

## REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: July 17, 2000

ANALYST: Lucy J. Denny

- I. COMMITTEE: New Jersey State Laborers' Political Action Committee/Laborers' Political League (NJSLPAC) (C00214643)  
Robert S. Garrison, Treasurer (March 30, 2000 - Present)  
Frank Sorge, Treasurer (April 27, 1987 - March 30, 2000)  
104 Interchange Plaza, Suite 301  
Cranbury, NJ 08512\*
- II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(iv)  
11 CFR §104.5(c)(2)(B)

## III. BACKGROUND:

## Failure to Timely File the 1999 Year End Report

The New Jersey State Laborers' Political Action Committee/Laborers' Political League (NJSLPAC) ("the Committee") failed to timely file the 1999 Year End Report of Receipts and Disbursements covering the period from July 1, 1999 to December 31, 1999. On December 30, 1998 and December 29, 1999, Prior Notices were sent to the Committee informing it that the 1999 Year End Report was due on January 31, 2000 (Attachments 2 & 3). A Non-Filer Notice was sent to the Committee via mailgram on February 24, 2000 (Attachment 4).

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\*Mr. Garrison apparently became Treasurer prior to this date. On February 18, 2000, he told the RAD analyst that he had been elected to the position; however, the Committee did not file an amended Statement of Organization at that time. It first notified the Commission of this in writing with the filing of its 1999 Year End Report. The mailgram was sent to the correct address at the time of its mailing.

NEW JERSEY STATE LABORERS' PAC/LABORERS' POL LEAGUE (NJSLPAC)  
REPORTS ANALYSIS OGC REFERRAL  
PAGE 2

On February 18, 2000, Mr. Robert Garrison, Treasurer, called the Reports Analysis Division ("RAD") analyst. The call originally concerned a few general reporting questions, but turned to the 1999 Year End Report. Mr. Garrison recognized that the 1999 Year End Report was late and explained that the previous treasurer, Mr. Frank Sorge, was ill for some time and eventually died. He stated that he had been elected the new treasurer, but found that Mr. Sorge had fallen behind on the 1999 Year End filing. The RAD analyst advised Mr. Garrison to file the 1999 Year End Report as soon as possible and to amend the Committee's Statement of Organization to change the treasurer. The analyst also suggested Mr. Garrison write a cover memo explaining the circumstances surrounding the late filing (Attachment 5).

After not receiving the report by March 20, 2000, the RAD analyst called Mr. Garrison again to remind him to file the 1999 Year End Report. Mr. Garrison said he would have the report ready with an amended Statement of Organization by March 22, 2000 (Attachment 6).

The RAD analyst attempted to contact Mr. Garrison again on March 29, 2000 to inform him the Commission still had not received the Committee's 1999 Year End Report. Mr. Garrison was not available, so the analyst left a message reminding him that if the Commission did not receive the report, legal action would be taken (Attachment 7). On March 30, 2000, Mr. Garrison left a message on the RAD analyst's voicemail, stating that the report was ready to send and to expect it shortly. The analyst returned his call on April 3, 2000. Mr. Garrison stated that the report was mailed on March 31 or April 1, 2000 (Attachment 8).

The Committee filed the 1999 Year End Report on April 3, 2000. With the report, Mr. Garrison attached a cover letter explaining the circumstances surrounding the late filing (Attachment 9).

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	IMAGE LOCATION TYPE OF FILER
NEW JERSEY STATE LABORERS' POLITICAL ACTION COMMITTEE/LABORERS' POLITICAL LEAGUE (NJSLPAC) ID #C00214643 NON-PARTY QUALIFIED						
CONNECTED ORGANIZATION: LABORERS' INT'L UNION OF NORTH AMERICA						
1999	MID-YEAR REPORT	321,577	244,542	1JAN99 -30JUN99	24	99FEC/472/4351
	YEAR-END	395,997	367,785	1JUL99 -31DEC99	39	20FEC/539/0250
	NOTICE OF FAILURE TO FILE			1JUL99 -31DEC99	2	20FEC/531/4657
2000	STATEMENT OF ORGANIZATION - AMENDMENT			3APR00	1	20FEC/539/2798
	APRIL QUARTERLY	190,743	141,814	1JAN00 -31MAR00	18	20FEC/549/4172
	TOTAL	908,317	0 754,141 0		84	TOTAL PAGES

All Reports have been reviewed except April Quarterly.

