



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4699

DATE FILMED 7/7/98 CAMERA NO. 1

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RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

SEP 25 1 00 PM '97

September 12, 1997

Office of General Counsel  
Federal Elections Commission  
999 E. St. NW Washington, D.C.  
20463

Dear Sir/Madam;

We have been referred to you by Mr. Stanley Zalen, Chief Enforcement Counsel of the New York State Board of Elections.

The signers of this letter, all present or former members of the Warren County Democratic Committee and several elected public officials of the City of Glens Falls, New York, have had a complaint before the New York State Board of Elections for some time. We only recently were told by the State Board that they did not feel they were the appropriate venue for the most serious of our complaints; the lesser charges they declined to pursue due to the lack of records.

The core of the matter which we understand will be of interest to the FEC is an illegal diversion of funds of the Warren County Democratic Committee in a Congressional campaign to evade Federal spending limits.

Specifically, in the 1994 election campaign of Robert Lawrence of Cleverdale, N.Y. for Congress, representing this area, funds of the Warren County Democratic Committee were funneled through county committees in Essex and Saratoga Counties, New York, to evade spending limits.

The Warren County Democratic Committee originally gave Mr. Lawrence (without the knowledge or consent of the Committee as a whole) \$4,000 for his campaign. Mr. Lawrence's campaign shortly later returned the check to the County Chairman, Mr. William Montfort, who then knowingly illegally diverted the funds through



the Essex and Saratoga County Democratic Committees.

Remarkably, Mr. Montfort confirmed this transfer was illegal and knowingly done to evade Federal law during open discussion at the September, 1995 organizational meeting of the Warren County Democratic Committee.

This diversion was also later confirmed in a written report by Mr. Daniel Martindale, a member of the Warren County Committee and the new Chairman of the Glens Falls Democratic Committee.

The financial affairs of the Warren County Democratic Committee have been an issue with committee members and local elected officials since the Spring of 1995. Although a series of highly lucrative fund raisers had been held over the previous several years, it was discovered by several committee members who were also elected officials that over ten thousand dollars were essentially unaccounted for in the County Committee's treasury.

These officials, who included the elected City Treasurer of Glens Falls, NY, (who is a C.P.A.), the Glens Falls City Council President (the highest elected Democratic official in Warren County, N.Y.), two Democratic members of the City Council of Glens Falls, also County Committee members, the then Chair of the Glens Falls Democratic Committee and the Secretary/Treasurer of the Glens Falls Democratic Committee and others sought a financial accounting from Mr. William Montfort, the County Chair.

What followed is too involved to recap in this letter, but is well covered in the attached copies of our complaints sent to the New York State Board of Elections.

In short, Mr. Montfort organized an attack on these individuals and their family members in an all out effort to either intimidate them into silence or discredit them. Serious professional harm was done to some of these individuals, particularly to Ralph Farrell, the husband of Councilmember Margaret Farrell, who, thanks to Mr. Montfort's actions, was illegally terminated from his job with the

**New York State Department of Environmental Conservation. (Mr. Farrell's termination is currently under litigation.)**

**In an effort to deprive committee members of the support of the Glens Falls Democratic Committee, Mr. Montfort also challenged the nominating petition of the first black woman to seek membership of the Warren County Democratic Committee.**

**We regard Mr. Montfort's actions to silence those questioning Committee financial affairs as further, though circumstantial, proof of his guilt. We believe they may also constitute Obstruction of Justice or other criminal offenses in and of themselves. We also believe they give you an better understanding of the general background; Mr. Montfort's illegal diversion of funds was but one part of a pattern of illegal or grossly abusive uses of County Committee funds.**

**The financial disclosure forms filed with the New York State Board of Elections will confirm the illegal transfers to the election effort of Mr. Robert Lawrence. This has also been extensively investigated by the New York State Board. The facts of the matter are not in dispute.**

**Accordingly, we are submitting to you:**

- Copies of our complaints and correspondence with the New York State Board of Elections.**
- Copies of financial disclosure forms submitted to the the N.Y.S. Board of Elections by Mr. Montfort and the Warren County Democratic Committee.**
- A copy of a report on County Committee finances by the Chairman of the Glens Falls Democratic Committee, Mr. Daniel Martindale.**
- Miscellaneous notes and records.**

**We will try to highlight what we believe are important sections for your attention.**

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We should note that the report issued by Mr. Martindale was yet another effort by Mr. Montfort to evade accountability and deny qualified members of the Committee (specifically our CPA City Treasurer) access to County records. Obviously, it backfired badly.

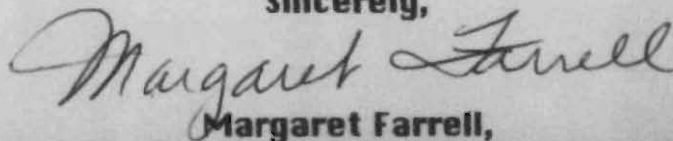
We would also like to point out the the signers of this letter are mainly elected public officials who have sworn an Oath of Office to uphold the laws of the State of New York. The signers who are also City Council members of the City of Glens Falls are also legally Peace Officers. They feel they cannot look the other way in such a grave matter, and that a criminal complaint and investigation is called for.

We are available for any further questions or requests for information by the FEC.

We are confident you will find the actions detailed in this complaint as unlawful and unacceptable as we do.

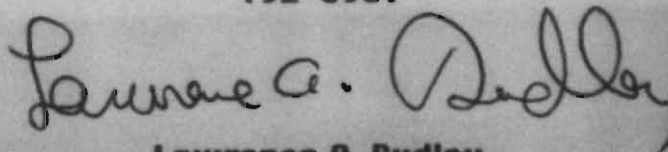
Thank you for your time and attention.

Sincerely,



Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee, 1989 - 1995  
Councilmember, Fifth Ward, City of Glens Falls, New York

19 Bush Street, Glens Falls,  
New York  
792-8987



Lawrence A. Dudley  
Member, Warren County Democratic Committee,

98043892697



**Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee 1993-1995  
Chairman, 2nd Ward Democratic Committee 1993-1997,  
Glens Falls**

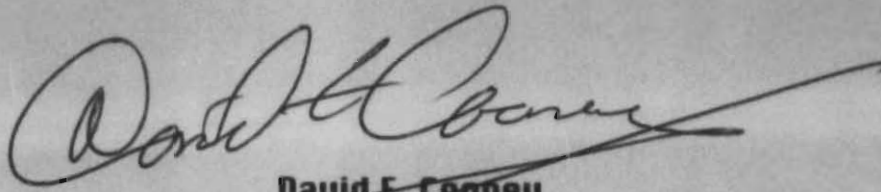
**20 Mason St., Glens Falls,  
New York  
792-5444**

**Paul Kemnitzer  
Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation**

*Paul A. Kemnitzer*  
**27 State St. Glens Falls,  
New York  
793-2987**

*Richard E. Dudley*  
**Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation**

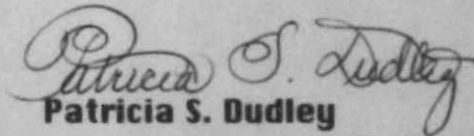
**8 Montcalm St., Glens Falls,  
New York  
793-8257**



**David F. Cooney**

**Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997  
Councilmember, Fourth Ward, City of Glens Falls**

**22 Crandall St., Glens Falls,  
New York  
792-7145**



**Patricia S. Dudley**

**Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997**

**8 Montcalm St. Glens Falls,  
New York  
518-793-8251**

September 8, 1995  
Glens Falls, N.Y.

New York State Board of Elections,  
Albany, New York

Attention Mr. Peter Kazinsky, Esq.

Dear Mr. Kazinsky;

Due to the information received by us through your recent conversation with Lawrence A. Dudley, Secretary/Treasurer of the Glens Falls Democratic Committee, the undersigned members of the Glens Falls and Warren County Democratic Committees and all the elected Democratic public officials of the City of Glens Falls who are committee members, would like to officially request that the New York State Board of Elections conduct a formal audit of the finances of the Warren County Democratic Committee.

This request is a result of a lengthy series of very troubling irregularities and actions by the Chairman of the Warren County Democratic Committee, Mr. William Montfort of Johnsbury, and the Treasurer of the Warren County Democratic Committee, Mr. William McCarthy of Queensbury.

To summarize:

- The Warren County Democratic Committee, to the knowledge of the signers, has never had an audit.
- Approximately three or four years ago, Mr. Robert Fuller, then Chairman of the Queensbury Democratic Committee, became disturbed at the lack of accountability and the vagueness of reports concerning the Warren County Democratic Committee's finances. Aware of the fiduciary responsibility of all committee members, Mr. Fuller brought the subject up at an official meeting of the Warren County Democratic Committee. Despite protests from Mr. Montfort that an audit was not necessary, the Committee adopted a resolution, by a majority, to conduct an audit. When Mr. Fuller tried to carry through on this resolution, however, he was told by Mr. Montfort that a quorum did not exist at the meeting, and therefore the resolution was never legally adopted. This despite the fact an official meeting was held with a standard roll call. A quorum, in fact, had existed. Mr. Fuller continued for 18 months to try and see the Warren County Committee's books and financial records, absolutely without success. In the end, he simply gave up.
- Financial statements or Treasurer's reports are never routinely presented at Warren County Democratic Committee meetings.
- In fact, the Treasurer of the Warren County Democratic Committee, Mr.



William McCarthy, who is elderly and retired, spends the majority of the year living in retirement in Florida, leaving before Winter, returning only in late Spring or summer.

- In order that the absence of a Treasurer to conduct the Warren County Democratic Committee's finances does not cause the County Committee to suspend operations for the lack of funds, Mr. McCarthy leaves behind a stack of signed official checks of the Warren County Democratic Democratic Committee in the custody of County Chairman Mr. William Montfort, who is empowered to co-sign checks with the County Treasurer. In practice, Mr. Montfort can sign checks and expend funds of the Warren County Democratic Committee on his sole authority.
- Over the last several years the Warren County Democratic Committee raised fairly substantial sums of money due to several highly successful fund raisers. At one point in 1994 the Warren County Democratic Committee had approximately \$14,000 in its treasury.
- There were no local, that is City, Town or County races last year that would have prompted large expenditures of money.
- Early last spring, several members of the Glens Falls Democratic Committee who are signatories of this letter discussed with Mr. Montfort another issuing of the Warren County Democrat, the County Committee's official newspaper, which in the past was issued before elections. The release of such a paper would cost less than \$4,000. After initially being told there was enough money in the treasury to cover what had always been the relatively modest cost of this newspaper, they were suddenly told not enough money was left.
- After mulling over the problem for several months, it was decided to pursue checking out the exact state of the Warren County Democratic Committee's finances. At the regular June meeting of the Warren County Democratic Committee, several of the signers of this letter and other County Committee members asked for a financial accounting. At the meeting Mr. Montfort stated that he could not give an accounting of the County Committee's finances at that time. The signers of this letter and other members of the County Committee demanded and received a promise by Mr. Montfort that a full accounting would be made.
- At the July meeting an extremely terse financial statement was issued by Mr. Montfort and Mr. McCarthy. According to this report, which was read aloud since no copies were available for members of the County Committee, several thousand dollars had been expended in the last year on "operating expenses" of a committee in an off election year. Furthermore, another, separate large sum was spent on repair or maintenance of a copy machine and other equipment, in fact, larger than such equipment would normally cost new. However, there were no details of any kind on what these large sums represented. Other funds seemed to be unaccounted for as well. Uncertain of the details of this verbal report, several of the signers of this letter asked for their own written copies. After a considerable delay, Lawrence Dudley, the Secretary/Treasurer of the Glens Falls Democratic Committee, received a single page copy, printed in extremely large type to fill up the page. The contents of this report, however, would fill a standard 3x5 card.
- We would like to note in passing that Mr. Montfort treated all of the above requests with great resentment and hostility.

