

April 3, 2023

# VIA EMAIL AND CERTIFIED MAIL RETURN RECEIPT REQUESTED

William G. Truitt

Hyde Park, NY 12538

RE: MUR 8020

Pat Ryan for Congress, et al.

Dear Mr. Truitt:

This is in reference to the complaint you filed with the Federal Election Commission on June 27, 2022, concerning violations of the Federal Election Campaign Act of 1971, as amended (the "Act"). Based on that complaint and other available information, on March 30, 2023, the Commission found no reason to believe that Patrick Ryan violated 11 C.F.R. § 110.8(d)(1) by failing to designate separate committees to solicit funds for his two campaigns, and no reason to believe that Pat Ryan for Congress and Wyatt Brown in his official capacity violated 11 C.F.R. § 110.8(d)(1) by concurrently soliciting contributions for two different campaigns. In addition, the Commission dismissed the remaining allegations, but admonished Patrick Ryan for an apparent violation of 52 U.S.C. § 30102(e)(1) and 11 C.F.R. §§ 101.1(a), 102.12(a) by failing to file a Statement of Candidacy. On March 30, 20023, the Commission closed the file.

Documents related to the case will be placed on the public record within 30 days. *See* Disclosure of Certain Documents in Enforcement and Other Matters, 81 Fed. Reg. 50,702 (Aug. 2, 2016). The Factual and Legal Analyses, which explain the Commission's findings, are enclosed for your information. The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. *See* 52 U.S.C. § 30109(a)(8).

Sincerely,

Lisa J. Stevenson Acting General Counsel

BY: Mark Shonkwiler

Assistant General Counsel

Mark Shonkwiler

Enclosures: Factual and Legal Analyses

### FEDERAL ELECTION COMMISSION

## 2 FACTUAL AND LEGAL ANALYSIS

**RESPONDENT:** Pat Ryan for Congress and Wyatt

Brown in his official capacity as treasurer

MUR 8020

### I. INTRODUCTION

From at least May 16, 2022, to August 23, 2022, Patrick Ryan simultaneously ran for the special election in New York's 19th Congressional District to complete the 2021-22 term and the primary election in New York's 18th Congressional District for the upcoming 2023-24 term. He won both elections on August 23, 2022. Pat Ryan for Congress and Wyatt Brown in his official capacity as treasurer (the "Committee") is Ryan's designated principal campaign committee for election in New York's 18th Congressional District, and operated as his principal campaign committee for the special election in New York's 19th Congressional District. The Complaint alleges that the Committee violated 11 C.F.R. § 110.8(d)(1) by simultaneously soliciting contributions for Ryan's concurrent campaigns in the 18th and 19th Districts.

As discussed below, as to whether candidates for more than one federal "office" must designate separate principal campaign committees, the Commission has advised that, for the purposes of the Act, different congressional districts within the same state are considered the same office and that different congressional terms for the same district are considered the same office. Therefore, in light of these advisory opinions, we recommend that the Commission find no reason to believe that the Committee violated 11 C.F.R. § 110.8(d)(1) by concurrently soliciting contributions for two different campaigns.

Pat Ryan for Congress, Amended Statement of Organization at 3 (Oct. 11, 2022), <a href="https://docquery fec.gov/pdf/118/202210119532128118/202210119532128118.pdf">https://docquery fec.gov/pdf/118/202210119532128118/202210119532128118.pdf</a>.

<sup>&</sup>lt;sup>2</sup> Compl. at 2 (June 27, 2022) ("[H]is [federal campaign committee] is actively pursuing and raising campaign funds for both offices through one single federal campaign account.").

