

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: March 12, 2007

ANALYST: Leah S. Palmer

I. COMMITTEE: Simmons for Congress
C00343921
Anne Simeone, Treasurer
P.O. Box 268, Drawer 271
Stonington, CT 06378

II. RELEVANT STATUTE: 2 U.S.C. §434(b)
11 CFR §104.3(b)

III. BACKGROUND:

Failure to Provide Supporting Schedules (Failure to Disclose All Financial Activity)

Simmons for Congress ("the Committee") amended its 2006 12 Day Pre-General Report to disclose additional disbursements totaling \$225,018 on November 20, 2006 (Attachment 2)

On October 26, 2006, the Committee filed the original 2006 12 Day Pre-General Report covering the period of October 1, 2006 to October 18, 2006 (Image 26960672323). The original report disclosed \$316,150.13 in disbursements on Line 17 (Operating Expenditures) of the Detailed Summary Page (Image 26960638339).

At 14:29 on November 20, 2006 the Committee filed a Form 99 (Miscellaneous Document) which stated that the 2006 Pre-General Report was being amended because of a wire transfer in the amount of \$225,000 which was unintentionally omitted from their software program prior to filing the original report (Image 26940597664).

At 14:52 on November 20, 2006, the Committee filed an Amended 2006 12 Day Pre-General Report covering the period of October 1, 2006 to October 18, 2006 (Image 26960672323). The amended report disclosed \$541,168.13 in disbursements on Line 17 (Operating Expenditures) of the Detailed Summary Page (Image 26960672326).

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On December 22, 2006, a Request for Additional Information ("RFAI") was sent to the Committee referencing the 2006 Amended 12 Day Pre-General Report, received on November 20, 2006. The RFAI asked, among other matters, for clarification regarding the substantial increase in disbursements that were not disclosed in the original filing (Image 26039320471).

On January 22, 2007, the Committee filed a second amended 2006 12 Day Pre-General Report covering the period of October 1, 2006 to October 18, 2006 (Image 27930058534). The amended report disclosed no change in the amount of disbursements on the Detailed Summary Page (Image 27930058537).

On January 23, 2007, the custodian of records, Susan M. Bessette, contacted the Reports Analysis Division (RAD) Analyst. Ms. Bessette stated that she had filed an amended 12 Day Pre-General report. She also stated that she had filed a statement on November 20, 2006 regarding the increased activity and wanted to know if she should file anything else. The Analyst advised Ms. Bessette that she was aware of that statement and the committee only needed to respond if they had additional information regarding the increased activity (Attachment 3).

No further communication has been received from the Committee regarding this matter.

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SIMMONS, ROB

ID #HOCT02124

SIMMONS FOR CONGRESS

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FILING FREQUENCY: QUARTERLY

27044182827

FORM	TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
1				A	4/01/2005	4	25990353077						
1				A	3/20/2006	8	26920017338						
1				A	5/04/2006	10	26940127850						
1				A	10/07/2006	10	26940391124						
MS				4	5/05/2006	3	26950102985						
MS				4	5/12/2006	2	26940137136						
MS				4	5/16/2006	3	26950109935						
MS				4	5/18/2006	3	26930139078						
MS				J	10/02/2006	5	26039193873						
MS				4	10/21/2006	4	26950664715						
MS				4	10/25/2006	6	26950675798						
MS				4	10/28/2006	3	26930554932						
MS				4	10/30/2006	2	26940568511						
MS				4	11/01/2006	4	26960658564						
MS				4	11/03/2006	4	26950746485						
MS				4	11/04/2006	2	26940579528						
MS				T	11/13/2006	1	26960667160						
MS				T	11/20/2006	1	26940597664						
MS				T	11/24/2006	1	26940601159						
MS				T	12/02/2006	1	26950790301						
RQ		YE		2	2/21/2006	3	26039001164	10/01/2005 12/31/2005					
RQ		12C		2	6/27/2006	3	26039102936	4/01/2006 5/01/2006					
RQ		Q2		2	10/12/2006	4	26039204634	5/02/2006 6/30/2006					
RQ		12G		2	12/22/2006	3	26039320471	10/01/2006 10/18/2006					
RQ		30G		2	1/23/2007	4	27039345025	10/19/2006 11/27/2006					
3		Q1	N	G	4/15/2005	120	25970243917	1/01/2005 3/31/2005	74243	290149	79230	285163	0
3		Q2	N	P	7/15/2005	151	25970614628	4/01/2005 6/30/2005	285163	321333	85500	520996	0

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FORM	TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
3		Q3	N	P	10/15/2005	124	25980646472	7/01/2005 9/30/2005	520996	221582	110500	632077	0
3		YE	N	P	1/31/2006	178	26990217554	10/01/2005 12/31/2005	632077	282797	111504	803370	0
3		YE	A	P	3/07/2006	179	26970097461	10/01/2005 12/31/2005	632077	282797	111504	803370	0
3		YE	A	P	4/19/2006	179	26930078697	10/01/2005 12/31/2005	632077	283311	112018	803370	0
3		Q1	N	P	4/14/2006	184	26930057835	1/01/2006 3/31/2006	803370	235203	144928	893646	0
3		12C	N	R	5/09/2006	48	26960095733	4/01/2006 5/01/2006	893646	29133	64334	858445	0
3		12C	A	R	7/25/2006	81	26960272608	4/01/2006 5/01/2006	893646	29133	64334	858445	0
3		Q2	N	G	7/15/2006	265	26940251868	5/02/2006 6/30/2006	858445	516772	91801	1283416	0
3		Q3	N	G	10/14/2006	347	26950563545	7/01/2006 9/30/2006	1283416	578502	906391	955527	0
3		Q3	A	G	10/20/2006	346	26930488934	7/01/2006 9/30/2006	1283416	578502	906391	955527	0
3		12G	N	G	10/26/2006	144	26960638336	10/01/2006 10/18/2006	955527	274821	316800	913549	0
3		12G	A	G	11/20/2006	145	26960672323	10/01/2006 10/18/2006	955527	274821	541818	688531	0
3		12G	A	G	1/22/2007	145	27930058534	10/01/2006 10/18/2006	955527	274821	541818	688531	0
3		30G	N	G	12/07/2006	191	26940803740	10/19/2006 11/27/2006	688531	364457	954863	98124	0
3		30G	A	G	2/21/2007	191	27930160728	10/19/2006 11/27/2006	688531	364457	954863	98124	0
3		YE	N	P	1/31/2007	33	27960032700	11/28/2006 12/31/2006	98124	2387-	86311	9426	0
TOTAL										3112876	3177694		

FEDERAL ELECTION COMMISSION
OGC INDEX - (0) (2007-2008)

DATE 3/12/2007
PAGE 1

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MS		T		2/21/2007	1	27930160727						
TOTAL									0	0		

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Simmons for Congress (C00343921)

2006 12 Day Pre-General Report/Amended 2006 12 Day Pre-General Report, dated
11/20/06

Disbursement Overview

Report Line	Original 12 Day Pre-General Report (Image 26960638339)	Amended 12 Day Pre-General Report (Image 26960672326)	Variance Amount
Line 17	\$316,150.13	\$541,168.13	\$225,018.00

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