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- Paul Kemnitzer, a member of the Glens Falls and Warren County Committees, was particularly disturbed at these turns of events, and volunteered his professional services. Besides being a Committee member, Mr. Kemnitzer is also a Certified Public Accountant, the present incumbent City Treasurer of the City of Glens Falls, the Treasurer of the Glens Falls Industrial Development Agency and a member of the Glens Falls Local Development Corporation. At the July 14, 1995 meeting of the Warren County Democratic Committee Mr. Kemnitzer asked if he could see the County Committee's books. As the only CPA on the County Committee and the City Treasurer of Glens Falls, he regarded this as a normal and routine request. He even offered to go to Mr. McCarthy's house at a time of Mr. McCarthy's convenience. Instead of responding directly to this perfectly reasonable and routine request Mr. McCarthy silently turned to Mr. Montfort for instructions. Then Mr. McCarthy replied they would have to get the permission of the Finance Committee of the County Committee for such a request, which they regarded as something extraordinary, not routine. There was a vague commitment to honor Mr. Kemnitzer's request, which he took at face value. He has never heard from Mr. McCarthy.
- The Finance Committee of the Warren County Democratic Committee is composed of Mr. Montfort, Mr. McCarthy and Mr. Mark Morgan, a committee member who lives down the road from Mr. Montfort in a very rural area of Johnsbury in the northern part of Warren County. Obviously, Mr. Montfort and Mr. McCarthy could have agreed on the spot to Mr. Kemnitzer's request.
- Sometime later in August, Mr. Kemnitzer discovered that a highly irregular challenge had been made to the petitions of his and his father, Mr. John Kemnitzer, a former Councilman of the City of Glens Falls. Since the New York State Board of Elections has been made aware of this matter in detail through other actions taken by Mr. Kemnitzer, who has retained Counsel, this letter will not delve into this matter in detail. However, to summarize the matter briefly, it is felt this situation was created in a deliberate attempt to force Mr. Kemnitzer from the Warren County Committee in an effort to prevent his reviewing the Warren County Democratic Committee's finances by denying him eligibility.
- In addition, efforts were made by Mr. Montfort or at his behest, to prevent other residents of the City of Glens Falls from securing open, uncontested seats on the Warren County Democratic Committee, or running in primaries. Five calls were made to Ms. Jean Rikhoff of Glens Falls, a recently retired professor at Adirondack Community College, to dissuade her from running in a primary. She agreed to withdraw with the understanding she would be placed in another seat; however she was not informed that she would lose her vote at the organizational meeting. In addition, other petitions were challenged under suspicious circumstances. A petition was circulated for Ms. Florida James of Glens Falls, also a recently retired professor at Adirondack Community College and the only African-American candidate for the County Committee, by two of the signers of this letter. Her petition appeared to be irregularly challenged. It is our understanding from reading the Charter of the County Committee, it is the responsibility of Mrs. Montfort to inform



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candidates or those who file their petitions of any problems and how to correct them. Another signer of this letter brought the petition to Mrs. Montfort at her office and no effort was made by her to review the petition for any problems. We later learned that a Mr. Buell Brayton, a fellow Democrat, challenged that petition. When Richard Dudley, who circulated the petition for Ms. James, called him, Mr. Brayton would not give him any explanation and added that he had not even seen the petition. In sum, it would appear a concerted effort was made to prevent the Glens Falls Democratic Committee from having any additional seats on the County Committee. In addition, Mrs. Montfort, without consulting with the Glens Falls Committee, moved committee members to wards and districts where they would have a smaller weighted vote. All this was done to prevent elected officials in Glens Falls and the Glens Falls Committee from having the votes to investigate the state of the County Committee's finances. You can imagine our frustration in trying to build the Glens Falls Committee with members who would add significantly to our Committees, only to have these efforts thwarted by Mr. and Mrs. Montfort.

On August 16 a letter was drafted and sent by Glens Fall Democratic Committee Chair Margaret Farrell asking to see the County Committee's books, registers and check stubs. Mrs. Farrell, who works an irregular schedule at night as supervisor of the pediatric critical care unit at Glens Falls Hospital, asked that the records be brought by Mr. Montfort's spouse, Mrs. Lois Montfort, the Warren County Commissioner of Elections for the Democratic Party, to the Warren County Municipal Center. She has never received a reply.

Some of the committee members of the Warren County Democratic Committee undoubtedly would prefer that this matter, no matter how serious, be swept under the rug. However, the signers of this letter, who are mainly elected public officials, have sworn an Oath of Office to uphold the laws of the State of New York. The signers who are also City Council members of the City of Glens Falls are also legally peace officers. They feel they cannot look the other way in such a grave matter.

It is our belief the New York State Board of Elections can hardly do so either, given the mass of material presented in this letter. We feel that because of the upcoming biannual election of officers required after the primary, the State Board of Elections should pursue the requested audit as soon as possible.

Thank You.

Sincerely Yours,



Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee  
Councilmember, Fifth Ward, City of Glens Falls, New York

19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls

20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation

27 State St. Glens Falls,  
New York  
793-2987

Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
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8 Montcalm St., Glens Falls,  
New York  
793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls

22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley

98043892705

Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee

8 Montcalm St. Glens Falls,  
New York  
793-8251

98043892706



October 4, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Counsel  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

Thank you for your last letter, your advice and your prompt response to this situation.

You will find enclosed copies the financial disclosure statements of the Warren County Democratic Committee covering the financial reporting period from July 1994 to July 1995.

Although we had no luck in securing these forms directly from Mrs. Montfort, the wife of County Chairman William Montfort, the principal figure in creating this situation, we were able to secure these forms through the intervention of the Post-Star newspaper of Glens Falls, N.Y, probably because they have lawyers on retainer. Although necessarily cursory in character, we believe these forms raise many extremely serious questions, which we will detail in an attached memorandum.

However, our principal concern at this moment is a series of actions, some of which we believe represent further serious illegalities, taken by Mr. William Montfort, the Warren County Democratic Chairman, to prevent an accounting of the finances of the Warren County Democratic Committee.

We have learned that on August 27 or 28, a secret and we believe illegal meeting of the Warren County Democratic Committee was held at the Hibernian Hall in Glens Falls, N.Y. All the signers of this letter, including all the party officers of the Glens Falls Democratic Committee and all the elected Democratic public officials of the City of Glens Falls who are members of the Warren County Democratic Committee and the Glens Falls

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Democratic Committee, were deliberately not informed of this meeting by Mr. Mortfort. Not a single one received the required notification in the mail. That one, or possibly two members might not receive such a notice in the mail due to the vagaries of the Post Service might be understandable, but as far as we can determine at this moment, at least 14 or 15 members of the Warren County and Glens Falls Democratic Committees were deliberately not informed of this meeting, in violation, as we understand it, not only of the by-laws of the Warren County Democratic Committee, but also the New York State Democratic Committee and the Election Law of the State of New York.

We believe the purpose of this secret meeting, held before the primary election, but including new members not yet on the Committee, was to suborn or arrange a fraudulent election of officers for the Glens Falls Democratic Committee in an attempt to block their legitimate request for a proper financial accounting of the Warren County Democratic Committee. Mr. Montfort called, organized and planed this meeting. At this secret meeting, a carefully orchestrated effort was organized to obtain proxy votes, possibly under false pretenses, to fraudulently overthrow the existing leadership of the City Democratic Committee. In addition, towards that end, charges were apparently made to those attending this secret meeting against those individuals who were seeking an accounting of the Warren County Committee's finances, which they, obviously, could not correct or defend themselves against because they were deliberately excluded.

It is likely some of those attending this secret meeting may not have been as fully aware as they should have been as to the true nature of the situation; the absence of so many other committee members may have been explained away in some manner. However, as always, ignorance of the law is no excuse.

A new slate of officers was prepared, including Mr. Daniel Martindale of Glens Falls, a young, recently graduated law student, for City Chair. At the present time, we believe that Mr. Martindale, in effect, was a "patsy" in this affair, unaware of the larger ramifications of what going on, i.e. that he was participating in a cover-up and general effort to forestall an accounting of the Warren County Democratic Committee's finances. After



learning of these larger ramifications, Mr. Martindale became extremely concerned also, partly over concern of his own potential culpability in a cover-up, and has been cooperating with the signers of this letter.

Information concerning this meeting was conveyed to the signers of this letter on the date of the organizational meeting of the Warren County Democratic Committee, September 27, 1995: Colleen Daly, a committee member from Glens Falls, admitted to Lawrence A. Dudley that she had received the letter after the Glens Falls Committee's picnic in late August and that the meeting was held about two or three weeks earlier. Bret and Ray Blanchard, County Committee members from Stoney Creek, admitted to Margaret Farrell and her husband Ralph Farrell that the secret meeting had been held at the Hibernian Hall. Daniel Martindale of Glens Falls admitted to Margaret Farrell and Lawrence Dudley that the meeting was held.

We would note several aspects of this secret meeting; at the recent organizational meeting of the County Committee, there was a limited Treasurer's Report to the effect that the Warren County Democratic Committee now had \$2,462 in its account. At the time of the statutory filing date on July 15, that number stood at \$3,150 -- that is \$688 dollars was expended in about two months time. The State Board needs to be aware that it is probable that a significant portion of these funds were spent for the purpose of electioneering for party elections, which we understand is a violation of the Election Law and a misdemeanor. Furthermore, rent may have been paid to the Hibernian Hall, which would also fall into this same category of prohibited expenditures. We have been told by sources close to the management of the Hibernian Hall that the hall was rented and paid for with a check.

It appears this meeting was planned and coordinated with other actions referenced in earlier letters or in different actions; i.e. the effort to prevent new members like Ms. Rickhoff and Ms. James to acquire seats on the Warren County and Glens Falls Committees, and the effort to challenge Paul and John Kemnitzer's nominating petitions, who are represented by Counsel and are undertaking action before your Board in separate actions. These efforts were made to subvert, in a general sense, the legitimate inquiry being made by Glens Falls Democratic Committee members into



the County Committee's finances by personally discrediting those making these inquiries and subverting the Glens Falls Democratic Committee as a necessary and proper forum to investigate these matters.

Ironically, after Mr. Martindale became aware of the full situation facing the Warren County Democratic Committee, he, at the request of the signers of this letter, and because of his concern over the issue of his own potential complicity in this matter, volunteered to sponsor a resolution at the Warren County Democratic Committee's organization meeting calling for the opening of the County Committee's books, which would allow an audit.

Predictably, this motion failed, 30-21, proxy votes figured in. However, a weakened version of this motion calling for opening and inspection of all books and records by the County Executive Board (composed of certain of Mr. Montfort's appointees and the City and Town Chairs) did pass. It remains to be seen whether or not this measure will yield any revelation or not, given this board's restricted composition.

We should also note in passing, that Mr. William McCarthy, of Queensbury, the former Treasurer of the Warren County Democratic Committee, abruptly refused to accept renomination as treasurer. We believe this was because the information contained on the financial disclosure forms was circulated at the Glens Falls Democratic Meeting the previous night.

The signers of this and the previous letters have not regarded Mr. McCarthy as the principle figure in this matter. Although he may have information useful to the State Board of Elections, we do not feel Mr. McCarthy, who is retired and spends most of the year in Florida, should be regarded as a potential target for investigation. The principal figure is Mr. Montfort.

All this leads to several important questions:

- Does the calling of this secret meeting by Mr. Montfort in this manner for this purpose render the elections in the Glens Falls Democratic Committee and possibly in the Warren County Democratic

Committee null and void?

- If so, can new elections be ordered or held?
- If fraud or other illegalities occurred in this matter, can Mr. Montfort and other be removed from the Committee by the State Board of Elections?
- Can they be criminally prosecuted for this matter, separate from the issue of financial improprieties?

