



FEDERAL ELECTION COMMISSION
Washington, DC 20463

April 14, 2022

BY EMAIL ONLY

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RE: MUR 7839
Northern Leadership PAC
(f/k/a Dr. Al Gross for U.S. Senate)

Dear Messrs. Kleinfeld and Clark:

On November 3, 2020, the Federal Election Commission notified your client, Northern Leadership PAC (f/k/a Dr. Al Gross for U.S. Senate) and Jay Petterson in his official capacity as treasurer, of a complaint and supplemental complaint alleging violations of certain sections of the Federal Election Campaign Act of 1971, as amended. On April 5, 2022, the Commission found, on the basis of the information in the complaint and supplemental complaint, and information provided by respondents, that there is no reason to believe Northern Leadership PAC (f/k/a Dr. Al Gross for U.S. Senate) and Jay Petterson in his official capacity as treasurer violated 52 U.S.C. §§ 30104(b), 30116(f) and 30118(a) by knowingly accepting and failing to report, excessive and prohibited in-kind corporate contributions. Accordingly, the Commission closed its file in this matter.

Documents related to the case will be placed on the public record within 30 days. *See* Disclosure of Certain Documents in Enforcement and Other Matters, 81 Fed. Reg. 50,702 (Aug. 2, 2016). The Factual and Legal Analysis, which explains the Commission's findings, is enclosed for your information.

If you have any questions, please contact Christine C. Gallagher, the attorney assigned to this matter, at (202) 694-1650.

Sincerely,

Mark Shonkwiler

Mark Shonkwiler
Assistant General Counsel

Enclosure
Factual and Legal Analysis

**FEDERAL ELECTION COMMISSION
FACTUAL AND LEGAL ANALYSIS**

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5 **RESPONDENTS:** Westerleigh Press, Inc.

MUR 7839

6 Big Sky Values (f/k/a Montanans for Bullock
7 and Sue Jackson in her official capacity as treasurer
8 Jaime Harrison for U.S. Senate and David Adams
9 in his official capacity as treasurer
10 Northern Leadership PAC (f/k/a Dr. Al Gross for
11 U.S. Senate) and Jay Petterson in his official
12 capacity as treasurer
13 Unknown Respondents
14

15 **I. INTRODUCTION**

16 This matter was generated by a Complaint filed with the Federal Election Commission by
17 Steve Daines for Montana and Lorna Kuney in her official capacity as treasurer and the National
18 Republican Senatorial Committee. The Complaint alleges that Westerleigh Press, Inc., a
19 political print and mail vendor, and unknown Westerleigh Press customers sent anonymous
20 mailings to voters in Montana, Alaska, and South Carolina shortly before the 2020 general
21 election that expressly advocated against the Republican candidates running for Senate in those
22 states. Specifically, the Complaint alleges that the mailings lacked required disclaimers and
23 were not reported as independent expenditures in violation of the Federal Election Campaign Act
24 of 1971, as amended (the "Act"). The Complaint further alleges that the mailings may have been
25 coordinated with the campaigns of the featured candidates' Democratic opponents, Steve
26 Bullock, Al Gross, and Jaime Harrison and, therefore, the mailings were coordinated
27 communications that resulted in excessive, prohibited, and unreported in-kind contributions.

28 Westerleigh Press denies that it paid for the mailings, asserting that it was a paid vendor
29 that acted on behalf of its customers, and that it is not required to ensure that its customers'
30 mailings have disclaimers. Further, Westerleigh Press asserts that the customers who sent the
31 mailings are not federal political committees and thus are not required to include disclaimers,

1 unless they contained express advocacy or were coordinated communications. Westerleigh Press
2 asserts that the mailings do not contain express advocacy and, to the best of its knowledge, its
3 customers did not coordinate the mailings with any political committee. Big Sky Values (f/k/a
4 Montanans for Bullock) and Sue Jackson in her official capacity as treasurer (the “Bullock
5 Committee”), Jaime Harrison for U.S. Senate and David Adams in his official capacity as
6 treasurer (the “Harrison Committee”), and Northern Leadership PAC (f/k/a Dr. Al Gross for U.S.
7 Senate) and Jay Petterson in his official capacity as treasurer (the “Gross Committee”) filed
8 separate responses asserting that the allegations are speculative, they had no involvement with
9 the mailings, and that they did not coordinate with whomever sent them.

