FEDERAL ELECTION COMMISSION

1126 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 573

Date Filmed 3/12/79 Camera No. --- 2

Cameraman SPC

FEDERAL ELECTION COMMISSION

_____

None.

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The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

____	(1) Classified Information	____	(6) Personal privacy
____	(2) Internal rules and practices	____	(7) Investigatory files
____	(3) Exempted by other statute	____	(8) Banking Information
____	(4) Trade secrets and commercial or financial information	____	(9) Well Information (geographic or geophysical)
____	(5) Internal Documents		

Signed W. Clare Lindsay

date 1/26/79

FEC 9-21-77

7 9 0 1 0 1 0 4 4 1 0

7904010441

James T. McDermott
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

On January , 1979, the Commission voted to close the file in MUR 573.

A copy of the conciliation agreement signed by yourandthheGeneral Counsel, is enclosed.

Copies of this agreement and other documents pertaining to MUR 573 will now be placed in the public disclosure file.

We thank you for your cooperation in this matter.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

cc 1/30/79

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 25, 1979

James T. McDermott
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

On January 23, 1979 the Commission voted to close the file in MUR 573.

A copy of the conciliation agreement signed by you and the General Counsel, is enclosed.

Copies of this agreement and other documents pertaining to MUR 573 will now be placed in the public disclosure file.

We thank you for your cooperation in this matter.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

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9051

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Guffey in the First Committee) MUR 573

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that the Guffey in the First Committee (the "Committee"): failed to file a year-end disclosure report for 1977 in violation of 2 U.S.C. § 434(a)(1)(B); failed to report and itemize certain expenditures in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. § 434(b)(9),(10) and (11); failed to retain adequate documentation for certain expenditures in violation of 2 U.S.C. § 432(d);failed to report and itemize certain receipts in violation of 2 U.S.C. § 434(b)(2),(3),(4) and (8) and keep records of these receipts in violation of 2 U.S.C. § 432(c)(1); failed to report extinguishment of committee debts in violation of 2 U.S.C. § 434(b)(12); and failed to retain documentation of reported loans in violation of 11 C.F.R. § 104.12(b)(1).

WHEREFORE, the Commission and Respondent, having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was notified of the above named instances of non-compliance in a letter of audit findings dated January 23, 1978 which granted the Committee 30 days in which to comply with the Auditor's recommendations. The Respondent Committee's Treasurer was contacted on February 27, 1978 by the Auditors, at which time the Treasurer indicated he had not prepared the reports and amendments nor obtained the documentation requested by the auditors. He further stated that he had no intention of doing so.

B. Respondent Committee's Treasurer refused acceptance of the Commission notification of reason to believe finding dated May 10, 1978.

WHEREFORE, Respondent agrees that:

I. 2 U.S.C. § 434(a)(1)(B) requires that a political committee supporting a candidate for election to Federal office shall file a report of receipts and expenditures as of December 31 of the calendar year in which the candidate ran for Federal office and no later than January 31 of the following year, which shall be complete as of the close of the calendar year with respect to which the report is filed. The last full report filed by Respondent Committee was the 30 day post election report filed December 10, 1976. Respondent's failure to file a year-end report is a violation of 2 U.S.C. § 434(a)(1)(B).

II. 2 U.S.C. § 434(b)(9) and (10) require that a committee disclose on its reports to the Commission each person to whom expenditures have been made within the calendar year in an aggregate amount or value in excess of $100 together with the amount, date and purpose of each such expenditure. 2 U.S.C. § 434(b)(11) requires that the committee report the total sum of expenditures made by such committee during the calendar year. Failure of the committee to report total expenditures made in 1976 constitutes a violation of 2 U.S.C. § 434(b)(11). The Committee's further failure to itemize 25 of these expenditures which were in excess of $100 or aggregated in excess of $100 constitutes a violation of 2 U.S.C. § 434b(9) and (10).

III. 2 U.S.C. § 432(d) requires a Committee to keep a receipted bill, stating the particulars, for every expenditure made by the Committee in excess of $100 to the same person in a calendar year. Failure of the Committee to retain adequate supporting documentation for 104 of its expenditures is in violation of 2 U.S.C. § 432(d).

IV. 2 U.S.C. § 434(b)(2),(3),(4) and (8) require that a Committee report: the full name, address, occupation and principal place of business for every person contributing in an aggregate amount or value in excess of $100 within the calendar year and/or the name and address of such political committee from which funds were transferred, together with the date and amount of the transfer and/or contribution; the total sum of unitemizeable individual contributions made to or for the Committee during the reported period and the total sum of all receipts by or for the Committee during the reporting period.

2 U.S.C. § 432(c)(1) requires a Committee to keep an account of all contributions made to or for the Committee, and to identify each person contributing in excess of $50 and the date and amount thereof. The Committee's failure to report the source or receipt of two bank deposits to the Candidate's expense account totaling $1,236,86 and the source of $460 reported as beginning cash on hand on the Committee's first report are violations of 2 U.S.C. § 434(b)(2),(3),(4) and (8). The Committee's failure to maintain a record of these receipts is a violation of 2 U.S.C. § 432(c)(1).

V. 2 U.S.C. § 434(b)(12) requires, in part, that a Committee continuously report debts and obligations until extinguished and further requires disclosure of the circumstances and conditions under which any debt or obligation is extinguished. The last full report filed by the Committee disclosed outstanding debts and obligations of $16,343.39. Failure of the Committee to disclose the liquidation of the Committee's debts by their transfer to the Candidate is a violation of 2 U.S.C. § 434(b)(12).

VI. 11 C.F.R. § 104.12(b)(1) requires that a political committee maintain records of sufficient detail to verify matters required to be reported. The Committee's failure to retain any documentation describing the $8,500 in personal bank loans to the Candidate which were in turn loaned to the Committee and reported constitutes a violation of 11 C.F.R. § 104.12(b)(1).

VII. Respondent will pay a civil penalty in the amount of FIFTY ($50) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

VIII. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a year-end report for 1976 including all unreported activity from November 23 to December 31, 1976 and accurately reflect year-end totals for 1976.

2) File a comprehensive amendment for 1976 including; for the period January 1, 1976 through November 22, previously omitted expenditures itemized where required; and source of previously omitted receipts, itemized where required;

3) Submit sufficient documentation establishing the source of unidentified deposits to Candidate's expense account and sufficient documentation to support the 104 insufficiently documented expenditures noted by the auditors.

4) File a committee report showing liquidation of Committee's debts by virtue of their transfer to the Candidate.

General Conditions

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

1/25/79
Date

William C. Oldaker
General Counsel

12/15/78
Date

James T. McDermott
Treasurer
Guffey in the First Committee

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

January 25, 1979

James V. Guffey
202 N. Lake Drive
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

On January 23, 1979 the Commission voted to close the file in MUR 573.

A copy of the conciliation agreement signed by you and the General Counsel, is enclosed.

Copies of this agreement and other documents pertaining to MUR 573 will now be placed in the public disclosure file.

We thank you for your cooperation in this matter.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7 9 0 1 0 4 4 1 7

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
James V. Guffey) MUR 573

'79 JAN 2 PM 3:11

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that Mr. James V. Guffey (hereinafter referred to as "Respondent") violated 2 U.S.C. §§ 434(a) and 434(b)(12) of the Federal Election Campaign Act, ("the Act") as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c) in failing to file Candidate reports upon assumption of his principal campaign committee's debts and obligations and that Respondent also violated 11 C.F.R. § 104.12 (b)(1) by failing to maintain records with respect to loans made to the Respondent which proceeds were in turn loaned to Respondent's campaign committee.

WHEREFORE, the Commission and Respondent having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was granted a waiver by the Commission from personally filing reports of receipts and expenditures which, under 11 C.F.R. § 101.3, is valid for so long as the Respondent complies with the conditions of the waiver. Upon assumption of his principal campaign committee's debts and obligations and the unreimbursed payment by the respondent of some of these debts, the reporting waiver ceased to be applicable.

B. The Respondent assumed responsibility for his principal campaign committee's debts and obligations sometime around the filing of the 30 day post general election report on December 10, 1976, which was the last full report filed by the Respondent's principal campaign committee.

C. Respondent has failed to file any candidate reports subsequent to the 30 day post general election report filed by his committee even though the Respondent continues to have outstanding campaign obligations on which he claims to be making payments.

D. Respondent has also failed to make available, as requested by the Commission's auditors, evidence of interest paid on loans to the Respondent which monies were in turn loaned to the Respondent's committee. This evidence is required by the auditors in order that a determination can be made as to whether or not these loans were extended to the Respondent in the ordinary course of business as required in 2 U.S.C. § 431(e)(5)(G).

E. Respondent was notified of the above instances of non-compliance with the Federal Election Campaign Act in a letter of audit findings dated January 23, 1978 at which time the auditors recommended to the Respondent means by which he might bring himself into complaince and allowed 30 days for Respondent's reply. Respondent was granted a 15 day extension of time to reply to the auditors suggestions to March 14, 1978. Respondent was notified of the Commission finding of reason to believe violations had occurred on May 10, 1978.

WHEREFORE, Respondent agrees that:

I. Under 11 C.F.R. § 101.1, Respondent's candidacy continues for reporting purposes until all debts and obligations for which the candidate is personally obligated, arising in connection with the election, are extinguished.

II. Failure of the Respondent to file reports of receipts and expenditures since his assumption of his campaign debts and obligations and up to the time these obligations are extinguished constitutes violation of 2 U.S.C. § 434(a) and 2 U.S.C. § 434(b)(12).

III. Failure to produce evidence of interest paid on loans to Respondent which monies were in turn loaned to Respondent's committee constitutes a violation of 11 C.F.R. § 104.12(b)(1) which requires maintenance of records of matters required to be reported which shall provide in sufficient detail the necessary information from which the filed reports and statements may be verified.

IV. Respondent will pay a civil penalty in the amount of FIFTY ($50) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

V. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a Candidate report of receipts and expenditures showing the Respondent's assumption of campaign debts and obligations from the committee, the balance of debts and obligations outstanding as of Respondent's assumption of same, cumulative payments made to date by the Candidate and current balance outstanding.

2) File Candidate reports as applicable from the closing date of the report disclosing the Respondent's debt assumption until all campaign related debts and obligations are extinguished and Respondent's candidate status is terminated as required by the Act.

3) Obtain from the appropriate bank evidence of payment by the Respondent of interest on loans made to him which monies were in turn loaned to Respondent's campaign committee.

GENERAL CONDITIONS

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion, may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

1/25/79
Date

William C. Oldaker
General Counsel

12-29-78
Date

James V. Guffey

7 0 7 0 1 3 0 4 2 1

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 573
Guffey in the First Committee	)	
James V. Guffey	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on January 23, 1979, the Commission determined by a vote of 4-0 to adopt the following recommendations, as set forth in the Memorandum from the General Counsel, dated January 19, 1979, regarding the above-captioned matter:

1. Close the file.
2. Send the letters attached to the above-named report.

Voting for this determination were Commissioners Aikens, Thomson, Tiernan and McGarry.

Attest:

1/23/79
Date

Marjorie W. Emmons
Secretary to the Commission

Received in Office of Commission Secretary: 1-19-79, 12:28
Circulated on 48 hour vote basis: 1-19-79, 4:30

January 19, 1979

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached Memo and conciliation agreements distributed to the Commission on a 48 hour tally basis.

Thank you.

7904010442 5

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

79 JAN 19 P12: 28

January 19, 1979

MEMORANDUM TO: The Commission

FROM: William C. Oldaker
General Counsel

SUBJECT: MUR 573 Guffey in the First Committee
James V. Guffey

On December 7, 1978 the Commission approved the revised conciliation agreements in this matter.

At this time, both respondents have signed the revised agreements and paid the civil penalties assessed. Copies of the conciliation agreements signed by the respondents are attached.

Recommendation

Close the file. Send attached letters.

Attachments:

2 conciliation agreements
2 letters

7901910427

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
James V. Guffey) MUR 573

'79 JAN 2 PM 3:11

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that Mr. James V. Guffey (hereinafter referred to as "Respondent") violated 2 U.S.C. §§ 434(a) and 434(b)(12) of the Federal Election Campaign Act, ("the Act") as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c) in failing to file Candidate reports upon assumption of his principal campaign committee's debts and obligations and that Respondent also violated 11 C.F.R. § 104.12 (b)(1) by failing to maintain records with respect to loans made to the Respondent which proceeds were in turn loaned to Respondent's campaign committee.

WHEREFORE, the Commission and Respondent having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was granted a waiver by the Commission from personally filing reports of receipts and expenditures which, under 11 C.F.R. § 101.3, is valid for so long as the Respondent complies with the conditions of the waiver. Upon assumption of his principal campaign committee's debts and obligations and the unreimbursed payment by the respondent of some of these debts, the reporting waiver ceased to be applicable.

B. The Respondent assumed responsibility for his principal campaign committee's debts and obligations sometime around the filing of the 30 day post general election report on December 10, 1976, which was the last full report filed by the Respondent's principal campaign committee.

C. Respondent has failed to file any candidate reports subsequent to the 30 day post general election report filed by his committee even though the Respondent continues to have outstanding campaign obligations on which he claims to be making payments.

D. Respondent has also failed to make available, as requested by the Commission's auditors, evidence of interest paid on loans to the Respondent which monies were in turn loaned to the Respondent's committee. This evidence is required by the auditors in order that a determination can be made as to whether or not these loans were extended to the Respondent in the ordinary course of business as required in 2 U.S.C. § 431(e)(5)(G).

E. Respondent was notified of the above instances of non-compliance with the Federal Election Campaign Act in a letter of audit findings dated January 23, 1978 at which time the auditors recommended to the Respondent means by which he might bring himself into complaince and allowed 30 days for Respondent's reply. Respondent was granted a 15 day extension of time to reply to the auditors suggestions to March 14, 1978. Respondent was notified of the Commission finding of reason to believe violations had occurred on May 10, 1978.

WHEREFORE, Respondent agrees that:

I. Under 11 C.F.R. § 101.1, Respondent's candidacy continues for reporting purposes until all debts and obligations for which the candidate is personally obligated, arising in connection with the election, are extinguished.

II. Failure of the Respondent to file reports of receipts and expenditures since his assumption of his campaign debts and obligations and up to the time these obligations are extinguished constitutes violation of 2 U.S.C. § 434(a) and 2 U.S.C. § 434(b)(12).

III. Failure to produce evidence of interest paid on loans to Respondent which monies were in turn loaned to Respondent's committee constitutes a violation of 11 C.F.R. § 104.12(b)(1) which requires maintenance of records of matters required to be reported which shall provide in sufficient detail the necessary information from which the filed reports and statements may be verified.

IV. Respondent will pay a civil penalty in the amount of FIFTY ($50) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

V. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a Candidate report of receipts and expenditures showing the Respondent's assumption of campaign debts and obligations from the committee, the balance of debts and obligations outstanding as of Respondent's assumption of same, cumulative payments made to date by the Candidate and current balance outstanding.

2) File Candidate reports as applicable from the closing date of the report disclosing the Respondent's debt assumption until all campaign related debts and obligations are extinguished and Respondent's candidate status is terminated as required by the Act.

3) Obtain from the appropriate bank evidence of payment by the Respondent of interest on loans made to him which monies were in turn loaned to Respondent's campaign committee.

GENERAL CONDITIONS

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion, may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

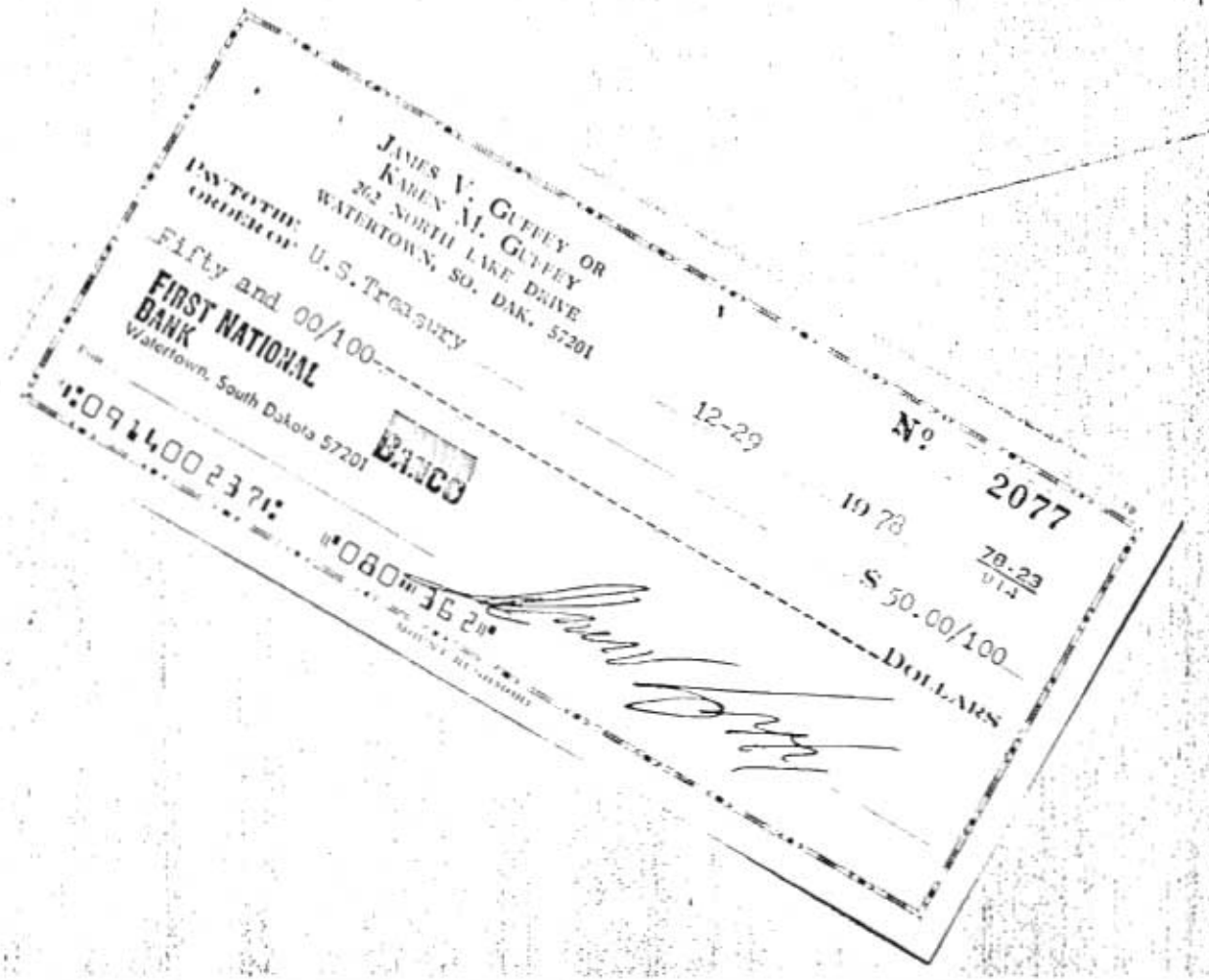
Date

William C. Oldaker
General Counsel

12-29-78
Date

James V. Guffey

7 9 0 1 0 1 4 3 3



JAMES V. GUFFEY OR
KAREN M. GUFFEY
262 NORTH LAKE DRIVE
WATERTOWN, SO. DAK. 57201

PAY TO THE ORDER OF U.S. Treasury

Fifty and 00/100

12-29

No. 2077

19 78

70-23
913

$ 50.00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201

BASIC

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Guffey

9051

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Guffey in the First Committee) MUR 573

'79 JAN 19 AM 12:02

000216

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that the Guffey in the First Committee (the "Committee"): failed to file a year-end disclosure report for 1977 in violation of 2 U.S.C. § 434(a)(1)(B); failed to report and itemize certain expenditures in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. § 434(b)(9),(10) and (11); failed to retain adequate documentation for certain expenditures in violation of 2 U.S.C. § 432(d);failed to report and itemize certain receipts in violation of 2 U.S.C. § 434(b)(2),(3),(4) and (8) and keep records of these receipts in violation of 2 U.S.C. § 432(c)(1); failed to report extinguishment of committee debts in violation of 2 U.S.C. § 434(b)(12); and failed to retain documentation of reported loans in violation of 11 C.F.R. § 104.12(b)(1).

WHEREFORE, the Commission and Respondent, having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was notified of the above named instances of non-compliance in a letter of audit findings dated January 23, 1978 which granted the Committee 30 days in which to comply with the Auditor's recommendations. The Respondent Committee's Treasurer was contacted on February 27, 1978 by the Auditors, at which time the Treasurer indicated he had not prepared the reports and amendments nor obtained the documentation requested by the auditors. He further stated that he had no intention of doing so.

B. Respondent Committee's Treasurer refused acceptance of the Commission notification of reason to believe finding dated May 10, 1978.

WHEREFORE, Respondent agrees that:

I. 2 U.S.C. § 434(a)(1)(B) requires that a political committee supporting a candidate for election to Federal office shall file a report of receipts and expenditures as of December 31 of the calendar year in which the candidate ran for Federal office and no later than January 31 of the following year, which shall be complete as of the close of the calendar year with respect to which the report is filed. The last full report filed by Respondent Committee was the 30 day post election report filed December 10, 1976. Respondent's failure to file a year-end report is a violation of 2 U.S.C. § 434(a)(1)(B).

II. 2 U.S.C. § 434(b)(9) and (10) require that a committee disclose on its reports to the Commission each person to whom expenditures have been made within the calendar year in an aggregate amount or value in excess of $100 together with the amount, date and purpose of each such expenditure. 2 U.S.C. § 434(b)(11) requires that the committee report the total sum of expenditures made by such committee during the calendar year. Failure of the committee to report total expenditures made in 1976 constitutes a violation of 2 U.S.C. § 434(b)(11). The Committee's further failure to itemize 25 of these expenditures which were in excess of $100 or aggregated in excess of $100 constitutes a violation of 2 U.S.C. § 434b(9) and (10).

III. 2 U.S.C. § 432(d) requires a Committee to keep a receipted bill, stating the particulars, for every expenditure made by the Committee in excess of $100 to the same person in a calendar year. Failure of the Committee to retain adequate supporting documentation for 104 of its expenditures is in violation of 2 U.S.C. § 432(d).

IV. 2 U.S.C. § 434(b)(2),(3),(4) and (8) require that a Committee report: the full name, address, occupation and principal place of business for every person contributing in an aggregate amount or value in excess of $100 within the calendar year and/or the name and address of such political committee from which funds were transferred, together with the date and amount of the transfer and/or contribution; the total sum of unitemizeable individual contributions made to or for the Committee during the reported period and the total sum of all receipts by or for the Committee during the reporting period.

2 U.S.C. § 432(c)(1) requires a Committee to keep an account of all contributions made to or for the Committee, and to identify each person contributing in excess of $50 and the date and amount thereof. The Committee's failure to report the source or receipt of two bank deposits to the Candidate's expense account totaling $1,236,86 and the source of $460 reported as beginning cash on hand on the Committee's first report are violations of 2 U.S.C. § 434(b)(2),(3),(4) and (8). The Committee's failure to maintain a record of these receipts is a violation of 2 U.S.C. § 432(c)(1).

V. 2 U.S.C. § 434(b)(12) requires, in part, that a Committee continuously report debts and obligations until extinguished and further requires disclosure of the circumstances and conditions under which any debt or obligation is extinguished. The last full report filed by the Committee disclosed outstanding debts and obligations of $16,343.39. Failure of the Committee to disclose the liquidation of the Committee's debts by their transfer to the Candidate is a violation of 2 U.S.C. § 434(b)(12).

VI. 11 C.F.R. § 104.12(b)(1) requires that a political committee maintain records of sufficient detail to verify matters required to be reported. The Committee's failure to retain any documentation describing the $8,500 in personal bank loans to the Candidate which were in turn loaned to the Committee and reported constitutes a violation of 11 C.F.R. § 104.12(b)(1).

VII. Respondent will pay a civil penalty in the amount of FIFTY ($50) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

VIII. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a year-end report for 1976 including all unreported activity from November 23 to December 31, 1976 and accurately reflect year-end totals for 1976.

2) File a comprehensive amendment for 1976 including; for the period January 1, 1976 through November 22, previously omitted expenditures itemized where required; and source of previously omitted receipts, itemized where required;

3) Submit sufficient documentation establishing the source of unidentified deposits to Candidate's expense account and sufficient documentation to support the 104 insufficiently documented expenditures noted by the auditors.

4) File a committee report showing liquidation of Committee's debts by virtue of their transfer to the Candidate.

General Conditions

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

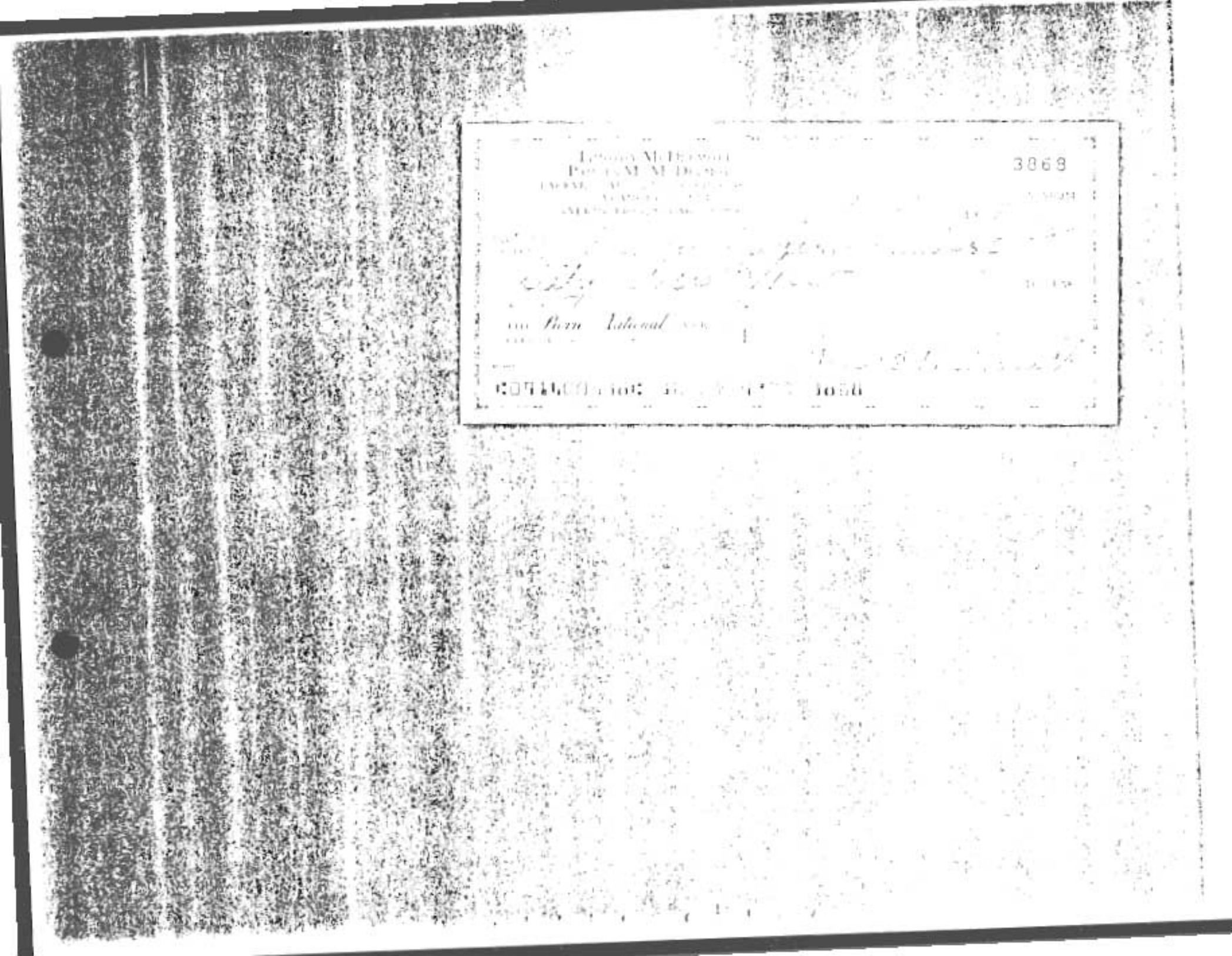
Date

William C. Oldaker
General Counsel

12/15/78
Date

James T. McDermott
Treasurer
Guffey in the First Committee

7 0 1 0 1 4 5)



FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

James V. Guffey
202 N. Lake Drive
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

On January , 1979 the Commission voted to close the file in MUR 573.

A copy of the conciliation agreement signed by you and the General Counsel, is enclosed.

Copies of this agreement and other documents pertaining to MUR 573 will now be placed in the public disclosure file.

We thank you for your cooperation in this matter.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

James T. McDermott
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

On January , 1979 the Commission voted to close the file in MUR 573.

A copy of the conciliation agreement signed by you and the General Counsel, is enclosed.

Copies of this agreement and other documents pertaining to MUR 573 will now be placed in the public disclosure file.

We thank you for your cooperation in this matter.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7904010443

James V. Guffey
202 N. Lake Drive
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

On January , 1979 the Commission voted to close the file in MUR 573.

A copy of the conciliation agreement signed by you and the General Counsel, is enclosed.

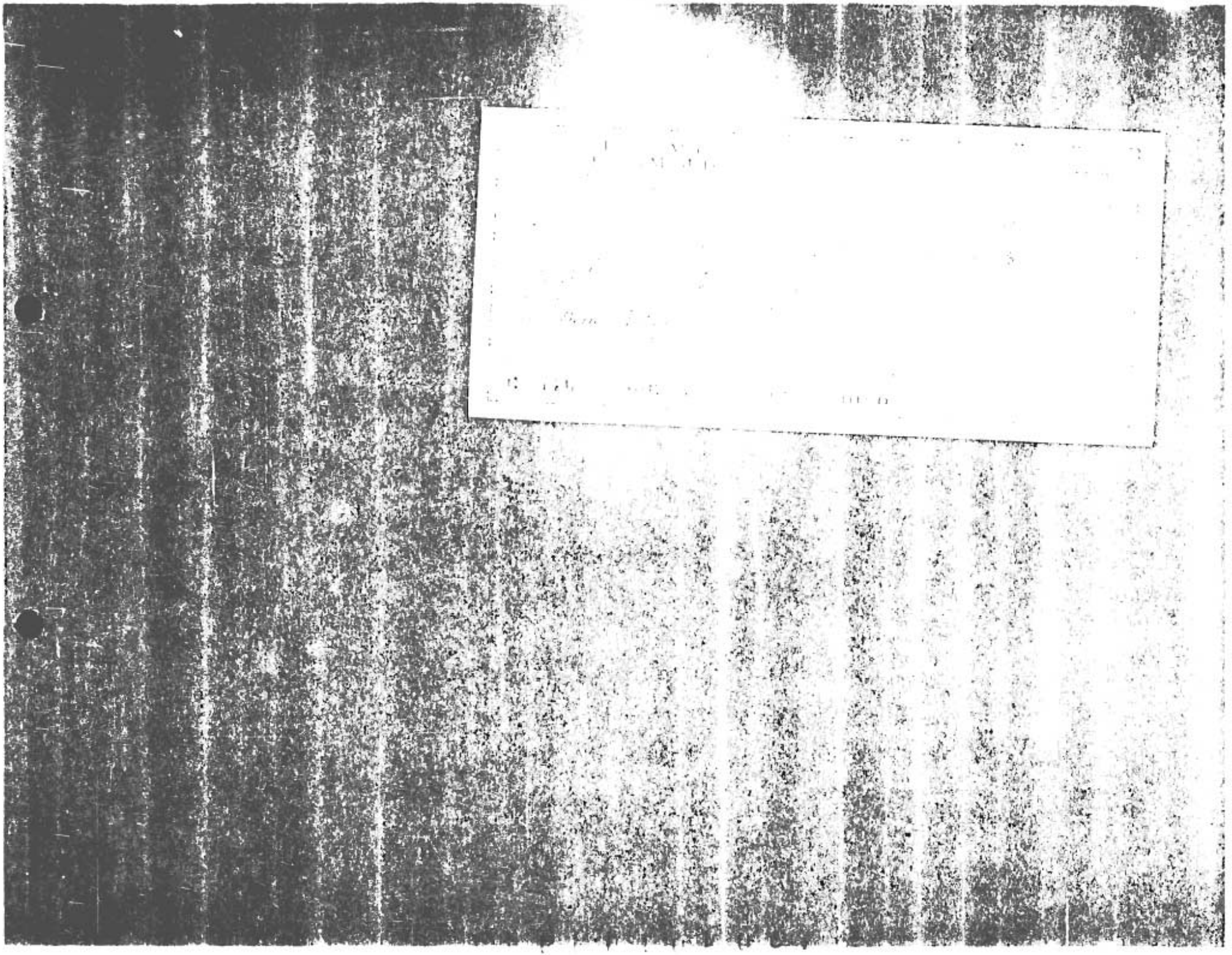
Copies of this agreement and other documents pertaining to MUR 573 will now be placed in the public disclosure file.

We thank you for your cooperation in this matter.

Sincerely,

William C. Oldaker
General Counsel

Enclosure



12:

[illegible]

[illegible]

1825 K Street N.W.

Washington D.C. 20463

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS MWE by pc

DATE: JANUARY 9, 1979

SUBJECT: MUR 573 - Interim Conciliation Report dated 1-4-79; Received in OCS 1-5-79, 1:26

The above-named document was circulated on a 24 hour no-objection basis at 12:00, January 8, 1979.

The Commission Secretary's Office has received no objections to the Interim Conciliation Report as of 1:00, this date.

7 9 0 1 0 9 1 4 1 5

January 5, 1979

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached Interim Conciliation Reppgt on MUR 573 distributed to the Commission on a 24 hour no-objection basis.

Thank you.

7904010044447

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

In the Matter of)
)
Guffey in the First Committee)
James V. Guffey)

MUR 95 JAN 5 P 1: 26

INTERIM CONCILIATION REPORT

On December 7, 1978, the Commission approved the revised conciliation agreements in this matter. These conciliation agreements were received by the respondents on December 15 and 16 respectively.

Both respondents have discussed the revised conciliation agreements with this Office and have agreed to sign the agreements and pay the civil penalty assessed.

We are expecting to receive these agreements and payments within the near future. At that time this Office will recommend that the file be closed in this matter.

4 January 1979
Date

William C. Oldaker
General Counsel
for WCO

7 9 0 1 0 1 4 1 7

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAK. 57201

PAY TO THE ORDER OF U.S. Treasury

FIFTY and 00/100----------

12-29

No. 2077

19 79

78-23
913

$ 50.00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201

BANCO

⑈0744002378⑈ ⑈080136 28⑈

Karen M. Guffey

JAMES GUFFEY
202 N LAKE DR RR 2
WATERTOWN SD 57201

Federal Election Commission
1325 K Street N.W.
Washington, D.C.
20463

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

December 11, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.
Watertown, S.D. 57201

Re: MUR 573

Dear Mr. Guffey:

Please find enclosed a revised conciliation agreement which has been approved by the Commission.

Upon receipt of your signed copy of this agreement and payment of the required civil penalty, the General Counsel will also sign the agreement. You will be sent a copy of the conciliation agreement showing both signatures and this matter will be closed.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7 9 0 1 0 1 0 4 4 3 1

MYR 573 4NDDAY

PS Form 3811, Mar. 1976

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).

- [x] Show to whom and date delivered..... 15¢
- [x] Show to whom, date, & address of delivery.. 35¢
- [ ] RESTRICTED DELIVERY.
  Show to whom and date delivered..... 65¢
- [ ] RESTRICTED DELIVERY.
  Show to whom, date, and address of delivery 85¢

2. ARTICLE ADDRESSED TO:
JAMES J. GUFFEY
202 N. LAKE DR.
WATERTOWN, SD 57201

3. ARTICLE DESCRIPTION:

REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	94329	

(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE [ ] Addressee [x] Authorized agent

James Guffey Grahowski

4. DATE OF DELIVERY: 12-16-78 — POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE: — CLERK'S INITIALS

☆ GPO: 1976—O-203-456

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
James V. Guffey	)	MUR 573

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that Mr. James V. Guffey (hereinafter referred to as "Respondent") violated 2 U.S.C. §§ 434(a) and 434(b)(12) of the Federal Election Campaign Act, ("the Act") as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c) in failing to file Candidate reports upon assumption of his principal campaign committee's debts and obligations and that Respondent also violated 11 C.F.R. § 104.12 (b)(1) by failing to maintain records with respect to loans made to the Respondent which proceeds were in turn loaned to Respondent's campaign committee.

WHEREFORE, the Commission and Respondent having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was granted a waiver by the Commission from personally filing reports of receipts and expenditures which, under 11 C.F.R. § 101.3, is valid for so long as the Respondent complies with the conditions of the waiver. Upon assumption of his principal campaign committee's debts and obligations and the unreimbursed payment by the respondent of some of these debts, the reporting waiver ceased to be applicable.

B. The Respondent assumed responsibility for his principal campaign committee's debts and obligations sometime around the filing of the 30 day post general election report on December 10, 1976, which was the last full report filed by the Respondent's principal campaign committee.

C. Respondent has failed to file any candidate reports subsequent to the 30 day post general election report filed by his committee even though the Respondent continues to have outstanding campaign obligations on which he claims to be making payments.

