



FEDERAL ELECTION COMMISSION  
WASHINGTON, D C 20463

THIS IS THE BEGINNING OF MUR # 4777

DATE FILMED 9/5/88 CAMERA NO. 2

CAMERAMAN EES

98043895030

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: June 16, 1998

ANALYST: DONALD L. AVERETT

I. COMMITTEE: North Side Good Government Committee  
(C00295600)  
Michael Hagan, Treasurer  
300 Stadium Circle  
Pittsburgh, PA 15212

II. RELEVANT STATUTE: 2 U.S.C. §434 (a) (4) (A) (iv)  
11 CFR §104.5 (c) (2) (i) (A)

III. BACKGROUND: Failure to Timely File the 1997 Mid Year Report

The North Side Good Government Committee ("the Committee") has failed to timely file the 1997 Mid Year Report of Receipts and Disbursements covering the period from January 1, 1997 to June 30, 1997. The Committee was notified on December 30, 1996 and July 7, 1997 that the report was due on July 31, 1997 (Attachment 2). A Non-Filer Notice was sent to the Committee on August 20, 1997 (Attachment 3).

The 1997 Mid Year Report was filed by certified/registered mail on October 31, 1997 (Attachment 4).

98043895031

FEDERAL ELECTION COMMISSION  
1997-1998

Attachment 1  
Page 1 of 1

DATE 6/15/1998

COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	IMAGE LOCATION
						TYPE OF FILER
NORTH SIDE GOOD GOVERNMENT COMMITTEE				ID #C00295600	NON-PARTY NON-QUALIFIED	
CONNECTED ORGANIZATION: NONE						
	1997 MID-YEAR REPORT	25,000	8,250	1JAN97 -30JUN97	6	97FEC/248/2338
	NOTICE OF FAILURE TO FILE			1JAN97 -30JUN97	2	97FEC/236/2082
	REQUEST FOR ADDITIONAL INFORMATION			1JAN97 -30JUN97	3	98PEC/314/3922
	PRE-GENERAL	0	17,200	1JUL97 -17OCT97	7	97FEC/248/2344
	REQUEST FOR ADDITIONAL INFORMATION			1JUL97 -17OCT97	3	98FEC/314/3919
	POST-SPECIAL	15,000	10,000	18OCT97 -24NOV97	5	97FEC/253/4495
	REQUEST FOR ADDITIONAL INFORMATION			18OCT97 -24NOV97	7	98FEC/314/3912
	YEAR-END	10,000	6,500	25NOV97 -31DEC97	6	98PEC/281/2853
	REQUEST FOR ADDITIONAL INFORMATION			25NOV97 -31DEC97	6	98FEC/314/3906
	1998 APRIL QUARTERLY	5,000	3,150	1JAN98 -31MAR98	5	98FFC/302/2890
	TOTAL	55,000	0	45,100	0	50 TOTAL PAGES

All reports have been reviewed  
Cash-on-Hand balance as of 3/31/98 \$11,120  
Debts owed by/to committee as of 3/31/98 \$0.00

98043895032

FEC  
FEC



# YEAR-END REPORT NOTICE

## FEDERAL ELECTION COMMISSION

**PARTIES AND PACS**

**December 30, 1996**

### QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT.	
		MAILING DATE**	FILING DATE
Year-End	11/26/96 - 12/31/96	01/31/97	01/31/97

### **WHO MUST FILE**

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report.

### **LABEL**

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

YEAR-END

1997 REPORTING SCHEDULE  
PARTIES AND PACS\*

I. SEMIANNUAL FILERS\*\*

REPORT	REPORTING PERIOD	REG./CERT. MAILING DATE***	FILING DATE
Mid-Year	01/01/97 - 06/30/97	07/31/97	07/31/97
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

II. MONTHLY FILERS

REPORT	REPORTING PERIOD	REG./CERT. MAILING DATE***	FILING DATE
February	01/01/97 - 01/31/97	02/20/97	02/20/97
March	02/01/97 - 02/28/97	03/20/97	03/20/97
April	03/01/97 - 03/31/97	04/20/97	04/20/97
May	04/01/97 - 04/30/97	05/20/97	05/20/97
June	05/01/97 - 05/31/97	06/20/97	06/20/97
July	06/01/97 - 06/30/97	07/20/97	07/20/97
August	07/01/97 - 07/31/97	08/20/97	08/20/97
September	08/01/97 - 08/31/97	09/20/97	09/20/97
October	09/01/97 - 09/30/97	10/20/97	10/20/97
November	10/01/97 - 10/31/97	11/20/97	11/20/97
December	11/01/97 - 11/30/97	12/20/97	12/20/97
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

\*Committees that wish to change their filing status must notify the Commission in writing when they file their next report due under their current reporting schedule.

