

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of:

Matter Under Review 5268

Carpenters Legislative Improvement
Committee United Brotherhood of
Carpenters and Joiners of America,

Respondents.

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF THE
CLERK
JUL 5 1 51 PM '02

**RESPONSE TO THE REASON TO BELIEVE FINDING BY CARPENTERS
LEGISLATIVE IMPROVEMENT COMMITTEE UNITED BROTHERHOOD OF
CARPENTERS AND JOINERS OF AMERICA, AND ITS TREASURER**

I. Introduction

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America, and Monte Byers as Treasurer, (collectively, "Carpenters' Legislative") hereby submit the following response to the Federal Election Commission's (the "FEC" or the "Commission") May 10, 2002 reason to believe notification in the above captioned matter.

The allegations made against Carpenters' Legislative are completely without basis, and we, therefore, respectfully request that the Commission withdraw its reason to believe finding and enter into the record a finding of no reason to believe that Carpenter's Legislative violated the Federal Election Campaign Act (the "Act") and, further, dismiss this action as against this respondent.

II. Facts

On May 10, 2002, Carpenters' Legislative received a reason to believe notification from the Commission alleging that "there appears to be evidence that Carpenters' Legislative was involved in a scheme to use union funds to make federal contributions in the name of KSDCC members." Factual and Legal Analysis at 3. Based on the limited information provided to Carpenters' Legislative in the accompanying Factual and Legal Analysis,¹ we can only conclude that the Commission has been misled by the source of "information" referred to throughout the document.

Though the information relating to an alleged "scheme" by Carpenters' Legislative must have seemed tantalizing to the General Counsel's office, it is completely false. The allegedly nefarious refunds referred to in the Factual and Legal Analysis had nothing to do with illegal activity. The refunds in question were made to correct an administrative and reporting error made by Carpenters' Legislative, and, in fact, were made at the suggestion of the Reports Analysis Division in March or April of 2001.

¹ Besides the vague references in the Factual and Legal Analysis to "the information" provided to the Commission, and scant reference thereafter in the Factual and Legal Analysis to any other facts relating to Carpenters' Legislative, Carpenters' Legislative has been left to guess as to the source and substance of the serious charges made against it. The General Counsel's office makes no attempt in their Factual and Legal Analysis to explain how Carpenters' Legislative was involved in a "scheme," other than to make the conclusory statement that the Commission has in its possession "evidence" of a scheme.

To explain further, on or about January 2001, Carpenters' Legislative forwarded a remittance list from KSDCC to Gnosso Software (the company that prepares Carpenters' Legislative's FEC reports) that Gnosso believed to be for federal "check-off" contributions to Carpenters' Legislative. However, in this instance, the information submitted to Gnosso Software was not for contributions, but were credit union remittances from KSDCC employees.

Robin Sexton, a consultant working for Gnosso Software at the time, was responsible for reconciling bank statements, producing FEC reports for filing, and generating Carpenters' Legislative's FEC reports. Ms. Sexton remembers the details surrounding the remittance list and has prepared an affidavit (attached as Exhibit 2) detailing the circumstances surrounding the list and the subsequently generated FEC report. *See also* the Remittance List (attached as Exhibit 3).

According to Ms. Sexton, the remittance sheet included figures for contributions that, when added together, were different from the aggregated contribution check that accompanied the figures (\$932). *See* Check (attached as Exhibit 4). The \$932 represented the proper amount of total aggregated contributions for that time period, whereas the figures listed on the remittance sheet totaled several thousand dollars. *See* Affidavit of Robin Sexton. The aggregate of the figures on the remittance list and the amount on the check were supposed to match, but did not. This error was not caught, despite Gnosso's controls to prevent just such an error. The information from the remittance was subsequently entered into Gnosso's database, and the February 20, 2001 report was generated, and reported to the FEC, with the incorrect figures. *Id.* It is important to note, however, that the contributions for the amounts listed on the remittance were never actually deposited into Carpenter's Legislative's account,

and, though listed as "receipts" on the reports, were never in fact received by Carpenter's Legislative.² *Id.*

Some time thereafter, Ms. Sexton discovered the error and contacted the Reports Analysis Division ("RAD"). *Id.* After explaining what had happened to the RAD analyst (*i.e.*, that the "receipts" reported were incorrect and were never actually "received" by Carpenter's Legislative), the analyst recommended that the "receipts" from the February 20, 2001 report be shown on the next available report as "refunds." *Id.* This was, in fact, what Gnossos did.³ *See* May 20, 2001 FEC report.

In sum, what may have appeared to amount to a "scheme to use union funds to make federal contributions in the name of KSDCC members," was nothing more than an administrative and reporting error, the circumstances of which were already known to the FEC Reports Analysis Division.

