



FEDERAL ELECTION COMMISSION  
WASHINGTON DC 20463

THIS IS THE BEGINNING OF MUR # 4700

DATE FILMED 3/10/98 CAMERA NO. 3

CAMERAMAN SES

9004306017

REPORTS ANALYSIS REFERRAL  
TO  
OFFICE OF GENERAL COUNSEL

DATE: May 23, 1997

ANALYST: Robert M. Yap

- I. COMMITTEE                      Nalepa 96  
   (C00307447)  
   Carol Brian, Treasurer  
   P.O. Box 57  
   La Grange, IL 60525
- II. RELEVANT STATUTE    2 U.S.C. §434 (a)(2)(A)(i), (ii) and (iii)  
   11 CFR §104.5 (a)(1)(i)(A), (ii)(A) and (iii)(A)
- III. BACKGROUND

**Failure to File the 1996 Twelve Day Pre-General Report by Election Day and  
Failure to Timely File Reports**

The Nalepa 96 committee ("the Committee") has failed to file four (4) Reports of Receipts and Disbursements in a timely manner for the 1995-1996 election cycle (see Chart on Page 3). The reports were filed between nine (9) and one hundred-six (106) calendar days late. One of the reports was not filed by Election Day.

The Committee failed to file by Election Day, November 5, 1996, the 1996 Twelve Day Pre-General Report of Receipts and Disbursements covering the period from October 1, 1996 to October 16, 1996. Prior Notices were sent on December 29, 1995 and September 30, 1996 informing the Committee that the report was due on October 24, 1996 (Attachments 2 and 6). A Non-Filer Notice was sent via mailgram on October 25, 1996 for failure to file the 1996 Twelve Day Pre-General Report (Attachment 7). The mailgram informed the Committee that failure to file the report within four (4) business days might result in publication, audit or legal enforcement action. The name of the Committee was published on

November 1, 1996 for failure to file the 1996 Twelve Day Pre-General Report (Attachment 8).

On November 20, 1996, the Reports Analysis Division ("RAD") analyst informed Jim Nalepa, the candidate, that the Committee failed to file the 1996 Twelve Day Pre-General Report. Mr. Nalepa stated that he thought the report was filed, but would send another report as soon as possible (Attachment 10).

On December 4, 1996, Mr. Nalepa informed the analyst that the report was mailed on December 2, 1996 and that the Commission should receive it within the week (Attachment 11).

The 1996 Twelve Day Pre-General Report was received by the Commission on December 7, 1996 (Attachment 12).

On January 15, 1997, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1996 April Quarterly Report and the 1996 Twelve Day Pre-General Report in a timely manner (Attachment 15). The Notice advised the Committee that any additional late filing of reports may result in legal enforcement action.

Subsequent to the sending of the Chronic Late Filer Notice, the Committee failed to timely file the 1996 Year End Report. It was filed on March 21, 1997 along with the 30 Day Post-General Report (Attachments 17 and 18).

The Chronic Late Filer Notice should have also referenced the 30 Day Post-General Report.

## LATE FILING HISTORY

<u>REPORT TYPE</u>	<u>PRIOR NOTICE</u>	<u>DATE DUE</u>	<u>NON-FILER NOTICE</u>	<u>DATE FILED</u>
1996 April Quarterly (2/29/96-3/31/96)	12/29/95 (Attachment 2) 2/09/96 (Attachment 3) 3/22/96 (Attachment 4)	4/15/96	None	4/24/96 (Attachment 5)
1996 12 Day Pre-General (10/1/96-10/16/96)	12/29/95 (Attachment 2) 9/30/96 (Attachment 6)	10/24/96	10/25/96 (Attachment 7)	12/7/96 (Attachment 12)
1996 30 Day Post-General (10/17/96-11/25/96)	12/29/95 (Attachment 2) 9/30/96 (Attachment 6) 11/08/96 (Attachment 9)	12/05/96	12/23/96 (Attachment 13)	3/21/97 (Attachment 17)
1996 Year End (11/26/96-12/31/96)	12/29/95 (Attachment 2) 12/30/96 (Attachment 14)	1/31/97	2/26/97 (Attachment 16)	3/21/97 (Attachment 18)

FEDERAL ELECTION COMMISSION  
1995-1996

DATE 23MAY97

DATE INDEX OF SUPPORTING DOCUMENTS - (E)

PAGE 3

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		DISBURSEMENTS		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
-----									
TYPE OF FILER									
-----									
NALEPA, JAMES T "JIM"	HOUSE 03 REPUBLICAN PARTY				ILLINOIS		1996 ELECTION	ID# H41L03044	
1. STATEMENT OF CANDIDATE									
1995 STATEMENT OF CANDIDATE							18OCT95		1 95HSE/583/2501
2. PRINCIPAL CAMPAIGN COMMITTEE									
-----									
NALEPA 96							ID #C00307447	HOUSE	
1995 STATEMENT OF ORGANIZATION							18OCT95		1 95HSE/583/2482
YEAR-END			41,861		11,575		1JUL95 -31DEC95		14 96HSE/589/0069
1996 48 HOUR CONTRIBUTION NOTICE							15MAR96		2 96HSE/596/1876
48 HOUR CONTRIBUTION NOTICE							19MAR96		2 96HSE/596/2659
48 HOUR CONTRIBUTION NOTICE							19MAR96		2 96HSE/596/2714
PRE-PRIMARY			26,573		17,640		1JAN96 -28FEB96		22 96HSE/595/1399
APRIL QUARTERLY			18,805		25,750		1MAR96 -31MAR96		16 96HSE/603/5221
REQUEST FOR ADDITIONAL INFORMATION							1MAR96 -31MAR96		2 96FEC/052/4624
REQUEST FOR ADDITIONAL INFORMATION 2ND							1MAR96 -31MAR96		3 96FEC/055/3790
JULY QUARTERLY				64,668		37,783	1APR96 -30JUN96		39 96HSE/614/3150
REQUEST FOR ADDITIONAL INFORMATION							1APR96 -30JUN96		2 96FEC/078/1786
REQUEST FOR ADDITIONAL INFORMATION 2ND							1APR96 -30JUN96		3 96FEC/084/3629
OCTOBER QUARTERLY				56,898		62,558	1JUL96 -30SEP96		55 96FEC/097/0021
PRE-GENERAL				3,750		30,309	1OCT96 -19OCT96		9 96FEC/143/1269
NOTICE OF FAILURE TO FILE							1OCT96 -16OCT96		2 96FEC/123/4227
POST-GENERAL				7,815		30,214	20OCT96 -25NOV96		15 97FEC/191/3968
NOTICE OF FAILURE TO FILE							17OCT96 -25NOV96		1 96FEC/163/4557
YEAR-END				-		4,515	26NOV96 -31DEC96		4 97FEC/191/3983
NOTICE OF FAILURE TO FILE							31DEC96		2 97FEC/187/4119
1997 MISCELLANEOUS NOTICE FROM FEC							15JAN97		3 97FEC/167/0308
-----									
TOTAL			87,239	133,131	54,965	165,379			199 TOTAL PAGES
3. AUTHORIZED COMMITTEES									
-----									
NALEPA FOR CONGRESS							ID #C00286377	HOUSE	
1995 MID-YEAR REPORT				13,933		15,195	1JAN95 -31JUL95		5 95HSE/576/4606
REQUEST FOR ADDITIONAL INFORMATION							1JAN95 -31JUL95		2 95FEC/006/3362
REQUEST FOR ADDITIONAL INFORMATION 2ND							1JAN95 -31JUL95		3 95FEC/009/3218
YEAR-END				1,524		1,524	1JUL95 -31DEC95		7 96HSE/586/0165
-----									
									17 TOTAL PAGES
-----									
									17 AUTH TOT PAGES
-----									
4. JOINT FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN									

All reports have been reviewed.