Cash on hand as of 3/31/00: \$ 538,320.51

Debts owed to committee: \$ 0.00

Debts owed by committee: \$ 0.00

21-04-403-4995

# REPORT NOTICE

## FEDERAL ELECTION COMMISSION

### PARTIES AND PACS

December 30, 1998

### WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report.

### QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

### CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

### ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

### COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

**I. SEMIANNUAL FILERS**

<b>REPORT</b>	<b>REPORTING PERIOD*</b>	<b>REG./CERT. MAILING DATE**</b>	<b>FILING DATE</b>
Mid-Year	01/01/99 - 06/30/99	07/31/99	07/31/99
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00

**II. MONTHLY FILERS**

<b>REPORT</b>	<b>REPORTING PERIOD*</b>	<b>REG./CERT. MAILING DATE**</b>	<b>FILING DATE</b>
February	01/01/99 - 01/31/99	02/20/99	02/20/99
March	02/01/99 - 02/28/99	03/20/99	03/20/99
April	03/01/99 - 03/31/99	04/20/99	04/20/99
May	04/01/99 - 04/30/99	05/20/99	05/20/99
June	05/01/99 - 05/31/99	06/20/99	06/20/99
July	06/01/99 - 06/30/99	07/20/99	07/20/99
August	07/01/99 - 07/31/99	08/20/99	08/20/99
September	08/01/99 - 08/31/99	09/20/99	09/20/99
October	09/01/99 - 09/30/99	10/20/99	10/20/99
November	10/01/99 - 10/31/99	11/20/99	11/20/99
December	11/01/99 - 11/30/99	12/20/99	12/20/99
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

**FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100**

# REPORT NOTICE

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**FEDERAL ELECTION COMMISSION**

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**PARTIES AND PACS**

December 29, 1999

**WHO MUST FILE**

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 2000.

**I. Semi-annual Filers**

REPORT	REPORTING PERIOD <sup>1</sup>	REG./CERT. MAILING DATE <sup>2</sup>	FILING DATE
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00

**II. Monthly Filers**

REPORT	REPORTING PERIOD <sup>1</sup>	REG./CERT. MAILING DATE <sup>2</sup>	FILING DATE
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

**LABEL**

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

**COMPLIANCE**

Treasurers of political committees are responsible for the accuracy of all reports and for filing all reports on time. Treasurers may be subject to enforcement action if reports are not filed timely or reports are inaccurate. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

**ELECTRONIC FILING**

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1306.

<sup>1</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>2</sup>Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

## PARTIES AND PACS

## YEAR-END

## 2000 FILING SCHEDULE

## I. QUARTERLY FILERS

REPORT	REPORTING PERIOD <sup>1</sup>	REG./CERT. MAILING DATE <sup>2</sup>	FILING DATE
April Quarterly	01/01/00 - 03/31/00	04/15/00	04/15/00 <sup>3</sup>
July Quarterly	04/01/00 - 06/30/00	07/15/00	07/15/00 <sup>3</sup>
October Quarterly	07/01/00 - 09/30/00	10/15/00	10/15/00 <sup>3</sup>
Pre-General	10/01/00 - 10/18/00	10/23/00	10/26/00
Post-General	10/19/00 - 11/27/00	12/07/00	12/07/00
Year-End	11/28/00 - 12/31/00	01/31/01	01/31/01