D. Respondent has also failed to make available, as requested by the Commission's auditors, evidence of interest paid on loans to the Respondent which monies were in turn loaned to the Respondent's committee. This evidence is required by the auditors in order that a determination can be made as to whether or not these loans were extended to the Respondent in the ordinary course of business as required in 2 U.S.C. § 431(e)(5)(G).

E. Respondent was notified of the above instances of non-compliance with the Federal Election Campaign Act in a letter of audit findings dated January 23, 1978 at which time the auditors recommended to the Respondent means by which he might bring himself into complaince and allowed 30 days for Respondent's reply. Respondent was granted a 15 day extension of time to reply to the auditors suggestions to March 14, 1978. Respondent was notified of the Commission finding of reason to believe violations had occurred on May 10, 1978.

WHEREFORE, Respondent agrees that:

I. Under 11 C.F.R. § 101.1, Respondent's candidacy continues for reporting purposes until all debts and obligations for which the candidate is personally obligated, arising in connection with the election, are extinguished.

II. Failure of the Respondent to file reports of receipts and expenditures since his assumption of his campaign debts and obligations and up to the time these obligations are extinguished constitutes violation of 2 U.S.C. § 434(a) and 2 U.S.C. § 434(b)(12).

III. Failure to produce evidence of interest paid on loans to Respondent which monies were in turn loaned to Respondent's committee constitutes a violation of 11 C.F.R. § 104.12(b)(1) which requires maintenance of records of matters required to be reported which shall provide in sufficient detail the necessary information from which the filed reports and statements may be verified.

IV. Respondent will pay a civil penalty in the amount of FIFTY ($50) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

V. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a Candidate report of receipts and expenditures showing the Respondent's assumption of campaign debts and obligations from the committee, the balance of debts and obligations outstanding as of Respondent's assumption of same, cumulative payments made to date by the Candidate and current balance outstanding.

2) File Candidate reports as applicable from the closing date of the report disclosing the Respondent's debt assumption until all campaign related debts and obligations are extinguished and Respondent's candidate status is terminated as required by the Act.

3) Obtain from the appropriate bank evidence of payment by the Respondent of interest on loans made to him which monies were in turn loaned to Respondent's campaign committee.

GENERAL CONDITIONS

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion, may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

Date

William C. Oldaker
General Counsel

Date

James V. Guffey

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FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

December 11, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

James T. McDermott
#9, Park View Apartments
Vermillion, S.D. 57069

Re: Mur 573

Dear Mr. McDermott:

Please find enclosed a revised conciliation agreement which has been approved by the Commission.

Upon receipt of your signed copy of this agreement and payment of the required civil penalty, the General Counsel will also sign the agreement. You will be sent a copy of the conciliation agreement showing both signatures and this matter will then be closed.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7904010143

MHR 573 HND

PS Form 3811, Mar. 1976

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
- ☒ Show to whom and date delivered..... 15¢
- ☐ Show to whom, date, & address of delivery.. 35¢
- ☐ RESTRICTED DELIVERY.
  Show to whom and date delivered..... 65¢
- ☐ RESTRICTED DELIVERY.
  Show to whom, date, and address of delivery 85¢

2. ARTICLE ADDRESSED TO:
JAMES T. McDERMOTT
#9, PARK VIEW APTS.
VERMILLION S.D. 57069

3. ARTICLE DESCRIPTION:

REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	943280	

(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY 10-15-78

POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE:

CLERK'S INITIALS

☆ GPO 1979-O-303-406

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Guffey in the First Committee	)	MUR 573

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that the Guffey in the First Committee (the "Committee"): failed to file a year-end disclosure report for 1977 in violation of 2 U.S.C. § 434(a)(1)(B); failed to report and itemize certain expenditures in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. § 434(b)(9),(10) and (11); failed to retain adequate documentation for certain expenditures in violation of 2 U.S.C. § 432(d);failed to report and itemize certain receipts in violation of 2 U.S.C. § 434(b)(2),(3),(4) and (8) and keep records of these receipts in violation of 2 U.S.C. § 432(c)(1); failed to report extinguishment of committee debts in violation of 2 U.S.C. § 434(b)(12); and failed to retain documentation of reported loans in violation of 11 C.F.R. § 104.12(b)(1).

WHEREFORE, the Commission and Respondent, having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was notified of the above named instances of non-compliance in a letter of audit findings dated January 23, 1978 which granted the Committee 30 days in which to comply with the Auditor's recommendations. The Respondent Committee's Treasurer was contacted on February 27, 1978 by the Auditors, at which time the Treasurer indicated he had not prepared the reports and amendments nor obtained the documentation requested by the auditors. He further stated that he had no intention of doing so.

B. Respondent Committee's Treasurer refused acceptance of the Commission notification of reason to believe finding dated May 10, 1978.

WHEREFORE, Respondent agrees that:

I. 2 U.S.C. § 434(a)(1)(B) requires that a political committee supporting a candidate for election to Federal office shall file a report of receipts and expenditures as of December 31 of the calendar year in which the candidate ran for Federal office and no later than January 31 of the following year, which shall be complete as of the close of the calendar year with respect to which the report is filed. The last full report filed by Respondent Committee was the 30 day post election report filed December 10, 1976. Respondent's failure to file a year-end report is a violation of 2 U.S.C. § 434(a)(1)(B).

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II. 2 U.S.C. § 434(b)(9) and (10) require that a committee disclose on its reports to the Commission each person to whom expenditures have been made within the calendar year in an aggregate amount or value in excess of $100 together with the amount, date and purpose of each such expenditure. 2 U.S.C. § 434(b)(11) requires that the committee report the total sum of expenditures made by such committee during the calendar year. Failure of the committee to report total expenditures made in 1976 constitutes a violation of 2 U.S.C. § 434(b)(11). The Committee's further failure to itemize 25 of these expenditures which were in excess of $100 or aggregated in excess of $100 constitutes a violation of 2 U.S.C. § 434b(9) and (10).

III. 2 U.S.C. § 432(d) requires a Committee to keep a receipted bill, stating the particulars, for every expenditure made by the Committee in excess of $100 to the same person in a calendar year. Failure of the Committee to retain adequate supporting documentation for 104 of its expenditures is in violation of 2 U.S.C. § 432(d).

IV. 2 U.S.C. § 434(b)(2),(3),(4) and (8) require that a Committee report: the full name, address, occupation and principal place of business for every person contributing in an aggregate amount or value in excess of $100 within the calendar year and/or the name and address of such political committee from which funds were transferred, together with the date and amount of the transfer and/or contribution; the total sum of unitemizeable individual contributions made to or for the Committee during the reported period and the total sum of all receipts by or for the Committee during the reporting period.

2 U.S.C. § 432(c)(1) requires a Committee to keep an account of all contributions made to or for the Committee, and to identify each person contributing in excess of $50 and the date and amount thereof. The Committee's failure to report the source or receipt of two bank deposits to the Candidate's expense account totaling $1,236,86 and the source of $460 reported as beginning cash on hand on the Committee's first report are violations of 2 U.S.C. § 434(b)(2),(3),(4) and (8). The Committee's failure to maintain a record of these receipts is a violation of 2 U.S.C. § 432(c)(1).

V. 2 U.S.C. § 434(b)(12) requires, in part, that a Committee continuously report debts and obligations until extinguished and further requires disclosure of the circumstances and conditions under which any debt or obligation is extinguished. The last full report filed by the Committee disclosed outstanding debts and obligations of $16,343.39. Failure of the Committee to disclose the liquidation of the Committee's debts by their transfer to the Candidate is a violation of 2 U.S.C. § 434(b)(12).

VI. 11 C.F.R. § 104.12(b)(1) requires that a political committee maintain records of sufficient detail to verify matters required to be reported. The Committee's failure to retain any documentation describing the $8,500 in personal bank loans to the Candidate which were in turn loaned to the Committee and reported constitutes a violation of 11 C.F.R. § 104.12(b)(1).

VII. Respondent will pay a civil penalty in the amount of FIFTY ($50) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

VIII. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a year-end report for 1976 including all unreported activity from November 23 to December 31, 1976 and accurately reflect year-end totals for 1976.

2) File a comprehensive amendment for 1976 including; for the period January 1, 1976 through November 22, previously omitted expenditures itemized where required; and source of previously omitted receipts, itemized where required;

3) Submit sufficient documentation establishing the source of unidentified deposits to Candidate's expense account and sufficient documentation to support the 104 insufficiently documented expenditures noted by the auditors.

4) File a committee report showing liquidation of Committee's debts by virtue of their transfer to the Candidate.

General Conditions

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

_____ _____

Date William C. Oldaker
General Counsel

_____ _____

Date James T. McDermott
Treasurer
Guffey in the First Committee

7 9 0 1 0 1 4 4 6 3

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 573
Guffey in the First Committee	)	
James V. Guffey	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on December 7, 1978, the Commission determined by a vote of 6-0 to adopt the following recommendations as set forth in the General Counsel's Report dated December 4, 1978, regarding the above-captioned matter:

1. Approve the revised conciliation agreements attached to the above-named report.

2. Send these agreements and proposed letters to the respondents.

Attest:

12/7/78
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary to the Commission

Received in Office of Commission Secretary: 12-4-78, 4:14
Circulated on 48 hour vote basis: 12-5-78, 12:00

7 0 7 1 0 1 4 5 5

December 4, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached General Counsel's Report on MUR 573 distributed to the Commission on a 48 hour tally basis.

Thank you.

7900401044467

RECEIVED
OFFICE OF THE
FEDERAL ELECTION COMMISSION
SECRETARY

BEFORE THE FEDERAL ELECTION COMMISSION

'78 DEC 4 P4:14

In the Matter of	)	
	)	MUR 573
	)	
Guffey in the First Committee	)	
James V. Guffey	)	

GENERAL COUNSEL'S REPORT

I. Background

On July 12, 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee failed to:

(1) file a year-end report in violation of 2 U.S.C. § 434(a)(1)(B);

(2) report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. § 434(b)(9), (10) and (11);

(3) retain adequate documentation for certain of its expenditures in violation of 2 U.S.C. § 432(d);

(4) itemize certain receipts in violation of 2 U.S.C. § 434(b)(2), (3), (4), and (8) and keep records of these receipts in violation of 2 U.S.C. § 432 (c)(1); and

(5) report extinguishment of committee debts in violation of 2 U.S.C. § 434(b)(12).

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The Commission also found reasonable cause to believe that James V. Guffey failed to file Candidate reports upon assumption of his principal campaign committee's debts and obligations in violation of 2 U.S.C. § 434(a) and §434(b)(12) as interpreted by 11 C.F.R. 101.1 and 101.3(c), in addition to finding reasonable cause to believe that neither the respondent committee nor the candidate retained documentation of reported loans to the candidate in violation of 11 C.F.R. 104.12(b)(1).

II. Discussion

The Office of General Counsel has now received and analyzed, with the Audit Division, amended reports, new reports and documentation for expenditures and reported loans. Both the Office of General Counsel and the Audit Division have found that the Guffey in the First Committee and James V. Guffey have brought themselves into material compliance with the matters addressed in our conciliation agreements to them.

The one matter which remains to be resolved is the civil penalty of $500 in each of the conciliation agreements. Both respondents, one of which is an unemployed graduate student, have notified us that these penalties would impose serious financial burdens and have requested that the penalties be substantially reduced.

The Office of General Counsel recommends that because both respondents have brought themselves into material compliance with the Act, the Commission approve the attached, revised conciliation agreements with penalties of $50.

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III. Recommendations

1. Approve the attached revised conciliation agreements.
2. Send these agreements and proposed letters to the respondents.

12/4/78
Date

William C. Oldaker
General Counsel

Attachments:

Letter and Conciliation Agreement for James McDermott
Letter and Conciliation Agreement for James Guffey

7 0 0 1 0 1 4 7 0

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

James T. McDermott
#9, Park View Apartments
Vermillion, S.D. 57069

Re: Mur 573

Dear Mr. McDermott:

Please find enclosed a revised conciliation agreement which has been approved by the Commission.

Upon receipt of your singed copy of this agreement and payment of the required civil penalty, the General Counsel will also sign the agreement. You will be sent a copy of the conciliation agreement showing both signatures and this matter will then be closed.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7 0 2 1 0 1 4 7 1

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.
Watertown, S.D. 57201

Re: MUR 573

Dear Mr. Guffey:

Please find enclosed a revised conciliation agreement which has been approved by the Commission.

Upon receipt of your signed copy of this agreement and payment of the required civil penalty, the General Counsel will also sign the agreement. You will be sent a copy of the conciliation agreement showing both signatures and this matter will be closed.

Thank you for your cooperation.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

7 0 0 1 1 0 3 1 4 7 2

FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS MWE

DATE: OCTOBER 30, 1978

SUBJECT: MUR 573 - Interim Report dated 8-27-78
Received in Office of Commission
Secretary: 10-27-78, 2:24

The above-named document was circulated on a 24 hour no-objection basis at 4:30, October 27, 1978.

The Commission Secretary's Office has received no objections to the Interim Report as of 4:30 this date.

7 8 1 0 3 0 4 4 7 2

October 27, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached Interim Report on MUR 573 distributed to the Commission.

Thank you.

7904010447 4

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

78 OCT 27 P 2: 24

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
James V. Guffey	)	MUR 573
Guffey in the First Committee	)	
	)	

INTERIM REPORT

On July 12, 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee failed to (1) file a year-end report in violation of 2 U.S.C. §434(a)(1)(B); (2) report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C §434(b)(9), (10) and (11); 3) retain adequate documentation for certain of its expenditures in violation of 2 U.S.C. §432(d); 4) itemize certain receipts in violation of 2 U.S.C. §434(b)(2), (3), (4) and (8) and keep records of these receipts in violation of 2 U.S.C. §432(c)(1); and 5) report extinguishment of committee debts in violation of 2 U.S.C §434(b)(12).

The Commission also found reasonable cause to believe that James V. Guffey failed to file Candidate reports upon assumption of his principal campaign committee's debts and obligations in violation of 2 U.S.C. § 434(a) and 434(b)(12) as interpreted by 11 C.F.R. 101.1 and 101.3(c) in addition fo finding reasonable cause to believe that neither the respondent committee nor the candidate retained documentation of reported loans to the candidate in violation of 11 C.F.R. § 104.12(b)(1).

Since the last interim report made by this Office,the Treasurer

of the Guffey in the First Committee has submitted amended reports, new reports and documentation for expenditures which the Office of General Counsel and the Audit Division have examined and found to constitute substantial compliance with the Act by the Committee.

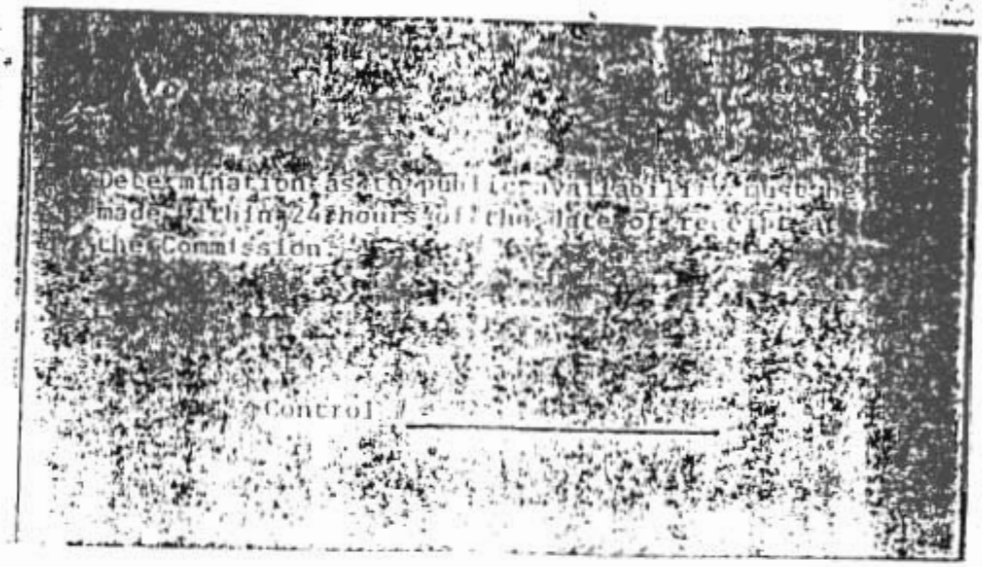
Mr. Guffey has also recently completed his submission of materials which, on preliminary review by this Office, appear to satisfy all of the requests made by both this office and the Audit Division. However, this office is awaiting a thorough examination of the materials by the auditor in charge of the audit of the Guffey in the First Committee. The most recently submitted materials arrived just after this auditor went out of town on an audit and so further action by the Office of General Counsel has been briefly forestalled.

The Office of General Counsel expects to submit a complete report on the status of this matter when analysis of Mr. Guffey's submissions is complete in the near future.

8/21/78
Date

William C. Oldaker
General Counsel

2004704



FEC Form 3a July 1976	REPORT OF A CANDIDATE OR COMMITTEE FOR A CALENDAR QUARTER FILED IN PLACE OF FEC FORM 3 (Exempt from quarterly reporting under 2USC 434 (a)(1)(c))	Identification Number C00 3017

Filing this form does not relieve any committee or candidate of the responsibility to file pre and post election reports, and a year end report (Jan. 31)

Name of Candidate or Committee (in full)

James V. Guffey

Address (number and street)

202 N. Lake Dr.

City, State and ZIP code

Watertown, South Dakota 57201

For the period (check one)

- ☒ April 1 – June 30 (July 10 Report)
- ☐ Jan. 1 – March 31 (April 10 Report)
- ☐ July 1 – Sept. 30 (Oct. 10 Report)

I certify that the above named committee or candidate is exempt from reporting receipts and expenditures for the calendar quarter indicated pursuant to 2 USC 434 (a)(1)(c). I will resume reporting during the next calendar quarter in which the exemption does not apply, and such report will include all receipts and expenditures of this quarter.

Signature of Committee Treasurer or Candidate	Date
James V. Guffey	

FEC Form 3a July 1976	REPORT OF A CANDIDATE OR COMMITTEE FOR A CALENDAR QUARTER FILED IN PLACE OF FEC FORM 3 (Exempt from quarterly reporting under 2USC 434 (a)(1)(c))	Identification Number

Filing this form does not relieve any committee or candidate of the responsibility to file pre and post election reports, and a year end report (Jan. 31)

Name of Candidate or Committee (in full)

JAMES V. GUFFEY

Address (number and street)

202 North Lake Dr.

City, State and ZIP code

Watertown, South Dakota 57201

For the period (check one)

- ☐ April 1 – June 30 (July 10 Report)
- ☐ Jan. 1 – March 31 (April 10 Report)
- ☒ July 1 – Sept. 30 (Oct. 10 Report)

I certify that the above named committee or candidate is exempt from reporting receipts and expenditures for the calendar quarter indicated pursuant to 2 USC 434 (a)(1)(c). I will resume reporting during the next calendar quarter in which the exemption does not apply, and such report will include all receipts and expenditures of this quarter.

Signature of Committee Treasurer or Candidate	Date
James V. Guffey	

GCC# 5212

RECEIVED
FEDERAL ELECTION
COMMISSION

'78 OCT 16 PM 12:21

Associated Management Services

Box 476 -- Yankton, South Dakota 57078

Clare Lindsey
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

807034

Dear Ms. Lindsey:

Herewith is transmitted copies of items in question:

1. Letter from American State Bank outlining events during the campaign.

2. Letter from candidate to committee forgiving the loan.

3. Deposit slips substantiating bank letter.

4. Copies of notes in question.

I will submit a copy of the check for charge off as soon as it clears the bank and is returned to me.

If you need further information please call.

Most sincerely,

JAMES V. GUFFEY
202 North Lake Drive
Watertown, South Dakota

7 0 0 1 0 1 4 7 7

GUFFEY IN THE FIRST COMMITTEE

TO WHOM IT MAY CONCERN:

THIS IS TO INFORM YOU THAT I ASSUME ALL RESPONSIBILITY FOR THE $8300 LOAN MADE TO THE GUFFEY IN THE FIRST COMMITTEE. THIS LETTER IS TO NOTIFY THE COMMITTEE THAT THIS OBLIGATION IS FORGIVEN.

MOST SINCERELY,

JAMES V. GUFFEY
202 North Lake Drive
Watertown, South Dakota

79040104480

P.O. BOX 140 YANKTON, SOUTH DAKOTA 57078 TELEPHONE 605-665-9613

AMERICAN
STATE BANK

October 12, 1978

Mr. James V. Guffey
P. O. Box 476
Yankton, SD 57078

Dear Mr. Guffey:

In answer to your inquiry concerning transactions with the American State Bank, I supply the following information and attached exhibits:

(1) On March 16, 1976, you borrowed $5,000.00 secured by a 1971 Cadillac DeVille. $750.00 was repaid on April 4, 1977, and the balance of $4,250.00 repaid on December 29, 1977. Interest paid on this note at a 8% rate was $671.52.

(2) On March 16, 1977, you borrowed $10,000.00 secured by a Time Certificate of Deposit in the amount of $7,691.44 compounding at 7.5% for 48 months. This was a 9½% note which you repaid May 9, 1977, along with $1,082.74 interest.

(3) In regard to your questions about the Expense Account, I have attached the following documents:

(a) Deposit slip photostat showing credit to James Guffey Campaign Expense Account made from your personal account

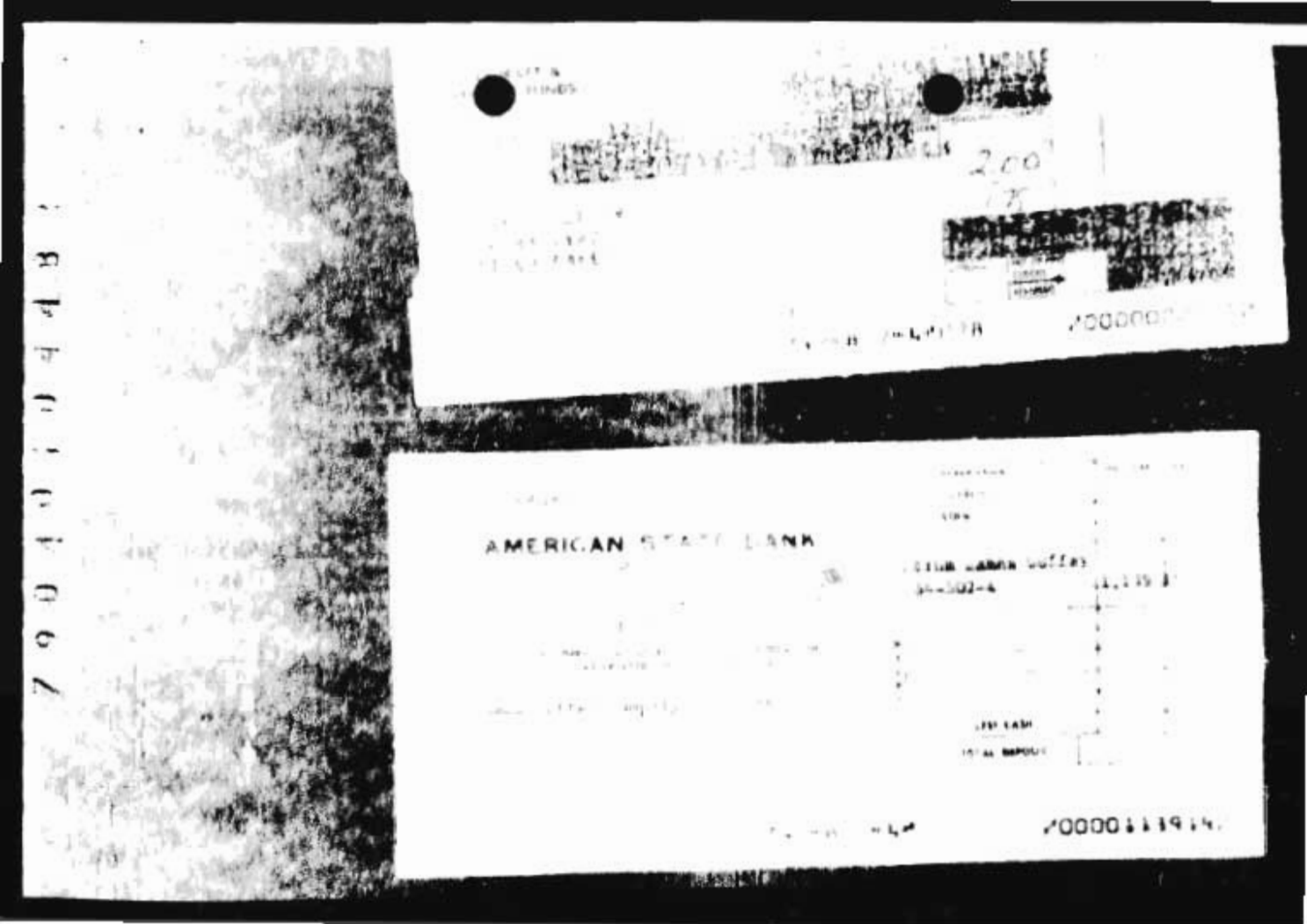
(b) Credit to James Guffey Campaign Expense Account which represents a charge off to bad debts for $74.76 in checks paid and $22.91 overdraft charges. I would appreciate recept of your personal check to cover the total of $97.67.

I hope this answers all of your questions. If we can help in any other way please let me know.

Sincerely yours,

James I. Deam
President

JID:kf
Enclosure


AMERICAN

BANK Yankton South Dakota

10,000.00

AMERICAN STATE BANK, Yankton, South Dakota

DOLLARS

10 per cent per annum after due until

ASSOCIATED MANAGEMENT SERVICES
Box 476
Yankton, South Dakota 57078

CLARE LINDSEY
FEDERAL ELECTION COMMISSION
1325 K Street N.W.
Washington, D.C.

20463

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES FOR A CANDIDATE OR COMMITTEE SUPPORTING CANDIDATE(S) FOR NOMINATION OR ELECTION TO FEDERAL OFFICE

806470

(Except for Candidates or Committees Receiving Federal Matching Funds)

RECEIVED
FEDERAL ELECTION COMMISSION
SEP 25 A 9:51

MUR 573

Note: *Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.*

1 JAMES V. GUFFEY
Name of Candidate or Committee (in full)

2 I.D. No. _____
Candidate/Committee

202 N. Lake Dr.
Address (number and street)

3 U.S. House of Representatives
Office Sought, State/District (if applicable)

Watertown, S.D. 57201
City, State and ZIP Code

☐ Check if address is different than previously reported.

Year of Election _____

4 Type of Report (check appropriate boxes)

☐ April 10 Quarterly Report
☐ July 10 Quarterly Report
☐ October 10 Quarterly Report
☐ January 31 Annual Report
☐ Monthly Report _____ (month)

☐ Tenth day report preceding _____ election (primary, general or convention)
on _____ (date) in the State of _____
☐ Thirtieth day report following _____ election (primary, general or convention)
on _____ (date) in the State of _____

☐ Termination Report
☐ Amendment for: _____ (which report)

This is a report for ☐ Primary Election ☒ General Election ☐ Primary and General ☐ Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period 1/1/77 Through 12/31/77	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 1977		$ 0
7 Cash on hand at beginning of reporting period	$ 0	
8 Total receipts (from line 19)	$ 137.97	$ 137.97
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	$	$
9 Total expenditures (from line 25)	$ 485.40	$ 485.40
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	$	$
11 Value of contributed items on hand to be liquidated (Attach itemized list) $ _____		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	$ 4292.89	4292.89

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

9-15-78
(Date)

_____
(Typed Name of Treasurer or Candidate)

_____
(Signature of Treasurer or Candidate)

Note: *Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441j (see reverse side of form).*

For further information, Contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800/424-9530

Approved by GAO
B-187620 (R0506)
Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD
JAMES V. GUFFEY	FROM: 1/1/77 TO: 12/31/77

RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from Individuals (including contributions in-kind):		
(a) Itemized (use Schedule A)	$ 137.97	137.97
(b) Unitemized	$	
(c) Sales and collections included above: List by event on memo Schedule D ($ _____)		
(d) Subtotal of contributions from individuals	$	$
15. Transfers from Political Committees:		
(a) Funds from affiliated/authorized committee (Itemize on Schedule A regardless of amount)	$	
(b) Funds from other committees (Itemize on Schedule A regardless of amount)	$	
(c) Contributions in-kind from political committees (Itemize on Schedule A regardless of amount)	$	
(d) Subtotal of transfers in and contributions in-kind from political committees	$	$
16. Other Income:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of other income	$	$
17. Loans and Loan Repayments Received:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments received	$	$
18. Refunds, Rebates, Returns of Deposits:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of refunds, rebates, returns of deposits	$	$
19. Total Receipts	$ 137.97	$ 137.97
EXPENDITURES		
20. Operating Expenditures:		
(a) Itemized (use Schedule B)	$	
(b) Unitemized	$	
(c) Subtotal of operating expenditures	$	$
21. Loans, Loan Repayments, and Contribution Refunds Made:		
(a) Itemized (use Schedule B)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments made and contribution refunds	$	$
22. Transfers Out to Political Committees:		
(a) To affiliated/authorized committee (Itemize on Schedule B regardless of amount)	$	
(b) To other committees (Itemize on Schedule B regardless of amount)	$	
(c) Contributions in-kind to other committees (Itemize on Schedule B regardless of amount)	$	
(d) Subtotal of transfers out	$	$
23. Independent Expenditures (use Schedule E)	$	$
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (Itemize on Schedule F)	$	$
25. Total Expenditures	$	$
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	$	
27. Transfers In (from line 15(a))	$	
28. Net Receipts (Subtract line 27 from line 26)	$	
29. Total Expenditures (from line 25)	$	
30. Transfers Out (from line 22(a))	$	
31. Net Expenditures (Subtract line 30 from line 29)	$	

SCHEDULE A
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In-Kind, Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a of FEC FORM 3

Page 1 of 1 for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate or Committee in Full

JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code	Principal Place of Business / Occupation / Aggregate Year-To-Date	Date (month, day, year)	Amount of each Receipt this Period
Governor Harvey Wollman State Capitol Pierre, S.D.	Pierre Occupation: Governor of South Dakota ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $ 137.97		137.97
Receipt for: ☐ Primary ☒ General ☐ Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other			
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation ☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other			
SUBTOTAL of receipts this page (optional)			$
TOTAL this period (last page this line number only)			$

EDULE C
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ral Election Commission
, K Street, N.W.
ington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of 7 for

Line Number

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ball Aero Huron, S.D.		137.97	137.97	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

Paid by Governor Haryvey Wollman

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Vermillion Flying Service Vermillion, S.D.		58.38	58.38	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Discovery Corp. Box 288 Rapid City, S.D.		366.99	366.99	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

See attached check

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary.

EDULE C
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5 K Street, N.W.
ington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full
JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Xerox Corp. 7171 Mercy Road Omaha, Neb.		481.67	481.67	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
IBM Kansas City, Mo.		180.00	180.00	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
See Check

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Tim McDermott 25% Sycamore #9 Vermillion, S.D.		604	0	604
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary.

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:al Election Commission
K Street, N.W.
:ngton, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Line Number

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Jody Severson 722 W. Capital Pierre, S.D.		1796.20	0	1796.20
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
House That Jack Built Box 937 Pierre, S.D.		845.17	500	345.17
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

See Checks

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Imprint 815 West 13th Sioux Falls, S.D.		1170.79	1000.00	170.79
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

See cehecks

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

EDULE C
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K Street, N.W.
ington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Line Number

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
O'Connor Productions 3600 Duluth Sioux Falls, S.D.		1140.59	750.00	390.59
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

See checks

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Courley Distributing 324 N. Main Sioux Falls, S.D.		143.63	143.63	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

See check

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Creative House 214 W. Pleasant Dr. Pierre, S.D.		96.81	96.81	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

See check

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary.

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Line Number ——————

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ari-Gems Productions 1155 15th St. N.W. Washington, D.C.		373.80	0	373.80
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Brown and & Saenger 711 West Russell Sioux Falls, S.D.		349.84	349.84	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

See check

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Media One Box 1172 Sioux FAlls, S.D.		731.11	731.11	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

see check

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary.

EDULE C
ISED
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ral Election Commission
K Street, N.W.
ington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Line Number

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

JAMES V. CUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
S.D. Press Assn. Brookings, S.D.		522.20	0	522.20
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
S.D. State Fair Box 1275 Huron, S.D.		29.00	29.00	0
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

See check

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Northwestern Leasing Corp Box 288 Madison, S.D.		73.64	0	73.64
☐ Primary ☒ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt):

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary.

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS[m]

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 7 of 7 for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full
JAMES V. GUFFEY

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Jerry Prostello Chevrolet 401 S. Egan Madison		16.70	0	16.70
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

SUBTOTALS this period this page (optional)	$	$	$
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 865

5-27 19 77

78-23
914

PAY TO THE ORDER OF Media One

$ 231.11/100

Two hundred thirty one and 11/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

FOR

:0914:00231: :080:3620: 00000231116

MOUNT RUSHMORE

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 650

2-28 19 79

78-23
914

PAY TO THE ORDER OF Watertown Area

$500 00

Five hundred ^{00}/_{100} DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

FOR

:0914:00231: :080:3620: 00000050000

MOUNT RUSHMORE A FOCAL POINT FOR THE UNITED STATES BICENTENNIAL IN 1976

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 630

12-19 19 76

78-23
914

PAY TO THE ORDER OF State Fair

$29 00

Twenty nine ^{00}/_{100} DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

FOR

:0914:00231: :080:3620: 00000002903

MOUNT RUSHMORE A FOCAL POINT FOR THE UNITED STATES BICENTENNIAL IN 1976

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 703

3-25 19 77 78-23 914

PAY TO THE ORDER OF GUFFEY DISTRIBUTING $ 143.63

One hundred forty three and 63/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

For

⑆0914⑆00231⑆ ⑈080⑈3621⑈ ⑈0000014363⑈

MOUNT RUSHMORE

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 702

3-25 1977 78-23 914

PAY TO THE ORDER OF Creative House $ 96.81/100

NINTY SIX AND 00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

For GUFFEY IN THE FIRST

⑆0914⑆00231⑆ ⑈080⑈3621⑈ ⑈0000000968⑈

MOUNT RUSHMORE

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 649

2-28 1977 78-23 914

PAY TO THE ORDER OF Bonan & Singer $ 349 54

Three hundred forty nine & 54/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

For

⑆0914⑆00231⑆ ⑈080⑈3621⑈ ⑈0000034984⑈

MOUNT RUSHMORE - A FOCAL POINT FOR THE UNITED STATES BICENTENNIAL IN 1976

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 706

2-25 1977 78-23/914

PAY TO THE ORDER OF O'Connor Printing $750.00/100

Seven hundred and fifty and 00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

FOR

⑆091400230⑆ ⑈080036 2⑈ ⑈0000075000⑈

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAK. 57201

No 1117

9-9 1977 78-23/914

PAY TO THE ORDER OF Imprint $500.00

Five hundred 00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

FOR

⑆091400230⑆ ⑈080036 2⑈ ⑈0000050000⑈

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No 648

2-28 1977 78-23/914

PAY TO THE ORDER OF Imprint $500.00

Five hundred 00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

FOR

⑆091400230⑆ ⑈080036 2⑈ ⑈0000050000⑈

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No. 704

78-23
914

3-25 19 77

PAY TO THE ORDER OF Discovery Corp. $366.99/100

Three hundred sixty six and 99/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

For

⑆0914⑆0023⑆ ⑈080⑈362⑈ ⑈0000036699⑈

MOUNT RUSHMORE

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No. 705

78-23
914

3-25 19 77

PAY TO THE ORDER OF [illegible] $180.00/100

One hundred eighty and 00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

For GUFFEY IN PLACE TRUST

⑆0914⑆0023⑆ ⑈080⑈362⑈ ⑈0000018000⑈

MOUNT RUSHMORE

JAMES V. GUFFEY OR
KAREN M. GUFFEY
202 NORTH LAKE DRIVE
WATERTOWN, SO. DAKOTA 57201

No. 701

78-23
914

3-25 19 77

PAY TO THE ORDER OF The House That Jack Built $500.00

FIVE HUNDRED AND 00/100 DOLLARS

FIRST NATIONAL BANK
Watertown, South Dakota 57201
BANCO

For

⑆0914⑆0023⑆ ⑈080⑈362⑈ ⑈0000050000⑈

MOUNT RUSHMORE

Sept. 20 AM

s Guffey
N. Lake Dr.
rtown, S.D.
1

CLARE LINDSEY
Federal Election Commission
1325 K Street, N.W.
Washington, D.C.

20463

7 9 0 1 1 0 1 5 7 1

SEP25 A9:51

FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

MEMORANDUM TO CHARLES STEELE

FROM: MARJORIE W. EMMONS MWE

DATE: SEPTEMBER 21, 1978

SUBJECT: MUR 573 - Interim Report dated 9-18-78 Received in Office of Commission Secretary 9-19-78, 10:37

The above-named document was circulated on a 24 hour no-objection basis at 10:00 September 20, 1978.

The Commission Secretary's Office has received no objections to the Interim Report as of 10:15 this date.

7 9 0 4 0 1 3 1 1 1

September 19, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached Interim Report on MUR 573 distributed to the Commission.

Thank you.