Although our initial concern in this entire matter was what we believe was the appearance of serious illegalities in the finances of the Warren County Democratic Committee, we believe these new events are also so serious as to merit, in effect, an entire separate investigation.

We are also concerned about one other matter concerning the Glens Falls Committee. The members of the Glens Falls Democratic Committee charged with control of the Glens Falls Committee's finances, Margaret Farrell and Lawrence Dudley, feel it would be inappropriate and probably a serious legal breach of their fiduciary responsibilities to hand over control of the Glens Falls Committees finances, however meager (\$359), if any of these concerns set forth in this letter are correct.

Perhaps the State Board of Elections should consider immediately freezing the Glens Falls Democratic Committee's finances until these questions are fully resolved.

One final matter; we believe the State Board of Elections should carefully investigate the conduct and financial arrangements of a banquet/raffle held by the Warren County Democratic Committee in the Spring of 1994 and 1993, and in several previous years. These raffles featured a \$100 ticket including a dinner. Typically, 250 tickets were sold. The prize was \$10,000. What may be potential irregularities in regard to these raffles, including the final drawing of prizes, sale of tickets and patterns of prize winners, may be the most explosive issue in regard to the Warren County Democratic Committee's finances. I.e. There may be a possibility at least one at least one or more of these raffles may have been rigged or debauched in some manner or form. It is also our experience individuals around the raffle are those showing the most resistance to any financial accountability.

We would like to note that the elected officials of the City of Glens Falls who are signatories of this letter were so concerned about the propriety of elected public officials selling such tickets to people living in their jurisdiction, that they refused to sell any to people in upstate New York, only buying tickets for themselves and selling a few to personal friends in New York City.

Again, let us express our appreciation to you for your prompt attention to these matters. Thank you.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee?  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
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Paul Kemnitzer  
Certified Public Accountant



Member, Warren County Democratic Committee,  
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Treasurer, City of Glens Falls  
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Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251

**Memo**

**October 4, 1995**

**From: Margaret Farrell, Lawrence Dudley, Paul Kemnitzer, Richard Dudley, Dave Cooney, Patricia Dudley.**

**To: Mr. Stanley Zalen, Enforcement Counsel, State Board of Elections**

**Re: Notes on attached disclosure forms**

- 1. Please note the two \$1,000 checks written 10/28/94 and 12/9/94 to the Saratoga and Essex County Committees. At the organizational meeting, when questioned why we were funding these committees, Mr. Montfort stated that these checks actually went to Congressional candidate Bob Lawrence because they could not be sent to him directly, since the Warren County Committee had already given Mr. Lawrence \$2,000 directly. Isn't this a violation of the Campaign Financing Act? Or other laws? Furthermore, if they genuinely were for campaign purposes, why were they sent so late?
- 2. Please note that numbers for checks to Mr. Bob Lawrence, the Saratoga County Committee, and Mr. Rudy Meola, totaling \$3,100, are not included on the forms.
- 3. Note there is a stop check fee on 12/9/94. Is it possible one of these three checks were stopped? If so, which checks were stopped and why, and how many checks were stopped? And why did a check have to be stopped?
- 4. Note that some check numbers are missing from the sequence -- #2429, 2436, 2437. Were they for Mr. Meola, Mr. Lawrence or the Saratoga County Committee? If so, why aren't they entered?
- 5. Note over \$2,900 in checks paid directly to Mr. Montfort.
- 6. Note numerous expenses bundled in together, including equipment repair expenses. Why weren't checks written

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directly to repair service?

- 7. Note that more money appears to have been spent repairing a copier than it is possible to purchase one new. This may also be true of the item labeled "computer repair".
- 8. Note \$1,687.71 in phone bills in one years time.
- 9. Note \$1,182.68 in bundled phone bills and "repairs".
- 10. Note \$355.87 in total postage costs. There were never more than 72 members of the Warren County Committee, and no more than four or five meetings. What possible justification is there for such postage costs?
- 11. Note the \$91.94 check written to Mr. Tom Harig for "Phone reimbursement". Was the work conducted in relation to a campaign or not? If so, why wasn't it reported as such? This does not look like a legitimate housekeeping expense. If it is not a campaign expense, what is the justification for it?

We believe there are so many irregularities just on the surface of this report, that, like an iceberg, far more serious problems inevitably lie underneath the surface. Given the resistance this issue has created, there are obviously good reasons for the remarkable intensity and extreme measures these individual have been willing to take in their refusal to open the County Committee's books,



GLENS FALLS  
DEMOCRATIC COMMITTEE

---

MARGARET FARRELL, CHAIRPERSON

MARK GRIFFIN, VICE CHAIR

LARRY DUDLEY, SECRETARY/TREASURER

---

August 16, 1995

William A. Montfort  
P.O.Box 9, Johnsbury, N.Y.  
12843

Dear Bill;

Since the fall elections will soon be upon us, and all our candidates will be looking to all of us for help, we at the Glens Falls Committee would like to know exactly what kind of shape the County Committee's finances are in.

Accordingly, we would like to see the County Committee's books, registers and check stubs so that we can see to everyone's satisfaction exactly what our situation is.

We would appreciate Lois bringing them down in the next week to the Municipal Center so that we can inspect them. Please have Lois give us a call.

Thanks.

Sincerely Yours,

Margaret Farrell

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## Statement

The letters that we received have been reviewed by attorneys at the New York State Board of Elections in Albany.

First, party committees are not private organizations. They are public organizations, regulated by state law. We were not elected by members, but by voters in a public election via our petitions. We are all elected public officials.

Secondly, the attorneys at the State Board have told us decisively that so-called "disloyalty" to an executive board or various individuals is not ipso facto disloyalty to the Party. That case inherently cannot be made. Disloyalty is defined both by law and the Charter of the New York State Democratic Committee, which supersedes our local charter in all respects, as working actively for the election of Republican candidates in a general election against endorsed Democratic candidates. Specifically, Article 9, Section 6 of the State Charter dictates that,

- "All of those persons seeking or holding party office under the Democratic Party Label or Democratic Party name, thereby undertake while so serving or seeking to serve not to oppose the election publicly of any Democratic nominee for office in New York State."

Obviously, that was not claimed in the letters we received because it did not happen. Even if it had, that is virtually impossible to prove.

If this group persists in this effort serious legal consequences will ensue for those involved.

Paul Kemnitzer, Margaret Farrell, Richard Dudley, David Cooney, Patricia Dudley and Lawrence Dudley.

98043892717

April 16, 1996

Mr. Joe Dedario  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, N.Y. 12223-1605

Dear Mr. Dedario;

It was good speaking to you. You will find enclosed the materials from the Warren County Democratic Committee that we discussed.

To reiterate, we believe this hearing clearly is a brazen effort by Mr. William Montfort, the Warren County Democratic Chairman, to harass and coerce us into dropping our complaint against him, which your office presently is investigating, and as such, we believe this effort is yet another possible violation of the law on his part. He is, basically, trying to deprive us of our right to due process, among other things.

We believe his effort should be included into your investigation of Mr. Montfort.

A general chain of events leading to this threat against us began almost a year ago when the signers of the complaints in your hands realized something was seriously wrong with the funding of the Warren County Democratic Committee. We began making discrete inquiries over the summer, inquiries that resulted in the Montfort's actions against Paul Kemnitzer and his father, which are also before your office. Despite being repeatedly rebuffed, and the victims of what we regard as the calling of an illegal secret meeting to illegally overthrow the Glens Falls Democratic Committee, the signers of the petitions in your possession remained loyal members of the Warren County Democratic Committee and continued to seek access to the Warren County Democratic Committee's financial records and sought grievance through conventional channels during the course of the autumn and winter. The most recent action was a unanimous

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resolution by the Glens Falls Democratic Committee requesting that the Warren County Committee allow one single individual chosen by the Glens Falls Committee to review the County Committee's books in the presence of the County Committee Chairman and the County Committee Treasurer. This effort has been for naught, as have all our other attempts.

In fact, in what we regard as an interesting and even amusing note, the present Treasurer of the County Committee claims that he does not have the authority or has sufficient control of the records of the County Committee to show them to members of the County Committee. Obviously, the Treasurer has this authority, or his position would be meaningless. What we have gotten instead is this absurd effort to remove us from the Committee.

On behalf of Margaret Farrell, Paul Kemnitzer, Dave Cooney, Richard Dudley, Patricia Dudley, myself and other deeply concerned and upset committee members, I thank you for your time and efforts and we look forward to speaking with you as a group.

Sincerely Yours,

Lawrence R. Dudley  
28 Mason St.  
Glens Falls, N.Y. 12801  
Tel. 518-792-5444  
Fax. 518-793-5726  
L\_dudley@interramp.com

98043892719



ESSEX COUNTY BOARD OF ELECTIONS  
ELIZABETHTOWN, NEW YORK 12932

EDWARD P. HATCH  
Democratic Commissioner  
873-3475

518-873-3474  
Fax No. 518-873-3479

ADOLPH N. DISKIN  
Republican Commissioner  
873-3478

Rita J. Phillips  
Deputy  
873-3477

Nancy G. Doyle  
Deputy  
873-3476

May 16, 1996

Dear Margaret:

Enclose is the Financial Activity of the Essex County Democratic Committee. If you have any questions please do not hesitate to call.

Sincerely,

Rita Phillips  
Deputy Commissioner

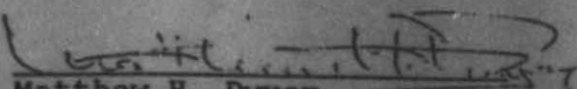
98043892720

May 14, 1996

Here follows a report of the financial activity of the Essex County Democratic Committee from July 1, 1993 to July 1, 1995. This report has been prepared by Barbara S. Dwyer, CPA and a copy of her workpapers are attached for clarification.

Beginning cash balance, 7/1/93	\$ 639.93
Cash receipts, 7/1/93-6/30/95:	
Interest income	39.06
Party Contribution, 10/93	20.00
Raffle Income, 1993	755.00
Raffle Income, 1994	1,425.00
Party Contributions, 1994	1,810.00
Total Cash Receipts	4,049.06
Subtotal	4,688.99
Cash disbursements, 7/1/93-6/30/95:	
Postage expense	85.76
County Fair Booth Rent	132.00
Supplies	97.65
Raffle Winner, 1993	377.50
Raffle Winners, 1994	225.00
Contribution to Robert Lawrence for Congress campaign, 10/94	1,000.00
Contributions to local campaigns, 10/93	375.00
Contribution to United States Democratic Committee	650.00
Phamplet design fee	60.00
Survey fee	300.00
Total Cash Disbursements	3,302.91
Ending cash balance, 6/30/95	1,386.08

I attest to the accuracy of the reporting of the financial activities of the Essex County Democratic Committee as here reported.

