

**MUR 7905**

**UtePAC  
P.O. Box 190  
Fort Duchesne, UT 84026**

May 14, 2021

General Counsel  
Federal Election Commission  
1050 First Street, NE  
Washington, D.C. 20463

**Re: Complaint Against Former Director and Treasurer of UtePAC**

Dear General Counsel:

UtePAC, Committee No. C00626671, files this complaint pursuant to 11 C.F.R. § 111 Subpart A, against UtePAC's former Director and Treasurer, Mr. Robert Lucero. After two extensive audits, UtePAC learned that Mr. Lucero failed to comply with the basic requirements of the Federal Election Campaign Act (Act) and intentionally used deceptive and incomplete financial reporting to take UtePAC funds for his personal gain. Mr. Lucero also hid and attempted to cover up his personal use of UtePAC funds. This complaint includes actions Mr. Lucero took in both his official and personal capacities.

The statements contained in this complaint are based on an established external audit of UtePAC that was conducted by the Ute Indian Tribe (Tribe), as well as my personal knowledge and information and belief. I gained personal knowledge of Mr. Lucero's violations of the Act and its regulations after Mr. Lucero was terminated as the Director and Treasurer of UtePAC. Following his termination, I worked with UtePAC's new Treasurer to stabilize UtePAC and to be responsive to audits of UtePAC's finances. In my representation of UtePAC, participation in the audits, and investigation of past financial matters, I gained personal knowledge of Mr. Lucero's violations of the Act and its regulations, and his misappropriation of UtePAC funds for personal use.

This complaint includes exhibits to document my personal knowledge and statements made on information and belief. At the Federal Election Commission's (FEC) request, additional materials may be available to further document these statements.

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The last known address UtePAC has on file for Mr. Lucero is:

Mr. Robert Mr. Lucero

Culver City, California 90232

**I. Introduction**

The Ute Indian Tribe (Tribe) established UtePAC on September 28, 2016 and hired Mr. Lucero as the Director and Treasurer of UtePAC based on his claims of experience running political action committees (PACs), managing PAC finances, and complying with FEC laws and regulations. As established by Mr. Lucero's engagement agreement, as the Director and Treasurer of UtePAC, Mr. Lucero was solely and completely responsible for UtePAC's finances, operations and reporting to the FEC.

UtePAC was funded through public contributions and operational funds provided by the Tribe. The Tribe followed a rigorous process in providing funding to UtePAC. This process began with Mr. Lucero's presentation of proposed budgets for UtePAC activities on an annual or semi-annual basis. Once a proposed budget was approved, the Tribe would pass a resolution approving the budget and authorizing the funding. The Tribe's Constitution and By-Laws require that all expenditures be approved by a resolution of the Tribe's governing body. *Constitution and By-Laws of the Ute Indian Tribe of the Uintah and Ouray Reservation*, By-Laws Article I, Section 4 (Jan. 19, 1937) (as amended).

After funding resolutions were approved, several other controls and sign offs were required before funds were released to UtePAC. These controls include having a signed requisition request, an approved and signed resolution, an approved budget, invoice or signed contract, and supporting documentation such as a W-9. The Tribe's Executive Director and Tribal Comptroller also signed off on requisition requests. All of the funds provided to Mr. Lucero to operate UtePAC were approved according to this process and the Tribe is up to date on all of its annual audits.

The Tribe has further accounting requirements and controls that are used by all of its Departments; however, Mr. Lucero claimed that all UtePAC funding would be monitored and reported according to the FEC requirements. He claimed to have expertise working with the FEC's process and told the Tribe that all UtePAC disbursements would be documented as required by the FEC. Mr. Lucero also told the Tribe that he was in regular contact with the FEC to discuss the management of UtePAC finances and resolve any issues.

However, once the funds were transferred to UtePAC's bank account, we now know that Mr. Lucero did not institute any financial management practices and did not comply with FEC requirements. Even worse, Mr. Lucero made false, fictitious and fraudulent statements and reports to both the Tribe and the FEC. As the only signer on UtePAC's accounts, Mr. Lucero disbursed UtePAC funds with little or no explanation. Mr. Lucero also did not provide reports on how funding was used to fulfill specific budget requests and did not provide accounting of UtePAC disbursements.

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On January 4, 2020, the Tribe engaged an independent accounting firm to conduct an audit of the UtePAC finances. Within a month of the Tribe initiating its audit, Mr. Lucero became unresponsive, and the Tribe terminated Mr. Lucero's employment as UtePAC Director and Treasurer on February 26, 2020. Following his termination, the Tribe learned about the FEC's audit of UtePAC that was initiated on October 2, 2019. Mr. Lucero never informed the Tribe or anyone else at UtePAC that the FEC was auditing UtePAC.

Since terminating Mr. Lucero and complying with the audits initiated by the Tribe and the FEC, UtePAC has learned about Mr. Lucero's failure to fulfill FEC requirements and his deceptive management of UtePAC finances for his own personal gain. To respond to the FEC's ongoing audit and to help stabilize UtePAC and ensure proper oversight of its finances, UtePAC is currently being managed by the Tribe's Comptroller and General Counsel. Under this management, with the UtePAC accounts now removed from Mr. Lucero's exclusive control, all UtePAC disbursements are subject to the Tribe's rigorous accounting procedures and reported as required by the FEC.

The information contained in this complaint comes from the Tribe's and FEC's audits that cover almost the entire time period Mr. Lucero was employed as the Director and Treasurer of UtePAC. These two audits include:

- an FEC audit covering January 2017 to December 2018, and
- an audit by the Tribe covering December 2018 to February 2020.

These audits and other information uncovered demonstrate Mr. Lucero's violations of the Act and its implementing regulations. They also provide evidence of his fraudulent actions used to take UtePAC funds for his personal benefit.

These audits also appear to only scratch the surface. Mr. Lucero refused to assist in the audits and resisted providing receipts and accurate reporting of his disbursements. Mr. Lucero even failed to make "best efforts" to correct his reporting during the audits and appears to be covering up his personal use of UtePAC funds. In addition, neither audit fully investigates the financial relationship between UtePAC and a limited liability company that Mr. Lucero established, American System Group, LLC (LLC), to funnel funds away from UtePAC for his personal benefit.

Mr. Lucero's management of UtePAC and creation of an LLC to misappropriate UtePAC funds for his personal benefit is similar to another FEC case that was recently prosecuted by the FEC and the Department of Justice. In that case, Scott B. Mackenzie served as a Treasurer for multiple PACs and established an entity known as "Mackenzie & Company" to take PAC funding for his personal benefit. *Press Release: Treasurer of Multiple Political Action Committees Sentenced for Filing False Reports with the FEC*, U.S. Dept. of Justice (February 21, 2020). Like Mr. Lucero, Mackenzie transferred funds between PACs and used PAC funds to pay his company to do consulting and other work. The company would then pay Mackenzie and his friend for work that was never done.

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Mr. Lucero used the same scheme to defraud UtePAC. UtePAC received funds from public contributions and from the Tribe. These funds were held in contribution and non-contribution accounts that were under the sole control of Mr. Lucero and in which he was the only authorized signer. As explained in more detail in this complaint, Mr. Lucero would then transfer funds from these accounts to another PAC he operated and also to his LLC. Mr. Lucero then paid himself from these transferred funds and also used these funds to pay for personal expenses. Mr. Lucero covered up his misappropriation of UtePAC funds by conducting a lot of business in cash, failing to maintain records, and making a number of false, fictitious and fraudulent statements and reports to the Tribe and the FEC.

The remainder of this complaint provides further details about Mr. Lucero's violations of the Act and fraudulent actions. The complaint is organized into four sections. The first three sections provide factual information about Mr. Lucero's violations of the Act and its regulations. These sections include:

- Violations of Law Found by Federal Election Commission Audit Staff;
- Specific Examples of Misappropriation of UtePAC Funds for Personal Use; and
- Unexplained Expenses Found During Tribal Audit.

The final section of this complaint describes the FEC's legal and policy guidance for holding Mr. Lucero personally responsible as the former Director and Treasurer of UtePAC.

## **II. Violations of Law Found by Federal Election Commission Audit Staff**

As a result of investigations during the FEC's audit period covering January 2017 to December 2018, the FEC made the following Preliminary Audit Findings on August 28, 2020. The FEC concluded on a preliminary basis that Mr. Lucero's management and misappropriations of UtePAC funds resulted in the violation of:

- 52 U.S.C. § 30104(b) for Misstatement of Financial Activity;
- 52 U.S.C. § 30104(b) for Misreporting of Increased Financial Activity;
- 52 U.S.C. § 30102(h)(2) for Excessive Cash Disbursements;
- 11 C.F.R. § 102.9 for Failure to Maintain Records for Receipts;
- 11 C.F.R. § 102.9 for Failure to Maintain Records for Disbursements;
- 11 C.F.R. § 104.14 for Failure to Maintain Records for Communications;
- 52 U.S.C. § 30104(b)(5)(A) for Failure to Disclose Disbursement Information; and,
- 52 U.S.C. § 30102(b), (c) and (d); 52 U.S.C. § 30114; and 11 C.F.R. § 102.15 for Apparent Misappropriations of Funds.

These findings involve Mr. Lucero's failure to accurately report financial activity to the FEC and his misappropriation of UtePAC funds for personal use. FEC spreadsheets documenting the extent of these violations are attached as *Exhibit A*.



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In addition, after almost a year of investigations and review of documents, the FEC found and documented Mr. Lucero's actual misappropriation of UtePAC funds for personal use. In particular, one of the spreadsheets included in *Exhibit A* which was entitled "Apparent Misappropriations of Funds" was revised to be entitled "Personal Use of Campaign Funds." The revised spreadsheet is attached as *Exhibit B*. The revised spreadsheet shows \$249,058.85 in personal use of UtePAC funds.

UtePAC worked extensively with the FEC to provide information to support these Preliminary Audit Findings. UtePAC also worked to correct as many of the errors in Mr. Lucero's FEC filings as possible. Based on this work, UtePAC expects that some of the Preliminary Audit Findings will not be included in the FEC's Interim and Final Audit Findings. However, the removal of audit findings from this list does not minimize or absolve Mr. Lucero's actions that led to these violations of the Act and its regulations. In other words, this complaint against Mr. Lucero should not be minimized by UtePAC's extensive work to resolve and correct Mr. Lucero's numerous violations of FEC reporting requirements.

### **III. Specific Examples of Misappropriation of UtePAC Funds for Personal Use**

In response to the Tribe's and FEC's audits, UtePAC investigated many of Mr. Lucero's specific transactions included in the FEC's spreadsheets documenting its Preliminary Audit Findings. Many of these disbursements still cannot be explained. Mr. Lucero made a number of cash disbursements without documentation, failed to maintain basic records, refused to provide records, and transferred funds to his other PAC and LLC to avoid detection.

However, investigations conducted during the audits also produced a number of examples of Mr. Lucero's misappropriation of UtePAC funds for personal benefit. Specific examples are described in the sections below.

#### **a) Transfer of UtePAC Funds to New Deal PAC to Compensate Mr. Lucero**

The Tribe provided \$100,000 in initial funding for Mr. Lucero to begin work as the Director and Treasurer of UtePAC. On October 3, 2016, these funds were deposited into a bank account set up by Mr. Lucero for UtePAC. *See Exhibit C*. On the very same day, Mr. Lucero withdrew \$70,000 from this account. *Id.* It is not clear what Mr. Lucero used most of this \$70,000 for, but at least \$14,000 of this funding was transferred to another PAC that Mr. Lucero established just a couple weeks earlier.

On September 12, 2016, sixteen (16) days before being hired to be the Director and Treasurer of UtePAC, Mr. Lucero established the New Deal PAC, Committee No. C00625731. According to FEC records, Mr. Lucero made two transfers to his New Deal PAC from UtePAC. The first transfer to Mr. Lucero's New Deal PAC in the amount of \$10,000 was made on October 3, 2016. The second transfer in the amount of \$4,000 was made on November 14, 2016. The FEC Schedule A Itemized Receipts for these transfers are attached as *Exhibit D*.

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Mr. Lucero then used these funds to pay himself. In five disbursements from October 21, 2016 to January 2, 2017, New Deal PAC paid Mr. Lucero and the entities he created \$15,413. The FEC Schedule B Itemized Disbursements for these payments are attached as *Exhibit E*. As shown on these forms, these five payments all went to Mr. Lucero and his entities at 55 East 100 North, Logan, Utah 84321.

Mr. Lucero completed these unauthorized transactions on his own and did not report these transactions to anyone. He did not document these transactions with UtePAC and he did not report these transactions to the Tribe. All of the funding provided by the Tribe was intended for UtePAC operations. The Tribe has no interest or affiliation in the goals and objectives of Mr. Lucero's New Deal PAC.

**b) Excessive and Unexplained Outdoor Retailer Association Expenses in 2017**

Mr. Lucero's disbursements related to the Outdoor Retailer Association's 2017 Market Place were excessive, remain undocumented and, in some cases, show misappropriation of UtePAC funds for personal use. At this event Mr. Lucero set up and staffed an informational UtePAC booth. After months of questioning and a year under audit, these disbursements remain undocumented and largely unexplained.

Mr. Lucero's original reporting to the FEC showed five disbursements related to the Outdoor Retailer Association event totaling \$71,310. Mr. Lucero's original reporting did not accurately explain these disbursements. After repeated questioning during the FEC's audit, Mr. Lucero finally provided the following brief explanations for these five disbursements. These explanations are still incomplete, do not comply with FEC reporting requirements, and confirm that his original reports to the FEC were misleading and were an attempt to cover up his personal use of UtePAC funds.

After making his original and false reports to the FEC, Mr. Lucero eventually provided the following explanations for the five disbursements related to the Outdoor Retailer Association event totaling \$71,310. Mr. Lucero stated that:

- on March 13, 2017, he used \$35,000 to purchase a car and to pay himself an administrative fee;
- on April 5, 2017, he used \$10,800 as a down payment on booth space for the event;
- on April 27, 2017, he used \$8,500 to make a second payment on the booth space;
- on May 24, 2017, he used \$10,010 for advertising at the event and to pay four staff; and
- on May 24, 2017, he used \$7,000 to make a third payment for booth space and an advertising package.

Mr. Lucero's email correspondence providing these explanations is attached as *Exhibit F*. With the exception of the \$35,000 disbursement to purchase a car and to pay himself, which is further discussed in the next two sections, Mr. Lucero did not provide any documentation for the other four disbursements he claims were related to the Outdoor Retailer Association event.

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Even without that documentation, it is clear that Mr. Lucero is making false statements about the use of these funds. According to Mr. Lucero's explanations, three of these disbursements totaling \$26,300 were for booth space and an advertising package. A fourth disbursement of \$10,010 also included some funding for advertising and paying staff, but we do not know how much was used for advertising versus paying staff. Setting aside the \$10,010 disbursement, Mr. Lucero's explanations still do not add up.

Mr. Lucero stated that he was subleasing part of Portland Woolen Mills booth space at the Outdoor Retailer Association event. A receipt or invoice for the booth space and advertising was never provided. Mr. Lucero only provided an estimate for booth space and advertising at the event. This estimate was originally provided by the Outdoor Retailer Association to Portland Woolen Mills. *See Exhibit G.* The estimate Mr. Lucero provided shows that booth space at the event cost between \$36.00 per square foot and \$28.75 per square foot, depending on the size of the booth, and advertising costs about \$3,500 depending on the package selected.

Subtracting an estimated \$3,500 for an advertising package, from the \$26,300 in disbursements Mr. Lucero claims he spent on booth space and advertising, would mean that he spent about \$22,800 for booth space at the event. For this amount, according to the estimate provided to Portland Woolen Mills, Mr. Lucero would have had a 766 square foot booth and paid \$29.75 per square foot. In fact, Mr. Lucero's booth space was about 90 square feet and should have only cost about \$3,240, not \$22,800.

The size of the actual booth space can be estimated from a picture of the booth provided by Mr. Lucero. The picture shows that the booth was closer to 90 square feet, not 766 square feet. *See Exhibit H.* As shown in the picture, the booth includes two six-foot long tables and a few feet of walking space between the tables and at the edge of the booth. The total length of the booth appears to be about 15 feet. The tables are about two feet wide indicating that the booth is about 6 feet wide.

In total, a booth that is 15 feet long by 6 feet wide would have been about 90 square feet. For a 90 square foot booth, the total cost for booth space at the event should have been around \$3,240 according to the estimate Mr. Lucero provided from the Outdoor Retailer Association. Subtracting \$3,240 from the \$22,800 that Mr. Lucero claims was spent on booth space, leaves \$19,560 unexplained just for booth space.

There is no other documentation for booth space, advertising or staff expenses to explain Mr. Lucero's disbursements related to the Outdoor Retailer Association's 2017 Market Place. Mr. Lucero originally reported \$71,310 in disbursements related to the event. Subtracting \$35,000 to purchase a car and to pay himself, approximately \$3,240 for booth space, and approximately \$3,500 for an advertising package, leaves \$29,570 in unexplained and undocumented disbursements related to the Outdoor Retailer Association 2017 Market Place event. After more than a year of audits and investigations, Mr. Lucero still cannot or will not provide documentation or an accurate explanation for these expenditures.

**c) Use of UtePAC Funds to Purchase Personal Automobile**

Almost one year into the FEC audit and after offering multiple explanations, Mr. Lucero finally provided documentation for part of the \$35,000 disbursement noted above. Mr. Lucero originally reported to the FEC that a March 17, 2017 cash disbursement in the amount of \$35,000 was for “Outdoor Retailer Advertising.” After revising his explanation multiple times, Mr. Lucero finally provided an invoice showing that he used \$22,839.71 of the \$35,000 to buy himself a personal vehicle.

At first, Mr. Lucero refused to provide any additional information about this \$35,000 disbursement or claimed that the Outdoor Retailer Association expenses were more than originally expected. For example, on September 14, 2020, Mr. Lucero wrote in email correspondence that he “had partnered at that event with Doug Hoshek of Portland Woolen Mills.... We had rented nearly 900 sq ft at \$36 per [sq ft] for that show which came out to about \$35,000.” *See Exhibit H.*

Mr. Lucero even provided a detailed explanation for the high cost of the informational booth space. In the same email he wrote:

It was approved by the [Tribe] that we be there, they wanted us there and we did a lot but that sticker price was a terrible, mistake of a newer organization, even though this is the event where we got Sally Jewell’s signature for Ute PAC, and major exposure in the outdoor community, etc.

*Id.* While UtePAC was approved to attend the Outdoor Retailer Association event, Mr. Lucero did not inform anyone about of the cost of the booth, seek approval for these specific disbursements, did not properly report these disbursements with the FEC and then attempted to cover up his personal use of these disbursements.

When we told Mr. Lucero that we were in contact with Hoshek of Portland Woolen Mills to obtain a final receipt, Mr. Lucero’s explanation began to change. On September 21, 2020, after learning that Hoshek had informed us that Mr. Lucero had “paid \$8,000 to Portland Woolen Mills for booth space” at the Outdoor Retailer event, Mr. Lucero then claimed “It was least \$18,000. The \$8,000 was a down payment on the booth!” *See Exhibit I.*

A couple days later, on September 23, 2020, Mr. Lucero finally reported “The original \$35,000 was for \$15,000 for me [Mr. Lucero paid himself a 10 percent fee to administer UtePAC], then \$20,000 for a Ute PAC used all-wheel drive SUV.” *See Exhibit J.* Neither of these disbursements were “Outdoor Retailer Advertising” as Mr. Lucero originally reported to the FEC on March 17, 2017, and claimed for months during the FEC’s audit.

Instead, Mr. Lucero’s September 23, 2020 correspondence provided for the first time a March 14, 2017 receipt for a 2011 Honda Pilot Sport Utility Vehicle with four-wheel drive that cost \$22,839.71. *See Exhibit K.* Mr. Lucero was never authorized to purchase a personal or business vehicle using UtePAC funds. To the contrary, just 12 days before Mr. Lucero purchased the vehicle, he signed a contract with the Tribe for the management of UtePAC that provided for

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reimbursement of mileage driven in a personal vehicle for business purposes. *See Exhibit L at Article II, Section 2.03.*

Mr. Lucero eventually sold the Honda Pilot Sport Utility Vehicle for \$10,000 on March 5, 2019. *See Exhibit M.* UtePAC is not aware of any documentation showing what happened to the \$10,000 Mr. Lucero received for the car. UtePAC bank statements from around this time period do not show a deposit of \$10,000.

**d) Excessive Administrative Fees Taken**

After purchasing a personal vehicle, Mr. Lucero explained that the remaining \$15,000 was used to pay his administrative fee for serving as UtePAC's Director and Treasurer. To clarify, the car actually cost \$22,839.71, and would have left about \$12,160.29 of the \$35,000 disbursement for Mr. Lucero's administrative fee. This amount appears to show that Mr. Lucero was taking excessive administrative fees.

As noted above, on March 3, 2017, Mr. Lucero entered into a contract with the Tribe for the management of UtePAC, which provided Mr. Lucero with a 10 percent administrative fee of contributions to UtePAC, plus business expenses. *See Exhibit L at Article II, Section 2.01 and 2.03.* The contract also notes that the Tribe provided UtePAC with \$100,000 in operational funds on February 23, 2017. Ten percent of \$100,000 is \$10,000. However, on March 17, 2017, as a part of the \$35,000 disbursement described above, Mr. Lucero took \$12,160.29 in administrative fees. This is \$2,160.29 more than Mr. Lucero's contract provided.

Mr. Lucero could have earned the \$2,160.29 through his 10 percent administrative fee by obtaining \$21,602.90 in other contributions to UtePAC during this time. However, deposits in UtePAC's contribution bank account from October 2016 to March 2017 do not add up to \$21,602.90. Bank statements covering this time period show about \$3,800 in deposits. *See Exhibit N.* These contributions do not justify Mr. Lucero's excessive administrative fees and appear to show that Mr. Lucero was taking UtePAC funds for his personal benefit.

**e) Transfer of Funds to American System Group, LLC**

As a part of the FEC's audit, Mr. Lucero informed UtePAC that he had established the American System Group, LLC (LLC) to run and manage UtePAC. On September 30, 2016, two days after being hired by the Tribe to run UtePAC, Mr. Lucero registered his LLC with the State of Utah. Mr. Lucero was originally paid directly from UtePAC funds, but on March 3, 2017, Mr. Lucero entered into the contract referenced above to run UtePAC through his new LLC. *See Exhibit L.*

Mr. Lucero's LLC has not been separately audited and the funding relationship between UtePAC and the LLC is not fully understood. During the FEC audit, Mr. Lucero provided financial spreadsheets for his LLC showing that all, or nearly all, of UtePAC's funding was transferred to the LLC. Mr. Lucero later confirmed that there were no other funding sources for the LLC aside from UtePAC funding. These spreadsheets are attached as *Exhibit O.*



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Once UtePAC funds were transferred to the LLC, it appears that Mr. Lucero paid himself additional consulting fees and paid for expenses not authorized as a part of UtePAC budgets. For example, the LLC spreadsheets list “benefits” and “life insurance.” Mr. Lucero never documented with UtePAC or reported to the Tribe that he was using UtePAC funds for “benefits” and “life insurance.” The Tribe did not agree to provide UtePAC funding for “benefits” and “life insurance.” Mr. Lucero’s total compensation for running UtePAC was to come from his administrative fee.

The spreadsheets also list “contract labor.” Some of this many have been used to pay outside contractors, but Mr. Lucero also stated that he was paid for video and media work through his LLC in addition to his administrative fee. None of this video or media work is documented and there are no receipts for these disbursements. A full investigation of the LLC and its relationship to UtePAC is needed. In addition, the funds obtained and used by the LLC exceed Mr. Lucero’s administrative fee as the Director and Treasurer of UtePAC.

**f) Additional Evidence of Excessive Administrative Fees Taken**

During the period under audit by the FEC, the Tribe provided UtePAC with \$300,000 in funding. FEC audit spreadsheets originally listed \$200,000 in funding provided by the Tribe during this time. However, as a part of our ongoing investigation of Mr. Lucero’s management of UtePAC finances, we found another \$100,000 provided by the Tribe that was not listed on FEC auditing spreadsheets. The Tribe’s funding documents are attached as *Exhibit P*.

Under Mr. Lucero’s contract with the Tribe during the time period covered by the FEC audit, he would have been entitled to a 10 percent administrative fee, or \$30,000. However, we have found a number of specific examples where Mr. Lucero used UtePAC funds for personal expenses and then reported those disbursements as UtePAC expenses. These examples are provided throughout this complaint. For example, the personal vehicle purchase described above and plane tickets and moving expenses described below.

There are also a number of cash withdrawals and payments to Mr. Lucero that remain undocumented and unexplained. Mr. Lucero stated generally that he performed media, video, advertising and consulting work for UtePAC and was paid for that work in addition to his administrative fee. We are not aware of any separate contracts or invoices with Mr. Lucero for this media or consulting work. It appears that Mr. Lucero either paid himself for this work directly from UtePAC funds, or through UtePAC funds transferred to the LLC.

Mr. Lucero may have also used personal accounts with “Square” and “Venmo” to process UtePAC disbursements to himself. Mr. Lucero’s UtePAC bank statements show a number of payments and withdrawals to “Square” and “Venmo” accounts. The “Venmo” payments are cited in the FEC’s Preliminary Audit Findings included in *Exhibit A*.

UtePAC and the FEC have repeatedly asked Mr. Lucero for information about these “Square” and “Venmo” transactions. We have also asked Mr. Lucero for account information so



that we could access these accounts directly. Mr. Lucero claims that he no longer has access to these accounts and that he has provided all of the information he has about these accounts. Additional investigation or subpoenas are needed to determine how all of these funds were used.

#### **g) Other Specific Examples of Using of UtePAC Funds for Personal Benefit**

In response to the FEC audit, Mr. Lucero eventually provided a number of receipts for UtePAC disbursements that he made. UtePAC also worked extensively to research and find receipts on its own. When we were able to obtain receipts for Mr. Lucero's UtePAC disbursements, many of these receipts demonstrate or, at least, indicate the misappropriation of UtePAC funds for Mr. Lucero's personal benefit.

For example, on May 14, 2018, Mr. Lucero's bank statements show a \$869.79 payment to Cache Valley Autocare in Logan, Utah. During the FEC audit, UtePAC asked Mr. Lucero what this expense was and how it was related to UtePAC work. Mr. Lucero reported that he needed new winter tires to travel to meet with the Tribe. Mr. Lucero's purchase of winter tires for his personal vehicle was a personal expense, not a UtePAC expense. In Section 2.03, the contract between Mr. Lucero's LLC and the Tribe provides that mileage will be paid at the current Internal Revenue Service rate. Of course, the business mileage rate is intended to cover all costs associated with operating a vehicle for business purposes—including tires.

In another example, in the summer of 2018, Mr. Lucero moved from Utah to California. On June 6, 2018, Mr. Lucero used UtePAC funds to purchase a plane ticket in the amount of \$115.20 to fly a relative from California back to the relative's home in Arizona. Mr. Lucero explained that his relative had helped with the move to California. This receipt is attached as *Exhibit Q*. Mr. Lucero also paid ABF Transport \$2,207 in UtePAC funds to move his belongings from Utah to California. This receipt is attached as *Exhibit R*. Mr. Lucero was never authorized to use UtePAC funds for his personal moving expenses.

Finally, in a September 11, 2020 letter to the FEC, Mr. Lucero admits that he was taking additional funds from UtePAC to pay himself more than the amount agreed upon in his contract with the Tribe. Mr. Lucero's letter is attached as *Exhibit S*. On page 2 of his letter, Mr. Lucero suggests that he was doing a "variety of consulting work" for the Tribe in addition to his UtePAC work and states "As we all understood, I needed to be compensated for all this work." This is not true. There was no understanding or agreement to provide additional compensation to Mr. Lucero beyond his administrative fee. Moreover, the FEC requires documentation for any disbursement of UtePAC funds. There are no invoices or documentation explaining these disbursements.

#### **IV. Unexplained Expenses Found During Tribal Audit**

The Ute Indian Tribe also completed its own independent audit of Mr. Lucero's management of UtePAC finances. On January 4, 2020, the Tribe engaged an independent accounting firm, Wipfli LLP, to conduct an audit of Mr. Lucero's management of UtePAC finances covering the period from December 2018 to February 2020. The Tribe initiated this audit

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immediately after being informed by a whistleblower and before learning about the FEC's ongoing audit that began on October 2, 2019.

Wipfli completed its audit on May 29, 2020. The Tribe reviewed and accepted Wipfli's final report. The report is attached to this letter as *Exhibit T*.

In response to the Tribe's audit, Mr. Lucero provided far fewer records than he provided to the FEC. However, even without additional documentation, Wipfli reached many of the same conclusions as those found in the FEC Preliminary Audit Results. Wipfli's main findings are highlighted below:

- UtePAC received \$300,000 in contributions from the Ute Indian Tribe during the time period covered [by the audit].
- UtePAC paid \$47,500, based on review of bank statements, to [Mr. Lucero's LLC] ... resulting in excessive payments of \$17,500.
- There was an additional \$15,000 paid to Mr. Lucero based on the review of bank statements during the time period covered [by the audit].
- No supporting documentation/invoices were provided for any transactions of the \$317,619.98 disbursed by UtePAC during the time period covered [by the audit].
- UtePAC took out \$57,985.17 in cash withdrawals.... No supporting documentation was provided to show what the cash was used for....
- There were twenty-four checks ... totaling \$138,466.75 for which the payee could not be identified.

These audit findings mirror the FEC's Preliminary Audit Findings and UtePAC's investigation of Mr. Lucero's disbursements. Additional investigation and subpoena power is likely necessary to determine how Mr. Lucero used UtePAC funds from December 2018 to February 2020, and to what extent these UtePAC funds were misappropriated by Mr. Lucero for his personal benefit.

**V. FEC Policy Provides for Holding Former Treasurers Personally Liable**

Mr. Lucero's actions as the Director and Treasurer of UtePAC easily fall within FEC policy that provides for holding a past or present treasurer liable in their personal capacity. The FEC's "Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings" sets out three standards for which a past or present treasurer can be held liable in their personal capacity. *See* 70 Fed. Reg. 6 (Jan. 3, 2005). The FEC policy states:

where information indicates that a treasurer (past or present) of a political committee (a) knowingly and willfully violated the Act or regulations, (b)

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recklessly failed to fulfill duties specifically imposed by a provision of the Act or regulations that applies specifically to treasurers, or (c) intentionally deprived himself or herself of the operative facts giving rise to the violation, the treasurer may be subject to Commission action “in (his or her) personal capacity.”

*Id.* As the former treasurer of UtePAC, Mr. Lucero meets all three standards for being held personally liable. The information in this complaint more than indicates that Mr. Lucero knowingly and willfully violated the Act, recklessly failed to fulfill his duties, and deprived himself of the operative facts giving rise to multiple violations.

**a) Mr. Lucero Knowingly and Willfully Violated the Act and its Regulations**

As detailed throughout this complaint, Mr. Lucero knowingly and willfully violated provisions of the Act and its regulations. For example, the Act requires that treasurers keep an accounting of all disbursements. The Act specifically requires treasurers to record:

the name and address of every person to whom any disbursement is made, the date, amount, and purpose of the disbursement, and the name of the candidate and the office sought by the candidate, if any, for whom the disbursement was made, including a receipt, invoice, or canceled check for each disbursement in excess of \$200.

*See* 52 U.S.C. § 30102(c)(5).

Mr. Lucero did not provide this information as a part of the reports he filed with the FEC and, since UtePAC has been under audit, Mr. Lucero has continued to not provide information about his disbursements. Mr. Lucero failed to keep an accounting of many disbursements, refuses to provide information, and has provided so much false information such that correcting his records is nearly impossible.

UtePAC has been under audit by the FEC for more than a year. Over this entire time, Mr. Lucero has not provided all of the required information and has avoided providing it. From the beginning of the FEC’s audit on October 2, 2019 until the Tribe terminated Mr. Lucero’s employment as UtePAC Director and Treasurer on February 26, 2020, Mr. Lucero only sought to delay or avoid the FEC’s audit. Alarming, Mr. Lucero never told the Tribe that the FEC was auditing UtePAC.

The five disbursements related to the Outdoor Retailer Association’s 2017 Market Place, described above, and Mr. Lucero’s incomplete and misleading reports, even while under audit, also demonstrate Mr. Lucero’s knowing and willful violations of the Act. The multiple explanations for these disbursements and his ongoing refusal to provide documentation until forced to provide it demonstrate his knowing and willful violations of the Act. These disbursements show that Mr. Lucero was misappropriating UtePAC funds for his personal benefit.

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Mr. Lucero also knowingly and willfully violated the Act's record keeping requirements. The Act requires treasurers to retain records for at least three years. The Act states that the "treasurer shall preserve all records required to be kept by this section and copies of all reports required to be filed by this subchapter for three years after the report is filed. *See* 52 U.S. Code § 30102(d). Mr. Lucero did not keep records as required by the Act.

The FEC's audit of Mr. Lucero's management of UtePAC funds covers January 2017 to December 2018. The FEC notified Mr. Lucero of the audit and began requesting records and information from Mr. Lucero on October 2, 2019. At that time, and to comply with the Act's three-year requirement for retaining records, Mr. Lucero should have had records from October 2019 going back to October 2016. This would have included the entire audit period. In addition, once being put on notice of the audit, Mr. Lucero should have retained records covering the audit period to be responsive to the audit.

UtePAC learned of the FEC's audit only after terminating Mr. Lucero and after gaining control over UtePAC accounts and information. Since learning of the FEC's audit, from about April 1, 2020 to the present, we have repeatedly asked Mr. Lucero to provide information on receipts and disbursements during his employment as the Director and Treasurer of UtePAC. We have asked Mr. Lucero to explain specific disbursements, provided Mr. Lucero with FEC spreadsheets of unexplained disbursements, and asked for accounting information so that we could gather this information ourselves. With a few exceptions, Mr. Lucero could not provide this information or claims that he already provided it.

Instead of providing the requested records, Mr. Lucero claimed over the past year that he does not have many of the requested records. At the same time, and often after repeatedly stating that he does not have specific records, Mr. Lucero would later sporadically produce some individual records. Mr. Lucero's sporadic production of records seems to indicate his further attempts to delay or frustrate the FEC's audit and hide his personal use of UtePAC funds.

As the Director and Treasurer of UtePAC, Mr. Lucero knowingly and willfully violated provisions of the Act requiring that treasurers keep an accounting of all disbursements. He violated the Act in both his original reports to the FEC and throughout the audit process. When confronted with contrary information, Mr. Lucero continued to try to avoid accountability. Only after numerous attempts by UtePAC and the FEC to obtain accurate information did he finally provide some information, which information shows that Mr. Lucero used UtePAC funds for personal purposes.

**b) Mr. Lucero Recklessly Failed to Fulfill Duties Specifically Imposed on Treasurers**

The FEC's "Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings" also states that a past treasurer can be named in their personal capacity when they recklessly fail to fulfill the specific duties of a PAC treasurer. As described in this complaint, Mr. Lucero recklessly failed to fulfill his duties.

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Based on his responses to the FEC's audit, as well as the Tribe's independent audit of UtePAC, it is apparent that Mr. Lucero did not maintain any system for keeping UtePAC records. Records received from Mr. Lucero during the FEC audit are sporadic, unorganized, and in many cases do not match the information reported to the FEC. In addition, there are records related to "Square" and "Venmo" electronic accounts that Mr. Lucero claims he can no longer access because he let the accounts expire. Similarly, during the Tribe's independent audit, Mr. Lucero only provided bank statements and no other records.