MUR 8020 (Pat Ryan for Congress) Factual and Legal Analysis Page 2 of 6

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## II. FACTUAL BACKGROUND

2 On May 3, 2022, the Governor of New York appointed Rep. Antonio Delgado, from New 3 York's 19th Congressional District, to be New York's new lieutenant governor, which resulted in a vacancy in the district for the remainder of the 2021-22 term.<sup>3</sup> By May 15, 2022, Ryan 4 5 raised more than \$5,000 in contributions for the 19th District special election campaign.<sup>4</sup> 6 On May 16, 2022, Ryan filed a Statement of Candidacy as to New York's 18th 7 Congressional District for the upcoming 2023-24 term and designated the Committee for that 8 campaign.<sup>5</sup> The Committee also filed a Statement of Organization indicating that it was Ryan's 9 principal campaign committee for the 18th District.<sup>6</sup> 10 The next day, on May 17, 2022, Ryan publicly announced, that in addition to his 11 candidacy in the New York 18th Congressional District, he also would be a candidate in the 12 upcoming special election for New York's 19th Congressional District to complete the remainder of the term for the vacancy created by Rep. Delgado's resignation. On May 26, 2022, the 13 14 Committee asked the Reports Analysis Division ("RAD") for guidance on how to indicate that 15 Ryan would be running in both the 18th District primary election and the 19th District special

Luis Ferré-Sadurní & Nicholas Fandos, *Hochul Chooses Antonio Delgado as New Lieutenant Governor*, N.Y. TIMES (May 3, 2022), <a href="https://www.nytimes.com/2022/05/03/nyregion/antonio-delgado-new-york-lieutenant-governor-hochul html">https://www.nytimes.com/2022/05/03/nyregion/antonio-delgado-new-york-lieutenant-governor-hochul html</a>.

Pat Ryan for Congress, Amended 2022 July Quarterly Report at 284-85 (Nov. 28, 2022), <a href="https://docquery.fec.gov/pdf/872/202211289547063872/202211289547063872.pdf">https://docquery.fec.gov/pdf/872/202211289547063872/202211289547063872.pdf</a> (reflecting two contributions of \$2,900 dated May 15, 2022, for a special primary election).

Patrick Ryan, Amended Statement of Candidacy (May 16, 2022), <a href="https://docquery.fec.gov/pdf/379/2022">https://docquery.fec.gov/pdf/379/2022</a> <a href="https://docquery.fec.gov/pdf/379/2022">05169512364379/202205169512364379.pdf</a> (reflecting Ryan's candidacy in New York's 18th Congressional District which designated the Committee as his principal campaign committee for that district).

Pat Ryan for Congress, Statement of Organization at 2 (May 16, 2022), <a href="https://docquery fec.gov/pdf/375/202205169512364375/202205169512364375.pdf">https://docquery fec.gov/pdf/375/202205169512364375.pdf</a>.

<sup>&</sup>lt;sup>7</sup> Pat Ryan to Run in Special 19th Congressional Election Before Pursuing 18th Seat, POUGHKEEPSIE J. (May 17, 2022), <a href="https://www.poughkeepsiejournal.com/story/news/politics/elections/2022/05/17/ulsters-pat-ryan-announces-special-congressional-election-run/9811900002/">https://www.poughkeepsiejournal.com/story/news/politics/elections/2022/05/17/ulsters-pat-ryan-announces-special-congressional-election-run/9811900002/</a>.

MUR 8020 (Pat Ryan for Congress) Factual and Legal Analysis Page 3 of 6

- election. 8 On May 27, 2022, RAD advised that the Committee would need to amend its
- 2 Statement of Organization to reflect that Ryan would be simultaneously running in the 18th
- 3 District primary election and the 19th District special election. RAD also advised that Ryan
- 4 would need to amend his Statement of Candidacy to indicate that he would be running in the
- 5 19th District special election.
- On June 23, 2022, the Committee filed an amended Statement of Organization stating
- 7 that it was the principal campaign committee for Ryan's campaign "for office in the New York
- 8 18th district special election and the 19th district primary and general elections." In this filing,
- 9 the Committee mistakenly referred to the 18th district elections as the "special election" and the
- 10 19th district election as the "primary and general" when it should have been the other way
- around. On July 1, 2022, the Committee filed another Amended Statement of Organization
- 12 correcting the error and correctly stating that the Committee was the principal campaign
- committee for Ryan's campaigns "for office in the New York 19th district special election and
- 14 the 18th district primary and general elections." During the relevant period, the Committee
- 15 collected contributions and functioned as the principal campaign committee for both
- 16 campaigns. 11

Pat Ryan for Congress Resp. at 2 n.9 ("On or about May 26, 2022, Cassie Tinsmon, of Evans & Katz (committee compliance firm) called the FEC Reports Analysis Division and received guidance on the proper way to disclose the fact that Mr. Ryan was a candidate in two elections on the same date.").