IV. Conclusion

We trust that this response, and the documents provided and questions answered pursuant to the FEC subpoena, have clarified this matter to the Commission's satisfaction. We believe that the seriousness of the allegations, and the conclusory statements in the Factual and Legal Analysis, warrant the withdrawal of the reason to believe finding and a finding of no reason to believe, rather than a bare dismissal.

² Nor were they actually "refunded." As discussed below, these "refunds" were noted on the reports only to correct a reporting error, according to the instructions of the RAD analyst.

³ The relevant reports are the February 20, 2001 and May 20, 2001 monthly reports, attached as Exhibit 1. The reports show that a number of itemized "contributions" received by Carpenters' Legislative from KSDCC members in January 2001 were subsequently "refunded" to these same individuals in April 2001.

For these reasons, we respectfully request that the Commission withdraw its finding of reason to believe and enter into the record a finding of no reason to believe Carpenter's Legislative violated the Act, and dismiss this action as against this respondent.

EXHIBIT 1

TAILED SUMMARY PAGE **OF RECEIPTS AND DISBURSEMENTS** **PAGE 2, FEC FORM 3X**

(revised 1/1/91)

| NAME OF COMMITTEE Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016 | | REPORT COVERING PERIOD FROM: 01/01/2001 TO: 01/31/2001 | |
|--|--|---|---------------------------|
| | | COLUMN A Total This Period | COLUMN B Calendar Year |
| I. Receipts | | | |
| 11. Contributions (other than loans) From: | | | |
| a. Individual/Persons Other Than Political Committees | | \$10,414.16 | \$10,414.16 |
| i. Itemized (use Schedule A)..... | | \$75,076.59 | \$75,076.59 |
| ii. Unitemized | | | |
| iii. Total(add i and ii) * | | \$85,490.75 | \$85,490.75 |
| b. Political Party Committees..... | | \$0.00 | \$0.00 |
| c. Other Political Committees (such as PACs)..... | | \$0.00 | \$0.00 |
| d. Total Contributions(add a iii, b and c) * | | \$85,490.75 | \$85,490.75 |
| 12. Transfers From Affiliated/Other Party Committees..... | | \$0.00 | \$0.00 |
| 13. All Loans Received..... | | \$0.00 | \$0.00 |
| 14. Loan Repayments Received | | \$0.00 | \$0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)..... | | \$0.00 | \$0.00 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | | \$0.00 | \$0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | | \$613.76 | \$613.76 |
| 18. Transfers from Nonfederal Account for Joint Activity..... | | \$0.00 | \$0.00 |
| 19. Total Receipts(add 11d, 12, 13, 14, 15, 16, 17, and 18) * | | \$86,104.51 | \$86,104.51 |
| 20. Total Federal Receipts(subtract line 18 from line 19) * | | \$86,104.51 | \$86,104.51 |
| II. Disbursements | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | |
| i. Federal Share..... | | \$0.00 | \$0.00 |
| ii. Non-Federal Share..... | | \$0.00 | \$0.00 |
| b. Other Federal Operating Expenditures..... | | \$0.00 | \$0.00 |
| c. Total Operating Expenditures(add a i, a ii, and b) * | | \$0.00 | \$0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | | \$0.00 | \$0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | | \$0.00 | \$0.00 |
| 24. Independent Expenditures (use Schedule E)..... | | \$0.00 | \$0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | | \$0.00 | \$0.00 |
| 26. Loan Repayments Made..... | | \$0.00 | \$0.00 |
| 27. Loans Made..... | | \$0.00 | \$0.00 |
| 28. Refunds of Contributions To: | | | |
| a. Individuals/Persons Other Than Political Committees..... | | \$0.00 | \$0.00 |
| b. Political Party Committees..... | | \$0.00 | \$0.00 |
| c. Other Political Committees (such as PACs)..... | | \$0.00 | \$0.00 |
| d. Total Contribution Refunds(add a, b and c) * | | \$0.00 | \$0.00 |
| 29. Other Disbursements..... | | \$0.00 | \$0.00 |
| 30. Total Disbursements(add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) * | | \$0.00 | \$0.00 |
| 31. Total Federal Disbursements(subtract line 21 a ii from line 30) * | | \$0.00 | \$0.00 |
| III. Net Contributions/Operating Expenditures | | | |
| 32. Total Contributions (other than loans)(from line 11d)..... | | \$85,490.75 | \$85,490.75 |
| 33. Total Contribution Refunds (from line 28d)..... | | \$0.00 | \$0.00 |
| 34. Net Contributions (other than loans)(subtract line 33 from 32)..... | | \$85,490.75 | \$85,490.75 |
| 35. Total Federal Operating Expenditures(add 21 a i and 21 b) * | | \$0.00 | \$0.00 |
| 36. Offsets to Operating Expenditures (from line 15)..... | | \$0.00 | \$0.00 |
| 37. Net Operating Expenditures(subtract line 36 from 35) * | | \$0.00 | \$0.00 |