In addition to Mr. Lucero's reckless disregard for the FEC's reporting requirements, some of the records he produced indicate his personal use of UtePAC funds. Nothing could be more reckless than taking limited tribal governmental funding for personal use. Mr. Lucero personally and contractually accepted the responsibilities of serving as the Director and Treasurer of UtePAC. He represented to the Tribe that he had the experience to fulfill these obligations. Instead, he failed to maintain basic records, filed inaccurate reports with the FEC, misappropriated UtePAC funds for personal use, and provided incomplete and misleading information that made it more difficult for UtePAC to respond to the FEC's audit.

Mr. Lucero also recklessly failed to use funding provided by the Tribe to manage UtePAC administration and finances. During his four years as the Director and Treasurer of UtePAC, the Tribe approved budgets and provided Mr. Lucero with funding for administrative staff and professional legal and accounting services. With few exceptions, it appears that Mr. Lucero did not utilize this funding for its intended purpose. Mr. Lucero should be held accountable under the Act for his reckless management of UtePAC records and of the funding provided to manage those records.

**c) Mr. Lucero Intentionally Deprived Himself of the Operative Facts Giving Rise to the Violation**

The FEC's "Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings" also states that a former treasurer can be named in their personal capacity when they intentionally deprive themselves of the operative facts giving rise to a violation. As described above, Mr. Lucero sought to avoid basic facts and has also tried to obscure facts to avoid being held accountable.

Mr. Lucero's attempt to avoid accountability for the \$35,000 disbursement discussed above provides many examples of his efforts to intentionally deprive himself of the facts giving rise to a violation of the Act and its regulations. Mr. Lucero knew or should have known that paying himself an administrative fee and purchasing a car were not accurately reported to the FEC as "Outdoor Retailer Advertising." He only revised his statement when he became aware that UtePAC was talking to others about his disbursements at this event.

He also knew or should have known that the Tribe had not approved a UtePAC budget that included the purchase of a car. To the contrary, on at least two occasions, Mr. Lucero put into writing that he would be seeking mileage reimbursements for business travel in a personal vehicle. He first wrote this in his proposed budget for UtePAC activities in 2017. Mr. Lucero states that



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he will be reimbursed for business mileage for personal vehicle use. *See Exhibit P at 3*. This budget was used to justify and support \$100,000 in funding for UtePAC that was approved on February 23, 2017. Mr. Lucero never stated that he planned to use this funding to purchase a car instead.

Second, four days later, on March 3, 2017, Mr. Lucero and his LLC presented the Tribe with a contract that provided for business mileage reimbursement. Mr. Lucero included in his contract that he would be reimbursed for business use of a personal vehicle using the standard mileage rate. *See Exhibit L at Article II, Section 2.03*. This contract was drafted by Mr. Lucero and signed just 12 days before he purchased the car.

Mr. Lucero also claimed that he was misinformed by an FEC analyst regarding reporting requirements for UtePAC's non-contribution account. Mr. Lucero claims that he received advice from an FEC analyst that he was not required to report receipts and disbursements related to UtePAC's non-contribution account. Mr. Lucero's claims are not persuasive.

First, FEC analysts are trained not to provide interpretive legal advice, and at least one court has found that committee treasurers cannot blame FEC analysts for their actions. *See, Fed. Election Comm'n v. O'Donnell*, 2017 WL 1404387 at 4 (D. Del. April 19, 2017).

Second, the FEC's October 5, 2011 "Statement on *Carey v. FEC*: Reporting Guidance for Political Committees that Maintain a Non-Contribution Account" clearly states that reporting is required for non-contribution accounts. The Statement provides, "Until such time as the Commission adopts a new regulation, nonconnected political committees that wish to establish a separate Non-Contribution Account consistent with the stipulated judgment in *Carey* should: ... Report all receipts and disbursements for both accounts pursuant to the Act and Commission regulations."

This FEC guidance regarding non-contribution accounts is written in plain language and is easily accessible on the FEC's website. Even if Mr. Lucero was confused by conversations with an FEC analyst, the information he needed to resolve this issue is readily available on the FEC's website. Mr. Lucero was also provided funding by the Tribe to hire staff and professionals that could have assisted in his understanding of FEC reporting requirements. And, of course, Mr. Lucero represented to the Tribe that he had experience managing political action committees.

Mr. Lucero easily meets all three of the FEC standards for pursuing a complaint against a former treasurer in their personal capacity. As set out in the FEC's "Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings," Mr. Lucero 1) knowingly and willfully violated the Act, 2) recklessly failed to fulfill the duties of a treasurer, and 3) intentionally deprived himself of the operative facts giving rise to the violations.

**d) Holding a Former Treasurer Personally Liable**

When a former treasurer of a PAC meets any of the criteria in the FEC's policy for holding treasurers personally liable, the FEC has determined that it should pursue that former treasurer as



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opposed to a current treasurer. As the FEC notes in its “Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings,” treasurers who commit the kind of knowing, willful, intentional, and reckless acts contained in this complaint are often no longer employed with the committee in question. *See* 70 Fed. Reg. 6 (Jan. 3, 2005). This is true in this case.

As soon as the Tribe began to suspect Mr. Lucero’s mismanagement of UtePAC finances, the Tribe initiated an audit, and when Mr. Lucero became unresponsive, terminated Mr. Lucero’s employment as the Director and Treasurer of UtePAC. The Tribe then directed its new treasurer and other UtePAC representatives to work with the FEC to resolve Mr. Lucero’s violations. At that time, UtePAC learned of Mr. Lucero’s violations of the Act and extensive misappropriation of UtePAC funds for personal use.

In these kinds of cases, the FEC has determined that former treasurers can and should be held personally liable for their actions instead of the committee or its current treasurer. The FEC stated in its policy:

When a predecessor treasurer may be personally liable, the Commission could pursue the predecessor treasurer individually, and not substitute the successor treasurer for the predecessor treasurer individually. [*See Kentucky v. Graham*, 473 U.S. 159, 167–68 (1985)]. There would be no legal basis for imputing personal liability from a predecessor treasurer’s misconduct to a successor treasurer who did not personally engage in the misconduct.

*See Id.*

Again, this is true in this case. There is no legal basis to hold UtePAC or its current treasurer liable for Mr. Lucero’s past violations of the Act, particularly when UtePAC representatives and its current treasurer have worked extensively with the FEC audit and reporting staff to correct and resolve Mr. Lucero’s violations of the Act and to investigate Mr. Lucero’s fraudulent actions before the FEC.

Instead of pursuing UtePAC’s current treasurer or UtePAC itself, the FEC has a legal basis to pursue Mr. Lucero for his knowing, willful, intentional, and reckless violations of the Act. Mr. Lucero meets all of the criteria of the FEC’s policy for holding past or present treasurers personally liable and he should be held responsible for his violations of the Act. The FEC should also use its authority and discretion to seek restitution funds that Mr. Lucero misappropriated from UtePAC.

As shown in this complaint and the FEC’s audit, Mr. Lucero made false and misleading filings with the FEC to commit fraud against UtePAC and the Tribe. It took more than a year to investigate and uncover all of the ways that Mr. Lucero used filings under the Act to misappropriate UtePAC funds and to evade responsibility. Even worse, it appears that we have only scratched the surface. The FEC should not allow Mr. Lucero to benefit from his violations of the Act. The FEC’s public interest standards require that the FEC take action to prevent the Act from being used to defraud the Tribe or other contributors to UtePAC.

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There are a range of actions the FEC can pursue against a past treasurer in his personal capacity. The FEC can pursue basic violations of the Act. For example, the FEC can hold a former treasurer responsible and liable for comingling funds in violation of 52 U.S.C. § 30102 (b)(3), failing to comply with recordkeeping requirements of 52 U.S.C. § 30102 (c), failing to preserve records under 52 U.S.C. § 30102 (d) and any other applicable violations of the Act and its regulations. All of these violations also show Mr. Lucero's failure to use "best efforts" in working under the Act as also required by 52 U.S.C. § 30102 (i).

In particular, Mr. Lucero's comingling of funds in violation of 52 U.S.C. § 30102 (b)(3) also goes to his misappropriation of UtePAC funds for personal use. As described in this complaint, Mr. Lucero used a variety of comingling tactics to hide his personal use of UtePAC funds. For example, Mr. Lucero transferred most of UtePAC funding to his LLC. Once the funds were with the LLC, it became more difficult to determine what funds were being used by Mr. Lucero and for what purpose. Mr. Lucero also took out excessive and mostly unexplained cash withdrawals in his name and in the name of his LLC. This cash was likely comingled with personal funds and became impossible to track. Mr. Lucero also approved payments to himself for media and consulting work, comingling both the work of UtePAC and its finances with Mr. Lucero's personal finances.

In addition, the FEC is provided broad enforcement authority to seek a remedy. Under 52 U.S.C. § 30109 (a)(6)(A), in addition to other civil actions, the FEC may seek "any other appropriate order" to correct any violation of the Act. This authority has been upheld by the courts and provides the FEC with the discretion to take necessary actions to resolve matters before it, including the misappropriation of funds by a former treasurer.

In a series of cases involving personal use of committee funds, courts have held that the FEC can use the authority provided in 52 U.S.C. § 30109 (a)(6)(A) to seek remedies for the personal use of committee funds. *See, e.g., FEC v. Craig for U.S. Senate*, 70 F. Supp.3d 82, 97 (D. D.C. 2014). While many of these cases involve candidate committees, the FEC's actions and the Courts' decisions are based on general principles of "unjust enrichment" and depriving a "wrongdoer of ill-gotten gain." The latter principle is so broad and applies across the board, that it comes from a Securities and Exchange Commission case, not an FEC case, involving fraudulent actions that resulted in personal gain. *SEC v. Bilzerian*, 29 F.3d 689, 697 (D.C.Cir.1994). These broad principles apply in many contexts and to the actions taken in this case. Under this broad authority, the FEC can act to address Mr. Lucero's unjust enrichment and wrongdoing under the Act and at the expense of UtePAC, contributors to UtePAC and the Tribe.

The FEC also has the authority to investigate and refer Mr. Lucero's misappropriation of UtePAC and the Ute Indian Tribe's funding to other law enforcement authorities. Under 52 U.S.C. § 30107 (a)(9), Congress provided the FEC with authority "to conduct investigations and hearings expeditiously, to encourage voluntary compliance, and to report apparent violations to the appropriate law enforcement authorities."

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The FEC can also refer this case to the Department of Justice for prosecution under 18 U.S.C. § 1163, which provides that it is a federal criminal offense to embezzle, steal, knowingly convert for the use of another, willfully misapply, or willfully permit to be misapplied “any of the moneys, funds, credits, goods, assets, or other property belonging to an Indian tribe.” Investigation and referral under this statute would fulfill the FEC’s authorities under the Act and also fulfill the United States’, the FEC’s and the Department of Justice’s trust responsibility to the Tribe.

This case is also similar to the investigative and prosecution authority that the FEC and Department of Justice (DOJ) used in a recent case involving Scott B. Mackenzie who served as a Treasurer for multiple committees including Conservative StrikeForce, Conservative Majority Fund, Tea Party Majority Fund and Conservative Majority SuperFund. *Press Release: Treasurer of Multiple Political Action Committees Sentenced for Filing False Reports with the FEC*, U.S. Dept. of Justice (February 21, 2020). In that case, “Mackenzie was responsible for complying with campaign finance laws and regulations and filing accurate disclosure reports with the FEC that detailed the PACs’ true income and expenditures.” However, the FEC and Department of Justice found that Mackenzie filed false reports with the FEC to cover up his personal use of committee funds. See also *U.S. v. Mackenzie*, Plea Agreement filed in the U.S. District Court for the Eastern District of Virginia, Case No. 1:19-cr-00309 (Oct. 15, 2019).

Mackenzie’s scheme was similar to Mr. Lucero’s excessive cash withdrawals, payments for work in cash, and transferring UtePAC funds to his LLC, which then paid for a variety of work, including work done by Mr. Lucero. Mackenzie “paid” for PAC work by depositing funds into another person’s bank account, but the FEC and DOJ found that Mackenzie also had access to that bank account. Mackenzie also transferred funds between the committees he operated for unauthorized purposes. Again, which is similar to Mr. Lucero’s transfer of \$14,000 from UtePAC to Mr. Lucero’s New Deal PAC. As a part of these schemes, the FEC and DOJ found that “Mackenzie made false statements to the FEC to conceal the unlawful use of funds.”

In accordance with the FEC’s broad authority to resolve violations of the Act, remedy general wrongdoing, and investigate and refer criminal activity, there are a variety of actions the FEC can pursue to hold Mr. Lucero personally liable for his actions as the former Director and Treasurer of UtePAC. UtePAC and its current treasurer are not the liable parties in this case. To the contrary, UtePAC and its successor treasurer have been working to help FEC investigate and uncover Mr. Lucero’s knowing, willful, intentional, and reckless violations of the Act.

## **VI. Conclusion**

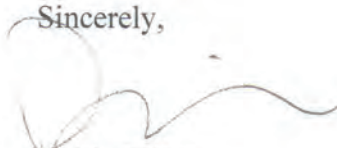
UtePAC appreciates the FEC’s consideration of this complaint against its former Director and Treasurer. The Ute Indian Tribe hired Mr. Lucero for his experience in managing political action committees and relied on Mr. Lucero to properly report and account for disbursements with the FEC. The Tribe shares the FEC’s concerns with Mr. Lucero’s management of UtePAC finances and also initiated its own independent audit. As UtePAC and the FEC audit staff have found, Mr. Lucero recklessly managed UtePAC funds and also misappropriated funds for his personal benefit.

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The Tribe is now working with its General Counsel, through UtePAC, to correct Mr. Lucero's numerous reporting errors with the FEC, to the extent possible. Mr. Lucero should be held personally liable for his failure to comply with the Act and its regulations, and his misappropriation of UtePAC funds for his personal benefit.

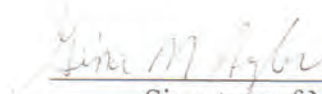
Sincerely,



Rollie Wilson  
Designated Agent and Counsel for UtePAC  
601 Pennsylvania Ave., NW, South Bldg, Suite 900  
Washington, D.C. 20004  
rwilson@nativelawgroup.com  
202-340-8232

Subscribed and sworn before me this 14<sup>th</sup> of May, 2021



  
\_\_\_\_\_  
Signature of Notary

# EXHIBIT A

UtePAC  
Attachment A - Consolidated Committee Bank Reconciliation- 2018

MUR790500022

UtePAC 2018 - Consolidated Bank Reconciliation					
Reference	1 Bank Account	2 Beginning Cash	3 Receipts	4 Disbursements	5 Ending Cash
	Wells Fargo Financial Account #5263 Wells Fargo Financial Account #1042	1,983.85 4.28	9,851.72 202,814.43	10,726.95 192,096.34	1,108.62 10,722.37
As calculated	<b>Correct Reportable per Bank</b>	<b>1,988.13</b>	<b>212,666.15</b>	<b>202,823.29</b>	<b>11,830.99</b>
	<b>Reported Activity</b>	17,409.93	3,334.00	871.21	3,515.92
	<b>Differences</b>	(15,421.80)	209,332.15	201,952.08	8,315.07
	<b>Differences Explained:</b>				
Tab 4	Prior Period Adjustment	935.00			935.00
Tab 4	Adjustment for Over-Reported Beginning Cash	(16,356.80)			(16,356.80)
Tab 4	Adjustment for Under-Reported Ending Cash				16,356.80
Tab 2	Contributions Not Reported		209,027.57		209,027.57
Tab 2	Receipts Reported Not Supported by Bank Deposits		(15.00)		(15.00)
Tab 3	Disbursements Not Reported			198,614.23	(198,614.23)
	Reported Totals from Above	17,409.93	3,334.00	871.21	3,515.92
	Adjusted Report Totals	1,988.13	212,346.57	199,485.44	14,849.26
	Correct Reportable per Bank	1,988.13	212,666.15	202,823.29	11,830.99
	Unexplained Differences	0.00	319.58	3,337.85	(3,018.27)



UtePAC	ADJUSTMENTS				RECEIPT
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*Receipts Not Reported*

1	2	3	4	5
Name Per Committee Database	Date Per Committee Database	Amount Not Reported on Schedule A	Amount Per Bank Statement	Date Per Bank Statement
Bankcard Deposit	01/02/18	403.21	403.21	01/02/18
Square Inc	01/05/18	4.86	4.86	01/05/18
Square Inc	01/05/18	0.01	0.01	01/05/18
Dep in Branch	01/08/18	50.00	50.00	01/08/18
eDeposit in Branch	01/11/18	50.00	50.00	01/11/18
Square Inc	01/08/18	339.84	339.84	01/08/18
WT from #1042	01/12/18	100,000.00	100,000.00	01/12/18
Square Inc	01/19/18	320.02	320.02	01/19/18
Square Inc	01/29/18	140.14	140.14	01/29/18
Square Inc	01/29/18	159.56	159.56	01/29/18
Bankcard Deposit	04/03/18	50.00	50.00	04/03/18
eDeposit in Branch	04/06/18	1,800.00	1,800.00	04/06/18
Bankcard Deposit	04/06/18	20.00	20.00	04/06/18
Square Inc	04/09/18	0.01	0.01	04/09/18
Square Inc	04/09/18	136.13	136.13	04/09/18
Bankcard Deposit	04/10/18	25.00	25.00	04/10/18
Bankcard Deposit	04/12/18	50.00	50.00	04/12/18
Bankcard Deposit	04/24/18	35.00	35.00	04/24/18
Bankcard Deposit	04/27/18	100.00	100.00	04/27/18
Square Inc	04/30/18	379.23	379.23	04/30/18
Dep in Branch	04/30/18	210.00	210.00	04/30/18
Bankcard Deposit	05/02/18	100.00	100.00	05/02/18
Bankcard Deposit	05/03/18	150.00	150.00	05/03/18
Bankcard Deposit	05/14/18	50.00	50.00	05/14/18
Square Inc	05/30/18	294.69	294.69	05/30/18
Bankcard Deposit	05/30/18	50.00	50.00	05/30/18
Mobile Deposit	06/04/18	3,000.00	3,000.00	06/04/18
Bankcard Deposit	06/22/18	100.00	100.00	06/22/18
Square Inc	08/08/18	43.49	43.49	08/08/18
The Ute Indian Tribe	08/29/18	25,000.00	25,000.00	08/29/18
Returned purchase Advantage Car	09/28/18	92.44	92.44	09/28/18
The Ute Indian Tribe	10/02/18	75,000.00	75,000.00	10/02/18
Kelsey Boyd	11/23/18	25.00	25.00	11/23/18
Thea Kohout	11/23/18	100.00	100.00	11/23/18
Bankcard Deposit	11/23/18	125.00	125.00	11/23/18

1	2	3	4	5
Name Per Committee Database	Date Per Committee Database	Amount Not Reported on Schedule A	Amount Per Bank Statement	Date Per Bank Statement
Bankcard Deposit	11/26/18	40.00	40.00	11/26/18
Bankcard Deposit	11/26/18	25.00	25.00	11/26/18
Bankcard Deposit	12/03/18	42.00	42.00	12/03/18
Bankcard Deposit	12/05/18	20.00	20.00	12/05/18
Returned purchase Expedia	12/10/18	45.98	45.98	12/10/18
Bankcard Deposit	12/14/18	100.00	100.00	12/14/18
Ron Taylor	12/17/18	10.00	10.00	12/17/18
Joshua Ewing	12/17/18	100.00	100.00	12/17/18
Bankcard Deposit	12/17/18	20.00	20.00	12/17/18
eDeposit in Branch	12/19/18	100.00	100.00	12/19/18
Stripe Transfer x	12/24/18	24.16	24.16	12/24/18
Stripe Transfer x	12/28/18	96.80	96.80	12/28/18
Total Amount NOT Reported		\$209,027.57		

Receipts Over-Reported

1	2	3	4	5	6
Name Per FEC Reports	Date Per FEC Reports	Amount Reported on Schedule A	Date Per Bank Statement	Amount Per Bank Statement	Amount Over- Reported
Austin Pezzella	02/27/18	15.00	N/A	0.00	15.00
Total Amount OVER-Reported		\$15.00			

UtePAC	ADJUSTMENTS		DISBURSEMENT	
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*Disbursements Not Reported*

1	2	3	4	5
Name Per Bank Statement	Date Per Committee Database	Amount Not Reported on Schedule B	Amount Per Bank Statement	Date Per Bank Statement
Withdrawal at Branch Square Inc	01/02/18	2,200.00	2,200.00	01/02/18
Withdrawal at Branch Monthly Svc fee	01/05/18	0.01	0.01	01/05/18
Withdrawal at Branch WT Svc charge	01/08/18	300.00	300.00	01/08/18
Withdrawal at Branch	01/08/18	10.00	10.00	01/08/18
Withdrawal at Branch	01/08/18	150.00	150.00	01/08/18
Withdrawal at Branch	01/12/18	15.00	15.00	01/12/18
Deposited or Cashed check	01/12/18	10,000.00	10,000.00	01/12/18
Deposited or Cashed check	01/17/18	4,000.00	4,000.00	01/17/18
Deposited or Cashed check	01/17/18	2,000.00	2,000.00	01/17/18
Comcast Salt lake	01/18/18	365.43	365.43	01/18/18
Check	01/19/18	400.00	400.00	01/19/18
Square One Pri Logan	01/22/18	614.39	614.39	01/22/18
Square One Pri Logan	01/22/18	46.64	46.64	01/22/18
5 S Maint St, Heber City UT	01/22/18	200.00	200.00	01/22/18
Staples	01/25/18	40.96	40.96	01/25/18
Square One Pri Logan	01/26/18	423.31	423.31	01/26/18
Deposited or Cashed check	02/01/18	6,500.00	6,500.00	02/01/18
Bankcard Deposit	02/01/18	126.32	126.32	02/01/18
Deposited or Cashed check	02/08/18	3.00	3.00	02/08/18
Deposited or Cashed check	02/14/18	2,000.00	2,000.00	02/14/18
Withdrawal at Branch	02/23/18	1,000.00	1,000.00	02/23/18
Check	02/26/18	6,000.00	6,000.00	02/26/18
Check	03/01/18	89.87	89.87	03/01/18
Third Eye Medi	03/06/18	263.20	263.20	03/06/18
Square One Pri Logan	03/07/18	159.90	159.90	03/07/18
Online Dep Detail & Images-Bog	03/08/18	3.00	3.00	03/08/18
Deposited or Cashed check	03/09/18	503.58	503.58	03/09/18
Best Buy	03/09/18	2,000.00	2,000.00	03/09/18
Deposited or Cashed check	03/12/18	1,577.65	1,577.65	03/12/18
Deposited or Cashed check	03/15/18	462.16	462.16	03/15/18
Deposited or Cashed check	03/15/18	2,000.00	2,000.00	03/15/18
Deposited or Cashed check	03/22/18	3,500.00	3,500.00	03/22/18
Deposited or Cashed check	03/30/18	4,000.00	4,000.00	03/30/18
Bolt Printing	04/02/18	1,252.31	1,252.31	04/02/18
Bankcard Deposit	04/02/18	100.23	100.23	04/02/18
Square One Pri Logan	04/06/18	173.28	173.28	04/06/18

1		2		3		4		5	
Name Per Bank Statement		Date Per Committee Database		Amount Not Reported on Schedule B		Amount Per Bank Statement		Date Per Bank Statement	
Check		04/06/18		2,000.00		2,000.00		04/06/18	
Square One Pri Logan		04/09/18		200.14		200.14		04/09/18	
Bank Originated debt		04/09/18		1,800.00		1,800.00		04/09/18	
Square Inc		04/09/18		0.01		0.01		04/09/18	
Deposited or Cashed check		04/10/18		5,000.00		5,000.00		04/10/18	
Deposited or Cashed check		04/16/18		200.47		200.47		04/16/18	
Southwest		04/23/18		165.96		165.96		04/23/18	
Southwest		04/23/18		165.96		165.96		04/23/18	
Southwest		04/23/18		165.96		165.96		04/23/18	
Deposited or Cashed check		04/23/18		8,000.00		8,000.00		04/23/18	
Check		04/30/18		500.00		500.00		04/30/18	
Bankcard Deposit		05/01/18		103.23		103.23		05/01/18	
Deposited or Cashed check		05/03/18		4,000.00		4,000.00		05/03/18	
Check		05/04/18		39.40		39.40		05/04/18	
Check		05/04/18		238.21		238.21		05/04/18	
Third Eye Medi		05/07/18		850.00		850.00		05/07/18	
Als Sporting goods		05/09/18		149.23		149.23		05/09/18	
Check		05/09/18		4,000.00		4,000.00		05/09/18	
Square One Pri Logan		05/10/18		191.88		191.88		05/10/18	
Ute Plaza Super Jensen		05/11/18		8.89		8.89		05/11/18	
Check		05/11/18		338.24		338.24		05/11/18	
Cache Valley Autoc		05/14/18		869.78		869.78		05/14/18	
Kalpeeh Kah-Ahn CO		05/14/18		30.74		30.74		05/14/18	
Withdrawal at Branch		05/17/18		2,000.00		2,000.00		05/17/18	
USPS		05/21/18		48.20		48.20		05/21/18	
Ute Crossing Grill Fort Duchesne		05/23/18		15.02		15.02		05/23/18	
Check		05/23/18		1,000.00		1,000.00		05/23/18	
Check		05/23/18		176.30		176.30		05/23/18	
Tst Lucky Slice		05/23/18		7.51		7.51		05/23/18	
Bankcard Deposit		06/01/18		98.60		98.60		06/01/18	
Check		06/04/18		3,000.00		3,000.00		06/04/18	
Einstein Bros Bagel		06/05/18		3.07		3.07		06/05/18	
Freebirds Salt Lake		06/05/18		10.78		10.78		06/05/18	
Check		06/05/18		228.53		228.53		06/05/18	
Best Buy		06/06/18		191.86		191.86		06/06/18	
Feldmans Deli Salt lake		06/07/18		45.76		45.76		06/07/18	
Withdrawal at Branch		06/07/18		3,000.00		3,000.00		06/07/18	
Delta Air		06/08/18		204.20		204.20		06/08/18	
Delta Air		06/08/18		19.99		19.99		06/08/18	
Delta Air		06/08/18		115.20		115.20		06/08/18	
Withdrawal at Branch		06/13/18		3,000.00		3,000.00		06/13/18	
Withdrawal at Branch		06/20/18		500.00		500.00		06/20/18	
Check		06/20/18		3,000.00		3,000.00		06/20/18	
501 Main Park City UT		06/21/18		67.02		67.02		06/21/18	
The Other Place		06/22/18		24.38		24.38		06/22/18	
Check		06/27/18		2,500.00		2,500.00		06/27/18	

1	2	3	4	5
Name Per Bank Statement	Date Per Committee Database	Amount Not Reported on Schedule B	Amount Per Bank Statement	Date Per Bank Statement
Withdrawal at Branch	07/03/18	3,200.00	3,200.00	07/03/18
Abf Transportation	07/05/18	2,207.00	2,207.00	07/05/18
eWithdrawal at Branch	07/09/18	2,000.00	2,000.00	07/09/18
My Taco	07/10/18	32.02	32.02	07/10/18
Sq Café @ New Sch Culver City	07/10/18	37.40	37.40	07/10/18
Withdrawal at Branch	07/13/18	1,000.00	1,000.00	07/13/18
Southwest	07/19/18	171.96	171.96	07/19/18
Withdrawal at Branch	08/02/18	1,200.00	1,200.00	08/02/18
Bankcard Deposit	08/02/18	170.00	170.00	08/02/18
Monthly Svc fee	08/07/18	10.00	10.00	08/07/18
Bankcard Deposit	08/10/18	25.00	25.00	08/10/18
Withdrawal at Branch	08/10/18	1,200.00	1,200.00	08/10/18
Square One Pri Logan	08/14/18	110.94	110.94	08/14/18
Withdrawal at Branch	08/22/18	290.00	290.00	08/22/18
WT Svc charge	08/29/18	15.00	15.00	08/29/18
Withdrawal at Branch	08/29/18	10,000.00	10,000.00	08/29/18
Burbank Airport	08/30/18	23.83	23.83	08/30/18
Commercial Printing LA	08/31/18	232.00	232.00	08/31/18
Ink Spot Roosevelt	08/31/18	186.55	186.55	08/31/18
Whiskey street Taylorsville	08/31/18	50.75	50.75	08/31/18
Little America Hot	09/04/18	195.70	195.70	09/04/18
Withdrawal at Branch	09/04/18	4,500.00	4,500.00	09/04/18
Withdrawal at Branch	09/04/18	2,000.00	2,000.00	09/04/18
ATM Withdrawal	09/06/18	1,000.00	1,000.00	09/06/18
Withdrawal at Branch	09/10/18	3,700.00	3,700.00	09/10/18
Monthly Svc fee	09/10/18	10.00	10.00	09/10/18
Southwest	09/11/18	295.78	295.78	09/11/18
Southwest	09/11/18	372.10	372.10	09/11/18
Southwest	09/13/18	243.60	243.60	09/13/18
Adams & Broadway LA	09/17/18	1,000.00	1,000.00	09/17/18
Hotel Monte Vista	09/20/18	127.59	127.59	09/20/18
Travelodge Kanab	09/24/18	90.67	90.67	09/24/18
Travel Insurance	09/26/18	9.00	9.00	09/26/18
Advantage Car Albuquerque	09/26/18	635.71	635.71	09/26/18
Plaza Café Southside Santa Fe	09/27/18	45.57	45.57	09/27/18
Trader Joes	10/01/18	122.26	122.26	10/01/18
The Last Bookstore LA	10/01/18	91.97	91.97	10/01/18
Bankcard Deposit	10/01/18	99.04	99.04	10/01/18
WT Svc charge	10/02/18	15.00	15.00	10/02/18
Trader Joes	10/02/18	46.04	46.04	10/02/18
Overdraft Fee Transaction 10/1/18 for \$99.04	10/02/18	35.00	35.00	10/02/18
Bridgestreet Global Reston Va	10/04/18	719.06	719.06	10/04/18
Monthly Svc fee	10/05/18	10.00	10.00	10/05/18
Courtyard Pittsburgh PA	10/09/18	169.86	169.86	10/09/18
The Land Trust All	10/09/18	80.00	80.00	10/09/18
The Land Trust All	10/09/18	575.00	575.00	10/09/18
The Land Trust All	10/09/18	2,500.00	2,500.00	10/09/18

1		2	3	4	5
Name Per Bank Statement		Date Per Committee Database	Amount Not Reported on Schedule B	Amount Per Bank Statement	Date Per Bank Statement
WT Svc charge WT to #5263 Venmo Visa Direct NY Venmo Visa Direct NY Venmo Visa Direct NY Venmo Visa Direct NY Cook Martin Poulson Best Buy Best Buy National Congress of A Creative Thread ATM Withdrawal Venmo Visa Direct NY Fedex Fedex Fedex Days Inn Denver CO Venmo Visa Direct NY Deborah Lujan Denver Co Ncai Denver CO Bronwn Palace F&Bre Denver CO Venmo Visa Direct NY Bankcard Deposit Monthly Svc fee American Air Fort Worth Expedia United Expedia United United Expedia United Venmo Visa Direct NY Venmo Visa Direct NY Bankcard Deposit Best Buy Premium 244 Washington DC Wok N Roll Washington Dc Wok N Roll Washington Dc Fedex Fedex The Smith DC Dirksen North Mi Vida Grand Cab Washington DC Uvc Washington DC		10/10/18	30.00	30.00	10/10/18
		10/10/18	35,000.00	35,000.00	10/10/18
		10/12/18	1,000.00	1,000.00	10/12/18
		10/12/18	200.00	200.00	10/12/18
		10/15/18	400.00	400.00	10/15/18
		10/15/18	287.30	287.30	10/15/18
		10/16/18	120.27	120.27	10/16/18
		10/18/18	527.13	527.13	10/18/18
		10/19/18	2,435.69	2,435.69	10/19/18
		10/19/18	750.00	750.00	10/19/18
		10/22/18	718.00	718.00	10/22/18
		10/22/18	300.00	300.00	10/22/18
		10/22/18	91.96	91.96	10/22/18
		10/23/18	2.40	2.40	10/23/18
		10/23/18	40.00	40.00	10/23/18
		10/23/18	5.40	5.40	10/23/18
		10/24/18	129.40	129.40	10/24/18
		10/24/18	16.16	16.16	10/24/18
		10/24/18	483.53	483.53	10/24/18
		10/25/18	82.40	82.40	10/25/18
		10/26/18	105.00	105.00	10/26/18
		10/29/18	63.84	63.84	10/29/18
		10/29/18	53.00	53.00	10/29/18
		11/01/18	102.44	102.44	11/01/18
		11/07/18	10.00	10.00	11/07/18
		11/13/18	410.40	410.40	11/13/18
		11/13/18	818.44	818.44	11/13/18
		11/13/18	379.59	379.59	11/13/18
		11/13/18	74.00	74.00	11/13/18
		11/13/18	379.59	379.59	11/13/18
		11/13/18	379.59	379.59	11/13/18
		11/13/18	885.18	885.18	11/13/18
		11/21/18	595.60	595.60	11/21/18
		11/28/18	300.00	300.00	11/28/18
		11/28/18	300.00	300.00	11/28/18
		12/03/18	103.73	103.73	12/03/18
		12/04/18	21.89	21.89	12/04/18
		12/05/18	17.30	17.30	12/05/18
		12/06/18	47.95	47.95	12/06/18
		12/06/18	126.81	126.81	12/06/18
		12/06/18	343.90	343.90	12/06/18
		12/06/18	1,047.26	1,047.26	12/06/18
		12/07/18	99.20	99.20	12/07/18
		12/07/18	7.25	7.25	12/07/18
		12/07/18	333.90	333.90	12/07/18
		12/07/18	9.12	9.12	12/07/18
		12/07/18	13.90	13.90	12/07/18



1	2	3	4	5
Name Per Bank Statement	Date Per Committee Database	Amount Not Reported on Schedule B	Amount Per Bank Statement	Date Per Bank Statement
Dirksen North	12/10/18	14.07	14.07	12/10/18
Taxi Svc Washington DC	12/10/18	11.28	11.28	12/10/18
Peets Washington DC	12/10/18	16.94	16.94	12/10/18
Metro Washington DC	12/10/18	7.00	7.00	12/10/18
Tony Chengs Washington DC	12/10/18	67.50	67.50	12/10/18
Fedex	12/10/18	41.34	41.34	12/10/18
Fedex	12/10/18	7.41	7.41	12/10/18
American Air Fort Worth	12/10/18	70.00	70.00	12/10/18
Taxi Svc Gardena CA	12/10/18	65.56	65.56	12/10/18
Pepes Thrifty Sho LA	12/10/18	219.00	219.00	12/10/18
ATM Withdrawal	12/10/18	200.00	200.00	12/10/18
Irish Channel Washington DC	12/11/18	24.79	24.79	12/11/18
Buca Di Beppo LA	12/11/18	129.51	129.51	12/11/18
Laz Parking LA	12/12/18	6.00	6.00	12/12/18
Venmo Visa Direct NY	12/13/18	80.00	80.00	12/13/18
Venmo Visa Direct NY	12/14/18	50.00	50.00	12/14/18
Venmo Visa Direct NY	12/17/18	70.00	70.00	12/17/18
Venmo Visa Direct NY	12/17/18	300.00	300.00	12/17/18
Venmo Visa Direct NY	12/19/18	60.00	60.00	12/19/18
Venmo Visa Direct NY	12/19/18	35.91	35.91	12/19/18
Dulce Usc Vill LA	12/19/18	140.00	140.00	12/20/18
Venmo Visa Direct NY	12/20/18	48.28	48.28	12/20/18
Mijares Mexican Pasadena	12/24/18	10.00	10.00	12/24/18
Unified Valet Pasadena	12/24/18	55.00	55.00	12/24/18
Venmo Visa Direct NY	12/24/18	144.00	144.00	12/24/18
Venmo Visa Direct NY	12/24/18	210.00	210.00	12/24/18
Venmo Visa Direct NY	11/15/18	5,000.00	5,000.00	11/15/18
Check	12/24/18	110.00	110.00	12/24/18
Venmo Visa Direct NY	12/24/18			12/24/18
Total Amount NOT Reported		\$198,614.23		

