

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: April 22, 1999

ANALYST: Debbie Chacona

- I. COMMITTEE: Iowa Democratic Party
(C00035600)
Mary Maloney, Treasurer
5661 Fleur Drive
Des Moines, IA 50321
- II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(iii)
11 CFR §104.5(c)(1)(iii)

III. BACKGROUND:

Failure to Timely File the 1998 30 Day Post-General Report

The Iowa Democratic Party ("the Committee") failed to timely file the 1998 30 Day Post-General Report of Receipts and Disbursements covering the period from October 15, 1998 to November 23, 1998.

On December 29, 1997, September 30, 1998 and November 5, 1998, Prior Notices were sent to the Committee informing it that the 1998 30 Day Post-General Report was due on December 3, 1998 (Attachment 2).

On December 3, 1998, the Committee filed a cover letter and a blank Summary Page for the 1998 30 Day Post-General reporting period. The cover letter stated that the report was incomplete (Attachment 3).

A Non-Filer Notice was sent to the Committee via mailgram on December 29, 1998 (Attachment 4). The Notice also acknowledged that the report was incomplete.

On February 26, 1999, Steve Mandernach called the Reports Analysis Division ("RAD") analyst. He stated that he was the Comptroller of the Committee and that their bookkeeper had recently passed away. He went on to say that in addition to this, the Committee has had serious computer problems and that this has delayed the filing of their reports. The RAD analyst told him to file the 1998 30 Day Post-General and Year End Reports as soon as possible (Attachment 5).

On March 22, 1999, the Comptroller of the Committee called the RAD analyst. He said that he would be sending the 30 Day Post-General Report later that day and that the Year End Report would be filed the following week. The Committee was encouraged to file the reports as soon as possible to reduce the chances of enforcement action (Attachment 6).

The Committee filed the complete 1998 30 Day Post-General Report on March 24, 1999 (Attachment 7). The cover letter did not provide any further information regarding the late filing.

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF	IMAGE
					PAGES	LOCATION
				TYPE OF FILER		

IOWA DEMOCRATIC PARTY				ID #C00035600	PARTY QUALIFIED	
CONNECTED ORGANIZATION: IOWA DEMOCRATIC PARTY						
	1997 APRIL QUARTERLY	426,430	438,991	1JAN97 -30JUN97	131	97FEC/218/4260
	APRIL QUARTERLY - AMENDMENT	426,430	438,991	1JAN97 -30JUN97	4	97FEC/243/1447
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 -30JUN97	3	97FEC/242/1591
	YEAR-END	593,576	592,094	1JUL97 -31DEC97	168	98FEC/262/3484
	YEAR-END - AMENDMENT	-	-	1JUL97 -31DEC97	13	98FEC/287/4587
	YEAR-END - AMENDMENT	-	-	1JUL97 -31DEC97	6	98FEC/289/1619
	REQUEST FOR ADDITIONAL INFORMATION			1JUL97 -31DEC97	3	98FEC/286/4346
	1998 STATEMENT OF ORGANIZATION - AMENDMENT			20MAR98	1	98FEC/287/4586
	APRIL QUARTERLY	262,490	254,657	1JAN98 -31MAR98	70	98FEC/293/4210
	APRIL QUARTERLY - AMENDMENT	262,490	254,657	1JAN98 -31MAR98	4	98FEC/309/2339
	REQUEST FOR ADDITIONAL INFORMATION			1JAN98 -31MAR98	3	98FEC/308/2541
	JULY QUARTERLY	364,982	373,737	1APR98 -30JUN98	80	98FEC/325/3800
	JULY QUARTERLY - AMENDMENT	262,490	254,657	1APR98 -30JUN98	6	98FEC/353/2426
	JULY QUARTERLY - AMENDMENT	364,982	373,737	1APR98 -30JUN98	5	99FEC/439/4562
	REQUEST FOR ADDITIONAL INFORMATION			1APR98 -30JUN98	3	98FEC/344/0175
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR98 -30JUN98	4	98FEC/351/2853
	OCTOBER QUARTERLY	-	-	1JUL98 -30SEP98	3	98FEC/374/4339
	OCTOBER QUARTERLY - AMENDMENT	355,712	323,194	1JUL98 -30SEP98	113	98FEC/413/0685
	OCTOBER QUARTERLY - AMENDMENT	293,893	261,375	1JUL98 -30SEP98	68	99FEC/436/3445
	REQUEST FOR ADDITIONAL INFORMATION			1JUL98 -30SEP98	5	99FEC/429/3841
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL98 -30SEP98	2	99FEC/435/2435
	PRE-GENERAL	-	-	20OCT98	23	98FEC/380/3215
	PRE-GENERAL - AMENDMENT	131,451	77,843	1OCT98 -14OCT98	36	99FEC/430/3488
	PRE-GENERAL - AMENDMENT	-	-	1OCT98 -14OCT98	11	99FEC/437/2316
	PRE-GENERAL - AMENDMENT	146,669	93,061	1OCT98 -14OCT98	7	99FEC/438/4733
	PRE-GENERAL - AMENDMENT	146,669	93,061	1OCT98 -14OCT98	6	99FEC/439/4556
	REQUEST FOR ADDITIONAL INFORMATION			1OCT98 -14OCT98	5	99FEC/429/3846
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1OCT98 -14OCT98	4	99FEC/435/2427
	REQUEST FOR ADDITIONAL INFORMATION			1OCT98 -14OCT98	3	99FEC/438/1813
	REQUEST FOR ADDITIONAL INFORMATION			1OCT98 -14OCT98	2	99FEC/442/0225
	REQUEST FOR ADDITIONAL INFORMATION			1OCT98 -14OCT98	2	99FEC/442/0227
	POST-GENERAL	-	-	23NOV98	3	98FEC/410/4499
	POST-GENERAL - AMENDMENT	632,684	716,406	15OCT98 -23NOV98	68	99FEC/439/4488
	NOTICE OF FAILURE TO FILE			15OCT98 -23NOV98	2	99FEC/423/3840
	REQUEST FOR ADDITIONAL INFORMATION			15OCT98 -23NOV98	9	99FEC/444/0708
	YEAR-END	-	-	24NOV98 -31DEC98	3	99FEC/431/1076
	YEAR-END - AMENDMENT	82,571	72,965	24NOV98 -31DEC98	48	99FEC/440/0349
	NOTICE OF FAILURE TO FILE			24NOV98 -31DEC98	2	99FEC/437/2742
	REQUEST FOR ADDITIONAL INFORMATION			24NOV98 -31DEC98	3	99FEC/444/0717