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 1 OF 5
FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| | | | |
|---|--|---|--|
| Full Name, Mailing Address and ZIP Code Douglas James Banes 16160 Norma Lane Sterling, IL 61081 | Name of Employer UBC | Date (month, day, year) 01/08/2001 01/14/2001 | Amount of Each Receipt this Period \$156.38 \$156.38 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation 1st General Vice President Aggregate Year-to-Date > \$ 312.76 | | |
| Full Name, Mailing Address and ZIP Code Steven Barger 2623 Byron Ave Louisville, KY 40205 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$400.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Manager Aggregate Year-to-Date > \$ 400.00 | | |
| Full Name, Mailing Address and ZIP Code Willa Barger 632 Comanche Trail Frankfort, KY 40601 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$220.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Kentucky State DC Aggregate Year-to-Date > \$ 220.00 | | |
| Full Name, Mailing Address and ZIP Code Kenneth Borg 42 N Wildwood Dr Prospect Hgts, IL 60070 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 01/28/2001 | Amount of Each Receipt this Period \$80.73 \$161.46 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Vice President Aggregate Year-to-Date > \$ 242.19 | | |
| Full Name, Mailing Address and ZIP Code Jose Juan Collado 3021 SW 102nd Ave Miami, FL 33165-2819 | Name of Employer UBC | Date (month, day, year) 01/08/2001 01/14/2001 | Amount of Each Receipt this Period \$120.76 \$120.76 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation General Executive Board Aggregate Year-to-Date > \$ 241.52 | | |
| Full Name, Mailing Address and ZIP Code Jerry W Connes 213 Kentucky 1554 Owensboro, KY 42301 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$480.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Organizer Aggregate Year-to-Date > \$ 480.00 | | |
| Full Name, Mailing Address and ZIP Code T Richard Day 307 W Schaumburg Rd Streamwood, IL 60107 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 01/28/2001 | Amount of Each Receipt this Period \$95.44 \$119.30 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Representative Aggregate Year-to-Date > \$ 214.74 | | |
| SUBTOTAL of Receipts This Page (optional) | | | \$ 2,111.21 |
| TOTAL This Period (last page this line number only) | | | |

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 2 OF 5
FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (Itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| | | | |
|---|---|--|---|
| Full Name, Mailing Address and ZIP Code Peter A Diraffaele 7555 S. Exton St Darien, IL 60561-6707 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 | Amount of Each Receipt this Period \$95.44 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Asst to President Aggregate Year-to-Date \$ 214.74 | 01/28/2001 | \$119.30 |
| Full Name, Mailing Address and ZIP Code Michael Draper 15931 S.E. Helde Lane Clackamas, OR 97015-8751 | Name of Employer UBC | Date (month, day, year) 01/08/2001 | Amount of Each Receipt this Period \$120.76 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation General Executive Board Aggregate Year-to-Date \$ 241.52 | 01/14/2001 | \$120.76 |
| Full Name, Mailing Address and ZIP Code Michael E Garrison 1409 Vly View Rd New Albany, IN 47150 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$600.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Representative Aggregate Year-to-Date \$ 600.00 | | |
| Full Name, Mailing Address and ZIP Code Harry P Gowan 5605 Station Hill Road Plainfield, IN 46168 | Name of Employer UBC | Date (month, day, year) 01/08/2001 | Amount of Each Receipt this Period \$120.76 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation General Executive Board Aggregate Year-to-Date \$ 241.52 | 01/14/2001 | \$120.76 |
| Full Name, Mailing Address and ZIP Code Pam Helton 632 Comanche Trail Frankfort, KY 40601 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$300.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Aggregate Year-to-Date \$ 300.00 | | |
| Full Name, Mailing Address and ZIP Code Thomas D Hohman 3530 N Lake Shore Dr, #8B Chicago, IL 60657-1894 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 | Amount of Each Receipt this Period \$95.44 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Organizer Aggregate Year-to-Date \$ 214.74 | 01/28/2001 | \$119.30 |
| Full Name, Mailing Address and ZIP Code Jeffrey S Isaacson 1165 Westbury Dr Hoffman Estates, IL 60195 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 | Amount of Each Receipt this Period \$121.80 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Sec/Treasurer Aggregate Year-to-Date \$ 274.05 | 01/28/2001 | \$152.25 |