<sup>&</sup>lt;sup>9</sup> Pat Ryan for Congress, Amended Statement of Organization at 5 (June 23, 2022), <a href="https://docquery.fec.gov/pdf/613/202206239517754613/202206239517754613.pdf">https://docquery.fec.gov/pdf/613/202206239517754613/202206239517754613.pdf</a>.

Pat Ryan for Congress, Amended Statement of Organization at 5 (July 1, 2022), <a href="https://docquery fec.gov/pdf/326/202207019517782326/202207019517782326.pdf">https://docquery fec.gov/pdf/326/202207019517782326/202207019517782326.pdf</a>.

See Pat Ryan for Congress, 2022 30-Day Post-Special Election Report at 21 (Sept. 22, 2022), <a href="https://docquery fec.gov/pdf/256/202209229531813256/202209229531813256.pdf">https://docquery fec.gov/pdf/256/202209229531813256/202209229531813256.pdf</a> (reporting contributions for both the special general election in the 19th district and the general election in the 18th district).

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### III. LEGAL ANALYSIS

2 3	A. The Commission Finds No Reason to Believe that Separate Principal Campaign Committees were Required
4	"If an individual is a candidate for more than one Federal office he or she must
5	designate separate principal campaign committees and establish completely separate campaign
6	organizations." According to the Act, "Federal office' means the office of President or Vice
7	President, or of Senator or Representative in, or Delegate or Resident Commissioner to, the
8	Congress." <sup>13</sup>
9	The Commission has not squarely addressed whether separate principal campaign
10	committees are required when a candidate simultaneously runs for office for two different
11	congressional terms and in two different congressional districts within the same state. 14
12	However, the Commission's prior advisory opinions to indicate that forming a separate principal
13	campaign committee is not required in these circumstances.
14	In Advisory Opinion 1982-22 (Bartlett), the Commission advised that a candidate was
15	not required to establish a separate principal campaign committee when he initially ran for office
16	in Texas's 5th Congressional District and then switched to running for office in Texas's 3rd

<sup>&</sup>lt;sup>12</sup> 11 C.F.R. § 110.8(d)(1).

<sup>&</sup>lt;sup>13</sup> 52 U.S.C. § 30101(3); accord 11 C.F.R. § 100.4.

The Committee appears to suggest that the New York's 19th Congressional District for the 2020 term and New York's 18th Congressional District for the 2022 term are actually the same district due to "the shifting of district lines in accordance with 2022 congressional redistricting." See Pat Ryan for Congress Resp. at 1. We disagree that the districts could be considered the same given the partial geographic overlap between the districts. See Redistricting in New York After the 2020 Census, BALLOTPEDIA, https://ballotpedia.org/Redistricting in New York after the 2020 census (last visited Feb. 9, 2023); cf. Chris McKenna, Pat Ryan Triumphs over Colin Schmitt in Tight 18th Congressional Race, TIMES HERALD-RECORD, Nov. 9, 2022, https://news.yahoo.com/pat-ryan-triumphs-over-colin-090419725.html (characterizing Ryan's win in the 18th Congressional District as "his second win in less than three months after claiming a vacant seat in a neighboring House district in a special election in August.") (emphasis added).

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1 Congressional District during the same election cycle. 15 The Commission explained that both

2 congressional offices were considered one "federal office" under the Act and Commission

3 regulations because they were in the same state:

[N]either the Act nor the Commission's regulations identify Congressional seats as separate Federal offices. Moreover, those portions of the Constitution of the United States and Federal law which provide for the election of United States Representatives indicate that each Congressional seat within a State does not constitute a separate Federal office. The Fourteenth Amendment to the Constitution directs that Representatives be apportioned among the States according to their population. Since 1842, Federal statutes have provided that where a State was entitled to more than one Representative, the election should be by district. See 2 U.S.C. [§§] 2a, 2b, 2c. However, as the Supreme Court observed, "It has never been doubted that representatives in Congress thus chosen [by district] represented the entire people of the State acting in their sovereign capacity." McPherson v. Blacker, 146 U.S. 1, 26 (1892). Thus, the office of Representative of the United States is defined not by the geographical boundaries of the particular district which elects it but rather by the State it represents. 16

