



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

July 18, 2000

TO: LAWRENCE M. NOBLE
GENERAL COUNSEL

THROUGH: JAMES A. PEHRKON
STAFF DIRECTOR

FROM: JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

SUBJECT: REFERRAL OF THE TRAVIS COUNTY DEMOCRATIC PARTY

This is a referral of the Travis County Democratic Party ("the Committee") for failure to file the 1999 Year End Report within thirty calendar days from the date of the Non-Filer Notice. According to the 1999-2000 RAD Review and Referral Procedures for Unauthorized Committees further examination is required by your office.

The Committee was previously referred to your office on October 31, 1997 for receipt of apparent excessive contributions from political committees during the 1996 12 Day Pre-General and 30 Day Post-General reporting periods (see RAD Referral 97L-28, closed MUR 4763).

If you have any questions regarding this matter, please contact Scott Walker at 694-1130.

Attachment

REPORTS ANALYSIS REFERRAL
TO
OFFICE OF GENERAL COUNSEL

DATE: July 18, 2000

ANALYST: Scott Walker

- I. COMMITTEE: Travis County Democratic Party
(C00257519)
Mina Clark, Treasurer
P.O. Box 684263
Austin, Texas 78763
- II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(iv)
11 CFR §104.5(c)(2)(i)(B)
- III. BACKGROUND:

Failure to Timely File the 1999 Year End Report

The Travis County Democratic Party ("the Committee") failed to timely file the 1999 Year End Report of Receipts and Disbursements covering the period from July 1, 1999 through December 31, 1999. On December 30, 1998 and December 29, 1999, Prior Notices were sent to the Committee informing it that the 1999 Year End Report was due on January 31, 2000 (Attachment 2). A Non-Filer Notice was sent to the Committee via mailgram on February 24, 2000 (Attachment 3).

The Reports Analysis Division ("RAD") analyst attempted to contact by telephone Mina Clark, Treasurer of the Committee, on March 20, 2000. The RAD analyst was informed by the Director of the Committee, Tim Weltin, that the Treasurer was unreachable. Therefore, Mr. Weltin was informed that failure to file a report covering activity conducted between July 1, 1999 to December 31, 1999 could result in legal action. The Committee was encouraged file its 1999 Year End Report as soon as possible to reduce any possible enforcement action (Attachment 4).

TRAVIS COUNTY DEMOCRATIC PARTY
REPORTS ANALYSIS OGC REFERRAL
PAGE 2

The Committee filed a report on March 28, 2000 covering the 1999 Year
End reporting period (Attachment 5).

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	IMAGE LOCATION
						TYPE OF FILER
TRAVIS COUNTY DEMOCRATIC PARTY						ID #C00257519 PARTY QUALIFIED
CONNECTED ORGANIZATION: TEXAS DEMOCRATIC PARTY						
	1999 MID-YEAR REPORT	28,130	26,876	1JAN99 -30JUN99	37	09FEC/479/2044
	YEAR-END	30,015	26,457	1JUL99 -31DEC99	40	20FEC/538/1256
	NOTICE OF FAILURE TO FILE			1JUL99 -31DEC99	2	20FEC/531/4693
	2000 MISCELLANEOUS NOTICE FROM FEC			24MAR00	3	20FEC/537/4099
	MISCELLANEOUS NOTICE FROM FEC			31MAR00	3	20FEC/538/4384
	APRIL QUARTERLY	23,986	23,166	1JAN00 -31MAR00	23	20FEC/549/2413
	TOTAL	82,131	0 76,499		108	TOTAL PAGES

All Reports have been reviewed.

Cash on hand as of 3/31/00: \$ 7424.53
 Debts owed to the Committee: \$ 0.00
 Debts owed by the Committee: \$ 8,587.81



REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 30, 1998

WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report.

QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

I. SEMIANNUAL FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	
		MAILING DATE**	FILING DATE
Mid-Year	01/01/99 - 06/30/99	07/31/99	07/31/99
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00

II. MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	
		MAILING DATE**	FILING DATE
February	01/01/99 - 01/31/99	02/20/99	02/20/99
March	02/01/99 - 02/28/99	03/20/99	03/20/99
April	03/01/99 - 03/31/99	04/20/99	04/20/99
May	04/01/99 - 04/30/99	05/20/99	05/20/99
June	05/01/99 - 05/31/99	06/20/99	06/20/99
July	06/01/99 - 06/30/99	07/20/99	07/20/99
August	07/01/99 - 07/31/99	08/20/99	08/20/99
September	08/01/99 - 08/31/99	09/20/99	09/20/99
October	09/01/99 - 09/30/99	10/20/99	10/20/99
November	10/01/99 - 10/31/99	11/20/99	11/20/99
December	11/01/99 - 11/30/99	12/20/99	12/20/99
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100



REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 29, 1999

WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 2000.

I. Semi-annual Filers

REPORT	REPORTING PERIOD ¹	REG./CERT. MAILING DATE ²	FILING DATE
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00

II. Monthly Filers

REPORT	REPORTING PERIOD ¹	REG./CERT. MAILING DATE ²	FILING DATE
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for the accuracy of all reports and for filing all reports on time. Treasurers may be subject to enforcement action if reports are not filed timely or reports are inaccurate. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1306.