SUBTOTAL of Receipts This Page (optional)

\$ 2,086.57

TOTAL This Period (last page this line number only)

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 3 OF 5
FOR LINE NUMBER
11(a)(i)

Contributions From Individuals (Itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| | | | |
|---|---|--|---|
| Full Name, Mailing Address and ZIP Code James W Kasmer 12400 S Mevickers Palos Heights, IL 60463 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 01/28/2001 | Amount of Each Receipt this Period \$95.44 \$119.30 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Ass't to Pres Aggregate Year-to-Date > \$ 214.74 | | |
| Full Name, Mailing Address and ZIP Code Bruce R. Landerdale HC 67 Box 81 Greenup, KY 41144 | Name of Employer KY DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$300.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Field Rep/Org. Aggregate Year-to-Date > \$ 300.00 | | |
| Full Name, Mailing Address and ZIP Code Frank T Libby 8838 W Pebble Beach Ln Orland Park, IL 60462 | Name of Employer Local Union 0010 | Date (month, day, year) 01/28/2001 01/28/2001 | Amount of Each Receipt this Period \$95.44 \$119.30 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Representative Aggregate Year-to-Date > \$ 214.74 | | |
| Full Name, Mailing Address and ZIP Code Douglas J McCarron 28711 Timberlane Dr Agoura, CA 91301 | Name of Employer UBC | Date (month, day, year) 01/08/2001 01/14/2001 | Amount of Each Receipt this Period \$174.19 \$174.19 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation General President Aggregate Year-to-Date > \$ 348.38 | | |
| Full Name, Mailing Address and ZIP Code W. J. Michalowski P.O. Box 1076 Absecon, NJ 08201-5076 | Name of Employer UBC | Date (month, day, year) 01/08/2001 01/14/2001 | Amount of Each Receipt this Period \$120.76 \$120.76 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation General Executive Board Aggregate Year-to-Date > \$ 241.52 | | |
| Full Name, Mailing Address and ZIP Code Donald Ray Mitchell 870 Oaks Rd Paducah, KY 42003 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$400.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Representative Aggregate Year-to-Date > \$ 400.00 | | |
| Full Name, Mailing Address and ZIP Code Earl Everett Oliver Jr 9140 W 95th St #3A Hickory Hills, IL 60457-1682 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 01/28/2001 | Amount of Each Receipt this Period \$164.76 \$205.95 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Field Representative Aggregate Year-to-Date > \$ 370.71 | | |
| SUBTOTAL of Receipts This Page (optional) | | | \$ 2,090.09 |
| TOTAL This Period (last page this line number only) | | | |

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 4 OF 5
FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (Itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| Full Name, Mailing Address and ZIP Code | Name of Employer | Date (month, day, year) | Amount of Each Receipt this Period |
|---|---|-------------------------|------------------------------------|
| Gary G Perinar Rt 1 Belom Dr Joliet, IL 60431 | Joliet, IL | 01/28/2001 | \$101.24 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Trustee Aggregate Year-to-Date > \$ 227.79 | 01/28/2001 | \$126.55 |
| Jimmie Pickard 5714 Kenwood Drive Newburgh, IN 47630 | Kentucky State District Council of Carpenters | 01/27/2001 | \$400.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Organizer Aggregate Year-to-Date > \$ 400.00 | | |
| William S Rabinak 29w316 Hartman Dr Naperville, IL 60564 | Chicago and Northeast Illinois District Council of | 01/28/2001 | \$95.44 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Representative Aggregate Year-to-Date > \$ 214.74 | 01/28/2001 | \$119.30 |
| Dennis E Sexton 10720 S Ridgeway Chicago, IL 60655 | Chicago and Northeast Illinois District Council of | 01/28/2001 | \$95.44 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Trustee Aggregate Year-to-Date > \$ 214.74 | 01/28/2001 | \$119.30 |
| Andris J. Silins 324 Pond Street Jamaica Plain, MA 02130-2442 | UBC | 01/08/2001 | \$156.38 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation 2nd Vice President Aggregate Year-to-Date > \$ 312.76 | 01/14/2001 | \$156.38 |
| James D. Slebska 4281 NE 38th St Des Moines, IA 50317-8913 | UBC | 01/08/2001 | \$120.76 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation General Executive Board Aggregate Year-to-Date > \$ 241.52 | 01/14/2001 | \$120.76 |
| Jon C Spoonamore 632 Comanche Trail Frankfort, KY 40601 | Kentucky State DC | 01/27/2001 | \$900.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Aggregate Year-to-Date > \$ 900.00 | | |
| SUBTOTAL of Receipts This Page (optional) | | | \$ 2,511.55 |
| TOTAL This Period (last page this line number only) | | | |