All reports have been reviewed.

Cash on Hand as of 12/31/98: \$13,292.26

Debts and obligations owed to the Committee: \$0.00

Debts and obligations owed by the Committee: \$61,843.96

FEDERAL ELECTION COMMISSION
1997-1998
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

Attachment 1
Page 2 of 2

DATE 4/22/1999
PAGE 2

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES TYPE OF FILER	IMAGE LOCATION
	1999 MISCELLANEOUS NOTICE FROM FEC			9APR99	3	99FEC/442/2431
	TOTAL	2,803,295	0 2,803,286	0	935	TOTAL PAGES

2025-10-10 10:54:11

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 29, 1997

WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

I. Semi-annual Filers

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING	
		DATE**	DATE
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT.	FILING
		MAILING	
		DATE**	DATE
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

ELECTRONIC FILING

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

1998 FILING SCHEDULE

I. QUARTERLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

II. MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 30, 1998

I. ALL MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

II. QUARTERLY FILERS THAT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

III. QUARTERLY FILERS THAT DO NOT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Post-General	10/01/98 - 11/23/98	12/03/98	12/03/98

WHO MUST FILE

Party Committees and PACs must follow the above charts in order to determine whether they must file a report 12 days before the general election on November 3 (the Pre-General Report). All Party Committees and PACs, regardless of financial activity, must file a report 30 days after the general election (the Post-General Election Report).

*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

** Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any Party Committee or PAC that makes independent expenditures aggregating \$1,000 or more during the period beginning October 15 and ending November 1 must report them within 24 hours.

LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

ELECTRONIC FILING

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

GENERAL ELECTION

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

November 5, 1998

*****REMINDER**

REMINDER

REMINDER***

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Post-General Election Report. Reports sent by registered or certified mail must be postmarked by the mailing date (December 3, 1998); otherwise, they must be received by the filing date (December 3, 1998). FEC Form 3X is enclosed.

FOR INFORMATION, CALL: (800) 424-9530 or (202) 694-1100

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

John R. Norris, State Chair

5661 Fleur Drive • Des Moines, Iowa 50321 • 515/244-7282
Fax 515/244-5051 • e-mail address: jennor@democraticiowa.net

December 3, 1998

Federal Election Commission
999 E St. NW
Washington, Dc 20463

We regret that the post-general election report is not yet complete. We have been working on completing our previous filings that have been thus incomplete to this date. We hope to have completed reports to you in the near future.

We apologize for inconvenience and will promptly submit a complete report.