\*\*Committees that filed quarterly reports in 1996 are only required to file semiannually in 1997.

\*\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR MORE INFORMATION CALL: 800/424-9530 OR 202/219-3420

98043895034

# MID-YEAR REPORT NOTICE

## FEDERAL ELECTION COMMISSION

**PARTIES AND PACS**

July 7, 1997

### WHO MUST FILE

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file either a mid-year or monthly report in July.

### I. Quarterly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Mid-Year	01/01/97 - 06/30/97	07/31/97	07/31/97

### II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
July Monthly	06/01/97 - 06/30/97	07/20/97	07/20/97

### 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with a special election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\* Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)



### **CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from monthly to semiannual) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

### **ELECTRONIC FILING**

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

98043895036

AUG 20 1997

WESTERN  
UNION

CONFIRMATION OF MAILGRAM TO: **ELECTRONIC MAIL SERVICES**  
**NORTH SIDE GOOD GOVERNMENT COMMITTEE**  
**MICHAEL HAGAN**  
**300 STADIUM CIR**  
**PITTSBURGH PA 15212-5729**

**FEDERAL ELECTION COMMISSION**  
**SHAWN WERTH**  
**999 E ST. NW.**  
**WASHINGTON DC 204630001**

**IDENTIFICATION NUMBER: C00295600**

**REFERENCE: MID-YEAR (01/01/1997 - 06/30/1997)**

**DEAR TREASURER:**

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C. 20463 (OR WITH THE SECRETARY OF THE SENATE). A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT JACQUES P. ANDRE, JR ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)219-3580.

**SINCERELY,**

**JOHN D. GIBSON**  
**ASSISTANT STAFF DIRECTOR**  
**REPORTS ANALYSIS DIVISION**



## REPORT OF RECEIPTS AND DISBURSEMENT

For Other Than An Authorized Committee  
(Summary Page)Attachment 4  
Page 1 of 2RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

Nov 3 12 28 PM '97

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>NORTHSIDE GOOD GOVT. COMMITTEE</b>	
ADDRESS (number and street) <b>300 STADIUM CIRCLE</b>	<input type="checkbox"/> Check if different than previously reported
CITY, STATE and ZIP CODE <b>Pg4. PA 15212</b>	

## 2. FEC IDENTIFICATION NUMBER

**C00295600**3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## 4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☒ July 31 Mid Year Report (Non-election Year Only)**(MID-YEAR REPORT)**☐ Termination Report

## Monthly Report Due On:

☐ February 20☐ June 20☐ October 20☐ March 20☐ July 20☐ November 20☐ April 20☐ August 20☐ December 20☐ May 20☐ September 20☐ January 31☐ Twelfth day report preceding \_\_\_\_\_

(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☐ Thirtieth day report following the General Election on \_\_\_\_\_

in the State of \_\_\_\_\_

(b) Is this Report an Amendment?

☐ YES☐ NO

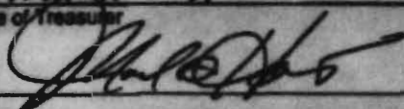
SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<b>1/1/97 through 6/30/97</b>		
6. (a) Cash on Hand January 1, 19 <b>97</b>			<b>\$ 1220</b>
(b) Cash on Hand at Beginning of Reporting Period		<b>\$ 1220</b>	
(c) Total Receipts (from Line 19)		<b>\$ 25000</b>	<b>\$ 25000</b>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		<b>\$ 26220</b>	<b>\$ 26220</b>
7. Total Disbursements (from Line 30)		<b>\$ 8250</b>	<b>\$ 8250</b>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		<b>\$ 17970</b>	<b>\$ 17970</b>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		<b>\$ -</b>	For further information contact: Federal Election Commission 990 E Street, NW Washington, DC 20463 Toll Free 800-424-6530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		<b>\$ -</b>	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**MARK S. HART**