10 As discussed below, while the mailings criticize the incumbent officeholders’ purported
11 positions on various issues, they do not constitute express advocacy. Based on the information
12 from Westerleigh Press that none of the customers who paid for the mailings were federal
13 political committees and given the lack of express advocacy, there is no basis to find a violation
14 of the Act’s disclaimer or independent expenditure reporting requirements. Further, there is no
15 information suggesting that the mailings were coordinated with any candidate or candidate
16 committee, resulting in an in-kind contribution.

17 Therefore, the Commission finds no reason to believe that Westerleigh Press and
18 Unknown Respondents violated 52 U.S.C. § 30120 by failing to include required disclaimers.
19 The Commission further finds no reason to believe that Westerleigh Press and Unknown
20 Respondents violated 52 U.S.C. § 30104(c) by failing to report independent expenditures. In
21 addition, the Commission finds no reason to believe that Westerleigh Press and Unknown
22 Respondents made, and the Respondent political committees knowingly accepted and failed to

1 report, excessive and prohibited in-kind corporate contributions, in violation of 52 U.S.C.

2 §§ 30104(b), 30116(a)(1)(A), (f) and 30118(a).

3 **II. FACTUAL BACKGROUND**

4 The Complaint attaches copies of five anonymous mailings it asserts were mailed to
5 voters in Montana, Alaska, and South Carolina on or about October 22, 2020, 12 days before the
6 November 2, 2020, general elections for U.S. Senate.¹ The Complaint surmises, given the use of
7 a bulk-mail permit and mail and print vendor, that more than 500 pieces were mailed in each of
8 the three states; the pre-paid postage number on the bulk mail permit indicates that the mailings
9 were each sent by Westerleigh Press.² The Complaint states the mailings were a “dirty trick”
10 designed to suppress the vote for the featured Republican Party candidates by making false
11 statements as to their policy positions.³

¹ Compl. at 2 (Oct. 27, 2020); Supp. Compl. at 2 (Oct. 28, 2020). Complainants do not explain how they acquired the mailings or dates of the mailings. However, information regarding the locations of some of the mailings appears on the address labels. *See, e.g.*, Compl., Exs. 1, 2 (mailings containing labels addressed to individuals with Montana addresses); Supp. Compl., Ex. 4 (addressee label cut-off); Ex. 5 (addressee label crossed out). *But see id.*, Ex. 6 (no address label).

² Compl. at 2; Supp. Compl. at 2.

³ Supp. Compl. at 2.

Mailing	Featured Candidate	Description
1	Steve Daines	The front contains Daines’s photograph with a picture of the Capitol in the background, and money floating in air around him. The text states “Steve Daines is on a government spending spree.” The back of the mail piece contains a picture of a person with his or her head lying on a desk holding up a sign reading “Help!” with money floating in air, and states: “Call Steve Daines at 202-224-2651 and tell him to stop spending our families into government debt.” The mail piece also contains a quote from Fox News, and information about the legislative bill Daines voted for. ⁴
2	Steve Daines	The front contains Daines’s photograph with money scattered behind him, and sets forth: “Even before the pandemic Steve Daines voted for over \$2 trillion in new government spending.” The back of the mail piece contains a photograph of two adults and one child with a picture of an anvil and \$19,000 printed on it. The text on the back sets forth: “Montana families are footing the bill for Steve Daines’s spending spree” along with information about the related legislative bill. The back contains the same message as the first mailing: “Call Steve Daines at 202-224-2651 and tell him to stop spending our families into government debt.” ⁵

⁴ Compl., Ex. 1.

⁵ *Id.* at Ex. 2.

3	Dan Sullivan	The front contains a photograph of Dan Sullivan imposed over a picture of cargo crates painted with the Chinese flag, and sets forth: “Why is Dan Sullivan turning his back on Donald Trump and sticking up for China?” The back discusses Sullivan’s position on trade with China, and says “Call Dan Sullivan at 202-224-2651 and tell him to start standing up to China.” ⁶
4	Dan Sullivan	The images on this mail piece are identical to those of the first mail piece, except a photograph of Dan Sullivan is exchanged for Steve Daines’s photograph. The front of the fourth mail piece sets forth “Dan Sullivan is on a government spending spree.” The back states: “Call Dan Sullivan at 202-224-3004 and tell him to stop spending our families into government debt.” The mail piece also contains a quote from Fox News, and information about the legislative bill Sullivan voted for. ⁷
5	Lindsey Graham	The front depicts various firearms and says “Lindsey Graham wants to let the government round up guns. Will they come for yours?” The back contains a photograph of an adult and a child at a firing range, and a separate photograph of Lindsey Graham. The text on the back discusses legislation regarding gun control which Graham sponsored, along with a quote from <i>The Post and Courier</i> , and sets forth “Call Lindsey Graham at 202-224-5972 and tell him to protect the Second Amendment.” ⁸