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 5 OF 5
FOR LINE NUMBER
11(a)(1)

Contributions From Individuals (Itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| | | | |
|---|---|--|---|
| Full Name, Mailing Address and ZIP Code Martin C Umlauf 5706 Longview Dr Countryside, IL 60525 | Name of Employer Chicago and Northeast Illinois District Council of | Date (month, day, year) 01/28/2001 | Amount of Each Receipt this Period \$95.44 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Business Representative Aggregate Year-to-Date \$ 214.74 | 01/28/2001 | \$119.30 |
| Full Name, Mailing Address and ZIP Code Tim K Wireman 1535 Howards Branch Rd Salyersville, KY 41465-9722 | Name of Employer Kentucky State DC | Date (month, day, year) 01/27/2001 | Amount of Each Receipt this Period \$1,400.00 |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): | Occupation Organizer/Rep Aggregate Year-to-Date \$ 1,400.00 | | |

| | |
|---|--------------|
| SUBTOTAL of Receipts This Page (optional) | \$ 1,614.74 |
| TOTAL This Period (last page this line number only) | \$ 10,414.16 |

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 1 OF 1
FOR LINE NUMBER 17

Other Federal Receipts (Dividends, Interest, etc.)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

Full Name, Mailing Address and ZIP Code

United Labor Bank
100 Hegenberger Rd, Suite 110
Oakland, CA 94621

Name of Employer

United Labor Bank

Date (month,
day, year)

01/30/2001

Amount of Each
Receipt this Period

\$613.76

Occupation

Receipt For:

☐ Primary ☐ General☐ Other (specify):

Aggregate Year-to-Date > \$ 613.76

SUBTOTAL of Receipts This Page (optional)

\$ 613.76

TOTAL This Period (last page this line number only)

\$ 613.76

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)

| | | |
|---|--|--|
| 1. NAME OF COMMITTEE (in full) Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016 | | 2. FEC IDENTIFICATION NUMBER C00001016 |
| ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 101 Constitution Ave NW | | 3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M) |
| CITY, STATE and ZIP CODE Washington, DC 20001 | | |

4. TYPE OF REPORT

- a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

- | | | |
|--|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20 | <input type="checkbox"/> October 20 |
| <input type="checkbox"/> March 20 | <input type="checkbox"/> July 20 | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20 | <input type="checkbox"/> August 20 | <input type="checkbox"/> December 20 |
| <input checked="" type="checkbox"/> May 20 | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31 |

- ☐ Twelfth day report preceding _____ (Type of Election)
election on _____ in the State of _____
- ☐ Thirtieth day report following the General Election on _____
in the State of _____

- b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY

| 5. Covering Period | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------|--|
| 04/01/2001 through 04/30/2001 | | |
| 6. (a) Cash on Hand January 1, 2001 | | \$ 647,464.41 |
| (b) Cash on Hand at Beginning of Reporting Period | \$ 941,765.30 | |
| (c) Total Receipts (from Line 19) | \$ 111,682.48 | \$ 450,915.37 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | \$ 1,053,447.78 | \$ 1,098,379.78 |
| 7. Total Disbursements (from Line 30) | \$ 112,152.00 | \$ 157,676.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | \$ 941,295.78 | \$ 940,703.78 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | \$ 0.00 | For Further Information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420 |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | \$ 0.00 | |
| I certify that I have examined this Report and to the best of my knowledge and belief, it is true and correct. | | |
| Type or Print Name of Treasurer Monte Byers | | |
| Signature of Treasurer | | Date |

NOTE: Submission of false, erroneous, or incomplete information subjects the person signing this Report to the penalties of 2 U.S.C. §437g.

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|

FEC FORM 3X
(revised 9/93)

TAILED SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS PAGE 2, FEC FORM 3X

(revised 1/1/91)