In addition, in Advisory Opinion 1980-80 (Crockett), the Commission advised that a candidate was not required to establish separate principal campaign committees where the candidate was concurrently a candidate in a primary election in Michigan's 13th Congressional District for the upcoming 1981-82 congressional term and in a special election in the same district for the remainder of the 1979-80 congressional term.<sup>17</sup> The Commission explained that the definition for the term "federal office" under the Act "makes no reference to the term during which the office is held" and thus concluded that the candidate was "running for the same office

Advisory Opinion 1982-22 at 3 (Bartlett). The advisory opinion refers to 11 C.F.R. § 110.8(d)(11), rather than 11 C.F.R. § 110.8(d)(1), *id.*, which appears to be a typo as there was not a 11 C.F.R. § 110.8(d)(1) in 1982.

Id. (internal footnotes omitted); see also Registering a Candidate, FEC.GOV, <a href="https://www.fec.gov/help-candidates-and-committees/filing-reports/registering-candidate/">https://www.fec.gov/help-candidates-and-committees/filing-reports/registering-candidate/</a> (last visited Feb. 9, 2023) (stating that "seek[ing] a different federal office . . . does not include running in another district" and referring to "a different district in the same state [as] the same office.").

Advisory Opinion 1980-80 at 2 (Crockett).

MUR 8020 (Pat Ryan for Congress) Factual and Legal Analysis Page 6 of 6

1 (i.e., Representative in Congress for the 13th Congressional District of Michigan), albeit for

2 separate terms of that office."<sup>18</sup>

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In sum, Advisory Opinion 1982-22 (Bartlett) indicates that different congressional

4 districts within the same state are considered the same "office" under the Act and Advisory

Opinion 1980-80 (Crockett) indicates that different congressional terms of the same district are

also considered the same "office" under the Act. Taken together, any congressional district

within the same state, regardless of term, is one "office" under the Act.

Here, Ryan was concurrently a candidate in the special election for New York's 19th Congressional District for the remainder of the 2021-22 congressional term and a candidate in the primary election for New York's 18th Congressional District for the 2023-24 congressional term. <sup>19</sup> In addition, the Committee, which was designated for the 18th District, operated as Ryan's principal campaign committee for both elections. <sup>20</sup> Although the elections were for congressional offices elected by people residing in different districts within the same state and for different terms, in the opinions described above, the Commission has advised that neither of those qualities render the offices sought by Ryan as separate for the purposes of 11 C.F.R. § 110.8(d)(1). Thus, the Commission finds no reason to believe that the Committee violated 11

C.F.R. § 110.8(d)(1) by concurrently soliciting contributions for two different campaigns.

<sup>&</sup>lt;sup>18</sup> *Id*.

Supra notes 4, 5, 7.

Supra notes 5, 10, 11.

### FEDERAL ELECTION COMMISSION

## 2 FACTUAL AND LEGAL ANALYSIS

3 RESPONDENT: Patrick Ryan MUR 8020

### I. INTRODUCTION

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5 From at least May 16, 2022, to August 23, 2022, Patrick Ryan simultaneously ran for the 6 special election in New York's 19th Congressional District to complete the 2021-22 term and the 7 primary election in New York's 18th Congressional District for the upcoming 2023-24 term. He 8 won both elections on August 23, 2022. Although Ryan filed a Statement of Candidacy 9 designating a principal campaign committee for the 18th District primary election, he did not file 10 a Statement of Candidacy for the 19th District special election. The Complaint alleges that 11 Patrick Ryan violated 52 U.S.C. § 30102(e)(1) of the Federal Election Campaign Act of 1971, as 12 amended (the "Act"), and 11 C.F.R. §§ 101.1(a), 102.12(a) of the Commission's regulations, by 13 failing to file a Statement of Candidacy designating a principal campaign committee for the 19th District special election. The Complaint further alleges that Ryan violated 11 C.F.R. 14 15 § 110.8(d)(1) by using Pat Ryan for Congress and Wyatt Brown in his official capacity as treasurer (the "Committee"), which is Ryan's designated principal campaign committee for 16 17 election in New York's 18th Congressional District, to simultaneously solicit contributions for Ryan's concurrent campaigns in the 18th and 19th Districts.<sup>3</sup> During the relevant period, the 18 19 Committee amended its Statement of Organization to indicate that it was Ryan's designated

campaign committee for both the 18th District primary election and the 19th District special

Compl. at 1 (June 27, 2022) ("Currently, Mr. Ryan has no active campaign committee to receive or expend funds for the special election in New York's 19th Congressional District.").