1 The Complaint alleges that the mailings should have contained a disclaimer stating who
2 paid for them and whether they were authorized by any candidate or candidate’s committee.⁹
3 The Complaint also alleges that the mailings may have been coordinated with the campaigns of
4 the incumbents’ opponents and, if so, would therefore constitute prohibited in-kind corporate
5 contributions from Westerleigh Press or unidentified Westerleigh Press customers — depending

⁶ Supp. Compl., Ex. 4.

⁷ *Id.*, Ex. 5.

⁸ *Id.*, Ex. 6.

⁹ Compl. at 2-3; Supp. Compl. at 2-3.

1 on whether the mailings were paid for by Westerleigh Press or its customers — to the Harrison,
2 Bullock, and Gross Committees.¹⁰ Alternatively, the Complaint alleges that if the mailings were
3 not coordinated, because they contained express advocacy, they were independent expenditures
4 and therefore Westerleigh Press or unidentified Westerleigh Press customers failed to report the
5 independent expenditure within 24 hours.¹¹

6 Westerleigh Press acknowledges that it produced and disseminated the mailings but
7 asserts that it did not pay for the mailings but rather was hired as a mail and print vendor by its
8 paying customers, the identities of which it does not reveal.¹² Westerleigh Press submits an
9 affidavit from its President indicating that the customers are not federal political committees.¹³
10 Westerleigh Press asserts that it is a vendor and is not responsible for ensuring that its printing
11 and mailing customers have disclaimers that may be required by the Act.¹⁴ Westerleigh Press
12 further argues, that since the mailings were not communications made by a political committee,
13 they only required disclaimers if they contained express advocacy, but that the mailings did not
14 contain express advocacy.¹⁵ Westerleigh Press further asserts that to its knowledge the mailings
15 were not coordinated with any candidate or party committee.¹⁶

¹⁰ Compl. at 2-3; Supp. Compl. at 3-4. Although Westerleigh Press is a vendor, the Complaint appears to allege that Westerleigh Press may have paid for the mailings separate from or along with its unidentified customers or else was liable for the actions of its customers. *See* Supp. Compl. at 1 (“Westerleigh Press and one or more anonymous Respondents have created, paid for and disseminated false, misleading and anonymous mailers in at least two other states in violation of [the Act].”).

¹¹ Compl. at 3; Supp. Compl. at 4.

¹² Westerleigh Press Resp. at 1-2 (Feb. 5, 2021), James Glover, President, Westerleigh Press, Decl. ¶ 2 (Feb. 5, 2021).

¹³ Westerleigh Press Resp. at 1, James Glover, President, Westerleigh Press, Decl. at 3 (averring that in January 2021 he “searched the Federal Election Commission political committee on-line database and confirmed the Customers are not on the list of registered political committees”).

¹⁴ Westerleigh Press Resp. at 1.

¹⁵ *Id.* at 3-5.

¹⁶ *Id.* at 1; James Glover, President, Westerleigh Press, Decl. at 4.

1 The Bullock, Harrison, and Gross Committees filed separate Responses each denying any
2 knowledge of the mailings, any involvement with their production or dissemination, and that
3 they paid for or coordinated with anyone regarding the mailings.¹⁷ The Respondent Committees
4 further assert that the allegations are speculative because the Complaint provides no evidence to
5 suggest that there may have been coordination between the Committees and whoever sent the
6 mailings.¹⁸ The Gross Committee attaches declarations from its treasurer and campaign
7 manager, averring that Westerleigh Press is not a vendor of the committee, and that the
8 committee had no involvement in preparing or sending the mailings.¹⁹ Similarly, the Harrison
9 Committee asserts that Westerleigh Press is not a vendor for the committee.²⁰

10 **III. LEGAL ANALYSIS**

11 **A. The Commission Finds No Reason to Believe that Westerleigh Press and** 12 **Unknown Respondents Violated the Disclaimer Provision of the Act**