| NAME OF COMMITTEE Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016 | | REPORT COVERING PERIOD FROM: 04/01/2001 TO: 04/30/2001 | |
|---|--------------|---|---------------------------|
| | | COLUMN A Total This Period | COLUMN B Calendar Year |
| I. Receipts | | | |
| 11. Contributions (other than loans) From: | | | |
| a. Individual/Persons Other Than Political Committees | | | |
| i. Itemized (use Schedule A)..... | \$69,519.37 | \$113,265.31 | |
| ii. Unitemized | \$41,324.11 | \$334,826.99 | |
| iii. Total | \$110,843.48 | \$448,092.30 | |
| b. Political Party Committees..... | \$0.00 | \$0.00 | |
| c. Other Political Committees (such as PACs)..... | \$0.00 | \$0.00 | |
| d. Total Contributions | \$110,843.48 | \$448,092.30 | |
| 12. Transfers From Affiliated/Other Party Committees..... | \$0.00 | \$0.00 | |
| 13. All Loans Received..... | \$0.00 | \$0.00 | |
| 14. Loan Repayments Received..... | \$0.00 | \$0.00 | |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)..... | \$0.00 | \$0.00 | |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | \$0.00 | \$0.00 | |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | \$839.00 | \$2,823.07 | |
| 18. Transfers from Nonfederal Account for Joint Activity..... | \$0.00 | \$0.00 | |
| 19. Total Receipts | \$111,682.48 | \$450,915.37 | |
| 20. Total Federal Receipts | \$111,682.48 | \$450,915.37 | |
| II. Disbursements | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | |
| i. Federal Share..... | \$0.00 | \$0.00 | |
| ii. Non-Federal Share..... | \$0.00 | \$0.00 | |
| b. Other Federal Operating Expenditures..... | \$0.00 | \$4,024.00 | |
| c. Total Operating Expenditures | \$0.00 | \$4,024.00 | |
| 22. Transfers to Affiliated/Other Party Committees..... | \$0.00 | \$0.00 | |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | \$104,500.00 | \$146,000.00 | |
| 24. Independent Expenditures (use Schedule E)..... | \$0.00 | \$0.00 | |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | \$0.00 | \$0.00 | |
| 26. Loan Repayments Made..... | \$0.00 | \$0.00 | |
| 27. Loans Made..... | \$0.00 | \$0.00 | |
| 28. Refunds of Contributions To: | | | |
| a. Individuals/Persons Other Than Political Committees..... | \$7,652.00 | \$7,652.00 | |
| b. Political Party Committees..... | \$0.00 | \$0.00 | |
| c. Other Political Committees (such as PACs)..... | \$0.00 | \$0.00 | |
| d. Total Contribution Refunds | \$7,652.00 | \$7,652.00 | |
| 29. Other Disbursements..... | \$0.00 | \$0.00 | |
| 30. Total Disbursements | \$112,152.00 | \$157,676.00 | |
| 31. Total Federal Disbursements | \$112,152.00 | \$157,676.00 | |
| III. Net Contributions/Operating Expenditures | | | |
| 32. Total Contributions (other than loans)(from line 11d)..... | \$110,843.48 | \$448,092.30 | |
| 33. Total Contribution Refunds (from line 28d)..... | \$7,652.00 | \$7,652.00 | |
| 34. Net Contributions (other than loans)(subtract line 33 from 32)..... | \$103,191.48 | \$440,440.30 | |
| 35. Total Federal Operating Expenditures | \$0.00 | \$4,024.00 | |
| 36. Offsets to Operating Expenditures (from line 15) | \$0.00 | \$0.00 | |
| 37. Net Operating Expenditures | \$0.00 | \$4,024.00 | |

SCHEDULE B**ITEMIZED DISBURSEMENTS**

| | | |
|---|------|----|
| Use separate schedule(s) for each category of the Detailed Summary Page | PAGE | OF |
| | 1 | 5 |
| FOR LINE NUMBER 28(a) | | |

Refunds of Contributions To Individuals

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

| |
|--|
| NAME OF COMMITTEE (in Full) Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016 |
|--|

| | | | |
|---|---|--|--|
| Full Name, Mailing Address and ZIP Code Jerry Ashenfelter 2719 Maxon Drive Louisville, KY 40220 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$40.00 |
| Full Name, Mailing Address and ZIP Code Fredrick Aubrey Po Box 18455 Louisville, KY 40261 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$20.00 |
| Full Name, Mailing Address and ZIP Code Steven Balrd 2940 Wadesboro Road North Benton, KY 42025 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$100.00 |
| Full Name, Mailing Address and ZIP Code Steven Barger 2623 Byron Ave Louisville, KY 40205 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$400.00 |
| Full Name, Mailing Address and ZIP Code Willa Barger 632 Comanche Trail Frankfort, KY 40601 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$220.00 |
| Full Name, Mailing Address and ZIP Code Julie Bensing | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$100.00 |
| Full Name, Mailing Address and ZIP Code Richard Clayton 6333 Beechgrove Road Lebanon Jct, KY 40150 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$20.00 |
| Full Name, Mailing Address and ZIP Code Jamil Coomer | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$120.00 |
| Full Name, Mailing Address and ZIP Code Jerry Coomes 213 Kentucky 1554 Owensboro, KY 42301 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$480.00 |

| | |
|---|------------|
| SUBTOTAL of Disbursements This Page (optional) | \$1,500.00 |
| TOTAL This Period (last page this line number only) | |