<sup>&</sup>lt;sup>2</sup> Pat Ryan for Congress, Amended Statement of Organization at 3 (Oct. 11, 2022), <a href="https://docquery.fec.gov/pdf/118/202210119532128118/202210119532128118.pdf">https://docquery.fec.gov/pdf/118/202210119532128118/202210119532128118.pdf</a>.

Compl. at 2 ("[H]is [federal campaign committee] is actively pursuing and raising campaign funds for both offices through one single federal campaign account.").

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election when Ryan became a candidate in the 19th District special election.<sup>4</sup> Ryan did not file a

2 response.

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3 As discussed below, the Act and Commission regulations require candidates to file

4 Statements of Candidacy when they become a candidate for a federal election and Ryan failed to

do so as to the 19th District special election. Although the Committee identified itself as Ryan's

principal campaign committee as to the 19th District special election, the Committee's

7 identification must occur after the candidate designates it as such in a Statement of Candidacy.

8 Moreover, the Committee was explicitly advised by the Reports Analysis Division ("RAD") that

Ryan was required to amend his Statement of Candidacy to reflect his candidacy in the 19th

District special election. Thus, the Commission dismisses the allegation that Ryan violated

11 52 U.S.C. § 30102(e)(1) and 11 C.F.R. §§ 101.1(a), 102.12(a) by failing to file a Statement of

Candidacy as to the 19th District, but admonishes him for this violation of the Act.

As to whether candidates for more than one federal "office" must designate separate principal campaign committees, the Commission has advised that, for the purposes of the Act, different congressional districts within the same state are considered the same office and that different congressional terms for the same district are considered the same office. Therefore, in light of these advisory opinions, the Commission finds no reason to believe that Ryan violated 11 C.F.R. § 110.8(d)(1) by failing to designate separate committees to solicit contributions for

19 his two campaigns.

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## II. FACTUAL BACKGROUND

2 On May 3, 2022, the Governor of New York appointed Rep. Antonio Delgado, from New 3 York's 19th Congressional District, to be New York's new lieutenant governor, which resulted in a vacancy in the district for the remainder of the 2021-22 term.<sup>5</sup> By May 15, 2022, Ryan 4 5 raised more than \$5,000 in contributions for the 19th District special election campaign.<sup>6</sup> 6 On May 16, 2022, Ryan filed a Statement of Candidacy as to New York's 18th 7 Congressional District for the upcoming 2023-24 term and designated the Committee for that 8 campaign. The Committee also filed a Statement of Organization indicating that it was Ryan's principal campaign committee for the 18th District.<sup>8</sup> 9 10 The next day, on May 17, 2022, Ryan publicly announced, that in addition to his 11 candidacy in the New York 18th Congressional District, he also would be a candidate in the 12 upcoming special election for New York's 19th Congressional District to complete the remainder 13 of the term for the vacancy created by Rep. Delgado's resignation. On May 26, 2022, the 14 Committee asked RAD for guidance on how to indicate that Ryan would be running in both the 15 18th District primary election and the 19th District special election. On May 27, 2022, RAD

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Pat Ryan to Run in Special 19th Congressional Election Before Pursuing 18th Seat, POUGHKEEPSIE J. (May 17, 2022), <a href="https://www.poughkeepsiejournal.com/story/news/politics/elections/2022/05/17/ulsters-pat-ryan-announces-special-congressional-election-run/9811900002/">https://www.poughkeepsiejournal.com/story/news/politics/elections/2022/05/17/ulsters-pat-ryan-announces-special-congressional-election-run/9811900002/</a>.