UtePAC	ON HAND ADJUSTMENTS	CASH
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*Prior Period Adjustments to 2018 Beginning Cash*

1	2
Type of Adjustment	Adjustment to Ending Cash 2017
2016 Prior Period Adjustments	218.89
Prior Period Adjustment Mathematical Discrepancy to 2017 Beginning Cash	1,273.96
Prior Period Adjustment 2017 Contributions Not Reported	1,828.08
Prior Period Adjustment 2017 Receipts Reported Not Supported by Bank Deposit	(1,095.00)
Prior Period Adjustment 2017 Disbursements Not Reported	(11,588.57)
Prior Period Adjustment 2017 Disbursements Reported Not Supported by Bank Deposit	12,261.58
2017 Unexplained Difference	(1,963.94)
Total Prior Period Adjustments to 2018 Beginning Cash	\$935.00

*Mathematical Discrepancies- 2018 Beginning Cash*

1	2	3	4
Type of Adjustment	Reported Beginning Cash on 2018 Q1 Report	Reported Ending Cash on 2017 Year-End Report	Reported Difference
Over-Reported Beginning Cash	17,409.93	1,053.13	16,356.80
Total Adjustment to Reported Beginning Cash			\$16,356.80

UtePAC	ON HAND ADJUSTMENTS		CASH
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*Mathematical Discrepancies- 2018 Ending Cash*

1	2	3	4
Type of Adjustment	Reported Ending Cash on 2018 Year-End Report	Reported Beginning Cash + Reported Receipts - Reported Disbursements	Reported Difference
Under-Reported Ending Cash	3,515.92	19,872.72	(16,356.80)
Total Adjustment to Reported Beginning Cash			-\$16,356.80

UtePAC

Attachment B- Increased Activity for Receipts and Disbursements

Receipts		
	2017	2018
<i>Correct Reportable per Committee Bank Statements</i>	113,245	212,666
<i>Schedule of Reported Activity (Column A) from Original FEC Reports</i>	65,257	12,493
<i>Difference</i>	47,989	200,173
<i>Net Amount of Increase for Cycle (Differences for Each Year Added Together)</i>	\$248,162	

Disbursements		
	2017	2018
<i>Correct Reportable per Committee Bank Statements</i>	111,555	202,823
<i>Schedule of Reported Activity (Column A) from Original FEC Reports</i>	52,954	2,406
<i>Difference</i>	58,601	200,417
<i>Net Amount of Increase for Cycle (Differences for Each Year Added Together)</i>	\$259,018	

UtePAC  
Attachment C - Cash Disbursements

Payee Name <i>Per FEC Reports</i>	Payee Name <i>Per Committee Database</i>	Purpose <i>Per Committee Database and Email from Former Treasurer</i>	Cash Withdrawal Amount <i>Per Bank Statement</i>	Date of Cash Withdrawal <i>Per Bank Statement</i>	Bank Account <i>Per Bank Statement</i>	Disbursement Description <i>Per Bank Statements and Withdrawal Slips</i>	Error Amount	Recommendation
1 Staples	Staples	Office Supplies Expense	150.00	2/27/2017	1042	Check made to cash	50.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
2 The Ute PAC	The Ute PAC	Outdoor Retailer Advertising	35,000.00	3/13/2017	1042	Cash to Robert Lucero	34,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
3 The Ute PAC	The Ute PAC	April payroll	5,000.00	3/30/2017	1042	Cash to Robert Lucero	4,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
4 American System Group	American System Group	Advertising	5,000.00	5/4/2017	1042	Cash to Robert Lucero	4,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
5 Outdoor Retailer	Outdoor Retailer	Advertising	10,010.00	5/24/2017	1042	Cash to Robert Lucero	9,910.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
6 Outdoor Retailer	Outdoor Retailer	Advertising	7,000.00	5/24/2017	1042	Cash to Robert Lucero	6,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
7	Robert Lucero	Payroll	4,000.00	7/3/2017	1042	Cash to Robert Lucero	3,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
8 American System Group	American System Group	Advertising	3,500.00	8/1/2017	1042	Cash to Robert Lucero	3,400.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
9	Cash	Payroll	1,000.00	8/22/2017	1042	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
10	Travel Expenses	Travel	200.00	9/28/2017	1042	Cash to Robert Lucero	100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
11	Cash	Phone bill	300.00	1/8/2018	1042	Cash to Robert Lucero	200.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
12	Cash	Payroll	10,000.00	1/12/2018	1042	Cash to Robert Lucero	9,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
13	Cash	Cash Withdrawal	200.00	1/22/2018	1042	Cash to Robert Lucero	100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
14	Robert Lucero	Payroll	1,000.00	2/23/2018	1042	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
15	Robert Lucero	Bank Originated Debt	1,800.00	4/9/2018	1042	Cash to Robert Lucero	1,700.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
16	Robert Lucero	Payroll	2,000.00	5/17/2018	1042	Cash to Robert Lucero	1,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
17	Robert Lucero	Payroll	3,000.00	6/13/2018	1042	Cash to Robert Lucero	2,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
18	Robert Lucero	Phone bill	500.00	6/20/2018	1042	Cash to Robert Lucero	400.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
19	Robert Lucero	Payroll	3,200.00	7/3/2018	1042	Cash to Robert Lucero	3,100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
20	Cash	Cash Withdrawal	2,000.00	7/9/2018	1042	Cash to Robert Lucero	1,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
21	Robert Lucero	Payroll	10,000.00	8/29/2018	1042	Cash to Robert Lucero	9,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
22	Robert Lucero	Payroll	4,500.00	9/4/2018	1042	Cash to Robert Lucero	4,400.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
23	Cash	Payroll	2,000.00	9/4/2018	1042	Cash to Robert Lucero	1,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
24	Cash	Cash Withdrawal	1,000.00	9/6/2018	1042	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
25	Robert Lucero	Payroll	3,700.00	9/10/2018	1042	Cash to Robert Lucero	3,600.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
26	Cash	Cash Withdrawal	1,000.00	9/17/2018	1042	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
27	Cash	Cash Withdrawal	300.00	10/22/2018	1042	Cash to Robert Lucero	200.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
28	Cash	Cash Withdrawal	200.00	12/10/2018	1042	Cash to Robert Lucero	100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
29	Robert Lucero	Payroll	3,000.00	8/22/2017	5263	Cash to Robert Lucero	2,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
30	Robert Lucero	Payroll	1,200.00	9/8/2017	5263	Cash to Robert Lucero	1,100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
31	Travel Expenses	Travel	400.00	9/18/2017	5263	Cash to Robert Lucero	300.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
32	Robert Lucero	Payroll	1,400.00	12/7/2017	5263	Cash to Robert Lucero	1,300.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.

Payee Name <i>Per FEC Reports</i>	Payee Name <i>Per Committee Database</i>	Purpose <i>Per Committee Database and Email from Former Treasurer</i>	Cash Withdrawal Amount <i>Per Bank Statement</i>	Date of Cash Withdrawal <i>Per Bank Statement</i>	Bank Account <i>Per Bank Statement</i>	Disbursement Description <i>Per Bank Statements and Withdrawal Slips</i>	Error Amount	Recommendation
33 American System Group	American System Group	Staff pay	1,000.00	12/15/2017	5263	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
34 American System Group	American System Group	Staff pay	500.00	12/21/2017	5263	Cash to Robert Lucero	400.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
35 American System Group	American System Group	Staff pay	1,000.00	12/26/2017	5263	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
36 American System Group	American System Group	Staff pay	500.00	12/28/2017	5263	Cash to Robert Lucero	400.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
37	Robert Lucero	Payroll	2,200.00	1/2/2018	5263	Cash to Robert Lucero	2,100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
38	Robert Lucero	Printing	150.00	1/8/2018	5263	Cash to Robert Lucero	50.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
39	Robert Lucero	Payroll	3,000.00	6/7/2018	5263	Cash to Robert Lucero	2,900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
40	Robert Lucero	Payroll	1,000.00	7/13/2018	5263	Cash to Robert Lucero	900.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
41	Robert Lucero	Payroll	1,200.00	8/1/2018	5263	Cash to Robert Lucero	1,100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
42	Robert Lucero	Payroll	1,200.00	8/10/2018	5263	Cash to Robert Lucero	1,100.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
43	Robert Lucero	Phone bill	290.00	8/22/2018	5263	Cash to Robert Lucero	190.00	Provide documentation this is a committee expense and provide documentation of the ultimate payee.
<b>Total Amount</b>							<b>\$131,300.00</b>	

UtePAC  
Attachment D - Recordkeeping for Receipts

Per Committee Receipt Documentation					Error Amount	Description of Recordkeeping Error
Transaction Date	Name	Transaction Description	Bank Account	Type of Committee Documentation Reviewed		
1 2/10/2017	Unknown	Deposit in Branch	1042	Bank Statements	60.00	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
2 1/8/2018	Unknown	Square Inc	1042	Bank Statements	339.84	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
3 1/12/2018	The Ute Tribe	Wire Transfer from The Ute Indian Tribe	1042	Bank Statements	100,000.00	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
4 1/19/2018	Unknown	Square Inc	1042	Bank Statements	320.02	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
5 1/29/2018	Unknown	Square Inc	1042	Bank Statements	140.14	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
6 1/29/2018	Unknown	Square Inc	1042	Bank Statements	159.56	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
7 4/6/2018	Unknown	eDeposit in Branch	1042	Bank Statements	1,800.00	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
8 8/29/2018	The Ute Tribe	Wire Transfer from The Ute Indian Tribe	1042	Bank Statements	25,000.00	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
9 9/28/2018	Unknown	Returned purchase Advantage Car	1042	Bank Statements	92.44	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
10 10/2/2018	The Ute Tribe	Wire Transfer from The Ute Indian Tribe	1042	Bank Statements	75,000.00	A lack of contribution documentation to support the receipt, including not being on the receipt database provided by the committee and not reported on FEC disclosure reports.
<b>Total Amount</b>					<b>\$202,912.00</b>	



Per FEC Reports										Per Committee Disbursement Documentation				Error Amount	Description of Record-keeping Error
Reported on FEC Schedule	Name	Address	State	City	Zip Code	Amount	Date	Purpose	Transaction Date	Name	Bank Account	Type of Committee Documentation Reviewed			
1									2/9/2017	Robert Lucero	5263	Bank Statement/Withdrawal Slip	100.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
2									8/22/2017	Robert Lucero	5263	Bank Statement/Withdrawal Slip	3,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
3									9/8/2017	Robert Lucero	5263	Bank Statement/Withdrawal Slip	1,200.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
4	SH421a	Expenses	55 E 100 N Ste 105	UT	Logan	84321	400.00	9/18/2017	Travel	9/18/2017	Robert Lucero	5263	Bank Statement/Withdrawal Slip	400.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database
5									12/7/2017	Robert Lucero	5263	Bank Statement/Withdrawal Slip	1,400.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
6									1/2/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	2,200.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
7									1/8/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	150.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
8									6/7/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	3,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
9									7/13/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	1,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
10									8/2/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	1,200.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
11									8/10/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	1,200.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
12									8/22/2018	Robert Lucero	5263	Bank Statement/Withdrawal Slip	290.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
13	SB29	American System Group	55 E 100 N	UT	Logan	84321	1,000.00	12/15/2017	Staff pay	12/15/2017	American System Group	5263	Bank Statement/Withdrawal Slip	1,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
14	SB29	American System Group	55 E 100 N	UT	Logan	84321	500.00	12/21/2017	Staff pay	12/21/2017	American System Group	5263	Bank Statement/Withdrawal Slip	500.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
15	SB29	American System Group	55 E 100 N	UT	Logan	84321	1,000.00	12/26/2017	Staff pay	12/26/2017	American System Group	5263	Bank Statement/Withdrawal Slip	1,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
16	SB29	American System Group	55 E 100 N	UT	Logan	84321	500.00	12/28/2017	Staff pay	12/28/2017	American System Group	5263	Bank Statement/Withdrawal Slip	500.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
17	SB29	Protectors Salt Lake	PO BOX 123	UT	Salt Lake City	84103	500.00	9/19/2018	(blank)	9/19/2018	Protectors Salt Lake	5263	Bank Statement	500.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database
18									6/21/2018	Unknown	1042	Bank Statement	67.02	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
19									7/5/2018	AM Transportation	1042	Bank Statement	2,207.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
20									9/26/2018	Advantage Car Albuquerque	1042	Bank Statement	635.71	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
21									11/13/2018	American Air Fort Worth	1042	Bank Statement	410.40	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
22									12/10/2018	American Air Fort Worth	1042	Bank Statement	70.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
23									3/12/2018	Best Buy	1042	Bank Statement	1,577.65	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
24									6/6/2018	Best Buy	1042	Bank Statement	191.86	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
25									10/18/2018	Best Buy	1042	Bank Statement	527.13	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
26									10/19/2018	Best Buy	1042	Bank Statement	2,435.69	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
27									12/4/2018	Best Buy	1042	Bank Statement	21.89	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
28									4/2/2018	Bolt Printing	1042	Bank Statement	1,252.31	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
29									10/4/2018	Bridgestreet Global Reston Va	1042	Bank Statement	719.06	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
30									10/29/2018	Brown Palace F&B Denver CO	1042	Bank Statement	63.84	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
31									12/11/2018	Buca Di Boppo LA	1042	Bank Statement	129.51	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
32									5/14/2018	Cache Valley Autoc	1042	Bank Statement	869.78	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
33									8/22/2017	Robert Lucero	1042	Bank Statement	1,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
34									1/22/2018	Robert Lucero	1042	Bank Statement	200.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
35									7/9/2018	Robert Lucero	1042	Bank Statement	2,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
36									9/4/2018	Robert Lucero	1042	Bank Statement	2,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
37									9/17/2018	Robert Lucero	1042	Bank Statement	1,000.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
38									10/22/2018	Robert Lucero	1042	Bank Statement	300.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
39									12/10/2018	Robert Lucero	1042	Bank Statement	200.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not itemized; cash withdrawal	
40									1/18/2018	Concast Salt Lake	1042	Bank Statement	365.43	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
41									8/31/2018	Commercial Printing LA	1042	Bank Statement	232.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
42									10/16/2018	Cook Martin Poulson	1042	Bank Statement	120.27	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
43									10/9/2018	Courtyard Pittsburgh PA	1042	Bank Statement	169.86	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	
44									10/22/2018	Creative Thread	1042	Bank Statement	718.00	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports	



Reported on FEC Schedule	Per FEC Reports					Per Committee Disbursement Documentation				Error Amount	Description of Recordkeeping Error		
	Name	Address	State	City	Zip Code	Amount	Date	Purpose	Transaction Date			Name	Bank Account
92									10/1/2018	Trader Joes	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
93									10/2/2018	Trader Joes	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
94									9/24/2018	Travelodge Kanab	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
95									11/13/2018	United Airlines	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
96									11/13/2018	United Airlines	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
97									11/13/2018	United Airlines	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
98									11/21/2018	United Airlines	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
99									3/30/2017	Venmo NY on 3/31/17	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
100									10/12/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
101									10/12/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
102									10/15/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
103									10/15/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
104									10/22/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
105									10/24/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
106									10/29/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
107									11/28/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
108									11/28/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
109									12/13/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
110									12/14/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
111									12/17/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
112									12/17/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
113									12/19/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
114									12/20/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
115									12/24/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
116									12/24/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
117									12/24/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
118									12/24/2018	Venmo Visa Direct NY	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
119									8/31/2018	Whiskey street Taylorsville	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
120									12/6/2018	Wok N Roll Washington Dc	1042	Bank Statement	Record-keeping error for lack of invoice/receipt and supporting records; not in committee database; not reported on FEC disclosure reports
Total Amount										\$93,742.85			

Per REC Expenses															Per Committee Disbursement Documentation														
Reported on REC Schedule	Name	Address	State	City	Zip Code	Amount	Date	Purpose	Transaction Date	Name	Purpose	Bank Account	Type of Committee Documentation Reviewed	Error Amount	Description of Recordkeeping Error														
SR29	American System Group	55 E 100 N	UT	Logan	84321	5,000.00	4/2/2017	Advertising	4/12/2017	American System Group	Advertising	1042	Bank Statement/Database/Check	5,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	American System Group	55 E 100 N	UT	Logan	84321	5,000.00	5/9/2017	Advertising	5/9/2017	American System Group	Advertising	1042	Bank Statement/Database/Withdrawal Slip	5,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR421a	American System Group	55 E 100 N	UT	Logan	84321	3,500.00	8/7/2017	Advertising	8/1/2017	American System Group	Advertising	1042	Bank Statement/Database/Withdrawal Slip	3,500.00	Record-keeping error for insufficient records or documents without required necessary information.														
							2/14/2018	Media consulting	2/14/2018	American System Group	Media consulting	1042	Bank Statement/Check	2,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
							3/9/2018	Media consulting	3/9/2018	American System Group	Media consulting	1042	Bank Statement/Check	2,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
							4/23/2018	Video work	4/23/2018	American System Group	Video work	1042	Bank Statement/Check	8,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR421a	Travel Expenses	55 E 100N Ste 105	UT	Logan	84321	100.00	9/26/2017	Printing	4/2/2018	Bolt Printing		1042	Bank Statement	1,252.31	Record-keeping error for insufficient records or documents without required necessary information.														
SR421a	Travel Expenses	55 E 100N Ste 105	UT	Logan	84321	200.00	9/26/2017	Printing	9/26/2017	Robert Luero	Printing	1042	Bank Statement/Database/Withdrawal Slip	100.00	Record-keeping error for insufficient records or documents without required necessary information.														
							8/31/2018	Commercial Printing LA	9/26/2017	Robert Luero	Printing	1042	Bank Statement/Database	200.00	Record-keeping error for insufficient records or documents without required necessary information.														
							10/22/2018	Creative Thermal	10/22/2018	Commercial Printing LA		1042	Bank Statement	232.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Facebook	11 Hacker Way	CA	Menlo Park	94025	211.25	4/3/2017	Advertising	4/3/2017	Facebook	Advertising	1042	Bank Statement/Database	211.25	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Facebook	11 Hacker Way	CA	Menlo Park	94025	392.32	5/2/2017	Advertising	5/1/2017	Facebook	Advertising	1042	Bank Statement/Database	392.32	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Facebook	11 Hacker Way	CA	Menlo Park	94025	285.04	6/1/2017	Advertising	6/1/2017	Facebook	Advertising	1042	Bank Statement/Database	285.04	Record-keeping error for insufficient records or documents without required necessary information.														
SR421b	JS Media Group	P10 Box 3074	UT	Park City	84060	1,165.11	3/29/2017	Video Crew Expense	8/31/2018	ink Spot Boesvelt		1042	Bank Statement	186.55	Record-keeping error for insufficient records or documents without required necessary information.														
							4/30/2018	T-shirts	3/29/2017	JS Media Group	Video Crew Expense	1042	Bank Statement/Database/Check	1,165.11	Record-keeping error for insufficient records or documents without required necessary information.														
							5/11/2018		4/30/2018	Juan Vasquez	T-shirts	1042	Bank Statement/Check	500.00	Record-keeping error for insufficient records or documents without required necessary information.														
							5/31/2018		5/11/2018	Juan Vasquez		1042	Bank Statement/Check	338.24	Record-keeping error for insufficient records or documents without required necessary information.														
SR421b	The Ute Pie	55 East 100 North Street	UT	Logan	84321	35,000.00	3/13/2017	Outdoor Retailer Advertising	5/3/2018	Juan Vasquez		1042	Bank Statement/Check	176.30	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Outdoor Retailer	4909 Pearl East Circle, Suite 300	CO	Boulder	80301	10,000.00	4/5/2017	Advertising	3/13/2017	The Ute Pie	Outdoor Retailer Advertising	1042	Bank Statement/Database/Withdrawal Slip	35,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Outdoor Retailer	4909 Pearl East Circle, Suite 300	CO	Boulder	80301	8,500.00	4/7/2017	Advertising	4/5/2017	Outdoor Retailer	Advertising	1042	Bank Statement/Database/Check	10,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Outdoor Retailer	4909 Pearl East Circle, Suite 300	CO	Boulder	80301	10,010.00	5/4/2017	Advertising	4/27/2017	Outdoor Retailer	Advertising	1042	Bank Statement/Database/Check	8,500.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Outdoor Retailer	4909 Pearl East Circle, Suite 300	CO	Boulder	80301	7,000.00	5/4/2017	Advertising	5/4/2017	Outdoor Retailer	Advertising	1042	Bank Statement/Database/Withdrawal Slip	10,010.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR421a	Square One Printing	630 W 200 N	UT	Logan	84321	533.00	7/21/2017	Printed materials	5/4/2017	Outdoor Retailer	Advertising	1042	Bank Statement/Database/Withdrawal Slip	7,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR421a	Square One Printing	630 W 200 N	UT	Logan	84321	591.00	7/25/2017	Banners, posters, brochures	7/21/2017	Square One Printing	Printed materials	1042	Bank Statement/Database	533.00	Record-keeping error for insufficient records or documents without required necessary information.														
							4/2/2017	Advertising	7/26/2017	Square One Printing	Banners, posters, brochures	1042	Bank Statement/Database	591.00	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Heidi Randall Studios	PO Box 513	UT	Paradise	84328	500.10	4/11/2017	Advertising	4/2/2017	Heidi Randall Studios	Advertising	1042	Bank Statement/Database	199.78	Record-keeping error for insufficient records or documents without required necessary information.														
SR29	Heidi Randall Studios	PO Box 513	UT	Paradise	84328	125.00	4/26/2017	Advertising	4/11/2017	Heidi Randall Studios	Advertising	1042	Bank Statement/Database	500.10	Record-keeping error for insufficient records or documents without required necessary information.														
							1/22/2018	Printed materials	4/26/2017	Heidi Randall Studios	Advertising	1042	Bank Statement/Database	125.00	Record-keeping error for insufficient records or documents without required necessary information.														
							10/10/2018	Outdoor Retailer Advertising	1/22/2018	Square One Pri Logan	Printed materials	1042	Bank Statement	46.64	Record-keeping error for insufficient records or documents without required necessary information.														
							3/6/2018		10/10/2018	The Ute Pie	Outdoor Retailer Advertising	1042	Bank Statement	35,000.00	Record-keeping error for insufficient records or documents without required necessary information.														
							5/7/2018		3/6/2018	Third Eye Medi		1042	Bank Statement	263.20	Record-keeping error for insufficient records or documents without required necessary information.														
							5/7/2018		5/7/2018	Third Eye Medi		1042	Bank Statement	850.00	Record-keeping error for insufficient records or documents without required necessary information.														
Total Amount														\$140,675.84															

Per FEC Reports										Per Committee Disbursement Documentation			
Reported on FEC Schedule	Name	Address	Address 2	State	City	Zip Code	Amount	Date	Purpose	Name	Report of FEC Schedule	Type of Committee Documentation Reviewed	Error Amount
1	SR29	American System Group	Suite 103	UT	Logan	84321	5,000.00	4/2/2017	Advertising			Bank Statement/Database/Check	5,000.00
2	SR29	American System Group	Suite 103	UT	Logan	84321	5,000.00	5/4/2017	Advertising	Robert Luero		Bank Statement/Database	5,000.00
3	SH421a	American System Group	Suite 103	UT	Logan	84321	3,500.00	8/1/2017	Advertising	Robert Luero	SR29	Bank Statement/Database	3,500.00
4	SR21B	Bearson & Caldwell	399 North Main Street	UT	Logan	84321	242.00	3/28/2017	Legal Fees			Bank Statement/Database	242.00
5	SR21B	The Ute Pac	55 East 100 North Street	UT	Logan	84321	5,000.00	3/30/2017	April payroll	Robert Luero		Bank Statement/Database	5,000.00
6	SH421a	Expenses	55 E 100 N Ste 105	UT	Logan	84321	100.00	9/26/2017	Printing	Robert Luero	SR29	Bank Statement/Database	100.00
7	SH421a	Expenses	55 E 100 N Ste 105	UT	Logan	84321	200.00	9/29/2017	Printing	Robert Luero	SR29	Bank Statement/Database	200.00
8	SH421a	Expenses	55 E 100 N Ste 105	UT	Logan	84321	42.99	12/4/2017	gasoline	Smith Fuels	SR29	Bank Statement/Database	42.99
9	SR29	Facebook	1 Hacker Way	CA	Menlo Park	94025	211.25	4/3/2017	Advertising			Bank Statement/Database	211.25
10	SR29	Facebook	1 Hacker Way	CA	Menlo Park	94025	392.32	5/2/2017	Advertising			Bank Statement/Database	392.32
11	SR29	Facebook	1 Hacker Way	CA	Menlo Park	94025	285.04	6/1/2017	Advertising			Bank Statement/Database	285.04
12	SR21B	JS Media Group	P O Box 3074	UT	Park City	84060	1,165.11	3/29/2017	Video Crew Expense	Stephen Peryera		Bank Statement/Database/Check	1,165.11
13	SR21B	Knighton Properties	55 East 100 North	UT	Logan	84321	242.45	3/3/2017	Office Rent Expense			Bank Statement/Database/Check	242.45
14	SR21B	Knighton Properties	55 East 100 North	UT	Logan	84321	231.97	3/7/2017	Rent Expense			Bank Statement/Database/Check	231.97
15	SR21B	Maverick	302 main street	UT	Logan	84321	298.43	2/23/2017	Milage Expense	Robert Luero		Bank Statement/Database/Check	298.43
16	SR21B	Maverick	302 main street	UT	Logan	84321	27.66	3/6/2017	Travel Expense			Bank Statement/Database	27.66
17	SR21B	The Ute Pac	55 East 100 North Street	UT	Logan	84321	35,000.00	3/13/2017	Outdoor/Retailer Advertising	Robert Luero		Bank Statement/Database	35,000.00
18	SR29	Outdoor Retailer	4999 Pearl East Circle	CO	Boulder	80301	10,800.00	4/5/2017	Advertising	American System Group		Bank Statement/Database/Check	10,800.00
19	SR29	Outdoor Retailer	4999 Pearl East Circle	CO	Boulder	80301	8,500.00	4/27/2017	Advertising	American System Group		Bank Statement/Database/Check	8,500.00
20	SR29	Outdoor Retailer	4999 Pearl East Circle	CO	Boulder	80301	10,010.00	5/24/2017	Advertising	Robert Luero		Bank Statement/Database	10,010.00
21	SR29	Outdoor Retailer	4999 Pearl East Circle	CO	Boulder	80301	7,000.00	5/24/2017	Advertising	Robert Luero		Bank Statement/Database	7,000.00
22	SH421a	Square One Printing	630 W 200 N	UT	Logan	84321	533.00	7/21/2017	Printed materials		SR29	Bank Statement/Database	533.00
23	SH421a	Square One Printing	630 W 200 N	UT	Logan	84321	591.00	7/25/2017	Banners, posters, brochures		SR29	Bank Statement/Database	591.00
24	SR29	Randall Studios	PO Box 513	UT	Paradise	84328	500.10	4/11/2017	Advertising			Bank Statement/Database	500.10
25	SR29	Randall Studios	PO Box 513	UT	Paradise	84328	125.00	4/26/2017	Advertising			Bank Statement/Database	125.00

Total Amount: **\$94,998.32**

Per Committee Disbursement Documentation										Per FEC Reports / Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation		
11 CFR §113.1(g)(1)(i)(A) Household Items; 11 CFR §113.1(g)(8) Recordkeeping												
Walmart Superstore	7/25/2017	176.21	Debit	1042	Display table and chairs	Committee Bank Statements; Database	Walmart Superstore	Display table and chairs	176.21	Please provide documentation supporting the disbursement is campaign related.		
Walmart Superstore	7/25/2017	62.35	Debit	1042	Chairs	Committee Bank Statements; Database	Walmart Superstore	Chairs	62.35	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	3/12/2018	1,577.65	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	1,577.65	Please provide documentation supporting the disbursement is campaign related.		
Als Sporting goods	5/9/2018	149.23	Debit	1042	Shade Canopy for Bear Dance destroyed by wind	Committee Bank Statements; Receipt	Not Reported	Not Reported	149.23	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	6/6/2018	191.86	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	191.86	Please provide documentation supporting the disbursement is campaign related.		
The Last Bookstore LA	10/1/2018	91.97	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	91.97	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	10/18/2018	527.13	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	527.13	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	12/4/2018	21.89	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	21.89	Please provide documentation supporting the disbursement is campaign related.		
Pepes Thrifty Shop LA	12/10/2018	219.00	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	219.00	Please provide documentation supporting the disbursement is campaign related.		
11 CFR §113.1(g)(1)(i)(B) Legal; 11 CFR §113.1(g)(8) Recordkeeping												
Bearson & Caldwell	3/28/2017	242.00	Debit	1042	Legal Fees	Committee Bank Statements; Database	Bearson & Caldwell	Legal Fees	242.00	Please provide documentation supporting the disbursement is campaign related.		
Cook Martin Poulson	1/19/2018	400.00	1051	1042	Legal Fees-FEC work	Committee Bank Statements; Check copy	Not Reported	Not Reported	400.00	Please provide documentation supporting the disbursement is campaign related.		
Cook Martin Poulson	10/16/2018	120.27	Debit	1042	Legal Fees	Committee Bank Statements	Not Reported	Not Reported	120.27	Please provide documentation supporting the disbursement is campaign related.		
11 CFR §113.1(g)(1)(ii)(B) Meals; 11 CFR §113.1(g)(8) Recordkeeping												
Jimmy Johns	3/9/2017	14.11	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	14.11	Please provide documentation supporting the disbursement is campaign related.		
The Bikketik Salt Lake on 3/28/17	3/30/2017	22.65	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	22.65	Please provide documentation supporting the disbursement is campaign related.		
Ute Plaza Super Jensen	5/11/2018	8.89	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	8.89	Please provide documentation supporting the disbursement is campaign related.		
Kalpheeh Kah-Ahn Coffee House	5/14/2018	30.74	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	30.74	Please provide documentation supporting the disbursement is campaign related.		
Tst Lucky Slice	5/23/2018	7.51	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	7.51	Please provide documentation supporting the disbursement is campaign related.		
Ute Crossing Grill Fort Duchesne	5/23/2018	15.02	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	15.02	Please provide documentation supporting the disbursement is campaign related.		
Einstein Bros Bagel	6/5/2018	3.07	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	3.07	Please provide documentation supporting the disbursement is campaign related.		
Freebirds Salt Lake	6/5/2018	10.78	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	10.78	Please provide documentation supporting the disbursement is campaign related.		
Foldmans Deli Salt lake	6/7/2018	45.76	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	45.76	Please provide documentation supporting the disbursement is campaign related.		
501 on Main Park City UT	6/21/2018	67.02	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	67.02	Please provide documentation supporting the disbursement is campaign related.		
The Other Place Pittsburgh	6/22/2018	24.38	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	24.38	Please provide documentation supporting the disbursement is campaign related.		
My Taco	7/10/2018	32.02	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	32.02	Please provide documentation supporting the disbursement is campaign related.		
Sq Café @ New Sch Culver City	7/10/2018	37.40	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	37.40	Please provide documentation supporting the disbursement is campaign related.		
Burbank Airport	8/30/2018	23.83	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	23.83	Please provide documentation supporting the disbursement is campaign related.		
Whiskey street Taylorsville	8/31/2018	50.75	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	50.75	Please provide documentation supporting the disbursement is campaign related.		
Plaza Café Southside Santa Fe	9/27/2018	45.57	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	45.57	Please provide documentation supporting the disbursement is campaign related.		
Trader Joes	10/1/2018	122.26	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	122.26	Please provide documentation supporting the disbursement is campaign related.		
Trader Joes	10/2/2018	46.04	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	46.04	Please provide documentation supporting the disbursement is campaign related.		
Brown Palace Denver CO	10/29/2018	63.84	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	63.84	Please provide documentation supporting the disbursement is campaign related.		

Per Committee Disbursement Documentation										Per FEC Reports/ Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation		
Premium 244 Washington DC	12/5/2018	17.30	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	17.30	Please provide documentation supporting the disbursement is campaign related.		
Wok N Roll Washington Dc	12/6/2018	47.95	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	47.95	Please provide documentation supporting the disbursement is campaign related.		
Wok N Roll Washington Dc	12/6/2018	126.81	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	126.81	Please provide documentation supporting the disbursement is campaign related.		
Dirksen North	12/7/2018	7.25	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	7.25	Please provide documentation supporting the disbursement is campaign related.		
Mi Vida Restaurante DC	12/7/2018	333.90	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	333.90	Please provide documentation supporting the disbursement is campaign related.		
The Smith DC	12/7/2018	99.20	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	99.20	Please provide documentation supporting the disbursement is campaign related.		
Dirksen North	12/10/2018	14.07	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	14.07	Please provide documentation supporting the disbursement is campaign related.		
Peets Washington DC	12/10/2018	16.94	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	16.94	Please provide documentation supporting the disbursement is campaign related.		
Tony Chengs Washington DC	12/10/2018	67.50	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	67.50	Please provide documentation supporting the disbursement is campaign related.		
Buca Di Beppo LA	12/11/2018	129.51	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	129.51	Please provide documentation supporting the disbursement is campaign related.		
Irish Channel Washington DC	12/11/2018	24.79	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	24.79	Please provide documentation supporting the disbursement is campaign related.		
Dulce USC Village LA	12/19/2018	35.91	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	35.91	Please provide documentation supporting the disbursement is campaign related.		
Mijares Mexican Pasadena	12/24/2018	48.28	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	48.28	Please provide documentation supporting the disbursement is campaign related.		
11 CFR § 113.1 (g)(1)(ii)(C) Travel; 11 CFR § 113.1 (g)(8) Recordkeeping												
Jasana Cuch	4/16/2018	200.47	1062	1042	2 Nights Hotel U of I Pow Wow	Committee Bank Statements; Check copy	Not Reported	Not Reported	200.47	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Delta Air	6/8/2018	204.20	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	204.20	Please provide documentation supporting the disbursement is campaign related.		
Delta Air	6/8/2018	19.99	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	19.99	Please provide documentation supporting the disbursement is campaign related.		
Delta Air	6/8/2018	115.20	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	115.20	Please provide documentation supporting the disbursement is campaign related.		
Abf Transportation	7/5/2018	2,207.00	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	2,207.00	Please provide documentation supporting the disbursement is campaign related.		
Southwest	7/19/2018	171.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	171.96	Please provide documentation supporting the disbursement is campaign related.		
Little America Hotel SLC	9/4/2018	195.70	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	195.70	Please provide documentation supporting the disbursement is campaign related.		
Southwest	9/11/2018	295.78	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	295.78	Please provide documentation supporting the disbursement is campaign related.		
Southwest	9/11/2018	372.10	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	372.10	Please provide documentation supporting the disbursement is campaign related.		
Southwest	9/13/2018	243.60	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	243.60	Please provide documentation supporting the disbursement is campaign related.		
Hotel Monte Vista	9/20/2018	127.59	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	127.59	Please provide documentation supporting the disbursement is campaign related.		
Travelodge Kanab	9/24/2018	90.67	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	90.67	Please provide documentation supporting the disbursement is campaign related.		
Advantage Car Albuquerque	9/26/2018	635.71	Debit	1042	Auto Rental	Committee Bank Statements	Not Reported	Not Reported	635.71	Please provide documentation supporting the disbursement is campaign related.		
Travel Insurance	9/26/2018	9.00	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	9.00	Please provide documentation supporting the disbursement is campaign related.		
Courtyard Pittsburgh PA	10/9/2018	169.86	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	169.86	Please provide documentation supporting the disbursement is campaign related.		
Days Inn Denver CO	10/24/2018	129.40	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	129.40	Please provide documentation supporting the disbursement is campaign related.		
American Air Fort Worth	11/13/2018	410.40	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	410.40	Please provide documentation supporting the disbursement is campaign related.		
United Airlines	11/13/2018	379.59	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	379.59	Please provide documentation supporting the disbursement is campaign related.		



