

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: July 27, 1998

ANALYST: Andrew Dodson

- I. COMMITTEE: AOPA Legislative Action PAC  
(C00309856)  
Roger C. Myers, Jr., Treasurer  
500 E Street SW, Suite 250  
Washington, DC 20024
- II. RELEVANT STATUTE: 11 CFR § 104.5(c)(3)  
2 U.S.C. § 434(a)(4)(B)
- III. BACKGROUND:

Failure to File the 1998 April Monthly Report in a Timely Manner

The AOPA Legislative Action PAC ("the Committee") failed to file the 1998 April Monthly Report of Receipts and Disbursements covering the period from March 1, 1998 through March 31, 1998, in a timely manner. The Committee was notified on December 29, 1997 and February 13, 1998 that the report was due on April 20, 1998 (Attachment 2). A Non-Filer Notice was sent to the Committee via mailgram on May 7, 1998 (Attachment 3).

On May 28, 1998 the Reports Analysis Division ("RAD") analyst was contacted by the Assistant Treasurer, James Hurst, and the failure to file the 1998 April Monthly Report was discussed. Mr. Hurst stated that he would file the report as soon as possible (Attachment 4).

On June 8, 1998 the Commission received the Committee's 1998 April Monthly Report (Attachment 5).

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	IMAGE LOCATION
					TYPE OF FILER	
AOPA LEGISLATIVE ACTION PAC				ID #C00309856	NON-PARTY QUALIFIED	
CONNECTED ORGANIZATION: AOPA LEGISLATIVE ACTION						
1997 STATEMENT OF ORGANIZATION - MULTICANDIDATE STATUS NOTICE				9DEC97	3	97FEC/254/1557
	MID-YEAR REPORT	218,246	216,054	1JAN97 -30JUN97	25	97FEC/224/4309
	MID-YEAR REPORT - AMENDMENT	218,246	216,054	1JAN97 -30JUN97	26	97FEC/257/4148
	MID-YEAR REPORT - AMENDMENT	218,246	216,054	1JAN97 -30JUN97	28	98FEC/261/0604
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 -30JUN97	6	97FEC/254/1480
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN97 -30JUN97	3	98FEC/258/0282
	YEAR-END	383,203	263,564	1JUL97 -31DEC97	49	98FEC/269/2821
	1'ST LETTER INFORMATIONAL NOTICE			1JUL97 -31DEC97	2	98FEC/292/3495
1998 STATEMENT OF ORGANIZATION - MULTICANDIDATE STATUS NOTICE				30JAN98	3	98FEC/269/2818
	STATEMENT OF ORGANIZATION - AMENDMENT			8APR98	3	98FEC/291/3669
	ACKNOWLEDGEMENT OF FILING FREQUENCY CHANGE			22MAY98	3	98FEC/313/1951
	FEBRUARY MONTHLY	4,976	44,072	1JAN98 -31JAN98	9	98FEC/282/3184
	FEBRUARY MONTHLY - AMENDMENT	-	-	1JAN98 -31JAN98	4	98FEC/305/3531
	1'ST LETTER INFORMATIONAL NOTICE			1JAN98 -31JAN98	2	98FEC/292/3497
	MARCH MONTHLY	91,717	23,886	1FEB98 -28FEB98	18	98FEC/288/3485
	APRIL MONTHLY	23,054	65,357	1MAR98 -31MAR98	17	98FEC/316/1148
	NOTICE OF FAILURE TO FILE			1MAR98 -31MAR98	2	98FEC/309/4308
	REQUEST FOR ADDITIONAL INFORMATION			1MAR98 -31MAR98	2	98FEC/321/2277
	MAY MONTHLY	171,349	68,332	1APR98 -30APR98	46	98FEC/313/4756
	REQUEST FOR ADDITIONAL INFORMATION			1APR98 -30APR98	7	98FEC/321/2270
	JUNE MONTHLY	29,682	23,025	1MAY98 -31MAY98	17	98FEC/317/1996
	JULY MONTHLY	101,765	64,572	1JUN98 -30JUN98	66	98FEC/338/3600
	TOTAL	1,023,992	0 768,862	0	341	TOTAL PAGES