Cash on Hand as of 12/31/96: \$22.22

Debts and Obligations owed to the Committee as of 12/31/96: \$0

Debts and Obligations owed by the Committee as of 12/31/96: \$0



# YEAR-END REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

December 29, 1995

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/95 - 12/31/95	01/31/96	01/31/96

## WHO MUST FILE

All principal campaign committees of congressional candidates must file a Year-End Report on January 31, 1996.

## LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

## COMPLIANCE

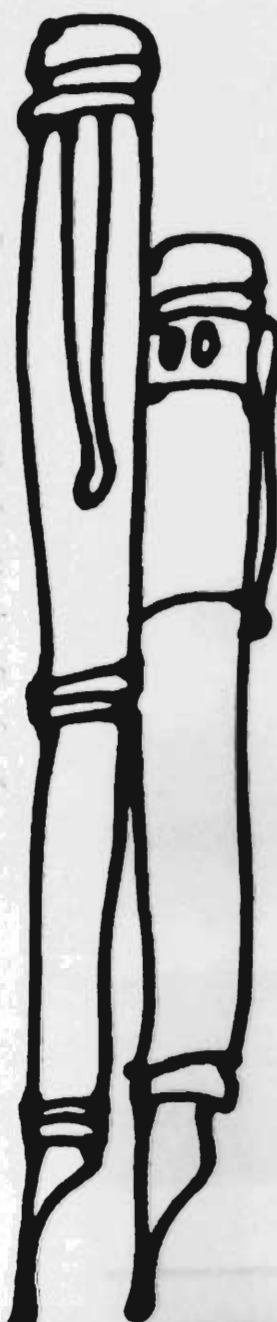
Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-PEC forms (except for FEC approved computer generated forms) will be required to refile.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, CALL: 800/424-9530 or 202/319-3430

(over)



## CONGRESSIONAL COMMITTEES

YEAR-END

## 1996 FILING SCHEDULE

## QUARTERLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/96 - 03/31/96	04/15/96	04/15/96
July Quarterly	04/01/96 - 06/30/96	07/15/96	07/15/96
October Quarterly	07/01/96 - 09/30/96	10/15/96	10/15/96
Pre-General	10/01/96 - 10/16/96	10/21/96	10/24/96
-----48 Hour Notices-----			
Post-General	10/17/96 - 11/25/96	12/05/96	12/05/96
Year-End	11/26/96 - 12/31/96	01/31/97	01/31/97

Congressional committees active in 1996 elections must file on a quarterly schedule. In addition, a committee whose candidate is active in a 1996 state primary, nominating convention or runoff election must also file a pre-election report prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports. Separate notices will be sent to explain these additional reporting obligations.

## SEMIANNUAL FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Mid-Year	01/01/96 - 06/30/96	07/31/96	07/31/96
Year-End	07/01/96 - 12/31/96	01/31/97	01/31/97

Congressional committees not active in 1996 elections (i.e., committees authorized by candidates seeking election in future years or committees which were active in previous elections and have outstanding debts) should continue to file reports on a semiannual schedule. A separate notice will be sent explaining these reporting obligations.

## 48 HOUR NOTICE ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of October 17 through November 2. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s).

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

980406623

# PRIMARY ELECTION REPORT NOTICE

## FEDERAL ELECTION COMMISSION

ILLINOIS  
Congressional Committees

February 9, 1996

### FOR COMMITTEES INVOLVED IN THE PRIMARY (03/19/96)

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
Pre-Primary	01/01/96 - 02/28/96	03/04/96	03/07/96
-----48 Hour Notices-----			
April Quarterly	02/29/96 - 03/31/96	04/15/96	04/15/96

#### WHO MUST FILE

Principal campaign committees of congressional candidates (including unopposed candidates) who seek nomination in the primary must file the above reports and notices. If the campaign has more than one authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32.

#### WHERE TO FILE

Due to recent legislative changes, U.S. House candidates should file with the Federal Election Commission, rather than with the Clerk of the House of Representatives. Senate candidates should continue to file with the Secretary of the Senate. Committees should consult the back of Forms 3 and 6 for both addresses.

#### 48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, during the period of February 29 through March 16.

The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s). U.S. House candidates faxing 48-hour notices should transmit them to the FEC at 202/219-0174. Senate candidates should transmit them to the Secretary of the Senate at 202/224-1851. Note that 48-hour notices are the only FEC documents that may be faxed.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)



**LABEL**

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

**COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

FOR INFORMATION, CALL: 800/424-9530 or 202/219-3420

98043865625

# QUARTERLY REPORT NOTICE

## FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

March 22, 1996

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/96 - 03/31/96	04/15/96	04/15/96

### WHO MUST FILE

Principal campaign committees of congressional candidates who seek election in 1996 must file an April Quarterly Report. These committees must continue to file quarterly reports throughout 1996, regardless of the primary outcome, unless they file a valid termination report. Such committees must also file a Pre-Election Report for each election in which the candidate seeks nomination or election. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32 and attach the report(s) of the authorized committee(s).

### WHO NEED NOT FILE

Principal campaign committees of candidates not active in the 1996 elections (i.e., committees active in past or future elections) should not file the April Quarterly Report; instead, they report semiannually. The next semiannual report is due July 31, 1996.

### WHERE TO FILE

Due to recent legislative changes, U.S. House candidates should file with the Federal Election Commission, rather than with the Clerk of the House of Representatives. Senate candidates should continue to file with the Secretary of the Senate. Committees should consult the back of Forms 3 and 6 for both addresses.

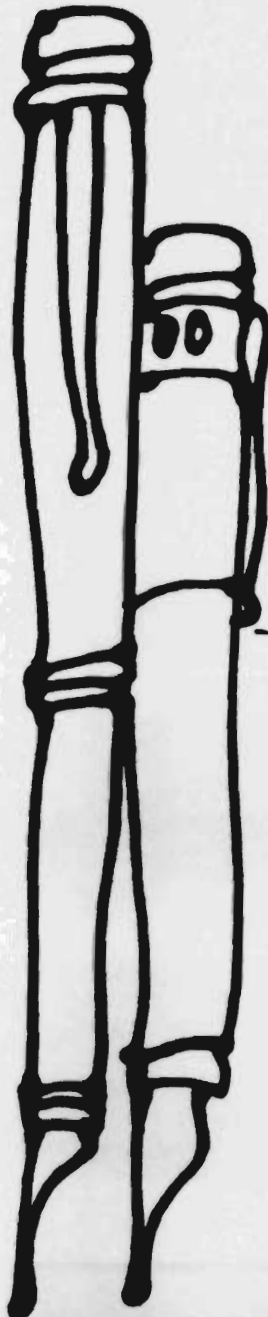
### LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)



**CONGRESSIONAL COMMITTEES****APRIL QUARTERLY****48 HOUR NOTICES ON CONTRIBUTIONS**

Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, after the 20th day, but more than 48 hours before the day of the election.