7904010450 2

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Guffey in the First Committee	)	MUR 573
	)	
James V. Guffey	)	

INTERIM REPORT

On July 12, 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee failed to: 1) file a year-end report in violation of 2 U.S.C. §434(a)(1)(B); 2) report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. §434(b)(9), (10) and (11); 3) retain adequate documentation for certain of its expenditures in violation of 2 U.S.C. 2 U.S.C. §432(d); 4) itemize certain receipts in violation of 2 U.S.C. §434(b)(2), (3), (4) and (8) and keep records of these receipts in violation of 2 U.S.C. §432(c)(1); and 5) report extinguishment of committee debts in violation of 2 U.S.C. §434(b)(12).

The Commission also found reasonable cause to believe that James V. Guffey failed to file Candidate reports upon assumption of his principal campaign committee's debts and obligations in violation of 2 U.S.C. §434(a) and 434(b)(12) as interpreted by 11 C.F.R. §101.1 and 101.3(c) in addition to finding reasonable cause to believe that neither the respondent committee nor the candidate retained documentation of reported loans to the candidate in violation of 11 C.F.R. §104.12(b)(1).

Conciliation agreements and letters of notification of these findings were mailed to the respondents on July 18, 1978.

The Office of General Counsel has received amended reports, new reports and documentation for expenditures from the Treasurer of the respondent committee. These materials are currently being examined by the auditor who conducted the audit of this committee to establish whether or not these materials bring the committee into compliance.

The Office of General Counsel has been in contact with Mr. Guffey concerning the materials and reports that he is required to submit. He says that he is working on obtaining the information he needs for the reports, however, he has not yet submitted anything to this Office other than a letter stating his intention to cooperate.

A letter has been prepared to send to Mr. Guffey reminding him that should he fail to conciliate with the Commission, suit may be instituted against him.

9/18/78
DATE

William C. Oldaker
General Counsel

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

September 18, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.,
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

This letter is to inform you that the Federal Election Commission is empowered to find probable cause to believe that: 1) you have violated 2 U.S.C. §§434(a) and 434(b)(12) of the Federal Election Campaign Act by failing to file Candidate reports upon assumption of your principal campaign committee's debts and obligations; and (2) that you have violated 11 C.F.R. § 104. 12(b)(1) of the Commission's Regulations by failing to provide information concerning interest paid by you for campaign related loans.

Upon making a finding of probable cause to believe a violation has occurred, the Commission may, under 2 U.S.C. 437g(a)(5)(B), institute a civil suit.

You have been informed of these violations of the Act by our Audit Division in a letter of audit findings dated January 23, 1978. On May 10, 1978 you were informed by the Office of General Counsel that the Commission had found reason to believe that you violated the above named sections of the Act. In a letter dated July 18, 1978, the Office of General COunsel informed you that the Commission had found reasonable cause to believe that you had violated these sections of the Act. A conciliation agreement was enclosed with that letter which further specified the violations in question and and the action to be taken by you to bring yourself into compliance.

523 Lindsay

RETURN RECEIPT REGISTERED, INSURED AND CERTIFIED MAIL

SENDER: Complete items 1, 2, and 3. Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one)
   - Show to whom and date delivered ___ ¢
   - ✓ Show to whom, date, and address of delivery ___ ¢
   - RESTRICTED DELIVERY
     Show to whom and date delivered ___ ¢
   - RESTRICTED DELIVERY
     Show to whom, date, and address of delivery $ ___
   - CONSULT POSTMASTER FOR FEES

2. ARTICLE ADDRESSED TO:
   [illegible]

3. ARTICLE DESCRIPTION
   REGISTERED NO. CERTIFIED NO. INSURED NO.
   943226

(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY
   9-22-77

POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE

CLERK'S INITIALS

☆GPO 1977 - O - 249-595

We advise you to submit within 7 days of receipt of this letter the information we have requested; that is, evidence of interest paid on loans and reports showing receipts and expenditures made by you in connection with campaign related debts and obligations since the time you assumed these debts and obligations from your principal campaign committee.

Failure to provide this material may result in this Office making a recommendation to the Commission that probable cause to believe be found in this connection and that a civil suit be instituted against you.

Both Clare Lindsay of the Office of General Counsel and Craig Russell of the Audit Division are available to assist you, should you so desire.

Sincerely,

William C. Oldaker
General Counsel

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FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

9/18/78

Mr. James V. Guffey
202 N. Lake Dr.,
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

This letter is to inform you that the Federal Election Commission is empowered to find probable cause to believe that: 1) you have violated 2 U.S.C. §§434(a) and 434(b)(12) of the Federal Election Campaign Act by failing to file Candidate reports upon assumption of your principal campaign committee's debts and obligations; and (2) that you have violated 11 C.F.R. § 104. 12(b)(1) of the Commission's Regulations by failing to provide information concerning interest paid by you for campaign related loans.

Upon making a finding of probable cause to believe a violation has occurred, the Commission may, under 2 U.S.C. 437g(a)(5)(B), institute a civil suit.

You have been informed of these violations of the Act by our Audit Division in a letter of audit findings dated January 23, 1978. On May 10, 1978 you were informed by the Office of General Counsel that the Commission had found reason to believe that you violated the above named sections of the Act. In a letter dated July 18, 1978, the Office of General COunsel informed you that the Commission had found reasonable cause to believe that you had violated these sections of the Act. A conciliation agreement was enclosed with that letter which further specified the violations in question and and the action to be taken by you to bring yourself into compliance.

We advise you to submit within 7 days of receipt of this letter the information we have requested; that is, evidence of interest paid on loans and reports showing receipts and expenditures made by you in connection with campaign related debts and obligations since the time you assumed these debts and obligations from your principal campaign committee.

Failure to provide this material will result in this Office making a recommendation to the Commission that probable cause to believe be found in this connection and that a civil suit be instituted against you.

Both Clare Lindsay of the Office of General Counsel and Craig Russell of the Audit Division are available to assist you, should you so desire.

Sincerely,

/s/

William C. Oldaker
General Counsel

7 9 0 1 1 0 1 5 0 1

cl 9/15

7904010451 0

573

8/29/79

Ms. Clara Lindsey
Federal Election Commission
1325 K Street N. W.
Washington, D. C.

Dear Ms. Lindsey,

This letter is to notify you of my compliance with your request for response to the audit of the Duffy in the First Committee records. This has been done to the best of my ability and I believe is accurate and will be found acceptable to yourself and Mr. Curtis Russell.

Additionally, I wish this letter to serve notice of my resignation as the Treasurer of the Duffy in the First Committee to both you, the Federal Election Commission, and the candidate Mr. James V. Duffy of 2252 N. Lake Dr., Bakersfield, S. D. I wish this resignation to be effective at the date of my final report to you.

Thankyou.

Sincerely,

Tim McDermott

Tim McDermott

Tim McDermott
9 Parkview
Vermillion, S. D. 57069

Ms. Clare Lindsay
Federal Election Commission
1325 K Street N. W.
Washington, D. C.

SEP 5 P1:14

4734

FEC FORM 3
REVISED
January, 1973
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES FOR A CANDIDATE OR COMMITTEE SUPPORTING CANDIDATE(S) FOR NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

[redacted] FEDERAL ELECTION

'78 SEP 1 AM 11:43

Note: *Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.*

805876

1 Guffey in the First Committee
Name of Candidate or Committee (in full)

2 I.D. No. 057223
Candidate/Committee

202 N. Lake Dr.
Address (number and street)

3 U. S. House of Representatives
Office Sought, State/District (if applicable)

Watertown, S. D.
City, State and ZIP Code

☐ Check if address is different than previously reported.

Year of Election 1976

4 Type of Report (check appropriate boxes)

☐ April 10 Quarterly Report
☐ July 10 Quarterly Report
☐ October 10 Quarterly Report
☐ January 31 Annual Report
☐ Monthly Report _____ (month)

☐ Tenth day report preceding _____ election (primary, general or convention)
on _____ (date) in the State of _____
☐ Thirtieth day report following _____ election (primary, general or convention)
on _____ (date) in the State of _____

☒ Termination Report
☐ Amendment for: _____ (which report)

This is a report for ☐ Primary Election ☐ General Election ☐ Primary and General ☐ Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES

(Figures may be rounded to nearest dollar.)

5 Covering Period 1/1/77 Through 12/31/77

	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 19		$ 459.13
7 Cash on hand at beginning of reporting period	$ 459.13	
8 Total receipts (from line 19)	$ 0	$
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	$ 459.13	$ 459.13
9 Total expenditures (from line 25)	$ 459.13	$ 459.13
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	$ 0	$ 0
11 Value of contributed items on hand to be liquidated (Attach itemized list) $ _____		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	$ 16,377.03	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

8/28/78 (Date)

J. Timothy McDermott (Typed Name of Treasurer or Candidate)

J. McDermott (Signature of Treasurer or Candidate)

Note: *Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441j (see reverse side of form).*

For further information, Contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800/424-9530

Approved by GAO
B-187620 (R0506)
Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD FROM:	TO:
Guffey in the First Committee	1/1/77	12/28/78

RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from Individuals (including contributions in-kind):		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Sales and collections included above: List by event on memo Schedule D ($ _____)		
(d) Subtotal of contributions from individuals	$	$
15. Transfers from Political Committees:		
(a) Funds from affiliated/authorized committee (Itemize on Schedule A regardless of amount)	$	
(b) Funds from other committees (Itemize on Schedule A regardless of amount)	$	
(c) Contributions in-kind from political committees (Itemize on Schedule A regardless of amount)	$	
(d) Subtotal of transfers in and contributions in-kind from political committees	$	$
16. Other Income:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of other income	$	$
17. Loans and Loan Repayments Received:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments received	$	$
18. Refunds, Rebates, Returns of Deposits:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of refunds, rebates, returns of deposits	$	$
19. Total Receipts	$ 0	$ 0
EXPENDITURES		
20. Operating Expenditures:		
(a) Itemized (use Schedule B)	$ 150.00	
(b) Unitemized	$ 109.34	
(c) Subtotal of operating expenditures	$ 259.34	$ 259.34
21. Loans, Loan Repayments, and Contribution Refunds Made:		
(a) Itemized (use Schedule B)	$ 199.97	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments made and contribution refunds	$	$
22. Transfers Out to Political Committees:		
(a) To affiliated/authorized committee (Itemize on Schedule B regardless of amount)	$	
(b) To other committees (Itemize on Schedule B regardless of amount)	$	
(c) Contributions in-kind to other committees (Itemize on Schedule B regardless of amount)	$	
(d) Subtotal of transfers out	$	$
23. Independent Expenditures (use Schedule E)	$	$
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (Itemize on Schedule F)	$ 459.13	$ 459.13
25. Total Expenditures	$	$
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	$	
27. Transfers In (from line 15(a))	$	
28. Net Receipts (Subtract line 27 from line 26)	$	
29. Total Expenditures (from line 25)	$	
30. Transfers Out (from line 22(a))	$	
31. Net Expenditures (Subtract line 30 from line 29)	$	

Schedule B
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Itemized Expenditures
Campaign Fundraising, Loans, and Transfers for Lines 20, 22, 23, and/or 24 of FEC Form 3

(see Instructions on back)

Page ____ of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full

Guffey in the First Committee

Full Name, mailing address and ZIP code	Purpose of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Discovery Corp. P. O. Box 288 Rapid City, S. D.	payment of campaign pins and stickers	1/11/77	150.00
Subtotal of expenditures this page (optional). . . . . ▶			$
Total this period (last page this line number only). . . . . ▶			$ 150.00

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full: [illegible] for Congress Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
James I Bagley 202 W. Lake Dr. Watertown, S.D.	12/31/76	$ 85.00	$ 0	$ 85.00
( ) Primary ( ) General (X) Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
The 85.00 is a total of 3 loans made by the candidate to his own campaign.
9/9/76 = 35.00
6/15/76 = 20.00
8/2/76 = 30.00

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Tim McDermott #9 Parkview Watertown, S.D.	12/31/76	$ 2420.85	$ 2911.85	$ 604.00
( ) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by myself on behalf of the campaign. They include an agreed upon house payment, food and gasoline expenses.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Jodi Severson 922 W Capitol Pierre, S.D. 57501	12/31/76	$ 5813.22	$ 4022.00	$ 1791.22
( ) Primary ( ) General ( ) Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by Severson on behalf of the campaign. They include travel, food, house payments, etc. & all of Seversons statements are included.

SUBTOTALS this period this page (optional)	$	$	$ 10,000.22
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 5 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
SD Press Service Brookings, SD	7/26/76 thru 7/27/76	$ 522.50	$ 0	$ 522.50
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)

Placement of campaign ads in weekly newspapers.
Statement enclosed.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
The House that Jill Built Box 937 Pierre SD 57501	11/2/76	$ 1390.37	$ 454.20	$ 845.17
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)

Statement enclosed. Buttons, bumper strips

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Imprint 815 W. 13th Sioux Falls, SD 57104	10/14/76	$ 1363.40	$ 400.00	$ 963.40
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)

For printing, photographs, & printing materials.
Invoice included.

SUBTOTALS this period this page (optional)	$	$	$ 2330.57
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

1

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
O'Gorman Productions 3600 S. Dakota Sioux Falls, S.D.		[illegible]	943.76	1195.72
☐ Primary ☐ General ☐ Other		$ 2143.76	$	$

NATURE OF OBLIGATION (Details of Debt)
Printing, statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Stanley Distributing 324 N. Main Sioux Falls, S.D.		16.44	0	16.44
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
Office supplies, Statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Creative Printers 214 W. Pleasant Dr. Pierre, S.D.	4/22/76 thru 10/20/76	[illegible]	465.46	46.21
☐ Primary ☐ General ☐ Other		$ 512.67	$	$

NATURE OF OBLIGATION (Details of Debt)
Type setting negatives & printing material

SUBTOTALS this period this page (optional)	$	$	$ 1302.25
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ace - Hess Productions 1153 15th St. N.W. Washington, DC	6/15/76	$ 373.00	$	$ 373.00
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
Video Recording + materials
Billing included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Brown & Saenger 711 W. Russell Sioux Falls, S.D.	11/23/76	$ 530.23	$ 180.39	$ 349.84
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
Office supplies + printing materials
billing enclosed

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Bob Nero Sturgis S.D.		137.77	0	137.77
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
Air transport

SUBTOTALS this period this page (optional)	$	$	$ 861.61
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

Schedule C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations for Line Numbers 26 and/or 27 of FEC Form 3

(see Instructions on back)

Page 5 of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full		Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance Close of This Period
Full Name, mailing address and ZIP code, and nature of obligation Vermillion Flying Service Vermillion, S.D.	Date (month, day, year)	$ 53.38	$ 0	$ 53.38
Full Name, mailing address and ZIP code, and nature of obligation Discovery Comp. Box 258 Rapid City, S.D.	Date (month, day, year)	$ 516.99	$ 150.00	$ 366.99
Full Name, mailing address and ZIP code, and nature of obligation Xerox 3171 Mercy Rd. Omaha, Neb.	Date (month, day, year)	$ 371.23	$	$ 371.23
Full Name, mailing address and ZIP code, and nature of obligation EB 44 Kansas City, Mo	Date (month, day, year)	$ 210.00	$ 30.00	$ 180.00
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Subtotals this period this page (optional) ▶		$	$	$ 976.30
Total this period (last page this line number only) ▶		$	$	$
Carry outstanding balance only, to appropriate line of summary.				16 377.3

Schedule 6C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations

(see Instructions on back)

Page ____ of ____ Pages

Name of Candidate or Committee in full

Guffey in the First Committee

Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ball Aero Huron , S. D.		$ 137.97	$ 137.97	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Vermillion Flying Service Vermillion, S. D.		$ 58.38	$ 58.38	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Discovery Corp. Box 288 Rapid City, S. D.		$ 516.99	$ 150.00 366.99	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Xerox Corp. 7171 Mercy Rd. Omaha, Neb.		$ 371.23	$ 371.23	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Subtotals this period this page ▶		$	$	$
Total this period (last page this line number) ▶		$	$	$*

*Carry outstanding balance only to appropriate line of summary.

Schedule 6C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations

(see Instructions on back)

Page ____ of ____ Pages

Name of Candidate or Committee in full

Guffey in the First Committee

Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
IBM Kansas City, Mo.		$ 210.00	$ 30.00 180.00	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Full Name, mailing address and ZIP code	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code	Date (month, day, year)	$	$	$
Subtotals this period this page ▶		$	$	$
Total this period (last page this line number) ▶		$	$	$*

*Carry outstanding balance only to appropriate line of summary.

Schedule C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations for
Line Numbers 26 and/or 27 of FEC Form 3

(see Instructions on back)

Page ____ of ____ for
LINE NUMBER ____
(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full Guffey in the First Committee		Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Full Name, mailing address and ZIP code, and nature of obligation James V. Guffey 202 N. Lake Dr. Watertown, S. D.	Date (month, day, year) 12/31/76	$ 8500.00	$ 8300.21 199 79	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Full Name, mailing address and ZIP code, and nature of obligation Tim McDermott # 9 Parkview Vermillion, S. D.	Date (month, day, year) 12/31/76	$ 2920.88	$ 2316.88 604.00	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation Jody Severson 722 W. Capital Pierre, S. D.	Date (month, day, year) 12/31/76	$ 5818.22	$ 4022.02 1796.20	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Subtotals this period this page (optional) ▶		$	$	$
Total this period (last page this line number only) ▶		$	$	$

Carry outstanding balance only, to appropriate line of summary.

Schedule C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations for
Line Numbers 26 and/or 27 of FEC Form 3

(see Instructions on back)

Page ____ of ____ for
LINE NUMBER ____
(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full: Guffey in the First Committee		Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Full Name, mailing address and ZIP code, and nature of obligation S. D. Press Service Brookings, S. D.	Date (month, day, year) 7/14/76 thru 7/21/76	$ 522.00	$ 522.00	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Full Name, mailing address and ZIP code, and nature of obligation The House That Jack Built P.O. Box 937 Pierre, S. D. 57501	Date (month, day, year) 11/10/76	$ 1390.37	$ 454.20 845.17	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Full Name, mailing address and ZIP code, and nature of obligation Imprint 815 W. 13 Sioux Falls, S. D. 57104	Date (month, day, year) 10/14/76	$ 1363.40	$ 400.00 963.40	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Full Name, mailing address and ZIP code, and nature of obligation O'Conner Productions 3600 S. Duluth Sioux Falls, S. D.	Date (month, day, year)	$ 2143.74	$ 948.76 1195.00	$ 0
[illegible]	[illegible]	$	$	$
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.				
Subtotals this period this page (optional) ▶		$	$	$
Total this period (last page this line number only) ▶		$	$	$

Carry outstanding balance only, to appropriate line of summary.

Schedule 6C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations

(see Instructions on back)

Page _____ of _____ Pages

Name of Candidate or Committee in full

Guffey in the First Committee

Full Name, mailing address and ZIP code	Date (month, day, year)	Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Gourley Distributing 324 N. Main Sioux Falls, S. D.		$ 16.44	$ 16.44	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Creative House 214 W. Pleasant Dr. Pierre, S. D.	9/22/76 thru 10/6/76	$ 582.27	$ 485.46 96.81	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Ari - Gem Productions 1155 15th St. N. W. Washington, D. C.	6/18/76	$ 373.60	$ 373.60	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Guffey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Brown and Saenger 711 W. Russell Sioux Falls, S. D.	11/23/76	$ 530.26	$ 180.39 349.84	$ 0
This loan to the committee is forgiven by the candidate. The balance of this loan as of 1/1/77 is assumed by the candidate James V. Gudfey of 202 N. Lake Dr. Watertown, S. D.		$	$	$
Subtotals this period this page ▶		$	$	$
Total this period (last page this line number) ▶		$	$	$*

*Carry outstanding balance only to appropriate line of summary.

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES FOR A CANDIDATE OR COMMITTEE SUPPORTING CANDIDATE(S) FOR NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

Note: *Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.*

1 Guffey in the First Committee
Name of Candidate or Committee (in full)

2 I.D. No. 057223
Candidate/Committee

202 N. Lake Dr.
Address (number and street)

3 U. S. House of Representatives
Office Sought, State/District (if applicable)

Watertown, S. D.
City, State and ZIP Code

☐ Check if address is different than previously reported.

Year of Election 1976

4 Type of Report (check appropriate boxes)

☐ April 10 Quarterly Report
☐ July 10 Quarterly Report
☐ October 10 Quarterly Report
☐ January 31 Annual Report
☐ Monthly Report _____ (month)

☐ Tenth day report preceding _____ election (primary, general or convention)
on _____ (date) in the State of _____
☐ Thirtieth day report following _____ election (primary, general or convention)
on _____ (date) in the State of _____

☐ Termination Report
☒ Amendment for: 4/1/76 - 11/22/7 (which report)

This is a report for ☐ Primary Election ☐ General Election ☐ Primary and General ☐ Other (special, runoff, etc.)

Comprehensive Ammendment

SUMMARY OF RECEIPTS AND EXPENDITURES

(Figures may be rounded to nearest dollar.)

Adjustments

5 Covering Period 4/1/76 Through 11/22/76

	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 19		$ 0
7 Cash on hand at beginning of reporting period	$ 2306.71	
8 Total receipts (from line 19)	$ 1599.19	$ 43,927.37
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	$ 3905.90	$ 43,927.37
9 Total expenditures (from line 25)	$ 3936.30	$ 43,957.77
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	$ (30.40)	$ (30.40)
11 Value of contributed items on hand to be liquidated (Attach itemized list) $ _____		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	$ 16,377.03	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

8/28/77
(Date)

J. Timothy McDermott
(Typed Name of Treasurer or Candidate)

J. T. McDermott
(Signature of Treasurer or Candidate)

Note: *Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441j (see reverse side of form).*

For further information, Contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800/424-9530

Approved by GAO
B-187620 (R0506)
Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD
Guffey in the First Committee	FROM: 4/1/76 TO: 11/22/76

RECEIPTS Comprehensive Ammendment - Adjustments	Column A This Period	Column B Calender Year-To-Date
14. Contributions from Individuals (including contributions in-kind):		
(a) Itemized (use Schedule A)	$ 460.00	
(b) Unitemized	$	
(c) Sales and collections included above: List by event on memo Schedule D ($ _____)		
(d) Subtotal of contributions from individuals	$ 460.00	$ 30,239.30
15. Transfers from Political Committees:		
(a) Funds from affiliated/authorized committee (Itemize on Schedule A regardless of amount)	$	
(b) Funds from other committees (Itemize on Schedule A regardless of amount)	$	
(c) Contributions in-kind from political committees (Itemize on Schedule A regardless of amount)	$	
(d) Subtotal of transfers in and contributions in-kind from political committees	$	$ 12,548.88
16. Other Income:		
(a) Itemized (use Schedule A)	$ 1,139.19	
(b) Unitemized	$	
(c) Subtotal of other income	$ 1,139.19	$ 1,139.19
17. Loans and Loan Repayments Received:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments received	$	$
18. Refunds, Rebates, Returns of Deposits:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of refunds, rebates, returns of deposits	$	$
19. Total Receipts	$ 1,599.19	$ 43,927.37
EXPENDITURES		
20. Operating Expenditures:		
(a) Itemized (use Schedule B)	$ 1,807.16	
(b) Unitemized	$ 1,129.14	
(c) Subtotal of operating expenditures	$ 3,936.30	$ 43,957.77
21. Loans, Loan Repayments, and Contribution Refunds Made:		
(a) Itemized (use Schedule B)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments made and contribution refunds	$	$
22. Transfers Out to Political Committees:		
(a) To affiliated/authorized committee (Itemize on Schedule B regardless of amount)	$	
(b) To other committees (Itemize on Schedule B regardless of amount)	$	
(c) Contributions in-kind to other committees (Itemize on Schedule B regardless of amount)	$	
(d) Subtotal of transfers out	$	$
23. Independent Expenditures (use Schedule E)	$	$
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (Itemize on Schedule F)	$	$
25. Total Expenditures	$ 3,936.30	$ 43,957.77
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	$	
27. Transfers In (from line 15(a))	$	
28. Net Receipts (Subtract line 27 from line 26)	$	
29. Total Expenditures (from line 25)	$	
30. Transfers Out (from line 22(a))	$	
31. Net Expenditures (Subtract line 30 from line 29)	$	

SCHEDULE A
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

ITEMIZED RECEIPTS

(Contributions, Transfers, Contribution In-Kind, Other Income, Loans, Refunds)

Supporting Lines 14a, 15a, 15b, 15c, 16a, 17a, and/or 18a of FEC FORM 3

Page _____ of _____ for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate or Committee in Full

Guffey in the First Committee

Full Name, Mailing Address and ZIP Code	Principal Place of Business / Occupation / Check if Contributor is self-employed / Aggregate Year-To-Date	Date (month, day, year)	Amount of each Receipt this Period
This deposit was to the candadite expense account for the amount of 1,139.19 on 9/14/76. Candadite Guffey can provide information as to its scource.	Occupation	9/14/76	1,139.19
Receipt for: ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
Full Name, Mailing Address and ZIP Code	Principal Place of Business Occupation	Date (month, day, year)	Amount of each Receipt this Period
Receipt for: ☐ Primary ☐ General ☐ Other	☐ Check if Contributor is self-employed Aggregate Year-To-Date . . . $		
SUBTOTAL of receipts this page (optional)			$
TOTAL this period (last page this line number only)			$ 1,139.19

SCHEDULE C
REVISED
January, 1979
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full: [illegible]

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
James I Bugbee 202 N. Lake Dr. Watertown, S.D.	12/31/76	$500.00	0	[illegible]
( ) Primary ( ) General ( ) Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
The $500 is a total of 3 loans made by the candidate to his own campaign.
6/1/76 = 350.00
6/15/76 = 2000.00
8/2/76 = 3000.00

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Tim McDermott #9 Parkview Watertown, S.D.	12/31/76	[redacted]	[redacted]	604.00
( ) Primary ( ) General ( ) Other		$ 2420.85	$ 2911.85	$

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by myself on behalf of the campaign. They include an agreed upon house payment, food and gasoline expenses.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Jody Severson 922 W Capitol Pierre, S.D. 57501	12/31/76	5815.22	4022.00	[illegible]
( ) Primary ( ) General ( ) Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by Severson on behalf of the campaign. They include travel, food, house payments, etc. A list of Severson's statements are included.

SUBTOTALS this period this page (optional)	$	$	$ 12,000.22
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street N.W.
Washington D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
S.D. Press Service Harrisburg, S.D.	7-2-76 thru	$ 532.50	$ 0	$ 532.50
☐ Primary ☐ General ☐ Other	7-21-76	$	$	$

NATURE OF OBLIGATION (Details of Debt)
Placement of campaign ads in weekly newspapers.
Statement enclosed.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
The House that Jack Built Box 937 Pierre S.D. 57501	11-1-76	$ 1370.37	$ 424.20	$ 945.17
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
Statement enclosed. Buttons, bumper strips

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Imprint 915 W. 15th Sioux Falls, S.D. 57104	10/4/76	$ 1363.40	$ 400.00	$ 963.40
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
For printing, photographic & printing materials.
Invoice included.

SUBTOTALS this period this page (optional)	$	$	$ 2330.57
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street N.W.
Washington, D.C. 20463

5

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
O'Gorman Productions 3600 S. Dakota Sioux Falls, SD		[redacted]	948.76	1143.76
☐ Primary ☐ General ☐ Other		$ 2143.76	$	$

NATURE OF OBLIGATION (Details of Debt): Printing, statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Hanley Distributing 324 N. Main Sioux Falls, SD		16.44	0	16.44
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt): Office supplies, Statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Creative Harvey 214 W. Pleasant Dr. Pierre, SD	9/22/76 thru 10/2/76	[redacted] $ 582.27	465.46	46.81
☐ Primary ☐ General ☐ Other			$	$

NATURE OF OBLIGATION (Details of Debt): Type setting negatives + printing material

SUBTOTALS this period this page (optional)	$	$	$ 1308.25
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

Commission
W
C 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page ___ of ___ for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ken - Dean Productions 1155 15th St. N.W. Washington, DC	4/6/76	373.60		373.60
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Video Recording + materials
Billing included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Brown & Saenger 711 W Russell Sioux Falls, SD	11/23/76	$ 530.23	180.39	349.84
☐ Primary ☐ General ☐ Other			$	$

NATURE OF OBLIGATION (Details of Debt)

Office supplies + printing materials
billing enclosed

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Bell Aero Akron, O.		137.97	0	137.97
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Air transport

SUBTOTALS this period this page (optional)	$	$	$ 861.41
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

Schedule C
(July 1976)
Federal Election Commission
325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations for Line Numbers 26 and/or 27 of FEC Form 3

(see instructions on back)

Page 5 of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full		Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Full Name, mailing address and ZIP code, and nature of obligation Vermillion Flying Service Vermillion, S.D.	Date (month, day, year)	$ 57.38	$ 0	$ 57.38
Full Name, mailing address and ZIP code, and nature of obligation Discount Corp. Box 258 Rapid City, S.D.	Date (month, day, year)	$ 516.99	$ 150.00	$ 366.99
Full Name, mailing address and ZIP code, and nature of obligation Xerox 2171 Jefferson Rd. Omaha, Neb.	Date (month, day, year)	$ 371.23	$	$ 371.23
Full Name, mailing address and ZIP code, and nature of obligation IBM Kansas City, Mo.	Date (month, day, year)	$ 210.00	$ 30.00	$ 180.00
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Subtotals this period this page (optional) ▶		$	$	$ 976.60
Total this period (last page this line number only) ▶		$	$	$
Carry outstanding balance only, to appropriate line of summary.				16 377.

Schedule B
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Itemized Expenditures
Campaign Fundraising, Loans, and Transfers for Lines 20, 22, 23, and/or 24 of FEC Form 3

(see Instructions on back)

Page ____ of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full

Guffey in the First Committee

Full Name, mailing address and ZIP code	Purpose of Expenditure	Date (month, day, year)	Amount of each expenditure this period
North Central Airlines Yankton Regional Airport Yankton, S. D.	airline transport	8/20/76	40.00
North Central Airlines location of these two purchases cannot be identified from the canceled checks.	airline transport	6/6/76 8/12/76	346.00 67.00
Gulf Oil Corp. location of these two purchases cannot be identified from the canceled checks.	to purchase fuel for auto	9/15/76	115.01
Dupont Plaza Washington, D. C.	room rent for Guffey & Severson	6/12/76	290.36
O'Conner Productions. Inc. 3600 S. M Duluth Sioux Falls, S. D.	payment of printing supplies and paper	5/13/76	348.76
Tim McDermott Pierre, S. D.	reimbursement of out of pocket expenses on behalf of campaign	6/30/76	20.0
Roxanne J. Bown Brookings, S. D.	no doubt for services rendered	9/2/76	38.7

Subtotal of expenditures this page (optional) ▶	$ 12[illegible]
Total this period (last page this line number only) ▶	$

Schedule B
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Itemized Expenditures
Campaign Fundraising, Loans, and Transfers
for Lines 20, 22, 23, and/or 24 of FEC Form 3

(see Instructions on back)

Page ____ of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full

Guffey in the First Committee

Full Name, mailing address and ZIP code	Purpose of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Western Airlines Joe Foss Field Sioux Falls, S. D.	airline transport	5/18/76 6/4/76 6/15/76	68.00 68.00 34.00
Western Airlines Pierre Regional Airport Pierre, S. D.	airline transport	5/15/76 8/12/76	68.00 34.00
Super 8 Motel 2405 6th St. S.E. Aberdeen, S. D.	motel rent	8/21/76 9/16/76	15.06 11.17
Super 8 Motel Russell St. Sioux Falls, S. D.	motel rent	8/26/76 9/1/76	63.81 31.61
		9/11/76 9/15/76 9/20/76 9/23/76 10/12/76	20.94 21.34 31.61 10.47 12.81
Super 8 Motel RR Route 4 Box 36 Yankton, S. D.	motel room rent	10/1/76	15.10
Mobil Oil Corp. location of these two purchases cannot be identified from the canceled checks.	to purchase fuel for auto	5/26/76	35.80

Subtotal of expenditures this page (optional) ▶	$ 541.91
Total this period (last page this line number only) ▶	$ 1807.6

Schedule A
January 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Itemized Receipts, Contributions, Ticket Purchases, Loans, Rebates, and Transfers for Line Numbers 15, 16, 17 and/or 18 of FEC Form 3

(see Instructions on back)

Page 1 of _____ for

LINE NUMBER _____

(Use separate schedule(s) for each numbered line).

Name of Candidate or Committee in full

Guffey in the First Committee

1/1/76 to 3/31/76

Full Name, mailing address and ZIP code	Principal place of business	Occupation / Check if Contributor is self-employed / Aggregate Year-to-Date	Date (month, day, year)	Amount of each receipt this period
Lloyd Alexander Clark, S. Dak. 57225		Farmer ☒ Check if Contributor is self-employed Aggregate Year-to-Date ▶ $	3/27/76	100.00
Russell Peterson Clear Lake, S. Dak. 57226		Farmer ☒ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	50.00
Mrs. Boyd Guffey Clark, S. Dak. 57225	Northwerstern Public Service Clark, S. Dak.	District clerk NW Public Service ☐ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	100.00
Mr. Vern Guffey Clark, S. Dak. 57225		Retired ☐ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/31/76	100.00
Mr. & Mrs. Carroll Krantz Bellingham, Minn. 56212	Bellingham, Minn.	Plumbing contractor ☒ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	100.00
Randy Renken 1603 S. First Souix Falls, S. Dak. 57103	Nat. Bank of South Dakota Sioux Falls, S. Dak.	Banker ☐ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	10.00

Subtotal of receipts this page (optional) ▶ $ 460.00

Total this period (last page this line number only) ▶ $

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES FOR A CANDIDATE OR COMMITTEE SUPPORTING CANDIDATE(S) FOR NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

Note: *Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.*

1 Guffey in the First Committee
Name of Candidate or Committee (in full)

2 I.D. No. 057223
Candidate/Committee

202 N. Lake Dr.
Address (number and street)

3 U. S. House of Representatives
Office Sought, State/District (if applicable)

Watertown, S. D.
City, State and ZIP Code

☐ Check if address is different than previously reported.

Year of Election 1976

4 Type of Report (check appropriate boxes)

☐ April 10 Quarterly Report
☐ July 10 Quarterly Report
☐ October 10 Quarterly Report
☐ January 31 Annual Report
☐ Monthly Report _____ (month)

☐ Tenth day report preceding _____ election (primary, general or convention) on _____ (date) in the State of _____
☐ Thirtieth day report following _____ election (primary, general or convention) on _____ (date) in the State of _____

☐ Termination Report
☐ Amendment for: year end 1976 (which report)

This is a report for ☐ Primary Election ☐ General Election ☐ Primary and General ☐ Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES
(Figures may be rounded to nearest dollar.)

5 Covering Period 11/23/76 Through 12/31/76

	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 19		$ 0
7 Cash on hand at beginning of reporting period	$ (30.40)	
8 Total receipts (from line 19)	$ 489.50	$ 44,416.90
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	$ 459.13	$
9 Total expenditures (from line 25)	$	$ 43,957.77
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	$ 459.13	$ 459.13
11 Value of contributed items on hand to be liquidated (Attach itemized list) $ _____		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	$	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	$ 16,377.03	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

8/28/78
(Date)

J. Timothy McDermott
(Typed Name of Treasurer or Candidate)

(Signature of Treasurer or Candidate)

Note: *Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441j (see reverse side of form).*

For further information, Contact:

Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

or call 800/424-9530

Approved by GAO
B-187620 (R0506)
Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD
Guffey in the First Committee	FROM: 11/23/76 TO: 12/31/76

RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from Individuals (including contributions in-kind):		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Sales and collections included above. List by event on memo Schedule D ($ _____)		
(d) Subtotal of contributions from individuals	$	$
15. Transfers from Political Committees:		
(a) Funds from affiliated/authorized committee (itemize on Schedule A regardless of amount)	$	
(b) Funds from other committees (itemize on Schedule A regardless of amount)	$	
(c) Contributions in-kind from political committees (itemize on Schedule A regardless of amount)	$	
(d) Subtotal of transfers in and contributions in-kind from political committees	$	$
16. Other Income		
(a) Itemized (use Schedule A)	$ 97.67	
(b) Unitemized	$	
(c) Subtotal of other income	$	$
17. Loans and Loan Repayments Received:		
(a) Itemized (use Schedule A)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments received	$	$
18. Refunds, Rebates, Returns of Deposits		
(a) Itemized (use Schedule A)	$ 391.86	
(b) Unitemized	$	
(c) Subtotal of refunds, rebates, returns of deposits	$ 489.53	$ 44,416.90
19. Total Receipts	$	$
EXPENDITURES		
20. Operating Expenditures:		
(a) Itemized (use Schedule B)	$	
(b) Unitemized	$	
(c) Subtotal of operating expenditures	$	$
21. Loans, Loan Repayments, and Contribution Refunds Made		
(a) Itemized (use Schedule B)	$	
(b) Unitemized	$	
(c) Subtotal of loans and loan repayments made and contribution refunds	$	$
22. Transfers Out to Political Committees		
(a) To affiliated/authorized committee (itemize on Schedule B regardless of amount)	$	
(b) To other committees (itemize on Schedule B regardless of amount)	$	
(c) Contributions in-kind to other committees (itemize on Schedule B regardless of amount)	$	
(d) Subtotal of transfers out	$	$
23. Independent Expenditures (use Schedule E)	$	$
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (itemize on Schedule F)	$	$
25. Total Expenditures	$ 0	$ 43,957.77
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	$	
27. Transfers In (from line 15(a))	$	
28. Net Receipts (Subtract line 27 from line 26)	$	
29. Total Expenditures (from line 25)	$	
30. Transfers Out (from line 22(a))	$	
31. Net Expenditures (Subtract line 30 from line 29)	$ 0	

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full
Citizens for the First Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
James I Bugley 202 N. Lake Dr. Watertown, S.D.	12/31/76	$ 65.00.00	$ 0	$ 65.00.00
( ) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
The $65.00 is a total of 3 loans made by the candidate to his own campaign.
6/9/76 = 35.00.00
6/15/76 = 20.00.00
8/26/76 = 3000.00

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Tom McDermott #9 Parkview Watertown, S.D.	12/31/76	$ 2920.88	$ 2916.88	$ 4.00
( ) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by myself on behalf of the campaign. They include an agreed upon house payment, food and gasoline expenses.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Judy Severson 920 W Capitol Pierre, S.D. 57501	12/31/76	$ 5815.22	$ 4022.00	$ 1793.22
( ) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by Severson on behalf of the campaign. They include travel, food, house payments, etc. A list of Severson's statements are included.