  
Matthew H. Dwyer,  
Treasurer



	CR	CD	
Beginning cash balance			639.93
7/13/93 Postage		1624✓	
7/17/93 Essex Cty Fair-booth		13200	
7/19/93 Postage		1595✓	
8/12/93 Lakeside - labels		1550	
8/16/93 Fair booth supplies		2515	
9/9/93 Int - July + Aug	176		436.82
9/9/93 Postage		717✓	
9/14/93 Postage		1746✓	
10/9/93 Int - Sept	69		
11/1/93 sc/sr Raffle	75500		1167.92
10/9/93 Bit Brinton - 50/sr wine		37750	
10/9/93 Donation to Party	2000		
10/4/93 Rickard Hall - campaign		2500	
10/21/93 Patricia Hewitt -		5000	
✓ J. McCormick -		10000	
✓ J. Jenks -		2500	
✓ B. Sweet -		2500	
✓ E. Coonrod ✓		2500	
✓ R. Connors -		5000	
✓ S. Vinskus -		2500	
✓ V. Trombley ✓		5000	
11/6/93 Int - Oct	69		386.11
11/17/93 Postage		2900✓	
12/4/93 Int - Nov	76		
12/11/93 Int - Dec	76		508.63
1/1/94 Int - Jan	69		
3/1/94 Int - Feb	76		
4/1/94 Int - March	69		
5/1/94 Int - April	68		
6/1/94 Int - May	69		
7/1/94 Int - June	69		
7/22/94 Party Contributions	22500		
7/26/94 Party Contributions	120000		
7/26/94 Linda Martin - 2nd Prize		139	5000
7/27/94 Susan Russ - 1st prize		139	10000
7/31/94 Int	69		1168.85
8/9/94 Gary - Allen - 4th Prize		141	2500
8/17/94 Dan Pratt - 3rd prize		140	5000
8/31/94 Int	269		

		1	2	3	4	5	6
1							
2	9/26/94	Ext	269				
3	10/31/94	Ext	241				
4	11/4/94	Tom Cassiove Gibney Anthony + Ellen (?)		143	5700		
5	11/8/94	Party Contributions	52500				
6	11/8/94	-	26000				
7	11/8/94	US Democratic Comm		142	65000		
8	11/30/94	Ext	288				17017
9	12/15/94	Party Contributions	102500				
10	12/20/94	Robt Lawrence for Congress		144	100000		
11	12/31/94	Ext	279				
12	1/31/95	Ext	257				
13	2/28/95	Ext	233				
14	3/27/95	Claire Tobby - Phamplot		145	6000		
15	3/31/95	Ext	256				
16	4/30/95	Ext	241				
17	5/31/95	Ext	250				
18	6/13/95	Tom Konda - Survey		146	30000		
19	6/30/95	Ext	216				13846
20	7/31/95	Ext	206				13860
21							
22			404906		330291		
23		Party Donation 1993	2000				
24		Interest Income	3906		8576	Postage	
25		Raffle Income 1993	75500		13200	Booth Rent	
26		Raffle Income 1994	142500		9765	Supplies	
27		Party Contributions 1994	181000		37750	1993 Raffle Winner	
28			404906		22500	1994 Raffle Winner	
29					100000	Robt Lawrence for Congress '97	
30					30000	Survey Fee	
31					6000	Phamplot Design Fee	
32					65000	US Democratic Comm	
33		Savings acct	2757		37500	1993 Local Candidates	
34		no transactions for 2 yrs			330291		
35		except minor interest postings					
36							
37							
38							
39							
40							

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# \* NON-CAMPAIGN HOUSEKEEPING RECEIPTS Schedule P

SECTION YEAR 1994		FILER ID 14-1615366		STATEMENT PERIOD DATE FROM 10.26.94 TO 12.2.94		PAGE 1 of 1	
DATE RECEIVED 11/5	NAME Kenneth J. Plummer			AMOUNT	PREV. AMT.		
CODE IND	STREET 10 Greensboro Blvd	APT					
CHECK #	CITY - STATE Clifton Park, NY	ZIP 12065		\$ 100	\$ —		
DATE RECEIVED 11/5	NAME Charlotte Hess			AMOUNT	PREV. AMT.		
CODE IND	STREET PO Box 336	APT					
CHECK #	CITY - STATE Galway, NY	ZIP 12074		\$ 200	\$ —		
DATE RECEIVED 11/5	NAME Joseph Hughes			AMOUNT	PREV. AMT.		
CODE IND	STREET 65 Gabriel Rd.	APT					
CHECK #	CITY - STATE Corinth, NY	ZIP 12822		\$ 150	\$ —		
DATE RECEIVED 11/5	NAME Warren County Democratic Committee			AMOUNT	PREV. AMT.		
CODE	STREET PO Box 49	APT					
CHECK #	CITY - STATE Johnsburg, NY	ZIP 12843		\$ 1000	\$ —		
DATE RECEIVED 11/5	NAME Richard & Carol Green			AMOUNT	PREV. AMT.		
CODE IND	STREET 7 Smithbridge Rd.	APT					
CHECK #	CITY - STATE Saratoga, NY	ZIP 12866		\$ 150	\$ —		
DATE RECEIVED 11/29	NAME Harry D. Snyder			AMOUNT	PREV. AMT.		
CODE IND	STREET 440 Grand Ave	APT					
CHECK #	CITY - STATE Saratoga Springs	ZIP 12866		\$ 100	\$ —		
DATE RECEIVED 11/5	NAME Major Fund Raiser			AMOUNT	PREV. AMT.		
CODE	STREET (2d book + Cocktail Party)	APT					
CHECK #	CITY - STATE	ZIP		\$ 4674	\$ —		
DATE RECEIVED	NAME			AMOUNT	PREV. AMT.		
CODE	STREET	APT					
CHECK #	CITY - STATE	ZIP		\$	\$		
DATE RECEIVED	NAME			AMOUNT	PREV. AMT.		
CODE	STREET	APT					
CHECK #	CITY - STATE	ZIP		\$	\$		
DATE RECEIVED	NAME			AMOUNT	PREV. AMT.		
CODE	STREET	APT					
CHECK #	CITY - STATE	ZIP		\$	\$		
TOTAL THIS PAGE				\$ 6374			

CODE:

IND - INDIVIDUAL

CORP - CORPORATE

PART - PARTNERSHIP: Partnerships which contribute over \$2500.00 total, must further define in Schedule O.

Complete this summary on your last page only!

①	TOTAL ITEMIZED CONTRIBUTIONS	\$ 6374
②	TOTAL UNITEMIZED CONTRIBUTIONS	\$ 390
③	Schedule Total	\$ 6764

\* This schedule to be used only by party or constituted committee.



## NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR		PLAT ID	STATEMENT PERIOD DATES		PAGE
1994		14-1615306	FROM 10/26/94 TO 12/21/94		1 of 1
DO NOT report Transfers Out					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
10/27	Journal Newspapers				
CHECK NO. 470					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
10/29	Elizabeth Huntley				
CHECK NO. 471					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
10/29	Denovan's				
CHECK NO. 472					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
10/29	Ann Derico				
CHECK NO. 473					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
10/29	Campaign to Elect Bob Lawrence				
CHECK NO. 474					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
11/3	Stephanie Pardo				
CHECK NO. 475					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
11/11	Michelle Phillips				
CHECK NO. 476					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
11/30	US Postmaster				
CHECK NO. 477					
DATE PAID	NAME	STREET	CITY - STATE	ZIP	AMT PAID
CHECK NO.					
TOTAL THIS PAGE					\$ 4642.98

Expenditure Purpose Codes (use on Schedule Q only)

RENT	Office Rent
UTILS	Utilities
PAYPL	Payroll
POSTA	Postage
PROFL	Professional Services
OFEXP	Office Expenses
MAILS	Mailing
OTHER	Other: Provide Explanation
VOTER	Voter Registration Materials or Services

Complete this summary  
on your last page only!

①	TOTAL ITEMIZED EXPENDITURES	\$ 4642.98
②	TOTAL UNITEMIZED EXPENDITURES	\$ —
③	Schedule Total	\$ 4642.98

\* This schedule to be used only by party or constituted committee.

# STATE OF NEW YORK BOARD OF ELECTIONS DISCLOSURE STATEMENT —COVER PAGE

ELECTION YEAR 1995	PAGE NO. 1	STATEMENT NUMBER FROM DISCLOSURE 11	STATEMENT PERIOD DATES FROM 1.12.95 TO 7.11.95	
-----------------------	---------------	---	---	--

## IDENTIFICATION

YOU MUST TYPE OR PRINT LEGIBLY IN BLACK OR BLUE INK

**WARREN COUNTY DEMOCRATIC COMMITTEE**  
FOR TERM OF CANDIDATE OR CANDIDATES

**16 LUPINE LANE**

MAILING ADDRESS OF TREASURER - NUMBER AND STREET

**QUEENSBURY**

**NEW YORK**

**12804**

**MCCARTHY**

**C. WILLIAM**

COMMITTEE TREASURER NAME (LAST)

(FIRST)

IS THIS COMMITTEE AUTHORIZED BY THE CANDIDATE? ☐ YES ☐ NO

☐ CHECK BOX IF MAILING ADDRESS HAS CHANGED SINCE LAST REPORT

OFFICE/DISTRICT/CANDIDATE BEING SUPPORTED

## TYPE OF REPORT

STATEMENT IS BEING FILED BY:

- |  |   |
|--|---|
| <input type="checkbox"/> Party Committee           | <input checked="" type="checkbox"/> Constituted Committee |
| <input type="checkbox"/> Candidate                 | <input type="checkbox"/> Political Committee              |
| <input type="checkbox"/> Housekeeping Account Only |   |

**\*\* CHECK ONE BOX AND INDICATE STATEMENT NUMBER ABOVE**

- |   |   |
|---|---|
| 1 <input type="checkbox"/> 32 day Pre Primary   | 7 <input type="checkbox"/> 82 day Pre Special                 |
| 2 <input type="checkbox"/> 11 day Pre Primary   | 8 <input type="checkbox"/> 11 day Pre Special                 |
| 3 <input type="checkbox"/> 10 day Post Primary* | 9 <input type="checkbox"/> 27 day Post Special*               |
| 4 <input type="checkbox"/> 32 day Pre General   | 10 <input type="checkbox"/> Periodic Jan. 15, 19__            |
| 5 <input type="checkbox"/> 11 day Pre General   | 11 <input checked="" type="checkbox"/> Periodic July 15, 1995 |
| 6 <input type="checkbox"/> 27 day Post General* | 12 <input type="checkbox"/> 24 hour notice                    |

\* Campaign material or a disclaimer must be submitted with Post-Election statements.

☐ Termination Report (you cannot terminate if any funds or debts remain)

☐ Amendment Report Date of original report \_\_\_\_/\_\_\_\_/\_\_\_\_

☐ Treasurer Resignation Report: Copy of letter of resignation attached.

## VERIFICATION

Must have original signature — sign in black or blue ink only

I state that the information contained in this statement is in all respects true and complete to the best of my knowledge, information and belief.

**C. WILLIAM MCCARTHY**

NAME — Print or type

**TREASURER**

TITLE

*C. William McCarthy*

SIGNATURE

**1/12/95**

DATE SIGNED

**518-792-7021**

PHONE NUMBER

ANY FALSE INFORMATION IN THIS STATEMENT MAY BE A CLASS A MISDEMEANOR, PUNISHABLE BY A FINE AND/OR UP TO ONE YEAR IMPRISONMENT, PURSUANT TO SECTION 215.45 OF THE PENAL LAW. FOR FURTHER INFORMATION, CONTACT THE NEW YORK STATE BOARD OF ELECTIONS OR YOUR COUNTY BOARD OF ELECTIONS.

OF-CHUCK 4/95

FOR INFORMATION ON COMPLETING THIS FORM CALL 1-800-458-3453

## STATEMENT INVENTORY

INDIVIDUAL/PARTNERSHIP CONTRIBUTIONS (SCH. A)			
CORPORATE CONTRIBUTIONS (SCH. B)			
ALL OTHER CONTRIBUTIONS (SCH. C)			
IN-KIND CONTRIBUTIONS/OTHER RECEIPTS (SCH. D/E)			
EXPENDITURE PAYMENTS (SCH. F)			
TRANSFERS IN/OUT (SCH. G/H)			
LOANS RECEIVED/PAID (SCH. I/J)			
BILLS/LOANS FORGIVEN (SCH. K)			
EXPENDITURE REFUND/CONTRIBUTIONS REFUNDED (SCH. L/M)			
OUTSTANDING LIABILITIES (SCH. N)			
PARTNERS/SUBCONTRACTS (SCH. O)			
HOUSEKEEPING RECEIPTS (SCH. P)			
HOUSEKEEPING EXPENSES (SCH. Q)			
SUMMARY/STATUS REPORT			

## IN-LIEU-OF STATEMENT

☐ I state that I am a candidate or a treasurer of an authorized committee which supports only one candidate, and neither the total of receipts nor the total of expenditures has exceeded or will exceed one thousand dollars in connection with this campaign.