The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s). U.S. House candidates faxing 48-hour notices should transmit them to the FEC at 202/219-0174. Senate candidates should transmit them to the Secretary of the Senate at 202/224-1851. Note that 48-hour notices are the only FEC documents that may be faxed.

**COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

FOR INFORMATION, CALL: 800/424-9530 or 202/219-3420

98043865627

Apr 24 9 03 AM '96

**REPORT OF CONTRIBUTIONS**

**NAME OF CANDIDATE**  
*[Signature]*

**OFFICE**  
*[Signature]*

**DATE OF REPORT**  
*[Signature]*

**TYPE OF REPORT**

☒ April 15 Quarterly Report  
☐ July 15 Quarterly Report  
☐ October 15 Quarterly Report  
☐ January 31 Year End Report  
☐ July 31 Mid-Year Report (Non-election Year Only)  
☐ Termination Report

☐ Written report preceding \_\_\_\_\_  
☐ Telephone report following the General Election on \_\_\_\_\_  
☐ In the State of \_\_\_\_\_

This report contains  
☐ Primary Election  
☐ General Election  
☐ Special Election  
☐ Runoff Election

**SUMMARY**

	COLUMN A This Period	COLUMN E Calendar Year-to-Date
5. Opening Period <i>03/01/96</i> through <i>03/31/96</i>		
6. Net Contributions (after Disbursements)		
(a) Total Contributions (from Line 10) <i>18,805</i>	<i>18,805</i>	<i>45,379</i>
(b) Total Disbursements (from Line 11) <i>18,805</i>	<i>18,805</i>	<i>45,379</i>
(c) Net Contributions (after Disbursements) (from Line 10) <i>0</i>	<i>0</i>	<i>0</i>
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17) <i>25,750.00</i>	<i>25,750.00</i>	<i>43,371.65</i>
(b) Total Offset to Operating Expenditures (from Line 18) <i>0</i>	<i>0</i>	<i>0</i>
(c) Net Operating Expenditures (from Line 17) <i>25,750.00</i>	<i>25,750.00</i>	<i>43,371.65</i>
8. Cash on Hand at Close of Reporting Period (from Line 27) <i>32,371.65</i>	<i>32,371.65</i>	
9. Debts and Obligations Owed to the Committee (from Line 28) <i>0</i>	<i>0</i>	
10. Debts and Obligations Owed BY the Committee (from Line 29) <i>8,444.00</i>	<i>8,444.00</i>	

I certify that I have examined this report, and to the best of my knowledge and belief it is true, correct and complete.

*[Signature]*  
 Signature of Treasurer

*[Signature]*  
 Signature of Candidate

15 Apr 96



**ENTIRE DOCUMENT PAGE**

The Commission has added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered

DATE OF RECEIPT

☒ First Class Mail

POSTMARKED

4-15-96

☐ Registered/Certified Mail

POSTMARKED

☐ No Postmark

☐ Postmark Illegible

☐ Received from the House Office of Records  
and Registration

DATE OF RECEIPT

☐ Received from the Senate Office of Public  
Records

DATE OF RECEIPT

☐ Other (Specify):

POSTMARKED

DATE OF RECEIPT

*PHS*

PREPARED

4-24-96

DATE PREPARED

# GENERAL ELECTION REPORT NOTICE

Attachment 6

## FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

September 30, 1996

### 1996 GENERAL ELECTION CANDIDATE COMMITTEES

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/96 - 10/16/96	10/21/96	10/24/96
----48 Hour Notices----			
Post-General	10/17/96 - 11/25/96	12/05/96	12/05/96

#### WHO MUST FILE

All 1996 general election principal campaign committees of congressional candidates (including unopposed candidates) who seek election in the November 5, 1996, General Election must file the Pre- and Post-General Election Reports. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32 and attach the report(s) of the authorized committee(s).

#### 48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including in-kind gifts or advances of goods or services; loans from the candidate or other non-bank sources; and guarantees or endorsements of loans to the candidate or committee) of \$1,000 or more, during the period October 17 through November 2.

The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s). U.S. House candidates faxing 48-hour notices should transmit them to the FEC at 202/219-0174. Senate candidates should transmit them to the Secretary of the Senate at 202/224-1851. Note that 48-hour notices are the only FEC documents that may be faxed.

#### LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

#### COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, CALL: 800/424-9530 or 202/219-3430

RQ-7

(This is a copy of the text of a mailgram sent to the committee listed below on October 25, 1996.)

Carol Brian, Treasurer  
Nalepa 96  
P.O. Box 57  
Lagrange, IL 60525

Identification Number: C00307447

Reference: 12 DAY PRE-GENERAL REPORT (10/1/96-10/16/96)

It has come to the attention of the Federal Election Commission that you may have failed to file the 12 Day Pre-General Report of receipts and expenditures as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

You will be allowed four (4) business days from the date of this notice to file the 12 Day Pre-General Report. The report should be filed with the Federal Election Commission, 999 E Street NW, Washington, DC 20463 for House candidates, or the Secretary of the Senate, Office of Public Records, 232 Hart Senate Office Building, Washington, DC 20510, for Senate candidates. A copy of the report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent. The failure to file this report may result in publication, audit or legal enforcement action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on our toll free number (800) 424-9530 or on our local number (202) 219-3580.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

# FEDERAL ELECTION COMMISSION

Attachment 8  
Page 1 of 2



Press Office  
999 E Street, N.W., Washington, D.C. 20463  
Phone 202-219-4155 Toll Free 800-424-9530

FOR IMMEDIATE RELEASE:  
NOVEMBER 1, 1996

CONTACT: KELLY HUFF  
RON HARRIS  
SHARON SNYDER  
IAN STIRTON

## CAMPAIGNS CITED FOR FAILURE TO FILE COMPLETE PRE-GENERAL REPORTS

WASHINGTON -- The Federal Election Commission today cited 34 campaign committees for failure to file the required Pre-General financial disclosure report.

As of 5 p.m., October 31, 1996, disclosure reports from the following committees had not been filed:

