



# Final Audit Report of the Commission on United Food & Commercial Workers International Union, Active Ballot Club

January 1, 2007 – December 31, 2008

---

## Why the Audit Was Done

Federal law permits the Commission to conduct audits and field investigations of any political committee that is required to file reports under the Federal Election Campaign Act (the Act). The Commission generally conducts such audits when a committee appears not to have met the threshold requirements for substantial compliance with the Act.<sup>1</sup> The audit determines whether the committee complied with the limitations, prohibitions and disclosure requirements of the Act.

## About the Committee (p. 2)

United Food & Commercial Workers International Union, Active Ballot Club (UFCW - ABC) is a separate segregated fund of the United Food & Commercial Workers International Union, headquartered in Washington, DC. For more information, see chart on the Committee Organization, p. 2.

## Financial Activity (p. 2)

• <b>Receipts</b>	
○ Contributions from Individuals	\$ 6,578,037
○ Transfers from Affiliates	12,347
○ Refunds from Federal Candidates	10,400
○ Other Receipts	127,411
<b>Total Receipts</b>	<b>\$ 6,728,195</b>
• <b>Disbursements</b>	
○ Operating Expenditures	\$ 167,898
○ Contributions to Federal Candidates	2,328,950
○ Independent Expenditures	657,708
○ Other Disbursements	4,606,599
<b>Total Disbursements</b>	<b>\$ 7,761,155</b>

## Commission Finding (p. 3)

Based upon a limited examination of the statements and reports filed, and the records presented by the UFCW - ABC, no material non-compliance was discovered.

---

<sup>1</sup> 2 U.S.C. §438(b).

# **Final Audit Report of the Commission on United Food & Commercial Workers International Union, Active Ballot Club**

---

January 1, 2007 – December 31, 2008



# Table of Contents

	<b>Page</b>
<b>Part I. Background</b>	
Authority for Audit	1
Scope of Audit	1
<b>Part II. Overview of Committee</b>	
Committee Organization	2
Overview of Financial Activity	2
<b>Part III. Commission Finding</b>	3

# **Part I**

## **Background**

### **Authority for Audit**

This report is based on an audit of the United Food & Commercial Workers International Union, Active Ballot Club (UFCW - ABC), undertaken by the Audit Division of the Federal Election Commission (the Commission) in accordance with the Federal Election Campaign Act of 1971, as amended (the Act). The Audit Division conducted the audit pursuant to 2 U.S.C. §438(b), which permits the Commission to conduct audits and field investigations of any political committee that is required to file a report under 2 U.S.C. §434. Prior to conducting any audit under this subsection, the Commission must perform an internal review of reports filed by selected committees to determine if the reports filed by a particular committee meet the threshold requirements for substantial compliance with the Act. 2 U.S.C. §438(b).

### **Scope of Audit**

Following Commission approved procedures, the Audit staff evaluated various risk factors, and as a result, this audit examined:

1. The disclosure of disbursements.
2. The disclosure of contributors' occupation and name of employer.
3. The consistency between reported figures and bank records.
4. The completeness of records.
5. Other committee operations necessary to the review.

## Part II

### Overview of Committee

#### Committee Organization

<b>Important Dates</b>	<b>United Food &amp; Commercial Workers International Union. Active Ballot Club</b>
• Date of Registration	September 12, 1975
• Audit Coverage	January 1, 2007 – December 31, 2008
<b>Headquarters</b>	Washington, D.C.
<b>Bank Information</b>	
• Bank Depositories	Two
• Bank Accounts	Three
<b>Treasurer</b>	
• Treasurer When Audit Was Conducted	Anthony M. Perrone
• Treasurer During Period Covered by Audit	Anthony M. Perrone
<b>Management Information</b>	
• Attended FEC Campaign Finance Seminar	Yes
• Used Commonly Available Campaign Management Software Package	No, but currently use commonly available campaign management software package
• Who Handled Accounting and Recordkeeping Tasks	Paid Staff

#### Overview of Financial Activity (Audited Amounts)

<b>Cash on hand @ January 1, 2007</b>	<b>\$ 1,722,564</b>
○ Contributions from Individuals	6,578,037
○ Transfers from Affiliates	12,347
○ Refunds from Federal Candidates	10,400
○ Other Receipts	127,411
<b>Total Receipts</b>	<b>\$ 6,728,195</b>
○ Operating Expenditures	167,898
○ Contributions to Federal Candidates	2,328,950
○ Independent Expenditures	657,708
○ Other Disbursements	4,606,599
<b>Total Disbursements</b>	<b>\$ 7,761,155</b>
<b>Cash on hand @ December 31, 2008</b>	<b>\$ 689,604</b>

## **Part III**

# **Commission Finding**

**Based upon a limited examination of the statements and reports filed, and the records presented by the UFCW - ABC, no material non-compliance was discovered.**