95 JUL 17 - 11:00 AM  
WARREN COUNTY BOARD OF ELECTIONS



# NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR		FLORID		STATEMENT PERIOD DATES		PAGE	
1995				FROM 1/12/95 TO 7/11/95		3 of 3	
DO NOT report Telephone Calls							
DATE PAID	NAME	GLEN FALLS DEMOCRATIC COMM.		PURPOSE CODE	EXPLAIN	AMT PAID	
6/1/95	STREET	19 BUSH ST. APT		OTHER			
CHECK NO.	CITY - STATE	GLEN FALLS, NY ZIP 12801		RETURNED TO GLEN FALLS, TREASURER		\$ 100.00	
DATE PAID	NAME	RAY MAHONEY		PURPOSE CODE	EXPLAIN	AMT PAID	
6/1/95	STREET	83 SHERIDAN ST. APT		OTHER			
CHECK NO.	CITY - STATE	GLEN FALLS, NY ZIP 12801		REIMBURSEMENT FOR LITIGATION		\$ 250.00	
DATE PAID	NAME	WM. MONTFORT		PURPOSE CODE	EXPLAIN	AMT PAID	
7/8/95	STREET	S. JOHNSBURG RD. POBOX 9 APT		UTILS.			
CHECK NO.	CITY - STATE	JOHNSBURG, NY ZIP 12843		REIMBURSEMENT PHONE BILL		\$ 210.29	
DATE PAID	NAME	GLEN FALLS NATIONAL BANK		PURPOSE CODE	EXPLAIN	AMT PAID	
7/8/95	STREET	250 GLEN ST. APT		OTHER			
CHECK NO.	CITY - STATE	GLEN FALLS, NY ZIP 12801		BANK FEE		\$ 13.00	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET	APT					
CHECK NO.	CITY - STATE	ZIP				\$	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET	APT					
CHECK NO.	CITY - STATE	ZIP				\$	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET	APT					
CHECK NO.	CITY - STATE	ZIP				\$	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET	APT					
CHECK NO.	CITY - STATE	ZIP				\$	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET	APT					
CHECK NO.	CITY - STATE	ZIP				\$	
TOTAL THIS PAGE						\$ 573.29	

Expenditure Purpose Codes (use on Schedule Q only)

RENTS Office Rent  
 UTILS Utilities  
 PAYPL Payroll  
 POSTA Postage  
 PROFL Professional Services  
 OFFEXP Office Expenses  
 TRAVL Travel  
 OTHER Other Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL EXPENSES REPORTED	\$ 1576.41
②	TOTAL UNRECORDED EXPENSES	\$
③	Schedule Total	\$ 1576.41

\* This schedule to be used only by party or constituted committee.

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# \* NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR	PLAT #	STATEMENT PERIOD DATES	PAGE
1995		FROM 1/12/95 TO 7/11/95	2 of 3
DO NOT report Transfers Out			
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
1/12/95	POSTMASTER	POSTA	AMT PAID
CHECK NO. 2446	STREET ROUTE 8 APT		\$ 70.23
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
1/28/95	WM. MONTFORT	UTILS	AMT PAID
CHECK NO. 2447	STREET S. JOHNSBURG RD. PO BOX 9 APT		\$ 126.86
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
1/31/95	POSTMASTER	OTHER	AMT PAID
CHECK NO. 2448	STREET ROUTE 8 APT		\$ 8.00
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
2/18/95	WM. MONTFORT	UTILS	AMT PAID
CHECK NO. 2449	STREET S. JOHNSBURG RD. PO BOX 9 APT		\$ 236.14
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
3/25/95	WM. MONTFORT	UTILS	AMT PAID
CHECK NO. 2450	STREET S. JOHNSBURG RD. PO BOX 9 APT		\$ 164.37
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
4/29/95	WM. MONTFORT	UTILS	AMT PAID
CHECK NO. 2451	STREET S. JOHNSBURG RD. PO BOX 9 APT		\$ 135.47
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
5/6/95	WARRENSBURG CHAMBER OF COMMERCE	OTHER	AMT PAID
CHECK NO. 2452	STREET MAIN ST. APT		\$ 100.00
CITY - STATE	WARRENSBURG, NY ZIP 12885		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
5/24/95	WM. MONTFORT	UTILS	AMT PAID
CHECK NO. 2453	STREET S. JOHNSBURG RD. PO BOX 9 APT		\$ 75.65
CITY - STATE	JOHNSBURG, NY ZIP 12843		
DATE PAID	NAME	PURPOSE CODE	EXPLAIN
5/24/95	POSTMASTER	POSTA	AMT PAID
CHECK NO. 2454	STREET ROUTE 8 APT		\$ 86.40
CITY - STATE	JOHNSBURG, NY ZIP 12843		
TOTAL THIS PAGE			\$ 1003.12

Expenditure Purpose Codes (List on Schedule Q only)

RENTO Office Rent  
 UTILS Utilities  
 PAYRL Payroll  
 POSTA Postage  
 PROFSL Professional Services  
 OFFEXP Office Expenses  
 TRVLS Travel  
 OTHER Other Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL FINANCED EXPENDITURES	\$
②	TOTAL UNFINANCED EXPENDITURES	\$
③	Schedule Total	\$

# SUMMARY OF RECEIPTS / EXPENDITURES

1. Opening Balance - must be same as ending balance on line 7 of your previous statement \$ 4,111.57

## RECEIPTS THIS PERIOD

### 2. CONTRIBUTIONS

2a) Schedule A total .....\$ 615.71  
2b) Schedule B total ..... 0.00  
2c) Schedule C total ..... 0.00  
2d) Schedule D total ..... 0.00  
2e) Total Contributions (add 2a through 2d) ..... \$ 615.71

### 3. MISCELLANEOUS RECEIPTS

3a) Schedule E total .....\$ 0.00  
3b) Schedule G total ..... 0.00  
3c) Schedule I total ..... 0.00  
3d) Schedule L total ..... 0.00  
3e) Schedule P total ..... 0.00  
3f) Total Miscellaneous Receipts (add 3a through 3e) .....\$ 0.00

4. TOTAL receipts this period (add 2e plus 3f) ..... \$ 615.71

5. TOTAL (add line 1 plus line 4) ..... \$ 4727.28

## EXPENSES THIS PERIOD

### 6. EXPENSES

6a) Schedule F, box 3 total .....\$ 0.00  
6b) Total of Summary line 2d and Schedule K, Col 4 total ..... 0.00  
6c) Schedule H total ..... 0.00  
6d) Schedule J total ..... 0.00  
6e) Schedule M total ..... 0.00  
6f) Schedule Q total ..... 1576.41  
6g) TOTAL expenses this period (add 6a through 6f) ..... \$ 1576.41

7. BALANCE at end of period: subtract line 6g from line 5 ..... \$ 3150.87

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# STATE OF NEW YORK BOARD OF ELECTIONS DISCLOSURE STATEMENT - COVER PAGE

ELECTION YEAR <b>1998</b>	FILE NO. <b>10</b>	STATEMENT NUMBER FROM BELOW <b>10</b>	STATEMENT PERIOD DATES FROM <b>7/12/94</b> TO <b>1/11/95</b>
------------------------------	-----------------------	---	---

## IDENTIFICATION

YOU MUST TYPE OR PRINT LEGIBLY IN BLACK OR BLUE INK

WARREN COUNTY DEMOCRATIC COMMITTEE  
Full name of Candidate or Committee

16 LUPINE LANE  
Mailing Address of Treasurer - Number and Street

QUEENSBURY NEW YORK 12804  
City State Zip

MCCARTHY C. WILLIAM  
Committee Treasurer Name (Last) (First)

IS THIS COMMITTEE AUTHORIZED BY THE CANDIDATE? ☐ YES ☐ NO  
☐ CHECK BOX IF MAILING ADDRESS HAS CHANGED SINCE LAST REPORT

OFFICE/DISTRICT/CANDIDATE BEING SUPPORTED

## TYPE OF REPORT

STATEMENT IS BEING FILED BY:

- |  |   |
|--|---|
| <input type="checkbox"/> Party Committee           | <input checked="" type="checkbox"/> Constituted Committee |
| <input type="checkbox"/> Candidate                 | <input type="checkbox"/> Political Committee              |
| <input type="checkbox"/> Housekeeping Account Only |   |

**14 CHECK ONE BOX AND INDICATE STATEMENT NUMBER ABOVE**

- |   |   |
|---|---|
| 1 <input type="checkbox"/> 32 day Pre Primary   | 7 <input type="checkbox"/> 32 day Pre Special                         |
| 2 <input type="checkbox"/> 11 day Pre Primary   | 8 <input type="checkbox"/> 11 day Pre Special                         |
| 3 <input type="checkbox"/> 10 day Post Primary* | 9 <input type="checkbox"/> 27 day Post Special*                       |
| 4 <input type="checkbox"/> 32 day Pre General   | 10 <input checked="" type="checkbox"/> Periodic Jan. 15, 19 <u>95</u> |
| 5 <input type="checkbox"/> 11 day Pre General   | 11 <input type="checkbox"/> Periodic July 15, 19 <u>    </u>          |
| 6 <input type="checkbox"/> 27 day Post General* | 12 <input type="checkbox"/> 24 hour notice                            |

\* Campaign material or a disclaimer must be submitted with Post-Election statements.

- ☐ Termination Report (you cannot terminate if any funds or debts remain)
- ☐ Amendment Report Date of original report      /      /
- ☐ Treasurer Resignation Report: Copy of letter of resignation attached.

## STATEMENT INVENTORY

INDIVIDUAL/PARTNERSHIP CONTRIBUTIONS (SCH. A)	1	
CORPORATE CONTRIBUTIONS (SCH. B)		
ALL OTHER CONTRIBUTIONS (SCH. C)		
IN-KIND CONTRIBUTIONS/OTHER RECEIPTS (SCH. D/E)		
EXPENDITURE PAYMENTS (SCH. F)		
TRANSFERS IN/OUT (SCH. G/H)		
LOANS RECEIVED/PAID (SCH. I/J)		
BILLS/LOANS FORGIVEN (SCH. K)		
EXPENDITURE REFUNDS/CONTRIBUTIONS REFUNDED (SCH. L/M)		
OUTSTANDING LIABILITIES (SCH. N)		
PARTNERS/SUBCONTRACTS (SCH. O)		
HOUSEKEEPING RECEIPTS (SCH. P)		
HOUSEKEEPING EXPENSES (SCH. Q)	2	
SUMMARY/STATUS REPORT		

## IN-LIEU-OF STATEMENT

- ☐ I state that I am a candidate or a treasurer of an authorized committee which supports only one candidate, and neither the total of receipts nor the total of expenditures has exceeded or will exceed one thousand dollars in connection with this campaign.

## VERIFICATION

Must have original signature -- sign in black or blue ink only

I state that the information contained in this statement is in all respects true and complete to the best of my knowledge, information and belief.

