

AR 17-15R

RAD REFERRAL #: A17-20

DATE: July 13, 2017

ANALYST: Kevin McQueen

REPORTS ANALYSIS AUDIT REFERRAL

I. COMMITTEE: Progressive Priorities PAC
 C00616987
 Michelle Sotelo, Treasurer (12/1/2016- Present)
 Alex Roth (9/23/16-11/30/2016)
 Henok Tedla (5/11/16-9/22/16)
 3300 N Interstate 35
 Suite 700
 Austin, TX 78722

TYPE OF COMMITTEE: Nonconnected

II. REGISTRATION DATE: May 11, 2016

III. MULTICANDIDATE STATUS DATE: Non-Qualified

IV. TOTAL POINTS ASSESSED:

V. SUMMARY OF AUDIT POINTS BY STANDARD:

Standard	#	Description	Total # of Point(s)	Points for Receipts	Points for Disbursements	Other Points
Standard	4	Failure to File Reports and Late Filing	Point(s)			
Standard	7	Failure to Provide Supporting Schedules	Point(s)			
Standard	8	Failure to Properly Itemize Contributions from Individuals	Point(s)			
Standard	11	Failure to Properly Itemize Disbursements	Point(s)			
		TOTAL	Point(s)			

VI. NOTICES SENT TO AND RESPONSES RECEIVED FROM THE COMMITTEE:

See attached O-Index for the Committee.

VII. PROBLEMS FOR WHICH AUDIT POINTS WERE ASSESSED:**A. Standard 4 - Failure to File Reports and Late Filing:**

Report Type	Due date	Date Filed	Points
2016 30G	12/08/16	02/03/17	

Standard 4 Total:

Points for Receipts	Points for Disbursements	Other Points

B. Standard 7 - Failure to Provide Supporting Schedules:

Report Type	Violation (Description)	Points
2016 Q2	Schedule A supporting Line 11(a)(i) disclosed receipts of \$30,995.00 from a credit card processing company. However, the Committee failed to clarify whether this amount includes any receipts aggregating greater than \$200.00 from an individual in the calendar year.	

Standard 7 Total:

Points for Receipts	Points for Disbursements	Other Points

C. Standard 8 - Failure to Properly Itemize Contributions from Individuals:

Report Type	Violation (Description)	Points
2016 Q2	Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 228 of 228 of the contributions from individuals that require itemization.	
2016 Q3	Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 104 of 104 of the contributions from individuals that require itemization.	

Standard 8 Total:

Points for Receipts	Points for Disbursements	Other Points

D. Standard 11 – Failure to Properly Itemize Disbursements:

Report Type	Violation (Description)	Points
2016 Q2	Schedule B supporting Line 29 failed to disclose the address and purpose for thirty-nine (39) disbursements totaling \$211,621.11.	
2016 Q3	Schedule B supporting Line 29 failed to disclose the address for twenty-nine (29) disbursements totaling \$161,301.00.	

Standard 11 Total:

Points for Receipts	Points for Disbursements	Other Points

VIII. MATTERS REQUIRING REFERRAL TO OGC/ADRO:**A. OGC –****Failure to Properly Itemize Contributions from Individuals (Standard 8)**

The Committee can be referred to the Office of General Counsel (OGC) for failure to provide contributor information for 332 of 332 of the Committee's itemized individual contributions during the 2015-2016 election cycle.

B. ADRO –**Failure to Provide Supporting Schedules (Standard 7)**

The Committee can be referred to the Alternative Dispute Resolution Office (ADRO) on the 2016 July Quarterly Report for failing to clarify whether receipts totaling \$30,995.00 from a credit card payment processor included any receipts that aggregate in excess of \$200.00 from any individual during the 2016 calendar year.

Failure to Properly Itemize Disbursements (Standard 11)

The Committee can be referred to ADRO on the 2016 July Quarterly Report for failing to provide addresses and purposes for thirty-nine (39) disbursements, totaling \$211,621.11 disclosed on Schedule B supporting Line 29.

The Committee can be referred to ADRO on the 2016 October Quarterly Report for failing to provide addresses for twenty-nine (29) disbursements, totaling \$161,301.00 disclosed on Schedule B supporting Line 29.

IX. OTHER COMMISSION ACTIONS DURING THE 2013-2014 AND 2015-2016 ELECTION CYCLES:

None.

X. OTHER RELEVANT INFORMATION:

None.

O-Index (2017-2018)															
Cmte. ID: C00616987 Cmte. Name: PROGRESSIVE PRIORITIES PAC															
Treasurer Name: SOTELO, MICHELLE Address: 3300 N INTERSTATE 35 SUITE 700, AUSTIN, TX 78722															
Cmte. Type: N (NON-QUALIFIED NON-PARTY) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltrr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
F3XN	Q1	N	5/1/2017	5	201705019053490241	1/1/2017	3/31/2017		\$13,934	\$0	\$0	\$13,934	-	-	\$0
F1A		A	1/31/2017	4	201701319042132583				-	-	-	-	-	-	-
Totals										\$0	\$0				

O-Index (2015-2016)															
Cmte. ID: C00616987 Cmte. Name: PROGRESSIVE PRIORITIES PAC															
Treasurer Name: SOTELO, MICHELLE Address: 3300 N INTERSTATE 35 SUITE 700, AUSTIN, TX 78722															
Cmte. Type: N (NON-QUALIFIED NON-PARTY) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltrr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
RQ2	Q2	N	2/7/2017	3	201702070300077089	4/1/2016	6/30/2016	2/7/2017	-	-	-	-	-	-	-
RQ2	Q3	N	2/7/2017	3	201702070300077092	7/1/2016	9/30/2016	2/7/2017	-	-	-	-	-	-	-
RQ2	30G	N	4/5/2017	2	201704050300083660	10/1/2016	11/28/2016	4/5/2017	-	-	-	-	-	-	-
RQ7	30G		12/28/2016	2	201612290300073714	10/1/2016	11/28/2016	12/28/2016	-	-	-	-	-	-	-
RQ2	YE	N	4/5/2017	2	201704050300083662	11/29/2016	12/31/2016	4/5/2017	-	-	-	-	-	-	-
F3XN	Q2	N	7/11/2016	180	201607119020403429	4/1/2016	6/30/2016		\$0	\$284,331	\$211,805	\$72,526	-	-	\$0
F3XN	Q3	N	10/13/2016	52	201610139032434143	7/1/2016	9/30/2016		\$72,526	\$105,208	\$163,800	\$13,934	-	-	\$0
F3XN	30G	N	2/3/2017	5	201702039042412131	10/1/2016	11/28/2016		\$13,934	\$0	\$0	\$13,934	-	-	\$0
F3XN	YE	N	2/3/2017	5	201702039042412136	11/29/2016	12/31/2016		\$13,934	\$0	\$0	\$13,934	-	-	\$0
F1A		A	9/23/2016	4	201609239032102750				-	-	-	-	-	-	-
F1A		A	12/1/2016	4	201612019037592848				-	-	-	-	-	-	-
F1N		N	5/11/2016	4	201605119015430680				-	-	-	-	-	-	-
Totals										\$389,539	\$375,605				

O-Index (2013-2014)															
Cmte. ID: C00616987 Cmte. Name: PROGRESSIVE PRIORITIES PAC															
Treasurer Name: SOTELO, MICHELLE Address: 3300 N INTERSTATE 35 SUITE 700, AUSTIN, TX 78722															
Cmte. Type: N (NON-QUALIFIED NON-PARTY) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltrr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans

No records were found for this cycle.