AL/01...VOMACK FOR CONGRESS...ROBERT D. STILL, TREAS...DON VOMACK...DEM  
\*AL/04...ROBERT T. (BOB) WILSON JR. FOR CONGRESS...CHARLES RANDALL WATTS, TREAS...  
ROBERT T. WILSON, JR....DEM  
CA/05...BOB DINSHORE FOR CONGRESS...BRIAN M. PROBST, TREAS...ROBERT SUNDERLAND  
DINSHORE...REP  
CA/15...DICK LANE FOR CONGRESS COMMITTEE...DICK LANE, TREAS...DICK LANE...DEM  
\*CA/15...FRIENDS OF VALLI SHARPE-GEISLER...BARBARA SHARPE, TREAS...VALLI  
SHARPE-GEISLER...REFORM  
CA/27...ELIZABETH MICHAEL FOR CONGRESS...JOAN HUGHES, TREAS...ELIZABETH  
MICHAEL...LIBERAL  
CA/43...KIMBROUGH FOR CONGRESS COMMITTEE...PAMELA SEED KIMBROUGH, TREAS...GUY  
CAMPBELL KIMBROUGH...DEM  
DE/00...DENNIS WILLIAMS FOR CONGRESS...MATTHEW T. LAPINSKI, TREAS...DENNIS EDWARD  
WILLIAMS...DEM  
FL/12...MIKE CANADY FOR CONGRESS COMMITTEE...ROBERT H. GRIZZARD, TREAS...MICHEL L.  
CANADY...DEM  
FL/21...LINCOLN DIAZ-BALART FOR CONGRESS COMMITTEE...AYUBAN TOMAS, CPA,  
TREAS...LINCOLN DIAZ-BALART...REP  
FL/23...CAMPAIGN TO ELECT ROBERT PAUL BROWN US HOUSE...PATRICIA M. BROWN, TREAS  
...ROBERT PAUL BROWN...REP  
ID/02...SEIDL FOR CONGRESS CAMPAIGN...MARSHA WOODS, TREAS...JOHN D. STEIDL...DEM  
IL/03...MALEPA '96...CAROL BRIAN, TREAS...JAMES T. "JIM" MALEPA...REP  
IL/07...CITIZENS FOR RANDY BOROV...JENNILYN J. WALTON, TREAS...RANDY BOROV...REP  
IL/08...BULL FOR CONGRESS...JOYCE K. NORDHAUS, TREAS...ELIZABETH ANNE BULL...DEM  
IN/05...DOUG CLARK FOR CONGRESS...DOUGLAS L. CLARK, TREAS...DOUGLAS L. CLARK...DEM  
ND/08...COMMITTEE TO ELECT BARBARA ROBSON FOR U S CONGRESS...BOB COHN, TREAS...  
BARBARA ROBSON...OTHER  
\*NH/04...VOLUNTEERS FOR VENTO...JOHN J. COSTELLO, TREAS...BRUCE F. VENTO...DPL  
NO/01...CLAY CAMPAIGN COMMITTEE...PEARLIE I. EVANS, TREAS...WILLIAM L. CLAY, SR.  
...DEM  
NO/01...O'SULLIVAN FOR CONGRESS COMMITTEE...STEVEN G. REYNOLDS, CPA, TREAS...DAN  
O'SULLIVAN...REP

-more-



NJ/05...BILL AUER FOR CONGRESS...ALFRED J. MURPHY, JR., TREAS...BILL AUER...DEM  
 \*\*NJ/11...EVANGEL/CONGRESS '96...CHRIS EVANGEL, TREAS...CHRIS EVANGEL...DEM  
 NM/01...JACK UHRICH FOR CONGRESS...ARRAN TESSLER, TREAS...JOHN A. UHRICH...GREEN  
 \*NY/19...PEOPLE FOR DIOGUARDI...SUSAN FITZPATRICK, TREAS...JOSEPH J. DIOGUARDI...CONSERVATIVE  
 OH/18...ROBERT BURCH FOR CONGRESS COMMITTEE...MARILYN PIZZINI, TREAS...ROBERT L. BURCH...DEM  
 \*\*\*OR/05...FRIENDS OF JIM BUNN...JIM HALL, TREAS...JAMES LEE BUNN...REP  
 PA/12...CHOBY '96...WILLIAM (BILL) CHOBY, TREAS...WILLIAM CHOBY...REP  
 \*PA/14...RAVOTTI FOR CONGRESS COMMITTEE...THOMAS R. OXENREITER, TREAS...WILLIAM A. RAVOTTI...REP  
 PR/00...COMITE AMIGOS DE CELESTE BENITEZ...SAMUEL T. CESPEDES, JR., TREAS...CELESTE BENITEZ...OTH  
 TN/09...COMMITTEE FOR TRUTH AND JUSTICE...JOHNNY E. KELLY, TREAS...JOHNNY E. KELLY...IND  
 \*\*\*TX/09...FRIENDS OF STEVE STOCKMAN...JOHN HART, TREAS...STEVE STOCKMAN...REP  
 TX/15...FRIENDS OF TOM HAUGHEY...JIM CARPENTER, TREAS...THOMAS BRACE HAUGHEY...REP  
 TX/23...COMMITTEE TO ELECT CHARLES P. JONES...LOURDES B. RODRIGUEZ, TREAS...CHARLES P. JONES...DEM  
 VA/09...ROBERTS FOR CONGRESS CAMPAIGN...ELIZABETH L. ROBERTS, TREAS...THOMAS INGHAM "TOM" ROBERTS...OTHER

Reports were due October 24, 1996, and should have included financial activity for the period October 1, 1996, through October 16, 1996. If sent by certified or registered mail, the reports should have been postmarked by midnight October 21, 1996.

Committees were notified of their filing requirements on September 30, 1996. Those committees which did not file on the due date were notified on October 25, 1996, that reports had not been received and that their names would be published if they did not respond within four business days.

The Commission publishes only the names of those committees which have been designated by candidates as their principal campaign committees. Other political committees supporting Senate and House candidates (those which are not authorized units of a candidate's campaign) file either quarterly or monthly reports. Those committees are not published by the FEC.

Further Commission action against non-filers and late filers is decided on a case-by-case basis. Federal law gives the FEC broad authority to initiate enforcement actions, including the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willful" violation.)

The next regularly scheduled disclosure report for congressional committees will be the 30 Day Post-General report due December 5, 1996. It will cover activity from October 17 through November 25.

\*\*\*

- \* Pre-General report has been filed, but it was received after the publication cutoff date.
- \*\* A Pre-General report was filed, but the report only disclosed financial activity through October 7, 1996.
- \*\*\* Summary and Detailed Summary Pages were submitted disclosing financial activity without supporting schedules

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**GENERAL ELECTION**

**REPORT NOTICE**

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**FEDERAL ELECTION COMMISSION**

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**REMINDER**

**REMINDER**

**REMINDER**

November 8, 1996

All principal campaign committees of congressional candidates (including unopposed candidates) who sought election in the General Election must file a Post-General Election Report. Reports sent by registered or certified mail must be postmarked by the mailing date (December 5, 1996); otherwise, they must be received by the filing date (December 5, 1996). FEC Form 3 is enclosed.

**TELECON**

DATE: November 18, 1996 through November 20, 1996  
NAME OF THE COMMITTEE: Nalepa 96  
SUBJECT: Failure to File the 1996 Twelve Day Pre-General  
Report by Election Day  
FEC REP: Robert Yap  
COMMITTEE REP: Jim Nalepa

---

On November 18, 1996, the analyst left a voice mail message for Mr. Nalepa concerning the filing status of the 1996 Twelve Day Pre-General Report.

On November 20, 1996, Mr. Nalepa contacted the analyst in response to the message left on November 18, 1996. Mr. Nalepa stated that he believed the report had been filed with the Commission, but would send another report as soon as possible.

98043865635

**TELECON**

DATE: December 4, 1996  
NAME OF COMMITTEE: Nalepa 96  
SUBJECT: Failure to File the 1996 Twelve Day Pre-General  
Report by Election Day  
FEC REP: Robert Yap  
COMMITTEE REP: Jim Nalepa

---

On December 4, 1996, the analyst contacted Mr. Nalepa to inquire about the filing status of the 1996 Twelve Day Pre-General Report. Mr. Nalepa stated that the report was mailed to the Commission on Monday, December 2, 1996 and that it should arrive within the week.