SCHEDULE B**ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 2 OF 5
FOR LINE NUMBER 28(a)**Refunds of Contributions To Individuals**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| | | | |
|--|---|--|--|
| Full Name, Mailing Address and ZIP Code Florina Couch | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$80.00 |
| Full Name, Mailing Address and ZIP Code Patty Crawford | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$92.00 |
| Full Name, Mailing Address and ZIP Code Joseph Fleitz 2221 Woodbourne Avenue Louisville, KY 40299 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$20.00 |
| Full Name, Mailing Address and ZIP Code Daniel Forbbs 7017 Irewick Way Louisville, KY 40272 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$100.00 |
| Full Name, Mailing Address and ZIP Code Robin Fowler | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$80.00 |
| Full Name, Mailing Address and ZIP Code Michael Garrison 1409 Vly View Rd New Albany, IN 47150 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$600.00 |
| Full Name, Mailing Address and ZIP Code Rodger Glass Po Box 850 Shepherdsville, KY 40165 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$100.00 |
| Full Name, Mailing Address and ZIP Code Nancy Hagan | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$40.00 |
| Full Name, Mailing Address and ZIP Code Laurel Hardison | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$20.00 |

SUBTOTAL of Disbursements This Page (optional)

\$1,132.00

TOTAL This Period (last page this line number only)

SCHEDULE B**ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 3 OF 5
FOR LINE NUMBER 28(a)**Refunds of Contributions To Individuals**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Refund to Individual | Date (month, day, year) | Amount of Each Disbursement this Period |
|---|--|----------------------------|--|
| Faye Hassell | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$20.00 |
| Pam Helton 632 Comanche Trail Frankfort, KY 40601 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$300.00 |
| Ronald Henderson Po Box 453 Jeffersonville, KY 40337 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$200.00 |
| Limville Hopper 5 Holly Avenue Winchester, KY 40391 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$20.00 |
| Carolyn Hornback | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$40.00 |
| Thomas Houchins 241 Zinnia Way Shepherdsville, KY 40165 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$40.00 |
| David Huddleston Church Of God Dyer Rd Custer, KY 40115 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$40.00 |
| Jerry Landers 1942 Vicksburg Rd Lexington, KY 40504 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$100.00 |
| Bruce Lauderdale HC 67 Box 81 Greenup, KY 41144 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$300.00 |

SUBTOTAL of Disbursements This Page (optional)

\$1,060.00

TOTAL This Period (last page this line number only)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 4 OF 5
FOR LINE NUMBER 28(a)

Refunds of Contributions To Individuals

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| | | | |
|--|---|--|--|
| Full Name, Mailing Address and ZIP Code Chris McGlone HC 76 Box 3042 Garrison, KY 41141 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$50.00 |
| Full Name, Mailing Address and ZIP Code Donald Mitchell 870 Oaks Rd Paducah, KY 42003 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$400.00 |
| Full Name, Mailing Address and ZIP Code Phyllis Pack | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$200.00 |
| Full Name, Mailing Address and ZIP Code Laurel Pennington 423 Elk Road Greenup, KY 41144 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$10.00 |
| Full Name, Mailing Address and ZIP Code Jimmie Pickard 5714 Kenwood Drive Newburgh, IN 47630 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$400.00 |
| Full Name, Mailing Address and ZIP Code Eddie Reliford HC 67 Box 272 Greenup, KY 41144 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$200.00 |
| Full Name, Mailing Address and ZIP Code Debbie Rice | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$160.00 |
| Full Name, Mailing Address and ZIP Code Thomas Schulz | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$100.00 |
| Full Name, Mailing Address and ZIP Code Jon Spoonamore 632 Comanche Trail Frankfort, KY 40601 | Purpose of Disbursement Refund to Individual Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | Date (month, day, year) 04/30/2001 | Amount of Each Disbursement this Period \$900.00 |

SUBTOTAL of Disbursements This Page (optional)

\$2,420.00

TOTAL This Period (last page this line number only)

SCHEDULE B**ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 5 OF 5
FOR LINE NUMBER 28(a)**Refunds of Contributions To Individuals**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America C0001016

| Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Refund to Individual | Date (month, day, year) | Amount of Each Disbursement this Period |
|--|--|----------------------------|--|
| Brenda Steele 1611 Talon Way Somerset, KY 42503 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$80.00 |
| Melissa Stinson | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$40.00 |
| Todd Wallace 4214 Dover Road Louisville, KY 40216 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$20.00 |
| Tim Wireman 1535 Howards Branch Rd Salyersville, KY 41465-9722 | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other: Other 2001 | 04/30/2001 | \$1,400.00 |

| | |
|--|-------------------|
| SUBTOTAL of Disbursements This Page (optional) | \$1,540.00 |
| TOTAL This Period (last page this line number only) | \$7,652.00 |

EXHIBIT 2

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of:

Matter Under Review 5268

**Carpenters Legislative Improvement
Committee United Brotherhood of
Carpenters and Joiners of America,**

Respondents.