SUBTOTALS this period this page (optional)	$	$	$ 10,709.20
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary.

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
SP Press Service Brookings, SD	7/6/76 thru	$ 522.50	$ 0	$ 522.50
☒ Primary ☐ General ☐ Other	7/21/76	$	$	$

NATURE OF OBLIGATION (Details of Debt)
Placement of campaign ads in weekly newspapers.
Statement enclosed.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
The House that Jack Built Box 937 Pierre SD 57501	11/3/76	$ 1340.37	$ 495.20	$ 845.17
☐ Primary ☐ General ☒ Other				

NATURE OF OBLIGATION (Details of Debt)
Statement enclosed. Buttons, lapel stripe

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Imprint 515 W. 13th Sioux Falls, SD 57104	10/4/76	$ 1363.46	466.46	896.92
☐ Primary ☐ General ☒ Other			$	$

NATURE OF OBLIGATION (Details of Debt)
For printing, photographs, & printing materials.
Invoice included.

SUBTOTALS this period this page (optional)	$	$	$ 2330.57
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 3 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
O'Connor Productions 3100 S. Duluth Sioux Falls, S.D.		[redacted]	948.76	1195- CE
( ) Primary ( ) General ( ) Other		$ 2143.76	$	$

NATURE OF OBLIGATION (Details of Debt)
Printing, statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Beasley Distributing 324 N. Main Sioux Falls, S.D.		16.44	C	16.44
( ) Primary ( ) General ( ) Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
Office supplies, Statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Creative Graphics 214 N. Pleasant Dr. Pierre, S.D.	9/22/76 thru 10/6/76	[redacted] $ 532.27	485.46	46.81
( ) Primary ( ) General ( ) Other			$	$

NATURE OF OBLIGATION (Details of Debt)
Type setting negatives & printing material

SUBTOTALS this period this page (optional)	$	$	$ 1308.25
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Alan - Steve Productions 1155 15th St. N.W. Washington, D.C.	9/1/76 10/31/76	373.00		373.00
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Video Recording + materials
Billing included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Swanson & Saenger Off 711 W Russell Sioux Falls, S.D.	11/23/76	$ 530.23	180.39	349.84
☐ Primary ☐ General ☐ Other			$	$

NATURE OF OBLIGATION (Details of Debt)

Office supplies + printing materials
billing enclosed

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Bob Nero Sioux Falls S.D.		137.97	0	137.97
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)

Air transport

SUBTOTALS this period this page (optional)	$	$	$ 861.81
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

Schedule C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations for
Line Numbers 26 and/or 27 of FEC Form 3

(see Instructions on back)

Page 5 of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full		Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance Close of This Period
Full Name, mailing address and ZIP code, and nature of obligation Vermillion Plains Service Vermillion, S.D.	Date (month, day, year)	$ 53.38	$ 0	$ 53.38
Full Name, mailing address and ZIP code, and nature of obligation Discount Corp. Box 258 Rapid City, S.D.	Date (month, day, year)	$ 516.99	$ 150.00	$ 366.99
Full Name, mailing address and ZIP code, and nature of obligation Xerox 7171 Pacific Rd. Omaha, Neb.	Date (month, day, year)	$ 371.23	$	$ 371.23
Full Name, mailing address and ZIP code, and nature of obligation EB 69 Kansas City, Mo.	Date (month, day, year)	$ 210.00	$ 30.00	$ 180.00
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Subtotals this period this page (optional) ▶		$	$	$ 976.60
Total this period (last page this line number only) ▶		$	$	$

Carry outstanding balance only, to appropriate line of summary. 16 377.

Performing Arts USA 15c

Mr Tim McDermott

#9 Parkview

Vermillion, South Dakota

57069

Dear Jim, Betsy has been ill - so

I'm her Sec.

Her wedding is Aug 26th.

Mr. Doyle says they withheld

nothing from her psy - so there is

no record anywhere - no - W 2

info or nothing. We are sorry. Only

the bookkeeper of the Campaign knew

have your requested info. So you'll

have to track whomever down.

Betsy is moving to Chicago.

Keep in touch.

Sincerely your friends

the Doyles

(for Betsy)

P.S. She was paid those amts. this W-2 will swear to that

ACCOUNTS RECEIVABLE RECORD

Guffey, James
202 N. Lake Dr.
Watertown, SD 57201

DATE	INV. NO.	QUANTITY	ITEM	DEBIT	CREDIT	BALANCE
4/15/76	20635	20,000	Bs Reply Eps	348.76		348.76
5/3/76	20799	10,000	#10 Eps	197.40		546.16
5/17/76			Ck. #116		348.76	197.40
5/26/76	21001	30,000	Campaign Folders	600.13		797.53
6/3/76	21200	5,000	Blk Mimeo	47.93		845.46
6/24/76	21312	2000 ea	eps and invites	114.71		960.17
6/28/76	21369	2500	No. 10 blank reg eps	38.06		998.23
6/30/76	21394	5000	blank 8½x11 mimeo	47.25		1045.48
7/28/76	21566	2,000	Posters	155.40		,200.88
8/2/76	21687	500	Blk Sht & Scratch	23.57		,224.45
8/5/76	21732	5,000	White Mimeo Blank Paper	39.48		1,263.93
8/11/76	21759		Blank Paper & Eps	29.30		1,293.23
8/18/76	21807	10,000	8½x11 White Mimeo			
"	"	2,000	#10 Blank Eps	102.17		1,395.40
9/13/76	22048	2,500	#10 Blank Eps	38.06		1,433.46
9/17/76	22111	1	cs Mimeo Paper	49.35		1,482.81
9/21/76	22137	1	cs Mimeo Paper	49.35		1,532.16
9/22/76			Ck. #271		200.00	1,332.16
9/22/76	22157	5,700	24# Mimeo	49.35		1,381.51
9/28/76	22228	5,500	Paper	49.35		1,430.86
10/1/76	22261	1,000	#10 Reg Blank Eps			
"	"	20	rms 20# Blank Stock	109.73		1,540.59
11/1/76			Ck. #355		200.00	1,340.59
12/8/76			Ck. #360		200.00	1,140.59
3/28/77			Ck. #[illegible]706		750.00	390.59
8/9/78			Ck. #1874		50.00	340.59

O'CONNOR PRODUCTIONS, INC.
3600 S. DULUTH, SIOUX FALLS, S.D.
605/338-2196

Tim McDermott
#9 Parkview
Vermillion, SD 57069

8/14/78

Mr. Norman Eakes
1604 S. Kiwanis
Sioux Falls, S. D.

Dear Sir,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$225.00	on	5/3/76
225.00	on	6/12/76
100.00	on	10/7/76
225.00	on	7/14/76
225.00	on	8/10/76
100.00	on	9/7/76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

This is the only evidence we have in regard to the payment of rent by Guffey Campaign Committee. I trust this will be sufficient evidence as per your request.

Norman Eakes

EAKES BROTHERS

NATIONAL BANK IN SIOUX FALLS

SIOUX FALLS, SOUTH DAKOTA 57101

July 19 76

DOLLARS CENTS

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

CHECKING ACCOUNT DEPOSIT TICKET 78-2 914

EAKES BROTHERS

NATIONAL BANK IN SIOUX FALLS

SIOUX FALLS, SOUTH DAKOTA 57101

June 22 76

DOLLARS CENTS

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

84 51 64 87 30 00

ACCOUNT DEPOSIT TICKET 78-2 914

EAKES BROTHERS

NATIONAL BANK IN SIOUX FALLS

SIOUX FALLS, SOUTH DAKOTA 57101

May 11 76

DOLLARS CENTS

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

NG ACCOUNT DEPOSIT TICKET 78-2 914

EAKES BROTHERS

NATIONAL BANK IN SIOUX FALLS

SIOUX FALLS, SOUTH DAKOTA 57101

Sept 13 76

DOLLARS CENTS

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

TOTAL FROM OTHER SIDE OR ATTACHED LIST

EAKES BROTHERS

NATIONAL BANK IN SIOUX FALLS

SIOUX FALLS, SOUTH DAKOTA 57101

August 13 76

DOLLARS CENTS

CURRENCY

COIN 150 00

CHECKS LIST EACH SEPARATELY

TOTAL FROM OTHER SIDE OR ATTACHED LIST

EAKES BROTHERS

NATIONAL BANK IN SIOUX FALLS

SIOUX FALLS, SOUTH DAKOTA 57101

Oct 11-76

DOLLARS CENTS

CURRENCY

COIN

CHECKS LIST EACH SEPARATELY

TOTAL FROM OTHER SIDE OR ATTACHED LIST

Postmarked Aug. 29, 1978
Vermillion S. D. $3.93

Tim McDermott
9 Parkview
Vermillion, S. D. 57069

REGISTERED
NO. 1876

Ms. Clare Lindsay
Federal Election Commission
Office of Legal Council
1325 K Street N. W.
Washington, D. C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
'78 SEP 1 AM 11:49

005618

BCC 4624

RECEIVED
FEDERAL ELECTION
COMMISSION

'78 AUG 23 PM 12:14

Ms. Clare Lindsay
Federal Election Commission
1325 K St. N. W.
Washington, D. C.

Dear Ms. Lindsay,

I have posted to you materials in partial compliance with your conciliation agreement.

The year end report of the Guffey in the First Committee for 1976 is completed as per item I, page 2.

I have requested from vendors and individuals bills or invoices for those expenditures in excess of $100.00. This is to comply with item II, page 3.

Included is a schedule A detailing the receipt of $460.00 in compliance with item IV, page 3.

I cannot inform the commission regarding the $1236.86 detailed in item IV, page 4. I was not at the time, nor am I now aware of the scource of these funds.

I have not complied with item V, page 4, as I am not aware of obligations that the candadite has settled himself. He has assumed his own campaign debts which I will accurately reflect in proper form when I learn the proper format for doing so.

I cannot comply with item VI, page 4, as I was not then nor am I now knoweledgeable of business between the candadite and his banker.

Regarding the penalty of item VII page 5, I believe it to be excessive. I refused mailed materials from the commission as I believed them to be intended for the candadite since my resignation as treasurer. Upon learning of the importance of this matter to the commission in a telephone call, I have complied with those items that I have the capability to comply with. Further requests by the commission of me regarding the audit will be complied with as best I can.

Sincerely,

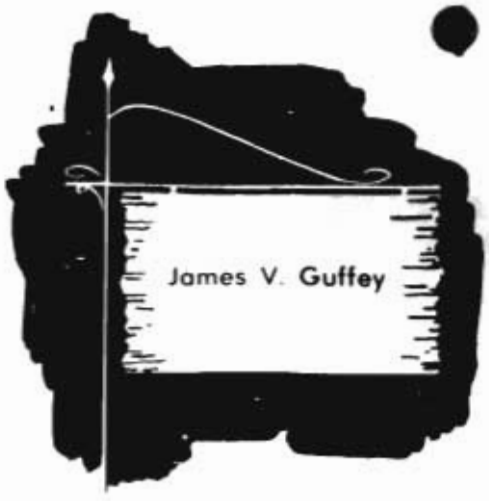
Tim McDermott

Tim McDermott

Tim McDermott
9 Parkview
Vermillion, S. D. 57069

Ms. Clare Lindsay
Federal Election Commission
1325 K St. N. W.
Washington, D. C.

CDC# 4412



RECEIVED
FEDERAL ELECTION
COMMISSION

'78 AUG 8 AM 11:55

MUR573

805157

Clare Lindsey
General Counsel's Office
Federal Election Commission
1325 K Street N.W.
Washington, D.C.

Dear Ms. Lindsey:

Pursuant to our conversation Monday, this letter will serve to indicate my desire to meet and comply with the recommendations included in the audit report of my campaign.

In addition, Tim McDermott and I have discussed the entire situation and it is our opinion that very little is to be gained by trying to correct this situation by working separately.

Therefore, I propose to the Commission that McDermott and I work in concert and obtain the needed information and documentation along with the proper filings to the Commission. Our timtable for this effort would be to be in compliance to the greatest degreee possible within 60 days of the Commission's acceptance of this effort.

As we discussed on the phone Monday, very little is to be gained in dragging our our compliance. I'm convinced, as is Mr. McDermott, that there is absolutely nothing illegal as a result of my campaign. Certainly, Clare, I'm as anxious as you to get this thing behind me and get on with life.

Most sincerely,

James V. Guffey

POST OFFICE BOX 1182
SIOUX FALLS, S. D. 57101

'78 AUG 8 AM 11:55

Ms. Clare Lindsey
General Counsel's Office
Federal Election Commission
1325 K Street N.W.
Washington, D.C.

Printers • Lithographers

Creative House

214 WEST PLEASANT DRIVE, PIERRE, S.D. 57501
BOX 1134 605-224-5933
TOLL FREE IN SOUTH DAKOTA 1-800-592-1855

1879

INVOICE

SOLD TO:

Carter-Guffey Hq.
108 E. 8th
Sioux Falls, S.D. 57102

TERMS: 30 DAYS NET

PURCHASE ORDER NUMBER	ORDERED BY	DATE
		10-6-76

DESCRIPTION	AMOUNT
Letterheads (neg. only)	9.10
TAX	.36
UPS or BUS	
TOTAL	9.46

PLEASE PAY FROM INVOICE

STATEMENT SENT ONLY ON REQUEST

Printers • Lithographers

Creative House

224 WEST PLEASANT DRIVE, PIERRE, S D 57501
BOX 1134 605-224-5933
TOLL FREE IN SOUTH DAKOTA 1-800-592-1855

1879

INVOICE

SOLD TO:

Carter-Goffe, Hq.
108 E. 8th
Sioux Falls, SD 57102

TERMS: 30 DAYS NET

PURCHASE ORDER NUMBER	ORDERED BY	DATE
		10-6-76

DESCRIPTION	AMOUNT
Letterheads (orig. + 1)	9.10
TAX	.36
UPS or BUS	
TOTAL	9.46

PLEASE PAY FROM INVOICE

STATEMENT SENT ONLY ON REQUEST

Printers • Lithographers

Creative House

214 WEST PLEASANT DRIVE, PIERRE, S.D. 57501
BOX 1134 605-224-5933
TOLL FREE IN SOUTH DAKOTA 1-800-592-1855

1757

INVOICE

SOLD TO:

Guffy in the First
Box 1182
Siox Falls, SD

TERMS: 30 DAYS NET

PURCHASE ORDER NUMBER	ORDERED BY	DATE 9-3-76

DESCRIPTION	AMOUNT
Women for Guffy Neg.	5.00
TAX	.20
UPS or BUS	
TOTAL	5.20

PLEASE PAY FROM INVOICE

STATEMENT SENT ONLY ON REQUEST

Printers • Lithographers

Creative House

214 WEST PLEASANT DRIVE PIERRE S D 57501
BOX 1134 605-224-5933
TOLL FREE IN SOUTH DAKOTA 1-800-592-1855

1845

INVOICE

SOLD TO:
Guffey for Congress
Box 1182
Sioux Falls, SD 57100

TERMS: 30 DAYS NET

PURCHASE ORDER NUMBER	ORDERED BY	DATE
		9-30-76

DESCRIPTION	AMOUNT
Reg. - Drought, Jobs Brochure	26.65
TAX	1.06
UPS or BUS	
TOTAL	27.71

PLEASE PAY FROM INVOICE

STATEMENT SENT ONLY ON REQUEST

Printers • Lithographers

Creative House

[illegible] WEST PLEASANT DRIVE, PIERRE, S D 57501
BOX 1134 605-224-5933
TOLL FREE IN SOUTH DAKOTA 1-800-592-1855

1723

INVOICE

SOLD TO:

TERMS: 30 DAYS NET

PURCHASE ORDER NUMBER	ORDERED BY	DATE
		8-31-76

DESCRIPTION	AMOUNT
	31.00

Credit from check # 2086
#7.96

38.48
- 7.96

Total Bill owed = # 30,52

TAX	
UPS or BUS	
TOTAL	38.48

PLEASE PAY FROM INVOICE

STATEMENT SENT ONLY ON REQUEST

Printers • Lithographers

1805

Creative House

214 WEST PLEASANT DRIVE PIERRE S D 57501
BOX 1134 605-224-5933
TOLL FREE IN SOUTH DAKOTA 1-800-592-1855

INVOICE

SOLD TO:
GOFFY FOR CONGRESS
Box 1152
Sioux Falls S. Dak 57101

TERMS: 30 DAYS NET

PURCHASE ORDER NUMBER	ORDERED BY	DATE
		9-22-76

DESCRIPTION	AMOUNT
3000 - 8½ X 11 60# PAPER	23.00
TAX	.92
UPS or BUS	
TOTAL	23.92

PLEASE PAY FROM INVOICE

STATEMENT SENT ONLY ON REQUEST

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone: 605/336-2195
- **OCP OFFICE MART**
  1070 East 41st, Sioux Falls, S. D.
  Telephone: 605/336-1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone: 612/920-8843

JOB NUMBER	PO/# NUMBER	DATE
22261		10/1/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
1,000	No. 10 Envelopes regular Blank	14.50
20	rms. 20# Blank Stock	90.00
	Tax	5.23

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. *for this amount* ➧	109.73

PAYMENT COPY

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- **OCP OFFICE MART**
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612/920 8343

OUR NUMBER	YOUR NUMBER	DATE
22261		10/1/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
1,000	No. 10 Envelopes regular Blank	14.50
20	rms. 20# Blank Stock	90.00
	Tax	5.23

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	109.73

7 3 0 1 STATEMENT 1 5 6 1

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone: 605/336-2195
- **OCP OFFICE MART**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone: 605/336-2195
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone: 612/920-8343

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

9/30/76	Balance	$1,430.86

Please make checks payable to: O'CONNOR PRODUCTIONS, INC.

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336-2195
- **OCP OFFICE MART**
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336-1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920-8343

OUR NUMBER	YOUR NUMBER	DATE
20799		5/3/76

Guffey for Congress
Box 1182
Sioux Falls, SD 57101

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
10,000	No. 10 Envelopes	188.00
	Tax	9.40

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount	197.40

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S D 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- **OCP OFFICE MART**
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8343

YOUR NUMBER	OUR NUMBER	DATE
21001		5/26/76

Guffey in the First
Box 1182
Sioux Falls, SD 57102

Terms: This invoice subject to 1½ per month interest charge over 30 days. (18% per annum)

QUANTITY	DESCRIPTION	AMOUNT
30,000	Campaign Folders	557.05
1,000	No. 10 Blank Envelopes	14.50
	Tax	28.58

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount.	600.13

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- OCP OFFICE MART
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8343

OUR NUMBER	YOUR NUMBER	DATE
21200		6/3/76

Guffey for Congress
Box 1182
Sioux Falls, SD 57101

Terms. This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
5,000	Blank Mimeo 8½x11	45.65
	Tax	2.28

ACCT. NO.

Please make checks payable to O'CONNOR PRODUCTIONS INC. for this amount

Total Balance 47.93

CUSTOMER INVOICE 1567

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605/336-2195
- **OCP OFFICE MART**
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605/336-1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612/920-8343

OUR NUMBER	YOUR NUMBER	DATE
21312		6/24/76

Guffey Campaign
Box 182
Sioux Falls, SD 57101

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
2000	8½x11 invitations	
2000	envelopes	109.25
	tax	5.46
		114.71

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount	-14.71

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- **OCP OFFICE MART**
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8343

OUR NUMBER	YOUR NUMBER	DATE
21369		6/28/76

Guffey for Congress
Box 1182
Sioux Falls, SD

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
2500	No. 10 blank reg eps	36.25
	tax	1.81

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	38.06

CUSTOMER INVOICE 7 2 1 5 6

O'CONNOR PRODUCTIONS, INC.

3600 S Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2396
- OCP OFFICE MART
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8341

JOB NUMBER 21394

DATE 6/30/76

Guffey for Congress
Box 1182
Sioux Falls, SD

Terms: *This invoice subject to 1% per month interest charge over 30 days (12% per annum)*

QUANTITY	DESCRIPTION	AMOUNT
5000	blank 8½x11 mimeo	45.00
	tax	2.25

ACCT. NO.

Total Balance 47.25

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  In the S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2845
- OCP OFFICE MART
  1020 East 8th St., Sioux Falls, S. D.
  Telephone 605 336 0557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8343

21566

7/28/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57104

Terms: (This invoice is subject to 1% per month interest charge over 30 days (12% per annum))

QUANTITY	DESCRIPTION	AMOUNT
2,000	Posters	148.00
	Tax	7.40
		Total Balance 155.40

CUSTOMER INVOICE 1571

O'CONNOR PRODUCTIONS, INC.

3600 S. Dakota • Sioux Falls S. D. 57105

Divisions

- O'CONNOR PRINTERS
  [illegible] Sioux Falls, S. D.
  Telephone 605 336 3184
- OCP OFFICE MART
  2070 East 61st Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior St. Louis Park, MN
  Telephone 612 929 8843

OUR NUMBER	DATE
21687	8/2/76

Guffey for Congress
Box 1182
Sioux Falls, SD 57101

Terms: [illegible] per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
20	lbs. Scratch Sheets	6.00
500	8½x11 Blank Sheets	16.45
	Tax	1.12

ACCT. NO.

Total Balance 23.57

CUSTOMER INVOICE 1572

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- **O'CONNOR PRINTERS**
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336-2195
- **OCP OFFICE MART**
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336-1557
- **OCP OF MINNESOTA**
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920-8343

OUR NUMBER	YOUR NUMBER	DATE
21732		8/5/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
5,000	White Mimeo Blank Paper	37.60
	Tax	1.88

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount	39.48

7 9 0 0 0 1 5 7 3

PAYMENT COPY

OCP INC

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336-2195
- OCP OFFICE MART
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336-1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920-8343

OUR NUMBER	YOUR NUMBER	DATE
21732		8/5/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
5,000	White Mimeo Blank Paper	37.60
	Tax	1.88

ACCT. NO.

Total Balance 39.48

Please make check payable to O'CONNOR PRODUCTIONS, INC. for this amount

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- OCP OFFICE MART
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8343

OUR NUMBER	YOUR NUMBER	DATE
21759		8/11/76

Guffey in the First
Box 1182
Sioux Falls, SD 57101

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
600	6x11 Blue Blank Stock	
300	6x11 Canary Blank Stock	
500	no. 5½ Blank Envelopes	27.90
	Tax	1.40

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	29.30

PAYMENT COPY

OCP INC
O'CONNOR PRODUCTIONS, INC.
3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions
- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone: 605 336 2195
- OCP OFFICE MART
  1020 East 41st, Sioux Falls, S. D.
  Telephone: 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone: 612 920 8843

OUR NUMBER	YOUR NUMBER	DATE
21759		8/11/76

Guffey in the First
Box 1182
Sioux Falls, SD 57101

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
600	6x11 Blue Blank Stock	
300	6x11 Canary Blank Stock	
500	no. 5½ Blank Envelopes	27.90
	Tax	1.40

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	29.30

7 0 7 CUSTOMER NUMBER 1 5 7 5

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth Sioux Falls S. D.
  Telephone 605 336 2195
- OCP OFFICE MART
  1020 East 41st Sioux Falls S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior St. Louis Park, Mn.
  Telephone 612 920 8343

OUR NUMBER	YOUR NUMBER	DATE
21807		8/18/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
	16# Scrap Blank	4.80
10,000	8½x11 White Mimeo	66.80
2,000	No. 10 Blank Envelopes	29.00
	Less Credit 11# Scrap Returned	3.30
	Tax	4.87

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount	102.17

O'CONNOR PRODUCTIONS, INC.
3600 S. Duluth • Sioux Falls S D 57105

Divisions
• O'CONNOR PRINTERS
3600 S. Duluth, Sioux Falls, S. D.
Telephone 605 336 2195
• OCP OFFICE MART
1020 East 41st, Sioux Falls, S. D.
Telephone 605 336 1557
• OCP OF MINNESOTA
5805 Excelsior, St. Louis Park, Mn.
Telephone 612 920 8343

OUR NUMBER	YOUR NUMBER	DATE
21807		8/13/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
	16# Scrap Blank	4.80
10,000	8½x11 White Mimeo	66.80
2,000	No. 10 Blank Envelopes	29.00
	Less Credit 11# Scrap Returned	3.30
	Tax	4.87

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS INC for this amount ➧	102.17

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone: 605 336-2195
- OCP OFFICE MART
  6020 East 41st, Sioux Falls, S. D.
  Telephone: 605 336-1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone: 612 920-8343

OCP NUMBER	YOUR NUMBER	DATE
22048		9/13/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
2,500	No. 10 Blank Envelopes	36.25
	Tax	1.81

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	38.06

OCP INC

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

• O'CONNOR PRINTERS
1600 S. Duluth, Sioux Falls, S. D.
Telephone: 605 336 2195

• OCP OFFICE MART
1629 East 41st, Sioux Falls, S. D.
Telephone: 605 336 1557

• OCP OF MINNESOTA
5805 Excelsior, St. Louis Park, Mn.
Telephone: 612-920-8343

OUR NUMBER: 22048

YOUR NUMBER:

DATE: 9/13/76

Guffey for Congress
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
2,500	No. 10 Blank Envelopes	36.25
	Tax	1.81

ACCT. NO.

Please make checks payable to O'CONNOR PRODUCTIONS INC for this amount

Total Balance 38.06

STATEMENT

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

O'CONNOR PRINTERS
3600 S. Duluth, Sioux Falls, S. D.
Telephone: 605/336-2195

OCP OFFICE MART
3600 S. Duluth, Sioux Falls, S. D.
Telephone: 605/336-2195

OCP OF MINNESOTA
5805 Excelsior, St. Louis Park, Mn.
Telephone: 612/920-8343

Guffey for Congress
Box 1182
Sioux Falls, SD 57101

8/31/76 Balance $1,395.40

paid 200.00 9/20/76

Please make checks payable to: O'CONNOR PRODUCTIONS, INC.

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  1600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- OCP OFFICE MART
  1020 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612/920-8343

OUR NUMBER	YOUR NUMBER	DATE
22111		9/17/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
1cs.	Mimeo Paper	47.00
	Tax	2.35

ACCT. NO.

Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount

Total Balance 89.35

O'CONNOR PRODUCTIONS, INC.
3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions
• O'CONNOR PRINTERS
3600 S. Duluth Sioux Falls, S. D.
Telephone 605 336 1195
• OCP OFFICE MART
1020 East 41st Sioux Falls, S. D.
Telephone 605 336 1557
• OCP OF MINNESOTA
5805 Excelsior St. Louis Park, Mn.
Telephone 612 920 8143

CUST. NUMBER	ORDER NUMBER	DATE
22111		9/17/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
1cs.	Mimeo Paper	47.00
	Tax	2.35

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	49.35

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth, Sioux Falls, S. D.
  Telephone 605 336 2195
- OCP OFFICE MART
  1809 East 41st, Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior, St. Louis Park, Mn.
  Telephone 612 920 8843

OUR NUMBER	YOUR NUMBER	DATE
22137		9/21/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
1	cs Mimeo Paper White	47.00
	Tax	2.35

ACCT. NO.

Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount

Total Balance 49.35

REMITTANCE COPY

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth Sioux Falls S. D.
  Telephone 605 336 2185
- OCP OFFICE MART
  1020 East 41st Sioux Falls S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior St. Louis Park Mn.
  Telephone 612 920 8343

OUR NUMBER	YOUR NUMBER	DATE
22137		9/21/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
1	cs Mimeo Paper White	47.00
	Tax	2.35

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount	49.35

CUSTOMER INVOICE

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth Sioux Falls, S. D.
  Telephone 605 336 2495
- OCP OFFICE MART
  1020 East 45th Sioux Falls, S. D.
  Telephone 605 336 1557
- OCP OF MINNESOTA
  5805 Excelsior St. Louis Park, Mn.
  Telephone 612 920 8843

OUR NUMBER	YOUR NUMBER	DATE
22157		9/22/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days. (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
5,700	24# Mimeo	47.00
	Tax	2.35

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount	49.35

PAYMENT COPY

O'CONNOR PRODUCTIONS, INC.

3600 S. Duluth • Sioux Falls, S. D. 57105

Divisions

- O'CONNOR PRINTERS
  3600 S. Duluth Sioux Falls, S. D.
  Telephone 605 336-2195
- OCP OFFICE MART
  1020 East 41st Sioux Falls, S. D.
  Telephone 605 336-1557
- OCP OF MINNESOTA
  2805 Excelsior St. Louis Park, Mn.
  Telephone 612 920-8343

OUR NUMBER	YOUR NUMBER	DATE
22157		9/22/76

Guffey in the First
108 E. 8th
Sioux Falls, SD 57102

Terms: This invoice subject to 1% per month interest charge over 30 days (12% per annum)

QUANTITY	DESCRIPTION	AMOUNT
5,700	24# Mimeo	47.00
	Tax	2.35

ACCT. NO.		Total Balance
	Please make checks payable to O'CONNOR PRODUCTIONS, INC. for this amount ➧	89.35

8/9/78

Federal Election Commission
1325 K St. N. S.
Washington, D. C.

Dear Sirs,

As pertains to paragraph B of your letter of 1/23/78, the candidate assumed responsibility for all debts and obligations of his campaign at its termination. It is my understanding that some of those debts have been satisfied. I do not know which debts or how much has been repaid. This information will have to be supplied by the candidate.

I was not at the time of the campaign nor am I now privy to loan activities between the candadite and his banker. Information regarding loans to the candadite, collateral, terms, interest rates, etc. must be provided by the candidate.

Sincerely,

Tim McDermott

J. Timothy McDermott

8/9/78

Federal Election Commission
1325 K St. N. W.
Washington, D. C.

Dear Sirs,

In response to paragraph D of your letter of 1/23/78, you indicate that two deposits of $1236,86 total, were deposited to the candidate's expense account. These deposits were not transfers from the general campaign fund. I am not aware of the scource of these funds. The candidate failed to report this amount as contributions and no record was therefore made of it. The candidate may be able to enlighten the Commission on this matter.

Relative to the reported $460.00 beginning cash for the period 4/1/76 through 5/17/76, I am enclosing schedule A detailing these contributions.

Sincerely,

J. Timothy McDermott

Schedule A
January 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Itemized Receipts, Contributions, Ticket Purchases, Loans, Rebates, and Transfers for Line Numbers 15, 16, 17 and/or 18 of FEC Form 3

(see Instructions on back)

Page 1 of ____ for

LINE NUMBER ____

(Use separate schedule(s) for each numbered line).

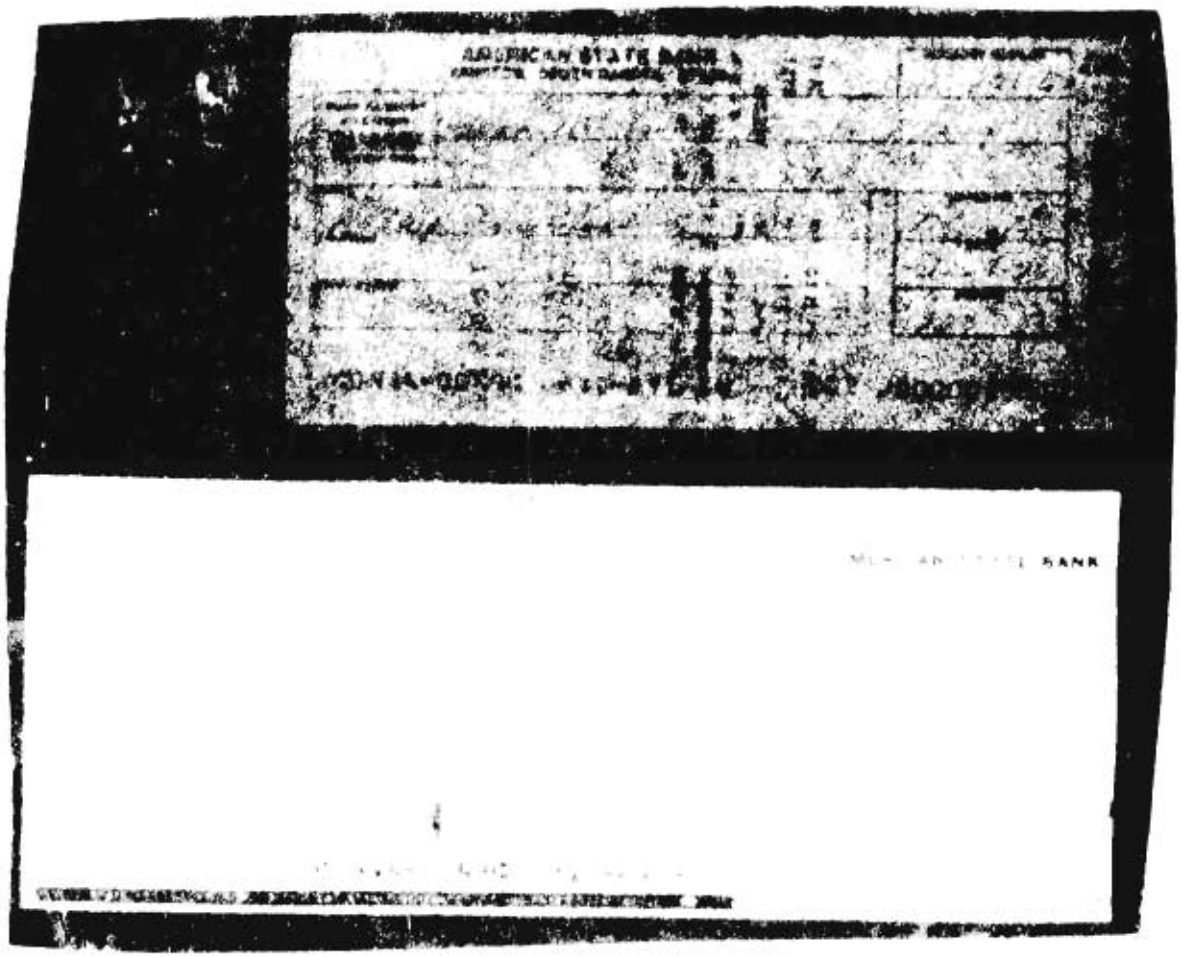
Name of Candidate or Committee in full

Guffey in the First Committee

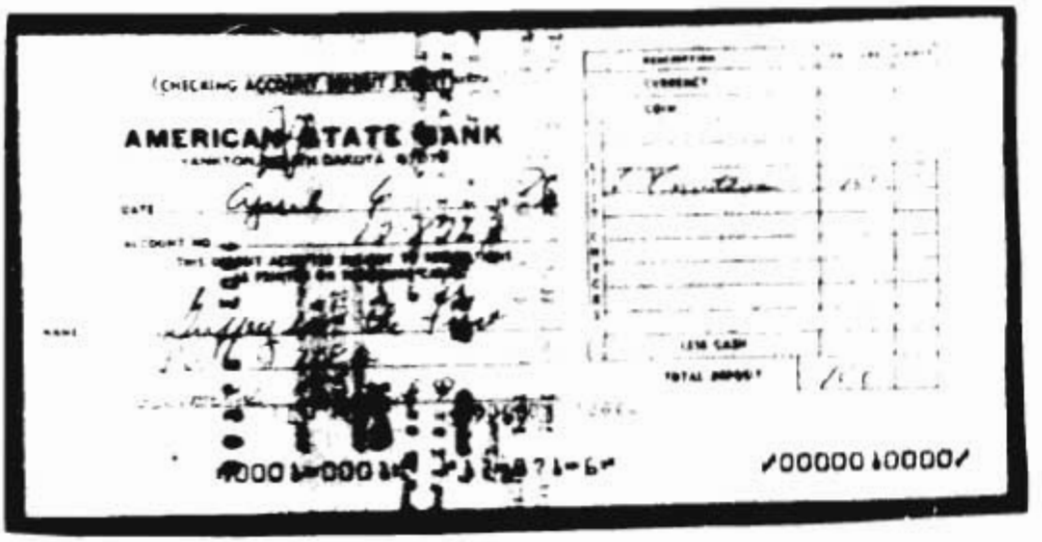
1/1/76 to 3/31/76

Full Name, mailing address and ZIP code	Principal place of business	Occupation / Check if Contributor is self-employed / Aggregate Year-to-Date	Date (month, day, year)	Amount of each receipt this period
Lloyd Alexander Clark, S. Dak. 57225		Farmer ☒ Check if Contributor is self-employed Aggregate Year-to-Date ▶ $	3/27/76	100.00
Russell Peterson Clear Lake, S. Dak. 57226		Farmer ☒ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	50.00
Mrs. Boyd Guffey Clark, S. Dak. 57225	Northwerstern Public Service Clark, S. Dak.	District clerk NW Public Service ☐ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	100.00
Mr. Vern Guffey Clark, S. Dak. 57225		Retired ☐ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/31/76	100.00
Mr. & Mrs. Carroll Kranz Bellingham, Minn. 56212	Bellingham, Minn.	Plumbing contractor ☒ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	100.00
Randy Renken 1603 S. First Souix Falls, S. Dak. 57103	Nat. Bank of South Dakota Sioux Falls, S. Dak.	Banker ☐ Check if Contributor is self-employed Aggregate Year-to-date ▶ $	3/27/76	10.00

Subtotal of receipts this page (optional) ▶	$ 460.00
Total this period (last page this line number only) ▶	$



AMERICAN STATE BANK


AMERICAN STATE BANK

Date June 30, 1976

NOTE PAYMENT

We have this day charged your account as follows

Special Installment ☐
Real Estate ☐
Regular ☐
Savings Bonds
Savings Account
Credit Group Life Plan
Other

Number 12 872 6

Due Date
Payment $
Interest $
Escrow $
TOTAL $ 1,284.96

Transfer to 12-872-4 per written Authorization L. S.