All reports except July Monthly have been reviewed  
Ending cash on hand balance as of 6/30/98: \$447,355  
Outstanding debts owed by the committee as of 6/30/98: \$37,103  
Outstanding debts owed to the committee as of 6/30/98: \$0.00

# REPORT NOTICE

## FEDERAL ELECTION COMMISSION

**PARTIES AND PACS**

December 29, 1997

### WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

#### I. Semi-annual Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

#### II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

### COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

### ELECTRONIC FILING

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

## 1998 FILING SCHEDULE

**I. QUARTERLY FILERS**

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

**II. MONTHLY FILERS**

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

**24 HOUR REPORT ON INDEPENDENT EXPENDITURES**

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

Document # 2  
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# MONTHLY REPORT NOTICE

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## FEDERAL ELECTION COMMISSION

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### PARTIES AND PACS

February 13, 1998

### WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a monthly basis must file Monthly Reports in 1998.

### REPORTING DATES

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-Election	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-Election	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

### 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

Monthly

### **CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

### **LABEL**

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

### **ELECTRONIC FILING**

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 219-3730.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

  
**WESTERN  
UNION****WESTERN UNION COMMERCIAL SERVICES**

**CONFIRMATION OF MAILGRAM TO: ROGER C MYERS JR  
ADPA LEGISLATIVE ACTION PAC  
500 E ST SW STE 250  
WASHINGTON DC 20024-2760**

**FEDERAL ELECTION COMMISSION  
SHAWN WERTH  
999 E ST. NW.  
WASHINGTON DC 204630001**

**MAY 7, 1998**

**IDENTIFICATION NUMBER: C00309856**

**REFERENCE: APRIL MONTHLY (03/01/1998 - 03/31/1998)**

**DEAR TREASURER:**

**IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.**

**IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.**

**ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.**

**IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT ANDREW DODSON ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)694-1130.**

**SINCERELY,**

**JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION**

TELECON

ANALYST: Andrew Dodson

CONVERSATION WITH: James Hurst, Assistant Treasurer

COMMITTEE: AOPA Legislative Action PAC

DATE: May 28, 1998

SUBJECT: Failure to file the 1998 April Monthly Report

On 5/28/98 I received a call from James Hurst, Assistant Treasurer of the AOPA Legislative Action PAC. Mr. Hurst stated that he had mailed the 1998 April Monthly report and was not aware that the Commission had not received the report. I suggested that Mr. Hurst send a copy of the report by certified mail and Mr. Hurst stated that he would mail a copy of the report as soon as possible.



**REPORT OF RECEIPTS AND DISBURSEMENTS**For Other Than An Authorized Committee  
(Summary Page)Attachment # 5  
Page 1 of 1RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

JUN 8 2 13 PM '98

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)		2. FEC IDENTIFICATION NUMBER C00309856
AOPA Legislative Action Political Action Committee		
ADDRESS (number and street)	<input type="checkbox"/> Check if different than previously reported	
421 Aviation Way		3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M)
CITY, STATE and ZIP CODE		
Frederick, MD 21701		

## 4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

<input type="checkbox"/> February 20	<input type="checkbox"/> June 20	<input type="checkbox"/> October 20
<input type="checkbox"/> March 20	<input type="checkbox"/> July 20	<input type="checkbox"/> November 20
<input checked="" type="checkbox"/> April 20	<input type="checkbox"/> August 20	<input type="checkbox"/> December 20
<input type="checkbox"/> May 20	<input type="checkbox"/> September 20	<input type="checkbox"/> January 31

☐ 12-Day Pre-Election Report for the \_\_\_\_\_  
(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☐ 30-Day Post-Election Report following the General Election

on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?

☐ YES ☒ NO

## SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
3/1/98 through 3/31/98		
6. (a) Cash on Hand January 1, 1998		\$ 314,055.15
(b) Cash on Hand at Beginning of Reporting Period	\$ 342,790.91	
(c) Total Receipts (from Line 19)	\$ 23,054.42	\$ 119,748.80
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 365,845.33	\$ 433,803.95
7. Total Disbursements (from Line 30)	\$ 65,357.24	\$ 133,315.86
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 300,488.09	\$ 300,488.09
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 1,244.00	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 28,002.73	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Roger C. Myers, Jr. - Treasurer

Signature of Treasurer

*Roger C. Myers, Jr.*

Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 9/93)