Signature of Treasurer



Date

**7/15/97**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 6/93)

FEC-001

98043895038

## Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered Date of Receipt

☐ First Class Mail POSTMARKED

☒ Registered/Certified Mail POSTMARKED  
10-31-97

☐ No Postmark

☐ Postmark Illegible

☐ Received from the House office of Records and Registration Date of Receipt

☐ Received from the Senate Office of Public Records Date of Receipt

☐ Other (Specify): Postmarked  
and/or Date of Receipt

☐ Electronic Filing

*Jas*  
PREPARED

11-3-97  
DATE PREPARED

(7/97)

98043895039

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
SECRETARIAT

JUL 10 12 03 PM '98

**FEDERAL ELECTION COMMISSION**

999 E Street, N.W.  
Washington, D.C. 20463

**FIRST GENERAL COUNSEL'S REPORT**

**SENSITIVE**

98043895040



RAD REFERRAL: 98NF-08

DATE ACTIVATED: June 18, 1998

STAFF MEMBERS:

Mary Taksar

Eric Brown

RESPONDENTS:

North Side Good Government Committee and  
Michael Hagan, as treasurer

RELEVANT STATUTE:

2 U.S.C. § 434(a)(4)(A)(iv)

SOURCE:

INTERNALLY GENERATED

INTERNAL REPORTS CHECKED:

Referral Materials  
Disclosure Reports

FEDERAL AGENCIES CHECKED:

None

**I. GENERATION OF MATTERS**

These matters were generated by referrals that the Office of the General Counsel  
received from the Reports Analysis Division ("RAD").

On

June 16, 1998, RAD referred the North Side Good Government Committee and Michael Hagan,  
as treasurer, based on the failure to timely file the 1997 Mid-Year Report.

98043825041

## **II. FACTUAL AND LEGAL ANALYSES**

Based on the Factual and Legal Analysis, Attachment 4, this Office recommends that the Commission find reason to believe North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

## **III. DISCUSSION OF CONCILIATION AND CIVIL PENALTIES**

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IV. RECOMMENDATIONS

- a. Open a MUR in RAD Referral 98NF-08.



b. Find reason to believe that North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), and enter into conciliation prior to a finding of probable cause to believe.

c. Approve the attached Factual and Legal Analysis and Conciliation Agreement.


Approve the appropriate letters.

Lawrence M. Noble  
General Counsel

Date

7/19/98

BY:



Lois G. Lerner  
Associate General Counsel

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**RAD Referral**  
**#98MF-08**

MUR 4777

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Federal Election Commission  
Certification for RAD Referrals  
July 16, 1998

Page 2

98043895046

- a. Open a MUR in RAD Referral 98NF-08. MUR 4777
- b. Find reason to believe that North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), and enter into conciliation prior to a finding of probable cause to believe.
- c. Approve the Factual and Legal Analysis and Conciliation Agreement, as recommended in the General Counsel's Report dated July 10, 1998.

Approve the appropriate letters, as recommended in the General Counsel's Report dated July 10, 1998.

(Continued)



Federal Election Commission  
Certification for RAD Referrals  
July 10, 1998

Page 3

Commissioners Aikens, Elliott, McDonald, McGarry, and  
Thomas voted affirmatively for the decision.

Attest:

7-16-98  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Fri., July 10, 1998 12:03 p.m.  
Circulated to the Commission: Mon., July 13, 1998 11:00 a.m.  
Deadline for vote: Thurs., July 16, 1998 4:00 p.m.

lrd

98043895047



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

July 22, 1998

Michael Hagan, Treasurer  
North Side Good Government Committee  
300 Stadium Circle  
Pittsburgh, PA 15212

RE: MUR 4777  
North Side Good Government Committee and  
Michael Hagan, as treasurer

Dear Mr. Hagan:

On July 16, 1998, the Federal Election Commission found that there is reason to believe that the North Side Good Government Committee ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation, and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

98043895048

Michael Hagan, Treasurer  
Page 2

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Eric Brown, the staff member assigned to this matter, at (202) 694-1650.