## II. MONTHLY FILERS

REPORT	REPORTING PERIOD <sup>1</sup>	REG./CERT. MAILING DATE <sup>2</sup>	FILING DATE
February	01/01/00 - 01/31/00	02/20/00	02/20/00 <sup>3</sup>
March	02/01/00 - 02/29/00	03/20/00	03/20/00
April	03/01/00 - 03/31/00	04/20/00	04/20/00
May	04/01/00 - 04/30/00	05/20/00	05/20/00 <sup>3</sup>
June	05/01/00 - 05/31/00	06/20/00	06/20/00
July	06/01/00 - 06/30/00	07/20/00	07/20/00
August	07/01/00 - 07/31/00	08/20/00	08/20/00 <sup>3</sup>
September	08/01/00 - 08/31/00	09/20/00	09/20/00
October	09/01/00 - 09/30/00	10/20/00	10/20/00
Pre-General	10/01/00 - 10/18/00	10/23/00	10/26/00
Post-General	10/19/00 - 11/27/00	12/07/00	12/07/00
Year-End	11/28/00 - 12/31/00	01/31/01	01/31/01

## PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 2000 Record.

## 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any Party Committee or PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election. This report is *not* required when a Party Committee or PAC makes a contribution directly to a candidate.

<sup>1</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>2</sup>Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

<sup>3</sup>Note that filing dates are not extended when they fall on nonworking days. [REDACTED] C and Secretary of the Senate, however, will be open to receive reports on April 15, July 15 and October 15. Attachment # 3  
Page 3 of 3

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**FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100**

21-04-403-4890



**WESTERN  
UNION**

WESTERN UNION COMMERCIAL SERVICES

CONFIRMATION OF MAILGRAM

TO: FRANK SORGE

NEW JERSEY STATE LABORERS' PAC

104 INTERCHANGE PLZ # 301

CRANBURY NJ 08831-2038

Attachment # 4

FEDERAL ELECTION COMMISSION  
SHAWN WERTH  
999 E ST. NW.  
WASHINGTON DC 204630001

FEBRUARY 24, 2000

IDENTIFICATION NUMBER: C00214643

REFERENCE: YEAR-END REPORT (07/01/1999 - 12/31/1999)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER, UNLESS THE STATE IS EXEMPT FROM A FEDERAL REQUIREMENT TO RECEIVE AND MAINTAIN PAPER COPIES.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT LUCY DENNY ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

**TELECON**

<b>ANALYST:</b>	Lucy J. Denny  NJ State Laborers' PAC  Non-Filing of Reports
<b>COMMITTEE:</b>	
<b>SUBJECT(S):</b>	

<b>DATE:</b>	February 18, 2000  Robert Garrison
<b>CONVERSATION WITH:</b>	

Mr. Garrison called the RAD analyst to ask a few filing questions. He said the former treasurer was sick for a while and eventually passed away. Now he is the new treasurer. During the former treasurer's illness, he was behind on the filing and never filed the YE report. The analyst told Mr. Garrison to file as soon as possible and to let the Commission know the circumstances surrounding the late filing. The analyst also advised he amend the Statement of Organization to change the treasurer.

21-014-403-4892

**TELECON**

<b>ANALYST:</b>	Lucy J. Denny  NJ State Laborers' PAC  Non-Filing of Reports
<b>COMMITTEE:</b>	
<b>SUBJECT(S):</b>	

<b>DATE:</b>	March 20, 2000  Robert Garrison
<b>CONVERSATION WITH:</b>	

The Reports Analyst called Mr. Garrison to remind him that the 1999 YE was late and that the Committee was risking possible legal action. Mr. Garrison told the analyst that he would have the report ready with a cover letter changing the treasurer probably by Wednesday (March 22, 2000).

21-04-403-4893

**TELECON**

<b>ANALYST:</b>	Lucy J. Denny  New Jersey State Laborers' PAC  Non-Filing of Reports
<b>COMMITTEE:</b>	
<b>SUBJECT(S):</b>	

<b>DATE:</b>	March 29, 2000  Robert Garrison (voice mail)
<b>CONVERSATION WITH:</b>	

After not receiving the Committee's 1999 YE report, the analyst attempted to contact Mr. Garrison again. He was on the other line, so the analyst left a message on his voice mail warning him of possible legal action and to call back.