AFFIDAVIT OF ROBIN SEXTON


I, Robin Sexton, do affirm and state as follows:

- 1. I worked as a consultant to Gnossos Software from June 1999 through January 2001, at which time I became a full-time employee of Gnossos Software.**
- 2. During the time of my consulting, my primary responsibility was to provide outsourcing services to Gnossos clients and to assist in the sales process.**
- 3. While I was a consultant, Gnossos hired another person to work in the outsourcing department to help with much of the data entry and deposits.**
- 4. My role then evolved to reconciling bank statements, producing FEC reports for filing, and generating the Carpenters Legislative Improvement Committee United Brotherhood of Carpenters and Joiners of America ("Carpenter's Legislative") reports.**
- 5. These responsibilities continued after I was hired into a full-time position.**
- 6. The process for managing Carpenter's Legislative receipts was as follows: once each week, a packet of all checks received would be sent to Gnossos; a Gnossos outsourcing employee would open each check and stamp it with a batch number, logging all checks received in that packet into the system.**
- 7. The outsourcing employee was supposed to compare the checks to the remittance forms. If there was a discrepancy between the two items, the checks and remittance forms were to be returned to the sender and a reconciliation or correction was**

requested. If the two items appeared to be identical, the individual receipts were entered from the remittance and the check was deposited.

8. In January 2001, Gnosso received from Carpenter's Legislative a remittance from the Kentucky State District Council of Carpenters ("KSDCC"). When this was received, the data was entered into the Keep in Touch software, even though the check and the remittance figures did not match. The person entering this data did not notice the discrepancy until he prepared a deposit.
9. This person then pulled the check and the remittance form and sent a request for clarification. He did not, however, delete the receipts, and they were subsequently reported, though the check was not deposited.
10. This first came to my attention when I reconciled the February 2001 bank statement, as these receipts were still shown as outstanding.
11. When I questioned my subordinate, he stated that he had requested a correction from KSDCC.
12. When the amount was still outstanding when I reconciled the March bank statement, I requested that my co-worker follow-up with KSDCC to determine the problem. As it turned out, the original remittance sent to Gnosso was a credit union remittance that had been sent in error with the correct check for the Carpenter's Legislative contributions.
13. When I realized the problem, I contacted the FEC Reports Analysis Division ("RAD"), and explained the situation to a RAD analyst, noting that, though the erroneous receipts had been reported, they had never actually been funded. The analyst recommended that they be shown on the next report as returned contributions, which is how we reported them on the May 20, 2001 report.

Further your Affiant sayeth not.


Robin Sexton

Subscribed to and sworn
before me this

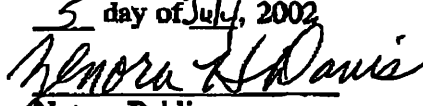
5 day of July, 2002

Notary Public
My commission expires: 6-1-04

EXHIBIT 3

21-04-409-3692

EXHIBIT 3 HAS BEEN DELETED

2025-04-04 14:00:00

Ex 4

ORIGINAL CHECK CONTAINS HIDDEN SECURITY FEATURES

BANK OF LOUISVILLE

27-71

830

14033

KENTUCKY STATE DISTRICT COUNCIL OF CARPENTERS AFL-CIO
 632 Comanche Trail
 Frankfort, KY 40601

| DATE | CHECK NO. | AMOUNT |
|-----------|-----------|-----------|
| 12/1/2000 | 14033 | \$ 932.06 |

PAY NINE HUNDRED THIRTY TWO DOLLARS AND SIX CENTS

VOID AFTER 60 DAYS

TO THE
ORDER OF

CARPENTERS LEGISLATIVE
IMPROVEMENT COMMITTEE
101 CONSTITUTION AVE
WASHINGTON, DC 20001

Mike Baker
Jerry W. Sanders

| PAYEE: CARPENTERS LEGISLATIVE | | VEND ID#: 0002 | | 14033 | |
|-------------------------------|--------------|----------------|----------------|-----------------|--|
| CHECK DATE: 12/1/2000 | | | | | |
| INVOICE NO. | INVOICE DATE | INVOICE AMOUNT | DISCOUNT TAKEN | NET AMOUNT PAID | |
| CLIC/NOVEMBER | 12/1/2000 | \$932.06 | \$0.00 | \$932.06 | |
| TOTAL | | | | 932.06 | |