Sincerely,

Joan D. Aikens

Joan D. Aikens  
Chairman

Enclosures

Factual and Legal Analysis  
Procedures  
Designation of Counsel Form  
Conciliation Agreement

98043895049



**FEDERAL ELECTION COMMISSION**

**FACTUAL AND LEGAL ANALYSIS**

RESPONDENTS: North Side Good Government Committee and MUR 4777  
Michael Hagan, as treasurer

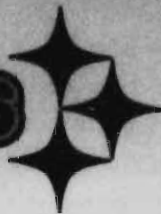
This matter was generated based on information ascertained by the Federal Election Commission ("Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. § 437g(a)(2).

98043895050  
The Federal Election Campaign Act of 1971, as amended, (the "Act") requires that in calendar years in which no general election is scheduled, the treasurer of an unauthorized political committee must file a mid-year report covering the period beginning January 1 and ending June 30, to be filed no later than July 31. 2 U.S.C. § 434(a)(4)(A)(iv). The 1997 Mid-Year Report was due July 31, 1997. The North Side Good Government Committee filed the 1997 Mid-Year Report, disclosing \$25,000 in receipts and \$8,250 in disbursements, on October 31, 1997, 92 days late.<sup>1</sup> Therefore, there is reason to believe that North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

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<sup>1</sup> On December 30, 1996 and July 7, 1997, prior notices were sent to the Committee informing them that the 1997 Mid-Year Report was due on July 31, 1997. A Non-Filer Notice was sent to the Committee via mailgram on August 20, 1997 for failure to file the 1997 Mid-Year Report.

**Steelers**



RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

AUG 4 11 28 AM '98

August 3, 1998

Eric Brown  
Office of the General Counsel  
Federal Election Commission  
999 E Street NW  
Washington, DC 20463

MUR 4777

AUG 6 10 45 AM '98

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

Dear Eric:

Please update your records to officially record me, Mark S. Hart, as the treasurer of the Northside Good Government Committee. Thank you for your assistance in this matter.

Sincerely,

Mark S. Hart  
Treasurer

For: Northside Good Government Committee  
300 Stadium Circle  
Pittsburgh, PA 15212





FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

Aug 6 10 05 AM '98

August 6, 1998

**TWO WAY MEMORANDUM**

TO: OGC Docket  
FROM: Rosa E. Swinton *RS*  
Accounting Technician  
SUBJECT: Account Determination for Funds Received

We recently received a check from **Northside Good Government Committee**, check number **1913**, dated **August 03, 1998**, for the amount of, **\$1200.00**. A copy of the check and any correspondence is being forwarded. Please indicate below which a account the funds should be deposited and give the MUR/Case number and name associated with the deposit.

TO: Rosa E. Swinton  
Accounting Technician  
FROM: OGC Docket  
SUBJECT: Disposition of Funds Received

In reference to the above check in the amount of \$ 1200.00, the (MUR/Case number is 4777 and in the name of Northside Good Government Cmte. Place this deposit in the account indicated below:

- ☒ Budget Clearing Account (OGC), 95F3875.16  
☐ Civil Penalties Account, 95-1099.160  
☐ Other: \_\_\_\_\_

*Kim A. Heren*  
\_\_\_\_\_  
Signature

8-6-98  
\_\_\_\_\_  
Date

98043025052



98043895053

MARK S. HART  
PATRICE B. HART

8-26  
430  
2099251


1913

Date 8/3/98

Pay to the  
order of

FEDERAL ELECTION COMMISSION \$ 1,200 -

ONE THOUSAND TWO HUNDRED

dollars 



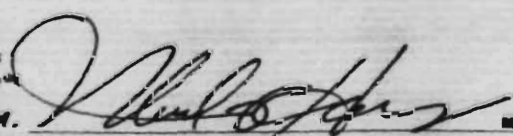
Mellon Bank

Mellon Bank, N.A.  
Western Region  
Pittsburgh, PA

THE  
SMART  
ACCOUNT

Memo

NORTHSIDE GARD EVENT. COMM.



BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
SECRETARIAT

SEP 2 1 33 PM '98

In the Matter of )

) MUR 4777

North Side Good Government Committee and )

Mark S. Hart, as treasurer )

GENERAL COUNSEL'S REPORT

**SENSITIVE**

I. BACKGROUND

Attached is a conciliation agreement which has been signed by Mark S. Hart, treasurer of the North Side Good Government Committee ("the Committee").

II. RECOMMENDATIONS

1. Accept the attached conciliation agreement with the North Side Good Government Committee and Mark S. Hart, as treasurer.
2. Close the file.
3. Approve the appropriate letter.

Lawrence M. Noble  
General Counsel

9/2/98  
Date

BY: *Lois G. Lerner* by AAS  
Lois G. Lerner  
Associate General Counsel

Attachments

1. Conciliation Agreement
2. Copy of Civil Penalty Check

Staff Assigned: Mary L. Taksar  
Eric S. Brown

98043695054

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
 )  
North Side Good Government Committee ) MUR 4777  
and Mark S. Hart, as treasurer. )

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on September 8, 1998, the Commission decided by a vote of 6-0 to take the following actions in MUR 4777:

1. Accept the conciliation agreement with the North Side Good Government Committee and Mark S. Hart, as treasurer, as recommended in the General Counsel's Report dated September 2, 1998.
2. Close the file.
3. Approve the appropriate letter, as recommended in the General Counsel's Report dated September 2, 1998.

Commissioners Aikens, Elliott, Mason, McDonald, Sandstrom, and Thomas voted affirmatively for the decision.

Attest:

9-8-98  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Wed., Sept. 02, 1998 1:33 p.m.  
Circulated to the Commission: Wed., Sept. 02, 1998 4:00 p.m.  
Deadline for vote: Tues., Sept. 08, 1998 4:00 p.m.

lrd

98043895055





FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

September 10, 1998

Mark S. Hart, Treasurer  
North Side Good Government Committee  
300 Stadium Circle  
Pittsburgh, PA 15212

RE: MUR 4777  
North Side Good Government Committee and  
Mark S. Hart, as treasurer

Dear Mr. Hart:

On September 8, 1998, the Federal Election Commission accepted the signed conciliation agreement and civil penalty submitted on your behalf in settlement of a violation of 2 U.S.C. § 434(a)(4)(A)(iv), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act").

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

Information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact me at (202) 694-1650.

Sincerely,

Eric S. Brown  
Paralegal Specialist

Enclosure  
Conciliation Agreement

**BEFORE THE FEDERAL ELECTION COMMISSION**

AUG 27 3 13 PM '98

In the Matter of )  
 )  
North Side Good Government Committee and ) MUR 4777  
Mark S. Hart, as treasurer )

**CONCILIATION AGREEMENT**

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that North Side Good Government Committee and its treasurer ("Respondents") violated 2 U.S.C. § 434(a)(4)(A)(iv).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The North Side Good Government Committee is a political committee within the meaning of 2 U.S.C. § 431(4).

2. Mark S. Hart is the treasurer of the North Side Good Government Committee.

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3. The Federal Election Campaign Act of 1971, as amended (the "Act"), requires political committees other than authorized committees of a candidate to file a mid-year report in calendar years in which no general election is scheduled, covering the period beginning January 1 and ending June 30, to be filed no later than July 31. 2 U.S.C. § 434(a)(4)(A)(iv).

4. The Committee failed to timely file the 1997 Mid-Year Report of Receipts and Disbursements covering the period from January 1, 1997 to June 30, 1997. On December 30, 1996 and July 7, 1997, prior notices were sent to the Committee informing them that the 1997 Mid-Year Report was due on July 31, 1997. A Non-Filer Notice was sent to the Committee via mailgram on August 20, 1997 for failure to file the 1997 Mid-Year Report.

5. Respondents filed the 1997 Mid-Year Report, disclosing \$25,000 in receipts and \$8,250 in disbursements, on October 31, 1997, 92 days late.

