DIRECTIVE NO. 20

TITLE: POLICY REGARDING NEW AND OUTGOING COMMISSIONER'S COMPLIANCE PAPERS

APPROVED: TALLY VOTE - FEBRUARY 21, 1979

The Commission, by tally vote, adopted the following policy on February 21, 1979:

1. All open compliance files assigned to a Commissioner are the property of the Commission and should be turned over to and retained by the Commission Secretary at the time the Commissioner vacates his/her seat.

2. If there is no objection by the outgoing Commissioner, the entire compliance file assigned to him/her shall be transferred to his/her successor upon the latter's assumption of office.

3. In the event the outgoing Commissioner objects to such a transfer, the file of the outgoing Commissioner, or selective parts of it as he/she may designate, shall be destroyed at the time he/she vacates his/her seat. The Commission Secretary will be responsible for assembling an entire new file, or in the event of a partial destruction, for assembling full replacements of the portions destroyed, so that a complete compliance file can be supplied to the successor Commissioner upon his/her assumption of office. In view of the large volume of copying which may be necessitated, outgoing Commissioners are requested to notify the Office of the Commission Secretary in writing well in advance of their expected time of departure, of their wishes with respect to replacement of compliance documents.