980438665636



**REPORT OF RECEIPTS AND DISBURSEMENTS**For Authorized Committee  
(Summary Page)FEDERAL ELECTION  
COMMISSION  
MAIL ROOM

DEC 7 10 32 AM '96

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>NALEPA 96</b>		2. FEC IDENTIFICATION NUMBER <b>000307447</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. <b>PO BOX 57</b>		3. IS THIS REPORT AN AMENDMENT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
CITY, STATE and ZIP CODE <b>LA GRANGE IL 60525</b>	STATE/DISTRICT <b>14/03</b>	

**4. TYPE OF REPORT**

- ☐ April 15 Quarterly Report
- ☒ 12-Day Pre-Election Report for the **GENERAL** (Type of Election)  
election on **5 NOV 96** in the State of **ILLINOIS**
- ☐ July 15 Quarterly Report
- ☐ October 15 Quarterly Report
- ☐ 30-Day Post-Election Report for the \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- ☐ January 31 Year End Report
- ☐ July 31 Mid-Year Report (Non-election Year Only)
- ☐ Termination Report

This report contains  
activity for

- ☐ Primary Election ☒ General Election ☐ Special Election ☐ Runoff Election

**SUMMARY**

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
<u><b>10/01/96</b></u> through <u><b>10/19/96</b></u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	<b>3,750.00</b>	<b>170,694.24</b>
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	<b>3,750.00</b>	<b>170,694.24</b>
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	<b>30,309.26</b>	<b>174,043.24</b>
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	<b>30,309.26</b>	<b>174,043.24</b>
8. Cash on Hand at Close of Reporting Period (from Line 27)	<b>26,937.50</b>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		

For further information  
contact:  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20008  
Toll Free 800-424-9595  
Local 202-219-6420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct  
and complete.

Type or Print Name of Treasurer <b>JAMES T NALEPA</b>	Date <b>10/19/96</b>
Signature of Treasurer <i>James T Nalepa</i>	

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

--	--	--	--	--	--	--	--	--	--

**FEC FORM 3**  
(revised 4/87)

FEBRUARY

**Federal Election Commission  
ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered

DATE OF RECEIPT

☒ First Class Mail

POSTMARKED

12/4/96

☐ Registered/Certified Mail

POSTMARKED

☐ No Postmark☐ Postmark Illegible☐ Received from the House Office of Records  
and Registration

DATE OF RECEIPT

☐ Received from the Senate Office of Public  
Records

DATE OF RECEIPT

☐ Other (Specify):

POSTMARKED

and/or DATE OF RECEIPT

E.J.  
PREPARED12/7/96  
DATE PREPARED

RQ-7

(This is a copy of the text of a mailgram sent to the committee listed below on December 23, 1996)

Carol Brian, Treasurer  
NALEPA 96  
P.O. Box 57  
LaGrange, IL 60525

Identification Number: C00307447

Reference: 30 Day Post-General Report (10/17/96-11/25/96)

Dear Ms. Brian:

It has come to the attention of the Federal Election Commission that you may have failed to file the 30 Day Post-General Report of receipts and expenditures as required by the Federal Election Campaign Act, as amended. You were previously notified of the due date of this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 for House candidates or the Secretary of the Senate, Office of Public Records, 232 Hart Senate Office Building, Washington, DC 20510, for Senate candidates. A copy of the report should also be filed with the appropriate Secretary of State or equivalent state officer (see 11 CFR §108.2).

The failure to file this report may result in audit or legal enforcement action.

If you have any questions regarding this matter, please contact us on our toll free number (800-424-9530 or on our local number 202-219-3580.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

980438865-833463-4527

# YEAR-END REPORT NOTICE

## FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

December 30, 1996

### I. 1996 GENERAL ELECTION CANDIDATES

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	11/26/96 - 12/31/96	01/31/97	01/31/97

### II. 1996 UNSUCCESSFUL PRIMARY CAMPAIGN COMMITTEES

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	10/01/96 - 12/31/96	01/31/97	01/31/97

### III. CANDIDATES NOT ACTIVE IN 1996 ELECTIONS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/96 - 12/31/96	01/31/97	01/31/97

#### WHO MUST FILE

All principal campaign committees must file the Year-End Report on January 31, 1997. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32 and attach the report(s) of the authorized committee(s).

#### LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

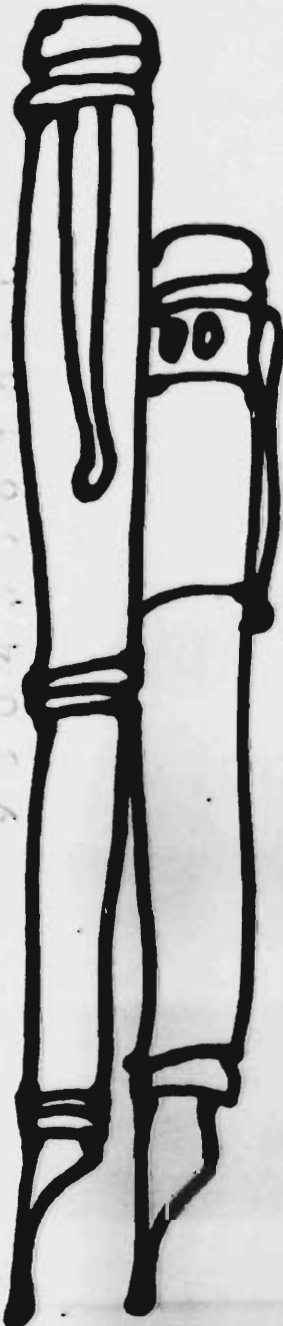
#### COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)





## CONGRESSIONAL COMMITTEES

YEAR-END

## 1997 REPORTING SCHEDULE\*

REPORT	REPORTING PERIOD**	REG./CERT.	FILING DATE
		MAILING DATE***	
Mid-Year	01/01/97 - 06/30/97	07/31/97	07/31/97
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

\*Principal campaign committees are only required to file semiannually in 1997. Please follow the above schedule.

\*\*These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered and, if applicable, before the individual became a candidate.

\*\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR MORE INFORMATION CALL: 800/424-9530 OR (202)219-3420

9 8 0 4 3 8 6 5 6 4 1



FEDERAL ELECTION COMMISSION  
WASHINGTON, D C 2046J

MS-L

Carol Brian, Treasurer  
NALEPA 96 Committee  
P.O. Box 57  
La Grange, IL 60525

JAN 15 1997

Identification Number: C00307447

Reference: 1995-1996 Election Cycle Reports

Dear Ms. Brian:

This letter is prompted by the Commission's review of the reports required to be filed by your committee. The following is a list of reports your committee apparently has failed to file in a timely manner.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
April Quarterly (7/1/96-9/30/96)	4/15/96	4/24/96
12 Day Pre-General (10/1/96-10/16/96)	10/24/96	12/7/96

Timely filing is a specific requirement of the Federal Election Campaign Act and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending with the Commission, any additional failure to timely file a required report by your committee may result in the Commission initiating legal enforcement or audit action.

9804386642

If you need assistance or have any questions regarding this matter, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

A handwritten signature in cursive script that reads "Peter Kell Jr.".

