



FEDERAL ELECTION COMMISSION
Washington, DC 20463

August 14, 2007

MEMORANDUM

To: Robert W. Biersack
Press Officer

From: Joseph F. Stoltz *JFS*
Assistant Staff Director
Audit Division

Subject: Public Issuance of the Report of the Audit Division on the Democratic Party
of Oklahoma

Attached please find a copy of the final audit report on the Democratic Party of Oklahoma, which was approved by the Commission on August 7, 2007.

The report may be released to the public.

Attachment as stated

cc: Office of General Counsel
Office of Public Disclosure
Reports Analysis Division
FEC Library
✓ DSDD Website



Report of the Audit Division on the Democratic Party of Oklahoma

January 1, 2005 – December 31, 2006

Why the Audit Was Done

Federal law permits the Commission to conduct audits and field investigations of any political committee that is required to file reports under the Federal Election Campaign Act (the Act). The Commission generally conducts such audits when a committee appears not to have met the threshold requirements for substantial compliance with the Act.¹ The audit determines whether the committee complied with the limitations, prohibitions and disclosure requirements of the Act.

Future Action

The Commission may initiate an enforcement action, at a later time, with respect to any of the matters discussed in this report.

About the Committee (p. 2)

The Democratic Party of Oklahoma (DPOO) is a state party committee headquartered in Oklahoma City, Oklahoma. For more information, see the chart on the Committee Organization.

Financial Activity (p. 3)

- **Receipts**
 - Contributions From Individuals \$ 513,771
 - Contributions From Political Party
And Other Committees 47,220
 - Transfers From Other Authorized
Committees 257,892
 - Other Receipts 108,633
 - Transfers From Nonfederal And Levin
Funds 244,987
 - **Total Receipts** \$ 1,172,503
- **Disbursements**
 - Total Operating Expenditures \$ 799,326
 - Federal Election Activity 301,469
 - Other Expenditures 67,338
 - **Total Disbursements** \$ 1,168,133
- **Levin Receipts** \$147,511
- **Levin Disbursements** \$146,890

Findings and Recommendations (p. 4)

Based upon our examination of the reports and statements filed, and the records presented by the Democratic Party of Oklahoma, no material non-compliance was discovered.

¹ 2 U.S.C. §438(b).

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Part I

Background

Authority for Audit

This report is based on an audit of the Democratic Party of Oklahoma, undertaken by the Audit Division of the Federal Election Commission (the Commission) in accordance with the Federal Election Campaign Act of 1971, as amended (the Act). The Audit Division conducted the audit pursuant to 2 U.S.C. §438(b), which permits the Commission to conduct audits and field investigations of any political committee that is required to file a report under 2 U.S.C. §434. Prior to conducting any audit under this subsection, the Commission must perform an internal review of reports filed by selected committees to determine if the reports filed by a particular committee meet the threshold requirements for substantial compliance with the Act. 2 U.S.C. §438(b).

Scope of Audit

Following Commission approved procedures, the Audit staff evaluated various factors and as a result, this audit examined:

1. The receipt of excessive contributions and loans.
2. The receipt of contributions from prohibited sources.
3. The disclosure of contributions received.
4. The disclosure of disbursements, debts and obligations.
5. The disclosure of expenses allocated between federal and non-federal accounts.
6. The consistency between reported figures and bank records.
7. The completeness of records.
8. Other committee operations necessary to the review.

Part II

Overview of Committee

Committee Organization

Important Dates	Democratic Party of Oklahoma
• Date of Registration	April 9, 1985
• Audit Coverage	January 1, 2005 – December 31, 2006
Headquarters	Oklahoma City, Oklahoma
Bank Information	
• Bank Depositories	1
• Bank Accounts	1 Federal checking account
Treasurer	
• Treasurer When Audit Was Conducted	Nina Ritchie
• Treasurer During Period Covered by Audit	Debbie Hogue-Downing And Nina Ritchie
Management Information	
• Attended FEC Campaign Finance Seminar	Yes
• Used Commonly Available Campaign Management Software Package	Yes
• Who Handled Accounting and Recordkeeping Tasks	Full-time, paid Committee staff

Overview of Financial Activity (Audited Amounts)

Cash on hand @ January 1, 2005	\$ 309
o Contributions From Individuals	513,771
o Contributions From Political Party And Other Committees	47,220
o Transfers From Other Authorized Committees	257,892
o Other Receipts	108,633
o Transfers From Nonfederal And Levin Funds	244,987
Total Receipts	\$ 1,172,503
o Total Operating Expenditures	799,326
o Federal Election Activity	301,469
o Other Expenditures	67,338
Total Disbursements	\$ 1,168,133
Cash on hand @ December 31, 2006	\$ 4,679

Part III

Summaries

Findings and Recommendations

Based upon our examination of the reports and statements filed, and the records presented by the Democratic Party of Oklahoma, no material non-compliance was discovered.