Guffey in the First
Box 1182
Sioux Falls,
S.D. 57101

AMERICAN STATE BANK

⑆0914-0049⑆ ⑈12-872-6⑈ 067 ⑈0000128.96⑈

Date 5-11-76

NOTE PAYMENT

We have this day charged your account as follows

Special Installment ☐
Real Estate ☐
Regular ☐
Savings Bonds
Savings Account
Credit Group Life Plan
Other Principle rate 12 872 6 [illegible] 207.77
authorization on file
James Guffey

Number 12 872 6

Due Date
Payment $
Interest $
Escrow $
TOTAL $

AMERICAN STATE BANK

⑆0914-0049⑆ ⑈12-872-6⑈ 067 ⑈0000250000⑈

AMERICAN STATE BANK

9/20/77

American State Bank
Yankton, S. D.

12871-6

Dear Sirs,

The Federal Election Campaign Commission has recently completed an audit of the Guffey in the First Campaign account. They are asking for copies of debit memos of the following dates and amounts: $250 on 5/18/76
$250 on 4/28/76
$1284.98 on 6/28/76

Additionally, copies of deposit tickits for the following amounts and dates have been requisted:

$625 on 4/1/77
$300 on 4/2/77
$100 on 4/5/77

Your assistance in providing these materials for me will be greatly appreciated.

Sincerely,

Tim McDermott

9 Parkview Apt.
Vermillion, S. D. 57109

2001-0115-2

Northwestern Bell

125 South Dakota Avenue
Sioux Falls, South Dakota
October 4, 1977

Mr. Tim McDermott
9 Parkview Apts.
Vermillion, South Dakota 57069

Dear Mr. McDermott:

I am writing in regard to your letter concerning bills for the Guffy in the First Campaign that you issued checks for on May 24, 1976, July 16, 1976 and August 12, 1976. Because we only keep bills for six months back, we will not be able to provide you with the copies of the bills you requested.

I am sorry for not being able to answer your request. If there is anything further we can help you with, please feel free to call us at 336-2120.

Yours truly,

L Thorson

Service Representative

1 0 5 1 0 1 0 0 7

September 16, 1977

Tim McDermott
#9 Parkview Apts.
Vermillion, S.D. 57109

Dear Tim:

Here are copies of all the invoices written for the Guffey in the First Campaign. Also, enclosed are copies of the monthly statements.

If you remember, your check payments did not coincide with the exact invoices, and Media One, Inc., did not receive full-payment until after the election. These payments came directly from Jim Guffey.

If you need any further information, please let us know, we'll be happy to supply it.

Sincerely,

Dar Berkenpas

Ken Mills

Dar Berkenpas and
Ken Mills
Media One, Inc.

media one, inc. box 1172 sioux falls, sd 57101

INVOICE NO. 16561

media one

MEDIA ONE INC. P.O. BOX [illegible]
SIOUX FALLS S.D. [illegible]
605-339 0680

TO: James Gaffney

SUB: Pop Country

DATE DUE	SHIP VIA	[illegible]
6/10/86	MI	Lou Fisher

QUANTITY	DESCRIPTION	PRICE	AMOUNT
1	Vocal tag (used on all spot)	$10.00	$10.00
[illegible]	2 hrs	$17.50	$35.00
[illegible]	1 hrs	$ 6.50	$ 13.00
[illegible]	2 units	$ [illegible]	$ [illegible]
[illegible]	1 hr	$ 5.50	$ 5.50
	DUBS		
	OTHER/ADDITIONAL MARKETS FEE		
	TOTAL		$ 14.50

THANK YOU

RECEIVED BY

INVOICE NO. 1642

James Jeffery

Helicopters

DATE DUE	SERVICE	AMOUNT
6 Sept		

DESCRIPTION

2 hrs

2 hrs

2 wells

2 hrs

ADDITIONAL MARKETS FEE

THANK YOU

RECEIVED BY

INVOICE NO. 1656.3

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339 0000

TO

Sub

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
6-7-96		Jim Pitz	

QUANTITY	DESCRIPTION	PRICE	AMOUNT
	STUDIO	$12.50	$35.00
	ENG	$6.50	$19.50
	TALENT	$10.00	$40.00
	WRITING	$5.53	$5.53
	DUBS		
	OTHER/ADDITIONAL MARKETS FEE		
	TOTAL		$100.00

THANK YOU

RECEIVED BY

INVOICE NO

TO

Sub

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339-0000

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO		$17.50	$35.00
ENG		$6.50	$13.00
TALENT		$	$
WRITING		$5.50	$5.50
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$165.50

THANK YOU RECEIVED BY

INVOICE NO. 16565

TO
James Jaffray

Sub: Name

media one

MEDIA ONE INC. P.O. BOX 5172
SIOUX FALLS S.D. 57101
605-339 0000

	DATE DUE 6/10/X	SHIP VIA	REPRESENTATIVE	TERMS:

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO	1 hrs	$17.50	$17.50
ENG.	1 hrs	$6.50	~~$6.50~~
TALENT	2 voices	$20.00	$40.00
WRITING	1 hrs	$5.50	$5.50
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$81.50

X THANK YOU RECEIVED BY

INVOICE NO. 1656

TO
Jeanne Steffey

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339 0000

Sub: Steffey Initiative Town Area

	DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS:
	6/10/96		[illegible]	

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO	1 hr	$17.50	$17.50
ENG.	1 hr 5	$6.50	$6.50
TALENT	1 walk	$10.00	$10.00
WRITING	1 hr	$5.50	$5.50
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$39.50

X THANK YOU RECEIVED BY

INVOICE NO. 16 DUBS

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339 0000

TO James Steffey

Sub: dubs 1st wk d spots

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
6/16/76			

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO			
ENG.			
TALENT			
WRITING			
DUBS	14	$2.25	$31.50
OTHER/ADDITIONAL MARKETS FEE			
	5% state sales tax		$ 1.58
TOTAL			$33.08

X THANK YOU RECEIVED BY

INVOICE NO. 16568

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
(605) 339 0000

TO Kevin's Jiffy

Sub: Shorter

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
6/18/92	UPS	Lisa Shaw	

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO	1 hr	$17.50	$17.50
ENG.	1 hr	$17.50	$17.50
TALENT	2 voices	$20.00	$40.00
WRITING	1 hr	$5.52	$5.52
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$80.52

THANK YOU RECEIVED BY

4

INVOICE NO. 16569

TO James Jeffery

Sub: Family

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339 0000

DATE OUT	SHIP VIA	REPRESENTATIVE	TERMS
7/8/96	UPS	[illegible]	

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO	1 hr	$17.52	$17.52
ENG	3 hrs	$6.52	$19.56
TALENT	2 Cast	$20.00	$40.00
WRITING	1 hr	$5.52	$5.52
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$82.52

THANK YOU RECEIVED BY

INVOICE NO. 16561 10

TO
Time Inspiring

Sub: "Chairman" / dubs

media one
MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
(605) 339 0000

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
4/18/96	MI	Jim Foley	

QUANTITY	DESCRIPTION	PRICE	AMOUNT
STUDIO	Tape	$17.50	$17.50
ENG.	Op	$6.50	6.50
TALENT	Principal Talent	$10.00	$10.00
WRITING			
DUBS	14	$2.25	$31.50
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$65.50

X THANK YOU RECEIVED BY

INVOICE

NO. JG -5611

TO

Guffey in the First Commit'ee

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339-0000

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
2-8-76	XX	Ken Mills	Charge

QUANTITY	DESCRIPTION	PRICE	AMOUNT
	Radio Time Buys, week of June 14-19		$2075.93
	Radio Time Buys, week of June 21-26		$1598.36
STUDIO			
ENG.			
TALENT			
WRITING			
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$3674.29

X THANK YOU RECEIVED BY

INVOICE NO. 16761

TO
Kinsley Guffey for Congress
Box 1182
Sioux Falls, SD
57101

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339 0000

Sub: Television Spots for Convention

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
7/20/16	MI	Jan & Dan	COD

QUANTITY	DESCRIPTION	PRICE	AMOUNT
	Production/KELO-TV		
	45 minutes studio time		$65.00
	45 minutes editing time		$15.00
	Pinpoint Studios (TV artcard)		$46.47
	1 - Vocal tag		$10.00
STUDIO			
ENG.			
TALENT	Producers fee		$40.00
WRITING			
DUBS	KYON-TV(1)		$40.00
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$216.47

X THANK YOU RECEIVED BY

INVOICE NO. 16762

TO
James Guffey for Congress
Box 1182
Sioux Falls, SD 57101

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339 0000

Sub: Television Time-Buy/Demo Conention

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
7/14/16	MI	Ken & Dar	Cash

QUANTITY	DESCRIPTION	PRICE	AMOUNT
	KXCN-TV (4 30" spots)	$62.00	$248.00
	KELC-TV (4 30" spots)	$150.00	$600.00
STUDIO			
ENG.			
TALE			
WRITING			
DUBS			
OTHER/ADDITIONAL MARKETS FEE			
TOTAL			$848.00

X THANK YOU RECEIVED BY Kendall R. Mix

INVOICE NO. J6 763

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605 339 0000

TO Tom Suffoy in the First

Sub: Recording Session w. the Mondale in Feb 82

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
7/31/82	MP	Tom Suffoy	Cash

QUANTITY	DESCRIPTION	PRICE	AMOUNT
	One-half recording session shared with Mondale session. 7/31/82 in Plaza		$55.75
	STUDIO		
	TALENT		
	WRITING		
	DUBS		
	OTHER/ADDITIONAL MARKETS FEE		
	TOTAL		$55.75

THANK YOU RECEIVED BY

INVOICE NO. J6861

media one

MEDIA ONE INC. P.O. BOX 1172
SIOUX FALLS S.D. 57101
605-339-0000

Library of Congress

PO # 10240

DATE DUE	SHIP VIA	REPRESENTATIVE	TERMS
Sept 6			

QUANTITY	DESCRIPTION	PRICE	AMOUNT
	Feature Image		$4.99
	OTHER/ADDITIONAL MARKETS FEE		
	TOTAL		$4.99

THANK YOU RECEIVED BY

INVOICE NO 16961

media one

[illegible]

TO [illegible]

JOB [illegible]

DATE [illegible] P.O. [illegible] TERMS [illegible]

STUDIO			SERVICES			MATERIALS		
	PRICE	AMT		PRICE	AMT		PRICE	AMT
TIME 2 hrs	[illegible]		TALENT [illegible]	[illegible]		DUBS 1		2.00
ENG. 2 hrs	[illegible]							
EDITING 4 hrs	[illegible]							
						SALES TAX		.12
						STUDIO		74.00
		$740			$140.00	SERVICES		140.00
								$216.62

THANK YOU

RECIEVED BY

INVOICE NO. 16962

media one

MEDIA ONE INC. P.O. BOX 1072
SIOUX FALLS S.D. 57101
(605) 339-0000

TO
Homes Suffley for Congress

"Chip Carter"

S/B Time Buy / KELO-AM; KISD-AM; KKAT-FM

INVOICE DATE 9/3/76 | SHIP VIA | SALESMAN | TERMS

STUDIO			SERVICES			MATERIALS		
CODE	PRICE	AMT	CODE	PRICE	AMT	CODE	PRICE	AMT
TIME			TALENT			DUBS		
ENG.			ART ENG.					
EDITING								

Radio Time-Buy:
KELO-AM (#0276) $46.18
KISD-AM (#0275) $29.41
KKAT-FM (#0277) $20.00

SALES TAX

$95.59 TOTAL $95.59

THANK YOU

RECIEVED BY

INVOICE NO. 16963

TO Jim Saffery in the first

Sub P.O. 0279 / Radio Time Buy / KCHF AM / FM

media one
MEDIA ONE INC. P.O. BOX 1832
ROCK FALLS S.D. 57201
605-339 0000

	INVOICE DATE	SHIP VIA	TERMS	
	9/10/96	MI	[illegible]	[illegible]

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME				TALENT				DUBS 1		25 20
	ENG.				WRITING						
	EDITTING										
					KCHF Time-Buy:		$38.23				
									Time Buy		38.23
									SALES TAX		
											$40.23

X THANK YOU RECEIVED BY:

INVOICE NO. J6964

TO James Jaffray for Congress

media one

MEDIA ONE INC. P.O. BOX [illegible]
SIOUX FALLS S.D. 57101
(605) 339 0000

Sub: Radio Production / "Chip Carter"

INVOICE DATE	SHIP VIA	[illegible]	TERMS
9/8/76	MI	Jim Mills	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
TIME	1 hr	$75.00	$75.00	TALENT	1 voice	20	$20.00	DUBS	3 (KFSD, KSOO, KAKT)	2.50	7.50
ENG.	1 hr	$37.50		WRITING	1 hr	65	$6.50				
EDITING					1 walk-on	10	$10.00				
									SALES TAX		.37
									STUDIO		25.00
									SERVICES		36.50
		$25.00				$36.50					$69.37

THANK YOU

RECIEVED BY:

INVOICE NO. J6965

TO James Saffley for Congress

media one
MEDIA ONE INC. P.O. BOX 1872
SIOUX FALLS S.D. 57101
605-339-0400

SUB

DATE 9/15/76 | REPRESENTATIVE | TERMS

STUDIO				SERVICES			MATERIALS		
CODE		PRICE	AMT	CODE		PRICE AMT	CODE		PRICE AMT
	TAPE				TALENT			DUBS	
	EDITING				WRITING				
					the Producer/Television	$400.00			
								SALES TAX	
									$40.00

X THANK YOU RECIEVED BY:

INVOICE NO. JG 966

TO Jim Suffoy in the First

media one

MEDIA ONE INC. P.O. BOX [illegible]
SIOUX FALLS S.D. 57101
605-339 0080

Sub: Radio Production / Drought / Commo

INVOICE DATE	VIA	REPRESENTATIVE	TERMS
9/21/76	M	Jim Wier	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		QTY.	AMT.
	TIME 1 hr	17.5	$17.50		TALENT / voice	20	20.00		BUBS		
	ENG. 2 hr	6.5	13.00		WRITING / hr	6.5	650				
	EDITTING 2 hr	6.5	13.00		3 walkons	10	3.00				
									STUDIO		$43.50
									SERVICES		$56.50
									SALES TAX		
			$43.50				$56.50				$100.00

THANK YOU

RECIEVED BY:

INVOICE NO. 16967

media one

MEDIA ONE INC. P.O. BOX 922
SIOUX FALLS S.D. 57101
605-339 0000

TO Tom Guffey in the First

Sub: Radio Production/Comparison

INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS:
9/20/88	MC	Tim Fisher	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME /hr	17.5	17.50		TALENT /voice	20	20.00		DUBS		
	ENG. /hr	6.5	6.50		WRITING /hr	6.5	6.50				
	EDITTING /hr	6.5	6.50								
									STUDIO SERVICES		$30.50 $26.50
									SALES TAX		
			$30.50				$26.50				$57.00

X THANK YOU RECIEVED BY:

INVOICE NO. 16968

TO [Jim Guffey in The First]

media one

MEDIA ONE INC. P.O. BOX 1872
SIOUX FALLS S.D. 57101
605-339 0000

Sub:

INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS
9/20/76	MP	Jim Guffey	cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME /hr	17.5	17.50		TALENT /voice	20	20.00		DUBS		
	ENG. /hr	6.5	6.50		WRITING /hr	65	6.50				
	EDITTING /hr	65	6.50								
									STUDIO		$30.50
									SERVICES		$26.50
									SALES TAX		
			$30.50				$6.50				$57.00

X THANK YOU RECIEVED BY:

INVOICE NO. 16969

TO Tim Guffey in the First

media one

MEDIA ONE INC. P.O. BOX 1122
SIOUX FALLS S.D. 57101
605-339 0000

Sub: Radio Production/Water

INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS
9/20/76	M	Jim Tiller	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME 1/4	17.5	17.50		TALENT 1 voice	20	$20.00		DUBS		
	ENG. 1/4	6.5	6.50		WRITING 1/4	65	6.50				
	EDITTING 1/4	6.5	6.50								
									STUDIO		$30.50
									SERVICES		$26.50
									SALES TAX		
			$30.50				$26.50				$57.00

X THANK YOU RECIEVED BY:

INVOICE NO. 169610

TO Jim Guffey in the First

media one

MEDIA ONE INC. P.O. BOX [illegible]
SIOUX FALLS S.D. [illegible]
605-339 0000

Sub: Radio Production / Humphrey

INVOICE DATE	[illegible]	[illegible]	TERMS
9/20/16	MF	[illegible]	COD

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME 1/4	$7.50	$17.50		TALENT Jaxi Kon	$10	$10.00		DUBS		
	ENG.				WRITING						
	EDITTING 1/4	$7.50	$2.50								
									STUDIO		$24.00
									SERVICES		$10.00
									SALES TAX		
		$24.00				$10.00					$34.00

THANK YOU RECIEVED BY:

INVOICE NO. 169611

TO Jim Jaffbey in the First

Sub: Radio Production/Drought

media one
MEDIA ONE INC. P.O. BOX 1872
SIOUX FALLS S.D. 57101
605-339-0000

INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS
9/8/76	MAIL	Jim Jaffbey	C.O.D.

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME 1 hr	17.5	$17.50		TALENT 1 voice	20	$20.00		DUBS		
	ENG. 1 hr	6.5	6.50		WRITING 1 hr	6.5	6.50				
	EDITTING 1 hr	6.5	6.50								
									STUDIO		$30.00
									SERVICES		26.50
									SALES TAX		
			$30.50				$26.50				$57.00

X THANK YOU RECIEVED BY:

INVOICE NO. 16962

TO: Tom Gaffey in The First

SUB: dabs, mailing

INVOICE DATE	SHIP VIA		
7/20/26	UPS		

STUDIO				SERVICES				AMOUNT	
ORDR		PRICE	AMT.	ORDR		PRICE	AMT.	QUAN.	AMT.
	TIME				TALENT			6	25 $25.00
	ENG.				WRITING				
	EDITTING								mailing 2.57 $ 5.70
									SALES TAX
									$30.70

X THANK YOU

INVOICE NO. 169613

media one

TO Jim Suffey in The First

Sub: Radio Time Buy 9/21/76 - 10/1/76

	INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS
	9/20/76			

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME				TALENT				DUBS		
	ENG.				WRITING						
	EDITTING								Radio time-buy 9/21 - 10/1/76		$2005.22
									SALES TAX		
											$2005.22

THANK YOU RECIEVED BY:

INVOICE NO. JG 9614

TO Guffey for Congress

Sub: 15 Minute Radio Program / KKAA

	INVOICE DATE		REPRESENTATIVE	
	9/25/76	MI	Ken & Dan	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME 1 hour	17.5	17.50		TALENT				DUBS 1 (Cash)	40	4.00
	ENG. 1 hour	6.5	6.50		WRITING						
	EDITTING 2 hours	6.5	13.00								
									SALES TAX		.20
									Studio		27.00
			37.00								27.20

THANK YOU

RECEIVED

INVOICE NO. J6106●1

TO Suffery Mfg the First
~~KELO-LAND TV~~

MEDIA ONE INC. P.O. BOX [illegible]
SIOUX FALLS S.D. [illegible]
605-339 0000

Sub: Suffery Television J6106●1 KELO-TV

	INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS
	10/[illegible]/76	M/C	[illegible]	net cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME				TALENT				DUBS		
	ENG.				WRITING						
	EDITTING										
					Television Time-Buy						
					KELO 10/3/76 -						
					10/8/76		$4000				
									SALES TAX		
											$4000

X THANK YOU RECIEVED BY:

INVOICE NO. JG1062

media one

TO Jim Guffey for Congress

Sub: Television Production / "Dominos"

INVOICE DATE	SHIP VIA		
10/12/76		for 1 Day	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		WEIGHT	AMT.
	(editing)				(audio)						
1	TIME 6 hr	17.50	17.50	1	TALENT voice	20	$20.00		DUBS		
1	ENG. 6 hr	6.5	6.50		WRITING						
	EDITTING										
					M Ward (studio / film (editing)		$93.25				
					DeLuxe Film Lab (processing)		$23.02				
					Studio rental		$65.00				
					3 video-tape dubs	25	$75.00				
					C Lawrence (consultant		$25.00				
					K Mills (producer		$25.00		SALES TAX		
									STUDIO		$4.00
									SERVICES		$26.27
		$24.00					$526.27				$554.77

THANK YOU

RECEIVED BY:

INVOICE NO. JG1063

TO Jim Guffey for Congress

media one

MEDIA ONE INC. P.O. BOX 1872
SIOUX FALLS S.D. 57101
605-339 0000

SUB: Television timebuy

INVOICE DATE	VIA	REPRESENTATIVE	TERMS:
10/8/96		Jim	cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME				TALENT				DUBS		
	ENG.				WRITING						
	EDITTING										
					KXON-TV (P.O. #0313)		$62.00				
					KSFY-TV (P.O. #0312)		$365.50				
					KELO-TV (P.O. #0314)		$50.00				
									SALES TAX		
							$577.50				

THANK YOU RECIEVED BY:

INVOICE NO. J61065 relisting

TO Jim Guffey in the First

Sub: Radio - time buy 10/26/76 - 11/2/76

media one

MEDIA ONE INC. P.O. BOX 1672
SIOUX FALLS S.D. 57101
605-339 0000

	INVOICE DATE	SHIP VIA	REPRESENTATIVE	TERMS
	10/25/76	MI	Ed Sr	Cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
	TIME				TALENT				DUBS		
	ENG.				WRITING						
	EDITTING				KELO		75.00				
					KSOO		56.00				
					KXPB		18 53				
					KISD		29 41				
					KKAA		71.53				
					KSDN		51.17				
					KSDR		49 76				
					KBRK		28 24				
					KMSD		20.59		SALES TAX		
					KQHC		24.35				
							$479.58				$47.58

X THANK YOU RECIEVED BY:

INVOICE NO. JG 1064

TO Jim Guffey for Congress

media one
MEDIA ONE INC. P.O. BOX 3672
SIOUX FALLS S.D. 57101
605-339 0000

Sub: Radio Production / 3 Minute Statement

	INVOICE DATE	SHIP VIA	REPRESENTATIVE	
	10/24/76	MI	Net 30 Days	Net cash

STUDIO				SERVICES				MATERIALS			
CODE		PRICE	AMT.	CODE		PRICE	AMT.	CODE		PRICE	AMT.
1	TIME hr	17.5	17.50	1	TALENT voal tag	10	10.00	10	DUBS	25	25.00
1	ENG. hr	6.5	6.50		WRITING						
2	EDITTING hr	6.5	6.50								
									STUDIO		30.50
									SERVICES		10.00
									SALES TAX (.05)		1.25
			30.50				10.00				41.75

X THANK YOU RECIEVED BY:

LEDGER

MEDIA ONE, INC.
P O Box 1172
SIOUX FALLS, SOUTH DAKOTA 57101
Phone: (605) 338-0000

Gaffey in the First Committee
Box 1182
104 E. 8th Street
Sioux Falls, South Dakota 57102

TERMS

			BALANCE FORWARD ▸	0.00
5/27	Retainer		300.00	300.00c
6-09			2100.00	2400.00c
6-10	# JG-561	110.50		
	JG-562	105.50		
	JG-563	100.00		
	JG-564	105.50		
	JG-565	81.50		
	JG-566	39.50		
	JG-567	33.08		
	JG-568	82.50		
	JG-569	82.50		
	JG-5690	65.50		1593.92c
6-18			1800.00	3393.92c
7-08	# JG-5611	3674.29		280.37
7-14	# JG-562	848.00		1128.37
7-20	# JG-761	216.47		1134.84
7-09			1000.00	344.84
7-31	JG-763	55.75		400.59
8-09	# JG-861	54.99		455.58

MEDIA ONE, INC. LEDGER

MEDIA ONE, INC.
P O Box 1172
SIOUX FALLS, SOUTH DAKOTA 57101
Phone: (605) 338-0000

Gaffey in the First Committee
Box 1182
104 E. 8th Street
Sioux Falls, South Dakota 57102

TERMS

			BALANCE FORWARD ▸	455.58
9-09			200.00	255.58
9-07	# JG-961	216.62		472.20
9-04	# JG-962	95.[illegible]		567.79
9-10	# JG-963	40.73		608.52
9-08	# JG-964	69.37		677.89
9-15	# JG-965	40.[illegible]		717.[illegible]
9-21			1300.00	582.11c
9-21	# JG-966	100.00		482.11c
9-20	# JG-967	57.00		425.11c
9-20	# JG-968	57.00		368.11c
9-20	# JG-969	57.00		311.11c
9-20	# JG-9610	34.00		277.11c
9-20	#JG -9611	57.00		220.11c
9-20	# JG-9612	30.70		189.41c
9-23			1200.00	1389.41c
9-20	# JG-9613	2005.22		615.81
9-28	#		470.00	145.81
9-25	# JG-9614	41.20		187.01
10-11			600.00	412.99c
10-21	# JG-1061	470.00		57.01
10-12	# JG-1062	550.27		607.28
10-08	# JG-1063	577.50		1184.78

MEDIA ONE, INC. LEDGER

LEDGER

[redacted]
P.O. Box 1172
SIOUX FALLS, SOUTH DAKOTA 57101
Phone: (605) 339-0000

Gaffey in the First Committee
Box 1182
104 E. 9th St.
Sioux Falls, SD 57102

TERMS

	[redacted]			BALANCE FORWARD ▸ 1194.78
10-25	# JG-1064	66.75		1251.53
10-25			600.00	651.53
10-14	# JG-1065	~~75.00~~ 471.58		~~1127.02~~ 431.11
11-01			200.00	931.11
11-04			200.00	731.11

LEDGER

MEDIA ONE, INC.
P. O. Box 1172
SIOUX FALLS, SOUTH DAKOTA 57101
Phone: (605) 339-0000

Jim Gaffey
202 N. Lake Drive
Watertown, SD 57201

TERMS

	[redacted]			BALANCE FORWARD ▸ 731.11
2-24	Letter Sent			
2-10			500.00	231.11
5-25			231.11	0.00
			pd. in full	

8/14/78

Federal Election Commission
1325 K. St. N. W.
Washington, D. C.

Dear Sirs,

The attached are copies of letters I have sent to persons or businesses requesting documentation of checks written to them by the Guffey in the First Committee. This is in compliance with the FEC letter dated 1/23/78. Invoices from Media One, Inc. and Mr. Jody Severson are included in the material and I believe will serve the purpose of your request.

Sincerely,

Tim McDermott

Tim McDermott

8-14-78

O'Conner Printers, Inc.
3600 S. Duluth
Sioux Falls, S. Dak.

Dear Sir:

The Federal Election Commission has completed an audit of the "Guffy in the First Campaign Committee" records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 349.0 on 5-13-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

7 9 0 4 0 1 0 4 6 3 3

8/14/78

Warren Advertising, Inc.
Brookings, S. D.

Dear Sirs,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of a $200.00 payment made to you on 8/10/76.

Your assistance in providing me with a copy of the billing, invoice, or some other documentation of this payment will be greatly appreciated.

Sincerely,

Tim McDermott

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

2 0 1 0 1 6 3 7 1

8/14/78

Dear Jane,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of a $75.00 payment made to you on 8/5/76.

Your assistance in providing me with a copy of the billing, invoice, or some other documentation of this payment will be greatly appreciated.

Sincerely,

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

2010163

8/14/78

Dear Blaine,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of a $130.00 payment made to you on 8/31/76.

Your assistance in providing me with a copy of the billing, invoice, or some other documentation of this payment will be greatly appreciated.

Sincerely

Tim McDermott

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

7 0 0 1 0 1 6 3 5

8/14/78

Newton Mfg. Co.
Newton, Iowa

Dear Sirs,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee accounts of 1976. They have requested me to secure from you documentation of a $100.00 payment made to you on 9/17/76.

Your assistance in providing me with a copy of the billing, invoice, or some other documentation of this payment will be greatly appreciated.

Sincerely,

Tim McDermott

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

7 9 0 1 6 3 7

8/14/78

Howard Johnson Motel
3300 W. Russell
Sioux Falls, S. D.

Dear Sirs,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of a $106.15 payment made to you on 11/3/76.

Your assistance in providing me with a copy of the billing, invoice, or other documentation of this payment will be greatly appreciated.

Sincerely,

Tim McDermott

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

7001010633

8/14/78

Fife & Drum Wine & Gourmet Shop
900 E. 41
Sioux Falls, S. D.

Dear Sirs,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of payments to you in the amounts of: $66.98 & $75.63 on 11/1/76 & 11/2/76.
Your assistance in providing me with a copy of the billing, invoice, or other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
9 Parkview
Vermillion, S. D. 57069

8/14/78

Super 8 Motel
1508 W. Russell
Sioux Falls, S. D.

Dear Sirs,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of payments to you in the amounts of : $49.13 & 84.02 on 10/25/76 and 11/3/76.
Your assistance in providing me with a copy of the billings, invoices, or other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

7 9 0 1 0 1 0 4 2

8/14/78

Dear Betsy,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of payments to you in the amounts of:

39.50 on 6/23/76
70.00 on 10/8/76
112,50 on 7/9/76
124,87 on 7/30/76

Your assistance in providing me with a copy of these billings, invoices, or other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

7 2 0 1 0 1 6 1 1

8/14/78

Western Union
204 N. Phillips
Sioux Falls, S. D.

Dear Sirs,

The Federal Election Commission has completed an audit of the Muffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of a $250.00 payment to you 6/29/76. Your assistance in providing me with a copy of these billings, invoices, or some other documentation of this payment will be greatly appreciated.

Sincerely,

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

8-14-78

Sioux Falls Rubber Stamp Co.
212 So. Main
Sioux Falls, S. Dak.

Dear Sir:

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 28.61 on 10-4-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

8-14-78

Holiday Inn
100 W. 8th
Sioux Falls, S. Dak.

Dear Sir:

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$10.50 on 5-13-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

7 0 1 0 1 6 4 1

8/9/78

ACC4523

RECEIVED
FEDERAL ELECTION
COMMISSION

'78 AUG 21 PM 12:17

Federal Election Commission
1325 K. St. N.W.
Washington, D. C.

C00534G

Dear Sirs,

The attached year end report of the Guffey in the First Committee includes billings charging the campaign for services rendered. This report is in compliance of paragraph A of a letter dated 1/23/78.

This report is being filed in compliance with your request for information for audit execptions.

Sincerely,

J. Timothy McDermott

Tim McDermott

7 9 1 0 1 0 4 6 4 1

FEC FORM 3
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES FOR A CANDIDATE OR COMMITTEE SUPPORTING CANDIDATE(S) FOR NOMINATION OR ELECTION TO FEDERAL OFFICE

(Except for Candidates or Committees Receiving Federal Matching Funds)

RECEIVED
FEDERAL ELECTION COMMISSION
'76 AUG 21 PM 12:17

Note: *Committees authorized by a candidate to receive contributions and make expenditures in connection with more than one election must maintain separate records with respect to each election.*

1 Name of Candidate or Committee (in full): Stuffy in the First Committee

2 I.D. No. C05-7223 (Candidate/Committee)

Address (number and street): #9 Parkview

3 Office Sought, State/District (if applicable): U.S. Congress 1st District

City, State and ZIP Code: Vermillion, S.D.

☒ Check if address is different than previously reported.

Year of Election: 1976

4 Type of Report (check appropriate boxes)

- ☐ April 10 Quarterly Report
- ☐ July 10 Quarterly Report
- ☐ October 10 Quarterly Report
- ☐ January 31 Annual Report
- ☐ Monthly Report _____ (month)
- ☐ Tenth day report preceding _____ election (primary, general or convention) on _____ (date) in the State of _____
- ☐ Thirtieth day report following _____ election (primary, general or convention) on _____ (date) in the State of _____
- ☐ Termination Report
- ☒ Amendment for Year End 1976 (which report)

This is a report for ☐ Primary Election ☒ General Election ☐ Primary and General ☐ Other (special, runoff, etc.)

SUMMARY OF RECEIPTS AND EXPENDITURES

(Figures may be rounded to nearest dollar.)

5 Covering Period 11/23/76 Through 12/31/76

	Column A This Period	Column B Calendar Year-To-Date
6 Cash on hand January 1, 19		$ 0
7 Cash on hand at beginning of reporting period	$ 140.00	
8 Total receipts (from line 19)	$ 0	$
(a) Subtotal (Add lines 7 and 8 for Column A and lines 6 and 8 for Column B)	$ 140.00	$
9 Total expenditures (from line 25)	$ 0	$
10 Cash on hand at close of reporting period (Subtract line 9 from line 8a)	$ 140.00	$
11 Value of contributed items on hand to be liquidated (Attach itemized list) $ 0		
12 Debts and obligations owed to the Committee/Candidate (itemize all on Schedule C)	$ 0	
13 Debts and obligations owed by the Committee/Candidate (itemize all on Schedule C)	$ 16,377.03	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

8/19/76 (Date) — T M Vermont (Typed Name of Treasurer or Candidate) — T M Vermont (Signature of Treasurer or Candidate)

Note: *Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. Section 437g, or Section 441j (see reverse side of form).*

For further information, Contact: Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463 or call 800/424-9530

Approved by GAO
B-187620 (R0506)
Expires 3-31-81

All previous versions of FEC FORM 3 are obsolete and should no longer be used.

Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.

DETAILED SUMMARY OF RECEIPTS AND EXPENDITURES
(Page 2, FEC FORM 3)

NAME OF CANDIDATE OR COMMITTEE	REPORT COVERING THE PERIOD FROM: TO:

RECEIPTS	Column A This Period	Column B Calendar Year-To-Date
14. Contributions from Individuals (including contributions in-kind):		
(a) Itemized (use Schedule A)	$ 0	
(b) Unitemized	$ 0	
(c) Sales and collections included above: List by event on memo Schedule D ($ _____)		
(d) Subtotal of contributions from individuals	$ 0	$
15. Transfers from Political Committees:		
(a) Funds from affiliated/authorized committee (Itemize on Schedule A regardless of amount)	$ 0	
(b) Funds from other committees (Itemize on Schedule A regardless of amount)	$ 0	
(c) Contributions in-kind from political committees (Itemize on Schedule A regardless of amount)	$ 0	
(d) Subtotal of transfers in and contributions in-kind from political committees	$ 0	$
16. Other Income		
(a) Itemized (use Schedule A)	$ 0	
(b) Unitemized	$ 0	
(c) Subtotal of other income	$ 0	$
17. Loans and Loan Repayments Received		
(a) Itemized (use Schedule A)	$ 0	
(b) Unitemized	$ 0	
(c) Subtotal of loans and loan repayments received	$ 0	$
18. Refunds, Rebates, Returns of Deposits:		
(a) Itemized (use Schedule A)	$ 0	
(b) Unitemized	$ 0	
(c) Subtotal of refunds, rebates, returns of deposits	$ 0	$
19. Total Receipts	$ 0	$
EXPENDITURES		
20. Operating Expenditures		
(a) Itemized (use Schedule B)	$ 0	
(b) Unitemized	$ 0	
(c) Subtotal of operating expenditures	$ 0	$
21. Loans, Loan Repayments, and Contribution Refunds Made:		
(a) Itemized (use Schedule B)	$ 0	
(b) Unitemized	$ 0	
(c) Subtotal of loans and loan repayments made and contribution refunds	$ 0	$
22. Transfers Out to Political Committees		
(a) To affiliated/authorized committee (Itemize on Schedule B regardless of amount)	$ 0	
(b) To other committees (Itemize on Schedule B regardless of amount)	$ 0	
(c) Contributions in-kind to other committees (Itemize on Schedule B regardless of amount)	$ 0	
(d) Subtotal of transfers out	$ 0	$
23. Independent Expenditures (use Schedule E)	$ 0	$
24. Coordinated Expenditures Made by Political Committees (2 U.S.C. 441a(d)) (Itemize on Schedule F)	$ 0	$
25. Total Expenditures	$ 0	$
RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
26. Total Receipts (from line 19)	$ 0	
27. Transfers In (from line 15(a))	$ 0	
28. Net Receipts (Subtract line 27 from line 26)	$ 0	
29. Total Expenditures (from line 25)	$ 0	
30. Transfers Out (from line 22(a))	$ 0	
31. Net Expenditures (Subtract line 30 from line 29)	$ 0	

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 1 of ____ for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full: Suffey in the First Committee

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
James V. Suffey 202 N. Lake Dr. Watertown, S.D.	1/31/76	$ 8500.00	$ 0	$ 8500.00
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
The 8500 is a total of 3 loans made by the candidate to his own campaign.
6/7/76 = 3500.00
6/15/76 = 2000.00
8/6/76 = 3000.00

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Tim McDermott #9 Parkview Vermillion, S.D.	12/31/76	[redacted] $ 2920.88	[redacted] $ 2316.88	$ 604.00
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by myself on behalf of the campaign. They include an agreed upon house payment, food and gasaline expenses.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Jody Severson 722 W Capital Pierre, S.D. 57501	12/31/76	5818.22	4022.02 [redacted]	1796.20
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
This amount reflects expenses incurred by Severson on behalf of the campaign. They include travel, food, house payments, etc. A list of Seversons statements are included.