Per Committee Disbursement Documentation							Per FEC Reports / Committee Database			
Payee Name	Payment Date	Payment Amount	Check Number/Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation
United Airlines	11/21/2018	595.60	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	595.60	Please provide documentation supporting the disbursement is campaign related.
Grand Cab Washington DC	12/7/2018	9.12	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	9.12	Please provide documentation supporting the disbursement is campaign related.
UVC Cab Co Washington DC	12/7/2018	13.90	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	13.90	Please provide documentation supporting the disbursement is campaign related.
American Air Fort Worth	12/10/2018	70.00	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	70.00	Please provide documentation supporting the disbursement is campaign related.
Taxi Svc Gardena CA	12/10/2018	65.56	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	65.56	Please provide documentation supporting the disbursement is campaign related.
Taxi Svc Washington DC	12/10/2018	11.28	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	11.28	Please provide documentation supporting the disbursement is campaign related.
Unified Valet Pasadena	12/24/2018	10.00	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	10.00	Please provide documentation supporting the disbursement is campaign related.
11 CFR §13.1 (g)(1)(D) Vehicle expenses; 11 CFR §13.1 (g)(8) Recordkeeping										
Robert Lucero	2/23/2017	298.43	1018	1042	Reimbursement 2 Trips SLC, 1 D.C.	Committee Bank Statements; Check copy	Maverick	Mileage Expense	298.43	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
Valley Motors	3/13/17	20,000.00	Cash	1042	Vehicle Purchase	Committee Bank Statements; Vehicle purchase receipt; Withdrawal slip	The Ute PAC	Outdoor Retailer-Advertising	20,000.00	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
Smiths Fuel	12/4/2017	42.99	Debit	1042	Gasoline	Committee Bank Statements; Receipt	Travel Expenses	Gasoline	42.99	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
American System Group	3/15/2018	2,000.00	1058	1042	Auto Mainframe repairs	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
Cache Valley Auto	5/14/18	869.79	Debit	1042	Vehicle Tires	Committee Bank Statements; Emails	Not Reported	Not Reported	869.79	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
11 CFR §13.1 (g) Personal Use; 11 CFR §13.1 (g)(8) Recordkeeping										
Robert Lucero	2/9/17	100.00	Cash	5263	Petty Cash	Committee Bank Statements; Former Treasurer's response; Withdrawal slip	Not Reported	Not Reported	100.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	2/27/17	150.00	1016	1042	Local Expenses	Committee Bank Statements; Database; Check copy	Staples	Office Supplies Expense	150.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	3/13/17	15,000.00	Cash	1042	Treasurer's Salary	Committee Bank Statements; Database; Former Treasurer's email; Contract between ASG and UTEP; Withdrawal slip	The Ute PAC	Outdoor Retailer-Advertising	15,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	3/30/17	5,000.00	Cash	1042	April payroll	Committee Bank Statements; Database; Withdrawal slip	The Ute PAC	April payroll	5,000.00	Please provide documentation supporting the disbursement is campaign related.
American System Group	4/2/2017	5,000.00	1023	1042	Office Infrastructure	Committee Bank Statements; Database; Check copy	American System Group	Advertising	5,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	4/5/2017	10,800.00	1021	1042	OR Convention booth	Committee Bank Statements; Database; Check copy; Contract	Outdoor Retailer	Advertising	10,800.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	4/27/2017	8,500.00	1024	1042	Media Ads Video Work	Committee Bank Statements; Database; Check copy; Contract	Outdoor Retailer	Advertising	8,500.00	Please provide documentation supporting the disbursement is campaign related.
American System Group	5/4/17	5,000.00	Cash	1042	Advertising	Committee Bank Statements; Database; Withdrawal slip	American System Group	Advertising	5,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	5/24/17	10,010.00	Cash	1042	Advertising	Committee Bank Statements; Database; Withdrawal slip; Contract	Outdoor Retailer	Advertising	10,010.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	5/24/17	7,000.00	Cash	1042	Advertising	Committee Bank Statements; Database; Withdrawal slip; Contract	Outdoor Retailer	Advertising	7,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	7/3/17	4,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.

Per Committee Disbursement Documentation						Per FEC Reports/ Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose
American System Group	8/11/17	3,500.00	Cash	1042	Advertising	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Advertising
Robert Lucero	8/22/17	1,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet	Not Reported	Not Reported
Robert Lucero	8/22/17	3,000.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	9/8/17	1,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	9/18/2017	400.00	Cash	5263	Travel	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	Travel Expenses	Travel
Robert Lucero	9/26/2017	100.00	Cash	1042	Printing	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Travel Expenses	Not Reported
Robert Lucero	9/28/2017	200.00	Cash	1042	Printing	Committee Bank Statements; Database; Former Treasurer response Spreadsheet	Travel Expenses	Not Reported
Robert Lucero	12/7/2017	1,400.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
American System Group	12/15/2017	1,000.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
American System Group	12/21/2017	500.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
American System Group	12/26/2017	1,000.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
American System Group	12/28/2017	500.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
Robert Lucero	1/2/2018	2,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	1/8/2018	300.00	Cash	1042	Phone bill	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	1/8/2018	150.00	Cash	5263	Printing	Committee Bank Statements; Former Treasurer response Spreadsheet	Not Reported	Not Reported

12 Please provide documentation supporting the disbursement is campaign related.

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Per Committee Disbursement Documentation											Per FEC Reports / Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number / Wire Transfer / Debit/ Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation			
Robert Lucero	1/12/2018	10,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	10,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	1/17/2018	4,000.00	1049	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	1/17/2018	2,000.00	1050	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	1/22/2018	200.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	200.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	2/1/2018	6,500.00	1052	1042	Staffing	Committee Bank Statements; Check copy	Not Reported	Not Reported	6,500.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	2/14/2018	2,000.00	1053	1042	Media consulting	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	2/23/2018	1,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	2/26/2018	6,000.00	1054	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	6,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	3/9/2018	2,000.00	1055	1042	Media consulting	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	3/9/2018	503.58	1056	1042	2 R/T Tickets to Ute Rez	Committee Bank Statements; Check copy	Not Reported	Not Reported	503.58	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	3/15/2018	462.16	1057	1042	Mileage to and from Bears Ears	Committee Bank Statements; Check copy	Not Reported	Not Reported	462.16	Please provide documentation supporting the disbursement is campaign related.			
American System Group	3/22/2018	3,500.00	1059	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	3,500.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	3/30/2018	4,000.00	1060	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	4/6/2018	2,000.00	1061	1042	Hotels	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	4/9/2018	1,800.00	Cash	1042	Bank Originated Debt	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,800.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	4/10/2018	5,000.00	1063	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	5,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	4/23/2018	8,000.00	1064	1042	Video work	Committee Bank Statements; Check copy	Not Reported	Not Reported	8,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	5/3/2018	4,000.00	1068	1042	Deposited or Cashed check	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	5/9/2018	4,000.00	1070	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	5/17/2018	2,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	5/23/2018	1,000.00	1073	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	6/4/2018	3,000.00	1076	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	3,000.00	Please provide documentation supporting the disbursement is campaign related.			

Per Committee Disbursement Documentation											Per FEC Reports / Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation			
Robert Lucero	6/7/2018	3,000.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	3,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	6/13/2018	3,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	3,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	6/20/2018	3,000.00	1077	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	3,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	6/20/2018	500.00	Cash	1042	Phone bill	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	500.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	6/27/2018	2,500.00	1079	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,500.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	7/3/2018	3,200.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	3,200.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	7/9/2018	2,000.00	Cash	1042	eWithdrawal at Branch	Committee Bank Statements	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	7/13/2018	1,000.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	8/1/2018	1,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,200.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	8/10/2018	1,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,200.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	8/22/2018	290.00	Cash	5263	Phone bill	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	290.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	8/29/2018	10,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	10,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	9/4/2018	2,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	9/4/2018	4,500.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	4,500.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	9/6/2018	1,000.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	9/10/2018	3,700.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	3,700.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	9/17/2018	1,000.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	10/22/2018	300.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.			

Per Committee Disbursement Documentation							Per FEC Reports / Committee Database			
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation
American System Group	11/15/2018	5,000.00	1080	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	5,000.00	Please provide documentation supporting the disbursement is campaign related.
	12/10/2018	200.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	200.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	3/30/2017	108.98	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	108.98	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/12/2018	1,000.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/12/2018	200.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	200.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/15/2018	400.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	400.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/15/2018	287.30	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	287.30	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/22/2018	91.96	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	91.96	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/24/2018	483.53	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	483.53	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/29/2018	53.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	53.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	11/28/2018	300.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	11/28/2018	300.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/13/2018	80.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	80.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/14/2018	50.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	50.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/17/2018	70.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	70.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/17/2018	300.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/19/2018	60.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	60.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/20/2018	140.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	140.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	55.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	55.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	144.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	144.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	210.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	210.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	110.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	110.00	Please provide documentation supporting the disbursement is campaign related.
								Total Amount	\$249,058.85	

# EXHIBIT B

Per Committee Disbursement Documentation										Per FEC Reports / Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation		
11 CFR §113.1(g)(1)(i)(A) Household Items; 11 CFR §113.1(g)(8) Recordkeeping												
Walmart Superstore	7/25/2017	176.21	Debit	1042	Display table and chairs	Committee Bank Statements; Database	Walmart Superstore	Display table and chairs	176.21	Please provide documentation supporting the disbursement is campaign related.		
Walmart Superstore	7/25/2017	62.35	Debit	1042	Chairs	Committee Bank Statements; Database	Walmart Superstore	Chairs	62.35	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	3/12/2018	1,577.65	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	1,577.65	Please provide documentation supporting the disbursement is campaign related.		
Als Sporting goods	5/9/2018	149.23	Debit	1042	Shade Canopy for Bear Dance destroyed by wind	Committee Bank Statements; Receipt	Not Reported	Not Reported	149.23	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	6/6/2018	191.86	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	191.86	Please provide documentation supporting the disbursement is campaign related.		
The Last Bookstore LA	10/1/2018	91.97	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	91.97	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	10/18/2018	527.13	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	527.13	Please provide documentation supporting the disbursement is campaign related.		
Best Buy	12/4/2018	21.89	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	21.89	Please provide documentation supporting the disbursement is campaign related.		
Pepes Thrifty Shop LA	12/10/2018	219.00	Debit	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	219.00	Please provide documentation supporting the disbursement is campaign related.		
11 CFR §113.1(g)(1)(i)(B) Legal; 11 CFR §113.1(g)(8) Recordkeeping												
Bearson & Caldwell	3/28/2017	242.00	Debit	1042	Legal Fees	Committee Bank Statements; Database	Bearson & Caldwell	Legal Fees	242.00	Please provide documentation supporting the disbursement is campaign related.		
Cook Martin Poulson	1/19/2018	400.00	1051	1042	Legal Fees-FEC work	Committee Bank Statements; Check copy	Not Reported	Not Reported	400.00	Please provide documentation supporting the disbursement is campaign related.		
Cook Martin Poulson	10/16/2018	120.27	Debit	1042	Legal Fees	Committee Bank Statements	Not Reported	Not Reported	120.27	Please provide documentation supporting the disbursement is campaign related.		
11 CFR §113.1(g)(1)(ii)(B) Meals; 11 CFR §113.1(g)(8) Recordkeeping												
Jimmy Johns	3/9/2017	14.11	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	14.11	Please provide documentation supporting the disbursement is campaign related.		
The Bialeklit Salt Lake on 3/28/17	3/30/2017	22.65	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	22.65	Please provide documentation supporting the disbursement is campaign related.		
Ute Plaza Super Jensen	5/11/2018	8.89	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	8.89	Please provide documentation supporting the disbursement is campaign related.		
Kalipeeh Kah-Ahn Coffee House	5/14/2018	30.74	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	30.74	Please provide documentation supporting the disbursement is campaign related.		
Tst Lucky Slice	5/23/2018	7.51	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	7.51	Please provide documentation supporting the disbursement is campaign related.		
Ute Crossing Grill Fort Duchesne	5/23/2018	15.02	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	15.02	Please provide documentation supporting the disbursement is campaign related.		
Einstein Bros Bagel	6/5/2018	3.07	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	3.07	Please provide documentation supporting the disbursement is campaign related.		
Freebirds Salt Lake	6/5/2018	10.78	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	10.78	Please provide documentation supporting the disbursement is campaign related.		
Foldmans Deli Salt lake	6/7/2018	45.76	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	45.76	Please provide documentation supporting the disbursement is campaign related.		
501 on Main Park City UT	6/21/2018	67.02	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	67.02	Please provide documentation supporting the disbursement is campaign related.		
The Other Place Pittsburgh	6/22/2018	24.38	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	24.38	Please provide documentation supporting the disbursement is campaign related.		
My Taco	7/10/2018	32.02	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	32.02	Please provide documentation supporting the disbursement is campaign related.		
Sq Café @ New Sch Culver City	7/10/2018	37.40	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	37.40	Please provide documentation supporting the disbursement is campaign related.		
Burbank Airport	8/30/2018	23.83	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	23.83	Please provide documentation supporting the disbursement is campaign related.		
Whiskey street Taylorsville	8/31/2018	50.75	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	50.75	Please provide documentation supporting the disbursement is campaign related.		
Plaza Café Southside Santa Fe	9/27/2018	45.57	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	45.57	Please provide documentation supporting the disbursement is campaign related.		
Trader Joes	10/1/2018	122.26	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	122.26	Please provide documentation supporting the disbursement is campaign related.		
Trader Joes	10/2/2018	46.04	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	46.04	Please provide documentation supporting the disbursement is campaign related.		
Brown Palace Denver CO	10/29/2018	63.84	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	63.84	Please provide documentation supporting the disbursement is campaign related.		



Per Committee Disbursement Documentation										Per FEC Reports/ Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation		
Premium 244 Washington DC	12/5/2018	17.30	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	17.30	Please provide documentation supporting the disbursement is campaign related.		
Wok N Roll Washington Dc	12/6/2018	47.95	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	47.95	Please provide documentation supporting the disbursement is campaign related.		
Wok N Roll Washington Dc	12/6/2018	126.81	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	126.81	Please provide documentation supporting the disbursement is campaign related.		
Dirksen North	12/7/2018	7.25	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	7.25	Please provide documentation supporting the disbursement is campaign related.		
Mi Vida Restaurante DC	12/7/2018	333.90	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	333.90	Please provide documentation supporting the disbursement is campaign related.		
The Smith DC	12/7/2018	99.20	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	99.20	Please provide documentation supporting the disbursement is campaign related.		
Dirksen North	12/10/2018	14.07	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	14.07	Please provide documentation supporting the disbursement is campaign related.		
Peets Washington DC	12/10/2018	16.94	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	16.94	Please provide documentation supporting the disbursement is campaign related.		
Tony Chungs Washington DC	12/10/2018	67.50	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	67.50	Please provide documentation supporting the disbursement is campaign related.		
Buca Di Beppo LA	12/11/2018	129.51	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	129.51	Please provide documentation supporting the disbursement is campaign related.		
Irish Channel Washington DC	12/11/2018	24.79	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	24.79	Please provide documentation supporting the disbursement is campaign related.		
Dulce USC Village LA	12/19/2018	35.91	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	35.91	Please provide documentation supporting the disbursement is campaign related.		
Mijares Mexican Pasadena	12/24/2018	48.28	Debit	1042	Food	Committee Bank Statements	Not Reported	Not Reported	48.28	Please provide documentation supporting the disbursement is campaign related.		
11 CFR § 113.1(g)(1)(ii)(C) Travel; 11 CFR § 113.1(g)(8) Recordkeeping												
Jasana Cuch	4/16/2018	200.47	1062	1042	2 Nights Hotel U of I Pow Wow	Committee Bank Statements; Check copy	Not Reported	Not Reported	200.47	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Southwest	4/23/2018	165.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	165.96	Please provide documentation supporting the disbursement is campaign related.		
Delta Air	6/8/2018	204.20	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	204.20	Please provide documentation supporting the disbursement is campaign related.		
Delta Air	6/8/2018	19.99	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	19.99	Please provide documentation supporting the disbursement is campaign related.		
Delta Air	6/8/2018	115.20	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	115.20	Please provide documentation supporting the disbursement is campaign related.		
Abf Transportation	7/5/2018	2,207.00	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	2,207.00	Please provide documentation supporting the disbursement is campaign related.		
Southwest	7/19/2018	171.96	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	171.96	Please provide documentation supporting the disbursement is campaign related.		
Little America Hotel SLC	9/4/2018	195.70	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	195.70	Please provide documentation supporting the disbursement is campaign related.		
Southwest	9/11/2018	295.78	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	295.78	Please provide documentation supporting the disbursement is campaign related.		
Southwest	9/11/2018	372.10	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	372.10	Please provide documentation supporting the disbursement is campaign related.		
Southwest	9/13/2018	243.60	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	243.60	Please provide documentation supporting the disbursement is campaign related.		
Hotel Monte Vista	9/20/2018	127.59	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	127.59	Please provide documentation supporting the disbursement is campaign related.		
Travelodge Kanab	9/24/2018	90.67	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	90.67	Please provide documentation supporting the disbursement is campaign related.		
Advantage Car Albuquerque	9/26/2018	635.71	Debit	1042	Auto Rental	Committee Bank Statements	Not Reported	Not Reported	635.71	Please provide documentation supporting the disbursement is campaign related.		
Travel Insurance	9/26/2018	9.00	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	9.00	Please provide documentation supporting the disbursement is campaign related.		
Courtyard Pittsburgh PA	10/9/2018	169.86	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	169.86	Please provide documentation supporting the disbursement is campaign related.		
Days Inn Denver CO	10/24/2018	129.40	Debit	1042	Lodging	Committee Bank Statements	Not Reported	Not Reported	129.40	Please provide documentation supporting the disbursement is campaign related.		
American Air Fort Worth	11/13/2018	410.40	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	410.40	Please provide documentation supporting the disbursement is campaign related.		
United Airlines	11/13/2018	379.59	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	379.59	Please provide documentation supporting the disbursement is campaign related.		

Per Committee Disbursement Documentation							Per FEC Reports/ Committee Database			
Payee Name	Payment Date	Payment Amount	Check Number/ Wire Transfer/ Debit/ Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation
United Airlines	11/21/2018	595.60	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	595.60	Please provide documentation supporting the disbursement is campaign related.
Grand Cab Washington DC	12/7/2018	9.12	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	9.12	Please provide documentation supporting the disbursement is campaign related.
UVC Cab Co Washington DC	12/7/2018	13.90	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	13.90	Please provide documentation supporting the disbursement is campaign related.
American Air Fort Worth	12/10/2018	70.00	Debit	1042	Flight	Committee Bank Statements	Not Reported	Not Reported	70.00	Please provide documentation supporting the disbursement is campaign related.
Taxi Svc Gardena CA	12/10/2018	65.56	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	65.56	Please provide documentation supporting the disbursement is campaign related.
Taxi Svc Washington DC	12/10/2018	11.28	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	11.28	Please provide documentation supporting the disbursement is campaign related.
Unified Valet Pasadena	12/24/2018	10.00	Debit	1042	Transportation	Committee Bank Statements	Not Reported	Not Reported	10.00	Please provide documentation supporting the disbursement is campaign related.
11 CFR §13.1(g)(1)(i)(D) Vehicle expenses; 11 CFR §13.1(g)(8) Recordkeeping										
Robert Lucero	2/23/2017	298.43	1018	1042	Reimbursement 2 Trips SLC, 1 D.C.	Committee Bank Statements; Check copy	Maverick	Mileage Expense	298.43	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
Valley Motors	3/13/17	20,000.00	Cash	1042	Vehicle Purchase	Committee Bank Statements; Vehicle purchase receipt; Withdrawal slip	The Ute PAC	Outdoor Retailer-Advertising	20,000.00	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
Smiths Fuel	12/4/2017	42.99	Debit	1042	Gasoline	Committee Bank Statements; Receipt	Travel Expenses	Gasoline	42.99	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
American System Group	3/15/2018	2,000.00	1058	1042	Auto Mainframe repairs	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
Cache Valley Auto	5/14/18	869.79	Debit	1042	Vehicle Tires	Committee Bank Statements; Emails	Not Reported	Not Reported	869.79	Please provide mileage logs for the vehicle used and any other documentation that would demonstrate it was used for campaign purposes.
11 CFR §13.1(g) Personal Use; 11 CFR §13.1(g)(8) Recordkeeping										
Robert Lucero	2/9/17	100.00	Cash	5263	Petty Cash	Committee Bank Statements; Former Treasurer's response; Withdrawal slip	Not Reported	Not Reported	100.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	2/27/17	150.00	1016	1042	Local Expenses	Committee Bank Statements; Database; Check copy	Staples	Office Supplies Expense	150.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	3/13/17	15,000.00	Cash	1042	Treasurer's Salary	Committee Bank Statements; Database; Former Treasurer's email; Contract between ASG and UTEP; Withdrawal slip	The Ute PAC	Outdoor Retailer-Advertising	15,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	3/30/17	5,000.00	Cash	1042	April payroll	Committee Bank Statements; Database; Withdrawal slip	The Ute PAC	April payroll	5,000.00	Please provide documentation supporting the disbursement is campaign related.
American System Group	4/2/2017	5,000.00	1023	1042	Office Infrastructure	Committee Bank Statements; Database; Check copy	American System Group	Advertising	5,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	4/5/2017	10,800.00	1021	1042	OR Convention booth	Committee Bank Statements; Database; Check copy; Contract	Outdoor Retailer	Advertising	10,800.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	4/27/2017	8,500.00	1024	1042	Media Ads, Video Work	Committee Bank Statements; Database; Check copy; Contract	Outdoor Retailer	Advertising	8,500.00	Please provide documentation supporting the disbursement is campaign related.
American System Group	5/4/17	5,000.00	Cash	1042	Advertising	Committee Bank Statements; Database; Withdrawal slip	American System Group	Advertising	5,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	5/24/17	10,010.00	Cash	1042	Advertising	Committee Bank Statements; Database; Withdrawal slip; Contract	Outdoor Retailer	Advertising	10,010.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	5/24/17	7,000.00	Cash	1042	Advertising	Committee Bank Statements; Database; Withdrawal slip; Contract	Outdoor Retailer	Advertising	7,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	7/3/17	4,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.

Per Committee Disbursement Documentation						Per FEC Reports/ Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose
American System Group	8/11/17	3,500.00	Cash	1042	Advertising	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Advertising
Robert Lucero	8/22/17	1,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet	Not Reported	Not Reported
Robert Lucero	8/22/17	3,000.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	9/8/17	1,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	9/18/2017	400.00	Cash	5263	Travel	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	Travel Expenses	Travel
Robert Lucero	9/26/2017	100.00	Cash	1042	Printing	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Travel Expenses	Not Reported
Robert Lucero	9/28/2017	200.00	Cash	1042	Printing	Committee Bank Statements; Database; Former Treasurer response Spreadsheet	Travel Expenses	Not Reported
Robert Lucero	12/7/2017	1,400.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
American System Group	12/15/2017	1,000.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
American System Group	12/21/2017	500.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
American System Group	12/26/2017	1,000.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
American System Group	12/28/2017	500.00	Cash	5263	Staff pay	Committee Bank Statements; Database; Former Treasurer response Spreadsheet; Withdrawal slip	American System Group	Staff pay
Robert Lucero	1/2/2018	2,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	1/8/2018	300.00	Cash	1042	Phone bill	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported
Robert Lucero	1/8/2018	150.00	Cash	5263	Printing	Committee Bank Statements; Former Treasurer response Spreadsheet	Not Reported	Not Reported

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Per Committee Disbursement Documentation											Per FEC Reports / Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number /Wire Transfer /Debit/ Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation			
Robert Lucero	1/12/2018	10,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	10,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	1/17/2018	4,000.00	1049	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	1/17/2018	2,000.00	1050	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	1/22/2018	200.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	200.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	2/1/2018	6,500.00	1052	1042	Staffing	Committee Bank Statements; Check copy	Not Reported	Not Reported	6,500.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	2/14/2018	2,000.00	1053	1042	Media consulting	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	2/23/2018	1,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	2/26/2018	6,000.00	1054	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	6,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	3/9/2018	2,000.00	1055	1042	Media consulting	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	3/9/2018	503.58	1056	1042	2 R/T Tickets to Ute Rez	Committee Bank Statements; Check copy	Not Reported	Not Reported	503.58	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	3/15/2018	462.16	1057	1042	Mileage to and from Bears Ears	Committee Bank Statements; Check copy	Not Reported	Not Reported	462.16	Please provide documentation supporting the disbursement is campaign related.			
American System Group	3/22/2018	3,500.00	1059	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	3,500.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	3/30/2018	4,000.00	1060	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	4/6/2018	2,000.00	1061	1042	Hotels	Committee Bank Statements; Check copy	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	4/9/2018	1,800.00	Cash	1042	Bank Originated Debt	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	1,800.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	4/10/2018	5,000.00	1063	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	5,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	4/23/2018	8,000.00	1064	1042	Video work	Committee Bank Statements; Check copy	Not Reported	Not Reported	8,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	5/3/2018	4,000.00	1068	1042	Deposited or Cashed check	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	5/9/2018	4,000.00	1070	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	4,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	5/17/2018	2,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	2,000.00	Please provide documentation supporting the disbursement is campaign related.			
Robert Lucero	5/23/2018	1,000.00	1073	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.			
American System Group	6/4/2018	3,000.00	1076	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	3,000.00	Please provide documentation supporting the disbursement is campaign related.			

Per Committee Disbursement Documentation							Per FEC Reports/ Committee Database		
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Recommendation
Robert Lucero	6/7/2018	3,000.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	6/13/2018	3,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	6/20/2018	3,000.00	1077	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	6/20/2018	500.00	Cash	1042	Phone bill	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	6/27/2018	2,500.00	1079	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	7/3/2018	3,200.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	7/9/2018	2,000.00	Cash	1042	eWithdrawal at Branch	Committee Bank Statements	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	7/13/2018	1,000.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	8/1/2018	1,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	8/10/2018	1,200.00	Cash	5263	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	8/22/2018	290.00	Cash	5263	Phone bill	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	8/29/2018	10,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	9/4/2018	2,000.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	9/4/2018	4,500.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	9/6/2018	1,000.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	9/10/2018	3,700.00	Cash	1042	Payroll	Committee Bank Statements; Former Treasurer response Spreadsheet; Withdrawal slip	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	9/17/2018	1,000.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	10/22/2018	300.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	Please provide documentation supporting the disbursement is campaign related.

Per Committee Disbursement Documentation							Per FEC Reports / Committee Database			
Payee Name	Payment Date	Payment Amount	Check Number/Wire Transfer/Debit/Cash withdrawal	Bank Account Number	Purpose	Type of Documentation Reviewed	Payee Name	Purpose	Error Amount	Recommendation
American System Group	11/15/2018	5,000.00	1080	1042	Unknown	Committee Bank Statements; Check copy	Not Reported	Not Reported	5,000.00	Please provide documentation supporting the disbursement is campaign related.
Robert Lucero	12/10/2018	200.00	Cash	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	200.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	3/30/2017	108.98	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	108.98	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/12/2018	1,000.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	1,000.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/12/2018	200.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	200.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/15/2018	400.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	400.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/15/2018	287.30	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	287.30	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/22/2018	91.96	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	91.96	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/24/2018	483.53	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	483.53	Please provide documentation supporting the disbursement is campaign related.
Venmo	10/29/2018	53.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	53.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	11/28/2018	300.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	11/28/2018	300.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/13/2018	80.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	80.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/14/2018	50.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	50.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/17/2018	70.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	70.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/17/2018	300.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	300.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/19/2018	60.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	60.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/20/2018	140.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	140.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	55.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	55.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	144.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	144.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	210.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	210.00	Please provide documentation supporting the disbursement is campaign related.
Venmo	12/24/2018	110.00	Venmo Visa Direct NY	1042	Unknown	Committee Bank Statements	Not Reported	Not Reported	110.00	Please provide documentation supporting the disbursement is campaign related.
								Total Amount	\$249,058.85	

# EXHIBIT C



# Wells Fargo Combined Statement of Accounts

Primary account number:

■ September 29, 2016 - October 7, 2016 ■ Page 1 of 5



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: [wellsfargo.com/biz](http://wellsfargo.com/biz)

Write: Wells Fargo Bank, N.A. (119)

P.O. Box 6995

Portland, OR 97228-6995

## Your Business and Wells Fargo

Get a clear look at the business financing process to decide if and when business credit is right for you. Visit [wellsfargoworks.com/credit](http://wellsfargoworks.com/credit) to find out more.

Credit decisions subject to credit qualification.

## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking ☐  
Online Statements ☐  
Business Bill Pay ☐  
Business Spending Report ☐  
Overdraft Protection ☐

## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		0.00	29,574.82
Wells Fargo Simple Business Checking	3		0.00	0.00
<b>Total deposit accounts</b>			<b>\$0.00</b>	<b>\$29,574.82</b>

Primary account number:

■ September 29, 2016 - October 7, 2016 ■ Page 2 of 5

**WELLS  
FARGO**

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 9/29	\$0.00
Deposits/Credits	100,000.00
Withdrawals/Debits	- 70,425.18
<b>Ending balance on 10/7</b>	<b>\$29,574.82</b>
Average ledger balance this period	\$16,594.96

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
10/3		WT Seq144023 Ute Indian Tribe, Gov't /Org=Ute Indian Tribe Srf# IN16100311500225 Trn#161003144023 Rfb# 000000697	100,000.00		
10/3		Wire Trans Svc Charge - Sequence: 161003144023 Srf# IN16100311500225 Trn#161003144023 Rfb# 000000697		15.00	
10/3		Withdrawal Made In A Branch/Store		50,000.00	
10/3		Withdrawal Made In A Branch/Store		10,000.00	
10/3		Withdrawal Made In A Branch/Store		10,000.00	29,985.00
10/6		Purchase authorized on 10/04 Fedexoffice 0000 Logan UT S466279063727590 Card 9702		0.30	
10/6		Purchase authorized on 10/05 Best Buy 00009456 Logan UT P00000000850231472 Card 9702		159.88	29,824.82
10/7		Purchase authorized on 10/06 Venmo 855-812-4430 NY S306279425905712 Card 9702		250.00	29,574.82
<b>Ending balance on 10/7</b>					<b>29,574.82</b>
<b>Totals</b>			<b>\$100,000.00</b>	<b>\$70,425.18</b>	

*The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.*

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 09/29/2016 - 10/07/2016

Standard monthly service fee \$10.00

You paid \$0.00

We waived the fee this fee period to allow you to meet the requirements to avoid the monthly service fee. Your fee waiver is about to expire. You will need to meet the requirement(s) to avoid the monthly service fee.

#### How to avoid the monthly service fee

Have any **ONE** of the following account requirements

- Average ledger balance

Minimum required

\$500.00

This fee period

\$16,595.00 ☒

C1/C1

## Account transaction fees summary

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## IMPORTANT ACCOUNT INFORMATION

It's important for you to have peace of mind.

We want to ensure you're comfortable with your accounts and have the tools you need to manage your money. We recommend you visit your local Wells Fargo bank location, or call the toll-free number that appears on this statement, to make sure you are satisfied with all your accounts and services.

We'll spend time understanding your financial needs and reviewing your accounts and options. We'll also help you close any accounts or discontinue services you do not recognize or want, and discuss the process that's been established to address any remaining concerns resulting from accounts and services opened on your behalf.

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 9/29	\$0.00
Deposits/Credits	0.00
Withdrawals/Debits	- 0.00
<b>Ending balance on 10/7</b>	<b>\$0.00</b>

Account number:

**THE UTE PAC**

*Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 09/29/2016 - 10/07/2016

Standard monthly service fee \$10.00

You paid \$0.00

We waived the fee this fee period to allow you to meet the requirements to avoid the monthly service fee. Your fee waiver is about to expire. You will need to meet the requirement(s) to avoid the monthly service fee.

#### How to avoid the monthly service fee

Have any **ONE** of the following account requirements

- Average ledger balance

Minimum required

\$500.00

This fee period

\$0.00 ☐

Primary account number:

■ September 29, 2016 - October 7, 2016 ■ Page 4 of 5


 WELLS  
FARGO

---

**Monthly service fee summary (continued)**

C1/C1

---

**Account transaction fees summary**

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

**ENTER**

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

B. Any deposits listed in your register or transfers into your account which are not shown on your statement.	\$ _____
	\$ _____
	\$ _____
	+ \$ _____
..... <b>TOTAL</b>	<b>\$ _____</b>

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

..... TOTAL \$

**SUBTRACT**

C. The total outstanding checks and withdrawals from the chart above . . . . . - \$

**CALCULATE THE ENDING BALANCE**

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . . \$ .

[illegible]

# EXHIBIT D

Image# 201610279036654392

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 6 OF 7

☐ 11a ☐ 11b ☐ 11c ☒ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**New Deal PAC**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **A. Ute PAC committee**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

C00625731

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

10000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 03 / 2016

**Transaction ID : SA12.4197**

Amount of Each Receipt this Period

10000.00

☐ Memo Item  
Bank Transfer

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **B.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary

☐ General

☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

10000.00

**TOTAL** This Period (last page this line number only)..... ►

10000.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 9

(check only one)

☐ 11a ☐ 11b ☐ 11c ☒ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**New Deal PAC**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **A. Ute PAC committee**

Mailing Address 55 East 100 North STE 105

City  
Logan

State  
UT

Zip Code  
84321

FEC ID number of contributing  
federal political committee.