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- advised that the Committee would need to amend its Statement of Organization to reflect that
- 2 Ryan would be simultaneously running in the 18th District primary election and the 19th District
- 3 special election. RAD also advised that Ryan would need to amend his Statement of Candidacy
- 4 to indicate that he would be running in the 19th District special election.
- 5 On June 23, 2022, the Committee filed an amended Statement of Organization stating
- 6 that it was the principal campaign committee for Ryan's campaign "for office in the New York
- 7 18th district special election and the 19th district primary and general elections." In this filing,
- 8 the Committee mistakenly referred to the 18th district elections as the "special election" and the
- 9 19th district election as the "primary and general" when it should have been the other way
- around. On July 1, 2022, the Committee filed another Amended Statement of Organization
- 11 correcting the error and correctly stating that the Committee was the principal campaign
- 12 committee for Ryan's campaigns "for office in the New York 19th district special election and
- the 18th district primary and general elections." During the relevant period, the Committee
- 14 collected contributions and functioned as the principal campaign committee for both
- 15 campaigns. 12

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Ryan, however, did not amend or file a Statement of Candidacy indicating that he was a

17 candidate in the 19th District special election. 13

<sup>&</sup>lt;sup>10</sup> Pat Ryan for Congress, Amended Statement of Organization at 5 (June 23, 2022), <a href="https://docquery fec.gov/pdf/613/202206239517754613/202206239517754613.pdf">https://docquery fec.gov/pdf/613/202206239517754613/202206239517754613.pdf</a>.

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See FEC Ryan, Patrick: Candidate Filings, FEC.GOV, <a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/</a>?<a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/</a>?<a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/</a>?<a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/</a>?<a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/</a><a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/

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# III. LEGAL ANALYSIS

A. The Commission Dismisses the Allegations that Ryan Failed to File a

Statement of Candidacy Designating a Principal Campaign Committee, But

Admonishes him for this Violation of the Act

The Act and Commission regulations define a candidate as "an individual who seeks nomination for election, or election, to Federal office." An individual shall be deemed to seek nomination for election, or election, when he or she receives contributions or makes expenditures in excess of \$5,000, or authorizes someone else to do so on his or her behalf. The Act and the Commission's regulations require candidates for federal office, except the nominees for the office of vice president, to file a Statement of Candidacy designating a political committee to serve as their principal campaign committee within 15 days of becoming a candidate. Candidates are required to submit a Statement of Candidacy on FEC Form 2, which includes, among other things, the candidate's name, mailing address, and party affiliation; office sought; state and district if applicable. Within 10 days of the candidate's designation of a principal campaign committee, the designated committee must file a Statement of Organization to register

with the Commission.<sup>18</sup>

<sup>52</sup> U.S.C. § 30101(2); see also 11 C.F.R. § 100.3(a).

<sup>&</sup>lt;sup>15</sup> 52 U.S.C. § 30101(2)(A)-(B); 11 C.F.R. § 100.3(a)(1)-(2).

<sup>52</sup> U.S.C. § 30102(e)(1) ("Each candidate for Federal office (other than the nominee for the office of Vice President) shall designate in writing a political committee in accordance with paragraph (3) to serve as the principal campaign committee of such candidate."); 11 C.F.R. §§ 101.1(a) ("A candidate shall designate his or her principal campaign committee by filing a Statement of Candidacy"), 102.12(a) ("Each candidate for Federal office (other than a nominee of a political party to the Office of Vice President) shall designate in writing a political committee to serve as his or her principal campaign committee in accordance with 11 CFR 101.1(a) no later than 15 days after becoming a candidate.").

<sup>11</sup> C.F.R. § 101.1(a); see Registering a Candidate, FEC.GOV, <a href="https://www.fec.gov/help-candidates-and-committees/filing-reports/registering-candidate/">https://www.fec.gov/help-candidates-and-committees/filing-reports/registering-candidate/</a> (last visited Feb. 9, 2023); FEC Form 2: Statement of Candidacy, FEC.GOV, <a href="https://webforms.fec.gov/webforms/form2/index.htm">https://webforms.fec.gov/webforms/form2/index.htm</a> (last visited Feb. 9, 2023).