SUBTOTALS this period this page (optional)	$	$	$ 10,500.20
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance **only** to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 2 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
S P Press Service Brookings, S.D.	7/14/76 thru 7/21/76	$ 5-22 cr	$ 0	$ 5-22 cr
( ) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
Placement of campaign ads in weekly newspapers.
Statement enclosed.

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
The House that Jack Built Box 937 Pierre SD 57501	11/16/76	$ 1390.37	$ 454.20	$ 845.17
(X) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
Statement enclosed. Buttons, bumper strips

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Imprint 815 W. 13th Sioux Falls, SD 57104	10/4/76	$ 1363.40	$ 400.00	$ 963.40 cr
(X) Primary ( ) General ( ) Other				

NATURE OF OBLIGATION (Details of Debt)
For printing, photographs, & printing materials.
Invoice included.

SUBTOTALS this period this page (optional)	$	$	$ 2330.57
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance only to appropriate line of summary

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 3 of ____ for

Line Number _____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
O'Connor Productions 3600 S. Dakota Sioux Falls, SD		[redacted]	948.76	1195.- CE
☐ Primary ☐ General ☐ Other		$ 2143.76	$	$

NATURE OF OBLIGATION (Details of Debt)
Printing, statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Gourley Distributing 324 N Main Sioux Falls, SD		16.44	0	16.44
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
Office supplies, Statements included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Creative Printing 214 W Pleasant Dr. Pierre, SD	9/22/76 thru 10/6/76	[redacted] $ 582.27	485.46 $	96.81 $
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
Type setting negatives & printing material

SUBTOTALS this period this page (optional)	$	$	$ 1308.25
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance **only** to appropriate line of summary.

SCHEDULE C
REVISED
January, 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC FORM 3

(Indicate Primary or General Election for each Entry)

Page 4 of ____ for

Line Number ____

(Use Separate Schedules for each numbered line)

Name of Candidate and Committee in Full

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ani-Gen Productions 1155 15th St NW Washington, DC	6/12/76	$ 373.60	$	$ 373.60
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
Video Recording + materials
Billing included

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Brown & Saenger 711 W Russell Sioux Falls, S.D	11/23/76	$ 530.23	$ 180.39	$ 349.84
☐ Primary ☐ General ☐ Other				

NATURE OF OBLIGATION (Details of Debt)
Office supplies + printing materials
billing enclosed

Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Ball Aero Huron S.D.		137.97	0	137.97
☐ Primary ☐ General ☐ Other		$	$	$

NATURE OF OBLIGATION (Details of Debt)
A + transport

SUBTOTALS this period this page (optional)	$	$	$ 86
TOTAL this period (last page this line number only)	$	$	$

Carry outstanding balance **only** to appropriate line of summary

Schedule C
July 1976
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

Debts and Obligations for Line Numbers 26 and/or 27 of FEC Form 3

(see Instructions on back)

Page 5 of ____ for
LINE NUMBER ____
(Use separate schedule(s) for each numbered line)

Name of Candidate or Committee in full		Amount of Original Debt, Contract, Agreement, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
Full Name, mailing address and ZIP code, and nature of obligation Vermillion Flying Service Vermillion, S.D.	Date (month, day, year)	$ 58.38	$ 0	$ 58.38
Full Name, mailing address and ZIP code, and nature of obligation Discovery Corp. Box 2858 Rapid City, S.D.	Date (month, day, year)	$ [redacted] 516.99	$ 150.00	$ 366.99
Full Name, mailing address and ZIP code, and nature of obligation Tenax 7171 Mercy Rd. Omaha, Neb.	Date (month, day, year)	$ 371.23	$	$ 371.23
Full Name, mailing address and ZIP code, and nature of obligation F B M Kansas City, Mo	Date (month, day, year)	$ 210.00 [redacted]	$ 30.00	$ 180.00
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Full Name, mailing address and ZIP code, and nature of obligation	Date (month, day, year)	$	$	$
Subtotals this period this page (optional) ▶		$	$	$ 976.30
Total this period (last page this line number only) ▶		$	$	$
Carry outstanding balance only, to appropriate line of summary.				16 377.03

Expenses start 9/20/76

McDonald's

8.10 gas
4.50 food
264.00

276.60 Master charge
34
310.00
6.00 gas
316.00
129.00 Master charge
169.00 Bank America
614.00
5.00 gas
619.00
365.00 car & house
984.00 paid 10/24/76
200.00 paid 11/27/76
~~784.00~~
5 84.00
20.00 gas & oil
604.00

7306
400
7706

MEMO

to: Tim McDermott

from: Jody Severson May 4, 1976

re: my expenses thru April 30, request for reimbursement

Stipend for Max April $440

March 16: Miller Studio (film) 4.71
Porter Sales (ofc. supplies)..... 6.50
March 17: Miller Studio (photos) 5.05
March 23: Miller Studio (photos)..... 36.12
March 24: Miller Studio (film)..... 4.32
March 26: Miller Studio (prints)..... 7.80
April 2 Red Owl (film) 3.90
3.90
1.55
1.55
March 30: splicing leader... .99
splicing tape..... 1.39
photos..... 8.72
March 27: gas from Brookings to Pierre..... 6.00
April 5: Super 8 room rent for McDermott..... 9.50
dinner tab: JS, Mac, Stan & Helen F. 42.60 (the Galley)
April 16: Xerx petitions..... .90 (American ofc)
April 20: Xerx letter to HHH... 1.20
April 28: gas to Huron.....8.00 (Consumers League, legis coalition)

mccl phone calls charged to my home phone during March and thru Apr. 10....
$ 65.27

April 8: OK Hdwe: light, mccl ofc stiff... 8.40

April 29: Musgraves, SF office chair: $15.75

April 29: Jake's Salvage, SF, office chrs, $52.50

April 29: J.C. Penny ofc. furn. salvage: $20

April 30: Western Airlines (SF to Pierre): $33

total expenses thru April 30:
#788.07

Paid 46 5/17
500
288.07

MEMO

to: McDermott

from: Severson 6/3/76

re: expenses for the month of May

Automobile.....$40

Stipend.....$440

5/3	Pierre-SF gas.....	5
	Lunch.....	1.40
5/5	gas.....	5
	dinner.....	5.79
5/6	lunch w/ Abourezk staff.....	7.82
5/7	lunch for Tritle, Wilson, me.....	15.87
5/7	plane fare to Pierre	33.
5/13	lunch.....	3.00
	dinner w/ Mac	7.00
	airport parking	10.75
5/14	plane fare to Pr.	34.00
5/18	dinner for staff	21.03
5/19	beef for envelope party.....	9.00
5/19	lunch w/Media I...	16.81
5/20	..lunch w/J.Anderson	5.36
5/21	lunch w/ B. Doyle	2.60
5/24	lunch w/ MacD..	4.51
5/26	lunch w/ DNC	3.00
5/27	coffee for office.....	4.18

phone calls charged to my home Apr. 10-May 7.....26.82

mccl. out of pocket probably runs.....25. (at least)

total.....$ 712.72

paid 440 - 6/3
100 - 6/23

balance 172.72

Cofax balance 152.72

TO: Mac

FROM: Jody 7/8/76

RE: My expenses for June, 1976

Balance carried forward from May statement: $152.72
June stipdend $440.

6/2 lunch w/staff $5.38
Western/p-sf $34.00
dinner w/stf $6.

6/3 staff lunch $6.08

6/5 plane fare to DC, return $256.

6/14 air p-sf $34

6/15 dinner w/ staff $7.93
drinks w/ some VIP (forgot who) 11.10
Osco Drug ofc. supplies 4.36

6/21 P-sf $34
lunch/staff $6.33
dinner/" 7.00

total $1,020.24

7/22/76 - $20 paid

7/16 - 400 paid

Mac: I have not been recording all my out of pocket little expenses-- food, parking tickets, etc., having drained my personal account net...bad bookkeeping. At some point we're going to ~~xxxxxx~~ have to feed me that in advance when my personal ability to carry is exhausted.

1020.24
-420.
600.24

TO: Mac

FROM: Jody 8/2/76

RE: July expenses

Balance carried forward from June statement $600.24
July stipend... $440.

7/2 drinks w/ R. Morris	$7.90
7/12 dinner w/ Wilson	7.93
7/13 gas	8.35
7/15 gx staff lunch	6.88
7/20 dinner	14.76
7/21 dinner w/Mac	7.46
7/29 air fare P-SF	34.00

total due..... $1127.52

20

1107.52 ← 8/11 - $20 pmt

8/17 760.00

347.52 - 7/16

50

297.52

TO: Mac

FROM: Jody, Sept. 20, 1976

RE: August Expenses

Balance carried forward from July Statement:		$297.52
August stipend:	$440	
8/5 lunch w/Media One	$15.04	
8/9 air fare Pierre-SF	34.00	
8/9 lunch w/Media One	7.12	
8/12 dinner w/Osterman-Kurtenbach	21.05	
8/16 air fare Pierre-SF	34.00	
8/16 gas for Wilson	10.80	
8/18 lunch w/Joan Kelly	10.86	
8/23 gas for Mark	8.40	
8/26 airfare to Atlanta and back	244.00	
8/31 Atlanat: hotel and out of pocket $$	164.00	

total: $1,286.79

- 744 00 — paid 9/20

542.79

.25 — 10/7

517.79

October 11

TO: Mac

FROM: Jody

RE: expenses for September

balance due from August statement: $517.79
September stipend $440

gas 10/23/76 $10.16

10/24 dinner for Gordon $6.83

10/24 airfare to Mitchell $28.

10/27 airfare, Pr-SF $34

total due $1036.78

-25.00 10/20

- 500.00 10/27

511.78

TO: Mac

FROM: Jody 11/2/76

RE: my final expense statement
(October 1 - Nov. 3)

Unpaid balance carried forward from September statement.... $511.78

10/1 Pir to SF air fare.....$34
Stipdend for October.....$440
10/7 air fare, SF-Pierre..$34
10/11 air fare, Pierre-SF...$34
10/14 bus fare to Aberdeen.....$12
10/14 dinner post debate for
candidate & staff.....$42
10/14 room overnight Super 8....$10.82
cash outlay on caravan 10/15...$14.00
gas on caravan....10/15.....$8.60

As per the statement I gave in you on July 8, wherein I noted that I have not been recording day to day out of pocket for meals, parking meters, kleenix, etc....figuring $5 per day since May 1, not countng weekends, my petty cash, living expense has been.... (130 days times $5).....$655

grand dotal.....$1796.20

Box 1182

To: Sioux Falls, S.D. 57101

Adv.: Jim Guffey for Congress

Size: 2 col. x 2½" - Weeks of June 14 and 21, 1976

SOUTH DAKOTA PRESS SERVICE, INC.

Billing Statement

Alcester Union	.98	.07	9.80
Alexandria Herald	.77	.055	
Alpena Journal	.70	.05	
Arlington Sun	1.26	.09	12.60
Armour Chronicle	.91	.065	
Artesian Commonwealth	.56	.04	
Avon Clarion	.84	.06	
Baltic Beacon	.84	.06	2.40
Belle Fourche Bee	.77	.055	
Beresford Republic	1.05	.075	10.50
Bison Courier	1.26	.09	
Bonesteel Enterprise	1.05	.075	
Bowdle Pioneer	.91	.065	
Brandon Tri City Reporter	.84	.06	
Bridgewater Tribune	.98	.07	1.80
Britton Journal	.98	.07	9.80
Buffalo Times Herald	.98	.07	
Burke Gazette	1.05	.075	
Canistota Clipper	.98	.07	9.80
Canova Herald	.63	.045	
Canton Sioux Valley News	1.19	.085	11.90
Carthage News	.56	.04	
Castlewood Hamlin Co. Republican	.70	.05	7.00
Centerville Journal	.91	.065	9.10
Chamberlain Register	1.19	.085	
Clark Co. Courier	.98	.07	
Clear Lake Courier	1.19	.085	
Colman Argus	.84	.06	
Colton Courier	.77	.055	
Conde News	.77	.055	
Corsica Globe	.70	.05	
Cresbard Beacon	.77	.055	
Custer Chronicle	1.26	.09	
Deadwood Black Hills Weekly	.98	.07	
Dell Rapids Tribune	1.26	.09	
Delmont Record	.84	.06	
De Smet News	1.12	.08	
Doland Times Record	.77	.055	
Draper Tribune	.63	.045	
Dupree Progress	.98	.07	
Eagle Butte News	.98	.07	
Edgemont Herald Tribune	.91	.065	
Elk Point Courier	1.12	.08	
Elkton Record	.84	.06	
Emery Enterprise	.77	.055	
Estelline Journal	.91	.065	
Eureka Northwest Blade	1.05	.075	
Faith Independent	.84	.06	
Faulkton Record	1.05	.075	
Fiandreau Moody Co. Enterprise	1.26	.09	
Fort Pierre Times	1.40	.10	
Frederick News	.77	.055	
Freeman Courier	1.05	.075	5.25
Garretson Weekly	.84	.06	
Gary Interstate	.70	.05	7.00
Geddes News	.77	.055	
Gettysburg Potter Co. News	1.12	.08	
Gregory Times Advocate	1.12	.08	
Groton Independent	.70	.05	7.00
Hartford Herald	.84	.06	8.40
Hayti Herald Enterprise	.98	.07	9.80
Hecla Independent	.77	.055	7.70
Herreid Prairie Picayune	.98	.07	
Highmore Herald	.98	.07	
Hill City Prevailer	.98	.07	
Hot Springs Star	1.19	.085	
Hoven Review	.77	.055	
Howard Miner Co. Pioneer	.91	.065	
Hudson Hudsonite	.91	.065	7.15
Hurley Leader	.98	.07	7.70
Ipswich Tribune	.98	.07	
Irene Tri-County News	.91	.065	9.10
Isabel Dakotan	.77	.055	
Java Herald	.70	.05	
Kadoka Press	.98	.07	
Kennebec Leader	.70	.05	
Kimball News	.98	.07	
Lake Andes Wave	1.26	.09	
Lake Preston Times	.91	.065	9.10
Langford Bugle	.70	.05	7.00
Lemmon Leader	1.19	.085	
Lennox Independent	.84	.06	8.40
Leola Herald	.77	.055	
McIntosh Corson Co. News	.63	.045	
Morristown World	.63	.045	
McLaughlin Messenger	.56	.04	
Marion Record	.84	.06	
Martin Bennett Co. Booster II	1.12	.08	
Menno Hutchinson Herald	.84	.06	
Milbank Grant Co. Review	1.40	.10	
Milbank Herald Advance	1.05	.075	10.50
Miller Press	.98	.07	
Mission Todd Co. Tribune Mellette Co. News	1.12	.08	
Mobridge Tribune	1.26	.09	
Montrose Herald	.84	.06	
Mt. Vernon News	.84	.06	
Murdo Coyote	.63	.045	
Newell Irrigator	.91	.065	
Onida Watchman	.84	.06	
Parker New Era	.98	.07	9.80
Parkston Advance	.98	.07	9.80
Philip Pioneer Review	.98	.07	
Pierre Weekly Capital Journal	1.26	.09	
Pierre Times	1.26	.09	
Plankinton South Dakota Mail	1.26	.09	
Platte Enterprise	.98	.07	
Pollock Pioneer	.70	.05	
Presho Lyman Co. Herald	.70	.05	
Pukwana Press Reporter	.56	.04	
Redfield The Press	1.26	.09	
Ree Heights Review	.56	.04	
Roscoe Independent	.84	.06	
Rosholt Review	.49	.035	
Salem The Special	1.26	.09	
Scotland Journal	.98	.07	
Selby Record	.91	.065	
Sioux Falls Sun	2.55	.18	
Sioux Falls The Suburban News	1.33	.095	6.65
Sisseton Courier	1.40	.10	
South Shore Gazette	.49	.035	
Spearfish Mail	1.26	.09	
Springfield Times	.77	.055	
Stickney Argus	.84	.06	
Sturgis Black Hills Press	1.54	.11	
Sturgis Tribune	1.54	.11	
Sturgis Rushmore Ads News	1.40	.10	
Timber Lake Topic	.77	.055	
Toronto Herald	.77	.055	
Tripp Ledger	1.05	.075	
Tyndall Tribune and Register	.98	.07	
Vermillion Plain Talk	1.26	.09	
Viborg Enterprise	.84	.06	
Volga Tribune	.98	.07	
Wagner Post	1.12	.08	
Wakonda Times	.91	.065	
Wall Courant	1.05	.075	
Wanbay Clipper	.70	.05	
Webster Reporter and Farmer	1.40	.10	
Wessington Times Enterprise	.77	.055	
Wessington Springs Independent	1.19	.085	
White Leader	.84	.06	
White Lake Aurora Co. Standard	.84	.06	
Whitewood Centennial	1.26	.09	
Wilmot Enterprise	.56	.04	
Winner Advocate	1.47	.105	
Wolsey News	.77	.055	
Woonsocket News	.98	.07	

DAILIES

Aberdeen American-News	.24	3.36	
Belle Fourche Post	.09	1.26	
Brookings Register	.16	2.24	
Deadwood Pioneer-Times	.17	2.38	
Huron Daily Plainsman	.17	2.38	
Lead Daily Call	.17	2.38	
Madison Daily Leader	.12	1.68	
Mitchell Daily Republic	.21	2.94	
Pierre Daily Capital Journal	.09	1.26	
Rapid City Daily Journal	.41	5.74	
Sioux Falls Daily Argus Leader	.56	7.84	
Watertown Public Opinion	.18	2.52	
Yankton Press and Dakotan	.13	1.82	

To: Sioux Falls, S.D. 57101

Adv.: "Jim Guffey..for Congress"

Size: 2 col. x 2½" - Weeks of June 14 and 21, 1976

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Rapid City Daily Journal	.41	5.74
Sioux Falls Daily Argus Leader	.56	7.84
Watertown Public Opinion	.18	2.52
Yankton Press and Dakotan	.13	1.82

STATEMENT

THE HOUSE THAT JACK BUILT, Inc.

Character — Integrity — Quality

"AS OLD AS THE STORY"

P. O. BOX 937 PIERRE, SOUTH DAKOTA 57501 PHONE 224-8487

Nov. 10, 19 76

SOLD TO

Guffey In The First
c/o Jim Guffey
202 N. Lake Drive
Watertown, S. D. 57201

Salesman_____ Terms: Net 10 day E.O.M.

9-10-76	1189	2500 bumper strips	193.00
9-21-76	1243	2500 bumper strips	193.45
9-30-76	1244	5000 campaign buttons	264.93
10-30-76	1328	2500 bumper strips	193.84
		Total amount due	845.12

A PARTIAL PAYMENT

of this past due account will be a welcome expression of your good intentions.

A 1% carrying charge will be added each month to the balance of all PAST DUE ACCOUNTS. This is an annual percentage rate of 12%.

IMPRINT
Quality offset printing

815 West 13th
Sioux Falls, S.D. 57104
(605) 332-3335

Cuffey In the First
108 E 8th
Sioux Falls, S.D. 57102

Date	Description	Debit	Credit	Balance
9/24	240 invitations	25.00		25.00
9/26	Carter/Cuffey photos	25.00		50.00
	Morrell photo	15.00		65.00
10/5	15,000 #20 lb white paper	82.50		147.50
10/3	30,000 envelopes $15/M	450.00		597.50
10/5	15,000 leaflets w/color	187.50		785.00
10/7	12,000 leaflets w/color	150.00		935.00
10/15	10,000 leaflets w/color	125.00		1060.00
	Correction: 10/5 credit-error		82.50	-82.50
				977.50
10/5	1500 #20 lb white paper	13.50		$991.00
10/14	10,000 coupons	117.00		$1108.00
			Tax	55.40
				$1163.40
11/1/96			-200	
				963.40

"We always make a good impression"

INVOICE

GOURLEY DISTRIBUTING CO.

TELEPHONE
(605) 336-1466

324 NORTH MAIN
BOX 1383
SIOUX FALLS, SO. DAK. 57101

A 28581

RADIO TELEVISION
STEREO
TAPE
CB EQUIPMENT

"YOUR COMPLETE ELECTRONICS & APPLIANCE DISTRIBUTOR"

Sold To Gurley in the first
Address ~~Box 1182~~ Box 1182
City Sioux Falls S.D. 57101

Date 20 Aug 19 76

Date Shipped 19

CASH	CHARGE	C.O.D.	CREDIT MEMO	YOUR ORDER NUMBER	SALESMAN	VIA	TERMS
	X						

B.O.	ORDERED	SHIPPED	PART NO	DESCRIPTION	UNIT LIST	UNIT NET OR DISC	TOTAL NET
	2	2	5 x 100	Memorex		2 61	522
	1	1	RB-5	3M Scotch			63

Please pay from this invoice ~~No statement~~ will be sent!

"B.O." BACK ORDERED
"C" CANCELLED
"SP" SPECIAL ORDER

Filled By

No. Pieces

MERCHANDISE TOTAL	585
TAX UNLESS RESALE MER.	29
P. P. & INSUR.	
TOTAL INVOICE	614

NO MATERIAL RETURNABLE WITHOUT OUR WRITTEN CONSENT.
Any claims for shortage or for other reason must be made within ten (10) days from receipt of goods.
We assume no responsibility for loss or damage in transit.

Received By

SANYO

hy-gain

INVOICE 0 1 6 6

GOURLEY DISTRIBUTING CO.

TELEPHONE
(605) 336-1466

324 NORTH MAIN
BOX 1383
SIOUX FALLS, SO. DAK. 57101

A 29193

RADIO TELEVISION
STEREO
TAPE
CB EQUIPMENT

"YOUR COMPLETE ELECTRONICS & APPLIANCE DISTRIBUTOR"

Sold To Duffey In the First Date 9-17-1976

Address 108 E. 8th

City Sioux Falls, S.D. Date Shipped 19

CASH	CHARGE	C.O.D.	CREDIT MEMO	YOUR ORDER NUMBER	SALESMAN	VIA	TERMS

B.O.	ORDERED	SHIPPED	PART NO	DESCRIPTION	UNIT LIST	UNIT NET OR DISC	TOTAL NET	
	1		TST 235	Robbins				85

Please pay from this invoice!
No statement will be sent!

"B.O." BACK ORDERED
"C" CANCELLED
"SP" SPECIAL ORDER

Filled By

No. Pieces

MERCHANDISE TOTAL	85
TAX UNLESS RESALE MER	04
P. P. & INSUR.	
TOTAL INVOICE	89

NO MATERIAL RETURNABLE WITHOUT OUR WRITTEN CONSENT.
Any claims for shortage or for other reason must be made within ten (10) days from receipt of goods
We assume no responsibility for loss or damage in transit.

Received By

SANYO

hy-gain

INVOICE

GOURLEY DISTRIBUTING CO.

TELEPHONE
(605) 336-1466

324 NORTH MAIN
BOX 1383
SIOUX FALLS, SO. DAK. 57101

A 29319

RADIO TELEVISION
STEREO
TAPE
CB EQUIPMENT

"YOUR COMPLETE ELECTRONICS & APPLIANCE DISTRIBUTOR"

Sold To Guffey Up the Forest Date 9-20 19 76

Address 102 E 8th

City Spearfish SD Date Shipped 19

CASH	CHARGE	C.O.D.	CREDIT MEMO	YOUR ORDER NUMBER	SALESMAN	VIA	TERMS
	X						

B.O.	ORDERED	SHIPPED	PART NO.	DESCRIPTION	UNIT LIST	UNIT NET OR DISC.	TOTAL NET
	2	2	RB-4-5	Scotch 3M.		.63	126
	2	2	Memorex 900	Tape		2.61	522

Please pay from this invoice!
No statement will be sent!
Thank you!

"B.O." BACK ORDERED
"C" CANCELLED
"SP" SPECIAL ORDER

Filled By

No. Pieces

MERCHANDISE TOTAL	648
TAX UNLESS RESALE MER.	32
P. P. & INSUR.	
TOTAL INVOICE	680

NO MATERIAL RETURNABLE WITHOUT OUR WRITTEN CONSENT.
Any claims for shortage or for other reason must be made within ten (10) days from receipt of goods.
We assume no responsibility for loss or damage in transit.

Received By

SANYO

hy-gain

INVOICE

GOURLEY DISTRIBUTING CO.

TELEPHONE
(605) 336-1466

324 NORTH MAIN
BOX 1383
SIOUX FALLS, SO DAK. 57101

A 31064

RADIO TELEVISION
STEREO
TAPE
CB EQUIPMENT

"YOUR COMPLETE ELECTRONICS & APPLIANCE DISTRIBUTOR"

Sold To Gurley In The 1st Date 10-7 19 76

Address 108 ~~East~~ Eighth

City ~~Sioux~~ Sioux Falls, S.D. 57102 Date Shipped 19

CASH	CHARGE	C.O.D.	CREDIT MEMO	YOUR ORDER NUMBER	SALESMAN	VIA	TERMS

B.O.	ORDERED	SHIPPED	PART NO.	DESCRIPTION	UNIT LIST	UNIT NET OR DISC.	TOTAL NET
	1		C-45	Scotch		2 49	2 49
				PLEASE PAY FROM THIS INVOICE. NO STATEMENT WILL BE ISSUED. THANK YOU.			

"B.O." BACK ORDERED
"C" CANCELLED
"SP" SPECIAL ORDER

Filled By

No. Pieces

NO MATERIAL RETURNABLE WITHOUT OUR WRITTEN CONSENT

Any claims for shortage or for other reason must be made within ten (10) days from receipt of goods. We assume no responsibility for loss or damage in transit.

MERCHANDISE TOTAL	2 49
TAX UNLESS RESALE MER.	12
F. P. & INSUR.	
TOTAL INVOICE	2 61

Received By

SANYO

hy-gain

ARI-GEM PRODUCTIONS, INC.

June 18, 1976

INVOICE

Guffey in the First
Box 1182
Sioux Falls, S.D. 57101

Att'n: Tim McDermott

Videotaping in Washington on June 11, 1976.

3/4" LOCATION VIDEO RECORDING

½ Day @ $300.00	$ 300.00

MATERIALS:

Four (4) KCS-20 Videocassettes @ $18.40	73.60
	$ 373.60

AMOUNT DUE:

$ 373.60

Thank you.

FORM 103 PAGE

NAME QUFFEY IN THE FIRST
ADDRESS BOX 1182
CITY 57101

6-1993

CREDIT DATA

DATE	FOLIO		CHARGES	CREDITS	BALANCE
				BALANCE FORWARD	
APR 15 76	29,028	1	6.19		6.19
APR 30 76		228		6.19	.00
MAY 13 76	31,963	3	123.21		123.21
MAY 13 76	31,964	1	39.89		163.10
MAY 25 76	33,333	1	52.24		215.34
JUN 22 76	35,694	1	7.56		222.90
JUN 25 76	36,388	3	13.02	8-15	235.92
JUL-6 76	18	1	17.80	1-79	253.72
JUL 15 76	923	1	13.17		266.89
JUL 23 76	2,072	3	23.42		290.31
JUL 27 76	2,498	3	1.58		291.89
JUL 29 76	2,764	1	8.82		300.71
AUG-6 76	3,463	1	11.03		311.74
AUG-6 76	3,464	1	20.86		332.60
AUG 13 76	4,270	3	19.31		351.91
AUG 13 76	4,271	1	1.21 3461	CR	350.70
AUG 20 76	4,731	3	10.92		361.62
AUG 24 76	5,192	1	16.34		377.96
SEP-1 76		381		On acct 50.00	327.96
SEP-7 76	6,096	3	17.67		345.63
SEP 17 76	7,388	1	17.34		362.97
SEP 20 76		403		" 50.00	312.97
SEP 26 76	8,322	3	14.49		327.46
OCT 4 76	9,134	3	17.17		344.63
OCT 13 76	10,132	3	17.17		361.80
OCT 19 76	10,803	1	12.77		374.57
OCT 20 76		440		on acct 50.00	324.57
OCT 21 76	11,163	1	8.61		333.18
OCT 23 76	11,691	1	16.66		349.84

11-23-76

Irene G the Amount owing and
Dave Brunsvold 711 West
Russell - Sioux Falls, SD 57104

LEDGER STATEMENT

BALL AERO

Box 18 Municipal Airport Phone 352-6461

HURON, SOUTH DAKOTA 57350

IN ACCOUNT WITH

Duffy's Inc Business

Box 1135

Huron S. D., South Dakota

DATE	DESCRIPTION	CHARGES	CREDITS	BALANCE
9-1	Inv 13977 138 K. K. 100	59 22		59 22
9-6	Inv 13819 - 2 SK Install	78 25		137 47
	All accounts due by the 10th of each month.			

Deadwood Avenue, Post Office Box 288, Rapid City, South Dakota 57701. Phone (605)342-6161

Cuffey in the First
Box 1182
Sioux Falls, S. D. 57101

DATE: 10-7-76

STATEMENT

AMOUNT: $ 366.99

DATE	REFERENCE	CHARGES	CREDITS	BALANCE
			BALANCE FORWARD	
9-1	7643	185.00		
9-7	7654	181.99		366.99
				Pay Last Amount in This Column

OUR TERMS ARE: NET 15 DAYS FROM DATE OF INVOICE. A LATE PAYMENT CHARGE OF 1 PER CENT PER MONTH (12 PER CENT PER YEAR) WILL BE ADDED TO ALL DELINQUENT ACCOUNTS. ALL DELINQUENT ACCOUNTS WILL BE SHIPPED C.O.D.

XEROX

OCTOBER 14, 1976

GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

CUSTOMER NUMBER 017675802

GENTLEMEN:

AS OF 10-04-76 OUR RECORDS SHOW AN OPEN BALANCE ON YOUR ACCOUNT OF $481.67 CONSISTING OF THE INVOICES LISTED BELOW:

INVOICE DATE	INVOICE NO	INVOICE AMOUNT	PO NUMBER
06-14-76	037106292	$180.33	
07-30-76	037866054	$108.50	
08-30-76	038414817	$50.00	
09-10-76	038732770	$110.44	
06-22-76	104908509	$92.40	

AS YOU KNOW, OUR TERMS OF SALE ARE PAYMENT UPON RECEIPT OF RENTAL INVOICES, SUPPLY INVOICES ARE NET 30 DAYS. THEREFORE IT IS IMPORTANT THAT YOU CLEAR THIS AMOUNT BY FORWARDING YOUR CHECK BY RETURN MAIL. IF YOUR PAYMENT HAS RECENTLY BEEN MADE, WE THANK YOU.

YOUR COOPERATION IN THIS MATTER IS APPRECIATED.

SINCERELY YOURS,
JOANNE CIFUNO

XEROX CORPORATION
7171 MERCY ROAD
OMAHA, NEBRASKA
68106

(402)393-6161

4103

TO SEPARATE PARTS 1 + 2 TEAR PERFORATION

Please Direct All Inquiries To:
XEROX CORPORATION
7171 MERCY ROAD
OMAHA NE 68106

Telephone 402-393-6161

XEROX

Purchase Order Number
Special Reference
Contract Number
Terms And Conditions Of Payment
PAYABLE UPON RECEIPT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1782
SIOUX FALLS, SD
57101

Invoice Date
06-14-76
Invoice Number
037106292
Customer Number
017675802
Please reference this number on inquiries to Xerox

XEROX 660 1 SER.# 283-976161 INITIAL INVOICE

		AMOUNT
MONTHLY USE CHARGE	06-08-76 TO 07-30-76	88.33
EQUIPMENT PLACEMENT CHARGE		32.00
SUB TOTAL		120.33
TOTAL		120.33

INSTALLATION DATE 06/08/76

INSTALLATION READING - METER 1 128

REGULAR PRICING

XEROX CORPORATION hereby certifies that these goods were produced in compliance with all applicable requirements of Sections 6, 7 and 12 of the FAIR LABOR STANDARDS ACT, as amended, and of regulations and orders of the UNITED STATES DEPARTMENT OF LABOR issued under Section 14 thereof.

IMPORTANT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1782
SIOUX FALLS, SD
57101

IMPORTANT

Please Send Remittance To:
XEROX CORPORATION
PO BOX 1674
MINNEAPOLIS MN 55480

Xerox DUNS Number	TR Code	Customer Number	Invoice Number	Invoice Date
07-767-8677	1	017675802	037106292	06-14-76

PLEASE PAY THIS AMOUNT $120.33

FOR XEROX USE ONLY 02 +103 426L 400990180 N 6463+3 5601 1 040

ORIGINAL

ORIGINAL

XEROX

CUSTOMER	INVOICE NO	INVOICE DATE
017675802	104908509	06-22-76

ORDER NO	ORDER DATE	PURCHASE ORDER NO
CM05102	06-15-76	

SPECIAL REFERENCE NO	G S A CONTRACT NO	REGISTRATION NO	TERMS OF SALE	
6781			NET 30 DAYS	NO

SHIP TO

GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

BILL TO

GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

PLEASE DIRECT INQUIRIES TO

XEROX CORPORATION
CHICAGO DISTR CTR
3000 DES PLAINES AVE
DES PLAINES IL
60018
TELEPHONE 312-297-3600

REMARKS

ISD COED 646343

SEE "REMIT TO" ADDRESS BELOW

CATALOG NO	DESCRIPTION	QUANTITY SHIPPED	UNIT PRICE	AMOUNT
006R00173	660 DRY IMAGER 2LB/CTN	1	33.00@	66.00
005R00055	660 DEVELOPER 2.5 LB PACKAGE	1	14.00	14.00
008R00535	660 DOCUMENT CARRIER 6/ENVELOPE	1	8.00	8.00
004R00038	* 3 STERATED WEB	1	NO CHARGE	

	SUB TOTAL	$88.00
SOUTH DAKOTA	TAX 4.00%	3.52
SIOUX FALLS	TAX 1.00%	.88
	INVOICE TOTAL	$92.40

* THE ABOVE ASTERISK DENOTES A NON TAXABLE ITEM
THE @ NOTES THAT THE UNIT PRICE FOR THIS ITEM IS PRICED PER LB. TOTAL ITEM AMT IS COMPUTED BY MULTIPLYING PRICE PER LB BY NO. OF LBS PER CARTON TIMES NO. OF CTNS ORDERED. THE QTY SHIPPED FOR TONER, DRY INK AND DRY IMAGER IS IN CARTONS.

PLEASE DETACH THIS PORTION AND RETURN WITH YOUR REMITTANCE

SHIP TO

GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

BILL TO

GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

PLEASE REMIT TO

XEROX CORPORATION
P O BOX B1624
MINNEAPOLIS MINN
55480

SALESMAN NO	BRANCH	TERMS	CUSTOMER NO	INVOICE NO	INVOICE DATE	AMOUNT
07-787-8627	4103	4	017675802	104908509	06-22-76	92.40

FOR XEROX USE ONLY 512 400990180 N P00000 T00001 D00001 T961

TO SEPARATE PARTS 1 + 2 TEAR PERFORATION

Please Direct All Inquiries To:
XEROX CORPORATION
7171 MERCY ROAD
OMAHA NE 68106

Telephone 402-393-6161

XEROX

Purchase Order Number

Special Reference

Contract Number

Terms And Conditions Of Payment
PAYABLE UPON RECEIPT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Invoice Date 07-30-76
Invoice Number 037866054
Customer Number 017675802
Please reference this number on inquiries to Xerox

XEROX 660 1 SER.# 283-976151 REGULAR PRICING

			AMOUNT
MONTHLY USE CHARGE AUGUST			50.00
METER USAGE 06-08-76 TO 07-19-76			
COPIES 128 1609 1481			
TOTAL COPIES 1481			
06 * NET BILLABLE COPIES * 1481	.039500	58.50	
NET USAGE CHARGE		58.50	58.50
SUB TOTAL			108.50
TOTAL			108.50

* USAGE PRORATED FOR 042 DAYS

* NUMBERS REFER TO EXPLANATIONS ON REVERSE OF INVOICE

XEROX CORPORATION hereby certifies that these goods were produced in compliance with all applicable requirements of Section 6, 7 and 12 of the FAIR LABOR STANDARDS ACT, as amended, and of regulations and orders of the UNITED STATES DEPARTMENT of LABOR issued under Section 14 thereof.