C. WILLIAM MCCARTHY

Name - Print or type

Signature

1/13/95

Date Signed

518-792-7021

Phone Number

TREASURER

Title

ANY FALSE INFORMATION IN THIS STATEMENT MAY BE A CLASS A MISDEMEANOR, PUNISHABLE BY A FINE AND/OR UP TO ONE YEAR IMPRISONMENT, PURSUANT TO SECTION 160.45 OF THE PENAL LAW. FOR FURTHER INFORMATION, CONTACT THE NEW YORK STATE BOARD OF ELECTIONS OR YOUR COUNTY BOARD OF ELECTIONS. FOR INFORMATION ON COMPLETING THIS FORM CALL 1-800-455-3453



# EXPENDITURE/PAYMENTS Schedule F

ELECTION YEAR 1994		PLAT ID		STATEMENT PERIOD DATES FROM 7/19/94 TO 1/11/95		PAGE 2 of 5	
DO NOT report Transfers Out							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
10/20/94	RUDOLPH NEOLA			CNTRB			
CHECK NO.	STREET			APT			
	CITY - STATE			ZIP			
	ROUTE 1 BOX 1			12836			100.00
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
8/18/94	BOB LAWRENCE FOR CONGRESS			CNTRB			
CHECK NO.	STREET			APT			
	CITY - STATE			ZIP			
	P.O. BLDG. SUITE #3, POB 1994			12029			2,000.00
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
TOTAL THIS PAGE							2,100.00

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## Expenditure Purpose Codes

CMAL	Campaign Meetings	POLLS	Polling Guide
CONCL	Campaign Consultants	POSTA	Postage
CONSV	Consultants Services	PRINT	Print Ads
COTVB	Political Contributions	PROPL	Professional Services
FUNDR	Fundraising	RADIO	Radio Ads
SWES	Swearmaster Expenses	RENTD	Office Rent
LYTER	Campaign Literature	TVADS	Television Ads
OFFCE	Office Expenses	VOTER	Voter Registration Materials or Services
OTHER	Other May Provide Explanation	WAGES	Campaign Workers' Salaries
PETIT	Petition Expenses		

Complete this summary  
on your last page only!

①	TOTAL FINANCED EXPENDITURES	\$ 2,100.00
②	TOTAL UNFINANCED EXPENDITURES	\$ 0.00
③	Schedule Total	\$ 2,100.00

Receipts from Party Committee and other committees  
authorized solely for this candidate

# (TRANSFERS IN) Schedule G

ELECTION YEAR <b>1994</b>		FILER ID		STATEMENT PERIOD DATES FROM <b>7/1/94</b> TO <b>11/11/95</b>		PAGE <b>3 out</b>	
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED		
CHECK #				<b>10</b>	<b>\$</b>		
				<b>20</b>	<b>\$</b>		
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED		
CHECK #				<b>10</b>	<b>\$</b>		
				<b>20</b>	<b>\$</b>		
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED		
CHECK #				<b>10</b>	<b>\$</b>		
				<b>20</b>	<b>\$</b>		
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED		
CHECK #				<b>10</b>	<b>\$</b>		
				<b>20</b>	<b>\$</b>		
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED		
CHECK #				<b>10</b>	<b>\$</b>		
				<b>20</b>	<b>\$</b>		
TOTAL THIS PAGE					<b>\$</b>		
SCHEDULE TOTAL Last Page Only					<b>\$</b>		

TYPE 1 - Party/Constituted Committees  
TYPE 2 - Committee Solely Supporting  
Same Candidate

NOTE: DO NOT REPORT FUNDS RECEIVED FROM INDEPENDENT  
COMMITTEES OR COMMITTEES AUTHORIZED BY A DIFFERENT CANDIDATE  
AS A TRANSFER. THESE RECEIPTS MUST BE REPORTED AS A  
CONTRIBUTION ON SCHEDULE C.

Payments to Party Committee and other committees  
authorized solely for this candidate

# (TRANSFERS OUT) Schedule H

DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED
<b>10/28/94</b>	<b>SARATOGA COUNTY DEMOCRATIC COMMITTEE</b>	<b>6 CAPPA BINCA DR.</b>	<b>WATERFORD, NY</b>	<b>10X</b>	<b>\$1,000.00</b>
CHECK #				<b>20</b>	<b>\$</b>
				<b>20</b>	<b>\$</b>
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED
<b>12/9/94</b>	<b>ESSEX COUNTY DEMOCRATIC COMMITTEE</b>	<b>JERSEY ST., POB 127</b>	<b>ESSEX, NY</b>	<b>10X</b>	<b>\$1,000.00</b>
CHECK #				<b>20</b>	<b>\$</b>
				<b>20</b>	<b>\$</b>
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED
				<b>10</b>	<b>\$</b>
CHECK #				<b>20</b>	<b>\$</b>
				<b>20</b>	<b>\$</b>
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE	AMOUNT TRANSFERRED
				<b>10</b>	<b>\$</b>
CHECK #				<b>20</b>	<b>\$</b>
				<b>20</b>	<b>\$</b>
TOTAL THIS PAGE					<b>\$2,000.00</b>
SCHEDULE TOTAL Last Page Only					<b>\$</b>

TYPE 1 - Party/Constituted Committees  
TYPE 2 - Committee Solely Supporting  
Same Candidate

NOTE: DO NOT REPORT FUNDS PAID TO INDEPENDENT COMMITTEES OR  
COMMITTEES AUTHORIZED BY A DIFFERENT CANDIDATE AS A TRANSFER.  
THESE PAYMENTS MUST BE REPORTED AS A PAYMENT ON

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# \* NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR		FILER ID		STATEMENT PERIOD DATES		PAGE	
1995				7/12/94 to 1/12/95		4 of 5	
DO NOT report Transfers Out							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
8/4/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	PHONE BILL & COPIER REPAIR	318.58
8/15/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	OTHER	PERMIT #	75.00
8/18/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	UTILS	TELEPHONE	186.96
9/25/94	WARREN COUNTY DEMOCRATIC COMMITTEE	16 LUPINE LANE	QUEENSBURY, NY	12804	OTHER	HEARTS & FLOWERS	100.00
9/25/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	COMPUTER REPAIR & PHONE	784.02
10/12/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	POSTA		72.04
10/20/94	JOHN E. WERTIME, TREASURER	CO. MUNICIPAL CENTER	LAKE GEORGE, NY	12843	OTHER	PRINTING	19.00
10/20/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	POSTA		52.20
10/31/94	COLONIAL ARMS REST.	MAIN ST.	WARRENSBURG, NY	12885	OTHER	FALL RALLY DINNERS	510.00
TOTAL THIS PAGE							82,117.80

Expenditure Purpose Codes (see on Schedule Q only)

RENT Office Rent  
 UTILS Utilities  
 PAYTEL Paytel  
 POSTA Postage  
 PROF Professional Services  
 OFFEXP Office Expenses  
 MAIL Mailings  
 OTHER Other: Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL EXPENSES	\$
②	TOTAL UNPAID EXPENSES	\$
③	Schedule Total	\$

\* This schedule to be used only by party or constituted committee.





# SUMMARY OF RECEIPTS / EXPENDITURES

1. Opening Balance - must be same as ending balance on line 7 of your previous statement \$ 9,870.85

## RECEIPTS THIS PERIOD

### 2. CONTRIBUTIONS

2a) Schedule A total .....\$ 1,406.20  
2b) Schedule B total ..... 0.00  
2c) Schedule C total ..... 0.00  
2d) Schedule D total ..... 0.00  
2e) Total Contributions (add 2a through 2d) ..... \$ 1,406.20

### 3. MISCELLANEOUS RECEIPTS

3a) Schedule E total .....\$ 0.00  
3b) Schedule G total ..... 0.00  
3c) Schedule I total ..... 0.00  
3d) Schedule L total ..... 0.00  
3e) Schedule P total ..... 0.00  
3f) Total Miscellaneous Receipts (add 3a through 3e) .....\$ 0.00

4. TOTAL receipts this period (add 2e plus 3f) ..... \$ 1,406.20

5. TOTAL (add line 1 plus line 4) ..... \$ 11,277.05

## EXPENSES THIS PERIOD

### 6. EXPENSES

6a) Schedule F, box 3 total .....\$ 2,100.00  
6b) Total of Summary line 2d and Schedule K, Col 4 total ..... 0.00  
6c) Schedule H total ..... 2,000.00  
6d) Schedule J total ..... 0.00  
6e) Schedule M total ..... 0.00  
6f) Schedule Q total ..... 3,065.48  
6g) TOTAL expenses this period (add 6a through 6f) ..... \$ 7,165.48

7. BALANCE at end of period: subtract line 6g from line 5 ..... \$ 4,111.57

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GLENS FALLS DEMOCRATIC COMMITTEE

Dan Martindale, Chairman  
Lou Hoffis, Vice Chairman  
Joe Dawson, Secretary / Treasurer

January 2, 1995

Dear Committee Member,

Enclosed please find my written report regarding the finances of the Warren County Democratic Committee for your review. This report is based upon my inspections of the financial data and disclosure sheets on November 29, 1995 and December 10, 1995.

This report will also be a part of my chairman's report at the January 10, 1996 Glens Falls Committee meeting, at which time I will be happy to answer any questions you may have as best as possible.

*Daniel Martindale*  
Dan Martindale  
Chairman

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## CHAIRMAN'S REPORT

Pursuant to the resolution passed on September 27, 1995 at a meeting of the Warren County Democratic Committee, a full disclosure of the County Committee finances was made to the Executive Committee thereof on November 29, 1995. On December 10, 1995, Executive Committee members were given a second opportunity to inspect the cancelled checks, bank statements, check registers, receipts, and state financial disclosure statements from July 13, 1993 (7/13/93) to the present.

This report will cover four separate disclosure periods, coinciding with the financial disclosure statements, and a reconciliation for the statement to be filed by January 15, 1996. As background information, all receipts were in the form of what the general public would recognize as a receipt, or invoices. There were no bills of lading, bills of sale, etc. present.

Also, there is a \$.25 use fee charged with each check that is written. However, the way this fee is accounted for in the check register has changed with the ascension of Tom O'Dea as Treasurer. Former Treasurer Bill McCarthy recorded checks in the register with the \$.25 transaction fee included in the check amount. In other words, the amount on the face of the check and the amount recorded in the check register would differ by \$.25. However, the register and the bank statement would be accurate and the balances would match. The current treasurer has decided that the amount written on the face of the check will be the amount that is reflected in the check register. The aggregate amount of the

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check charges will be deducted from the register quarterly, upon receipt of the quarterly bank statement. This change is merely one of method, and no discrepancies should arise therefrom.

**PERIOD 1: JULY 13, 1993 - JANUARY 12, 1994 (7/13/93-1/12/94)**

During this time period, there were no events such as raffles or dinners. The major expense for this period was the County Democratic headquarters located in Glens Falls, where a majority of the candidates were running for office. During this time period, there were four checks issued for which there are no receipts:

1. A check in the amount of \$ 300.00 issued to the New York State Democratic Committee.
2. A check in the amount of \$ 214.74 issued for payment of utilities at County Headquarters.
3. A check in the amount of \$ 318.74 issued to Ralph Farrell for the phone bill at the County Headquarters.
4. A check in the amount of \$ 208.65 issued to Dick and Pat Dudley for decorations and refreshments associated with the County Headquarters.

There are no checks regarding the rent of the space used for the headquarters because the space was given rent-free. Also of interest was check # 2374. This check was in the amount of \$

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500.00 issued to the Meeta for Supreme Court campaign committee. This check was voided because it was returned by the Meeta Committee.

The total receipts for the period of July 12, 1993 through January 13, 1994 totalled \$ 2,745.50. This figure is confirmed by the disclosure statement, bank statement, and the check register.

**PERIOD 2: JANUARY 13, 1994 - JULY 12, 1994 (1/13/94-7/12/94)**

There were two major events during this disclosure period. The first was the retirement dinner for former Mayor Frank O'Keefe. This event took place at the Queensbury Hotel. The total deposits and funds raised for this dinner were \$ 3,875.00. The total expenses for the event totalled \$ 2,460.37, leaving a total profit of \$ 1,414.63. After this event, two checks were issued for which no receipts were given. One check was for \$ 1,300.00, and the other was for \$ 200.00. Both checks were issued to former Mayor Frank O'Keefe.