Most sincerely,

Steven L. Mandernach,
Interim Comptroller

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

DEC 9 12 50 PM '98

OR
TYPE OR PRINT

1. C00035600 060498 P 250
MARY MALONEY
IOWA DEMOCRATIC PARTY
3441 FLEUR DRIVE
DES MOINES IA 50321

2. FEC IDENTIFICATION NUMBER
3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

- ☐ April 15 Quarterly Report
- ☐ July 15 Quarterly Report
- ☐ October 15 Quarterly Report
- ☐ January 31 Year End Report
- ☐ July 31 Mid Year Report (Non-election Year Only)
- ☐ Termination Report

- Monthly Report Due On:
- ☐ February 20 ☐ June 20 ☐ October 20
 - ☐ March 20 ☐ July 20 ☐ November 20
 - ☐ April 20 ☐ August 20 ☐ December 20
 - ☐ May 20 ☐ September 20 ☐ January 31

☒ 12-Day Pre-Election Report for the _____
(Type of Election)
election on _____ in the State of _____

☒ 30-Day Post-Election Report following the General Election
on Nov 3, 1998 the State of IOWA

(b) Is this Report an Amendment? ☐ YES ☐ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	through		
6. (a) Cash on Hand January 1, 1998			\$
(b) Cash on Hand at Beginning of Reporting Period		\$	
(c) Total Receipts (from Line 19)		\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$	\$
7. Total Disbursements (from Line 20)		\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20423 Toll Free 800-424-9530 Local 202-219-3430
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Mary Maloney

Signature of Treasurer
Mary Maloney

Date
12/3/98

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 2437c.

CONFIRMATION OF MAILGRAM

TO: MARY MALONEY
IOWA DEMOCRATIC PARTY
5661 FLEUR DRIVE
DEMOINES, IA 50321

WESTERN UNION COMMERCIAL SERVICES

FEDERAL ELECTION COMMISSION
SHAWN WERTH
999 E ST. NW.
WASHINGTON DC 204630001

DECEMBER 29, 1993

IDENTIFICATION NUMBER: C00035600

REFERENCE: 30-DAY POST-GENERAL REPORT (10/15/1993 - 11/23/1993)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATES FOR THIS REPORT. THE COMMISSION NOTES YOUR STATEMENT IN THE COVER LETTER FOR THIS REPORT THAT THE REPORT IS INCOMPLETE.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT DEBBIE CHACONA ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.

SINCERELY,

JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR
REPORTS ANALYSIS DIVISION

TELECON

DATE: February 26, 1999

ANALYST: Debbie Chacona

COMMITTEE: Iowa Democratic Party (C00035600)

CONVERSATION WITH: Steven Mandernach, Comptroller

On February 26, 1999, Steve Mandernach called the Reports Analysis Division ("RAD") analyst. He stated that he was the Comptroller of the Committee and that their bookkeeper had recently passed away. He went on to say that in addition to this, the Committee has had serious computer problems and that this has delayed the filing of their reports. The RAD analyst told him to file the 30 Day Post-General and Year End Reports as soon as possible.

TELECON

DATE: March 22, 1999

ANALYST: Debbie Chacona

COMMITTEE: Iowa Democratic Party (C00035600)

CONVERSATION WITH: Steven Mandernach, Comptroller

On March 22, 1999, the Comptroller of the Committee called the RAD analyst. He said that he would be sending the 30 Day Post-General Report later that day and that the Year End Report would follow next week. The Committee was encouraged to file the reports as soon as possible to reduce any possible enforcement action

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Iowa Democratic Party		2. FEC IDENTIFICATION NUMBER C00035600
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 5661 Fleur Drive		
CITY, STATE and ZIP CODE Des Moines, IA 50321		
3. <input type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

<input type="checkbox"/> February 20	<input type="checkbox"/> June 20	<input type="checkbox"/> October 20
<input type="checkbox"/> March 20	<input type="checkbox"/> July 20	<input type="checkbox"/> November 20
<input type="checkbox"/> April 20	<input type="checkbox"/> August 20	<input type="checkbox"/> December 20
<input type="checkbox"/> May 20	<input type="checkbox"/> September 20	<input type="checkbox"/> January 31

☐ 12-Day Pre-Election Report for the _____
(Type of Election)

election on _____ in the State of _____

☒ 30-Day Post-Election Report following the General Electionon Nov. 3, 1998 in the State of Iowa(b) Is this Report an Amendment? ☒ YES ☐ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>Oct. 15, 1998</u> through <u>Nov. 23, 1998</u>			
6. (a)	Cash on Hand January 1, 19 <u>98</u>		\$ 2205.79
(b)	Cash on Hand at Beginning of Reporting Period	\$ 87,409.01	
(c)	Total Receipts (from Line 19)	\$ 632,684.38	\$1,700,720.08
(d)	Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 720,093.39	\$1,702,925.87
7.	Total Disbursements (from Line 30)	\$ 716,406.69	\$1,699,239.17
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 3,686.70	\$ 3,686.70
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20433 Toll Free 800-424-9530 Local 202-219-3420
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 68,198.96	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Mary Maloney

Signature of Treasurer

Mary Maloney

Date

3/19/99

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 9/93)