C

C00625731

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

14000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
11 / 14 / 2016

Transaction ID : SA12.4240

Amount of Each Receipt this Period

4000.00



Memo Item

Transfer of funds from Ute Pac Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **B.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period



Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period



Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

4000.00

**TOTAL** This Period (last page this line number only)..... ►

4000.00



# EXHIBIT E

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 7 OF 9

☒ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27  
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

**New Deal PAC**

Full Name (Last, First, Middle Initial)

## **A. Best Buy**

Mailing Address 1475 North Main Street  
#120

City  
Logan

State  
UT

Zip Code  
84341

Purpose of Disbursement  
Office Supply For Television Non Contribution Account

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

State: District:

Disbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
10 / 24 / 2016

FEC Identification Number

**C**

**Transaction ID : SB21B.4206**

Amount of Each Disbursement this Period

239.82

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Lucero, Robert, , ,**

Mailing Address 55 East 100 North  
105

City  
Logan

State  
UT

Zip Code  
84321

Purpose of Disbursement  
Salary Expense Non-Contribution Account

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

State: District:

Disbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
10 / 21 / 2016

FEC Identification Number

**C**

**Transaction ID : SB21B.4235**

Amount of Each Disbursement this Period

2500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Lucero, Robert, , ,**

Mailing Address 55 East 100 North  
105

City  
Logan

State  
UT

Zip Code  
84321

Purpose of Disbursement  
Salary Pay Non Contribution Account

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

State: District:

Disbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
11 / 14 / 2016

FEC Identification Number

**C**

**Transaction ID : SB21B.4232**

Amount of Each Disbursement this Period

2500.00

☐ Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

5239.82

Image# 201701269041493615

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 7 OF 8

☒ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27  
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

**New Deal PAC**

Full Name (Last, First, Middle Initial)

## **A. American Systems Institute**

Mailing Address 55 East 100 North

City  
Logan

State  
UT

Zip Code  
84321

Purpose of Disbursement  
Consulting Service

001

Candidate Name

**New Deal PAC**

Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
12 / 30 / 2016

FEC Identification Number

C C00625731

**Transaction ID : SB21B.4244**

Amount of Each Disbursement this Period

400.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Wells Fargo Bank**

Mailing Address P.O Box

City  
Oregon

State  
OR

Zip Code  
97228

Purpose of Disbursement  
Office Expense

001

Candidate Name

**New Deal PAC**

Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify)

State:

District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
12 / 05 / 2016

FEC Identification Number

C C00625731

**Transaction ID : SB21B.4246**

Amount of Each Disbursement this Period

1200.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. New Deal PAC**

Mailing Address 55 East 100 North  
105

City  
Logan

State  
UT

Zip Code  
84321

Purpose of Disbursement  
Payroll Expense

Candidate Name

**New Deal PAC**

Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
12 / 05 / 2016

FEC Identification Number

C C00625731

**Transaction ID : SB21B.4246.**

Amount of Each Disbursement this Period

1200.00

☒ Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ►

1600.00

**TOTAL** This Period (last page this line number only)..... ►

1600.00

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 8 OF 8

☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27  
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**NEW DEAL PAC**

Full Name (Last, First, Middle Initial)

## **A. American System Group**

Mailing Address 55 E 100 N  
Ste 105

City  
Logan

State  
UT

Zip Code  
84321

Purpose of Disbursement  
Advertising

004

Category/  
Type

Candidate Name

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
01 / 02 / 2017

FEC Identification Number

C

Transaction ID : SB29.4111

Amount of Each Disbursement this Period

8813.35

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B.**

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

FEC Identification Number

C

Amount of Each Disbursement this Period

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C.**

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

FEC Identification Number

C

Amount of Each Disbursement this Period

☐ Memo Item

**SUBTOTAL** of Disbursements This Page (optional).....▶

**TOTAL** This Period (last page this line number only).....▶

8813.35

8813.35

# EXHIBIT F

**From:** [Robert Lucero](#)  
**To:** [Rollie Wilson](#)  
**Subject:** Re: Outdoor Retail Expenses  
**Date:** Monday, September 28, 2020 4:00:27 PM

---

Hi Rollie,

These are the explanations I have for the other Outdoor Retailer expenses”

- \$35,000 on 3/13/17 – we’ve got the information for this one.
- \$10,800 on 4/5/17—[Booth space down payment](#)
- \$8,500 on 4/27/17—[Second booth payment](#)
- \$10,010 on 5/24/17---[Conference advertising and additional staff budget for Outdoor Retailer \(total of 4 staff\)](#)
- \$7,000 on 5/24/17—[Final booth space payment and advertising package \(possible overpayment to Portland Woolen Mills\)](#)

Robert

---

**From:** Rollie Wilson <[RWilson@nativelawgroup.com](mailto:RWilson@nativelawgroup.com)>  
**Date:** Sunday, September 27, 2020 at 9:59 PM  
**To:** Robert Lucero <[robert@newdealgroup.com](mailto:robert@newdealgroup.com)>  
**Subject:** Outdoor Retail Expenses

- \$35,000 on 3/13/17 – we’ve got the information for this one.
- \$10,800 on 4/5/17
- \$8,500 on 4/27/17
- \$10,010 on 5/24/17
- \$7,000 on 5/24/17

**From:** [Robert Lucero](#)  
**To:** [Rollie Wilson](#)  
**Subject:** Re: Outdoor Retailer Receipt, Picture, Ads  
**Date:** Wednesday, September 23, 2020 2:10:17 PM  
**Attachments:** [Ute PAC Honda Docs.pdf](#)

---

Hi Rollie. There was no \$35,000 for Outdoor Retailer in 10/10/18, I don't know where that comes from. We did not do anything with OR in 2018.

The original \$35,000 was for \$15,000 for me (my 10%) then \$20,000 for a Ute PAC used all-wheel drive SUV. The receipt for the purchase and sale of that is attached. We had it for 2 years.

The Outdoor Retailer (OR) charges for 2017 are the ones from April and May. The \$8000 that Doug mentioned was a down payment on the space. He just kept asking for more and more, for the space, and for internal advertising. I was new to the OR that year and he had approached Ute PAC sounding like he wanted to help but it mostly seemed like he wanted everything on his terms: money, a position on the ILPLA board (!), he is a bit of a wild old guy.

Sorry for the mix up. I did not realize the car wasn't in there.

Robert

---

**From:** Rollie Wilson <RWilson@nativelawgroup.com>  
**Date:** Sunday, September 20, 2020 at 7:59 PM  
**To:** Robert Lucero <robert@newdealgroup.com>  
**Subject:** RE: Outdoor Retailer Receipt, Picture, Ads

Hi Robert,

And, then another \$35,000 for Outdoor Retailer Advertising on 10/10/18. I meant to include that on the list below, but could not find it.

Thanks, Rollie

---

**From:** Rollie Wilson  
**Sent:** Sunday, September 20, 2020 10:28 PM  
**To:** 'Robert Lucero' <robert@newdealgroup.com>  
**Subject:** RE: Outdoor Retailer Receipt, Picture, Ads

Hi Robert,

I contacted Doug to see if he had a receipt for the booth space. Doug reports that the estimate you provided, for 900 sqft, was not purchased. He said "Robert paid \$8,000 to Portland Woolen Mills for booth space at the same Outdoor Retailer show."

Please let me know about that.

Also, more than half of the FEC's \$140,675.84 in undocumented communications expenses were at the Outdoor Retailer Association in the spring of 2017. It would good if we could cut this finding in half by providing invoices for these expenses, explaining what they were, and providing pictures or copies of the advertising.

All of these were for Outdoor Retailer Advertising. If we don't have invoices, at least explain what each of these were for:

- \$35,000 on 3/13/17
- \$10,800 on 4/5/17
- \$8,500 on 4/27/17
- \$10,010 on 5/24/17
- \$7,000 on 5/24/17

Thanks, Rollie

---

**From:** Robert Lucero <[robert@newdealgroup.com](mailto:robert@newdealgroup.com)>

**Sent:** Monday, September 14, 2020 12:45 AM

**To:** Rollie Wilson <[RWilson@nativelawgroup.com](mailto:RWilson@nativelawgroup.com)>

**Subject:** Outdoor Retailer Receipt, Picture, Ads

Hi Rollie,

I am very sorry for the last minute additions to your work on the FEC, I forgot to include more important information about the Outdoor Retailer expenses. I had partnered at that event with Doug Hoshek of Portland Woolen Mills. I emailed him to ask him for a copy of the final receipt, as I sent money to him, but I have not heard back from him. The estimate is the closest thing we have. We had rented nearly 900 sq ft at \$36 per for that show which came out to about \$35,000. It was approved by the BC that we be there, they wanted us there and we did a lot but that sticker price was terrible, mistake of a newer organization, even though this is the event where we got Sally Jewell's signature for Ute PAC, and major exposure in the outdoor community, etc.

Attached is the invoice I do have, which at least shows the estimate rate per foot. One of the pictures (the last attachment) shows the area of the booth. The other files are of the media and ad materials that we used at OR, ad we circulated for Larry's presentation as well.

Robert



# EXHIBIT G

# OUTDOOR RETAILER

OUTDOOR  
INDUSTRY  
OUTDOOR Retailer is an Emerald Expositions event  
endorsed & sponsored by Outdoor Industry Association

## 2017 SUMMER MARKET RENEWAL EXHIBIT SPACE CONTRACT

JULY 26 - 29, 2017 • SALT PALACE CONVENTION CENTER • SALT LAKE CITY, UT

### EXHIBITOR INFORMATION

Customer # **427868**

Company Name (Legal Billing Name) **Portland Woolen Mills** Exhibiting As/DBA (If Different) \_\_\_\_\_  
**58031 Tournament Lane** **Sunriver**  
 Address \_\_\_\_\_ City \_\_\_\_\_  
**OR** **97707** **USA** **portlandwoolenmills.com**  
 State \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_ Website \_\_\_\_\_  
 Tradeshow Contact Information - Name **Doug Hoschek**  
 Email \_\_\_\_\_ Phone **503-929-4222** Fax \_\_\_\_\_

(Portions of the above exhibitor information will appear in the Summer Market 2017 Official OR Pre-Show Daily, the Show Directory and/or the Outdoor Retailer website.)

### STEP 1: SIGNATURE

We understand and agree that this application for Exhibit Space becomes a binding contract when accepted in writing by Emerald Expositions, LLC, the show organizer, and we hereby agree that the attached Terms and Conditions are enforceable and are incorporated into and control this Exhibit Space Contract, once it is accepted by Emerald Expositions, LLC. We further agree that any terms and conditions associated with any purchase order we may submit in order to process payment for this Exhibit Space Contract are of no force or effect, regardless of the express language of the purchase order we submit.

Exhibitor represents and warrants that the party executing this Agreement on behalf of Exhibitor is duly authorized to act on behalf of Exhibitor and to execute this Agreement and legally bind Exhibitor to the terms contained herein.

Signature Required >

Agreed to by \_\_\_\_\_

signature of official company representative

Date **3/8/17**

### STEP 2: BOOTH SIZE REQUEST

RENEWAL BOOTH DEPOSITS AND CONTRACTS ARE DUE DECEMBER 15, 2016

We request **10** x **37** ft. totaling **370** sq. ft. of exhibit space

(see example). Standard booth size: 10' x 10' feet (100 sq. ft.).

(ex: 10' x 10' totals 100 sq. ft.; 10' x 20' totals 200 sq. ft.; 20' x 20' totals 400 sq. ft.; 20' x 30' totals 600 sq. ft.; etc.)

**EXHIBITION SPACE LOCATION.** Outdoor Retailer reserves the right, in its sole and absolute discretion, to determine who will be permitted to display products as an exhibitor, and the size, location and configuration of exhibition space for which application is made. Exhibiting at prior shows, nature of product displayed, number of booths requested and other factors may influence, but does not guarantee location of exhibition space.

### STEP 4: FEES AND REMITTANCE

Fees and Remittance—Outdoor Retailer Summer Market 2017:

- 0-499 sq. ft. = **\$36.00/sq. ft.**
- 500-1199 sq. ft. = **\$29.75/sq. ft.**
- 1200 sq. ft. = **\$28.75/sq. ft.**

A 10% OIA credit will be applied to the FINAL booth space invoice of customers with active OIA status as of April 27, 2017.

#### DEPOSIT SCHEDULE:

• 25% of total booth invoice due with contract to reserve space by December 15, 2016. (Non-refundable processing fee.)

• 50% of total invoice due by March 4, 2017. (Exhibitor is liable for 50% of total contract for cancellation or reductions after this date.)

• 100% of total invoice due by May 24, 2017. (Exhibitor is liable for 100% of total contract for cancellations or reductions after this date.)

Booth cost does not include material handling, labor, electrical, decoration or other available auxiliary services. **ALL REQUESTS FOR EXHIBIT SPACE MUST BE FOLLOWED IMMEDIATELY BY A DEPOSIT. (SEE "CANCELLATION OR REDUCTION" POLICY ON REVERSE SIDE OF CONTRACT.)**

### FEE WORKSHEET

TOTAL BOOTH SPACE FEE \$ \_\_\_\_\_

Booth Deposit Submitted ☐ 25% Deposit  
 \$ \_\_\_\_\_ ☐ 50% Deposit  
 (Deposit due with contract) See deposit schedule on left. ☐ 100%

Enhanced Exhibitor Listing Upgrade ☐ **\$495**  
 (100% due with submission of contract) See Description on page 4.

TotalReach™ Package Amount \$ \_\_\_\_\_  
 (100% due with submission of contract)

TOTAL CONTRACT AMOUNT DUE \$ \_\_\_\_\_  
 (Includes 100% TotalReach™)

TOTAL PAYMENT SUBMITTED WITH CONTRACT \$ \_\_\_\_\_  
 (Must include 100% of TotalReach™ and Booth Deposit)

### STEP 3: UPGRADE OPPORTUNITIES & PACKAGES

#### TAKE ADVANTAGE OF THESE PROVEN WAYS TO INCREASE VISIBILITY AND ENGAGEMENT

Please see additional options on page 4 for brand exposure.

- ☒ **1 SHOW DIRECTORY FULL PAGE AD** **\$2,750**
- ☐ **2 ONLINE BOOTH LOGO UPGRADE** **\$595\***
- ☐ **3 OUTDOOR RETAILER MAGAZINE JUNE ISSUE FULL PAGE AD** **\$3,750**

\* 20' x 20' or larger only

### STEP 5: PAYMENT INSTRUCTIONS

EMAIL COMPLETED CONTRACT AND COMPANY INFO TO:

**DAVE.NIELSON@OUTDOORRETAILER.COM**

OR FAX TO: **DAVE NIELSON AT 949/606-8901**

### PAYMENT

If you wish to pay the balance by ACH, wire or check, Outdoor Retailer must receive the full installment booth balance prior to each installment due date. If ACH, wire or check is not received, your credit card on file will automatically be charged the installment booth balance.

#### PAYING BY CHECK:

Make checks payable to Outdoor Retailer  
 Emerald Expositions, LLC  
 32753 Collection Center Drive  
 Chicago, IL 60693-0327

#### PAYING BY ACH:

Emerald Expositions, LLC  
 Attn: Outdoor Retailer  
 Bank of America  
 2701 Harbor Blvd.  
 Costa Mesa CA 92626  
 ACH Routing #122000661  
 Acct# 1453616843

#### PAYING BY WIRE:

Emerald Expositions, LLC  
 Attn: Outdoor Retailer  
 Bank of America  
 2701 Harbor Blvd.  
 Costa Mesa CA 92626  
 Routing #026009593  
 Acct# 1453616843  
 SWIFT Code BOFAUS3N

**PAYING BY CREDIT CARD:** If you wish to pay by credit card, Outdoor Retailer will send you an invoice with a link to pay your balance online.

### ACCEPTANCE

Please complete and email or fax this entire contract, to include pages 1, 3 and 5. An email or faxed copy will be accepted to reserve space, however, the deposit must be received by Outdoor Retailer within 10 days of the space reservation. Note that the receipt of this completed Exhibit Space Contract by Outdoor Retailer is required in order to exhibit.

# EXHIBIT H



MUR79000076



**From:** [Robert Lucero](#)  
**To:** [Rollie Wilson](#)  
**Subject:** Outdoor Retailer Receipt, Picture, Ads  
**Date:** Monday, September 14, 2020 12:46:17 AM  
**Attachments:** [OR summer market 2017 Receipt.pdf](#)  
[UTEbisonOR.pdf](#)  
[UTEHistoryatOR.pdf](#)  
[LarryOR Flyer 4 page.pdf](#)

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Hi Rollie,

I am very sorry for the last minute additions to your work on the FEC, I forgot to include more important information about the Outdoor Retailer expenses. I had partnered at that event with Doug Hoshek of Portland Woolen Mills. I emailed him to ask him for a copy of the final receipt, as I sent money to him, but I have not heard back from him. The estimate is the closest thing we have. We had rented nearly 900 sq ft at \$36 per for that show which came out to about \$35,000. It was approved by the BC that we be there, they wanted us there and we did a lot but that sticker price was terrible, mistake of a newer organization, even though this is the event where we got Sally Jewell's signature for Ute PAC, and major exposure in the outdoor community, etc.

Attached is the invoice I do have, which at least shows the estimate rate per foot. One of the pictures (the last attachment) shows the area of the booth. The other files are of the media and ad materials that we used at OR, ad we circulated for Larry's presentation as well.

Robert

# EXHIBIT I

**From:** [Robert Lucero](#)  
**To:** [Rollie Wilson](#)  
**Subject:** Re: Outdoor Retailer Receipt, Picture, Ads  
**Date:** Monday, September 21, 2020 11:03:59 PM

---

Hi Rollie,

No, this is all way off. I did some work on it today and will send stuff tomorrow. Doug is off, too. It was least \$18,000. The \$8,000 was a down payment on the booth! Did he send you a receipt?

Thanks,  
Robert

Sent from my iPhone

On Sep 20, 2020, at 7:59 PM, Rollie Wilson <[RWilson@nativelawgroup.com](mailto:RWilson@nativelawgroup.com)> wrote:

Hi Robert,

And, then another \$35,000 for Outdoor Retailer Advertising on 10/10/18. I meant to include that on the list below, but could not find it.

Thanks, Rollie

---

**From:** Rollie Wilson  
**Sent:** Sunday, September 20, 2020 10:28 PM  
**To:** 'Robert Lucero' <[robert@newdealgroup.com](mailto:robert@newdealgroup.com)>  
**Subject:** RE: Outdoor Retailer Receipt, Picture, Ads

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Please let me know about that.

Also, more than half of the FEC's \$140,675.84 in undocumented communications expenses were at the Outdoor Retailer Association in the spring of 2017. It would good if we could cut this finding in half by providing invoices for these expenses, explaining what they were, and providing pictures or copies of the advertising.

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Thanks, Rollie

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**Sent:** Monday, September 14, 2020 12:45 AM

**To:** Rollie Wilson <[RWilson@nativelawgroup.com](mailto:RWilson@nativelawgroup.com)>

**Subject:** Outdoor Retailer Receipt, Picture, Ads

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Robert



# EXHIBIT J

**From:** [Robert Lucero](#)  
**To:** [Rollie Wilson](#)  
**Subject:** Re: Outdoor Retailer Receipt, Picture, Ads  
**Date:** Wednesday, September 23, 2020 2:10:17 PM  
**Attachments:** [Ute PAC Honda Docs.pdf](#)

---

Hi Rollie. There was no \$35,000 for Outdoor Retailer in 10/10/18, I don't know where that comes from. We did not do anything with OR in 2018.

The original \$35,000 was for \$15,000 for me (my 10%) then \$20,000 for a Ute PAC used all-wheel drive SUV. The receipt for the purchase and sale of that is attached. We had it for 2 years.

The Outdoor Retailer (OR) charges for 2017 are the ones from April and May. The \$8000 that Doug mentioned was a down payment on the space. He just kept asking for more and more, for the space, and for internal advertising. I was new to the OR that year and he had approached Ute PAC sounding like he wanted to help but it mostly seemed like he wanted everything on his terms: money, a position on the ILPLA board (!), he is a bit of a wild old guy.

Sorry for the mix up. I did not realize the car wasn't in there.

Robert

---

**From:** Rollie Wilson <[RWilson@nativelawgroup.com](mailto:RWilson@nativelawgroup.com)>  
**Date:** Sunday, September 20, 2020 at 7:59 PM  
**To:** Robert Lucero <[robert@newdealgroup.com](mailto:robert@newdealgroup.com)>  
**Subject:** RE: Outdoor Retailer Receipt, Picture, Ads

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Robert

# EXHIBIT K



## MOTOR VEHICLE CONTRACT OF SALE

03/14/2017

MOTOR  
2490 NORTH MAIN ST  
NORTH LOGAN UT, 84341

ROBERT G LUCERO JR.

DATE OF SALE:

S NAME

N LOGAN

CALLEE ADDRESS

UT

84341

CITY (626) 379-7467

COUNTY

STATE

ZIP CODE

RES. PHONE

BUS. PHONE

Purchaser and Co-Purchaser(s), if any, (hereafter referred to as "Purchaser") hereby agree to purchase the following vehicle from Seller/Dealer (hereafter referred to as "Seller"), subject to all terms, conditions, warranties and agreements contained herein, including those printed on the reverse side hereof.

NEW	USED	DEMO	YEAR	MAKE	SERIES	BODY TYPE	CYL	COLOR
	<input checked="" type="checkbox"/>		2011	HONDA	PILOT	4DR SUV		SILVER
V.I.N. 5FHYF4H50BB079165				ODOMETER 70560		STOCK NO. 079165		DEL. DATE
								SALESPERSON

## PURCHASE PRICE AND OTHER SUMS DUE

1. CASH PRICE OF VEHICLE	20995.00
2. ACCESSORIES/OPTIONS	0.00
3.	
4.	
5.	
6. TOTAL CASH PRICE (add lines 1-5)	20995.00
7. MFR. REBATE \$	N/A
8. PORTION/REBATE APPLIED TO PURCHASE	0.00
9. SUBTOTAL (lines 6 through 8)	20995.00

## TRADE-IN AND/OR OTHER CREDITS

YEAR/MAKE	ODOMETER
SERIES	BODY TYPE
V.I.N.	
*BALANCE OWED ON TRADE-IN:	0.00
BALANCE OWED TO:	N/A
ADDRESS:	
PAYOFF	GOOD
VERIFIED BY:	UNTIL:
DATE OF VERIFICATION	ACC.#:

**\*WARRANTY AS TO BALANCE OWED ON TRADED-IN VEHICLE:**  
Purchaser warrants that he/she has given Seller a true pay-off amount on any vehicle traded in, and that if it is not correct and is greater than the amount shown above, Purchaser will pay the excess to Seller on demand.

10. TRADE-IN ALLOWANCE	0.00
11. BALANCE OWED ON TRADE-IN*	0.00
12. NET ALLOWANCE ON TRADE-IN (line 10 minus line 11)	0.00
13. DEPOSIT/CASH DOWN PAYMENT (omit amt. line 9)	0.00
14. TOTAL CREDITS (total lines 12 & 13)	0.00
15. SUB-TOTAL FROM LINE 9	20995.00
16. SERVICE CONTRACT	N/A
17. DEALER DOCUMENTARY SERVICE FEE	296.00
18.	N/A
19. SUBTOTAL-TAXABLE ITEMS (total lines 15-18)	21291.00
20. TRADE ALLOWANCE (line 10)	0.00
21. NET TAXABLE AMOUNT (line 19 minus line 20) \$	21291.00
22. UTAH SALES/USE TAX ON "TAXABLE AMOUNT"	1405.21
23. LICENSE AND REGISTRATION FEES	63.50
24. FEES: AGE BASED/PROPERTY ASSESSMENT	80.00
25. STATE INSPECTION/EMISSIONS TEST	0.00
26. STATE WASTE TIRE RECYCLING FEE	0.00
27. GAP FEE	N/A
28. UNIFORM FEES//	0.00
29. TOTAL OF ALL ITEMS ABOVE (lines 19, 22-28)	22839.71
30. TOTAL CREDITS (line 14)	0.00
31. BALANCE DUE (total line 29 minus 30)	22839.71
DAY MONTH YEAR	

## THIS SECTION FOR SELLER'S USE ONLY PERTAINING TO TRADE-IN

☐ Title (if not, explain):

REGISTRATION	POWER OF ATTORNEY	ODOMETER STATEMENT	TRADE-IN APPRAISAL	AUTHORIZATION FOR PAYOFF	MANUFACTURED OUT OF COUNTRY
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## NOTICE ONLY TO BUYERS OF USED VEHICLES

The information you see on the window form [Buyer's Guide] for this vehicle is part of this contract. Information on the window form overrides any contrary provisions in the contract of sale.

I HAVE RECEIVED A COPY OF THE FTC CAR BUYERS GUIDE.

X

## FINANCING DISCLOSURE

INSTRUCTION: One of the two following disclosures, either "A" or "B", must be acknowledged. If Purchaser agrees to be responsible for financing, or if this is a cash-only or cash-plus-trade-in only transaction, the Purchaser must sign disclosure "A". If Seller agrees to arrange for financing, then both Seller and Purchaser must sign disclosure "B". BY SIGNING, PURCHASER AFFIRMS THAT HE/SHE HAS READ THE DISCLOSURE AND AGREES THERETO. IF SIGNING DISCLOSURE "B", DO NOT SIGN UNTIL ALL BLANKS HAVE BEEN FILLED IN. PURCHASER ACKNOWLEDGES THAT THE TERMS SET FORTH BELOW ("A") AND ("B") ARE STATE CODE MANDATED AND ARE NOT TO BE CONSTRUED AS CONTRACTUAL TERMS BETWEEN THE SELLER AND PURCHASER.

## PURCHASER AGREES TO ARRANGE FINANCING

"(A)" THE PURCHASER OF THE MOTOR VEHICLE DESCRIBED IN THIS CONTRACT ACKNOWLEDGES THAT THE SELLER OF THE MOTOR VEHICLE HAS MADE NO PROMISES, WARRANTIES, OR REPRESENTATIONS REGARDING SELLER'S ABILITY TO OBTAIN FINANCING FOR THE PURCHASE OF THE MOTOR VEHICLE. FURTHERMORE, PURCHASER UNDERSTANDS THAT IF FINANCING IS NECESSARY IN ORDER FOR THE PURCHASER TO COMPLETE THE PAYMENT TERMS OF THIS CONTRACT ALL THE FINANCING ARRANGEMENTS ARE THE SOLE RESPONSIBILITY OF THE PURCHASER.

SIGNATURE OF PURCHASER

## SELLER AGREES TO SEEK ARRANGEMENTS FOR FINANCING

"(B)" THE PURCHASER OF THE MOTOR VEHICLE DESCRIBED IN THIS CONTRACT HAS EXECUTED THE CONTRACT IN RELIANCE UPON THE SELLER'S REPRESENTATION THAT SELLER CAN PROVIDE FINANCING ARRANGEMENTS FOR THE PURCHASE OF THE MOTOR VEHICLE. THE PRIMARY TERMS OF THE FINANCING ARE AS FOLLOWS:

INTEREST RATE BETWEEN 0.000 % AND 0.000 % PER ANNUM, TERM BETWEEN 1 MONTHS AND 1 MONTHS. MONTHLY PAYMENTS BETWEEN \$ 22839.71 PER MONTH AND \$ 22839.71 PER MONTH BASED ON A DOWN PAYMENT OF \$ 0.00

IF SELLER IS NOT ABLE TO ARRANGE FINANCING WITHIN THE TERMS DISCLOSED, THEN SELLER MUST, WITHIN SEVEN CALENDAR DAYS OF THE DATE OF SALE, MAIL NOTICE TO THE PURCHASER THAT HE/SHE HAS NOT BEEN ABLE TO ARRANGE FINANCING. PURCHASER THEN HAS 14 DAYS FROM DATE OF SALE TO ELECT, IF PURCHASER CHOOSES, TO RESCIND THE CONTRACT OF SALE, PURSUANT TO SECTION 41-3-401.

IN ORDER TO RESCIND THE CONTRACT OF SALE, THE PURCHASER SHALL:

- (1) RETURN TO SELLER THE MOTOR VEHICLE PURCHASED;
- (2) PAY THE SELLER AN AMOUNT EQUAL TO THE CURRENT STANDARD MILEAGE RATE FOR THE COST OF OPERATING A MOTOR VEHICLE ESTABLISHED BY THE FEDERAL INTERNAL REVENUE SERVICE FOR EACH MILE THE MOTOR VEHICLE HAS BEEN DRIVEN; AND
- (3) COMPENSATE SELLER FOR ANY PHYSICAL DAMAGE TO THE MOTOR VEHICLE.

IN RETURN, SELLER SHALL GIVE BACK TO THE PURCHASER ALL PAYMENTS OR OTHER CONSIDERATION PAID BY THE PURCHASER, INCLUDING ANY DOWN PAYMENT AND ANY MOTOR VEHICLE TRADED IN. IF THE TRADE-IN HAS BEEN SOLD OR OTHERWISE DISPOSED OF BEFORE THE PURCHASER RESCINDS THE TRANSACTION, THEN THE SELLER SHALL RETURN TO THE PURCHASER A SUM EQUIVALENT TO THE ALLOWANCE TOWARD THE PURCHASE PRICE GIVEN BY THE SELLER FOR THE TRADE-IN, AS NOTED IN THE DOCUMENT OF SALE.

IF PURCHASER DOES NOT ELECT TO RESCIND THE CONTRACT OF SALE AS PROVIDED IN SUBSECTION (2)(b) OF THIS FORM: (a) THE PURCHASER IS RESPONSIBLE FOR ADHERENCE TO THE TERMS AND CONDITIONS OF THE CONTRACT OR RISKS BEING FOUND IN DEFAULT OF THE TERMS AND CONDITIONS; (b) THE TERMS AND CONDITIONS OF THE DISCLOSURES SET FORTH IN SECTION (1) OF THIS FORM ARE NOT BINDING ON THE SELLER; AND (c) IF FINANCING IS NECESSARY FOR THE PURCHASER TO COMPLETE THE PAYMENT TERMS OF THE CONTRACT OF SALE, THE PURCHASER IS SOLELY RESPONSIBLE FOR MAKING ALL THE FINANCING ARRANGEMENTS.

SIGNING THIS DISCLOSURE DOES NOT PROHIBIT THE PURCHASER FROM SEEKING HIS OWN FINANCING. THE DEALERSHIP MAY OR MAY NOT RECEIVE INCOME FOR ARRANGING FINANCING.

SIGNATURE OF PURCHASER

SIGNATURE OF SELLER

OTHER TERMS AGREED TO: NONE ☐ AS FOLLOWS ☐

Purchaser has arranged insurance on vehicle through

insurance company. Policy #

As is stated on the reversed side of this document, unless Seller has given to Purchaser an Express Warranty in writing, Seller makes no Warranty, express or implied, as to the condition, fitness for particular purpose, or otherwise concerning the vehicle, parts or accessories described herein. Unless otherwise indicated in writing, any warranty is limited to that provided by the manufacturer, if any, as explained and conditioned by Paragraph 4 on the reverse side hereof.

Purchaser agrees that this contract includes all the terms, conditions and warranties of this agreement including those that appear on the reverse side hereof, including the terms of the disclosures incorporated



# EXHIBIT L

## **PROFESSIONAL SERVICES AGREEMENT**

THIS PROFESSIONAL SERVICES AGREEMENT ("Agreement") is made and entered into this 3<sup>rd</sup> day of March, 2017, by and between THE UTE PAC, a Political Action Committee, whose principal place of business is Logan, Utah (hereinafter referred to as "PAC") and American System Group, LLC (hereinafter referred to as "PAC Manager") and is based upon the following.

### **RECITALS:**

A. PAC is organized under the laws of the State of Utah and is engaged in lobbying for political campaigns, legislation, and other political causes.

B. PAC Manager has been retained by PAC in conjunction with the formation, management, advertising, and fundraising of the PAC.

C. PAC is desirous to engage the services of PAC Manager in an independent contractor basis.

NOW, THEREFORE, in consideration of the mutual promises hereinafter set forth, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, PAC and the PAC Manager agree as follows:

### **ARTICLE I.** **ENGAGEMENT AND DUTIES**

1.01. Engagement of PAC Manager. The PAC hereby agrees to engage the PAC Manager in the capacity of an independent contractor in respect to the formation, management, advertising and fundraising and such other projects as the PAC may from time to time determine. PAC Manager shall work at the sole direction of PAC, as authorized by the Ute Indian Tribal Business Committee.

1.02. Term. The term of this Agreement is not fixed. Either party may terminate this Agreement upon prior notice.

### **ARTICLE II.** **COMPENSATION**

2.01. The PAC Manager will be paid an upfront 10% administrative fee based on the initial contribution of \$100,000 previously approved by the Ute Tribe Business Council on February 23, 2017, upon the acceptance of this Professional Services Agreement. This administrative fee shall not increase beyond the 10% per this contract.



2.02. The PAC Manager will also serve as the lead fundraiser for the PAC and will be compensated for funds raised by the PAC at a rate of 10% per contribution.

2.03. In addition, PAC Manager shall be reimbursed his actual costs expended in the furtherance of the business and political interests of the PAC, including travel expenses, upon the production of receipts for said costs and reimbursed for costs expended for business miles driven at the rate in effect as determined by the Internal Revenue Service.

### ARTICLE III. NON-DISCLOSURE AND CONFIDENTIALITY

In consideration of the engagement granted PAC Manager hereunder, PAC Manager specifically agrees to be bound under the terms of this Article III.

3.01. Non-Disclosure. PAC Manager will acquire and create information respecting the intimate and confidential affairs of the PAC in the various phases of its business. Accordingly, PAC Manager agrees that it shall not at any time use for itself or disclose to any person not engaged by the PAC any such knowledge or information heretofore acquired or acquired during the term of its engagement hereunder. Furthermore, PAC Manager expressly agrees to disclose to PAC any and all information, discovered by PAC Manager or otherwise available to it, its agents or employees, that may be relevant to PAC's business activities, existing or contemplated, sales practices, know-how, new or improved customer relations and other business methods and practices, all of which shall be the sole and exclusive property of the PAC.

3.02. Proprietary Information. PAC Manager agrees that all Proprietary Information shall be PAC's sole and exclusive property. PAC Manager shall not, except for PAC use, copy or duplicate any Proprietary Information, nor remove the same (or any portion thereof) from the PAC's facilities, nor use any information concerning the Proprietary Information except for the PAC's benefit, either during its engagement or thereafter. PAC Manager agrees that it will deliver all of the Proprietary Information that may be in its possession to the PAC on termination of its engagement, or at any other time on the PAC's request, together with its written certification of compliance herewith. PAC Manager shall take such action, including without limitation, the storing of Proprietary Information in a secure location as may be necessary to maintain the confidentiality and prevent the inadvertent disclosure of the Proprietary Information entrusted to or otherwise within its possession or control.

3.03. Company Property and Protective Rights. With respect to all inventive ideas and Proprietary Information (collectively "Inventive Ideas") which (i) relates to PAC's business or anticipated business at the date hereof, (ii) originated or was developed by PAC Manager while engaged by the PAC, or (iii) was originated with or developed by PAC Manager within the period of ninety (90) days after the termination of said engagement and which relates to projects upon which PAC Manager has worked during said engagement, or to the business carried on or contemplated by the PAC, or as to which PAC Manager has acquired information as a result of



its engagement with PAC, and all patents obtained on such Inventive Ideas, PAC Manager covenants as follows:

A. Disclosure and Assignment. PAC Manager agrees to disclose and assign all such Inventive Ideas Information and any patents obtained thereon to the PAC at least quarterly and at such other times as the PAC may require.

B. Records. The PAC Manager shall keep, maintain, and make available to the PAC complete and up-to-date written records which may include sales and fundraising information and practices, costs, donors, contributors, and customers and potential donors, contributors, and customers, photographs, drawings, descriptions, and the like. All such records are the sole property of the PAC.

C. Other Acts. PAC Manager will at any time and all times during and after the term of its engagement hereunder furnish such information and assistance, and shall, at the request and expense of the PAC, make, execute and deliver all applications, papers, assignments or instruments and perform or cause to be performed such other lawful acts as the PAC may deem desirable or necessary in furtherance of its business. PAC Manager understands that if it should be requested after the termination of its engagement to perform services for the PAC in accordance with the terms of this Section 3.03, it shall be paid therefor at the compensation rates prevailing at the time of termination, and PAC Manager gives the PAC full and exclusive power to prosecute all such applications and all proceedings in connection therewith.

3.04. Continuation of Obligations. PAC Manager's obligations of confidentiality and cooperation set forth in this Article III are ongoing in nature and shall not terminate upon termination of PAC Manager's engagement hereunder.

#### ARTICLE IV. TERMINATION

4.01. Termination By Company. PAC Manager or PAC may terminate this Agreement at any time by delivering to the other written notice of such termination not less than thirty (30) days prior to the date of such termination.

