

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: August 8, 2000

ANALYST: Neil Evans

I. COMMITTEE: Compaq Citizenship Fund Connected with Compaq
Computer Corporation
(C00296020)
Chase Untermeyer, Treasurer
Box 692000-110706
Houston, TX 77269

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(iv)
11 CFR §104.5(a)(2)(i)(A)

III. BACKGROUND:

Failure to Timely File the 1999 Mid-Year Report

The Compaq Citizenship Fund Connected with Compaq Computer Corporation ("the Committee") failed to timely file the 1999 Mid-Year Report of Receipts and Disbursements covering the period from January 1, 1999 to June 30, 1999. On December 30, 1998 and June 25, 1999, Prior Notices were sent to the Committee informing it that the 1999 Mid-Year Report was due on July 31, 1999 (Attachment 2). A Non-Filer Notice was sent to the Committee via mailgram on August 25, 1999 (Attachment 3).

The Committee filed a response to the Non-Filer Notice on September 3, 1999. The response stated that Compaq Computer Corporation had "outsourced its accounting operation", causing a loss of reliable, knowledgeable staff who previously prepared the reports. The letter also stated that the treasurer has taken steps to ensure timely future filing and that the 1999 Mid-Year Report would be filed "quite soon" (Attachment 4).

21.04.405.1355

COMPAQ CITIZENSHIP FUND CONNECTED WITH COMPAQ COMPUTER CORPORATION
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The Committee filed a report on November 2, 1999 covering the 1999 Mid-Year reporting period (Attachment 5).

21-04-405-1356

FEDERAL ELECTION COMMISSION
1999-2000
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 8/04/2000

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	IMAGE LOCATION
					TYPE OF FILER	
COMPAQ CITIZENSHIP FUND CONNECTED WITH COMPAQ COMPUTER CORPORATION				ID #C00296020	NON-PARTY QUALIFIED	
CONNECTED ORGANIZATION: COMPAQ COMPUTER CORPORATION						
1999	STATEMENT OF ORGANIZATION - MULTICANDIDATE STATUS NOTICE			26FEB99	2	99FEC/435/1739
	MISCELLANEOUS REPORT TO FEC			3SEP99	2	99FEC/480/0066
	MID-YEAR REPORT	16,586	11,700	1JAN99 -30JUN99	17	99F99/008/3441
*	MID-YEAR REPORT - AMENDMENT	16,586	11,700	1JAN99 -30JUN99	18	20F99/018/3781
	NOTICE OF FAILURE TO FILE			1JAN99 -30JUN99	2	99FEC/479/3430
	1'ST LETTER INFORMATIONAL NOTICE			1JAN99 -30JUN99	3	20FEC/562/3749
	YEAR-END	14,674	11,651	1JUL99 -31DEC99	15	20F99/001/2859
*	YEAR-END - AMENDMENT	14,674	11,651	1JUL99 -31DEC99	15	20F99/018/3799
	1'ST LETTER INFORMATIONAL NOTICE			1JUL99 -31DEC99	3	20FEC/562/3752
2000	STATEMENT OF ORGANIZATION - AMENDMENT			16JUN00	2	20FEC/565/4217
	APRIL QUARTERLY	5,602	11,850	1JAN00 -31MAR00	14	20F99/009/3711
*	APRIL QUARTERLY - AMENDMENT	5,602	11,850	1JAN00 -31MAR00	15	20F99/018/3814
	1'ST LETTER INFORMATIONAL NOTICE			1JAN00 -31MAR00	5	20FEC/562/3755
*	JULY QUARTERLY	5,872	4,700	1APR00 -30JUN00	10	20F99/016/0861
	TOTAL	42,734	0 39,901 0		123	TOTAL PAGES

All reports have been reviewed except those noted with an asterisk (*).

Cash on hand as of 06/30/99: \$7,242

Debts owed to the Committee: \$0

Debts owed by the Committee: \$0

21.04.405.333

YEAR-END REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 30, 1998

WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report.

QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

21-04-405-3558

I. SEMIANNUAL FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Mid-Year	01/01/99 - 06/30/99	07/31/99	07/31/99
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00

II. MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/99 - 01/31/99	02/20/99	02/20/99
March	02/01/99 - 02/28/99	03/20/99	03/20/99
April	03/01/99 - 03/31/99	04/20/99	04/20/99
May	04/01/99 - 04/30/99	05/20/99	05/20/99
June	05/01/99 - 05/31/99	06/20/99	06/20/99
July	06/01/99 - 06/30/99	07/20/99	07/20/99
August	07/01/99 - 07/31/99	08/20/99	08/20/99
September	08/01/99 - 08/31/99	09/20/99	09/20/99
October	09/01/99 - 09/30/99	10/20/99	10/20/99
November	10/01/99 - 10/31/99	11/20/99	11/20/99
December	11/01/99 - 11/30/99	12/20/99	12/20/99
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

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FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

MID-YEAR REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

June 25, 1999

WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file either a mid-year or monthly report in July.

I. Quarterly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Mid-Year	01/01/99 - 06/30/99	07/31/99	07/31/99

II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
July Monthly	06/01/99 - 06/30/99	07/20/99	07/20/99

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any Party Committee or PAC that makes independent expenditures in connection with a special election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election. This report is *not* required when a Party Committee or PAC makes a contribution directly to a candidate.

LABEL

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** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)



Compaq Citizenship Fund
PO Box 692000
Houston, TX 77269-2000

20555 SH 243
Houston, TX 77070-2698
Tel 281-518-1093 • Fax 281-518-1106

Attachment #4
Page 1 of 1

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

SEP 3 10 51 AM '99

1 September 1999

Mr. John D. Gibson
Reports Analysis Division
Federal Election Commission
999 E Street NW
Washington, DC 20463

Identification number: C 00296020

Reference: Mid-Year Report (01/01/99 – 06/30/99)

Dear Mr Gibson:

I received your Mailgram of 25 August, and I apologize for the Compaq Citizenship Fund's failure to supply you with the referenced report by the deadline.

Since the filing of our last (year-end) report, our company (Compaq Computer Corporation) has outsourced its accounting operation. We therefore lost the reliable, knowledgeable people who had produced the report on a timely basis in the past. Indeed, I was not aware of this change when, upon receipt of your form, I sent it to the previous office for action.

Your Mailgram alerted me to our internal problem, which I have taken steps to correct. You should have the mid-year report quite soon.

I apologize again for this problem and look forward to being a timely filer again.

Sincerely,

CHASE UNTERMEYER
Treasurer

21-04-405-1362

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)1 / 17
11/02/1999 10:01Attachment #5
Page 1 of 1

1. NAME OF COMMITTEE (in full) Compaq Citizenship Fund, connected with Compaq Computer Corporation		2. FEC IDENTIFICATION NUMBER C00296020
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 20555 State Highway 249		
CITY, STATE, and ZIP CODE Houston TX 77070		3. <input type="checkbox"/> This committee has qualified as a multi-candidate committee (see FEC Form 1M)

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☒ July 31 Mid-Year Report (Non-election Year Only)☐ Termination report

Monthly Report Due On:

☐ February 20☐ March 20☐ April 20☐ May 20☐ June 20☐ July 20☐ August 20☐ September 20☐ October 20☐ November 20☐ December 20☐ January 31☐ Twelfth day report preceding

(election type)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election

on _____ in the State of _____

(b) Is this Report an Amendment

☐ YES☒ NO**SUMMARY**

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
01/01/1999 through 06/30/1999		
6. (a) Cash on Hand, January 1, 1999		4408.29
(b) Cash on Hand at Beginning of Reporting Period	4408.29	
(c) Total Receipts (from line 19)	16586.26	16586.26
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	20994.55	20994.55
7. Total Disbursements (from line 30)	11700.00	11700.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	9294.55	9294.55
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.		
Type or Print Name of Treasurer Electronically Filed by Chase Untermeyer		
Signature of Treasurer		Date 10/29/1999

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X
(revised 9/93)

21-04-405-1363