) [MUR 3929/Pe-MUR 250]

COMPLAINANT: Sua sponte [Pre-MUR 291]
Ellen S. Miller, Executive Director, Center for Responsive Politics (DC) [MUR 3867]

SUBJECT: FEC Initiated (LA Times article) [MUR 3929/Pre-MUR 250]
Exceeding the annual \$25,000 contribution limit; excessive contributions

DISPOSITION: (a) Conciliation Agreement: \$32,000 civil penalty*
[re: exceeding annual \$25,000 limit (1990, 1991, 1992); excessive contributions]
(b) Conciliation Agreement: \$13,000 civil penalty*
[re: exceeding annual \$25,000 limit (1992)]
(c-d) Reason to believe, but took no further action*
[re: excessive contributions; misreporting contributions]

*There are four administrative stages to the FEC enforcement process:

1. Receipt of proper complaint
 2. "Reason to believe" stage
 3. "Probable cause" stage
 4. Conciliation stage
- It takes the votes of at least four of the six Commissioners to take any action. The FEC can close a case at any point after reviewing a complaint. If a violation is found and conciliation cannot be reached, then the FEC can institute a civil court action against a respondent.

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