V. Respondents failed to timely file the 1997 Mid-Year Report, in violation of 2 U.S.C. § 434(a)(4)(A)(iv).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of One Thousand Two Hundred dollars (\$1,200), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

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IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble  
General Counsel

BY: Lois G. Lerner by AAS  
Lois G. Lerner  
Associate General Counsel

9/10/98  
Date

FOR THE RESPONDENTS:

Mark S. Hart  
Mark S. Hart, Treasurer  
North Side Good Government Committee

8/14/98  
Date

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4777

DATE FILMED 9/2/98 CAMERA NO. 2

CAMERAMAN SES

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Date: 10/7/98

✓ Microfilm

       Press

THE ATTACHED MATERIAL IS BEING ADDED TO CLOSED MUR 4777

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**FEDERAL ELECTION COMMISSION**

999 E Street, N.W.  
Washington, D.C. 20463

RECEIVED  
FEDERAL ELECTION  
COMMISSION

JUL 13 12 00 PM '98

**FIRST GENERAL COUNSEL'S REPORT**

**SENSITIVE**

RAD REFERRAL: 98NF-01

DATE ACTIVATED: June 18, 1998

STAFF MEMBERS:

Kamau Philbert

Deborah Rice

RESPONDENTS:

TECO Energy Inc. Employees' PAC and  
Robin J. Hastings, as treasurer

RAD REFERRAL: 98NF-03

DATE ACTIVATED: June 18, 1998

STAFF MEMBERS:

Ruth Heilizer

Deborah Rice

RESPONDENTS:

Harry S. Truman Club - Federal and  
Linda Tochtermann, as treasurer

RAD REFERRAL: 98NF-07

DATE ACTIVATED: June 18, 1998

STAFF MEMBERS:

Susan Lebeaux

Eric Brown

RESPONDENTS:—

Allegheny Teledyne Incorporated  
Political Action Committee (AT-PAC) and  
Dale G. Reid, as treasurer

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RAD REFERRAL: 98NF-08

DATE ACTIVATED: June 18, 1998

STAFF MEMBERS:

Mary Taksar

Eric Brown

RESPONDENTS: North Side Good Government Committee and  
Michael Hagan, as treasurer

RELEVANT STATUTE: 2 U.S.C. § 434(a)(4)(A)(iv)

SOURCE: INTERNALLY GENERATED

INTERNAL REPORTS CHECKED: Referral Materials  
Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTERS

These matters were generated by four referrals that the Office of the General Counsel received from the Reports Analysis Division ("RAD"). On March 4, 1998, RAD referred TECO Energy Inc. Employees' PAC and its treasurer based on the failure to timely file the 1997 Mid-Year Report. On March 6, 1998, RAD referred the Harry S. Truman Club - Federal and its treasurer based on the failure to timely file the 1997 Mid-Year Report. On June 16, 1998, RAD referred the Allegheny Teledyne Incorporated Political Action Committee (AT-PAC) and Dale G. Reid, as treasurer, based on the failure to timely file the 1997 Year End Report. On June 16, 1998, RAD referred the North Side Good Government Committee and Michael Hagan, as treasurer, based on the failure to timely file the 1997 Mid-Year Report.

## II. FACTUAL AND LEGAL ANALYSES

Based on the Factual and Legal Analysis, Attachment 1, this Office recommends that the Commission find reason to believe that TECO Energy Inc. Employees' PAC and Robin J. Hastings, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

Based on the Factual and Legal Analysis, Attachment 2, this Office recommends that the Commission find reason to believe that the Harry S. Truman Club - Federal and Linda Tochtermann, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

Based on the Factual and Legal Analysis, Attachment 3, this Office recommends that the Commission find reason to believe that the Allegheny Teledyne Incorporated Political Action Committee (AT-PAC) and Dale G. Reid, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

Based on the Factual and Legal Analysis, Attachment 4, this Office recommends that the Commission find reason to believe North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

## III. DISCUSSION OF CONCILIATION AND CIVIL PENALTIES



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#### IV. RECOMMENDATIONS

1.
  - a. Open a MUR in RAD Referral 98NF-01.
  - b. Find reason to believe TECO Energy Inc. Employees' PAC and Robin J. Hastings, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), and enter into conciliation prior to a finding of probable cause to believe.
  - c. Approve the attached Factual and Legal Analysis and Conciliation Agreement.
2.
  - a. Open a MUR in RAD Referral 98NF-03.
  - b. Find reason to believe Harry S. Truman Club - Federal and Linda Tochtermann, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).
  - c. Approve the attached Factual and Legal Analysis.
  - d. Take no further action and close the file.
3.
  - a. Open a MUR in RAD Referral 98NF-07.
  - b. Find reason to believe that the Allegheny Teledyne Incorporated Political Action Committee (AT-PAC) and Dale G. Reid, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).
  - c. Approve the attached Factual and Legal Analysis.
  - d. Take no further action and close the file.
4.
  - a. Open a MUR in RAD Referral 98NF-08.

b. Find reason to believe that North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), and enter into conciliation prior to a finding of probable cause to believe.