4.02. Effect on Other Provisions. Termination of PAC Manager's engagement under this Agreement and pursuant to this paragraph, will terminate only PAC Manager's obligations to act as PAC Manager for the PAC under this Agreement and shall also terminate PAC's obligation of compensation to the PAC Manager as set forth in Article II hereof. PAC Manager's obligation to perform under any and all of the remaining paragraphs and specifically Articles III and IV, shall remain in full force and effect as set forth in this Agreement after the termination of the PAC Manager's engagement.

#### ARTICLE V.



### MISCELLANEOUS

5.01. Drawings and Records. All drawings, records, charts and files concerning any project or product worked on by PAC Manager for PAC shall remain the sole and exclusive property of PAC and PAC Manager shall deliver the same to PAC upon request.

5.02. Rights and Obligations of Successors. This Agreement shall be assignable and transferable by the PAC to any subsidiary or affiliate of the PAC and shall inure to the benefit of and be binding upon the PAC Manager, its agents and employees. As to the PAC Manager, however, its rights and obligations hereunder are personal in nature and shall not be transferred or otherwise assigned.

5.03. Remedies. PAC Manager understands that the PAC would not have any adequate remedy at law for the material breach or threatened breach by it of any one or more of the covenants set forth in this Agreement and agrees that in the event of any such material breach or threatened breach, the PAC may in addition to the other remedies which may be available to it file a suit in equity (without having to give a bond or other security) to enjoin it from the breach or threatened breach of such covenants.

5.04. Waiver. A waiver by any party of any provision hereof, whether in writing or by course of conduct or otherwise, shall be valid only in the instance for which it is given, and shall not be deemed a continuing waiver of said provision, nor shall it be construed as a waiver of any other provision hereof.

5.05. Paragraph Headings. The paragraph headings of this Agreement are inserted only for convenience and in no way define, limit or describe the scope or intent of this Agreement nor affect its terms and provisions.

5.06. Number and Gender. As used in this Agreement, the term PAC shall include all PACs, and the term PAC Manager shall include all PAC Managers, and the masculine shall include the feminine, and the feminine the masculine, when the context so requires.

5.07. Preparation of Agreement. The parties hereto acknowledge that they have both participated in the preparation of this Agreement and, in the event that any question arises regarding its interpretation, no presumption shall be drawn in favor of or against any party hereto with respect to the drafting hereof.

5.08. Governing Law.

This Agreement is governed by the Law and Order Code of the Ute Indian Tribe of the Uintah and Ouray Reservation. The parties consent to the jurisdiction of the Courts of the Ute Indian Tribe for any dispute arising out of the breach of this Agreement.

5.09. Amendments. This Agreement may be amended at any time upon unanimous agreement of the parties hereto, which amendment(s) must be reduced to writing and signed by all parties in order to become effective.

5.10. Indemnification. PAC Manager agrees to indemnify, hold harmless and defend PAC, together with its officers, directors and employees, from and against any and all actions, suits, claims and other obligations and expenses, including reasonable attorney's fees and costs (arising out of or connected with any action, claim or proceeding) related to any services performed by PAC Manager for PAC.

5.11. Entire Agreement. This Agreement constitutes and represents the entire agreement of the parties hereto with respect to the subject matter hereof, and all other prior agreements, covenants, promises, and conditions, verbal or written, between these parties are incorporated herein. No party hereto has relied upon any other promise, representation or warranty, other than those contained herein, in executing this Agreement.

5.12. Further Instruments. The parties hereto agree that they will execute any and all other documents or legal instruments that may be necessary or required to carry out and effectuate all of the provisions hereof.

5.13. Attorney's Fees. In the event that any party hereto shall be in default or breach of this Agreement, said party shall be liable to pay all reasonable attorney's fees, court costs and other related collection costs and expenses incurred by the non-defaulting or non-breaching party in prosecuting its rights hereunder.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

**THE UTE PAC:**

Date: 3-2-17

By [Signature]  
Its Chairman

**PAC MANAGER:**

American System Group

Date: 3-3-17  
Its Director

By [Signature]



# EXHIBIT M

**TO PROPERLY RELEASE YOUR LIABILITY, PLEASE READ AND FOLLOW INSTRUCTIONS ON REVERSE SIDE**  
 SECTIONS A-J MUST BE COMPLETED IN FULL PRINT IN CAPITAL LETTERS - USE BLACK OR BLUE INK

## DMV MICROGRAPHICS USE ONLY

### NOTICE OF TRANSFER AND RELEASE OF LIABILITY

MAIL THIS PORTION TO DMV-OR-FILE ONLINE AT [dmv.ca.gov](http://dmv.ca.gov)

A. NEW OWNER'S LAST NAME (OR) COMPANY NAME

G O P T E J I A U P O

FIRST

7 1 1 1 G A R D E N 9 8 0 V E B I V D # 1 1 0 3

B. NEW OWNER'S ADDRESS

APT NUMBER

D. CITY

G A R D E N G R O V E

STATE

C A

ZIP CODE

9 2 8 4 1

F. SELLER'S OR LESSEE'S LAST NAME (OR) COMPANY NAME

L U C K E R O

FIRST

R O B E R T

APT NUMBER

7 1 1 1 G A R D E N 9 8 0 V E B I V D # 1 1 0 3

J. CITY

C U L V E R C I T Y

STATE

C A

ZIP CODE

9 0 2 3 2

VEHICLE ID NUMBER

5FNYF4H50BB079165

YR. MODEL MAKE

2011 HOND

PLATE NUMBER

8HEW353

C. ODOMETER READING (NO TENTHS)

1 0 7 , 7 3 0

E. DATE OF SALE OR LEASE RETURN

MO. DAY YR  
0 3 0 5 2 0 1 9

G. SELLING PRICE (NO CENTS)

1 0 , 0 0 0

WHOLE DOLLARS

I. SELLER'S OR LESSEE'S SIGNATURE

X *Robert*

# EXHIBIT N

# Wells Fargo Combined Statement of Accounts

Primary account number:

■ September 29, 2016 - October 7, 2016 ■ Page 1 of 5



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: [wellsfargo.com/biz](http://wellsfargo.com/biz)

Write: Wells Fargo Bank, N.A. (119)

P.O. Box 6995

Portland, OR 97228-6995

## Your Business and Wells Fargo

Get a clear look at the business financing process to decide if and when business credit is right for you. Visit [wellsfargoworks.com/credit](http://wellsfargoworks.com/credit) to find out more.

Credit decisions subject to credit qualification.

## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking  
Online Statements  
Business Bill Pay  
Business Spending Report  
Overdraft Protection

☐  
☐  
☐  
☐  
☐

## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		0.00	29,574.82
Wells Fargo Simple Business Checking	3		0.00	0.00
<b>Total deposit accounts</b>			<b>\$0.00</b>	<b>\$29,574.82</b>

Primary account number:

■ September 29, 2016 - October 7, 2016 ■ Page 2 of 5

**WELLS  
FARGO**

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 9/29	\$0.00
Deposits/Credits	100,000.00
Withdrawals/Debits	- 70,425.18
<b>Ending balance on 10/7</b>	<b>\$29,574.82</b>
Average ledger balance this period	\$16,594.96

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
10/3		WT Seq144023 Ute Indian Tribe, Gov't /Org=Ute Indian Tribe Srf# IN16100311500225 Trn#161003144023 Rfb# 000000697	100,000.00		
10/3		Wire Trans Svc Charge - Sequence: 161003144023 Srf# IN16100311500225 Trn#161003144023 Rfb# 000000697		15.00	
10/3		Withdrawal Made In A Branch/Store		50,000.00	
10/3		Withdrawal Made In A Branch/Store		10,000.00	
10/3		Withdrawal Made In A Branch/Store		10,000.00	29,985.00
10/6		Purchase authorized on 10/04 Fedexoffice 0000 Logan UT S466279063727590 Card 9702		0.30	
10/6		Purchase authorized on 10/05 Best Buy 00009456 Logan UT P00000000850231472 Card 9702		159.88	29,824.82
10/7		Purchase authorized on 10/06 Venmo 855-812-4430 NY S306279425905712 Card 9702		250.00	29,574.82
<b>Ending balance on 10/7</b>					<b>29,574.82</b>
<b>Totals</b>			<b>\$100,000.00</b>	<b>\$70,425.18</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 09/29/2016 - 10/07/2016

Standard monthly service fee \$10.00

You paid \$0.00

We waived the fee this fee period to allow you to meet the requirements to avoid the monthly service fee. Your fee waiver is about to expire. You will need to meet the requirement(s) to avoid the monthly service fee.

#### How to avoid the monthly service fee

Have any **ONE** of the following account requirements

- Average ledger balance

Minimum required

\$500.00

This fee period

\$16,595.00 ☒

C1/C1



## Account transaction fees summary

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## IMPORTANT ACCOUNT INFORMATION

It's important for you to have peace of mind.

We want to ensure you're comfortable with your accounts and have the tools you need to manage your money. We recommend you visit your local Wells Fargo bank location, or call the toll-free number that appears on this statement, to make sure you are satisfied with all your accounts and services.

We'll spend time understanding your financial needs and reviewing your accounts and options. We'll also help you close any accounts or discontinue services you do not recognize or want, and discuss the process that's been established to address any remaining concerns resulting from accounts and services opened on your behalf.

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 9/29	\$0.00
Deposits/Credits	0.00
Withdrawals/Debits	- 0.00
<b>Ending balance on 10/7</b>	<b>\$0.00</b>

Account number:

**THE UTE PAC**

*Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 09/29/2016 - 10/07/2016

Standard monthly service fee \$10.00

You paid \$0.00

We waived the fee this fee period to allow you to meet the requirements to avoid the monthly service fee. Your fee waiver is about to expire. You will need to meet the requirement(s) to avoid the monthly service fee.

#### How to avoid the monthly service fee

Have any **ONE** of the following account requirements

- Average ledger balance

Minimum required

\$500.00

This fee period

\$0.00 ☐

Primary account number:

■ September 29, 2016 - October 7, 2016 ■ Page 4 of 5

**WELLS  
FARGO**

---

**Monthly service fee summary (continued)**

C1/C1

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**Account transaction fees summary**

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

**ENTER**

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

**B.** Any deposits listed in your register or transfers into your account which are not shown on your statement.

	\$	_____
	\$	_____
	\$	_____
	+	\$ _____
.....	<b>TOTAL</b>	\$ _____

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

..... TOTAL \$

**SUBTRACT**

C. The total outstanding checks and withdrawals from the chart above . . . . . - \$

### CALCULATE THE ENDING BALANCE

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . . \$ .

[illegible]

# Wells Fargo Combined Statement of Accounts

Primary account number:

■ October 8, 2016 - November 7, 2016 ■ Page 1 of 6



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

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En español: 1-877-337-7454

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Credit decisions subject to credit qualification.

## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking ☒

Online Statements ☒

Business Bill Pay ☐

Business Spending Report ☒

Overdraft Protection ☐

## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		29,574.82	3,776.09
Wells Fargo Simple Business Checking	4		0.00	2,222.87
<b>Total deposit accounts</b>			<b>\$29,574.82</b>	<b>\$5,998.96</b>

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 10/8	\$29,574.82
Deposits/Credits	500.00
Withdrawals/Debits	- 26,298.73
<b>Ending balance on 11/7</b>	<b>\$3,776.09</b>
Average ledger balance this period	\$18,003.09

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
10/11		Purchase authorized on 10/10 Sq *Square One Pri Logan UT S306284539110192 Card 9702		807.51	
10/11		Purchase authorized on 10/10 Sq *Square One Pri Logan UT S306284541713387 Card 9702		35.39	
10/11		Purchase authorized on 10/10 Chevron 0202197 Brigham City UT S586284561561136 Card 9702		31.88	
10/11		Purchase authorized on 10/10 Apache Taxi LLC Tempe AZ S306284716938707 Card 9702		20.00	28,680.04
10/12		Purchase authorized on 10/10 Phx Luggage Cart R Phoenix AZ S306284702084901 Card 9702		4.00	
10/12		Purchase authorized on 10/10 Aramark Phoenix CO Phoenix AZ S586284766125670 Card 9702		11.00	
10/12		Purchase authorized on 10/11 French Meadow 3232 Salt Lake Cit UT S386285410583843 Card 9702		14.21	
10/12		Purchase authorized on 10/11 Squarespace Inc. Squarespace.C NY S586285535492278 Card 9702		216.00	28,434.83
10/13		Purchase authorized on 10/11 Aramark Phoenix CO Phoenix AZ S466285694881868 Card 9702		13.00	
10/13		Purchase authorized on 10/11 Steves Grill Phoenix AZ S466286167606166 Card 9702		21.52	
10/13		Purchase authorized on 10/12 Thai Basil Downtow Phoenix AZ S306286740306591 Card 9702		15.97	28,384.34
10/14		Purchase authorized on 10/13 The UPS Store# 575 Phoenix AZ S386287554002435 Card 9702		15.76	
10/14		Purchase authorized on 10/13 The UPS Store# 575 Phoenix AZ S466287567658946 Card 9702		15.93	
10/14		Purchase authorized on 10/14 The UPS Store# 575 Phoenix AZ S586288036141920 Card 9702		12.29	28,340.36
10/17		Purchase authorized on 10/14 Renaissance Hotel Phoenix AZ S306284718363581 Card 9702		866.21	
10/17		Purchase authorized on 10/13 Aramark Phoenix CO Phoenix AZ S466287704476721 Card 9702		12.00	
10/17		Purchase authorized on 10/13 Hyatt Hotels Phoen Phoenix AZ S586288085835301 Card 9702		32.15	27,430.00
10/19		Purchase authorized on 10/18 Sq *Square One Pri Logan UT S466292650989426 Card 9702		88.05	
10/19		Withdrawal Made In A Branch/Store		5,000.00	22,341.95

**Transaction history (continued)**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
10/24		Recurring Payment authorized on 10/21 Facebk Pwzfeancy2 650-6187714 CA S466295566248222 Card 9702		5.93	
10/24		Recurring Payment authorized on 10/21 Facebk Qwzfeancy2 650-6187714 CA S466295566269929 Card 9702		19.11	22,316.91
10/25		Harland Clarke Check/Acc. 102416 00058667575482 The Ute PAC 09/16		42.95	
10/25		Recurring Payment authorized on 10/24 Facebk N5Ldna6Dy2 650-6187714 CA S466298823091986 Card 9702		23.04	
10/25		Recurring Payment authorized on 10/24 Facebk P5Ldna6Dy2 650-6187714 CA S386298823103363 Card 9702		27.03	
10/25		Withdrawal Made In A Branch/Store		2,922.00	19,301.89
10/26		Purchase authorized on 10/25 Venmo 855-812-4430 NY S306298658912878 Card 9702		616.25	
10/26		Purchase authorized on 10/26 Kohls 0195 1660 N Main North Logan UT P00466300615490588 Card 9702		229.19	
10/26	1002	Check		828.67	17,627.78
10/27		Purchase authorized on 10/25 Bell Truck Stop Park City UT S466299637414797 Card 9702		9.50	
10/27		Purchase authorized on 10/25 Bell Truck Stop Park City UT S386299634894587 Card 9702		38.63	
10/27		Purchase authorized on 10/25 Ute Crossing Grill Fort Duchesne UT S386300114122323 Card 9702		19.56	
10/27		Purchase authorized on 10/26 Chevron 0202197 Brigham City UT S466300794716378 Card 9702		37.39	17,522.70
10/28		eDeposit IN Branch/Store 10/28/16 03:20:00 Pm 1320 N Main St Logan UT 9702	500.00		
10/28		Purchase authorized on 10/26 Chungas Mexican Fo Salt Lake Cit UT S306300827568252 Card 9702		15.48	
10/28		Purchase authorized on 10/27 Jcpenny 1934 Logan UT S586301590243341 Card 9702		213.20	
10/28		Purchase authorized on 10/27 Sq *Creative Threa Logan UT S466301720941651 Card 9702		229.30	
10/28		Purchase authorized on 10/27 Sq *Square One Pri Logan UT S306301742182295 Card 9702		119.39	
10/28	1001	Check		4,141.72	
10/28	1003	Check		7,000.00	6,303.61
10/31		Purchase authorized on 10/28 Caffé Ibis - Retai Logan UT S466302701615422 Card 9702		13.17	
10/31	1007	Deposited OR Cashed Check		518.94	5,771.50
11/1		Recurring Payment authorized on 10/31 Facebk Pdqlhaacy2 650-6187714 CA S466305386552155 Card 9702		35.56	
11/1		Recurring Payment authorized on 10/31 Facebk Qdqlhaacy2 650-6187714 CA S466305386562556 Card 9702		46.33	
11/1		Purchase authorized on 11/01 The UPS Store #2336 Logan UT P00466306795909014 Card 9702		50.60	
11/1	1006	Check		280.00	5,359.01
11/2		Purchase authorized on 11/01 Sq *Square One Pri Logan UT S306306726477320 Card 9702		60.56	
11/2		Purchase authorized on 11/01 Sq *Creative Threa Logan UT S466306782043145 Card 9702		943.85	4,354.60
11/3		Purchase authorized on 11/02 The UPS Store 2336 Logan UT S306307819197841 Card 9702		19.58	4,335.02
11/7		Purchase authorized on 11/07 Staples 0846 Logan UT P00386313014215197 Card 9702		21.92	
11/7	1004	Check		217.01	
11/7	1005	Check		320.00	3,776.09
<b>Ending balance on 11/7</b>					<b>3,776.09</b>
<b>Totals</b>			<b>\$500.00</b>	<b>\$26,298.73</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Primary account number:

■ October 8, 2016 - November 7, 2016 ■ Page 4 of 6

**WELLS  
FARGO****Summary of checks written** (checks listed are also displayed in the preceding Transaction history)

Number	Date	Amount	Number	Date	Amount	Number	Date	Amount
1001	10/28	4,141.72	1004	11/7	217.01	1006	11/1	280.00
1002	10/26	828.67	1005	11/7	320.00	1007	10/31	518.94
1003	10/28	7,000.00						

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 10/08/2016 - 11/07/2016

Standard monthly service fee \$10.00

You paid \$0.00

We waived the fee this fee period to allow you to meet the requirements to avoid the monthly service fee. This is the final period with the fee waived. For the next fee period, you need to meet the requirement(s) to avoid the monthly service fee.

**How to avoid the monthly service fee**Have any **ONE** of the following account requirements

- Average ledger balance

C1/C1

Minimum required

This fee period

\$500.00

\$18,003.00 ☒**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	9	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>

**Wells Fargo Simple Business Checking****Activity summary**

Beginning balance on 10/8	\$0.00
Deposits/Credits	2,222.87
Withdrawals/Debits	- 0.00
<b>Ending balance on 11/7</b>	<b>\$2,222.87</b>
Average ledger balance this period	\$474.77

Account number:

**THE UTE PAC**

Utah account terms and conditions apply

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

**Overdraft Protection**

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

**Transaction history**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
10/13		10/13Bankcard Deposit -0483171620	100.00		100.00
10/17		10/17Bankcard Deposit -0483171620	110.00		

Primary account number:

■ October 8, 2016 - November 7, 2016 ■ Page 5 of 6

WELLS  
FARGO**Transaction history (continued)**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
10/17		10/17Bankcard Deposit -0483171620	130.00		
10/17		10/17Bankcard Deposit -0483171620	10.00		350.00
10/21		10/21Bankcard Deposit -0483171620	150.00		500.00
10/24		10/24Bankcard Deposit -0483171620	25.00		
10/24		10/24Bankcard Deposit -0483171620	60.00		585.00
10/25		10/25Bankcard Deposit -0483171620	10.00		595.00
10/27		10/27Bankcard Deposit -0483171620	25.00		620.00
10/28		10/28Bankcard Deposit -0483171620	25.00		645.00
11/3		11/03Bankcard Deposit -0483171620	50.00		695.00
11/4		11/04Bankcard Deposit -0483171620	50.00		745.00
11/7		11/07Bankcard Deposit -0483171620	50.00		
11/7		eDeposit IN Branch/Store 11/07/16 02:52:29 Pm 889 S Main St Logan UT 5263	1,427.87		2,222.87
<b>Ending balance on 11/7</b>					<b>2,222.87</b>
<b>Totals</b>			<b>\$2,222.87</b>	<b>\$0.00</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 10/08/2016 - 11/07/2016      Standard monthly service fee \$10.00      You paid \$0.00

We waived the fee this fee period to allow you to meet the requirements to avoid the monthly service fee. This is the final period with the fee waived.  
For the next fee period, you need to meet the requirement(s) to avoid the monthly service fee.

**How to avoid the monthly service fee**

Have any **ONE** of the following account requirements

- Average ledger balance

Minimum required

This fee period

\$500.00

\$475.00 ☐

C1/C1

**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	2	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>





## General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

ENTER

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

B. Any deposits listed in your register or transfers into your account which are not shown on your statement.	\$ _____
	\$ _____
	\$ _____
	+ \$ _____
..... <b>TOTAL</b>	\$ _____

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

.....TOTAL \$

**SUBTRACT**

**C.** The total outstanding checks and withdrawals from the chart above . . . . . - \$

**CALCULATE THE ENDING BALANCE**

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . .

\$ . \_\_\_\_\_

[illegible]

# Wells Fargo Combined Statement of Accounts

Primary account number:

■ November 8, 2016 - December 7, 2016 ■ Page 1 of 5



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: [wellsfargo.com/biz](http://wellsfargo.com/biz)

Write: Wells Fargo Bank, N.A. (119)

P.O. Box 6995

Portland, OR 97228-6995

## Your Business and Wells Fargo

Get a clear look at the business financing process to decide if and when business credit is right for you. Visit [wellsfargoworks.com/credit](http://wellsfargoworks.com/credit) to find out more.

Credit decisions subject to credit qualification.

## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking ☒

Online Statements ☒

Business Bill Pay ☐

Business Spending Report ☒

Overdraft Protection ☐

## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		3,776.09	308.76
Wells Fargo Simple Business Checking	3		2,222.87	300.00
<b>Total deposit accounts</b>			<b>\$5,998.96</b>	<b>\$608.76</b>

Primary account number:

■ November 8, 2016 - December 7, 2016 ■ Page 2 of 5

**WELLS  
FARGO**

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 11/8	\$3,776.09
Deposits/Credits	2,085.13
Withdrawals/Debits	- 5,552.46
<b>Ending balance on 12/7</b>	<b>\$308.76</b>
Average ledger balance this period	\$1,222.26

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
11/8		Purchase authorized on 11/07 Sq *Creative Threa Logan UT S386312859230272 Card 9702		42.66	3,733.43
11/9		Online Transfer From Ute PAC Ref #lbev4R57Q9 Business Checking Admin	1,700.00		5,433.43
11/10		Recurring Payment authorized on 11/08 Facebk 7Eqwnascy2 650-6187714 CA S586314149807809 Card 9702		219.21	
11/10		Recurring Payment authorized on 11/08 Facebk 8Eqwnascy2 650-6187714 CA S586314149828283 Card 9702		31.64	
11/10		Purchase authorized on 11/10 USPS 4950660210 Logan UT P00000000156793588 Card 9702		22.95	5,159.63
11/14	1009	Deposited OR Cashed Check		4,000.00	1,159.63
11/15	1008	Check		213.20	
11/15	1010	Check		679.00	267.43
12/1		Recurring Payment authorized on 11/30 Facebk Ndulwa6Dy2 650-6187714 CA S306335710539325 Card 9702		102.13	165.30
12/5		Purchase authorized on 12/02 The Italian Place Logan UT S586337700737005 Card 9702		20.00	145.30
12/6		Online Transfer From Ute PAC Business Checking xxxxxx5263 Ref #lbey22TF3S on 12/06/16	385.13		
12/6	1012	Check		221.67	308.76
<b>Ending balance on 12/7</b>					<b>308.76</b>
<b>Totals</b>			<b>\$2,085.13</b>	<b>\$5,552.46</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

### Summary of checks written (checks listed are also displayed in the preceding Transaction history)

Number	Date	Amount	Number	Date	Amount	Number	Date	Amount
1008	11/15	213.20	1010	11/15	679.00	1012 *	12/6	221.67
1009	11/14	4,000.00						

\* Gap in check sequence.

Primary account number:

■ November 8, 2016 - December 7, 2016 ■ Page 3 of 5

WELLS  
FARGO**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 11/08/2016 - 12/07/2016	Standard monthly service fee \$10.00	You paid \$0.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
· Average ledger balance	\$500.00	\$1,222.00 <input checked="" type="checkbox"/>
C1/C1		

**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	4	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>

**IMPORTANT ACCOUNT INFORMATION**

Periodically, we may evaluate the timing of statements, monthly service fee assessment and interest payments to your accounts. We may adjust the timing in order to align your statement, monthly service fee assessment (if any) and interest payment dates with one another. You may receive a partial statement that reflects activity and interest payments from the last statement date to the date of the change. No monthly service fees will be assessed during a partial statement period and there will be no impact to your interest rate or compounding frequency.

**Wells Fargo Simple Business Checking****Activity summary**

Beginning balance on 11/8	\$2,222.87
Deposits/Credits	280.00
Withdrawals/Debits	- 2,202.87
<b>Ending balance on 12/7</b>	<b>\$300.00</b>
Average ledger balance this period	\$617.30

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

**Overdraft Protection**

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

Primary account number:

■ November 8, 2016 - December 7, 2016 ■ Page 4 of 5

**WELLS  
FARGO****Transaction history**

<i>Date</i>	<i>Check Number</i>	<i>Description</i>	<i>Deposits/ Credits</i>	<i>Withdrawals/ Debits</i>	<i>Ending daily balance</i>
11/9		11/09Bankcard Deposit -0483171620	10.00		
11/9		Online Transfer to Ute PAC Ref #lbev4R57Q9 Business Checking Admin		1,700.00	532.87
11/10		Bankcard Interchange Fee - 0483171620		0.85	
11/10		Bankcard Discount Fee - 0483171620		13.88	
11/10		Bankcard Fee - 0483171620		103.01	415.13
11/14		11/14Bankcard Deposit -0483171620	60.00		475.13
11/17		11/17Bankcard Deposit -0483171620	20.00		495.13
11/18		11/18Bankcard Deposit -0483171620	80.00		575.13
11/21		11/21Bankcard Deposit -0483171620	75.00		650.13
11/28		11/28Bankcard Deposit -0483171620	10.00		660.13
12/5		12/05Bankcard Deposit -0483171620	25.00		685.13
12/6		Online Transfer to Ute PAC Business Checking xxxxxx1042 Ref #lbey22TF3S on 12/06/16		385.13	300.00
<b>Ending balance on 12/7</b>					<b>300.00</b>

<b>Totals</b>	<b>\$280.00</b>	<b>\$2,202.87</b>
---------------	-----------------	-------------------

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 11/08/2016 - 12/07/2016	Standard monthly service fee \$10.00	You paid \$0.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
· Average ledger balance	\$500.00	\$617.00 <input checked="" type="checkbox"/>

C1/C1

**Account transaction fees summary**

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

**ENTER**

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

**B.** Any deposits listed in your register or transfers into your account which are not shown on your statement.

	\$	_____
	\$	_____
	\$	_____
	+	\$ _____
.....	<b>TOTAL</b>	\$ _____

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

..... TOTAL \$

**SUBTRACT**

C. The total outstanding checks and withdrawals from the chart above . . . . . - \$

**CALCULATE THE ENDING BALANCE**

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . . \$ .

[illegible]

# Wells Fargo Combined Statement of Accounts

Primary account number:

■ December 8, 2016 - January 9, 2017 ■ Page 1 of 5



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: [wellsfargo.com/biz](http://wellsfargo.com/biz)

Write: Wells Fargo Bank, N.A. (119)

P.O. Box 6995

Portland, OR 97228-6995

## Your Business and Wells Fargo

Visit [wellsfargoworks.com](http://wellsfargoworks.com) to explore videos, articles, infographics, interactive tools, and other resources on the topics of business growth, credit, cash flow management, business planning, technology, marketing, and more.

## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking ☒

Online Statements ☒

Business Bill Pay ☐

Business Spending Report ☒

Overdraft Protection ☐

## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		308.76	-16.24
Wells Fargo Simple Business Checking	3		300.00	258.89
<b>Total deposit accounts</b>			<b>\$608.76</b>	<b>\$242.65</b>



Primary account number:

■ December 8, 2016 - January 9, 2017 ■ Page 2 of 5

**WELLS  
FARGO**

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 12/8	\$308.76
Deposits/Credits	0.00
Withdrawals/Debits	- 325.00
<b>Ending balance on 1/9</b>	<b>-\$16.24</b>
Average ledger balance this period	\$124.36

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
12/16	1013	Check		230.00	78.76
1/4		Online Transfer to Ute PAC Business Checking xxxxxx5263 Ref #lbev5884QI on 01/04/17		50.00	28.76
1/9		NSF Return Item Fee for a Transaction Received on 01/06 \$234.26 Check # 01014		35.00	
1/9		Monthly Service Fee		10.00	-16.24
<b>Ending balance on 1/9</b>					<b>-16.24</b>
<b>Totals</b>			<b>\$0.00</b>	<b>\$325.00</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

### Summary of checks written (checks listed are also displayed in the preceding Transaction history)

Number	Date	Amount
1013	12/16	230.00

### Items returned unpaid

Date	Description	Amount
1/9	Check Reference # 00007339008658885972	234.26

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 12/08/2016 - 01/09/2017	Standard monthly service fee \$10.00	You paid \$10.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
· Average ledger balance	\$500.00	\$124.00 <input type="checkbox"/>

**Monthly service fee summary (continued)**

C1/C1

**Account transaction fees summary**

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	1	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## IMPORTANT ACCOUNT INFORMATION

**Amendment to our Funds Availability Policy**

Good news! Effective April 5, 2017, we've updated our funds availability policy to remove the delay of funds by one additional business day for certain checks deposited at a Wells Fargo location in Alaska. This applies only if the check was drawn on or payable at or through a paying bank not located in Alaska. Other funds availability policies are still in effect. Please see our Consumer Account Agreement for additional funds availability policies and details.

Periodically, we may evaluate the timing of statements, monthly service fee assessment and interest payments to your accounts. We may adjust the timing in order to align your statement, monthly service fee assessment (if any) and interest payment dates with one another. You may receive a partial statement that reflects activity and interest payments from the last statement date to the date of the change. No monthly service fees will be assessed during a partial statement period and there will be no impact to your interest rate or compounding frequency.

## Wells Fargo Simple Business Checking

**Activity summary**

Beginning balance on 12/8	\$300.00
Deposits/Credits	50.00
Withdrawals/Debits	- 91.11
<b>Ending balance on 1/9</b>	<b>\$258.89</b>
Average ledger balance this period	\$237.81

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

**Overdraft Protection**

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

Primary account number:

■ December 8, 2016 - January 9, 2017 ■ Page 4 of 5

WELLS  
FARGO**Transaction history**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
12/12		Bankcard Interchange Fee - 0483171620		4.09	
12/12		Bankcard Discount Fee - 0483171620		8.73	
12/12		Bankcard Fee - 0483171620		68.29	218.89
1/4		Online Transfer From Ute PAC Business Checking xxxxxx1042 Ref #lbev5884Ql on 01/04/17	50.00		268.89
1/9		Monthly Service Fee		10.00	258.89
<b>Ending balance on 1/9</b>					<b>258.89</b>
<b>Totals</b>			<b>\$50.00</b>	<b>\$91.11</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 12/08/2016 - 01/09/2017	Standard monthly service fee \$10.00	You paid \$10.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
· Average ledger balance	\$500.00	\$238.00 <input type="checkbox"/>

C1/C1

**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



# Wells Fargo Combined Statement of Accounts

Primary account number:

■ January 10, 2017 - February 7, 2017 ■ Page 1 of 5



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

TTY: 1-800-877-4833

En español: 1-877-337-7454

Online: [wellsfargo.com/biz](http://wellsfargo.com/biz)

Write: Wells Fargo Bank, N.A. (119)

P.O. Box 6995

Portland, OR 97228-6995

## Your Business and Wells Fargo

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## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking



Online Statements



Business Bill Pay



Business Spending Report



Overdraft Protection



## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		-16.24	-99.24
Wells Fargo Simple Business Checking	3		258.89	184.65
<b>Total deposit accounts</b>			<b>\$242.65</b>	<b>\$85.41</b>

Primary account number:

■ January 10, 2017 - February 7, 2017 ■ Page 2 of 5

WELLS  
FARGO

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 1/10	-\$16.24
Deposits/Credits	0.00
Withdrawals/Debits	- 83.00
<b>Ending balance on 2/7</b>	<b>-\$99.24</b>
Average ledger balance this period	-\$50.61

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
1/10		Online Dep Detail & Images - Bob		3.00	-19.24
1/19		NSF Return Item Fee for a Transaction Received on 01/18 \$234.26 Check # 01014		35.00	-54.24
2/2		NSF Return Item Fee for a Transaction Received on 02/01 \$234.26 Check # 01014		35.00	-89.24
2/7		Monthly Service Fee		10.00	-99.24
<b>Ending balance on 2/7</b>					<b>-99.24</b>
<b>Totals</b>			<b>\$0.00</b>	<b>\$83.00</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

### Items returned unpaid

Date	Description	Amount
1/19	Check Reference # 00007339008759640130	234.26
2/2	Check Reference # 00007339008677904695	234.26

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 01/10/2017 - 02/07/2017	Standard monthly service fee \$10.00	You paid \$10.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
· Average ledger balance	\$500.00	-\$51.00 <input type="checkbox"/>

C1/C1

## Account transaction fees summary

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## IMPORTANT ACCOUNT INFORMATION

Effective 4/15/2017 if the primary checking account for your debit card is closed or delinked for any reason, we will designate another eligible linked checking account as the primary account. If there are no other eligible linked checking accounts, your debit card will be closed. If you have one or more savings accounts linked to this debit card, you may request an ATM card for continued access.

### Amendment to our Funds Availability Policy

Good news! Effective April 5, 2017, we've updated our funds availability policy to remove the delay of funds by one additional business day for certain checks deposited at a Wells Fargo location in Alaska. This applies only if the check was drawn on or payable at or through a paying bank not located in Alaska. Other funds availability policies are still in effect. Please see our Consumer Account Agreement for additional funds availability policies and details.

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 1/10	\$258.89
Deposits/Credits	10.00
Withdrawals/Debits	- 84.24
<b>Ending balance on 2/7</b>	<b>\$184.65</b>
Average ledger balance this period	\$194.94

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

## Transaction history

<i>Date</i>	<i>Check Number</i>	<i>Description</i>	<i>Deposits/ Credits</i>	<i>Withdrawals/ Debits</i>	<i>Ending daily balance</i>
1/12		Bankcard Discount Fee - 0483171620		0.54	
1/12		Bankcard Interchange Fee - 0483171620		2.49	
1/12		Bankcard Fee - 0483171620		71.21	184.65



Primary account number:

■ January 10, 2017 - February 7, 2017 ■ Page 4 of 5

WELLS  
FARGO**Transaction history (continued)**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
1/24		01/24Bankcard Deposit -0483171620	10.00		194.65
2/7		Monthly Service Fee		10.00	184.65
<b>Ending balance on 2/7</b>					<b>184.65</b>
<b>Totals</b>			<b>\$10.00</b>	<b>\$84.24</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 01/10/2017 - 02/07/2017	Standard monthly service fee \$10.00	You paid \$10.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
· Average ledger balance	\$500.00	\$195.00 <input type="checkbox"/>
C1/C1		

**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

**ENTER**

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

**B.** Any deposits listed in your register or transfers into your account which are not shown on your statement.

\$	_____
\$	_____
\$	_____
+	\$ _____
..... <b>TOTAL</b> \$ _____	

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

..... TOTAL \$

**SUBTRACT**

C. The total outstanding checks and withdrawals from the chart above . . . . . - \$

### CALCULATE THE ENDING BALANCE

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . . \$ .