21-04-403-4891  
1684-304-10-12

**TELECON**

<b>ANALYST:</b>	Lucy J. Denny
<b>COMMITTEE:</b>	NJ State Laborers' PAC
<b>SUBJECT(S):</b>	Non Filer of Reports

<b>DATE:</b>	April 3, 2000
<b>CONVERSATION WITH:</b>	Robert Garrison

Mr. Garrison returned the analyst's last phone call on March 30, 2000. He said that the report was ready to send out and to expect it shortly.

The analyst called Mr. Garrison back to check up on the report. Mr. Garrison said the 1999 YE report was mailed out on the previous Friday or Saturday (March 31 or April 1, 2000).

22-04-403-4895



## NEW JERSEY STATE LABORERS' POLITICAL ACTION COMMITTEE

RECEIVED  
FEDERAL ELECTION  
ACTION COMMITTEE  
2000 APR -3 A 11:13RAYMOND M. POCINO  
ChairmanAdministrative Committee  
PATRICK C. BYRNE  
E. FRANK DIANTONIO  
OLIVER GLASSTreasurer / Administrator  
ROBERT S. GARRISON

March 30, 2000

Ms. Lucy Denny  
Federal Election Committee  
999 E Street, NW  
Washington, DC 20463

Dear Ms. Denny:

Pursuant to our phone conversations, enclosed you will find the NJSLPAC FEC Report that was due in January. I apologize for the lateness of the report, but as I discussed with you, our former treasurer/administrator passed away unexpectedly, leaving the PAC without someone in the office familiar with the FEC filing process. I was appointed the new treasurer/administrator and have taken the time to learn the process and I am confident that this will never happen again. Also, our attorney and accountants have been made an integral part of the process to ensure a timely and accurate filing process for the future. In addition, both our attorney and myself will be present at next week's FEC training program in Washington, DC.

Should you have questions or comments, feel free to call me at the number listed below or on my cellular phone, 908-239-7704.

Thank you for your consideration and understanding with what has a very difficult situation.

Sincerely,

Robert S. Garrison  
Treasurer/Administrator

**REPORT OF RECEIPTS AND DISBURSEMENTS**For Other than An Authorized Committee  
(Summary Page)Attachment # 9  
Page # 2 of 2**RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM**

2000 APR -3 A 11:13

1. NAME OF COMMITTEE (in full) NJ STATE LABORERS POLITICAL ACTION COMMITTEE		2. FEC IDENTIFICATION NUMBER CO0214643
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. 104 INTERCHANGE PLAZA, SUITE 301		
CITY, STATE and ZIP CODE CRANBURY, NJ 08512		
		3. <input type="checkbox"/> This committee qualified as a multicandidate committee. (see FEC FORM 1M)

**4. TYPE OF REPORT**(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☒ January 31 Year End Report☐ July 31 Mid-Year Report (Non-election Year Only)☐ Termination Report

## Monthly Report Due On:

☐ February 20☐ June 20☐ October 20☐ March 20☐ July 20☐ November 20☐ April 20☐ August 20☐ December 20☐ May 20☐ September 20☐ January 31☐ Twelfth day report preceding

(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☐ Thirtieth day report following the General Election on

\_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?

☐ YES☒ NO**SUMMARY**

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-date
07/01/1999 through 12/31/1999		
6. (a) Cash on Hand January 1, 19 99 .....		\$380661.99
(b) Cash on Hand at Beginning of Reporting Period .....	\$457697.56	
(c) Total Receipts (from Line 19) .....	\$395997.44	\$717575.06
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	\$853695.00	\$1098237.05
7. Total Disbursements (from Line 30) .....	\$367785.19	\$612327.24
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$485909.81	\$485909.81
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) .....		For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) .....		
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.		
Type or Print Name of Treasurer Robert S. Garrison,		
Signature of Treasurer <i>Robert S. Garrison</i>		Date 3-31-00

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to penalties of 2 U.S.C. §437g.

**FEC FORM 3X**  
(Revised 9/93)