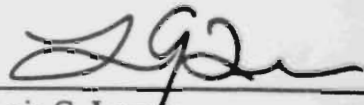
c. Approve the attached Factual and Legal Analysis and Conciliation Agreement.

5. Approve the appropriate letters.

Lawrence M. Noble  
General Counsel

7/19/98  
Date

BY:

  
Lois G. Lerner  
Associate General Counsel

Attachments:

1. TECO Energy Inc. Employees' PAC Factual and Legal Analysis
2. Harry S. Truman Club - Federal Factual and Legal Analysis
3. Allegheny Teledyne Incorporated Political Action Committee Factual and Legal Analysis
4. North Side Good Government Committee Factual and Legal Analysis
5. TECO Energy Inc. Employees' PAC Conciliation Agreement
6. North Side Good Government Committee Conciliation Agreement

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )

TECO Energy Inc. Employees' PAC and )  
Robin J. Hastings, as treasurer; )

RAD Referral MUR 4774  
#98NF-01

Harry S. Truman Club - Federal and )  
Linda Tochtermann, as treasurer; )

RAD Referral MUR 4775  
#98NF-03

Allegheny Teledyne Incorporated )  
Political Action Committee (AT-PAC) )  
and Dale G. Reid, as treasurer; )

RAD Referral MUR 4776  
#98NF-07

North Side Good Government Committee )  
and Michael Hagan, as treasurer. )

RAD Referral MUR 4777  
#98NF-08

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on July 16, 1998, the Commission decided by a vote of 5-0 to take the following actions in RAD Referrals #98NF-01, 03, 07, 08:

1. a. Open a MUR in RAD Referral 98NF-01. MUR 4774  
b. Find reason to believe TECO Energy Inc. Employees' PAC and Robin J. Hastings, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), and enter into conciliation prior to a finding of probable cause to believe.  
c. Approve the Factual and Legal Analysis and Conciliation Agreement, as recommended in the General Counsel's Report dated July 10, 1998.
2. a. Open a MUR in RAD Referral 98NF-03. MUR 4775  
b. Find reason to believe Harry S. Truman Club - Federal and Linda Tochtermann, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).

(continued)



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- c. Approve the Factual and Legal Analysis, as recommended in the General Counsel's Report dated July 10, 1998.
    - d. Take no further action and close the file.
  - 3.
    - a. Open a MUR in RAD Referral 98NF-07. MUR 4776
    - b. Find reason to believe that the Allegheny Teledyne Incorporated Political Action Committee (AT-PAC) and Dale G. Reid, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv).
    - c. Approve the Factual and Legal Analysis, as recommended in the General Counsel's Report dated July 10, 1998.
    - d. Take no further action and close the file.
  - 4.
    - a. Open a MUR in RAD Referral 98NF-08. MUR 4777
    - b. Find reason to believe that North Side Good Government Committee and Michael Hagan, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(iv), and enter into conciliation prior to a finding of probable cause to believe.
    - c. Approve the Factual and Legal Analysis and Conciliation Agreement, as recommended in the General Counsel's Report dated July 10, 1998.
  - 5. Approve the appropriate letters, as recommended in the General Counsel's Report dated July 10, 1998.

(Continued)

Federal Election Commission

Certification for RAD Referrals 98NF-01, 03, 07, 08  
July 10, 1998

Page 3

Commissioners Aikens, Elliott, McDonald, McGarry, and  
Thomas voted affirmatively for the decision.

Attest:

7-16-98

Date

Marjorie W. Emmons

Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Fri., July 10, 1998 12:03 p.m.  
Circulated to the Commission: Mon., July 13, 1998 11:00 a.m.  
Deadline for vote: Thurs., July 16, 1998 4:00 p.m.

lrd

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