[illegible]

# Wells Fargo Combined Statement of Accounts

Primary account number:

■ February 8, 2017 - March 7, 2017 ■ Page 1 of 5



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

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## Your Business and Wells Fargo

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## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking ☒

Online Statements ☒

Business Bill Pay ☐

Business Spending Report ☒

Overdraft Protection ☐

## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		-99.24	48.04
Wells Fargo Simple Business Checking	3		184.65	-9.71
<b>Total deposit accounts</b>			<b>\$85.41</b>	<b>\$38.33</b>

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 2/8	-\$99.24
Deposits/Credits	1,375.00
Withdrawals/Debits	- 1,227.72
<b>Ending balance on 3/7</b>	<b>\$48.04</b>
Average ledger balance this period	\$193.47

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
2/10		Reversal of NSF Return Item Fee for a Transaction Received on 01/18 \$234.26 Check # 01014	35.00		
2/10		Reversal of NSF Return Item Fee for a Transaction Received on 02/01 \$234.26 Check # 01014	35.00		
2/10		Monthly Service Fee Reversal	10.00		
2/10		Deposit Made In A Branch/Store	60.00		
2/10		Online Transfer From Ute PAC Business Checking xxxxxx5263 Ref #Ib035Yfmnj on 02/10/17	220.00		260.76
2/21	1014	Check		234.26	26.50
2/23		Online Transfer From Ute PAC Ref #Ib036Wk8Ld Business Checking Travel Reimbursements	300.00		
2/23	1018	Deposited OR Cashed Check		298.43	28.07
2/27		eDeposit IN Branch/Store 02/27/17 09:03:40 Am 1320 N Main St Logan UT 1042	50.00		
2/27		Online Transfer From Ute PAC Ref #Ib0377Bpx5 Business Checking Travel Reimbursements	115.00		
2/27	1016	Deposited OR Cashed Check		150.00	43.07
3/1		Deposit Made In A Branch/Store	500.00		543.07
3/3	1015	Check		242.45	300.62
3/6		Online Transfer From Ute PAC Ref #Ib037Wgx3W Business Checking Bank Fees	50.00		
3/6		Purchase authorized on 03/03 Sq *Square One Pri Logan UT S307062780247536 Card 7725		27.66	
3/6		Purchase authorized on 03/03 The UPS Store 2336 Logan UT S307062789752217 Card 7725		5.00	
3/6		Purchase authorized on 03/05 Maverik #526 Logan UT S307064683055270 Card 7725		27.95	290.01
3/7	1020	Check		231.97	
3/7		Monthly Service Fee		10.00	48.04
<b>Ending balance on 3/7</b>					<b>48.04</b>
<b>Totals</b>			<b>\$1,375.00</b>	<b>\$1,227.72</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Primary account number:

■ February 8, 2017 - March 7, 2017 ■ Page 3 of 5

**WELLS  
FARGO****Summary of checks written** (checks listed are also displayed in the preceding Transaction history)

Number	Date	Amount	Number	Date	Amount	Number	Date	Amount
1014	2/21	234.26	1016	2/27	150.00	1020 *	3/7	231.97
1015	3/3	242.45	1018 *	2/23	298.43			

\* Gap in check sequence.

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 02/08/2017 - 03/07/2017

Standard monthly service fee \$10.00

You paid \$10.00

**How to avoid the monthly service fee**Have any **ONE** of the following account requirements

- Average ledger balance

Minimum required

This fee period

\$500.00

\$193.00 ☐

C1/C1

**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	10	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>

**Wells Fargo Simple Business Checking****Activity summary**

Beginning balance on 2/8	\$184.65
Deposits/Credits	740.00
Withdrawals/Debits	- 934.36
<b>Ending balance on 3/7</b>	<b>-\$9.71</b>
Average ledger balance this period	\$10.06

Account number:

**THE UTE PAC**

Utah account terms and conditions apply

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

**Overdraft Protection**

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

**Transaction history**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
2/8		eDeposit IN Branch/Store 02/08/17 01:31:10 Pm 889 S Main St Logan UT 5263	50.00		
2/8		eDeposit IN Branch/Store 02/08/17 01:32:41 Pm 889 S Main St Logan UT 5263	10.00		244.65

Primary account number:

■ February 8, 2017 - March 7, 2017 ■ Page 4 of 5

WELLS  
FARGO**Transaction history (continued)**

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
2/9		02/09Bankcard Deposit -0483171620	20.00		
2/9		Withdrawal Made In A Branch/Store		100.00	164.65
2/10		02/10Bankcard Deposit -0483171620	60.00		
2/10		Deposit Made In A Branch/Store	25.00		
2/10		Bankcard Discount Fee - 0483171620		0.22	
2/10		Bankcard Interchange Fee - 0483171620		0.49	
2/10		Bankcard Fee - 0483171620		103.65	
2/10		Online Transfer to Ute PAC Business Checking xxxxxx1042 Ref #Ib035Yfmnj on 02/10/17		220.00	-74.71
2/13		Overdraft Fee for a Transaction Posted on 02/10 \$220.00 Online Transfer to Ute PAC Business Checking xx xxxx1042 Ref #Ib035Yfmnj on 02/10/17		35.00	-109.71
2/17		02/17Bankcard Deposit -0483171620	5.00		-104.71
2/21		02/21Bankcard Deposit -0483171620	270.00		
2/21		02/21Bankcard Deposit -0483171620	50.00		215.29
2/22		02/22Bankcard Deposit -0483171620	100.00		315.29
2/23		Online Transfer to Ute PAC Ref #Ib036Wk8Ld Business Checking Travel Reimbursements		300.00	15.29
2/27		02/27Bankcard Deposit -0483171620	100.00		
2/27		Online Transfer to Ute PAC Ref #Ib0377Bpx5 Business Checking Travel Reimbursements		115.00	0.29
2/28		02/28Bankcard Deposit -0483171620	50.00		50.29
3/6		Online Transfer to Ute PAC Ref #Ib037Wgx3W Business Checking Bank Fees		50.00	0.29
3/7		Monthly Service Fee		10.00	-9.71
<b>Ending balance on 3/7</b>					<b>-9.71</b>
<b>Totals</b>			<b>\$740.00</b>	<b>\$934.36</b>	

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**Monthly service fee summary**

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Fee period 02/08/2017 - 03/07/2017	Standard monthly service fee \$10.00	You paid \$10.00
<b>How to avoid the monthly service fee</b>	Minimum required	This fee period
Have any <b>ONE</b> of the following account requirements		
• Average ledger balance	\$500.00	\$10.00 <input type="checkbox"/>
C1/C1		

**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	4	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## General statement policies for Wells Fargo Bank

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You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

**ENTER**

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

**B.** Any deposits listed in your register or transfers into your account which are not shown on your statement.

\$	_____
\$	_____
\$	_____
+	\$ _____
..... <b>TOTAL</b> \$ _____	

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

..... TOTAL \$

**SUBTRACT**

C. The total outstanding checks and withdrawals from the chart above . . . . . - \$

**CALCULATE THE ENDING BALANCE**

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . . \$ .

[illegible]



# Wells Fargo Combined Statement of Accounts

Primary account number:

■ March 8, 2017 - April 7, 2017 ■ Page 1 of 7



THE UTE PAC  
55 E 100 N STE 105  
LOGAN UT 84321-4648

## Questions?

Available by phone 24 hours a day, 7 days a week:

Telecommunications Relay Services calls accepted

**1-800-CALL-WELLS** (1-800-225-5935)

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P.O. Box 6995

Portland, OR 97228-6995

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## Account options

A check mark in the box indicates you have these convenient services with your account(s). Go to [wellsfargo.com/biz](http://wellsfargo.com/biz) or call the number above if you have questions or if you would like to add new services.

Business Online Banking



Online Statements



Business Bill Pay



Business Spending Report



Overdraft Protection



## Summary of accounts

### Checking/Prepaid and Savings

Account	Page	Account number	Ending balance last statement	Ending balance this statement
Wells Fargo Simple Business Checking	2		48.04	46,942.11
Wells Fargo Simple Business Checking	5		-9.71	278.44
<b>Total deposit accounts</b>			<b>\$38.33</b>	<b>\$47,220.55</b>

Primary account number:

■ March 8, 2017 - April 7, 2017 ■ Page 2 of 7

**WELLS  
FARGO**

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 3/8	\$48.04
Deposits/Credits	100,000.00
Withdrawals/Debits	- 53,105.93
<b>Ending balance on 4/7</b>	<b>\$46,942.11</b>
Average ledger balance this period	\$64,098.11

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
3/8		Online Transfer to Ute PAC Ref #Ib0382M7Rd Business Checking Banking Fees		10.00	38.04
3/9		WT Seq#53183 Ute Indian Tribe, Gov't /Org=Ute Indian Tribe Srf# Gw00000002174266 Trn#170309053183 Rfb# 703	100,000.00		
3/9		Wire Trans Svc Charge - Sequence: 170309053183 Srf# Gw00000002174266 Trn#170309053183 Rfb# 703		15.00	
3/9		Purchase authorized on 03/07 Murdock Vw of Loga Logan UT S587066682818927 Card 7725		17.05	
3/9		Purchase authorized on 03/07 Jimmy Johns - 2117 Salt Lake Cit UT S467066798818246 Card 7725		14.11	99,991.88
3/13		Online Transfer to Ute PAC Ref #Ib0389Szzl Business Checking Annual Banking Fees		300.00	
3/13		Withdrawal Made In A Branch/Store		35,000.00	64,691.88
3/28		Purchase authorized on 03/27 IN *Bearnson & Cal 435-7526300 UT S587086678804245 Card 7725		242.00	64,449.88
3/29	1019	Check		1,165.11	63,284.77
3/30		Purchase authorized on 03/28 The Eklektik Salt Lake Cit UT S467088020052035 Card 7725		22.65	
3/30		Purchase authorized on 03/28 The Eklektik Salt Lake Cit UT S387088023243048 Card 7725		108.98	
3/30		Withdrawal Made In A Branch/Store		5,000.00	58,153.14
4/3		Purchase authorized on 03/31 Venmo 855-812-4430 NY S387089578588072 Card 7725		211.25	
4/3		Recurring Payment authorized on 03/31 Facebk Qunz2Cscy2 650-6187714 CA S387090477511026 Card 7725		199.78	57,742.11
4/5	1021	Deposited OR Cashed Check		10,800.00	46,942.11
<b>Ending balance on 4/7</b>					<b>46,942.11</b>
<b>Totals</b>			<b>\$100,000.00</b>	<b>\$53,105.93</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

Primary account number:

■ March 8, 2017 - April 7, 2017 ■ Page 3 of 7

WELLS  
FARGO**Summary of checks written** (checks listed are also displayed in the preceding Transaction history)

Number	Date	Amount	Number	Date	Amount
1019	3/29	1,165.11	1021 *	4/5	10,800.00

\* Gap in check sequence.

**Monthly service fee summary**

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 03/08/2017 - 04/07/2017

Standard monthly service fee \$10.00

You paid \$0.00

**How to avoid the monthly service fee**Have any **ONE** of the following account requirements

- Average ledger balance

C1/C1

Minimum required

This fee period

\$500.00

\$64,098.00 ☒**Account transaction fees summary**

Service charge description	Units used	Units included	Excess units	Service charge per excess units (\$)	Total service charge (\$)
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	2	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>

**Other Wells Fargo Benefits****Commercial real estate loans up to \$750,000 - origination fee waived**

Apply by June 30, 2017, and we'll waive the origination fee - a discount of up to \$5,000.

Business Real Estate Financing wants to help you meet your commercial real estate goals. Our purchase, refinance, and equity loans offer competitive rates with a variety of term options, and low closing costs.

Learn more by visiting [wellsfargo.com/biz/loans-and-lines/real-estate/](http://wellsfargo.com/biz/loans-and-lines/real-estate/)

To apply, or for more information, call: 1-866-416-4320, Monday - Friday, 6:00 a.m. to 6:00 p.m. Pacific Time.

Note: Equity lines of credit are not eligible for this promotion. All financing is subject to credit approval. Some restrictions may apply.

Equal housing lender.

**IMPORTANT ACCOUNT INFORMATION**

Periodically, it is necessary to update selected sections of the disclosures you received when you opened your account. These updates provide you with the most up to date account information and are very important; so please review this information carefully and feel free to contact us with any questions or concerns.

---

We are updating the Business Account Agreement ("Agreement") dated April 29, 2016. Effective March 31, 2017, the question and response to "Are there any restrictions on our accepting deposits to your account?" in the section titled "Deposits to your account" are deleted and replaced with the following:

Are we required to accept all deposits to your account?

No. We are permitted to decline all or part of a deposit, including a cash deposit. Some examples are (a) an item made out to a payee not on your account, (b) an item with an endorsement we are unable to verify, (c) a check or draft issued on a credit account, and (d) a non-U.S. item. When we are unable to verify an endorsement on an item, we can also decline to pay, cash, or send the item for collection. We can require all endorsers be present and we may require you to deposit the item instead of permitting you to cash it. For non-U.S. items, please see the response to "How do we handle non-U.S. items?". We may require any person wanting to make a deposit to your account to provide an acceptable form of identification before we accept the deposit for processing.

All other aspects of the Agreement remain the same. If there is a conflict between the updated language above and the Agreement, the updated language will control.

---

Periodically, it is necessary to update selected sections of the disclosures you received when you opened your account. These updates provide you with the most up to date account information and are very important; so please review this information carefully and feel free to contact us with any questions or concerns.

We are updating the Business Account Agreement ("Agreement") effective April 24, 2017. In the section titled "Statements and other information relating to your deposit account", the response to the question "What happens to a dormant account?" is deleted and replaced with the following:

**What happens to a dormant account?**

We put safeguards in place to protect a dormant account which may include restricting the following:

- Transfers between your Wells Fargo accounts using your ATM/debit card
- Transfers by phone using our automated banking service
- Transfers or payments through online, mobile, and text banking (including Bill Pay)
- Wire transfers (incoming and outgoing)

Normal monthly service and other fees continue to apply (except where prohibited by law).

If you do not initiate an account-related activity on the account within the time period as specified by state unclaimed property laws, your account funds may be transferred to the appropriate state. This transfer is known as "escheat." If your account becomes escheatable, account statements will not be available. Your account will be closed. To recover your account funds, you must file a claim with the state.

All other aspects of the Agreement remain the same. If there is a conflict between the updated response above and the Agreement, the updated response will control.

Thank you for being a Wells Fargo customer. As a valued Wells Fargo customer we hope you find this information helpful. Again, if you have questions or concerns about these changes, please contact your local banker or call the number listed on your statement.

Primary account number:

■ March 8, 2017 - April 7, 2017 ■ Page 5 of 7

**WELLS  
FARGO**

## Wells Fargo Simple Business Checking

### Activity summary

Beginning balance on 3/8	-\$9.71
Deposits/Credits	470.00
Withdrawals/Debits	- 181.85
<b>Ending balance on 4/7</b>	<b>\$278.44</b>
Average ledger balance this period	\$164.84

Account number:

**THE UTE PAC***Utah account terms and conditions apply*

For Direct Deposit use

Routing Number (RTN): 124002971

For Wire Transfers use

Routing Number (RTN): 121000248

### Overdraft Protection

This account is not currently covered by Overdraft Protection. If you would like more information regarding Overdraft Protection and eligibility requirements please call the number listed on your statement or visit your Wells Fargo store.

### Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
3/8		Online Transfer From Ute PAC Ref #Ib0382M7Rd Business	10.00		0.29
		Checking Banking Fees			
3/9		03/09Bankcard Deposit -0483171620	10.00		10.29
3/10		Bankcard Interchange Fee - 0483171620		0.89	9.40
3/13		Overdraft Fee for a Transaction Received on 03/10 \$14.10		35.00	
		Bankcard Discount Fee - 0483171620			
3/13		Overdraft Fee for a Transaction Received on 03/10 \$86.86		35.00	
		Bankcard Fee - 0483171620			
3/13		Bankcard Discount Fee - 0483171620		14.10	
3/13		Bankcard Fee - 0483171620		86.86	
3/13		Online Transfer From Ute PAC Ref #Ib0389Szzl Business Checking	300.00		138.44
		Annual Banking Fees			
3/20		03/20Bankcard Deposit -0483171620	50.00		188.44
3/21		03/21Bankcard Deposit -0483171620	25.00		213.44
3/30		03/30Bankcard Deposit -0483171620	5.00		218.44
4/3		04/03Bankcard Deposit -0483171620	50.00		268.44
4/4		04/04Bankcard Deposit -0483171620	20.00		288.44
4/7		Monthly Service Fee		10.00	278.44
<b>Ending balance on 4/7</b>					<b>278.44</b>
<b>Totals</b>			<b>\$470.00</b>	<b>\$181.85</b>	

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

### Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to [wellsfargo.com/feefaq](http://wellsfargo.com/feefaq) to find answers to common questions about the monthly service fee on your account.

Fee period 03/08/2017 - 04/07/2017

Standard monthly service fee \$10.00

You paid \$10.00

#### How to avoid the monthly service fee

Minimum required

This fee period

Have any **ONE** of the following account requirements

- Average ledger balance

\$500.00

\$165.00 ☐

C1/C1

Primary account number:

■ March 8, 2017 - April 7, 2017 ■ Page 6 of 7

The Wells Fargo logo, consisting of the words "WELLS" and "FARGO" in a bold, yellow, sans-serif font, stacked vertically within a red square.

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**Account transaction fees summary**

<i>Service charge description</i>	<i>Units used</i>	<i>Units included</i>	<i>Excess units</i>	<i>Service charge per excess units (\$)</i>	<i>Total service charge (\$)</i>
Cash Deposited (\$)	0	3,000	0	0.0030	0.00
Transactions	0	50	0	0.50	0.00
<b>Total service charges</b>					<b>\$0.00</b>



## General statement policies for Wells Fargo Bank

■ **Notice:** Wells Fargo Bank, N.A. may furnish information about accounts belonging to individuals, including sole proprietorships, to consumer reporting agencies. If this applies to you, you have the right to dispute the accuracy of information that we have reported by writing to us at: Overdraft Collections and Recovery, P.O. Box 5058, Portland, OR 97208-5058.

You must describe the specific information that is inaccurate or in dispute and the basis for any dispute with supporting documentation. In the case of information that relates to an identity theft, you will need to provide us with an identity theft report.

## Account Balance Calculation Worksheet

1. Use the following worksheet to calculate your overall account balance.
2. Go through your register and mark each check, withdrawal, ATM transaction, payment, deposit or other credit listed on your statement. Be sure that your register shows any interest paid into your account and any service charges, automatic payments or ATM transactions withdrawn from your account during this statement period.
3. Use the chart to the right to list any deposits, transfers to your account, outstanding checks, ATM withdrawals, ATM payments or any other withdrawals (including any from previous months) which are listed in your register but not shown on your statement.

**ENTER**

**A.** The ending balance  
shown on your statement . . . . . \$

**ADD**

**B.** Any deposits listed in your register or transfers into your account which are not shown on your statement.

\$	_____
\$	_____
\$	_____
+	\$ _____
..... <b>TOTAL</b> \$ _____	

**CALCULATE THE SUBTOTAL**

(Add Parts A and B)

..... TOTAL \$

**SUBTRACT**

C. The total outstanding checks and withdrawals from the chart above . . . . . - \$

**CALCULATE THE ENDING BALANCE**

(Part A + Part B - Part C)

This amount should be the same  
as the current balance shown in  
your check register . . . . . \$ .

[illegible]



# EXHIBIT O

month	month inc total	month exp total
jan	0	375.24
feb	0	12
mar	41291	36278.5
apr	24300	20,295.01
may	12223.68	15,011.28
jun	10025	10828.36
jul	5663.31	10538.48
aug	7503.19	6028.77
sep	11822.49	3988.4
oct	0	9741.41
nov	1217.01	1079.39
dec	6094.99	5536.7
	120140.67	119713.54

MUR790500135

	Total
Accounting	596.92
Advertising	515.30
Auto	13,284.86
Benefits (Life Ins)	1,269.68
Contract labor	9,661.50
Home office	6000
Interest (cars, lines of credit)	400
Legal	0
Licenses	0
Meals	3,146.87
Office Expenses (cleaning/maint)	600
Other Expense (education, subs)	415.00
Postage	147.32
Rent	6,000.00
Repairs (office equipment)	94.81
Shipping	
Supplies	312.94
Travel	14,632.47
Utilities	3,114.32

# EXHIBIT P

**UINTAH AND OURAY  
TRIBAL BUSINESS COMMITTEE**

Resolution No. \_\_\_\_\_

**WHEREAS:** The Tribal Business Committee ("Business Committee") of the Ute Indian Tribe of the Uintah and Ouray Reservation ("Tribe") is empowered by Article VI, Sections 1(c) and 1(f) of the Constitution and By-Laws of the Tribe to regulate the economic affairs of the Tribe; and

**WHEREAS:** pursuant to Article VI, Section 1(g) of the Tribe's Constitution, the Uintah and Ouray Tribal Business Committee is authorized "[t]o appropriate for salaries of tribal officials or other public purposes of the Tribe any available tribal funds; and


**WHEREAS:** The Tribal Business Committee established a Political Action Committee known as "UTEPAC" to address political and legislative attacks on the Tribe's Reservation, sovereignty, jurisdiction, lands, resources, water rights, sacred sites, and interests; and

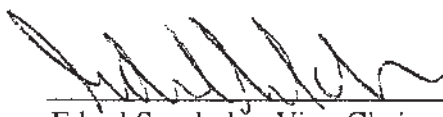
**WHEREAS:** The Business Committee works with Mr. Robert Lucero and its General Counsel to manage and implement the purposes of UTEPAC; and

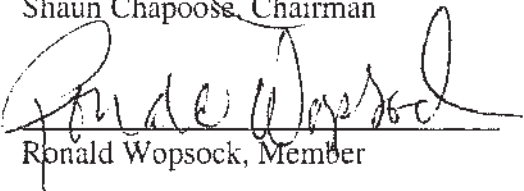
**WHEREAS:** The Business Committee is in receipt of UTEPAC's proposed budget and action plan for 2017 that includes a focus to defend the Bears Ears Monument and to partner with organizations to oppose voter suppression.

**NOW, THEREFORE, BE IT RESOLVED:** that the Business Committee, at a duly called meeting with a quorum present, does hereby authorize and approve appropriations and expenditures of tribal monies in the amount of \$100,000, as set forth in the attached UTEPAC budget and action plan for 2017, to be drawn down from the FY 2017 Contingency Fund; and

**BE IT FINALLY RESOLVED:** that the Business Committee hereby authorizes and approves its Chairman or, in his absence, the Vice-Chairman, to execute any and all documents as may be necessary and appropriate to carry out the terms, conditions and intent of this Resolution.


  
Shaun Chapoose, Chairman

  
Edred Secakuku, Vice-Chairman

  
Ronald Wopsock, Member

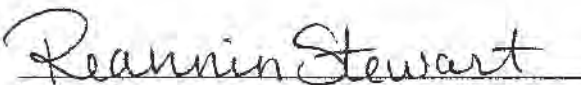
absent  
Cummings Justin Vanderhoop, Member

17 - 052

  
Bruce Ignacio, Memberabsent  
Tony Small, Member

## CERTIFICATION

I HEREBY CERTIFY THAT THE FOREGOING Resolution was adopted by the Tribal Business Committee of the Ute Indian Tribe of the Uintah and Ouray Reservation pursuant to the Constitution and By-Laws of the Ute Indian Tribe of the Uintah and Ouray Reservation at a duly called meeting in Ft. Duchesne, Utah, on the 23 day of February, 2017, at which time a quorum was present and votes 4 for, 0 against, 0 abstaining and 2 absent.

  
Tribal Business Committee - Secretary  
Ute Indian Tribe, Uintah & Ouray Reservation



## Latest Draft Guidelines for Ute PAC focus and funding, 2017

*In 2016, Ute PAC was successful in helping to tip the balance against the PLI in Utah. In 2017, Ute PAC will continue to advocate against PLI and will defend the Bears Ears Monument, fight against House Resolution 622 and similar proposed legislation, partner with other organizations like the Utah League of Native American Voters, work to fight voter suppression of the Native vote in Utah, such as ensuring adequate polling stations on the reservation, and advocate against any political activities that are detrimental to the Ute Indian Tribe's safety, security, and homelands.*

### Local, Basic Operating Budget

Proposed \$100,000 budget for the year; can be increased later in the year if the Tribe so desires. The following are initial estimated costs of the PAC for 2017:

**-New staff/website update:** Ute PAC will hire 2 staff for accounting, administration, and website/social media work, costing between \$1500-\$2000 per month for both. One time fee of about \$500 for redoing website and maintenance.

\*one of these staff would ideally be based in Salt Lake City.

**-Grant writing:** the director would like to partner with 1 or 2 outside consultants to draft several grant proposals to dramatically increase outside funding of PAC. For example, the Hewlett Packard Foundation contributed \$1.5 million to the Bears Ears Commission last month. Approximately \$2500 for this, could be more depending on the kind of contribution we can organize.

**-Office space rent and utilities:** including internet/phone lines is \$450 per month (Logan, Utah location). This could increase to an additional \$500-\$750 a month for small office space in Salt Lake City.

**-Administrative fee:** The Ute PAC director will charge a 10% administrative fee. Travel and mileage reimbursements following Federal guidelines as per the previous contract between American System Group, LLC and the Ute Indian Tribe

The remaining budget (at least \$55,000) to be spent on media, advertising, printed matter, and public education on Ute Indian Tribe issues and initiatives.

---

-an earmarked portion of the Super PAC fund for Ute PAC will be used to make financial contributions that are **independent expenditures** that can support candidates friendly to Ute Tribe/Indian Country issues. Per FEC rules, direct contributes cannot be made.

-the Ute PAC executive director will be responsive daily and hour by hour to Tribal Business Committee members and to tribe members. Communications will be conducted via email, social media, text messaging, and telephone.-the Ute PAC executive director will be proactive in social media outreach on behalf of approved Tribal initiatives.

-the Ute PAC executive director will maintain communications with the Federal Election Commission and managing all filings as required by Federal Law.

### **Larger Media Buys, Separate from Initial Funding**

-Three levels of potential television media buys during the year: \$110k, \$160k, \$200k to fight Chaffetz and Bishop (**see separate attachment**). Note: by the Fall of 2017 (just over 6 months away) it will be the beginning of the primary campaign season, where serious candidates who might challenge Chaffetz and Bishop. Ute PAC can be in a great position to make **independent expenditures** to support candidates opposing Bishop and Chaffetz.



## VENDOR NO. \_\_\_\_\_

DATE 1/9/2018

Please make payment to: The Ute Pac

55 E. 100 N. Suite 105

Logan, UT 84321

RECEIVED

APR 04 2018

UTE TRIBE ADMINISTRATION

for item(s) shown below which are for the immediate use of BC Dept.

[illegible]

Special Instructions Wire 00 check

**AUTHORITY:** Business Committee Resolution No. 79-110 dated Sept. 7, 1979  
Area Office Letter Dated July 5, 1978

Name (Signature)

Approved for Payment by Executive Director

Title of Requisitioner

Certified - Funds are available

Tribal Accountant

EXHIBIT P, Page 5 of 19

Voucher Clerk

Check # 257812

Date 4/16/18



## Wires

---

Payment Information

---

Payment ID 709

Status Queued

Type Wire

Modified 01/11/2018 05:41 pm ET by SKYLERM1

---

Debit Account

---

## Debit Account

General acct

Acct # 5240000017 USD

---

Beneficiary

---

## Beneficiary

Ute PAC

United States of America (US)

Acct # 3661511042

## Beneficiary Bank

Wells Fargo

420 Montgomery Street

United States

United States of America (US)

---

Payment Details

---

Debit Currency USD - United States Dollar

Credit Currency USD - United States Dollar

Amount 100,000.00 USD

Value Date 01/12/2018

Cutoff time 05:30 pm ET

---

References

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## Originator

UTE INDIAN TRIBE

ATTN: ACCOUNTING DEPARTMENT

PO BOX 190

FORT DUCHESNE UT 840260190

United States of America (US)

## Payment References

ID or Acct #



**UINTAH AND OURAY  
TRIBAL BUSINESS COMMITTEE**

**Resolution No. \_\_\_\_\_**

**WHEREAS:** The Tribal Business Committee ("Business Committee") of the Ute Indian Tribe of the Uintah and Ouray Reservation ("Tribe") is empowered by Article VI, Sections 1(k) of the Constitution and By-Laws of the Tribe to provide for the maintenance of law and order and the administration of justice of the Tribe; and

**WHEREAS:** In 2016, Congressman Rob Bishop introduced a bill, H.R. 5780, the Utah Public Lands Initiative, in an effort to take more than 100,000 acres of the Ute Indian Tribe's Uintah and Ouray Reservation (Reservation) lands for the State of Utah and impact the Tribe's sovereignty, jurisdiction and water rights across another 300,000 acres of Reservation land; and

**WHEREAS:** In 2017, Congressman Bishop and other Utah Congressional Representatives introduced additional legislation that sought to transfer regulatory authority and revenue generated from federal lands to states including lands within the Reservation; and

**WHEREAS:** The Business Committee finds that these political and legislative attacks on the Tribe's Reservation, sovereignty, jurisdiction, lands, resources, water rights, sacred sites and interests require a strong statement in opposition and has established a political action committee "UTEPAC;" in an effort to oppose these attacks.


**NOW, THEREFORE BE IT RESOLVED:** The Business Committee shall continue to authorize UTEPAC to put forth statements, media, political messaging and other public awareness activities to oppose political and legislative attacks on the Tribe's Reservation, sovereignty, jurisdiction, lands, resources, water rights, sacred sites and interests in 2018 pursuant to the UTEPAC 2018 Action Plan attached hereto and incorporated by this reference.

**BE IT FURTHER RESOLVED:** The Business Committee hereby authorizes and appropriates \$100,000 in funding for UTEPAC, pursuant to the 2018 UTEPAC Budget, attached hereto and incorporated herein by this reference, that will be specifically drawn down from FY 2018 Contingency Funds.

**BE IT FURTHER RESOLVED:** The Business Committee directs the UTEPAC Director to work with other Indian tribes, organizations, and private individuals to raise additional funds to be contributed to UTEPAC.

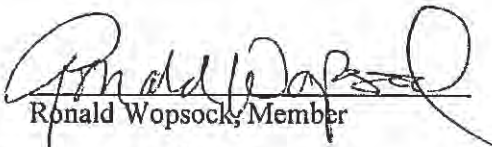
**BE IT FURTHER RESOLVED:** the UTEPAC Director is authorized to develop a robust media plan, including social media, for UTEPAC to implement statements, media political messaging and other activities approved by the Business Committee.

**BE IT FINALLY RESOLVED:** The Business Committee authorizes and approves its Chairman or, in his absence, the Vice-Chairman, to execute any and all documents as may be necessary and appropriate to carry out this Resolution.

  
 Luke Duncan, Chairman

ABSENT

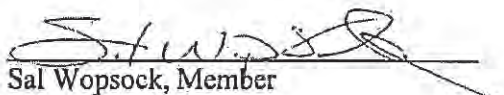
Tony Small, Vice-Chairman

  
 Ronald Wopsock, Member

ABSENT


Shaun Chapoose, Member

  
 Edred Secakuku, Member

  
 Sal Wopsock, Member

#### CERTIFICATION

I HEREBY CERTIFY THAT THE FOREGOING Resolution was adopted by the Tribal Business Committee of the Ute Indian Tribe of the Uintah and Ouray Reservation pursuant to the Constitution and By-Laws of the Ute Indian Tribe of the Uintah and Ouray Reservation at a duly called meeting in Ft. Duchesne, Utah, on the 09th day of January, 2018, at which time a quorum was present and votes 4 for, 0 against, 0 abstaining and 2 absent.

  
 Lolana G. Springer  
 Tribal Business Committee - Secretary  
 Ute Indian Tribe, Uintah & Ouray Reservation



## Ute PAC

55 E 100 N Suite 105  
Logan, UT 84321



Date

November 27, 2017

**REQUEST:** *That the Ute Indian Tribe Business Committee pass a motion to fund the Ute PAC for 2018.*

**SETTING:** This will be a critical election year. It is the first federal election since Trump's presidency began and there is a wave of revolt against his party and policies. Hatch is continuing to posture for a re-election bid. Rob Bishop is running again in 2018, after numerous insults to the Ute Indian Tribe and Indian Country during 2017.

### GOALS FOR 2018:

**\*Hammer Rob Bishop** on his record toward the Ute Tribe and Indian Country overall. **Hold Hatch's feet to the fire** on respecting the Ute Indian Tribe, if he runs again.

**\*Hold a fundraiser for Ute PAC, probably a golf tournament**, for Industry to contribute to Ute PAC, especially during an election year. Early Spring timeline, probably in St. George or Las Vegas. (Subject to discussion and approval by BC).

**\*Establishing a Ute Land Trust 501c3, chartered by the Ute Tribe**, to work with organizations in the Land Trust Alliance to develop a plan to restore Uncompahgre Lands to the Ute Tribe, get the lands back into trust. Tax-deductible contributions can be made to this organization that cannot be made to Ute PAC.

**\*More activities**, coordinated with BC and Ute Tribe activists/members as situations arise.

### ACHEIVMENTS IN 2017:

**\*Established Ute PAC as a legitimate voice** for the Ute Tribe in Federal, Utah, and local politics.

**\*Success at the Summer Outdoor Retailer Show** in making the Ute Tribe's battle in Utah a prominent concern of the Outdoor Industry Association. **Public endorsement of Ute PAC by Interior Secretary Sally Jewell** (former).

**\*Created more alliances** with organizations, media, and universities on collaboration with Ute PAC to highlight issues of tribal sovereignty and Ute Tribe land rights in Utah.

**\*Worked with Professor Christine Sleeter to secure over \$250,000 returned to the Ute Indian Tribe** from investments derived from the sale of Ute ancestral lands in Colorado. Dr. Sleeter visited the U&O Reservation again November 6th and introduced the BC to two professors from the College of Education at the University of Utah, who would like to **help develop teachers and professors that would come from the Ute Indian Tribe**.