IMPORTANT — To Insure Proper Credit, PLEASE DETACH THIS PORTION AND RETURN WITH YOUR REMITTANCE — IMPORTANT

REMITTANCE COPY

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Please Send Remittance To
XEROX CORPORATION
PO BOX B-1624
MINNEAPOLIS MN 55480

Xerox DUNS Number	TR Code	Customer Number	Invoice Number	Invoice Date
07-774-1527	1	017675802	037866054	07-30-76

PLEASE PAY THIS AMOUNT — Invoice Amount $108.50

FOR XEROX USE ONLY 02 4103 426L 400990180 N W8047 5601 1 F15

ORIGINAL

TO SEPARATE PARTS 1 - 2 TEAR PERFORATION

Please Direct All Inquiries To
XEROX CORPORATION
7171 MERCY ROAD
OMAHA NE 68106

Telephone 402-393-6161

XEROX

Purchase Order Number [redacted]

Special Reference

Contract Number

Terms And Conditions Of Payment
PAYABLE UPON RECEIPT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Invoice Date
09-10-76

Invoice Number
038732770

Customer Number
017675802

Please reference this number on inquiries to Xerox

XEROX 660 1 SER.# 293-976181 REGULAR PRICING

			AMOUNT
MONTHLY USE CHARGE OCTOBER			50.00
METER USAGE 07-19-76 TO 09-07-76			
COPIES 1609 3139 1530			
TOTAL COPIES 1530			
06 * NET BILLABLE COPIES *	1530 .039500	60.44	
NET USAGE CHARGE		60.44	60.44
SUB TOTAL			110.44
TOTAL			110.44

* PLACE VERIFIED FOR 048 DAYS

* NUMBER KEYED TO EXPLANATIONS ON REVERSE OF INVOICE

XEROX FEDERAL IDENTIFICATION #16-046-8020

IMPORTANT IMPORTANT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

XEROX CORPORATION
PO BOX P-1624
MINNEAPOLIS MN 55480

PLEASE PAY
07-787-6677 1 017675802 038732770 09-10-76 THIS AMOUNT $110.44

FOR XEROX USE ONLY 02 4103 426L 400990180 N W7989 5601 1 115

TO SEPARATE PARTS 1 + 2 TEAR PERFORATION

Please Direct All Inquiries To:
XEROX CORPORATION
7171 MERCY ROAD
OMAHA NE 68106

Telephone 402-393-6161

XEROX

Purchase Order Number [redacted]

Special Reference

Contract Number

Terms And Conditions Of Payment
PAYABLE UPON RECEIPT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Invoice Date
08-30-76
Invoice Number
038414817
Customer Number
017675802
Please reference this number on inquiries to Xerox

XEROX 660 1 SER.# 283-976181 REGULAR PRICING

		AMOUNT
MONTHLY USE CHARGE	SEPTEMBER	50.00
	SUB TOTAL	50.00
	TOTAL	50.00

METER CARD WAS NOT RECEIVED

XEROX CORPORATION hereby certifies that these goods were produced in compliance with all applicable requirements of Section 6, 7 and 12 of the FAIR LABOR STANDARDS ACT, as amended, and of regulations and orders of the UNITED STATES DEPARTMENT of LABOR issued under Section 14 thereof.

IMPORTANT — To Insure Proper Credit, PLEASE DETACH THIS PORTION AND RETURN WITH YOUR REMITTANCE — IMPORTANT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Please Send Remittance To
XEROX CORPORATION
PO BOX B-1624
MINNEAPOLIS MN 55480

Xerox DUNS Number	TR Code	Customer Number	Invoice Number	Invoice Date
07-787-8727	1	017675802	038414817	08-30-76

PLEASE PAY THIS AMOUNT — Invoice Amount $50.00

FOR XEROX USE ONLY 02 4103 426L 400990180 N 00000 5601 1 D15

ORIGINAL

Xerox Corporation
7171 Mercy Road
Omaha, Nebraska 68106
402 393-6161

September 30, 1976

XEROX

Mr. Tim McDermott
Guffey in the First
Post Office Box 1182
Sioux Falls, South Dakota 57101

CUSTOMER NUMBER: 017675802

Dear Sir:

In the last month we have contacted your office three times in an attempt to receive payment on your account.

On September 14, 1976 we were told a payment of $371.23 would be mailed on Friday, September 17, 1976. As of today we have not received your payment and we have been unable to contact you at your office.

We called on September 29 and 30, and were unable to reach you, also.

Please forward your payment for $371.23 to my attention at the above address, to prevent further collection activity. Thank you.

Sincerely,

James R. Gagen

James R. Gagen
Customer Service Manager

TO SEPARATE PARTS 1 + 2 TEAR PERFORATION

Please Direct All Inquiries To:
XEROX CORPORATION
7171 MERCY ROAD
OMAHA NE • 68106

Telephone 402-393-6161

XEROX

Purchase Order Number

Special Reference

Contract Number

Terms And Conditions Of Payment
PAYABLE UPON RECEIPT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Invoice Date
10-30-76
Invoice Number
039523343
Customer Number
017675862
Please reference this number on inquiries to Xerox

XEROX 660 I SER.# 283-976181 CANCELLATION INVOICE

				AMOUNT
	MONTHLY USE CHARGE	10-21-76 TO 10-30-76*		16.67CR
	METER USAGE	09-07-76 TO 10-21-76		
	COPIES	3139 7881 4742		
	TOTAL COPIES	4742		
06 *	NET BILLABLE COPIES *	4300 .039500	169.85	
	NET BILLABLE COPIES *	442 .028000	12.38	
	NET USAGE CHARGE		182.23	182.23
	EQUIPMENT REMOVAL CHARGE			32.00
	SUB TOTAL			197.56
	TOTAL			197.56

REGULAR PRICING

* USAGE PRORATED FOR 043 DAYS

* CREDIT SENTS CREDIT FOR AMOUNT BILLED BEYOND CANCELLATION DATE

* NUMBERS REFER TO EXPLANATIONS IN REVERSE OF INVOICE

XEROX CORPORATION hereby certifies that these goods were produced in compliance with all applicable requirements of Section 12 of the FAIR LABOR STANDARDS ACT, as amended, and of regulations and orders of the UNITED STATES DEPARTMENT of LABOR issued under Section 14 thereof.

IMPORTANT — To Insure Proper Credit, Please Detach This Portion And Return With Your Remittance — IMPORTANT

Ship To/Installed At
GUFFEY IN THE FIRST
108 EAST 8TH ST.
SIOUX FALLS, SD
57101

Bill To
GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS, SD
57101

Please Send Remittance To:
XEROX CORPORATION
PO BOX 8-1624
MINNEAPOLIS MN 55480

Xerox DUNS Number	TR Code	Customer Number	Invoice Number	Invoice Date
07-784-1127	1	017675862	039523343	10-30-76

PLEASE PAY THIS AMOUNT → Invoice Amount $197.56

FOR XEROX USE ONLY 02 4103 426L 400990180 N 283476 5661 I 035 407997

ORIGINAL

IBM

Statement of Account

Account Number 13R-4004891

This notice reflects the status of your account as of 10/31/76

GUFFEY IN THE FIRST
PO BOX 1182
SIOUX FALLS SD 57102

Remit To:

IBM CORPORATION
PO BOX 1714
KANSAS CITY MO 64141

If name and/or address is incorrect, please indicate corrections on the remittance copy of this notice.
TO ASSURE PROPER CREDIT, ENCLOSE A COPY OF THIS NOTICE WITH YOUR REMITTANCE.

Invoice Number	Date		Amount	Transaction Description	Customer Reference
3WW7913	07/19/76	PAST DUE	30.00	RENTAL EQP	CATHERINE TRITLE
6K79287	08/16/76	PAST DUE	30.00	RENTAL EQP	CATHERINE TRITLE
7KG7807	09/20/76	PAST DUE	30.00	RENTAL EQP	CATHERINE TRITLE
8WNB316	05/24/76	PAST DUE	30.00	RENTAL EQP	CATHERINE TRITLE
8WRM889	06/21/76	PAST DUE	30.00	RENTAL EQP	CATHERINE TRITLE
5KLR239	10/18/76		30.00	RENTAL EQP	CATHERINE TRITLE

Total Due 180.00

Total due does not include any not-yet-due items of an installment contract.

If you have any questions regarding your account, please contact the Accounts Receivable Department

at: 1200 WEST AVE N
SIOUX FALLS SD 57104
Telephone: 605-336-3357

Z140-6010-1
U/M 001

8/14/78

Mr. Mark Orr
600 Leahdale
Lead, S. D.

Dear Sir,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure ffom you documentation of payments to you in the amounts of:

$50.00	on	10/20/76	50.00	on	8-31-76
25.00	on	10/25/76	100.00	on	9-8-76
50.00	on	10/28/76	100.00	on	9-24-76
200.00	on	11/3/76			

Your assistance in providing me with a copy of these billings, invoices, or other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott

~~9 Parkview~~ M'Dermott
Vermillion, S. D. 57069

8/14/78

8-14-78

U. S. Post Office
320 So. 2
Sioux Falls, S. Dak.

Dear Sir:

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$60.00	on 4/1/76
13.00	on 4/20/76
26.00	on 5/14/76
26.00	on 6/4/76
39.00	on 6/22/76
20.00	on 6/16/76
65.00	on 10/4/76
91.00	on 10/7/76
39.00	on 10/19/76
39.00	on 7/1/76
39.00	on 7/14/76
39.00	on 7/20/76
39.00	on 7/22/76
91.00	on 8/6/76
91.00	on 8/12/76
65.00	on 9/7/76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

8/14/78

Creative House
214 W. Pleasant Dr.
Pierre, S. D.

Dear Sirs,

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you in the amount of $100.00 and $92.00 on 8/31/76 and 7/28/76. Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

8-14-78

Ms. Beth MacDonald
Madison
Sioux Falls, S. Dak.

Dear Beth:

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requezted me to secure from you documentation of checks written to you as follows:

$ 90.00 on 6-23-76
90.00 on 7-9-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

8-14-78

Mr. John Zaiko

Dear John:

The Federal Election Commission has completed an audit of the "Guffey in the First Campaign Committee" records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 55.00	on	10-8-76
48.00	on	10-20-76
122.00	on	11-3-76
70.00	on	9-27-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

8-14-78

Mr. Bob Wilsen

Dear Bob:

The Federal Election Commission has completed an audit of the "Guffey in the First Campaign Committee" records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 100.00 on 10-8-76
50.00 on 7-29-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim MCDermott
#9 Parkview
Vermillion, S. Dak. 57069

7 0 0 4 0 1 0 4 6 8 7

8/14/78

Mr. Norman Eakes
1604 S. Kiwanis
Sioux Falls, S. D.

Dear Sir:

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$225.00	on	5/3/76
225.00	on	6/12/ 76
100.00	on	10/7/76
225.00	on	7/14/76
225.00	on	8/10/76
100.00	on	9/7/76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott

9 Parkview
Vermillion, S. D. 57069

8-14-78

Mr. Dick Kurtenbach

Dear Sir:

The Federal Election Commission has completed an audit of the "Guffey in the First Campaign Committee" records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 130.00	on	10-5-76
37.00	on	10-8-76
300.00	on	10-15-76
10.00	on	10-27-76
435.00	on	10-27-76
30.00	on	8-25-76
130.00	on	8-31-76
100.00	on	9-17-76
152.85	on	9-22-76
50.05	on	9-30-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

8-14-78

Mr. Paul Bjorneberg
3204 S. Glendale
Sioux Falls, S. Dak.

Dear Sir:

The Federal Election Commission has completed an audit of the Guffey in the First Campaign Committee records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 41.60 on 6-23-76
80.20 on 7-14-76
46.39 on 7-2-76
91.81 on 7-29-76
65.49 on 8-5-76
54.59 on 8-13-76
13.12 on 9-27-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#9 Parkview
Vermillion, S. Dak. 57069

8-14-78

Western Airlines Corp

Dear Sirs:

The Federal Election Commission has completed an audit of the "Guffey in the First Campaign Committee" records of 1976. They have requested me to secure from you documentation of checks written to you as follows:

$ 34.00	on	10-15-76
68.00	on	8-13-76
34.00	on	8-20-76
68.00	on	9-17-76
68.00	on	9-27-76

Your assistance in providing me with a copy of the billings, invoices, or some other documentation of these payments will be greatly appreciated.

Sincerely,

Tim McDermott
#8 Parkview
Vermillion, S. Dak. 57069

T. M. DERMOTT
#4 Parkview
Vermillion, S.D. 57069

7004 0104692

FEDERAL ELECTION COMMISSION
1325 K. st N.W
Washington, D.C.

PRIORITY MAIL

c/o CLARE Lindsey

FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

MEMORANDUM TO CHARLES STEELE

FROM: MARJORIE W. EMMONS MWE

DATE: AUGUST 11, 1978

SUBJECT: MUR 573 - Interim Report dated 8-8-78
Received in Office of
Secretary: 8-10-78, 10:21

The above-named document was circulated on a 24 hour no-objection basis at 1:00 p.m., August 10, 1978.

The Commission Secretary's Office has received no objections to Interim Report as of 3:00 p.m. this date.

August 10, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached Interim Report on MUR 573 distributed to the Commission.

Thank you.

7901010469 1

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
James V. Guffey	)	MUR 573
Guffey in the First Committe	)	

INTERIM REPORT

On July 12, 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee failed to: file a year-end report in violation of 2 U.S.C. §434(a)(1)(B); report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. §434(b)(9), (10) and (11); retain adequate documentation for certain of its expenditures in violation of 2 U.S.C. §432(d); itemize certain receipts in violation of 2 U.S.C. §434(b)(2), (3), (4) and (8) and keep records of these receipts in violation of 2 U.S.C. §432(c)(1); and report extinguishment of committee debts in violation of 2 U.S.C. §434(b)(12). The Commission also found reasonable cause to believe that James V. Guffey failed to file candidate reports upon assumption of his principal campaign committee's debts and obligations in violation of 2 U.S.C. §§434(a) and (b)(12) as interpreted by 11 C.F.R. §§101.1 and 101.3(c). The Commission found reasonable cause to believe that both the respondent committee and candidate failed to retain documentation of reported loans made to the candidate in violation of 11 C.F.R. §104.12(b)(1).

The staff received a call from Mr. Guffey upon his receipt of the conciliation agreement. He is preparing the materials and amendments requested in the agreement and is sending a timetable for submission of the required materials.

The Treasurer is now at a different address and a copy of the Commission's notification of reasonable cause to believe and the conciliation agreement were just sent to this address.

Date 8/8/78

William C. Oldaker
General Counsel

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

August 4, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

James T. McDermott
Treasurer
Guffey in the First Committee
P.O. Box 395
Highmore, South Dakota 57345

Re: MUR 573

Dear Mr. McDermott:

On June 12, 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee: failed to file a year-end report as required in 2 U.S.C. § 434(a)(1)(B); failed to report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof as required in 2 U.S.C. §434(b)(9), (10) and (11); failed to retain adequate documentation for certain of its expenditures as required in 2 U.S.C. §432(d); failed to itemize certain receipts as required in 2 U.S.C. § 434(b)(2), (3), (4) and (8) and keep records of these receipts as required in 2 U.S.C. §432(c)(1); failed to report extinguishment of committee debts as required in 2 U.S.C. §434(b)(12); and failed to retain documentation of reported loans to the Candidate, as required by 11 C.F.R. §104.12 (b)(1).

Please be advised that the Commission has a duty to make every endeavor for a period of not less than 30 days to correct such a violation by informal methods of conference, conciliation and pursuasion and to enter into a conciliation agreement. 2 U.S.C. §437g(a)(5)(A). If we are unable to reach agreement during that period, the Commission, may upon a finding of probable cause to believe that a violation has occurred, institute civil suit. 2 U.S.C. §437g(a)(5)(B).

Enclosed please find a conciliation agreement which this Office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of this agreement, please sign it and return it to the Commission within ten days of your receipt of this letter. If not, please contact Clare Lindsay at 202-523-4040 or toll free 800-424-9530 ext. 50 to discuss your objections to this agreement. The auditor in charge of this matter is Craig Russell, who may be reached at 202-523-4155.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

S 73 Lindsay

PS Form 3811 Apr. 1977 RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER Complete items 1, 2, and 3. Add your address in the RETURN TO space on reverse

1. The following service is requested (check one)
- ☐ Show to whom and date delivered ___c
- ☒ Show to whom, date, and address of delivery ___c
- ☐ RESTRICTED DELIVERY Show to whom and date delivered ___c
- ☐ RESTRICTED DELIVERY Show to whom, date, and address of delivery $ ___ (CONSULT POSTMASTER FOR FEES.)

2. ARTICLE ADDRESSED TO: James M. Dermott Duffy in the 1st Comm

3. ARTICLE DESCRIPTION
REGISTERED NO. | CERTIFIED NO. 945997 | INSURED NO.

(Always obtain signature of addressee or agent)

I have received the article described above
SIGNATURE ☐ Addressee ☒ Authorized agent

4. Kathryn M. Dermott
DATE OF DELIVERY AUG 8 - 1978
POSTMARK AUG 8 1978

5. ADDRESS (Complete only if requested) HIGHMORE, SD 57345

6. UNABLE TO DELIVER BECAUSE:
CLERK'S INITIALS RM

☆GPO 1977—O—249-595

CL 573

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
- ☐ Show to whom and date delivered ..... ¢
- ☑ Show to whom, date, and address of delivery ..... ¢
- ☐ RESTRICTED DELIVERY
  Show to whom and date delivered ..... ¢
- ☐ RESTRICTED DELIVERY
  Show to whom, date, and address of delivery $ ____
  (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
James T. McDermott

3. ARTICLE DESCRIPTION

REGISTERED NO	CERTIFIED NO	INSURED NO
	943717	

(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY | POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE | CLERK'S INITIALS

☆ GPO 1977 O-234 337

FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

POSTAGE AND FEES PAID

U.S. MAIL

postmarked 7-21-78

James T. McDermott
Treasurer
Guffey in the First Committee
#9 Park View Apartments
Vermillion, South Dakota 57069

CERTIFIED

943717

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

July 18, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

James T. McDermott
Treasurer
Guffey in the First Committee
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

On June 12, 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee: failed to file a year-end report as required in 2 U.S.C. § 434(a)(1)(B); failed to report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof as required in 2 U.S.C. § 434(b)(9), (10) and (11); failed to retain adequate documentation for certain of its expenditures as required in 2 U.S.C. § 432(d); failed to itemize certain receipts as required in 2 U.S.C. § 434(b)(2), (3), (4) and (8) and keep records of these receipts as required in 2 U.S.C. § 432(c)(1); failed to report extinguishment of committee debts as required in 2 U.S.C. § 434(b)(12); and failed to retain documentation of reported loans made to the Candidate, as required by 11 C.F.R. § 104.12(b)(1).

Please be advised that the Commission has a duty to make every endeavor for a period of not less than 30 days to correct such a violation by informal methods of conference, conciliation and pursuasion and to enter into a conciliation agreement. 2 U.S.C. § 437g(a)(5)(A). If we are unable to reach agreement during that period, the Commission, may upon a finding of probable cause to believe a violation has occurred, institute civil suit. 2 U.S.C. § 437g(a)(5)(B).

Enclosed please find a conciliation agreement which this Office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of this agreement, please sign it and return it to the Commission within ten days of your receipt of this letter. If not, please contact Clare Lindsay at 202-523-4040 or toll free 800-424-9530 ext. 50 to discuss your objections to this agreement.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
Guffey in the First Committee	)	MUR 573

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that the Guffey in the First Committee (the "Committee"): failed to file a year-end disclosure report for 1977 in violation of 2 U.S.C. § 434(a)(1)(B); failed to report and itemize certain expenditures in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. § 434(b)(9),(10) and (11); failed to retain adequate documentation for certain expenditures in violation of 2 U.S.C. § 432(d);failed to report and itemize certain receipts in violation of 2 U.S.C. § 434(b)(2),(3),(4) and (8) and keep records of these receipts in violation of 2 U.S.C. § 432(c)(1); failed to report extinguishment of committee debts in violation of 2 U.S.C. § 434(b)(12); and failed to retain documentation of reported loans in violation of 11 C.F.R. § 104.12(b)(1).

WHEREFORE, the Commission and Respondent, having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was notified of the above named instances of non-compliance in a letter of audit findings dated January 23, 1978 which granted the Committee 30 days in which to comply with the Auditor's recommendations. The Respondent Committee's Treasurer was contacted on February 27, 1978 by the Auditors, at which time the Treasurer indicated he had not prepared the reports and amendments nor obtained the documentation requested by the auditors. He further stated that he had no intention of doing so.

B. Respondent Committee's Treasurer refused acceptance of the Commission notification of reason to believe finding dated May 10, 1978.

WHEREFORE, Respondent agrees that:

I. 2 U.S.C. § 434(a)(1)(B) requires that a political committee supporting a candidate for election to Federal office shall file a report of receipts and expenditures as of December 31 of the calendar year in which the candidate ran for Federal office and no later than January 31 of the follwoing year, which shall be complete as of the close of the calendar year with respect to which the report is filed. The last full report filed by Respondent Committee was the 30 day post election report filed December 10, 1976. Respondent's failure to file a year-end report is a violation of 2 U.S.C. § 434(a)(1)(B).

II. 2 U.S.C. § 434(b)(9) and (10) require that a committee disclose on its reports to the Commission each person to whom expenditures have been made within the calendar year in an aggregate amount or value in excess of $100 together with the amount, date and purpose of each such expenditure. 2 U.S.C. § 434(b)(11) requires that the committee report the total sum of expenditures made by such committee during the calendar year. Failure of the committee to report total expenditures made in 1976 constitutes a violation of 2 U.S.C. § 434(b)(11). The Committee's further failure to itemize 25 of these expenditures which were in excess of $100 or aggregated in excess of $100 constitutes a violation of 2 U.S.C. § 434b(9) and (10).

III. 2 U.S.C. § 432(d) requires a Committee to keep a receipted bill, stating the particulars, for every expenditure made by the Committee in excess of $100 to the same person in a calendar year. Failure of the Committee to retain adequate supporting documentation for 104 of its expenditures is in violation of 2 U.S.C. § 432(d).

IV. 2 U.S.C. § 434(b)(2),(3),(4) and (8) require that a Committee report: the full name, address, occupation and principal place of business for every person contributing in an aggregate amount or value in excess of $100 within the calendar year and/or the name and address of such political committee from which funds were transferred, together with the date and amount of the transfer and/or contribution; the total sum of unitemizeable individual contributions made to or for the Committee during the reported period and the total sum of all receipts by or for the Committee during the reporting period.

2 U.S.C. § 432(c)(1) requires a Committee to keep an account of all contributions made to or for the Committee, and to identify each person contributing in excess of $50 and the date and amount thereof. The Committee's failure to report the source or receipt of two bank deposits to the Candidate's expense account totaling $1,236,86 and the source of $460 reported as beginning cash on hand on the Committee's first report are violations of 2 U.S.C. § 434(b)(2),(3),(4) and (8). The Committee's failure to maintain a record of these receipts is a violation of 2 U.S.C. § 432(c)(1).

V. 2 U.S.C. § 434(b)(12) requires, in part, that a Committee continuously report debts and obligations until extinguished and further requires disclosure of the circumstances and conditions under which any debt or obligation is extinguished. The last full report filed by the Committee disclosed outstanding debts and obligations of $16,343.39. Failure of the Committee to disclose the liquidation of the Committee's debts by their transfer to the Candidate is a violation of 2 U.S.C. § 434(b)(12).

VI. 11 C.F.R. § 104.12(b)(1) requires that a political committee maintain records of sufficient detail to verify matters required to be reported. The Committee's failure to retain any documentation describing the $8,500 in personal bank loans to the Candidate which were in turn loaned to the Committee and reported constitutes a violation of 11 C.F.R. § 104.12(b)(1).

VII. Respondent will pay a civil penalty in the amount of Five Hundred ($500) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

VIII. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a year-end report for 1976 including all unreported activity from November 23 to December 31, 1976 and accurately reflect year-end totals for 1976.

2) File a comprehensive amendment for 1976 including; for the period January 1, 1976 through November 22, previously omitted expenditures itemized where required; and source of previously omitted receipts, itemized where required;

3) Submit sufficient documentation establishing the source of unidentified deposits to Candidate's expense account and sufficient documentation to support the 104 insufficiently documented expenditures noted by the auditors.

4) File a committee report showing liquidation of Committee's debts by virtue of their transfer to the Candidate.

General Conditions

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

Date

William C. Oldaker
General Counsel

Date

James T. McDermott
Treasurer
Guffey in the First Committee

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

July 18, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

On June 12, 1978, the Federal Election Commission found reasonable cause to believe that you violated 2 U.S.C. § 434(a) and § 434(b)(12) as interpreted by 11 C.F.R. § 101.1 and § 101.3(c) by failing to file candidate reports upon assumption of your principal campaign committee's debts and obligations. The Commission also found reason to believe that you violated 11 C.F.R. § 104.12(b)(1) by failing to retain documentation of reported loans to your committee.

Please be advised that the Commission has a duty to make every endeavor for a period of not less than 30 days to correct such a violation by informal methods of conference, conciliation and persuasion and to enter into a conciliation agreement. 2 U.S.C. § 437g(a)(5)(A). If we are unable to reach agreement during that period, the Commission may, upon a finding of probable cause to believe a violation has occurred, institute civil suit. 2 U.S.C. § 437g(a)(5)(B).

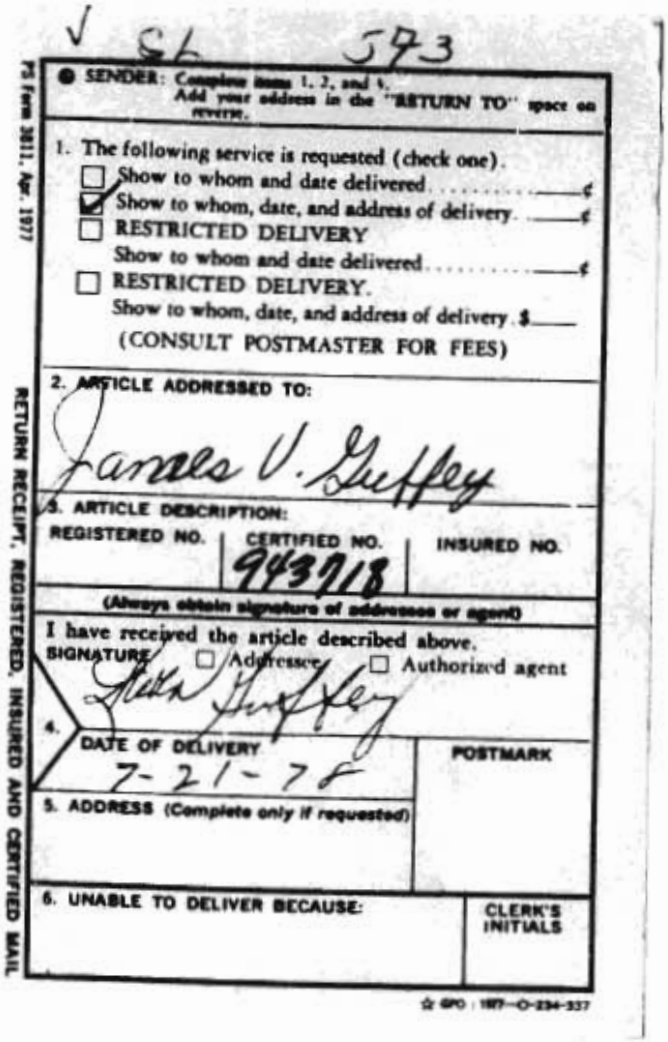
Enclosed please find a conciliation agreement which this Office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of this agreement, please sign it and return

it to the Commission within ten days of your receipt of this letter. If not, please contact Clare Lindsay at 202-523-4040 or toll free 800-424-9530, ext. 50, to discuss your objections to the agreement.

Sincerely,

William C. Oldaker
General Counsel

Enclosure



✓ SL 573

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 4. Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
- ☐ Show to whom and date delivered ..... ¢
- ☑ Show to whom, date, and address of delivery ..... ¢
- ☐ RESTRICTED DELIVERY
  Show to whom and date delivered ..... ¢
- ☐ RESTRICTED DELIVERY.
  Show to whom, date, and address of delivery $
  (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
James V. Guffey

3. ARTICLE DESCRIPTION:
REGISTERED NO. | CERTIFIED NO. 943718 | INSURED NO.

(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent
Stella Guffey

4. DATE OF DELIVERY 7-21-78 | POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE: | CLERK'S INITIALS

☆ GPO : 1977—O-234-337

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
James V. Guffey	)	MUR 573

CONCILIATION AGREEMENT

This matter having been initiated by the Commission in the ordinary course of carrying out its supervisory responsibilities, and, after an investigation, the Commission having found reasonable cause to believe that Mr. James V. Guffey (hereinafter referred to as "Respondent") violated 2 **U.S.C.** §§ 434(a) and 434(b)(12) of the Federal Election Campaign Act, ("the Act") as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c) in failing to file Candidate reports upon assumption of his principal campaign committee's debts and obligations and that Respondent also violated 11 C.F.R. § 104.12 (b)(1) by failing to maintain records with respect to loans made to the Respondent which proceeds were in turn loaned to Respondent's campaign committee.

WHEREFORE, the Commission and Respondent having duly entered into conciliation as provided for in 2 U.S.C. § 437g(a)(5), do hereby agree as follows:

I. The Federal Election Commission has jurisdiction over the Respondent and the subject matter in this case.

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

A. Respondent was granted a waiver by the Commission from personally filing reports of receipts and expenditures which, under 11 C.F.R. § 101.3, is valid for so long as the Respondent complies with the conditions of the waiver. Upon assumption of his principal campaign committee's debts and obligations and the unreimbursed payment by the respondent of some of these debts, the reporting waiver ceased to be applicable.

B. The Respondent assumed responsibility for his principal campaign committee's debts and obligations sometime around the filing of the 30 day post general election report on December 10, 1976, which was the last full report filed by the Respondent's principal campaign committee.

C. Respondent has failed to file any candidate reports subsequent to the 30 day post general election report filed by his committee even though the Respondent continues to have outstanding campaign obligations on which he claims to be making payments.

D. Respondent has also failed to make available, as requested by the Commission's auditors, evidence of interest paid on loans to the Respondent which monies were in turn loaned to the Respondent's committee. This evidence is required by the auditors in order that a determination can be made as to whether or not these loans were extended to the Respondent in the ordinary course of business as required in 2 U.S.C. § 431(e)(5)(G).

E. Respondent was notified of the above instances of non-compliance with the Federal Election Campaign Act in a letter of audit findings dated January 23, 1978 at which time the auditors recommended to the Respondent means by which he might bring himself into complaince and allowed 30 days for Respondent's reply. Respondent was granted a 15 day extension of time to reply to the auditors suggestions to March 14, 1978. Respondent was notified of the Commission finding of reason to believe violations had occurred on May 10, 1978.

WHEREFORE, Respondent agrees that:

I. Under 11 C.F.R. § 101.1, Respondent's candidacy continues for reporting purposes until all debts and obligations for which the candidate is personally obligated, arising in connection with the election, are extinguished.

II. Failure of the Respondent to file reports of receipts and expenditures since his assumption of his campaign debts and obligations and up to the time these obligations are extinguished constitutes violation of 2 U.S.C. § 434(a) and 2 U.S.C. § 434(b)(12).

III. Failure to produce evidence of interest paid on loans to Respondent which monies were in turn loaned to Respondent's committee constitutes a violation of 11 C.F.R. § 104.12(b)(1) which requires maintenance of records of matters required to be reported which shall provide in sufficient detail the necessary information from which the filed reports and statements may be verified.

IV. Respondent will pay a civil penalty in the amount of Five Hundred ($500) Dollars to the United States Treasury pursuant to 2 U.S.C. § 437g(a)(5)(B).

V. Respondent will comply with the auditor's recommendations stated in their letter of January 23, 1978 as follows:

1) File a Candidate report of receipts and expenditures showing the Respondent's assumption of campaign debts and obligations from the committee, the balance of debts and obligations outstanding as of Respondent's assumption of same, cumulative payments made to date by the Candidate and current balance outstanding.

2) File Candidate reports as applicable from the closing date of the report disclosing the Respondent's debt assumption until all campaign related debts and obligations are extinguished and Respondent's candidate status is terminated as required by the Act.

3) Obtain from the appropriate bank evidence of payment by the Respondent of interest on loans made to him which monies were in turn loaned to Respondent's campaign committee.

GENERAL CONDITIONS

I. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1), concerning the matters at issue herein, or on its own motion, may review compliance with this Agreement. If the Commission believes that this Agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

II. It is further agreed that this Conciliation Agreement is entered into in accordance with 2 U.S.C. § 437g(a)(5)(A), and that this Agreement, unless violated, shall constitute a complete bar to any further action by the Commission with regard to the matter set forth in this Agreement.

III. It is mutually agreed that this Agreement will become effective on the date that all parties hereto have executed the same and the Commission has approved the entire Agreement.

FEDERAL ELECTION COMMISSION

Date

William C. Oldaker
General Counsel

Date

James V. Guffey

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) MUR 573
James V. Guffey)
Guffey in the First Committee)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on July 12, 1978, the Commission determined by a vote of 6-0 to adopt the recommendation of the General Counsel to take the following actions in the above-captioned matter:

1. Find reasonable cause to believe that the Guffey in in the First Committee failed to: file a year-end report in violation of 2 U.S.C. Section 434(a)(1)(B); report certain of its expenditures and itemize those in excess of $100 or aggegating in excess thereof in violation of 2 U.S.C. Section 434(b)(9), (10), and (11); retain adequate documentation for certain of its expenditures in violation of 2 U.S.C. Section 432(d); itemize certain receipts in violation of 2 U.S.C. Section 434(b)(2), (3), (4) and (8) and keep records of these receipts in violation of 2 U.S.C. Section 432(c)(1); and report exteinguishment of committee debts in violation of 2 U.S.C. Section 434(b)(12).

CONTINUED

2. Find reasonable cause to believe that James V. Guffey failed to file Candidate reports upon assumption of his principal campaign committee's debts and obligations in violation of 2 U.S.C. Section 434(a) and Section 434(b) (12) as interpreted by 11 C.F.R. Sections 101.1 and 101.3(c).

3. Find reasonable cause to believe that both the respondent committee and candidate failed to retain documentation of reported loans made to the Candidate, in violation of 11 C.F.R. Section 104.12(b)(1).

4. Send the letters and conciliation agreements attached to the General Counsel's Report signed July 6, 1978.

7/12/78
Date

Marjorie W. Emmons
Secretary to the Commission

EXECUTIVE SESSION
July 12, 1978

BEFORE THE FEDERAL ELECTION COMMISSION
June 30, 1978

In the Matter of	)	
	)	
James V. Guffey	)	MUR 573
Guffey in the First Committee	)	

GENERAL COUNSEL'S REPORT

On May 5, 1978, the Commission found reason to believe that the Guffey in the First Committee failed to: file a year-end report as required in 2 U.S.C. § 434(a)(1)(B); report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof as required in 2 U.S.C. § 434(b)(9), (10) and (11); retain adequate documentation for certain of its expenditures as required in 2 U.S.C. § 432(d); itemize certain receipts as required in 2 U.S.C. § 434(b)(2), (3), (4) and (8) and keep records of these receipts as required in 2 U.S.C. § 432(c)(1); and report extinguishment of committee debts as required in 2 U.S.C. § 434(b)(12).

The Commission also found reason to believe that James V. Guffey failed to file Candidate reports upon assumption of his principal campaign committee's debts and obligations as required in 2 U.S.C. § 434(a) and § 434(b)(12) as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c). Reason to believe was also found that both the respondent Committee and Candidate failed to retain documentation of reported loans made to the Candidate, as required by 11 C.F.R. § 104.12(b)(1).

The Treasurer of the Guffey in the First Committee, James T. McDermott, refused the Commission's letter of notification mailed May 10, 1978.

James Guffey still has not replied to the Commission's letter of notification mailed May 10, 1978.

Mr. Guffey paid a visit to the Commission's Auditors in late April of this year at which time he discussed the materials he would be required to provide to bring himself into compliance.

Some information concerning his loans to his Committee has been submitted to the auditors, however, documentation of interest paid on these loans by the Candidate has yet to be provided. A member of the Office of General Counsel staff has placed a call to Mr. Guffey but was only able to talk with Mrs. Guffey as her husband was out of town. Mrs. Guffey was advised of the desirability of a prompt written response to the Commission's letter of notification. She said her husband was working on providing additional documentation, but would inform him of our call. No reply has been received by this office to date.

RECOMMENDATION

Find reasonable cause to believe that the Guffey in the First Committee failed to: file a year-end report in violation of 2 U.S.C. § 434(a)(1)(B); report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof in violation of 2 U.S.C. § 434(b)(9), (10) and (11); retain adequate documentation for certain of its expenditures in violation of 2 U.S.C. § 432(d); itemize certain receipts in violation of

2 U.S.C. § 434(b)(2), (3), (4) and (8) and keep records of these receipts in violation of 2 U.S.C. § 432(c)(1); and report extinguishment of committee debts in violation of 2 U.S.C. § 434 (b)(12).

Find reasonable cause to believe that James V. Guffey failed to file Candidate reports upon assumption of his principal campaign committee's debts and obligations in violation of 2 U.S.C. § 434 (a) and § 434(b)(12) as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c).