Peter Kell Jr.  
Chief, Authorized Branch  
Reports Analysis Division

9 8 0 4 3 8 6 5 6 4 3

FEB 26 1997

ELECTRONIC MAIL SERVICES

CONFIRMATION OF MAILGRAM TO: NALEPA 96  
CAROL BRIAN  
PO BOX 57  
LA GRANGE IL 60525-0057

FEDERAL ELECTION COMMISSION  
SHAWN WERTH  
999 E ST. NW.  
WASHINGTON DC 204630001

IDENTIFICATION NUMBER: C00307447

REFERENCE: YEAR-END (THROUGH - 12/31/96)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE YEAR END REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C. 20463 FOR HOUSE CANDIDATES OR WITH THE SECRETARY OF THE SENATE, 232 HART SENATE OFFICE BUILDING, WASHINGTON, D.C. 20510 FOR SENATE CANDIDATES. A COPY OF THE REPORT SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER OF YOUR STATE.

THE FAILURE TO FILE THIS REPORT MAY RESULT IN AN AUDIT OR LEGAL ENFORCEMENT ACTION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT ROBERT M. YAP ON OUR TOLL FREE NUMBER (800)424-9530. OUR LOCAL NUMBER IS (202)219-3580.

SINCERELY,

JOHN D. GIBSON  
ASSISTANT STAFF DIRECTOR  
REPORTS ANALYSIS DIVISION

9800438875234187-4115

**REPORT OF RECEIPTS AND DISBURSEMENTS**For An Authorized Committee  
(Summary Page)RECEIVED  
FEDERAL ELECTION COMMISSION  
COMM. SECTION MAIL ROOM

MAR 21 9 27 AM '96

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>NALEPA '96</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>PO BOX 57</b>	
CITY, STATE and ZIP CODE <b>LAGRANGE IL 60525</b>	STATE/DISTRICT <b>IL/23</b>

## 2. FEC IDENTIFICATION NUMBER

**C00307447**

## 3. IS THIS REPORT AN AMENDMENT?

☐ YES☒ NO

## 4. TYPE OF REPORT

☐ April 15 Quarterly Report☐ 12-Day Pre-Election Report for the \_\_\_\_\_

(Type of Election)

☐ July 15 Quarterly Report

election on \_\_\_\_\_ in the State of \_\_\_\_\_

☐ October 15 Quarterly Report☒ 30-Day Post-Election Report for the **GENERAL**

(Type of Election)

☐ January 31 Year End Reportelection on **8 NOV 96** in the State of **ILLINOIS**☐ July 31 Mid-Year Report (Non-election Year Only)☐ Termination Report

This report contains activity for

☐ Primary Election☒ General Election☐ Special Election☐ Runoff Election

## SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
<b>10/20/96 through 11/25/96</b>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))	<b>7815.00</b>	<b>178509.94</b>
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	<b>7815.00</b>	<b>178509.94</b>
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	<b>30,214.56</b>	<b>204,757.43</b>
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	<b>30,214.56</b>	<b>204,757.43</b>
8. Cash on Hand at Close of Reporting Period (from Line 27)	<b>4,537.57</b>	
9. Debts and Obligations Owed TO the Committee (Reimburse all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Reimburse all on Schedule C and/or Schedule D)		

For further information contact:  
Federal Election Commission  
900 E Street, NW  
Washington, DC 20460  
Toll Free 800-424-6800  
Local 202-694-6100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**THOMAS T NALEPA**

Signature of Treasurer

*Thomas T Nalepa*

Date

**12/1/96**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 20 U.S.C. §437g.

FEC FORM

(revised 4/8)



**Federal Election Commission**  
**ENVELOPE REPLACEMENT PAGE**  
**FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.



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DATE OF RECEIPT

3-21-97



First Class Mail

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Registered/Certified Mail

POSTMARKED



No Postmark



Postmark Illegible

Received from the House Office of Records  
and Registration

DATE OF RECEIPT

Received from the Senate Office of Public  
Records

DATE OF RECEIPT



Other (Specify):

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and/or DATE OF RECEIPT

*JEH*

PREPARED

3-21-97

DATE PREPARED

9804306646

## REPORT OF RECEIPTS AND DISBURSEMENTS

Attachment 18

Page 1 of 2

For An Authorized Committee  
(Summary Page)RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

MAR 21 9 27 AM '91

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>NALEPA '96</b>		2. FEC IDENTIFICATION NUMBER <b>C00307447</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported. <b>PO BOX 57</b>		
CITY, STATE and ZIP CODE <b>LA GRANGE IL 60525 IL/83</b>	3. IS THIS REPORT AN AMENDMENT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	

## 4. TYPE OF REPORT

- ☐ April 15 Quarterly Report ☐ 12-Day Pre-Election Report for the \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- ☐ July 15 Quarterly Report ☐ 30-Day Post-Election Report for the \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- ☐ October 15 Quarterly Report ☐ 30-Day Post-Election Report for the \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- ☒ January 31 Year End Report ☐ Termination Report
- ☐ July 31 Mid-Year Report (Non-election Year Only)

This report contains  
activity for

- ☐ Primary Election ☒ General Election ☐ Special Election ☐ Runoff Election

## SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
<u>11/24/90</u> through <u>12/31/90</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	<b>0</b>	<b>178,509.94</b>
(b) Total Contribution Refunds (from Line 20(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	<b>0</b>	<b>178,509.94</b>
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	<b>4515.35</b>	<b>208,777.98</b>
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	<b>4515.35</b>	<b>208,777.98</b>
8. Cash on Hand at Close of Reporting Period (from Line 27)	<b>22.22</b>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		

For further information  
contact:  
Federal Election Commission  
500 E Street, NW  
Washington, DC 20463  
Tel: 202-424-6500  
Local: 202-424-6400

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer

**JAMES T. NALEPA****James T. Nalepa**

Date

**01/15/91**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 18 U.S.C. § 470.

98043865647

**Federal Election Commission**  
**ENVELOPE REPLACEMENT PAGE**  
**FOR INCOMING DOCUMENTS**

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3-21-97



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Received from the House Office of Records  
and Registration

DATE OF RECEIPT

Received from the Senate Office of Public  
Records

DATE OF RECEIPT



Other (Specify):

POSTMARKED

and/or DATE OF RECEIPT

*Shi*

PREPARED

3-21-97

DATE PREPARED

98043865648

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
SECRETARIAT

Nov 6 8 39 AM '97

**FEDERAL ELECTION COMMISSION**

999 E Street, N.W.  
Washington, D.C. 20463

**FIRST GENERAL COUNSEL'S REPORT**

**SENSITIVE**

RAD REFERRAL: 97NF-20  
DATE ACTIVATED: 9/12/97

STAFF MEMBER: Tamara K. Kapper

SOURCE: INTERNALLY GENERATED

RESPONDENTS: Nalepa 96 and Carol Brian, as treasurer

RELEVANT STATUTE: 2 U.S.C. § 434(a)(2)(A)(i), (ii), and (iii)

INTERNAL REPORTS CHECKED: Disclosure Reports  
Referral Material

FEDERAL AGENCIES CHECKED: None

**I. GENERATION OF MATTER**

The Office of the General Counsel received a referral from the Reports Analysis Division ("RAD") on May 27, 1997. The basis of the referral is the failure of Nalepa 96 and Carol Brian, as treasurer, ("Nalepa" or "Respondents") to file timely four (4) disclosure reports during the 1995-1996 election cycle. Specifically, Nalepa failed to file timely their 1996 April Quarterly, 12 Day Pre-General and 30 Day Post-General Reports and their 1996 Year End Report.