13
14 For political committees, the Act and Commission regulations require that all “public
15 communications” must include a disclaimer.²¹ For any other person, the Act and Commission
16 regulations require disclaimers on all public communications that expressly advocate the election
17 or defeat of a federal candidate or that solicit any contribution, as well as on any “electioneering

¹⁷ Bullock Committee Resp. at 1 (Dec. 17, 2020); Harrison Committee Resp. at 1 (Dec. 17, 2020); Gross Committee Resp. at 1-2 (Dec. 4, 2020). On April 21, 2021, Montanans for Bullock converted from a principal campaign committee to a multi-candidate political committee and changed its name to Big Sky Values. Big Sky Values, Statement of Organization (Apr. 21, 2021). The allegations in the Complaint occurred prior to the conversion and name change. On July 22, 2021, Dr. Al Gross for U.S. Senate converted from a principal campaign committee to a multi-candidate political committee and changed its name to Northern Leadership PAC. Northern Leadership PAC, Statement of Organization (July 22, 2021). The allegations in the Complaint occurred prior to the conversion and name change.

¹⁸ Bullock Committee Resp. at 1-2; Harrison Committee Resp. at 1-2; Gross Committee Resp. at 1,3.

¹⁹ Gross Committee Resp., Ex. A, Jay Petterson, Treasurer, Decl. ¶¶ 3-6, Ex. B, David Keith, Campaign Manager, Decl. ¶ 5.

²⁰ Harrison Committee Resp. at 1.

²¹ 52 U.S.C. § 30120(a); 11 C.F.R. § 110.11(a)(1).

1 communications.”²² Where required, disclaimers must be “presented in a clear and conspicuous
2 manner, to give the reader, observer, or listener adequate notice of the identity of the person or
3 political committee that paid for, and where required, that authorized the communication.”²³ If a
4 communication requiring a disclaimer is not authorized by a candidate or candidate’s authorized
5 committee, it must clearly state the name and permanent street address, telephone number, or
6 website address of the person who paid for the communication and state that the communication
7 is not authorized by any candidate or candidate’s committee.²⁴

8 The Complaint alleges that the five mailings at issue, described above, should have
9 contained a disclaimer stating who paid for them and whether they were authorized by any
10 candidate or candidate’s committee.²⁵ As explained below, there is no basis to conclude that the
11 mailings required a disclaimer.

12 Westerleigh Press states that it did not pay for the mailings but rather sent them on behalf
13 of its customers; the Response provides no details to identify its customers other than to state that
14 they are not political committees.²⁶ Assuming Westerleigh Press’s representation is accurate,
15 and we have no information to otherwise suggest that its customers were political committees,
16 the mailings would only require disclaimers if they were “public communications” that contained
17 express advocacy, or solicited a contribution, or were “electioneering communications.” We

²² 52 U.S.C. § 30120(a); 11 C.F.R. § 110.11(a). The term “public communication” includes mass mailings, which in turn are defined as mailings “by United States mail . . . of more than 500 pieces of mail matter of an identical or substantially similar nature within any 30-day period.” 52 U.S.C. § 30101(22), (23); 11 C.F.R. §§ 100.26, 100.27.

²³ 11 C.F.R. § 110.11(c).

²⁴ *Id.* § 110.11(b)(3).

²⁵ Compl. at 2-3; Supp. Compl. at 2-3.

²⁶ As mentioned above, Westerleigh Press’s President avers that in January 2021 he searched the Commission’s database to determine if the customer or customers were political committees. *Supra* note 13.

1 assess that none of these categories appear to be satisfied and thus no disclaimer was required for
2 any of the mailings.

3 At the outset, as printed material, the mailings do not satisfy the meaning of
4 electioneering communications, which includes only broadcast, cable, or satellite
5 communications meeting certain specified requirements.²⁷ Further, the mailings do not solicit
6 any contribution.²⁸ Thus, the analysis as to whether disclaimers were required focuses on
7 whether the mailings were public communications that contained express advocacy.