Find reasonable cause to believe that both the respondent committee and candidate failed to retain documentation of reported loans made to the Candidate, in violation of 11 C.F.R. § 104.12(b)(1).

Send the attached letters and conciliation agreements.

6 July 1978
Date

Charles N. Steele
Associate General Counsel

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

On June , 1978, the Federal Election Commission found reasonable cause to believe that you violated 2 U.S.C. § 434(a) and § 434(b)(12) as interpreted by 11 C.F.R. § 101.1 and § 101.3(c) by failing to file candidate reports upon assumption of your principal campaign committee's debts and obligations. The Commission also found reason to believe that you violated 11 C.F.R. § 104.12(b)(1) by failing to retain documentation of reported loans to your committee.

Please be advised that the Commission has a duty to make every endeavor for a period of not less than 30 days to correct such a violation by informal methods of conference, conciliation and persuasion and to enter into a conciliation agreement. 2 U.S.C. § 437g(a)(5)(A). If we are unable to reach agreement during that period, the Commission may, upon a finding of probable cause to believe a violation has occurred, institute civil suit. 2 U.S.C. § 437g(a)(5)(B).

Enclosed please find a conciliation agreement which this Office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of this agreement, please sign it and return

it to the Commission within ten days of your receipt of this letter. If not, please contact Clare Lindsay at 202-523-4040 or toll free 800-424-9530, ext. 50, to discuss your objections to the agreement.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

James T. McDermott
Treasurer
Guffey in the First Committee
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

On June , 1978, the Commission found reasonable cause to believe that the Guffey in the First Committee: failed to file a year-end report as required in 2 U.S.C. § 434(a)(1)(B); failed to report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof as required in 2 U.S.C. § 434(b)(9), (10) and (11); failed to retain adequate documentation for certain of its expenditures as required in 2 U.S.C. § 432(d); failed to itemize certain receipts as required in 2 U.S.C. § 434(b)(2), (3), (4) and (8) and keep records of these receipts as required in 2 U.S.C. § 432(c)(1); failed to report extinguishment of committee debts as required in 2 U.S.C. § 434(b)(12); and failed to retain documentation of reported loans made to the Candidate, as required by 11 C.F.R. § 104.12(b)(1).

Please be advised that the Commission has a duty to make every endeavor for a period of not less than 30 days to correct such a violation by informal methods of conference, conciliation and pursuasion and to enter into a conciliation agreement. 2 U.S.C. § 437g(a)(5)(A). If we are unable to reach agreement during that period, the Commission, may upon a finding of probable cause to believe a violation has occurred, institute civil suit. 2 U.S.C. § 437g(a)(5)(B).

Enclosed please find a conciliation agreement which this Office is prepared to recommend to the Commission in settlement of this matter. If you agree with the provisions of this agreement, please sign it and return it to the Commission within ten days of your receipt of this letter. If not, please contact Clare Lindsay at 202-523-4040 or toll free 800-424-9530 ext. 50 to discuss your objections to this agreement.

Sincerely,

William C. Oldaker
General Counsel

Enclosure

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

May 31, 1978

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS mwe

SUBJECT: MUR 573 - Interim Report dated 5-26-78
Received in Office of Commission
Secretary: 5-26-78

The above-mentioned document was circulated on a 24 hour no-objection basis at 1:30 p.m., May 30, 1978.

There were no objections to the Interim Report.

May 26, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached Interim Report on MUR 573 distributed to the Commission for approval.

Thank you.

7 0 0 1 0 1 0 1 7 2 5

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	
James V. Guffey	)	MUR 573
Guffey in the First Committee	)	

INTERIM REPORT

On May 5, 1978, the Commission found reason to believe that the Guffey in the First Committee failed to: file a year-end report, as required in 2 U.S.C. §434(a)(1)(B); report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof, as required in 2 U.S.C. § 434 (b)(9),(10) and (11); retain adequate documentation for certain of its expenditures, as required in 2 U.S.C. § 432(d); report and itemize certain receipts as required in 2 U.S.C. § 434(b)(2),(3), (4) and (8) and keep records of these receipts as required in 2 U.S.C. §432(c)(1); and report extinguishment of committee debts as required in 2 U.S.C. § 434(b)(12).

The Commission also found reason to believe that James Guffey failed to file candidate reports upon assumption of his principal campaign committee's debts and obligations as required in 2 U.S.C. § 434(a) and § 434(b)(12) as interpreted by 11 C.F.R. §§ 101.1 and 101.3(c). Reason to believe was also found that both the respondent Committee and Candidate failed to retain documentation of reported loans made to the Candidate, as required by 11 C.F.R. § 104.12(b)(1).

The Office of General Counsel is awaiting the written responses of the respondents to the Commission's letters of notification dated May 10, 1978.

26 May 1978
Date

William C. Oldaker
General Counsel

CL 573

PS Form 3811, Apr. 1977

RETURN RECEIPT, REGISTERED, INSURED AND CERTIFIED MAIL

● SENDER: Complete items 1, 2, and 3.
Add your address in the "RETURN TO" space on reverse.

1. The following service is requested (check one).
- ☐ Show to whom and date delivered. . . . . ¢
- ☒ Show to whom, date, and address of delivery. . . . . ¢
- ☐ RESTRICTED DELIVERY
  Show to whom and date delivered. . . . . ¢
- ☐ RESTRICTED DELIVERY.
  Show to whom, date, and address of delivery. $____
  (CONSULT POSTMASTER FOR FEES)

2. ARTICLE ADDRESSED TO:
James T. McDermott
#9 Park View Apts
Vermillion, So. Dakota 57069

3. ARTICLE DESCRIPTION:

REGISTERED NO.	CERTIFIED NO.	INSURED NO.
	938055	

(Always obtain signature of addressee or agent)

I have received the article described above.
SIGNATURE ☐ Addressee ☐ Authorized agent

4. DATE OF DELIVERY — POSTMARK

5. ADDRESS (Complete only if requested)

6. UNABLE TO DELIVER BECAUSE: — CLERK'S INITIALS

☆ GPO : 1977—O-234-337

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

RETURNED TO SENDER
REASON CHECKED
Unclaimed ____ Refused ____
Addressee unknown ____
Insufficient Address ____
No such street ____ number ____
No such office in state ____
Do not remail in this envelope

POSTAGE AND FEES PAID
U.S.MAIL

5-15-78 CM

Mr. James T. McDermott
Treasurer
Guffey in the First Committee
#9 Park View Apartments
Vermillion, South Dakota 57069

CERTIFIE
438085

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

May 10, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James T. McDermott
Treasurer
Guffey in the First Committee
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

This letter is to inform you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities the Federal Election Commission has determined that it has reason to believe that you have violated the Federal Election Campaign Act, as amended ("the Act"), in that the Guffey in the First Committee:

a) failed to file a year-end disclosure report for 1976, as required in 2 U.S.C. §434(a)(1)(B).

b) failed to report and itemize expenditures in excess of $100 or aggregating in excess thereof, as required in 2 U.S.C. §434(b)(9),(10) and (11).

c) failed to retain adequate documentation for expenditures, as required in 2 U.S.C. §432(d) which specifies retention of receipted bills stating the particulars of the expenditure.

d) failed to report, itemize and total receipts and contributions, providing name, address, occupation and principal place of business of contributors and name and address of contributing political committees as required in 2 U.S.C. §434(b)(2),(3),(4) and (8) and failed to keep records of these receipts as required in 2 U.S.C. §432(c)(1).

e) failed to report extinguishment of Committee debts as required in 2 U.S.C. §434(b)(12) when Committee debts are assumed by the Candidate.

f) and failed to retain documentation of reported loans as required by 11 C.F.R. §104.12(b)(1).

Each of these violations is outlined in greater detail in a letter to you from the Commission's auditors dated January 23, 1978, which discusses the audit findings concerning the Guffey in the First Committee.

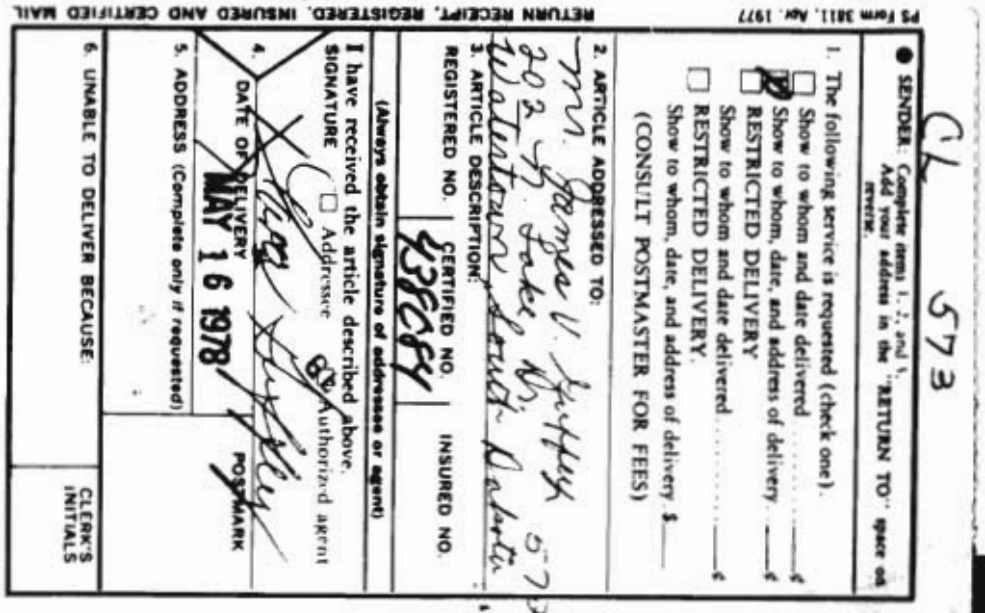
Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit, within 10 days of receipt of this letter, any factual or legal materials which you deem relevant to the Commission's investigation of this matter.

This letter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you state to the Commission in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202/523-4040 or toll free 800/424-9530).

Sincerely,

William C. Oldaker
General Counsel

cc: James V. Guffey
202 N. Lake Dr.
Watertown, South Dakota 57201



FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

May 10, 1978

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

This letter is to inform you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities the Federal Election Commission has determined that it has reason to believe that you have violated the Federal Election Campaign Act, as amended ("the Act"), in that you failed to file candidate reports upon assumption of your principal campaign committee's debts and obligations as required in 2 U.S.C. §434(a) and §434(b)(12) as interpreted by 11 C.F.R. §101.1 and §101.3(c) and failed to retain documentation of loans made to your committee as required in 11 C.F.R. §104.12(b)(1).

Under 2 U.S.C. §434(a), a candidate for election to a Federal office is required to file reports of receipts and expenditures with the Commission. A candidate may qualify for a waiver of reporting under 11 C.F.R. §101.3 as long as he complies with the conditions under which the waiver was granted. Once the candidate assumes the debts and obligations of his campaign committee and makes expenditures for his campaign expenses which are not reimbursed or reported as contributions by his campaign committee the waiver is no longer effective and the candidate is responsible for reporting his campaign related financial activity. Under 11 C.F.R. §101.1 the candidate remains subject to the reporting requirements until all debts and obligations for which the candidate is personally obligated arising in connection with the election are extinguished.

11 C.F.R. §104.12(b)(1) provides that the candidate shall maintain records with respect to matters required to be reported. Loans are reportable under 2 U.S.C. §434(b)(5). During the course of auditing your campaign, the auditors were not provided with any documentation of the loans you negotiated with banks, the proceeds of which you loaned to your campaign committee. Failure to retain records of such reportable loan activity is a violation of 11 C.F.R. 104.12 (b)(1).

Both of the above mentioned violations are outlined in a letter to you from the Commission's auditors dated January 23, 1978, which discusses the audit findings relating to the Guffey in the First Committee.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit, within 10 days of receipt of this letter, any factual or legal materials which you deem relevant to the Commission's investigation of this matter.

This letter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you state to the Commission in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202/523-4040 or toll free 800/424-9530).

Sincerely,

William C. Oldaker
General Counsel

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

May 10, 1978

CERTIFIED MAIL
RETURN RECEPIT REQUESTED

Mr. Shirkumar Poddar
2601 Cochise Lane
Okemos, Michigan 48364

Dear Mr. Poddar:

Enclosed please find a check for $22.00 for your per diem witness and mileage fees for your deposition on June 8, 1978 at 10:00 a.m. at the State Bar Building.

If you have any questions please contact Mr. David R. Spiegel or Elena King of my staff at 202/523-4529.

Sincerely,

William C. Oldaker
General Counsel

cc: Ken Birch

Enclosures

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of	)	
	)	MUR 573 (78)
James V. Guffey and	)	
the Guffey in the First	)	
Committee	)	

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on May 5, 1978, the Commission approved by a vote of 4-0 the recommendations in the First General Counsel's Report on the above-captioned matter as follows:

Find reason to believe,

1. The Guffey in the First Committee failed to:

   a. file a year-end disclosure report, as required in 2 U.S.C. §434(a)(1)(B);

   b. report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof, as required in 2 U.S.C. §434(b)(9), (10) and (11);

   c. retain adequate documentation for certain of its expenditures, as required in 2 U.S.C. §432(d);

   d. report and itemize certain receipts as required in 2 U.S.C. §434(b)(2), (3), (4) and (8) and keep records of these receipts as required in 2 U.S.C. §432(c)(1); and

   e. report extinguishment of committee debts as required in 2 U.S.C. §434(b)(12).

CERTIFICATION
MUR 573 (78)
First General Counsel's Report

2. That James Guffey failed to file candidate reports reports upon assumption of his principal campaign committee's debts and obligations as required in 2 U.S.C. §434(a) and §434(b)(12) as interpreted by 11 C.F.R. §§101.1 and 101.3(c).

3. That both the respondent committee and candidate failed to retain documentation of reported loans made to the candidate, as required by 11 C.F.R. §104.12(b)(1).

4. Send the proposed letters attached to the First General Counsel's Report.

Commissioners Harris, Springer, Staebler, and Tiernan voted on this matter.

Date: 5-5-78

Marjorie W. Emmons
Secretary to the Commission

Report dated : Undated
Received in Office of Commission Secretary : 5-2-78, 4:07
Circulated on 48 hour vote basis : 5-3-78, 11:30

May 1, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached 7 day report distributed to the Commission on a 48 hour tally basis.

Thank you.

7001010173a

April 28, 1978

MEMORANDUM TO: Marge Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 573

Please have the attached 7 day report on MUR 573 distributed to the Commission on a 48 hour tally sheet basis.

Thank you.

7904010173?

FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

May 1, 1978

MEMORANDUM

TO: THE COMMISSION

FROM: WILLIAM C. OLDAKER

SUBJECT: MUR 573

The Office of General Counsel requests that MUR 573 be withdrawn so that a corrected version of the First General Counsel's Report, attached herewith, can be circulated for approval.

The revised First General Counsel's Report contains a recommendation to find reason to believe which was inadvertenely omitted from the recommendation section of the original First General Counsel's Report.

FEDERAL ELECTION COMMISSION

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO COMMISSION

MUR NO. 573
STAFF MEMBER(S) Lindsay

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D
(Audit Findings) - See Attachment

RESPONDENT'S NAME: James V. Guffey
Guffey in the First Committee

RELEVANT STATUTE: 2 U.S.C. §432(c)(1), §432(d), §434(a), §434(a)(1)(B), §434(b)(2), (3), (4) & (8), §434(b)(9)(10) & (11), §434(b)(12), 11 C.F.R. §§ 101.1 & 101.3(c), 104.12(b)(1).

INTERNAL REPORTS CHECKED:

FEDERAL AGENCIES CHECKED:

GENERATION OF MATTER

That the Guffey in the First Committee failed to: file a year-end disclosure report as required in 2 U.S.C. §434(a)(1)(B); report certain expenditures and itemize expenditures in excess of $100 or aggregating in excess thereof, as required in 2 U.S.C. §434(b)(9),(10) and (11); retain adequate documentation for expenditures, as required in 2 U.S.C. §432(d); to report and itemize receipts as required in 2 U.S.C. §434(b)(2), (3),(4) and (8) and keep records of these receipts as required in 2 U.S.C. §432(c)(1); and to report extinguishment of committee debts as required in 2 U.S.C. §434(b)(12).

That James Guffey failed to file candidate reports upon assumption of his principal campaign committee's debts and obligations as required in 2 U.S.C. §434(a) and §434(b)(12) as interpreted by 11 C.F.R. §§101.1 and 101.3(c).

That both the respondent committee and candidate failed to retain documentation of reported loans as required by 11 C.F.R. §104.12(b)(1).

PRELIMINARY LEGAL ANALYSIS

During the audit of the Guffey in the First committee, principal campaign committee for James Guffey, the following instances of the committee's non-compliance with the Federal Election Campaign Act were isolated:

1. The Committee failed to file a year-end report of receipts and expenditures for the period November and December, 1976, as required in 2 U.S.C. §434(a)(1)(B). This omission was not explained by the Committee.

2. Expenditures totaling $4,082.81 (9.3% of total dollar value of all expenditures made) were not reported by the Committee as required under 2 U.S.C. §434(b)(11). This includes all expenditures made from the candidate's separate bank expense account. 25 expenditures exceeded $100 or aggregated in excess thereof, thus requiring itemization under 2 U.S.C. §434(b)(9) & (10). The Committee claims these unreported and unitemized expenditures were clerical oversights. Also the Committee did not monitor the candidate's travel expenses.

3. 104 expenditures totaling $20,855.74 (43.7% of total number and 47.8% of total dollar amount of expenditures requiring supporting documentation) were not supported by adequate documentation. These expenditures included reimbursements to campaign staff and media expenses. The Treasurer attributed this problem to lack of control over invoice retention.

4. 2 deposits totaling $1,236.86 to the candidate's expense account were not transfers from the general campaign account nor included in the committee's reported receipt totals. Also $460 was reported as beginning cash in the committee's first disclosure report but its source was not identified under receipts. Additionally no records were retained to account for these receipts. These reporting and itemization omissions are in violation of 2 U.S.C. §432(c)(1) and 2 U.S.C. §434(b)(2), (3), (4) and (8).

5. The Committee failed to report liquidation of its debts and obligations by virtue of their transfer to the candidate, in violation of 2 U.S.C. §434(b)(12). The last report filed by the Committee showed outstanding debts and obligations of $16,343.39.

The candidate, James Guffey, was initially exempted from filing personal reports pursuant to notification of the Commission on his Statement of a Candidate that his campaign finances were being managed by his principal campaign committee. However, upon his assumption of the principal committee's debts and obligations, Guffey became responsible for reporting because the reporting waiver (11 C.F.R. 101.3(c)) ends as soon as a candidate begins to make expenditures for his campaign which are not reimbursed by his principal campaign committee. He filed no such reports.

Both Guffey and the Committee failed to present to the auditors adequate documentation for $8,500 in loans to the candidate which were in turn loaned to the committee. This constitutes a violation of 11 C.F.R. §104.12(b)(1) which requires candidates and political committees to maintain records with respect to matters required to be reported.

In their letter of January 23, 1978, the auditors notified the candidate and the Committee of their deficient compliance and requested responses to audit recommendations within 30 days of notification. Time for response was extended by 15 days to March 14, 1978. As of this date, the Committee's Treasurer has stated that he does not intend to comply with the auditors suggestions. Mr. Guffey has expressed his desire to cooperate; however, short of submitting some loan information which the auditors do not deem satisfactory, no other efforts have been made by Guffey to bring himself into compliance.

RECOMMENDATIONS

1. Find reason to believe that the Guffey in the First Committee: failed to:

(a) file a year-end disclosure report, as required in 2 U.S.C. §434(a)(1)(B);

(b) report certain of its expenditures and itemize those in excess of $100 or aggregating in excess thereof, as required in 2 U.S.C. §434(b)(9)(10) and (11);

(c) retain adequate documentation for certain of its expenditures, as required in 2 U.S.C. §432(d).

(d) to report and itemize certain receipts as required in 2 U.S.C. §434(b)(2),(3),(4) and (8) and keep records of these receipts as required in 2 U.S.C. §432(c)(1); and

(e) report extinguishment of committee debts as required in 2 U.S.C. §434(b)(12).

2. That James Guffey failed to file candidate reports upon assumption of his principal campaign committee's debts and obligations as required in 2 U.S.C. §434(a) and §434(b)(12) as interpreted by 11 C.F.R. §§101.1 and 101.3(c).

3. That both the respondent committee and candidate failed to retain documentation of reported loans as required by 11 C.F.R. §104.12(b)(1).

4. Send attached letters.

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James V. Guffey
202 N. Lake Dr.
Watertown, South Dakota 57201

Re: MUR 573

Dear Mr. Guffey:

This letter is to inform you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities the Federal Election Commission has determined that it has reason to believe that you have violated the Federal Election Campaign Act, as amended ("the Act"), in that you failed to file candidate reports upon assumption of your principal campaign committee's debts and obligations as required in 2 U.S.C. §434(a) and §434(b)(12) as interpreted by 11 C.F.R. §101.1 and §101.3(c) and failed to retain documentation of loans made to your committee as required in 11 C.F.R. §104.12(b)(1).

Under 2 U.S.C. §434(a), a candidate for election to a Federal office is required to file reports of receipts and expenditures with the Commission. A candidate may qualify for a waiver of reporting under 11 C.F.R. §101.3 as long as he complies with the conditions under which the waiver was granted. Once the candidate assumes the debts and obligations of his campaign committee and makes expenditures for his campaign expenses which are not reimbursed or reported as contributions by his campaign committee the waiver is no longer effective and the candidate is responsible for reporting his campaign related financial activity. Under 11 C.F.R. §101.1 the candidate remains subject to the reporting requirements until all debts and obligations for which the candidate is personally obligated arising in connection with the election are extinguished.

11 C.F.R. §104.12(b)(1) provides that the candidate shall maintain records with respect to matters required to be reported. Loans are reportable under 2 U.S.C. §434(b)(5). During the course of auditing your campaign, the auditors were not provided with any documentation of the loans you negotiated with banks, the proceeds of which you loaned to your campaign committee. Failure to retain records of such reportable loan activity is a violation of 11 C.F.R. 104.12 (b)(1).

Both of the above mentioned violations are outlined in a letter to you from the Commission's auditors dated January 23, 1978, which discusses the audit findings relating to the Guffey in the First Committee.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit, within 10 days of receipt of this letter, any factual or legal materials which you deem relevant to the Commission's investigation of this matter.

This letter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you state to the Commission in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202/523-4040 or toll free 800/424-9530).

Sincerely,

William C. Oldaker
General Counsel

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. James T. McDermott
Treasurer
Guffey in the First Committee
#9 Park View Apartments
Vermillion, South Dakota 57069

Re: MUR 573

Dear Mr. McDermott:

This letter is to inform you that on the basis of information ascertained in the normal course of carrying out its supervisory responsibilities the Federal Election Commission has determined that it has reason to believe that you have violated the Federal Election Campaign Act, as amended ("the Act"), in that the Guffey in the First Committee:

a) failed to file a year-end disclosure report for 1976, as required in 2 U.S.C. §434(a)(1)(B).

b) failed to report and itemize expenditures in excess of $100 or aggregating in excess thereof, as required in 2 U.S.C. §434(b)(9),(10) and (11).

c) failed to retain adequate documentation for expenditures, as required in 2 U.S.C. §432(d) which specifies retention of receipted bills stating the particulars of the expenditure.

d) failed to report, itemize and total receipts and contributions, providing name, address, occupation and principal place of business of contributors and name and address of contributing political committees as required in 2 U.S.C. §434(b)(2),(3),(4) and (8) and failed to keep records of these receipts as required in 2 U.S.C. §432(c)(1).

e) failed to report extinguishment of Committee debts as required in 2 U.S.C. §434(b)(12) when Committee debts are assumed by the Candidate.

f) and failed to retain documentation of reported loans as required by 11 C.F.R. §104.12(b)(1).

Each of these violations is outlined in greater detail in a letter to you from the Commission's auditors dated January 23, 1978, which discusses the audit findings concerning the Guffey in the First Committee.

Upon making a determination that there is reason to believe that a violation has occurred, the Commission is required to make an investigation and to afford you a reasonable opportunity to demonstrate that no action should be taken. As part of this process, please submit, within 10 days of receipt of this letter, any factual or legal materials which you deem relevant to the Commission's investigation of this matter.

This letter will remain confidential in accordance with 2 U.S.C. §437g(a)(3) unless you state to the Commission in writing, that you wish the investigation to be made public. The staff member assigned to this matter is Clare Lindsay, (telephone number 202/523-4040 or toll free 800/424-9530).

Sincerely,

William C. Oldaker
General Counsel

cc: James V. Guffey
202 N. Lake Dr.
Watertown, South Dakota 57201

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Audit Report/Guffey)
in the First Committee)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on April 6, 1978, at an Executive Session of the Federal Election Commission at which a quorum was present, the Commission determined by a vote of 4-0 to refer the Final Audit Report - Guffey in the First Committee, to the Office of the General Counsel for consideration.

Commissioners Thomson and Tiernan were not present at the time of the vote in this matter.

Marjorie W. Emmons

Date: April 7, 1978

Marjorie W. Emmons
Secretary to the Commission

FEDERAL ELECTION COMMISSION
1325 K STREET N.W.
WASHINGTON, D.C. 20463

April 7, 1978

MEMORANDUM

TO: WILLIAM C. OLDAKER

THROUGH: ORLANDO B. POTTER
STAFF DIRECTOR

FROM: BOB COSTA/CRAIG RUSSELL

SUBJECT: GUFFEY IN THE FIRST COMMITTEE -
FAILURE TO COMPLY WITH AUDIT FINDING
AND RECOMMENDATIONS

Pursuant to the Commission's decision at the Executive Session on April 6, 1978, the attached report is being forwarded at this time for consideration by the Office of the General Counsel as a compliance matter due to the substantial lack of compliance with our audit recommendations.

We are currently holding several reports in the Audit Division which reflect similar circumstances, pending your recommendation to the Commission on the appropriate action in the case of the subject Committee.

If there are any questions, please contact Craig Russell at extension 3-4155.

Attachment as stated

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REPORT OF THE AUDIT DIVISION ON THE GUFFEY IN THE FIRST COMMITTEE

I. Background

A. Overview

This report is based on the audit of the Guffey In The First Committee ("the Committee") undertaken by the Audit Division of the Federal Election Commission to determine whether there has been compliance with the provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The audit was conducted pursuant to Section 438(a)(8) of Title 2, United States Code, which authorizes the Commission to make from time to time audits and field investigations with respect to reports and statements filed under the provisions of the Act.

The Committee registered with the Federal Election Commission on March 29, 1976, as the principal campaign committee for Mr. James V. Guffey, a candidate for election to the United States House of Representatives from the First District of South Dakota. The Committee maintained its headquarters in Sioux Falls, South Dakota.

The audit covered the period April 1, 1976 through June 30, 1977. During that period the Committee reported beginning cash of $460.00 1/, receipts of $42,328.38, expenditures of $40,021.47 and ending cash of $2,766.91.

This audit report is based on documents and working papers supporting each of its factual statements. They form part of the record upon which the Commission based its decisions on the matters in this report and were available to Commissioners and appropriate staff for review.

1/ The reported cash actually represented unitemizable receipts collected by the Committee during the period March 24 through March 31, 1976, the first week of its operations.

B. Key Personnel

The principal officers of the Committee during the period of the audit were Mr. Lawrence Piersol, Chairman, and Mr. James T. McDermott, Treasurer.

C. Scope

The audit included such tests as verification of total reported receipts and expenditures and individual transactions; review of required supporting documentation, analysis of Committee debts and obligations; and such other audit procedures as deemed necessary under the circumstances.

II. Auditor's Statement and Description of Findings

Based on the examination of disclosure reports and records presented, it is the opinion of the Audit staff that the Guffey In The First Committee has not conducted its activities in compliance with the Federal Election Campaign Act of 1971, as amended, in certain material aspects noted below, and that the disclosure reports do not fairly represent the financial activity of the Committee for the audit period.

In a letter dated January 23, 1978, the Committee Treasurer and the Candidate were formally advised of the audit findings. We requested responses to the various recommendations within 30 days of receipt of that letter. An additional 15 day extension to the response period was granted to March 14, 1978. To date, no response has been received from the Treasurer and the Candidate has made only a minimal response.

A. Filing of Year-End Disclosure Report

Section 434(a)(1)(B) of Title 2 of the United States Code requires each treasurer of a political committee supporting a candidate for election to Federal office, in an election year, to file with the Commission a year-end report of receipts and expenditures as of December 31 of such calendar year. The report, to be filed not later than January 31 of the following calendar year, shall be complete as of the close of the calendar year.

Our review of the Committee reports filed during the period showed no evidence that a year-end report had been filed on or before January 31, 1977 disclosing all activity between November 23, 1976 and December 31, 1976, as well as totals for the calendar year. Discussions with the Treasurer confirmed that the subject report had not been filed, but no explanation was offered for its omission.

We recommended that the Committee file within 30 days a year-end report for 1976, including all unreported activity from November 23 - December 31, 1976, and accurately reflecting year-end totals.

B. Disclosure of Debts and Obligations Until Extinguished, Candidate Reporting, and Recordkeeping

Section 434(b)(12) of Title 2 of the United States Code requires in part that a committee or candidate continuously report debts and obligations until extinguished and further requires disclosure of the circumstances and conditions under which any debt or obligation is extinguished.

Section 102.4(b)(2) of Title 11, Code of Federal Regulations, further provides that a principal campaign committee may not terminate until the candidate has terminated candidate status under the Act. A candidate may not terminate status, according to Section 101.1 of the Regulations, until all campaign-related debts and obligations for which he is personally obligated are extinguished.

Section 434(a) of Title 2 of the United States Code requires candidates as well as committees to file reports of receipts and expenditures, although Section 101.3 of the Commission's Regulations provides that a candidate may be relieved from filing personal reports if he will receive no contributions that are not surrendered to an authorized committee, or make no expenditures for which he will not be re-imbursed by his campaign committee. The waiver from reporting remains in effect as long as the candidate complies with the conditions under which it is granted.

Section 104.12(b)(1) of the Commission's Regulations requires that a political committee or candidate maintain records of sufficient detail on matters required to be reported so that reports can be verified.

The last full report filed by the Committee (30 day post general election report) 2/ reflected total outstanding debts and obligations of $16,343.39, including $8,500 owed to the Candidate which represents personal bank loans obtained by him and then loaned to the Committee. The Treasurer indicated that the Candidate has assumed the responsibility for all of the Committee's previously reported debts and obligations. However, disclosure of the liquidation of the committee's debts by transfer to the Candidate was not made.

2/ As noted in Finding A above, the Committee did not file a year-end report for 1976 as required. The Committee filed only FEC Form 3a (postcard form) for the first calendar quarter of 1977.

The Candidate, who had requested a waiver from filing personal candidate reports pursuant to Section 101.3 of the Commission's Regulations 3/, advised that he has expended personal monies in payment on some of the Committee's debts and obligations since the last full disclosure report was filed by the Committee. The Candidate, upon personal assumption of the Committee's debts and obligations and his subsequent personal expenditures made in payment on those debts, was no longer entitled to a waiver from reporting and became subject to the reporting requirements of Section 434(a) of Title 2 of the United States Code. However, such a report was not filed by the Candidate.

We recommended that the Committee and/or the Candidate, within 30 days: 1) File a Committee report and a Candidate report showing the Candidate's assumption of campaign debts and obligations from the Committee, cumulative payments made to date by the Candidate, and balances outstanding; 2) File candidate reports as applicable from the closing date of the report disclosing the Candidate's debt assumption until all campaign-related debts and obligations are extinguished and Candidate's status is terminated, as required by the Act; 3) Obtain from the bank involved, and submit to the Audit staff for review, a complete history of loans made to the Candidate, to include copies of the original notes, a description of collateral, if any, terms, interest rate, and any repayment(s) made to date.

C. Reporting of Expenditures

Section 434(b)(9) and (10) of Title 2 of the United States Code requires a committee to identify in their disclosure reports each person to whom expenditures have been made within the calendar year in an aggregate amount in excess of $100, together with the amount, date and purpose of each such expenditure; and, Section 434(b)(11) of Title 2 of the United States Code requires a committee to report the total sum of expenditures made by such committee during the calendar year.

During the campaign, the Committee maintained two (2) bank accounts, one for general campaign purposes and the other as an expense account for the Candidate's personal travel expenses during the campaign. The latter account was, according to the Treasurer, supposed to be funded only by transfers from the general campaign fund.

3/ Although the Commission's Regulations were not yet in effect at the time the waiver was requested, the Commission was honoring the waiver provisions when a candidate indicated on his Statement of a candidate (FEC Form 2) that all campaign finances were being handled by an authorized committee.

During the course of our examination of expenditures, it was determined that a total of 81 expenditures made by the Committee, representing 23.4% of the total number made, were not reported by the Committee in its disclosure reports filed with the Commission. These expenditures amounted to $4,082.81 (9.3% of the total dollar value of all expenditures incurred by the Committee), and included all expenditures made from the candidate expense account which totaled $3,292.44. In addition, the Committee failed to itemize 25 of these expenditures totaling $1,957.16 which were in excess of $100 or aggregated in excess of $100. The Treasurer stated that these expenditures were omitted from the reports and not itemized as required due to a combination of clerical oversight and lack of control over the Candidate's travel expenses.

We recommended that the Committee file a comprehensive amendment for 1976, including the previously omitted expenditures itemized where required, within 30 days.

D. Reporting of Receipts and Recordkeeping

Section 432(c)(1) of Title 2 of the United States Code requires the treasurer of a political committee to keep a detailed and exact account of all contributions made to or for such committee and to identify each person making a contribution in excess of $50, and the date and amount thereof. Section 434(b)(8) of Title 2 of the United States Code requires a committee to report the total sum of all receipts by such committee during the reporting period. Section 434(b)(2) and (4) of Title 2 of the United States Code further requires the committee to report the full name, mailing address, occupation and principal place of business (if any) of each person who has made one or more contributions within the calendar year in an aggregate amount in excess of $100, and/or the name and address of each political committee from which funds were transferred, together with the date and amount of the transfer and/or contribution.

Our audit revealed that there were two (2) deposits to the candidate expense account totaling $1,236.86 which were not inter-account transfers from the general campaign account and for which the Treasurer did not maintain any account or record thereof. Furthermore, we determined that the Committee did not include these deposits in its receipt totals in reports filed to date with the Commission. The source of these receipts remain unidentified.

Our audit also disclosed receipts for the period totaling $460 which were not reported as such, but rather, which the Committee included as beginning cash on its first disclosure report filed (April 1, 1976 through May 17, 1976).

We recommended that the Committee and/or the Candidate submit for review within 30 days sufficient documentation establishing the source of the unidentified deposits to the candidate expense account and file a comprehensive amendment for 1976 including the total of these receipts ($1,696.86), itemized as necessary.

E. Retention of Supporting Documentation for Expenditures

Section 432(d) of Title 2 of the United States Code requires the treasurer to keep a receipted bill, stating the particulars, for every expenditure made by a political committee in excess of $100 in amount or aggregating in excess of $100 to the same person during a calendar year.

It was determined that of 238 expenditures requiring supporting documentation, 104 expenditures (or 43.7%) lacked adequate supporting documentation. These 104 expenditures totaled $20,855.74, and represented 47.8% of the total expenditures requiring such documentation. Of the inadequately supported expenditures, 68 represented reimbursements to campaign staff workers, 13 for various media expenses, and 23 were for miscellaneous purposes. The Treasurer noted that this problem was most likely caused by a lack of control over invoice retention during the campaign.

We recommended that the Committee obtain and furnish to the Audit staff within 30 days copies of adequate supporting documentation for these expenditures, or evidence of their best efforts to obtain it.

F. Overall Recommendation

On February 27, 1978, the end of the 30 day period referred to above, the Committee Treasurer was contacted and indicated he had not prepared the requested reports and amendments, nor obtained the requested documentation. He also indicated he had no intention of complying with our recommendations on these matters. At the same time, the Candidate was contacted and indicated he also had not obtained the information nor prepared the reports requested of him. He indicated a willingness to do so, however, and a 15-day extension to March 14, 1978, on his response period, was granted. Shortly before the conclusion of that period, the Candidate was contacted again and indicated he still had not collected the requested information. He again professed a willingness to do so, but was unsure as to when he would be able to comply. On March 17, 1978, the Candidate submitted information on his personal loans and campaign-related obligations he has assumed which, in the opinion of the Audit staff, does not comply with our recommendations on these matters.

The Audit staff recommends that the Commission consider pursuing one of the two following alternatives: 1) considering the substantial non-compliance with our audit recommendations, refer this report to the Office of General Counsel for consideration as a compliance matter, or 2) release the report to the public as is.

A-229
MUR 573

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Final Audit Report/Guffey)
in the First Committee)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on April 6, 1978, at an Executive Session of the Federal Election Commission at which a quorum was present, the Commission determined by a vote of 4-0 to refer the Final Audit Report - Guffey in the First Committee, to the Office of the General Counsel for consideration.

Commissioners Thomson and Tiernan were not present at the time of the vote in this matter.

Marjorie W. Emmons

Date: April 7, 1978

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Secretary to the Commission

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THIS IS THE BEGINNING OF MUR # 573

Date Filmed 3/12/79 Camera No. --- 2

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