**II. FACTUAL AND LEGAL ANALYSIS**

Based on the Factual and Legal Analysis, see Attachment 1, this Office recommends the Commission find reason to believe Respondents violated 2 U.S.C. § 434(a)(2)(A)(i), (ii) and (iii).

98043865649

**III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY**

98043865650



9 8 0 4 3 8 6 5 6 5 1


IV. **RECOMMENDATIONS**

1. Open a MUR.
2. Find reason to believe Nalepa 96 and Carol Brian, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i), (ii) and (iii), and enter into conciliation prior to a finding of probable cause to believe.

3. Approve the attached proposed factual and legal analysis, conciliation agreement and the appropriate letter.

Lawrence M. Noble  
General Counsel

11/5/97  
Date

BY:   
Lois G. Lerner  
Associate General Counsel

Attachments:

1. Proposed Factual and Legal Analysis
2. Proposed Conciliation Agreement

9 8 0 4 3 8 6 5 6 5 2

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

Nalepa 96 and Carol Brian,  
as treasurer.

)  
)  
) RAD Referral  
) #97NF-20

MUR 4700

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on November 12, 1997, the Commission decided by a vote of 4-0 to take the following actions in RAD Referral #97NF-20:

1. Open a MUR.
2. Find reason to believe Nalepa 96 and Carol Brian, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i), (ii) and (iii), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the proposed factual and legal analysis, conciliation agreement and the appropriate letter, as recommended in the General Counsel's Report dated November 5, 1997.

Commissioners Aikens, Elliott, McGarry, and Thomas voted affirmatively for the decision; Commissioner McDonald did not cast a vote.

Attest:

11-13-97  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Thurs., Nov. 06, 1997 8:39 a.m.  
Circulated to the Commission: Thurs., Nov. 06, 1997 11:00 a.m.  
Deadline for vote: Wed., Nov. 12, 1997 4:00 p.m.

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

November 18, 1997

Carol Brian, Treasurer  
Nalepa 96  
P.O. Box 57  
La Grange, IL 60525

RE: MUR 4700  
Nalepa 96 and Carol Brian,  
as treasurer

Dear Ms. Brian:

On November 12, 1997, the Federal Election Commission found that there is reason to believe Nalepa 96 ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i), (ii) and (iii), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's findings, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation, and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

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Ms. Brian  
Page 2

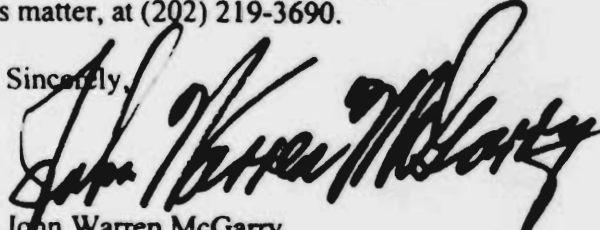
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Tamara K. Kapper, the staff member assigned to this matter, at (202) 219-3690.

Sincerely,



John Warren McGarry  
Chairman

Enclosures

1. Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Form
4. Conciliation Agreement

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**FEDERAL ELECTION COMMISSION**  
**FACTUAL AND LEGAL ANALYSIS**

RESPONDENTS: Nalepa 96 and Carol Brian,  
as treasurer

MUR: 4700

This matter was generated based on information ascertained by the Federal Election Commission ("the Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. 437g(a)(2).

The Federal Election Campaign Act of 1971, as amended, ("the Act") requires treasurers of political committees to file periodic reports of receipts and disbursements. 2 U.S.C. § 434(a)(1). In any calendar year in which a regularly scheduled general election is held, political committees that are the principal campaign committee of a candidate for the U.S. House of Representatives shall file a pre-election report no later than the 12th day before any election in which the candidate has sought election, and which shall be complete as of the 20th day before such election. 2 U.S.C. § 434(a)(2)(A)(i). Principal campaign committees of candidates shall also file a post-general election report no later than the 30th day after any general election in which the candidate has sought election, and which shall be complete as of the 20th day after such general election. 2 U.S.C. § 434(a)(2)(A)(ii). In addition to the election reports, principal campaign committees shall file quarterly reports no later than the 15th day after the last day of each calendar quarter, and which shall be complete as of the last day of each calendar quarter,

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except for the report for the quarter ending December 31 shall be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(2)(A)(iii).

According to its Statement of Organization on file with the Commission, Nalepa 96 is the principal campaign committee for James T. Nalepa, a congressional candidate in the third congressional district in the state of Illinois. Carol Brian is the treasurer of Nalepa 96.

Pursuant to 2 U.S.C. § 434(a)(2)(A)(iii), the due date for Nalepa 96's 1996 April Quarterly Report was April 15, 1996. Nalepa 96 was notified on December 29, 1995, February 9, 1996 and March 22, 1996, that their 1996 April Quarterly Report was due on April 15, 1996. On April 24, 1996, Nalepa 96 filed their 1996 April Quarterly Report, 9 days late, disclosing receipts totaling \$18,805 and disbursements totaling \$25,750.

Pursuant to 2 U.S.C. § 434(a)(2)(A)(i), the due date for Nalepa 96's 1996 12 Day Pre-General Report was October 24, 1996. Nalepa 96 was notified on December 29, 1995, and September 30, 1996, that their 1996 12 Day Pre-General Report was due on October 24, 1996. On October 25, 1996, Nalepa 96 was sent a non-filer notice instructing them to file the report immediately, and that the Commission may initiate audit or legal enforcement action regarding the late report. On December 7, 1996, Nalepa 96 filed their 1996 12 Day Pre-General Report, 44 days late, disclosing receipts totaling \$3,750 and disbursements totaling \$30,309.

On January 15, 1997, Nalepa 96 was sent a chronic late filer notice for their failure to file in a timely manner their 1996 April Quarterly and 1996 12 Day Pre-General Reports. The notice advised Nalepa 96 that any additional reports filed in an untimely manner could result in the Commission initiating legal enforcement action or audit action for late filing of disclosure reports.

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Pursuant to 2 U.S.C. § 434(a)(2)(A)(ii), the due date for Nalepa 96's 1996 30 Day Post-General Report was December 5, 1996. Nalepa 96 was notified on December 29, 1995, on September 30, 1996 and on November 8, 1996, that their 1996 30 Day Post-General Report was due on December 5, 1996. On December 23, 1996 Nalepa 96 was sent a non-filer notice instructing them to file the report immediately and that the Commission may initiate audit or legal enforcement action regarding the late report. On March 21, 1997, Nalepa 96 filed their 1996 30 Day Post-General Report, 106 days late, disclosing receipts totaling \$7,815 and disbursements totaling \$30,214.

Pursuant to 2 U.S.C. § 434(a)(2)(A)(iii), the due date for Nalepa 96's 1996 Year End Report was January 31, 1997. Nalepa 96 was notified on December 29, 1995 and on December 30, 1996, that their 1996 Year End Report was due on January 31, 1997. On February 26, 1997, Nalepa 96 was sent a non-filer notice instructing them to file the report immediately, and that the Commission may initiate audit or legal enforcement action. On March 21, 1997, Nalepa 96 filed their 1996 Year End Report, 49 days late, disclosing receipts totaling \$0 and disbursements totaling \$4,515.