8 As an initial matter, it appears that the mailings may be “public communications”
9 because they were apparently “mass mailings.”²⁹ The Commission regulations define a mass
10 mailing as “a mailing by United States mail or facsimile of more than 500 pieces of mail matter
11 of an identical or substantially similar nature within any 30-day period.”³⁰ As indicated by the
12 bulk mail permit appearing on each of the mailings, they were sent through the United States
13 Post Office via a vendor, suggesting that more than 500 pieces of mail were sent.³¹

14 However, although the mailings were likely public communications, it does not appear
15 that they contained express advocacy. Under the Commission’s regulations, a communication
16 contains express advocacy when it uses words or phrases which in context can have no other
17 reasonable meaning than to urge the election or defeat of one or more clearly identified
18 candidates.³² In addition, a communication contains express advocacy if, when taken as a whole

²⁷ 52 U.S.C. § 30104(f)(3) (definition of “electioneering communication”); 11 C.F.R. § 100.29 (same).

²⁸ 11 C.F.R. § 110.11(a)(3) (requiring a disclaimer if the communication solicits any contribution).

²⁹ *Id.* § 100.26 (definition of “public communication”).

³⁰ *Id.* § 100.27 (definition of “mass mailing”).

³¹ Compl., Exs. 1, 2; Supp. Compl., Exs. 4, 5, 6.

³² 11 C.F.R. § 100.22(a); *Buckley v. Valeo*, 424 U.S. 1, 44 n.52 (1976); *FEC v. Mass. Citizens for Life*, 479 U.S. 238, 249 (1986).

1 and with limited reference to external events, it “could only be interpreted by a reasonable person
2 as containing advocacy of the election or defeat of one or more clearly identified candidates,”
3 because it contains an “electoral portion” that is “unmistakable, unambiguous, and suggestive of
4 only one meaning” and “reasonable minds could not differ as to whether it encourages actions to
5 elect or defeat one or more clearly identified candidates or encourages some other kind of
6 action.”³³

7 Here, the mailings discuss either the candidate’s purported position on a policy or
8 pending legislation. For instance:

- 9 • Mail piece #1: “Steve Daines is on a government spending spree.”;³⁴
- 10 • Mail piece #2: “Even before the pandemic Steve Daines voted for over \$2 trillion in new
11 government spending Montana families are footing the bill for Steve Daines’s
12 spending spree.”;³⁵
- 13 • Mail piece #3: “Why is Dan Sullivan turning his back on Donald Trump and sticking up
14 for China?”;³⁶
- 15 • Mail piece #4: “Dan Sullivan is on a government spending spree.”;³⁷
- 16 • Mail piece #5 “Lindsay Graham wants to let the government round up guns. Will They
17 come for yours?”³⁸

18 The exhortations in each of these mailings relate to asking the person — each of the
19 featured candidates were incumbents — to change their purported policy position:

³³ 11 C.F.R. § 100.22(b).

³⁴ Compl., Ex. 1.

³⁵ *Id.*, Ex. 2.

³⁶ Supp. Compl., Ex. 4.

³⁷ *Id.*, Ex. 5.

³⁸ *Id.*, Ex. 6.

MUR 7839 (Westerleigh Press, Inc., *et al.*)

Factual and Legal Analysis

Page 11 of 17

- 1 • Mail piece #1: “Call Steve Daines at 202-224-2651 and tell him to stop spending our
2 families into government debt.”;³⁹
- 3 • Mail piece #2: “Call Steve Daines at 202-224-2651 and tell him to stop spending our
4 families into government debt.”;⁴⁰
- 5 • Mail piece #3: “Call Dan Sullivan at 202-224-2651 and tell him to start standing up to
6 China.”;⁴¹
- 7 • Mail piece #4: “Call Dan Sullivan at 202-224-3004 and tell him to stop spending our
8 families into government debt.”;⁴²
- 9 • Mail piece #5 “Call Lindsey Graham at 202-224-5972 and tell him to protect the Second
10 Amendment.”⁴³

11 Given that each of the exhortations is followed by an incumbent’s congressional phone
12 number, the “tell him” call to action could be interpreted as a request to call and express
13 disapproval of a vote or stance on policy. There is no express exhortation to vote against the
14 incumbent. The mailings do not contain any reference to an election or call on the reader to take
15 any electoral action. Although the third mailing mentions Donald J. Trump, who was at the time
16 a presidential candidate — *i.e.*, “Why is Dan Sullivan turning his back on Donald Trump and
17 sticking up for China?” — Trump was also the President of the United States and this could be
18 interpreted as a call to action for Sullivan to align himself with the president’s stance on foreign
19 policy. Thus, the mail pieces do not contain express advocacy under 100.22(a).⁴⁴

³⁹ Compl., Ex. 1.