<sup>11</sup> C.F.R. §§ 102.1(a) ("Each principal campaign committee shall file a Statement of Organization in accordance with 11 CFR 102.2 no later than 10 days after designation pursuant to 11 CFR 101.1."); 102.12(a)

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the Act.<sup>22</sup>

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1 Here, Ryan became a candidate in New York's 19th Congressional District as early as May 15, 2022, when he received more than \$5,000 in contributions for that district's campaign. 19 2 As such, Ryan was required to file a Statement of Candidacy with the Commission by May 30, 3 2022, 15 days later, designating a principal campaign committee. 20 Moreover, Ryan, through the 4 5 Committee, was specifically advised by RAD to amend his Statement of Candidacy to reflect his 6 candidacy in the 19th District special election. Despite this, Ryan did not amend or file such a Statement of Candidacy. <sup>21</sup> Although the Committee identified itself as the principal campaign 7 8 committee for Ryan's campaign in the 19th District special election in an amended filing, Ryan's 9 failure to file a Statement of Candidacy deprived the public of easily accessible information as to 10 his special election candidacy and had the potential to cause confusion as to the identity of his 11 authorized campaign committee for that special election. Accordingly, the Commission 12 dismisses the allegations that Ryan violated 52 U.S.C. § 30102(e)(1) and 11 C.F.R. §§ 101.1(a), 13 102.12(a) by failing to file a Statement of Candidacy, but admonishes him for this violation of

(stating that after the candidate designates a principal campaign committee, "[e]ach principal campaign committee shall register, designate a depository and report in accordance with 11 CFR parts 102, 103 and 104.").

See Pat Ryan for Congress, Amended 2022 July Quarterly Report at 284-85 (Nov. 28, 2022), <a href="https://docquery.fec.gov/pdf/872/202211289547063872/202211289547063872.pdf">https://docquery.fec.gov/pdf/872/202211289547063872/202211289547063872.pdf</a> (reflecting two contributions of \$2,900 dated May 15, 2022, for a special primary election).

<sup>&</sup>lt;sup>20</sup> 52 U.S.C. § 30102(e)(1); 11 C.F.R. §§ 101.1(a), 102.12(a).

<sup>21</sup> See FEC Ryan, Patrick: Candidate Filings, FEC.GOV, <a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/candidate/H8NY19223/</a> <a href="https://www.fec.gov/data/candidate/H8NY19223/">https://www.fec.gov/data/can

See generally Certification ("Cert.") (July 11, 2014), MUR 6735 (Joseph A. Sestak, et al.) (solely finding reason to believe that the candidate failed to file a statement of candidacy and authorizing the Office of General Counsel to conciliate that issue).

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# B. The Commission Finds No Reason to Believe that Separate Principal Campaign Committees were Required

3 "If an individual is a candidate for more than one Federal office . . . he or she must 4 designate separate principal campaign committees and establish completely separate campaign organizations."23 According to the Act, "Federal office' means the office of President or Vice 5 6 President, or of Senator or Representative in, or Delegate or Resident Commissioner to, the Congress."24 7 8 The Commission has not squarely addressed whether separate principal campaign 9 committees are required when a candidate simultaneously runs for office for two different congressional terms and in two different congressional districts within the same state.<sup>25</sup> 10 11 However, the Commission's prior advisory opinions indicate that forming a separate principal 12 campaign committee is not required in these circumstances. 13 In Advisory Opinion 1982-22 (Bartlett), the Commission advised that a candidate was not required to establish a separate principal campaign committee when he initially ran for office 14

in Texas's 5th Congressional District and then switched to running for office in Texas's 3rd

Congressional District during the same election cycle. 26 The Commission explained that both

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<sup>&</sup>lt;sup>23</sup> 11 C.F.R. § 110.8(d)(1).

<sup>&</sup>lt;sup>24</sup> 52 U.S.C. § 30101(3); accord 11 C.F.R. § 100.4.

The Committee appears to suggest that the New York's 19th Congressional District for the 2020 term and New York's 18th Congressional District for the 2022 term are actually the same district due to "the shifting of district lines in accordance with 2022 congressional redistricting." *See* Pat Ryan for Congress, Resp. at 1. We disagree that the districts could be considered the same given the partial geographic overlap between the districts. *See Redistricting in New York After the 2020 Census*, BALLOTPEDIA, <a href="https://ballotpedia.org/Redistricting">https://ballotpedia.org/Redistricting</a> in New York after the 2020 census (last visited Feb. 9, 2023); *cf.* Chris McKenna, *Pat Ryan Triumphs over Colin Schmitt in Tight 18th Congressional Race*, TIMES HERALD-RECORD, Nov. 9, 2022, <a href="https://news.yahoo.com/pat-ryan-triumphs-over-colin-090419725.html">https://news.yahoo.com/pat-ryan-triumphs-over-colin-090419725.html</a> (characterizing Ryan's win in the 18th Congressional District as "his second win in less than three months after claiming a vacant seat in a *neighboring House district* in a special election in August.") (emphasis added).