The difference between the total profit of \$ 1,414.63 and the \$ 1,500.00 given to Frank O'Keefe was due to a billing error by the Queensbury Hotel. The Queensbury originally sent a bill for \$ 2,320.92. However, this bill did not include any tax. Thus, the original profit from the dinner was \$ 1,554.08. An amended bill was then sent which included tax in the amount of \$ 139.45. The new bill arrived after the aforementioned checks had been issued to former Mayor O'Keefe. At that point, it would have been

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inappropriate to ask the former Mayor to return funds from his own retirement dinner.

The other major event for that period was a raffle. Total deposits for the raffle were \$ 24,271.00, which came from the sale of 240 raffle tickets at \$ 100.00 each, plus a guest fee of \$ 12.00 for the dinner associated with the raffle. The expenses were \$ 11,300.00 in prizes, and \$ 1,925.00 for meals at the Colonial Arms, for a grand total of \$ 13,225.00. There were no receipts given for the checks issued as raffle prizes, nor was there a receipt given for the Colonial Arms bill. The question is, is \$ 1,925.00 a reasonable expense for dinners for 120 people. This averages out to \$ 16.04 per person. The total profit from this fundraiser was \$ 11,046.00.

The total receipts for this period totalled \$ 28,146.00. This figure matches the disclosure statement, check register and bank statement. After expenses, the balance was \$ 9,870.85.

**PERIOD 3: JULY 13, 1994 - JANUARY 12, 1994 (7/13/94-1/12/95)**

For this disclosure period, there were four checks for which there are no receipts:

- 1) check # 2431 in the amount of \$ 100.00 issued to the Hearts and Flowers Committee.
- 2) check # 2445 in the amount of \$ 100.00 issued to the Hearts and Flowers Committee.
- 3) check # 2438 in the amount of \$ 510.00 issued to the Colonial Arms for the 1994 dinner rally.
- 4) check # 2435 in the amount of \$ 52.20 to the postmaster.

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9 8 0 4 3 8 9 2 7 4 1

Receipts from the Hearts and Flowers committee are not to be expected, since it is a subcommittee of the County Committee. The question is, is it reasonable to spend \$ 100.00 on floral arrangements and cards for sick, injured, or hospitalized Democrats? It would seem so.

The postmaster receipt of \$ 52.50 would cover the postage for two (2) mailings to the County Committee membership, plus approximately thirty more envelopes, which with two weeks to go before the gubernatorial election is not unreasonable.

The major fundraiser during this period was a dinner at the Colonial Arms. The dinner was attended by 55 people. Total deposits for this dinner were \$ 1,330.00. The expenses were \$ 510.00, thus leaving a total profit of \$ 820.00. The total price of the dinners averages out to be \$ 9.27 per person, which is not an extravagant amount.

The bank statement shows a balance of \$ 3,406.20. This figure matched the check registers and receipts. However, there was a \$ 2,000.00 variance on the original disclosure sheet. The disclosure sheet showed an amount of \$ 1,406.20.

The variance arose out of a contribution to the Bob Lawrence for Congress campaign. A \$ 4,000.00 check was sent by the County Committee to the Lawrence Campaign as a contribution. \$2,000.00 was returned, since federal election law does not allow contributions of more than \$ 2,000.00. Two separate checks of \$ 1,000.00 each were then issued: #2436 was issued to the Essex County Democratic Committee and #2437 was issued to the Saratoga County Democratic Committee, which subsequently gave this money to

the Lawrence Campaign. Inter-County transfers such as these are not uncommon.

For the purposes of expediency, the checks were shown as \$ 4,000.00 going out in three checks, which is what was actually spent, but the \$ 2,000.00 that was returned by the Lawrence Campaign was never shown as a deposit, since it was immediately sent back out to the aforementioned County committees. This was simply a matter of accounting. However, the campaign disclosure form has since been amended to reflect the \$ 2,000.00 as a deposit. The amended statement was filed on December 11, 1995 and all statements, disclosures, registers, and receipts now reflect the figure of \$ 3,406.20.

Furthermore, check #2436, which was issued to the Essex County Democratic Committee was lost by that committee after the transfer had been made. On December 9, 1994, a stop check order was issued, with a fee of \$ 15.00 charged for doing so. That same day, check #2441 was issued to the Essex County Democratic Committee. Thus, there is no discrepancy.

**PERIOD 4: JANUARY 13, 1995 - JULY 12, 1995 (1/13/95-7/12/95)**

During this period, no fundraisers were held by the County Committee. For this reporting period, there were two checks for which there are no receipts:

Check #2454 in the amount of \$ 86.40 to the Postmaster.

Check #2452 in the amount of \$ 100.00 to the Warrensburg Chamber of Commerce.

The check issued to the Chamber of Commerce was an expense

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related to the World's Largest Garage Sale, which was held the weekend of September 30 / October 1, 1995. This fundraiser raised \$ 885.43 after expenses. The check to the postmaster was issued at the beginning of the petition circulation period. Also, there were two County Committee meetings, one in May and one in July, for which notices had to be sent. Thus, it would seem that a bill of \$ 86.40 is not unreasonable.

The campaign disclosure sheet shows that \$ 615.71 in deposits were made. This figure is also shown in the check register, but nothing appears on the bank statement. The figure is not shown on the bank statement because the \$ 615.71 came from outstanding checks, some which dated as far back as 1988, which were retired by former Treasurer Bill McCarthy. Because teh checks were never cashed, no funds were ever withdrawn from the bank account. Although there is the slight chance that these checks may be cashed, the prospects of this event occurring are not likely. These retired checks are listed as deposits on the disclosure statement because there is no area on the disclosure sheet where retired debts should be listed. Therefore, former Treasurer McCarthy listed these retired checks as deposits.

\* \* \* \* \*

As of the last bank statement, September 15, 1995, the checkbook showed a balance of \$ 2,647.12, while the bank statement showed a balance of \$ 2,642.37, for a discrpancy of \$ 4.75, which considering the amount of money that has been transferred in and out of this account is de minimus,

Since that time, there was the aforementioned garage

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9 8 0 4 3 8 9 2 7 4 4

sale, an election weekend rally at the Hibernian Hall, and contributions to political candidates. The balance as of December 10, 1995 was \$ 995.19, based upon the deposits and receipts, then subtracting ther outstanding checks. The actual balance is \$ 990.94, which is due to the \$.25 check charge and how it is reflected. Former Treasurer McCarthy, when doing the checkbook register, added \$.25 to the amount of each check to cover the bank charge. In other words, there would be a \$.25 difference between the check amount written in the register and the face amount of the check.

The new Treasurer, Tom O'Dea, will instead enter the same amount into the register as is written on the face of the check. The bank charges will be aggregated and subtracted from the register quarterly, to coincide with the bank statement. This is merely a change of method and no discrepancies should arise from the different system of accounting.

Finally, for information purposes, the next financial disclosure form is due to be filed by January 15, 1996.

\* \* \* \* \*

After my review of the bank statements, cancelled checks, receipts, check registers, and financial disclosure statements of the Warren County Democratic Committee, I have found all expenses, deposits, and records to be accurate, reasonable, and legitimate.

  
Dan Martindale, Chairman  
Glens Falls Democratic Comm.



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 29, 1997

Lawrence A. Dudley  
20 Mason Street  
Glens Falls, NY 12801

Dear Mr. Dudley:

This is to acknowledge receipt on September 25, 1997, of your letters dated September 12, 1997. The Federal Election Campaign Act of 1971, as amended and Commission Regulations require that the contents of a complaint meet certain specific requirements. One of these requirements is that a complaint be sworn to and signed in the presence of a notary public and notarized. Your letter did not contain a notarization on your signature and was not properly sworn to.

In order to file a legally sufficient complaint, you must swear before a notary that the contents of your complaint are true to the best of your knowledge. The notary must represent as part of the jurat that such swearing occurred. The preferred form is "Subscribed and sworn to before me on this \_\_\_\_ day of \_\_\_\_, 19\_\_." A statement by the notary that the complaint was sworn to and subscribed before him/her also will be sufficient. We regret the inconvenience that these requirements may cause you, but we are not statutorily empowered to proceed with the handling of a compliance action unless all the statutory requirements are fulfilled. See 2 U.S.C. § 437g.

Please note that this matter will remain confidential for a 15 day period to allow you to correct the defects in your complaint. If the complaint is corrected and refiled within the 15 day period, the respondents will be so informed and provided a copy of the corrected complaint. The respondents will then have an additional 15 days to respond to the complaint on the merits. If the complaint is not corrected, the file will be closed and no additional notification will be provided to the respondents.

98043892745



Enclosed is a Commission brochure entitled "Filing a Complaint." I hope this material will be helpful to you should you wish to file a legally sufficient complaint with the Commission. If you have any questions concerning this matter, please contact me at (202) 219-3410.

Sincerely,

*Retha Dixon*  
Retha Dixon  
Docket Chief

Enclosure

cc: Margaret Farrell  
Paul A. Kemnitzer  
Richard E. Dudley  
David F. Cooney  
Patricia S. Dudley

98043892746

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

OCT 14 2 11 PM '97

October 7, 1997

Retha Dixon  
Docket Chief  
Office of General Counsel  
Federal Election Commission  
999 E. Street, N.W.  
Washington, D.C.  
20463

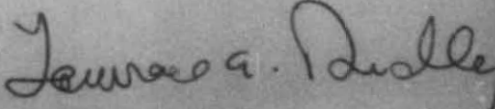
Dear Ms. Dixon;

Thank you for your letter of September 29th. According to your request, you will find enclosed a duly notarized copy of our complaint which we originally submitted to the Federal Election Commission on September 12, 1997.

We hope this is satisfactory. If not, or if you need any other information, please contact Lawrence or Richard and Patricia Dudley by phone at 518-792-5444 or 518-793-8251 or fax us at 518-793-5726 or e-mail at ldudley@mindspring.com or dudleyanddudley@mindspring.com.

Thank you for your attention to this matter. We will be looking forward to hearing from you.

On behalf of Margaret Farrell, Richard and Patricia Dudley, Paul Kemnitzer and David Cooney,



Lawrence A. Dudley  
20 Mason St.  
Glens Falls, NY  
12801

98043892747

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

OCT 14 2 11 PM '97 September 12, 1997

Office of General Counsel  
Federal Elections Commission  
999 E. St. NW Washington, D.C.  
20463

Dear Sir/Madam;

We have been referred to you by Mr. Stanley Zalen, Chief Enforcement Counsel of the New York State Board of Elections.

The signers of this letter, all present or former members of the Warren County Democratic Committee and several elected public officials of the City of Glens Falls, New York, have had a complaint before the New York State Board of Elections for some time. We only recently were told by the State Board that they did not feel they were the appropriate venue for the most serious of our complaints; the lesser charges they declined to pursue due to the lack of records.

The core of the matter which we understand will be of interest to the FEC is an illegal diversion of funds of the Warren County Democratic Committee in a Congressional campaign to evade Federal spending limits.

Specifically, in the 1994 election campaign of Robert Lawrence of Cleverdale, N.Y. for Congress, representing this area, funds of the Warren County Democratic Committee were funneled through county committees in Essex and Saratoga Counties, New York, to evade spending limits.

The Warren County Democratic Committee originally gave Mr. Lawrence (without the knowledge or consent of the Committee as a whole) \$4,000 for his campaign. Mr. Lawrence's campaign shortly later returned the check to the County Chairman, Mr. William Montfort, who then knowingly illegally diverted the funds through



the Essex and Saratoga County Democratic Committees.