⁴⁰ *Id.*, Ex. 2.

⁴¹ Supp. Compl., Ex. 4.

⁴² *Id.*, Ex. 5.

⁴³ *Id.*, Ex. 6.

⁴⁴ *See, e.g.*, Factual and Legal Analysis at 5-6, MUR 6311 (Americans for Prosperity) (finding no reason to believe where ad’s exhortation “Tell [a Congressperson we] won’t forget” followed by a phone number “could be interpreted as a request to call and express disapproval of the vote”); Advisory Opinion 2012-11 at 6-7 (Free Speech) (finding no express advocacy where an ad encouraged viewers to “call” an incumbent president to voice disapproval of his tax policy).

1 In its explanation and justification for section 100.22(b), the Commission stated,
2 “communications discussing or commenting on a candidate’s character, qualifications or
3 accomplishments are considered express advocacy under new section 100.22(b) if, in context,
4 they can have no other reasonable meaning than to encourage actions to elect or defeat the
5 candidate in question.”⁴⁵ Here, the mail pieces do not comment on the candidate’s character,
6 qualifications or accomplishments. Moreover, they do not refer to the incumbents as candidates
7 in a federal election and do not mention their political opponents.⁴⁶ While the mail pieces were
8 sent 12 days before the election, the focus of the communications is on the incumbents’ stances
9 on policy or pending legislation, and the target of the advertisement is a current officeholder with
10 the ability to effect change on the policy. Thus, the mail pieces do not constitute express
11 advocacy under 11 C.F.R. § 100.22(b).⁴⁷

12 Accordingly, because the mailings were not electioneering communications, did not
13 solicit a contribution, and were not public communications that contained express advocacy, and
14 there is no information to suggest that the senders were political committees, there were no
15 obligations for the senders to include disclaimers.

⁴⁵ Express Advocacy; Independent Expenditures; Corporate and Labor Organization Expenditures, 60 Fed. Reg. 35,292, 35,295 (July 6, 1995).

⁴⁶ Factual & Legal Analysis at 5-6, MUR 5854 (The Lantern Project) (finding no express advocacy where “the overwhelming focus of the communication is on issues and [the officeholder’s] policies or positions on those issues” and the communications “lack . . . any electoral directives”).

⁴⁷ Factual & Legal Analysis at 4-5, MUR 7150 (New Yorkers Together) (finding no reason to believe the respondent violated the disclaimer or independent expenditure reporting requirements of the Act, where the mailing included a candidate’s statements on abortion and alleged disregard for women’s health, yet did not contain express advocacy because it did not mention the candidate as a federal candidate, did not mention the federal election, and did not exhort recipients to vote for the candidate, and contained an exhortation to vote against a state candidate); Factual & Legal Analysis at 6-8, MUR 6122 (Nat’l Ass’n of Home Builders) (finding no reason to believe respondent made prohibited in-kind contribution because, on the whole, the subject mailing lacked a clear directive to take electoral action and was, therefore, not express advocacy).

1 Therefore, there is no reason to believe Westerleigh Press, Inc. and Unknown
2 Respondents violated 52 U.S.C. § 30120 by failing to include required disclaimers.⁴⁸

3 **B. The Commission Finds No Reason to Believe that Westerleigh Press and**
4 **Unknown Respondents Violated the Reporting Requirements Relating to**
5 **Independent Expenditures**

6 The term “independent expenditure” means an expenditure by a person for a
7 communication that expressly advocates the election or defeat of a clearly identified candidate;
8 and that is not made in cooperation, consultation, or concert with or at the request or suggestion
9 of such candidate, his or her authorized political committee, their agents or a political party
10 committee or its agents.⁴⁹ Persons other than political committees who make independent
11 expenditures aggregating more than \$250 in a calendar year must file reports of independent
12 expenditures.⁵⁰ Political committees and other persons that make or contract to make
13 independent expenditures after the 20th day, but more than 24 hours, before an election must
14 disclose the activity within 24 hours each time that the expenditures aggregate \$1,000 or
15 more.⁵¹

16 The Complaint alleges that Westerleigh Press and/or its unidentified customers, *i.e.*,
17 whoever paid for the mailings, which were sent 12 day before the election, failed to report
18 independent expenditures within the required 24 hours.⁵² Regardless of whether the unidentified

⁴⁸ We note that a vendor is not liable for the disclaimer requirements of its customers. *See* 52 U.S.C. § 30120(a); 11 C.F.R. § 110.11(a); Factual & Legal Analysis at 9, MUR 5158 (Brady Campaign to Prevent Gun Violence) (“[C]ommittees, not vendors, are responsible for ensuring that proper disclaimers appear on communications.”) (citing MUR 4759 (Maloof); MUR 4741 (Mary Bono Committee); MUR 3682 (Fox for Congress Committee)).