Advisory Opinion 1982-22 at 3 (Bartlett). The advisory opinion refers to 11 C.F.R. § 110.8(d)(11), rather than 11 C.F.R. § 110.8(d)(1), *id.*, which appears to be a typo as there was not a 11 C.F.R. § 110.8(d)(1) in 1982.

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- 1 congressional offices were considered one "federal office" under the Act and Commission
- 2 regulations because they were in the same state:

3 [N]either the Act nor the Commission's regulations identify Congressional seats 4 as separate Federal offices. Moreover, those portions of the Constitution of the 5 United States and Federal law which provide for the election of United States 6 Representatives indicate that each Congressional seat within a State does not 7 constitute a separate Federal office. The Fourteenth Amendment to the 8 Constitution directs that Representatives be apportioned among the States 9 according to their population. Since 1842, Federal statutes have provided that 10 where a State was entitled to more than one Representative, the election should be 11 by district. See 2 U.S.C. [§§] 2a, 2b, 2c. However, as the Supreme Court 12 observed, "It has never been doubted that representatives in Congress thus chosen 13 [by district] represented the entire people of the State acting in their sovereign 14 capacity." McPherson v. Blacker, 146 U.S. 1, 26 (1892). Thus, the office of Representative of the United States is defined not by the geographical boundaries 15 of the particular district which elects it but rather by the State it represents.<sup>27</sup> 16

In addition, in Advisory Opinion 1980-80 (Crockett), the Commission advised that a candidate was not required to establish separate principal campaign committees where the candidate was concurrently a candidate in a primary election in Michigan's 13th Congressional District for the upcoming 1981-82 congressional term and in a special election in the same district for the remainder of the 1979-80 congressional term.<sup>28</sup> The Commission explained that the definition for the term "federal office" under the Act "makes no reference to the term during which the office is held" and thus concluded that the candidate was "running for the same office (i.e., Representative in Congress for the 13th Congressional District of Michigan), albeit for separate terms of that office."<sup>29</sup>

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Id. (internal footnotes omitted); see also Registering a Candidate, FEC.GOV, <a href="https://www.fec.gov/help-candidates-and-committees/filing-reports/registering-candidate/">https://www.fec.gov/help-candidates-and-committees/filing-reports/registering-candidate/</a> (last visited Feb. 9, 2023) (stating that "seek[ing] a different federal office . . . does not include running in another district" and referring to "a different district in the same state [as] the same office.").

Advisory Opinion 1980-80 at 2 (Crockett).

<sup>&</sup>lt;sup>29</sup> *Id*.

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I	In sum, Advisory Opinion 1982-22 (Bartlett) indicates that different congressional
2	districts within the same state are considered the same "office" under the Act and Advisory
3	Opinion 1980-80 (Crockett) indicates that different congressional terms of the same district are
4	also considered the same "office" under the Act. Taken together, any congressional district
5	within the same state, regardless of term, is one "office" under the Act.
6	Here, Ryan was concurrently a candidate in the special election for New York's 19th
7	Congressional District for the remainder of the 2021-22 congressional term and a candidate in
8	the primary election for New York's 18th Congressional District for the 2023-24 congressional
9	term. <sup>30</sup> In addition, the Committee, which was designated for the 18th District, operated as
10	Ryan's principal campaign committee for both elections. <sup>31</sup> Although the elections were for
11	congressional offices elected by people residing in different districts within the same state and
12	for different terms, in the opinions described above, the Commission has advised that neither of
13	those qualities render the offices sought by Ryan as separate for the purposes of 11 C.F.R.
14	§ 110.8(d)(1). Thus, the Commission finds no reason to believe that Ryan violated 11 C.F.R.
15	§ 110.8(d)(1) by failing to designate separate committees to solicit funds for his two campaigns.

<sup>30</sup> *Supra* notes 6, 7, 9.

<sup>31</sup> Supra notes 7, 11, 12.