¹These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

²Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

YEAR-END

2000 FILING SCHEDULE

I. QUARTERLY FILERS

REPORT	REPORTING PERIOD ¹	REG./CERT.	FILING
		MAILING DATE ²	DATE
April Quarterly	01/01/00 - 03/31/00	04/15/00	04/15/00 ³
July Quarterly	04/01/00 - 06/30/00	07/15/00	07/15/00 ³
October Quarterly	07/01/00 - 09/30/00	10/15/00	10/15/00 ³
Pre-General	10/01/00 - 10/18/00	10/23/00	10/26/00
Post-General	10/19/00 - 11/27/00	12/07/00	12/07/00
Year-End	11/28/00 - 12/31/00	01/31/01	01/31/01

II. MONTHLY FILERS

REPORT	REPORTING PERIOD ¹	REG./CERT.	FILING
		MAILING DATE ²	DATE
February	01/01/00 - 01/31/00	02/20/00	02/20/00 ³
March	02/01/00 - 02/29/00	03/20/00	03/20/00
April	03/01/00 - 03/31/00	04/20/00	04/20/00
May	04/01/00 - 04/30/00	05/20/00	05/20/00 ³
June	05/01/00 - 05/31/00	06/20/00	06/20/00
July	06/01/00 - 06/30/00	07/20/00	07/20/00
August	07/01/00 - 07/31/00	08/20/00	08/20/00 ³
September	08/01/00 - 08/31/00	09/20/00	09/20/00
October	09/01/00 - 09/30/00	10/20/00	10/20/00
Pre-General	10/01/00 - 10/18/00	10/23/00	10/26/00
Post-General	10/19/00 - 11/27/00	12/07/00	12/07/00
Year-End	11/28/00 - 12/31/00	01/31/01	01/31/01

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 2000 Record.

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

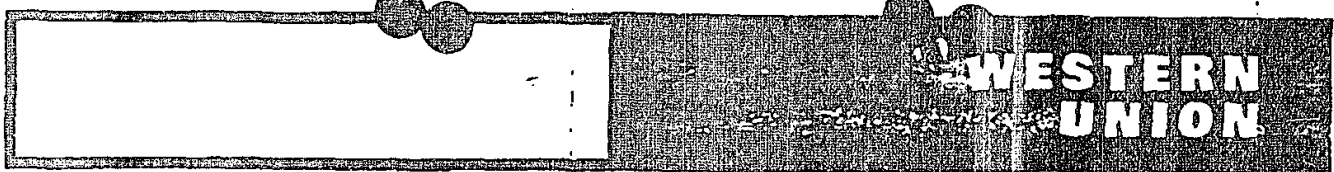
Any Party Committee or PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election. This report is *not* required when a Party Committee or PAC makes a contribution directly to a candidate.

¹These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

²Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

³Note that filing dates are not extended when they fall on nonworking days. The EC and Secretary of the Senate, however, will be open to receive reports on April 15, July 15 and October 15.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100



CONFIRMATION OF MAILGRAM TO: HINA CLARK
 TRAVIS COUNTY DEMOCRATIC PARTY
 PO BOX 684263
 AUSTIN TX 78768-4263

FEDERAL ELECTION COMMISSION
 SHAWN WERTH
 999 E ST. NW.
 WASHINGTON DC 204630001

FEBRUARY 24, 2000

IDENTIFICATION NUMBER: C00257519

REFERENCE: YEAR-END REPORT (07/01/1999 - 12/31/1999)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER, UNLESS THE STATE IS EXEMPT FROM A FEDERAL REQUIREMENT TO RECEIVE AND MAINTAIN PAPER COPIES.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT SCOTT B. WALKER ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
 ASSISTANT STAFF DIRECTOR
 REPORTS ANALYSIS DIVISION

Telecon

Travis County Democratic Party (C00257519)
Mina Clark, Treasurer
March 20, 2000

The Committee was called by Scott Walker on March 20, 2000 in order to inform it of its failure to file the 1999 Year End Report (7/1/99-12/31/99) in a timely manner. The analyst was informed that the treasurer, Mina Clark, could not be reached at that point. Therefore, Tim Weltin, Director of the Travis County Democratic Party, was informed that failure to file the 1999 Year End Report could result in legal action. Mr. Weltin was encouraged by the RAD Analyst to file the 1999 Year End Report as soon as possible. Mr. Weltin indicated that the committee would comply.

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM
2000 MAR 28 A 11:32

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Travis County Democratic Party

ADDRESS (number and street) Check if different than previously reported
P.O. Box 684263 (1311 E. 6th St)

CITY, STATE and ZIP CODE
Austin, TX 78763-4263 (78702)

2. FEC IDENTIFICATION NUMBER
C00257519

3. This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

- Monthly Report Due On:
- February 20
 - March 20
 - April 20
 - May 20
 - June 20
 - July 20
 - August 20
 - September 20
 - October 20
 - November 20
 - December 20
 - January 31
- 12-Day Pre-Election Report for the _____ (Type of Election) election on _____ in the State of _____
- 30-Day Post-Election Report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? YES NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<i>7/1/99</i> through <i>12/31/99</i>		
6. (a) Cash on Hand January 1, 19 <i>99</i>			\$ <i>1,793.53</i>
(b) Cash on Hand at Beginning of Reporting Period		\$ <i>3,047.91</i>	
(c) Total Receipts (from Line 19)		\$ <i>30,015.07</i>	\$ <i>58,145.97</i>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ <i>33,062.98</i>	\$ <i>59,939.50</i>
7. Total Disbursements (from Line 30)		\$ <i>26,457.53</i>	\$ <i>53,334.05</i>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ <i>6,605.45</i>	\$ <i>6,605.45</i>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$ _____	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$ <i>13,130.91</i>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Mina Brees

Signature of Treasurer
Mina Brees

Date
3/27/2000

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

--	--	--	--	--	--	--	--	--	--