⁴⁹ 52 U.S.C. § 30101(17); 11 C.F.R. § 100.16.

⁵⁰ 52 U.S.C. § 30104(c)(1); *see also* 11 C.F.R. §§ 104.4, 109.10(b).

⁵¹ 52 U.S.C. § 30104(g)(1); 11 C.F.R. §§ 104.4(c), 109.10(d).

⁵² Compl. at 3; Supp. Compl. at 4.

1 Westerleigh Press customers were political committees, under 52 U.S.C. § 30104(g)(1), they
2 would still have an obligation to report independent expenditures aggregating in excess of
3 \$1,000 within 24 hours of the expenditures. The same is true for Westerleigh Press if it were the
4 payor. However, because we conclude that the mailings do not contain express advocacy,⁵³ they
5 are not independent expenditures and would not be required to be reported as such.

6 Therefore, there is no reason to believe Westerleigh Press, Inc. and Unknown
7 Respondents violated 52 U.S.C. § 30104(c) by failing to report independent expenditures.

8 **C. The Commission Finds No Reason to Believe that Westerleigh Press and**
9 **Unknown Respondents Made, and Respondent Committees Knowingly**
10 **Accepted and Failed to Report, Excessive or Prohibited In-Kind Corporate**
11 **Contributions**

12
13 Political committees must report their financial activity, including their receipts and
14 disbursements in accordance with the provisions of the Act and Commission regulations.⁵⁴ Each
15 political committee must report the name, mailing address, occupation, and employer of any
16 individual who contributes more than \$200 to the committee in a calendar year, along with the
17 amount and date of the individual's contributions.⁵⁵ Among other required itemizations, the
18 report must include the identification of each political committee making a contribution to the
19 reporting committee together with the date and amount of the contribution.⁵⁶ Under the Act, a
20 "contribution" includes "any gift, subscription, loan, advance, or deposit of money or anything of
21 value made by any person for the purpose of influencing any election for Federal office."⁵⁷ The

⁵³ Part III.A.

⁵⁴ 52 U.S.C. § 30104(b)(2), (4); 11 C.F.R. § 104.3(a), (b).

⁵⁵ 52 U.S.C. § 30104(b)(3)(A); 11 C.F.R. § 104.3(a)(4)(i).

⁵⁶ 52 U.S.C. § 30104(b)(3)(B); 11 C.F.R. § 104.3(a)(4)(ii).

⁵⁷ 52 U.S.C. § 30101(8)(A); 11 C.F.R. § 100.52.

1 term “anything of value” includes “all in-kind contributions.”⁵⁸ In-kind contributions include
2 “coordinated expenditures,” that is, expenditures “made by any person in cooperation,
3 consultation or in concert, with, or at the request or suggestion of, a candidate, his [or her]
4 authorized committees, or their agents.”⁵⁹ Under Commission regulations, a communication is
5 coordinated and treated as an in-kind contribution when it is: (1) paid for by a third-party; (2)
6 satisfies one of five content standards; and (3) satisfies one of five conduct standards.⁶⁰

7 The Act limits the amount an individual may contribute to a candidate’s authorized
8 committee per election (\$2,800 during the 2020 election cycle) and limits the amount a candidate
9 committee may contribute to another candidate committee per election (\$2,000 during the 2020
10 election cycle).⁶¹ Likewise, the Act prohibits any candidate or committee from knowingly
11 accepting an excessive contribution.⁶² The Act prohibits corporations from making
12 contributions to federal candidates, and likewise bars candidates, political committees (other than
13 independent expenditure-only political committees and committees with hybrid accounts), and
14 other persons, from knowingly accepting or receiving corporate contributions.⁶³

15 The Complaint alleges that Westerleigh Press or Unknown Respondents may have
16 coordinated the mailings with the campaigns of the incumbents’ opponents.⁶⁴ However, as

⁵⁸ 11 C.F.R. § 100.52(d).

⁵⁹ 52 U.S.C. § 30116(a)(7)(B); 11 C.F.R. § 109.20.