## Ute PAC

55 E 100 N Suite 105  
Logan, UT 84321



### Date

November 27, 2017

### Instructions

Estimated breakdown of 2018 expenses

Quantity	Description	Unit Price	Total
1	New staff for admin, fundraising, social media, special events (Salt Lake-based)	\$2,500 per month	\$30,000
1	Outside consultants to assist director in grant writing, larger funding requests; accounting services	\$500 per month	\$6,000
2	Rent/utilities/internet bundle (1-2 offices)	\$750 per month	\$9,000
1	Director's admin fee	\$10,000	\$10,000
1	Remaining (estimated) funds		\$45,000
These figures are estimates following parameters in the draft budget approved by the BC			
			<b>Total: \$100,000</b>

Tel: (435)213-3168  
Fax: n/a

Email: [robert@utepac.com](mailto:robert@utepac.com)  
Web: [www.utepac.com](http://www.utepac.com)



System: , 4/16/2018 2:18:12 PM  
 User Date: 4/16/2018

Ute Indian Tribe Membership Fu  
 MANUAL PAYMENTS POSTING JOURNAL  
 Payables Management

Page: 1  
 User ID: skylerm

Batch ID: skylerm

Batch Comment:

Trx Total Actual: 0

Batch Total Actual:

Approved: No

Batch Errors:

Trx Total Control: 0

\$0.00 Batch Total Control:

Approved By:

\$0.00

Approval Date: 0/0/0000

Batch Frequency: Single Use

Audit Trail Code: PMPAY00000073

Posting Date: 0/0/0000

Payment Number: 00257812

Comment:

Vendor ID: THE UTE PAC

Check Name: The Ute Pac

Checkbook ID: WF MAIN A/P

Writeoff: \$0.00

Terms Disc Taken: \$0.00

Terms Disc Avail: \$0.00

Withholding Amt: \$0.00

Unapplied Amount: \$0.00

Applied Amount: \$100,000.00

Prepayment Amount: \$0.00

Total Payment: \$100,000.00

Document Number: 00257812

Payment Type: Cash

Document Date: 1/11/2018

Distribution Messages:

Work Messages:

General Ledger Distributions

Account	Account Description	Account Type	Debit Amount	Credit Amount
0000-0-1003-0000	WF - 0017 Main A/P	CASH	0.00	100,000.00
0000-0-2000-0000	Accounts Payable	PAY	100,000.00	0.00
			-----	-----
			100,000.00	100,000.00

Apply

Document Type

Invoice

Voucher Number

00330149

App Date

1/11/2018

Discount

0.00

Writeoff

0.00

Amount Applied

100,000.00

-----

0.00

-----

0.00

-----

100,000.00

Total Payments: \$100,000.00

=====

System: 4/16/2018 2:18:15 PM  
 User Date: 4/16/2018

Ute Indian Tribe Membership Fund  
 DISTRIBUTION BREAKDOWN REGISTER  
 Payables Management

Page: 1  
 User ID: skylerm

Batch ID:  
 Audit Trail Code:

Batch Comment:  
 Batch Frequency:

Account Number		Description				
Type	Voucher Number	Vendor ID	Doc Date	Debit	Credit	Net
<hr/>						
0000-0-1003-0000	WF - 0017 Main A/P					
CASH	00257812	THE UTE PAC	1/11/2018	\$0.00	\$100,000.00	(\$100,000.00)
<hr/>						
1 Distribution(s)			Sub Totals:	\$0.00	\$100,000.00	(\$100,000.00)
<hr/>						
0000-0-2000-0000	Accounts Payable					
PAY	00257812	THE UTE PAC	1/11/2018	\$100,000.00	\$0.00	\$100,000.00
<hr/>						
1 Distribution(s)			Sub Totals:	\$100,000.00	\$0.00	\$100,000.00
<hr/>						
Totals:				\$100,000.00	\$100,000.00	\$0.00
<hr/>						

System: 4/16/2018 2:18:16 PM  
 User Date: 4/16/2018

Ute Indian Tribe Membership Fu  
 GL DISTRIBUTION SUMMARY  
 Payables Management

Page: 1  
 User ID: skylerm

Batch ID:  
 Audit Trail Code:

Batch Comment:  
 Batch Frequency:

Account	Description		Debits	Credits	Net Change
0000-0-1003-0000	WF - 0017 Main A/P				
	Total Distributions:	1	\$0.00	\$100,000.00	(\$100,000.00)
0000-0-2000-0000	Accounts Payable				
	Total Distributions:	1	\$100,000.00	\$0.00	\$100,000.00
Total Accounts:	2				

System: 4/16/2018 2:18:17 PM  
User Date: 4/16/2018

MUR790500151  
Ute Indian Tribe Membership Fu  
CHECKBOOK POSTING JOURNAL  
Payables Management

Page: 1  
User ID: skylerm

Audit Trail Code: PMPAY00000073  
\* Voided transactions

Checkbook ID	Type	Number	Date	Amount
-----				
Paid To/Rcvd From		Description		
-----				
WF MAIN A/P The Ute Pac	Withdrawal	00257812	1/11/2018	\$100,000.00
Total Transactions:		1		



**UINTAH AND OURAY  
TRIBAL BUSINESS COMMITTEE**

**Resolution No. \_\_\_\_\_**

**WHEREAS:** The Tribal Business Committee ("Business Committee") of the Ute Indian Tribe of the Uintah and Ouray Reservation ("Tribe") is empowered by Article VI, Sections 1(k) of the Constitution and By-Laws of the Tribe to provide for the maintenance of law and order and the administration of justice of the Tribe;

**WHEREAS:** In the fall of 2016, the Tribe established the Ute Indian Tribe Political Action Committee or UtePAC to address ongoing attacks on the Tribe's lands, waters and resources by the State of Utah and Utah School and Institutional Trust Lands Administration ("SITLA") and to address tribal issues and policies on a national level;

**WHEREAS:** With the support of UtePAC, the Tribe has been successful in defeating or modifying legislation in the United States Congress that would have taken tribal lands and resources, increasing knowledge in Congress, the State of Utah and nationally regarding the Tribe's interests, and gaining the support of members of Congress in promoting and defending the Tribe's interests;

**WHEREAS:** UtePAC has utilized a number of innovative methods in its campaigns to defeat or modify legislation including providing support for individual members of Congress, television and radio ads, billboards, internet videos, website information, petitions, informational brochures, attendance at political events and conventions, grass roots organizing, voter registration drives and more;

**WHEREAS:** Even with these innovative methods and successes, members of Congress, in particular the Utah Congressional Delegation, continue to introduce legislation that would or could take or impact the Tribe's lands, waters and resources;

**WHEREAS:** For example, on May 9, 2018, Senator Hatch and Congressman Curtis introduced the Emery County Public Land Management Act of 2018, respectively, S. 2809 and H.R. 5727, that would result in the Utah School and Institutional Trust Lands Administration seeking to take approximately 100,000 acres of lands within the Tribe's Reservation and that may impact the Tribe's water rights in the Green River;

**WHEREAS:** The support of members of Congress, who understand the United States treaty and trust relationship to Indian tribes and Indian legal issues, continues to be important for seeking changes to such legislation or stopping such legislation from passing Congress; and

**WHEREAS:** Media, internet, grass roots organizing and more continues to be needed to inform members of Congress, tribal members and the public about the State of Utah,




SITLA and their legislative attacks on the Tribe's lands, waters and resources, and to address tribal issues and policies on a national level.

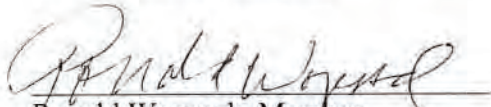
**NOW, THEREFORE BE IT RESOLVED:** The Business Committee hereby authorizes an appropriation in the amount of \$100,000.00 from the Tribe's Contingency fund to fund UtePAC activities including providing support for members of Congress and conducting media, internet, political and grass roots campaigns to inform tribal members and the public about legislative attacks on the Tribe's lands, waters and resources, and to address tribal issues and policies on a national level.

**BE IT FURTHER RESOLVED:** UtePAC, its Executive Director and its attorneys will keep the Business Committee informed, consult with the Business Committee and obtain approval of the Business Committee, as needed, to implement the UtePAC activities described in this Resolution;


**BE IT FINALLY RESOLVED:** The Business Committee authorizes and approves its Chairman or, in his absence, the Vice-Chairman, to execute any and all documents as may be necessary and appropriate to carry out this Resolution.

  
Luke Duncan, Chairman

  
Tony Small, Vice-Chairman

  
Ronald Wopsock, Member

ABSENT  
Shaun Chapoose, Member

  
Edred Secakuku, Member

ABSENT  
Sal Wopsock, Member

#### CERTIFICATION

I HEREBY CERTIFY THAT THE FOREGOING Resolution was adopted by the Tribal Business Committee of the Ute Indian Tribe of the Uintah and Ouray Reservation pursuant to the Constitution and By-Laws of the Ute Indian Tribe of the Uintah and Ouray Reservation at a duly called meeting in Ft. Duchesne, Utah, on the 15th day of August, 2018, at which time a quorum was present and votes 4 for, 0 against, 0 abstaining and 2 absent.

  
Tribal Business Committee - Secretary  
Ute Indian Tribe, Uintah & Ouray Reservation



**UINTAH AND OURAY  
TRIBAL BUSINESS COMMITTEE**

**Resolution No. \_\_\_\_\_**

**WHEREAS,** The Tribal Business Committee ("Business Committee") of the Ute Indian Tribe of the Uintah and Ouray Reservation ("Tribe") is empowered by Article VI, Section and 1(f) of the Constitution and By-Laws of the Tribe to regulate the economic affairs of the Tribe; and

**WHEREAS,** pursuant to Article VI, Section 1(g) of the Tribe's Constitution, the Uintah and Ouray Tribal Business Committee is authorized "[t]o appropriate for salaries of tribal officials or other public purposes of the Tribe any available tribal funds; and

**WHEREAS,** the Business Committee established a Political Action Committee known as "UTEPAC" to address political and legislative attacks on the Tribe's Reservation, sovereignty, jurisdiction, lands, resources, water rights, sacred sites, and interests; and

**WHEREAS,** the Business Committee has previously approved appropriations and expenditures of tribal monies to fund UTEPAC on a Fiscal Year ("FY") basis; and

**WHEREAS,** the Business Committee has approved a \$100,000 payment of tribal monies for UTEPAC's FY 2019 budget; and

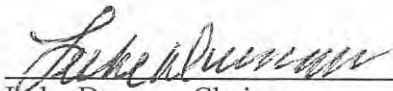
**WHEREAS,** in order to adequately address upcoming issues impacting the Tribe's interests, the Business Committee approved a \$25,000 advance payment from UTEPAC's previously approved \$100,000 FY 2019 budget appropriation; and

**NOW THEREFORE, BE IT RESOLVED,** that the Business Committee, at a duly called meeting, with a quorum present, hereby authorizes and approves the \$25,000 advance be classified and accounted for as a FY 2018 expenditure.

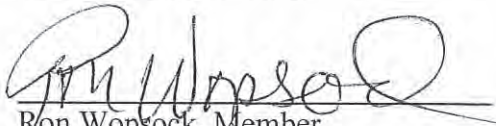
**BE IT FURTHER RESOLVED,** that the \$100,000 FY 2019 budget for UTEPAC shall be offset by the FY 2018 \$25,000 advance, which shall not be construed or accounted for as an additional grant of funds.

**BE IT FURTHER RESOLVED,** that this resolution hereby amends and supersedes any contrary resolution of the Business Committee previously enacted, including but not limited to Resolution 18-343.

**BE IT FINALLY RESOLVED,** that the Chairman or, in his absence, the Vice-Chairman, is authorized to execute any and all documents as may be necessary and appropriate to carry out the terms, conditions and intent of this Resolution.

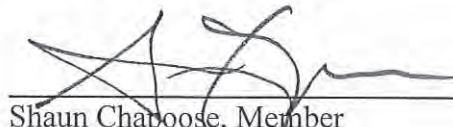
  
 Luke Duncan, Chairman

absent  
 Tony Small, Vice-Chairman

  
 Ron Wopsock, Member

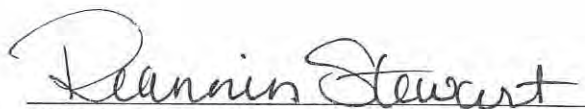
  
 Edred Secakuku, Member

  
 Sal Wopsock, Member

  
 Shaun Chapoose, Member

### CERTIFICATION

I HEREBY CERTIFY THAT THE FOREGOING Resolution was adopted by the Tribal Business Committee of the Ute Indian Tribe of the Uintah and Ouray Reservation pursuant to the Constitution and By-Laws of the Ute Indian Tribe of the Uintah and Ouray Reservation at a duly called meeting in Ft. Duchesne, Utah, on the 1 day of October, 2018, at which time a quorum was present and votes 5 for, 0 against, 0 abstaining and 1 absent.

  
 Tribal Business Committee - Secretary  
 Ute Indian Tribe, Uintah & Ouray Reservation

# EXHIBIT Q

**From:** [Robert Lucero](#)  
**To:** [Rollie Wilson](#)  
**Subject:** Fwd: Your Flight Receipt - ROY POLANCO 02JUL18  
**Date:** Wednesday, December 9, 2020 8:07:14 PM

This was a relative who helped us with the move from Utah to L.A., flying him back to his home, Tucson, AZ.

----- Forwarded message -----

**From:** **Elizabeth Polanco** >  
**Date:** Wed, Jun 6, 2018 at 7:00 PM  
**Subject:** Fwd: Your Flight Receipt - ROY POLANCO 02JUL18  
**To:** >

Sent from my iPhone


Begin forwarded message:

**From:** the85718 area ? < >  
**Date:** June 6, 2018 at 6:57:56 PM MST  
**To:**  
**Subject:** **Fwd: Your Flight Receipt - ROY POLANCO 02JUL18**

Sent from AOL Mobile Mail


On Wednesday, June 6, 2018, Delta Air Lines <[DeltaAirLines@e.delta.com](mailto:DeltaAirLines@e.delta.com)> wrote:

Thanks for choosing Delta. Your Flight is confirmed.



**Hello, ROY**

Your Trip Confirmation #: **GYKIK8**



Mon, 02JUL	DEPART	ARRIVE
DELTA 3556*	LOS ANGELES, CA	TUCSON, AZ
Main Cabin (X)	3:25pm	4:56pm

\*Flight 3556 Operated by SKYWEST DBA DELTA CONNECTION

NEW SERVICE & SUPPORT ANIMAL REQUIREMENTS

Delta welcomes trained service animals, including psychiatric service and emotional support animals on our flights. Effective March 1, 2018 we are changing our requirements. For these requirements please go to: [delta.com/animals](https://delta.com/animals).

#### AUTOMATIC CHECK-IN NOW AVAILABLE

We've added Automatic Check-In to the Fly Delta app to save you time and hassle. This means if you're traveling in the United States, Puerto Rico or the U.S Virgin Islands, we'll automatically check you in 24 hours prior to your scheduled departure. Just open the app and you'll be on your way. Don't have the app? Click [here](#) to download. [Learn more](#) about automatic check-in.

#### RESTRICTED HAZARDOUS ITEMS

To ensure the safety of our customers and employees, **Delta will no longer accept smart bags starting January 15, 2018. Smart bags with non-removable lithium-ion batteries** will not be permitted as carry-on or checked baggage on any Delta mainline or Delta Connection flight. For more information, please visit our [News Hub](#).

Hoverboards or any lithium battery powered self-balancing personal transportation devices are also not permitted as both carry-on and checked baggage.

Spare batteries for other devices, fuel cells, and e-cigarettes are permitted in carry-on baggage only. If your carry-on bag contains these items and is gate checked, they must be removed and carried in the cabin. Further information and specific guidelines regarding restricted items can be found [here](#).

#### Passenger Info

NAME	FLIGHT	SEAT
ROY POLANCO	DELTA 3556	10C

Visit [delta.com](https://delta.com) or use the [Fly Delta app](#) to view, select or change your seat. If you purchased a Delta Comfort+™ seat or a Trip Extra, please visit [My Trips](#) to access a receipt of your purchase.

#### Flight Receipt

Ticket #: [0062328020076](#)

Place of Issue: [Delta.com](https://delta.com)

Ticket Issue Date: 06JUN18

Ticket Expiration Date: 06JUN19

#### METHOD OF PAYMENT

VI\*\*\*\*\*

**\$115.20 USD**

#### CHARGES

##### Air Transportation Charges

Base Fare	\$93.95 USD
-----------	-------------

##### Taxes, Fees and Charges

United States - September 11th Security	\$5.60 USD
---	------------

Fee(Passenger Civil Aviation Security Service Fee) (AY)

United States - Transportation Tax (US)	\$7.05 USD
---	------------



United States - Passenger Facility Charge (XF)	\$4.50 USD
United States - Flight Segment Tax (ZP)	\$4.10 USD
<b>TICKET AMOUNT</b>	<b>\$115.20 USD</b>

## NONREF/PENALTY APPLIES

This ticket is non-refundable unless the original ticket was issued at a fully refundable fare. Some fares may not allow changes. If allowed, any change to your itinerary may require payment of a change fee and increased fare. Failure to appear for any flight without notice to Delta will result in cancellation of your remaining reservation.

Note: When using certain vouchers to purchase tickets, remaining credits may not be refunded. Additional charges and/or credits may apply.

Fare Details: LAX DL TUS93.95XAVNA0MB USD93.95END ZP LAX XF LAX4.5

**Checked Bag Allowance**

The fees below are based on your original ticket purchase. **If you qualify for free or discounted checked baggage**, this will be taken into account when you check in.

Mon 02 Jul 2018	DELTA: LAX → TUS	
CARRY ON	FIRST	SECOND
FREE	\$25 <sup>USD</sup>	\$35 <sup>USD</sup>

**Transportation of Hazardous Materials**

Federal law forbids the carriage of hazardous materials aboard aircraft in your luggage or on your person. A violation can result in civil penalties. Examples include: Paints, aerosols, lighter fluid, fireworks, torch lighters, tear gases and compressed gas cartridges.

There are special exceptions for small quantities (up to 70 ounces total). For further information visit [delta.com Restricted Items](#) Section.

**EARN MILES WITH AIRBNB. >**

Book your Airbnb via [deltaairbnb.com](#) and earn miles on all stays. Plus, new guests also get \$25 toward first qualifying booking. Terms Apply.

**BOOK YOUR SUMMER GETAWAY. >**

It's a great time to choose your next escape from more than 325 destinations on six continents.



☐ We have partnered with The Nature Conservancy to allow you to offset your carbon emissions from this trip. Go to [delta.com/CO2](#) to calculate your CO2 emissions and learn more about offsetting.

**Terms & Conditions**

This ticket is non-refundable unless the original ticket was issued at a fully refundable fare. Some fares may not allow changes. If allowed, any change to your itinerary may require payment of a change fee and increased fare. Failure to

appear for any flight without notice to Delta will result in cancellation of your remaining reservation.

Note: When using certain vouchers to purchase tickets, remaining credits may not be refunded. Additional charges and/or credits may apply.

†All SkyMiles® program rules apply. To review the rules, see Membership Guide & Program Rules. Taxes and fees for Award Travel are the responsibility of the passenger and must be paid at the time the ticket is booked. Award Travel seats are limited and may not be available on all flights or in all markets. Offers void where prohibited by law. Other restrictions may apply.

### Checked Bag Allowance

\*On Delta operated flights, you may carry on one bag and a small personal item at no charge.

Delta One™/First/Business Class weight allowance reverts to 50 lbs for all checked bags beyond regular free allowance.

At the time of check in with Delta, SkyMiles Medallion members, SkyTeam Elite & Elite Plus and active US Military personnel are eligible for fee waivers and other benefits. For more details, visit [delta.com/baggage](https://delta.com/baggage). Basic Cardmembers with a Gold, Platinum, or Reserve Delta SkyMiles Credit Card from American Express are eligible for the first bag fee waiver. More details on the program can be found at [delta.com/firstbagfree](https://delta.com/firstbagfree).

A standard checked bag with Delta may be up to 50 lbs and 62 linear inches (per piece). Additional fees apply for oversize, overweight, and/or additional pieces of checked baggage. Please review Delta's baggage guidelines for details. Weight and size restrictions may vary when checking baggage on carriers other than Delta. Contact with the operating carrier for detailed checked baggage allowances. You must be checked in at the gate by the applicable check-in deadlines or your reservation may be cancelled. Please review Delta's check-in requirement guidelines for details. Check-in requirements vary by airline, so if your ticket includes travel on other airlines, please check with the operating carrier on your ticket.

Do you have comments about our service? Please [email](#) us to share them.

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Air transportation on Delta and the Delta Connection® carriers is subject to Delta's [conditions of carriage](#). They include terms governing for example:

- [Limits on our liability](#) for personal injury or death of passengers, and for loss, damage or delay of goods and baggage.
- [Claim restrictions](#) including time periods within which you must file a claim or bring action against us.
- Our right to [change terms](#) of the contract.
- [Check-in requirements](#) and other rules established when we may [refuse carriage](#).
- Our rights and limits of our liability for [delay or failure to perform service](#) including schedule change, substitution of alternative air carriers or aircraft, and rerouting.
- Our policy on [overbooking flights](#), and your rights if we deny you boarding due to an oversold flight.

These terms are incorporated by reference into our contract with you. You may view these [conditions of carriage](#) on [delta.com](https://delta.com), or by requesting a copy from Delta.

You have received this email because you elected to receive your Electronic Ticket receipt sent to you via email. If you would like to take advantage of other Delta email programs featuring special fares, promotions, information and flight updates, please visit: [delta.com/emailprograms](https://delta.com/emailprograms) or [delta.com/notifications](https://delta.com/notifications).

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Your privacy is important to us. Please review our [Privacy Policy](#).



--

Robert Lucero

# EXHIBIT R







www.arcb.com/abf



SHIPPER'S NO. **NS** CRN **5627410558K**

TERMINAL **818-897-2266**

06/25/18 162D

SHIPPER **RENEE LUCERO**

07/05/18 17:08

PAGE **3 OF 4**

0

ROUTING

OGD-SFN

CONSIGNEE **000000-0000**

UPK-RENEE LUCERO

160054553

LOS ANGELES CA 90042

LOGAN UT 84321

PIECES

DESCRIPTION

WEIGHT (LBS.)

RATE

CHARGES

\*\*\*\*\*  
\*THIS SHIPMENT IS SUBJECT EXCLUSIVELY\*  
\*TO THE U-PACK PRICE SCHEDULE, THE\*  
\*UNIFORM BILL OF LADING, THE LIABILITY\*  
\*LIMITATIONS AND ALL OTHER APPLICABLE\*  
\*PROVISIONS OF THE CARRIER'S\*  
\*INDIVIDUAL AND COLLECTIVE TARIFFS,\*  
\*INCLUDING THE ABF-111 TARIFF.\*  
\*\*\*\*\*  
CONS PHONE:

BILL TO

RENEE LUCERO

LOS ANGELES CA 90042

000000-0000

COD AMOUNT

REMIT TO

PAY THIS AMOUNT

TAR **UPQ**

OZIP **84321**

DZIP **90042**

SPEC. HAND.

PAID BY

CREDIT CARD

RECEIVED

THU 07/05

DRIVER

E RAMOS

07/05/18

YOUR ACCEPTANCE OF DELIVERY IS SUBJECT TO ABF 111 SERIES TERMS

BIT R, Page 4 of 5

ROBERT LUCERO

CONSIGNEE

BY (CUSTOMER'S SIGNATURE)



# EXHIBIT S



September 11, 2020

Letter to the Federal Election Commission from Former Ute PAC Director  
Committee ID: C00626671

Dear Members of the Federal Elections Commission:

I had the honor and privilege of serving as the founder, director, and treasurer of the Ute Indian Tribe Political Action Committee. The purpose of establishing this political action committee in the summer of 2016 was to assist the Ute Indian Tribe in amplifying the voice of the Tribal Council and Tribal Members, to raise awareness among the broader population about threats to the integrity of Ute lands from legislative movements in Congress. While I had worked in the regional leadership of a national political action committee for years, I had never assumed the responsibilities of Treasurer. I was and am a field organizing and mass organizing specialist, which is the activity I engaged in for most of the time that I worked as Director of the Ute PAC. In the fall of 2016, I hired an accounting intern from Utah State University's Native Student Association, who attended webinars and read through FEC manuals on filing. I also received some help on filing from the Utah-based accounting firm CookMartinPaulson, Logan branch.

In the first several months of the Ute PAC's existence, we tried to properly understand the FEC software, guidelines, and rules for reporting. As we continued into 2017, it proved to be difficult to balance our books properly and report properly. I had several different discussions with our analyst, Kevin Fortkiewicz, and while he was a friendly gentleman, his advice and assistance was not specifically helpful. For example, I made several attempts to understand from him how we were to report the separated account income and expenditures (where most of our monies were held, in the non-contribution account) and it was just never made clear. He even suggested accounting/reporting methods for simply "satisfying the software"—fudging figures, in the common parlance. John Kane of CookMartinPaulson did their best but by the fall of 2019 we still had a fine to pay for filing issues in 2018.

I would like to stress that all I intended to do with this PAC was further the goals of the Ute Indian Tribe. For the majority of the period of the founding of the PAC in summer of 2016 to the end of my tenure as director in February 2020, I was the main employee. My pay for the PAC work was slightly below the average for a PAC director, plus expenses and "real costs", as my contract prescribed. The management of the PAC included a committee of Tribal Members who stayed informed of all PAC activities. Two examples of Tribal membership and Tribal leadership views on Ute PAC and my pay as director: on the day of funding for the PAC by the Tribal Council in 2018, one of the tribal community committee members, Paula Black, stated in the meeting: "\$100,000? That's barely enough to cover your salary!"; in another meeting with the Business Committee (the elected, governing Council of the Tribe), the Chairman of the Business Committee of the Tribe Luke Duncan emphasized his support for my work as director, saying "whatever you need to do for your family, we support you." That latter point was underscored in discussions with 3 other members of the Business Committee: Shaun Chapoose, Tony Small, and Ron Wopsock.

This was in response to my informing them that for family reasons, I would have to relocate back to my native city of Los Angeles in June 2018. My wife has a rare condition where her deafness has an associated developing blindness, Usher Syndrome. She can no longer drive, I need to be closer to family for assistance, etc. What I proposed to the Business Committee was that I hire a Utah-based assistant director, Braidan Weeks, the grandson of the Chairman of the Business Committee. Unfortunately, Braidan turned out to be very unmotivated and only felt motivated to engage in illegal taping of phone conversations to pass on to his grandfather and the Business Committee in an attempt to get me terminated. When I terminated him for cause, the Business Committee fired me in return without so much as a hearing. The communication between myself and the Business Committee left a lot to be desired. Braidan now heads up the non-profit that I established for the Tribe, the Ute Land Trust. Ute PAC is a shell of its former self.

The Ute Indian Tribe emerged in 2020 with two new, solid, widely admired organizations from my work: the Ute PAC, the first PAC of its kind in Indian Country (with popular, non-Indian support), and a spin-off from this work, a non-profit: the Ute Land Trust. I will always be proud of the work I did on behalf of the Tribe, particularly as I was able to work with elected Tribal leaders, Tribal members, and Tribal descendants. There was one point in 2017 at which two of the partners of the law firm had me on a conference call talking to me about raising PAC money to pay for legal expenses involving the federal case around Bears Ears. I was never told whether this was cleared with the Business Committee or not. This combined with my other work on behalf of the Council show that the view the Tribe had of me was a utility person off the Reservation who could engage in a variety of consulting work. As we all understood, I needed to be compensated for all this work. If anything was in violation of FEC rules, the responsibility ultimately lies with the Business Committee and not with me personally, or my LLC.

Unfortunately, we have been forced to learn about compliance with the FEC the hard way. Hopefully the future for Ute PAC will be a lot smoother; I certainly have learned a lot about the need for strict compliance, even after *Citizens United* allowed for greater liberty for PACs; among those I had assumed fewer reporting requirements in the non-contribution account and was never explicitly told different by the FEC materials available or by the analysts I worked with. I was a compliance officer for the State of California's Disability Insurance Branch for six years so I am perfectly familiar with compliance but there remains a lot of confusion around compliance for the FEC after *Citizens United*, as the political intention of that decision was more weighted towards free speech than accounting. I believe the compliance standards for Carey Committees needs to be better spelled out by the FEC and I would gladly continue to have a dialogue with the FEC for the purpose of improving the public understanding of compliance rules.

Sincerely,  
Robert George Lucero, Jr.

# EXHIBIT T

**WIPFLI**

## UTE INDIAN TRIBE

Consulting Report on Political Action Committee

For the Period December 10, 2018 to February 28, 2020

**UTE INDIAN TRIBE**

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**INDEPENDENT ACCOUNTANT'S REPORT  
ON CONSULTING PROCEDURES**

**Business Committee  
Ute Indian Tribe  
Ft. Duchesne, Utah**

We have performed the procedures enumerated below, which were agreed to by the Ute Indian Tribe Business Committee. The sufficiency of these procedures is solely the responsibility of the management of the Ute Indian Tribe. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

This consulting engagement was conducted in accordance with consulting standards established by the American Institute of Certified Public Accountants. We were not engaged to and did not conduct an examination or review, the objective of which would be the expression of an opinion or conclusion, respectively, on whether the Ute Indian Tribe Political Action Committee ("Ute PAC") spent funding in accordance with approved budgets from the Business Committee. Accordingly, we do not express such an opinion or conclusion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

The procedures that we performed are as follows:

1. Determine what the Ute Business Committee approved for the Ute PAC budget during the time period covered by the engagement.
2. Determine and detail how the money was actually spent by the American System Group LLC ("PAC Manager") during the time period covered by the engagement.
3. Determine if the Ute PAC funds are being comingled with other PAC moneys during the time period covered by the engagement.

In performing our engagement, we relied on the accuracy and reliability of information provided by Tribal personnel and Robert Lucero, former Ute PAC manager. We have not audited, examined, or reviewed the information, and express no assurance on it. This report is intended solely for the information and use of the Business Committee of the Ute Indian Tribe and should not be used for any other purpose.

Our findings may have been different, or we may have reached different conclusions, had we been provided with additional information or been directed to perform additional procedures. Our analysis and the findings identified in this report are based upon the information available to us at the time of our work. Should additional information become available in the future, we would be happy to perform additional analysis and supplement this report.

Ute Indian Tribe  
Independent Accountant's Report on  
Consulting Procedures  
Page 2

**Other Information**

This report is intended solely for the information and use of the Ute Indian Tribe, including distribution to regulatory agencies, insurers, auditors, and law enforcement. It is not intended to be, and should not be, used by anyone other than these specified parties without the prior written consent of the Business Committee of the Ute Indian Tribe.

A handwritten signature in black ink that reads "Wipfli LLP". The signature is written in a cursive, flowing style.

Great Falls, Montana  
May 29, 2020



## APPENDIX A

### Engagement Overview

Under the terms of our engagement letter dated January 4, 2020, Wipfli was engaged to perform a detailed analysis, from December 10, 2018 through February 28, 2020, of the following:

1. Determine what the Ute Business Committee approved for the Ute PAC budget during the time period covered by the engagement.
2. Determine and detail how the money was actually spent by the American System Group LLC ("PAC Manager") during the time period covered by the engagement.
3. Determine if the Ute PAC funds are being comingled with other PAC moneys during the time period covered by the engagement.

This report identifies the results of our analysis.

### Engagement Findings

- ! The Ute Business Committee approved a \$150,000 contribution to the Ute PAC on February 2, 2019 with Tribal Resolution #19-036. The approved \$150,000 contribution was wired to the Ute PAC bank account on March 20, 2019. The approved budget for the \$150,000 contribution was as follows:

Staffing (Assistant Director)	\$ 50,000
Outreach activities, social media buys and part-time staff	20,000
Rent/utilities/internet for two offices. One office is located in Salt Lake City and the other in Los Angeles	25,000
Director's admin fee (10% of contribution)	15,000
Advertising	<u>40,000</u>
Total	<u>\$ 150,000</u>

- ! In addition to the contribution above, on October 16, 2019, the Ute Business Committee approved a \$150,000 contribution to the Ute PAC on October 16, 2019 with Tribal Resolution #19-416. The approved \$150,000 contribution was wired to the Ute PAC bank account on October 17, 2019. No documentation of an approved budget for the use of Ute PAC funds was provided to us for the contribution made on October 16, 2019.
- ! The Ute PAC entered into a management agreement with the PAC Manager (American System Group, LLC) on March 2, 2017. The PAC Manager is allowed per the management agreement to take a 10% administrative fee for amounts contributed by the Ute Indian Tribe. The Ute PAC received \$300,000 in contributions from the Ute Indian Tribe during the time period covered in this engagement. Ute PAC paid \$47,500 based on review of bank statements to the PAC Manager during the time period covered in this engagement resulting in excessive payments of \$17,500. There was also \$15,000 paid to Robert Lucero based on review of bank statements during the time period covered in this engagement. No supporting documentation was provided to determine if the amounts paid to Robert Lucero were for a separate valid business purpose or were part of the 10% administrative fee he was allowed to take as part of management agreement.

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### Engagement Findings - Continued

- ! In addition, there were twenty-four checks detailed later in this report totaling \$138,466.75 for which the payee can not be identified. It is possible that additional amounts were paid to the PAC Manager on top of known excessive payments of \$17,500.
- ! The Ute PAC took out \$57,985.17 in cash withdrawals during the time period covered in this engagement. No supporting documentation was provided to show what the cash was used for and we could not determine if the withdrawals were used for a valid business purpose.

<u>Date</u>	<u>Amount</u>
December 10, 2018	\$ 200.00
January 24, 2019	300.00
February 9, 2019	100.00
March 27, 2019	30,000.00
May 3, 2019	700.00
May 29, 2019	100.00
May 30, 2019	6,500.00
May 30, 2019	6,500.00
June 6, 2019	200.00
August 1, 2019	400.00
October 18, 2019	300.00
October 21, 2019	5,000.00
January 28, 2020	<u>7,685.17</u>
Total	\$ <u><u>57,985.17</u></u>

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### Engagement Findings - Continued

- ! There were twenty-four checks detailed below totaling \$138,466.75 for which the payee could not be identified.

<u>Check Number</u>	<u>Date</u>	<u>Amount</u>
1081	March 1, 2019	\$ 3,750.00
1066	March 27, 2019	350.00
1000	October 21, 2019	50,000.00
1095	October 21, 2019	2,000.00
1094	October 21, 2019	5,000.00
1148	October 21, 2019	12,000.00
1149	October 31, 2019	2,500.00
1146	November 1, 2019	1,622.25
1150	November 12, 2019	5,000.00
1151	November 14, 2019	2,500.00
1147	November 18, 2019	1,622.25
1152	November 21, 2019	5,000.00
1001	November 29, 2019	12,000.00
1002	November 29, 2019	2,500.00
1154	December 5, 2019	5,000.00
1153	December 5, 2019	10,000.00
1155	December 16, 2019	2,500.00
1156	December 17, 2019	1,622.25
1171	December 31, 2019	2,500.00
1172	January 6, 2020	5,000.00
1174	January 15, 2020	2,000.00
1136	January 22, 2020	1,500.00
1137	January 23, 2020	1,500.00
1198	January 27, 2020	1,000.00
Total		\$ <u><u>138,466.75</u></u>

- ! No supporting documentation/invoices were provided for any transactions of the \$317,619.98 spent by the Ute PAC during the time period covered by the engagement. No employment contracts or timesheets were provided to determine if they were paid correctly according to an employment contract or for actual hours worked. The Ute PAC also did not provide any documentation to show that W-2's or 1099's were issued in accordance with IRS requirements during the time period covered by the engagement. We were only provided with bank statements to perform our engagement and could not determine if any of the transactions had a valid business purpose and if they were spent in accordance with an approved budget presented to the Business Committee.
- ! The Ute PAC had two bank accounts and they are both related to the Ute PAC and there was no commingling of funds with other PAC's managed by the PAC Manager.

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### Engagement Findings - Continued

- ! A breakdown of the \$317,619.98 spent by the Ute PAC during the time period of our engagement is as follows:

<u>Type</u>	<u>Amount</u>
Cash Withdrawals	\$ 57,985.17
Unknown Payee Checks	138,466.75
American System Group	47,500.00
Braidan Weeks	23,433.92
Robert Lucero	15,000.00
Venmo	4,902.35
Travel (Airfare, Parking and Lodging)	8,073.32
Aron Tadesse	4,725.00
Various Restaurants	1,954.88
Utilities/Postage/Rent/Bank Fees	6,324.55
Done To Your Taste	4,403.00
Hannah Oarrin	3,000.00
Various Retail Outlets	<u>1,851.04</u>
Total	<u>\$ 317,619.98</u>

- ! Overall, there was a pervasive lack of control and supporting documentation over how contributions made to the Ute PAC by the Business Committee were spent during the time period covered by the engagement. No supporting documentation was provided for all of the \$317,619.98 spent by the Ute PAC during the time period covered by the engagement and were not able to determine if any of the funding was spent for a valid business purpose and within approved budgets by the Business Committee.