Based on the foregoing, there is reason to believe that Nalepa 96 and Carol Brian, as treasurer, violated 2 U.S.C. § 434(a)(2)(A)(i), (ii), and (iii).

**NALEPA**

**U.S. CONGRESS**

RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

1 December 1997

DEC 5 11 06 AM '97

DEC 4 11 06 AM '97

Ms Tamara Kapper  
Federal Election Commission  
Washington, D.C. 20463

Dear Ms. Kapper,

This letter is in response to the Federal Election Commissions correspondence dated November 18, 1997.

RE: MUR 4700, Nalepa 96 and Carol Brian as treasurer.

As the Candidate and the Treasurer I wish to expedite the resolution of this matter. I wish to submit the following as extenuating circumstances.

1. Carol Brian was named Treasurer of Nalepa96 in the Statement of Organization dated 14 October 1995. Due to personal reasons, Mrs. Brian resigned as treasurer of the campaign shortly after on November 15, 1995. A letter to the Federal Election Commission was sent on 15 December 1995 advising of this fact. (see attached) You will see that all FEC reports and correspondence for Nalepa96 was signed by James T. Nalepa as candidate and defacto treasurer.
2. The pattern of "chronic" late filing began only after 31 August 1996 when the staff of the Nalepa96 Campaign was terminated due to lack of fund raising success. I was in essence Candidate, Campaign Manager, Treasurer and staff.
3. Mr. Robert Yapp, the reports analyst was called telephonically when problems arose. I acknowledged problems as receipts and expenditures were computerized. I often experienced computer failure as I attempted to be timely in the reporting process.
4. I do acknowledge the tardiness of the 12 Day Pre-general report and the 1996 Year end report. However, the 1996 30 day Post-General was filed in a timely basis, was probably lost en-route, and only after talking with Mr. Yapp did I realize that it had not arrived. It was then filed.
5. The election process is an expensive one and all campaign funds were expended. Also, because of my candidacy I am in severe personal debt

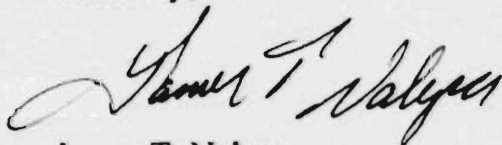
6. Because I cannot afford a lawyer, will the commission appoint one at government expense?

7. I am not currently a Candidate for office.

8. Please advise as to any further information you may need.

9. I apologize to the Federal Election Commission for my tardiness.

Sincerely,

A handwritten signature in cursive script, appearing to read "James T. Nalepa".

James T. Nalepa

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**NALEPA**

**U.S. CONGRESS**

December 15, 1995

Office of Records and Registration  
Office of the Clerk of the House  
1036 Longworth House Office Building  
Washington, D.C. 20515

Dear Sir/Madam:

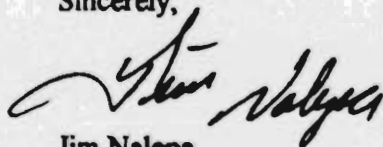
This correspondence is to notify of an amendment to the registration of the Nalepa96 Committee.

Committee Name: Nalepa96

Identification number: C00307447

Amendment: Mrs. Carol Brian has been replaced as Treasurer by Mr. James T. Nalepa, the Candidate.

Sincerely,



Jim Nalepa  
Candidate and Treasurer

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COMMISSION  
OFFICE OF SECRETARY

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**CLOSED**

MUR 4700

I wanted to thank you for the interest that you've taken in this case. I appreciate all that you have done and the professional manner in which you've approached your duties. Because of you, the words "uncaring bureaucrat" have been stricken from my vocabulary.

Sincerely,

**Jim Nalepa**

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BEFORE THE FEDERAL ELECTION COMMISSION

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COMMISSION  
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**SENSITIVE**

In The Matter of )  
 ) MUR 4700  
Nalepa 96 and James Nalepa, as treasurer )

**GENERAL COUNSEL'S REPORT**

**I. BACKGROUND**

On November 12, 1997, the Commission found reason to believe that Nalepa 96 and Carol Brian,<sup>1</sup> as treasurer ("Nalepa Committee") violated 2 U.S.C. § 434(a)(2)(1), (ii) and (iii) for the failure to timely file four (4) disclosure reports during the 1995-1996 election cycle. Specifically, the Nalepa Committee failed to file timely their 1996 April Quarterly, 12 Day Pre-General and 30 Day Post-General Reports and their 1996 Year End Report.

<sup>1</sup> On December 5, 1997, Mr. Nalepa notified the Commission that he is the current treasurer of record for the Nalepa Committee.

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### III. RECOMMENDATIONS


1. Reject the counteroffer proposed by Nalepa 96 and James Nalepa, as treasurer, and take no further action.



2. Approve the appropriate letter.
3. Close the file.

Lawrence M. Noble  
General Counsel

Date 3/20/98

BY:   
Lois G. Lerner  
Associate General Counsel

Attachments:

1. Response dated 12/1/97
2. Supplemental Response dated 1/5/98
3. Additional Response dated 2/6/98

Staff Member: Tamara Kapper

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Nalepa 96 and James Nalepa, ) MUR 4700  
as treasurer. )

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on March 27, 1998, the Commission decided by a vote of 5-0 to take the following actions in MUR 4700:

1. Reject the counteroffer proposed by Nalepa 96 and James Nalepa, as treasurer, and take no further action.
2. Approve the appropriate letter, as recommended in the General Counsel's Report dated March 20, 1998.
3. Close the file.

Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

3-26-98  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Tues., March 24, 1998 10:21 a.m.  
Circulated to the Commission: Tues., March 24, 1998 11:00 a.m.  
Deadline for vote: Fri., March 27, 1998 4:00 p.m.

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

March 31, 1998

James Nalepa, Treasurer  
Nalepa 96  
P.O. Box 57  
La Grange, IL 60525

RE: MUR 4700  
Nalepa 96 and James Nalepa, as  
treasurer

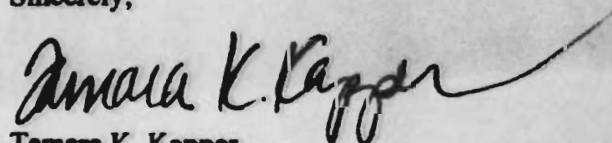
Dear Mr. Nalepa:

On November 18, 1997, Nalepa 96 and Carol Brian, as treasurer, ("Nalepa 96") were notified that the Federal Election Commission found reason to believe that Nalepa 96 violated 2 U.S.C. § 434(a)(2)(A)(i), (ii) and (iii). On December 1, 1997, you submitted a response on behalf of Nalepa 96 to the Commission's reason to believe findings, and on January 5, 1998, you submitted a counteroffer to the Commission's proposed conciliation agreement in settlement of this matter. After considering the circumstances of the matter, the Commission determined on March 27, 1998, to reject your counteroffer, to take no further action against Nalepa 96 and you, as treasurer, and closed the file in this matter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

If you have any questions, please contact me at (202) 694-1650.

Sincerely,

  
Tamara K. Kapper  
Paralegal Specialist



FEDERAL ELECTION COMMISSION  
WASHINGTON DC 20463

THIS IS THE END OF MUR # 4700  
DATE FILMED 4/10/98 CAMERA NO. 3  
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