⁶⁰ 11 C.F.R. § 109.21(a).

⁶¹ 52 U.S.C. § 30116(a)(1)(A); *see also* 11 C.F.R. § 110.1(b); Price Index Adjustments for Contribution and Expenditure Limitations and Lobbyist Bundling Disclosure Threshold, 84 Fed. Reg. 2,504, 2,505 (Feb. 7, 2019) (adjusting certain limitations for the 2019-2020 election cycle).

⁶² 52 U.S.C. § 30116(f), *see also* 11 C.F.R. § 110.9.

⁶³ 52 U.S.C. § 30118(a); 11 C.F.R. § 114.2(a), (d).

⁶⁴ Compl. at 2-3; Supp. Compl. at 3-4.

1 explained below, the Commission’s three-prong coordinated communication regulation⁶⁵ does
2 not appear to be satisfied.

3 There is no information satisfying the conduct prong,⁶⁶ *i.e.*, there is nothing to suggest
4 that Westerleigh Press or its unidentified customers coordinated with the Harrison, Gross, or
5 Bullock Committees. In support of its coordination allegation, the Complaint points to
6 Westerleigh Press’s website on which it “describes itself as having specialty knowledge and
7 information about ‘political printing’ and that ‘Westerleigh Press is the nationwide leader in
8 union political print and mail,’ and identifies several Democratic candidates as clients.”⁶⁷
9 According to the Complaint, “Westerleigh Press, as an experienced ‘political printer,’ is well
10 aware of the requirements of federal law regarding the inclusion of disclaimers and information
11 as to the source of payment for political mailings about federal candidates and campaigns.
12 Westerleigh Press has conspired with unknown Democratic operatives and/or committees to
13 violate federal law”⁶⁸

14 The Complaint does not provide any specific factual support for its general allegations
15 that the mail pieces were made at the request or suggestion of the Respondent Committees, or
16 that they had substantial discussion in or material involvement in the creation, production, or
17 distribution of the mail pieces. Further, although we do not know the identities of the
18 anonymous senders, the Respondent Committees all deny that they coordinated with anyone
19 about the mailings, and the response from the Gross Committee included a sworn declaration

⁶⁵ 11 C.F.R. § 109.21.

⁶⁶ 11 C.F.R. § 109.21(a)(3), (d).

⁶⁷ Compl. at 1 (citing *Political Printing. Your premier union printer for all your campaign resources*, Westerleigh Press, Inc., <https://wpprinting.com/political-printing/>, (last visited Dec. 29, 2021)).

⁶⁸ *Id.* at 1.

1 from its campaign manager specifically denying involvement in the preparation or dissemination
2 of the mailings.⁶⁹ Given that there is no information in the available record that would satisfy
3 the conduct prong, the mailings do not constitute coordinated communications under
4 Commission regulations and did not constitute in-kind contributions to the Bullock, Harrison,
5 and Gross Committees. Because the conduct prong is not satisfied, we need not address the
6 payment and content prongs of the coordinated-communication test.

7 Therefore, there is no reason to believe that Westerleigh Press, Inc., and Unknown
8 Respondents made, and Big Sky Values (f/k/a Montanans for Bullock) and Sue Jackson in her
9 official capacity as treasurer, Jaime Harrison for U.S. Senate and David Adams in his official
10 capacity as treasurer, Northern Leadership PAC (f/k/a Dr. Al Gross for U.S. Senate) and Jay
11 Petterson in his official capacity as treasurer knowingly accepted and failed to report, excessive
12 and prohibited in-kind corporate contributions, in violation of 52 U.S.C. §§ 30104(b),
13 30116(a)(1)(A), (f) and 30118(a).

⁶⁹ Statement of Reasons, Comm’rs Mason, Sandstrom, Smith, & Thomas at 1, MUR 4960 (Clinton for U.S. Exploratory Committee) (“The Commission may find ‘reason to believe’ only if a complaint sets forth sufficient specific facts, which, if proven true, would constitute a violation of the [Act].”). Gross Committee Resp. at 1-2, Ex. B, David Keith, Campaign Manager, Decl. ¶ 5 (stating that he “did not have any discussions about, did not make any request or suggestion for, nor was [] involved in the preparation or dissemination of the mailer ... either with Westerleigh Press or another person or group.”); Bullock Committee Resp. at 1; Harrison Committee Resp. at 1.