Remarkably, Mr. Montfort confirmed this transfer was illegal and knowingly done to evade Federal law during open discussion at the September, 1995 organizational meeting of the Warren County Democratic Committee.

This diversion was also later confirmed in a written report by Mr. Daniel Martindale, a member of the Warren County Committee and the new Chairman of the Glens Falls Democratic Committee.

The financial affairs of the Warren County Democratic Committee have been an issue with committee members and local elected officials since the Spring of 1995. Although a series of highly lucrative fund raisers had been held over the previous several years, it was discovered by several committee members who were also elected officials that over ten thousand dollars were essentially unaccounted for in the County Committee's treasury.

These officials, who included the elected City Treasurer of Glens Falls, NY, (who is a C.P.A.), the Glens Falls City Council President (the highest elected Democratic official in Warren County, N.Y.), two Democratic members of the City Council of Glens Falls, also County Committee members, the then Chair of the Glens Falls Democratic Committee and the Secretary/Treasurer of the Glens Falls Democratic Committee and others sought a financial accounting from Mr. William Montfort, the County Chair.

What followed is too involved to recap in this letter, but is well covered in the attached copies of our complaints sent to the New York State Board of Elections.

In short, Mr. Montfort organized an attack on these individuals and their family members in an all out effort to either intimidate them into silence or discredit them. Serious professional harm was done to some of these individuals, particularly to Ralph Farrell, the husband of Councilmember Margaret Farrell, who, thanks to Mr. Montfort's actions, was illegally terminated from his job with the

**New York State Department of Environmental Conservation. (Mr. Farrell's termination is currently under litigation.)**

**In an effort to deprive committee members of the support of the Glens Falls Democratic Committee, Mr. Montfort also challenged the nominating petition of the first black woman to seek membership of the Warren County Democratic Committee.**

**We regard Mr. Montfort's actions to silence those questioning Committee financial affairs as further, though circumstantial, proof of his guilt. We believe they may also constitute Obstruction of Justice or other criminal offenses in and of themselves. We also believe they give you an better understanding of the general background; Mr. Montfort's illegal diversion of funds was but one part of a pattern of illegal or grossly abusive uses of County Committee funds.**

**The financial disclosure forms filed with the New York State Board of Elections will confirm the illegal transfers to the election effort of Mr. Robert Lawrence. This has also been extensively investigated by the New York State Board. The facts of the matter are not in dispute.**

**Accordingly, we are submitting to you:**

- Copies of our complaints and correspondence with the New York State Board of Elections.**
- Copies of financial disclosure forms submitted to the the N.Y.S. Board of Elections by Mr. Montfort and the Warren County Democratic Committee.**
- A copy of a report on County Committee finances by the Chairman of the Glens Falls Democratic Committee, Mr. Daniel Martindale.**
- Miscellaneous notes and records.**

**We will try to highlight what we believe are important sections for your attention.**

We should note that the report issued by Mr. Martindale was yet another effort by Mr. Montfort to evade accountability and deny qualified members of the Committee (specifically our CPA City Treasurer) access to County records. Obviously, it backfired badly.

We would also like to point out the the signers of this letter are mainly elected public officials who have sworn an Oath of Office to uphold the laws of the State of New York. The signers who are also City Council members of the City of Glens Falls are also legally Peace Officers. They feel they cannot look the other way in such a grave matter, and that a criminal complaint and investigation is called for.

We are available for any further questions or requests for information by the FEC.

We are confident you will find the actions detailed in this complaint as unlawful and unacceptable as we do.

Thank you for your time and attention.

Sincerely,

*Margaret Farrell*

Margaret Farrell,

Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee

Chairperson, Glens Falls Democratic Committee, 1989 -1995  
Councilmember, Fifth Ward, City of Glens Falls, New York

19 Bush Street, Glens Falls,  
New York  
792-8987

*Lawrence A. Dudley*

Lawrence A. Dudley

Member, Warren County Democratic Committee,



**Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee 1993-1995  
Chairman, 2nd Ward Democratic Committee 1993-1997,  
Glens Falls**

**28 Mason St., Glens Falls,  
New York  
792-5444**

*Paul A. Kemnitzer*

**Paul Kemnitzer**

**Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation**

**27 State St. Glens Falls,  
New York  
793-2987**

*Richard E. Dudley*

**Richard E. Dudley**

**Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation**

**8 Montcalm St., Glens Falls,  
New York  
793-8257**

*David F. Cooney*

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997  
Councilmember, Fourth Ward, City of Glens Falls

22 Crandall St., Glens Falls,  
New York  
792-7145

*Patricia S. Dudley*

Patricia S. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee 1993-1997

8 Montcalm St. Glens Falls,  
New York  
518-793-8251

93043892753  
On this 8th day of October, 1997, before me personally came Margaret Farrell, Lawrence A. Dudley, Paul Kemnitzer, Richard E. Dudley, David F. Cooney, and Patricia S. Dudley, to me personally known and known to me to be the same persons described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County 99  
Commission Expires May 18, 19

*Debbie A. Cameron*  
NOTARY PUBLIC

On this 9th day of October, 1997, before me personally came Margaret Farrell, to me personally known and known to me to be the same person described in and who executed the foregoing instrument, and she acknowledged to me that she executed the same.

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County 99  
Commission Expires May 18, 19

6 *Debbie A. Cameron*  
NOTARY PUBLIC

# STATE OF NEW YORK

## BOARD OF ELECTIONS DISCLOSURE STATEMENT — COVER PAGE

ELECTION YEAR <b>1995</b>	FILE NO.	STATEMENT NUMBER FROM BOLC# <b>11</b>	STATEMENT PERIOD DATES FROM <b>1.12.95</b> TO <b>7.11.95</b>	
------------------------------	----------	---	---	--

### IDENTIFICATION

YOU MUST TYPE OR PRINT LEGIBLY IN BLACK OR BLUE INK

**WARREN COUNTY DEMOCRATIC COMMITTEE**  
For names of candidates or committee

**16 LUPINE LANE**  
Mailing Address of Treasurer — member and street

**QUEENSBURY NEW YORK 12804**  
City State Zip

**McCARTHY C. WILLIAM**  
COMMITTEE TREASURER NAME (LAST) (FIRST)

IS THIS COMMITTEE AUTHORIZED BY THE CANDIDATE? ☐ YES ☐ NO  
☐ CHECK BOX IF MAILING ADDRESS HAS CHANGED SINCE LAST REPORT

OFFICE/DISTRICT/CANDIDATE BEING SUPPORTED

### TYPE OF REPORT

STATEMENT IS BEING FILED BY:

- |  |   |
|--|---|
| <input type="checkbox"/> Party Committee           | <input checked="" type="checkbox"/> Constituted Committee |
| <input type="checkbox"/> Candidate                 | <input type="checkbox"/> Political Committee              |
| <input type="checkbox"/> Housekeeping Account Only |   |

**\* CHECK ONE BOX AND INDICATE STATEMENT NUMBER ABOVE**

- |   |   |
|---|---|
| 1 <input type="checkbox"/> 32 day Pre Primary   | 7 <input type="checkbox"/> 32 day Pre Special                         |
| 2 <input type="checkbox"/> 11 day Pre Primary   | 8 <input type="checkbox"/> 11 day Pre Special                         |
| 3 <input type="checkbox"/> 10 day Post Primary* | 9 <input type="checkbox"/> 27 day Post Special*                       |
| 4 <input type="checkbox"/> 32 day Pre General   | 10 <input type="checkbox"/> Periodic Jan. 15, 19__                    |
| 5 <input type="checkbox"/> 11 day Pre General   | 11 <input checked="" type="checkbox"/> Periodic July 15, 19 <b>95</b> |
| 6 <input type="checkbox"/> 27 day Post General* | 12 <input type="checkbox"/> 24 hour notice                            |

\* Campaign material or a disclaimer must be submitted with Post-Election statements.

- ☐ Termination Report (you cannot terminate if any funds or debts remain)
- ☐ Amendment Report Date of original report \_\_\_\_/\_\_\_\_/\_\_\_\_
- ☐ Treasurer Resignation Report: Copy of letter of resignation attached.

### VERIFICATION

Must have original signature — sign in black or blue ink only

I state that the information contained in this statement is in all respects true and complete to the best of my knowledge, information and belief.

**C. WILLIAM MCCARTHY**  
Name — Print or type  
**TREASURER**

*C. William McCarthy*  
Signature  
**1/12/95 518-792-7021**  
Date Signed Phone Number

ANY FALSE INFORMATION IN THIS STATEMENT MAY BE A CLASS A MISDEMEANOR, PUNISHABLE BY A FINE AND/OR UP TO ONE YEAR IMPRISONMENT, PURSUANT TO SECTION 216.45 OF THE PENAL LAW. FOR FURTHER INFORMATION, CONTACT THE NEW YORK STATE BOARD OF ELECTIONS OR YOUR COUNTY BOARD OF ELECTIONS. FOR INFORMATION ON COMPLETING THIS FORM CALL 1-800-438-3453

### STATEMENT INVENTORY

INDIVIDUAL/PARTNERSHIP CONTRIBUTIONS (SCH. A)		
CORPORATE CONTRIBUTIONS (SCH. B)		
ALL OTHER CONTRIBUTIONS (SCH. C)		
IN-KIND CONTRIBUTIONS/OTHER RECEIPTS (SCH. D/E)		
EXPENDITURE PAYMENTS (SCH. F)		
TRANSFERS IN/OUT (SCH. G/H)		
LOANS RECEIVED/PAID (SCH. I/J)		
BILLS/LOANS FORGIVEN (SCH. K)		
EXPENDITURE REFUNDS/CONTRIBUTIONS REFUNDED (SCH. L/M)		
OUTSTANDING LIABILITIES (SCH. N)		
PARTNERS/SUBCONTRACTS (SCH. O)		
HOUSEKEEPING RECEIPTS (SCH. P)		
HOUSEKEEPING EXPENSES (SCH. Q)		
SUMMARY/STATUS REPORT		

### IN-LIEU-OF STATEMENT

- ☐ I state that I am a candidate or a treasurer of an authorized committee which supports only one candidate, and neither the total of receipts nor the total of expenditures has exceeded or will exceed one thousand dollars in connection with this campaign.

98043892754

95 JUL 17 - 1995  
WARREN COUNTY  
BOARD OF ELECTIONS



# **NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q**

ELECTION YEAR		FILER ID		STATEMENT PERIOD DATES		PAGE	
1995				FROM 1/12/95 TO 7/11/95		3 of 3	
DO NOT REPORT TRANSFERS HERE							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
6/1/95	GLENS FALLS DEMOCRATIC COMM.			OTHER			
CHECK NO.	STREET			CITY - STATE		ZIP	
2455	19 BUSH ST.			GLENS FALLS, NY		12801	
RETURNED TO GLENS FALLS, TREASURER							\$ 100.00
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
6/1/95	RAY MAHONEY			OTHER			
CHECK NO.	STREET			CITY - STATE		ZIP	
2456	83 SHERIDAN ST.			GLENS FALLS, NY		12801	
REIMBURSEMENT FOR LITIGATION							\$ 250.00
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
7/8/95	WM. MONTFORT			UTILS.			
CHECK NO.	STREET			CITY - STATE		ZIP	
2457	S. JOHNSBURG RD. POBOX 9			JOHNSBURG, NY		12843	
REIMBURSEMENT PHONE BILL							\$ 210.29
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
7/8/95	GLENS FALLS NATIONAL BANK			OTHER			
CHECK NO.	STREET			CITY - STATE		ZIP	
	250 GLEN ST.			GLENS FALLS, NY		12801	
BANK FEE							\$ 13.00
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			CITY - STATE		ZIP	
CHECK NO.							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			CITY - STATE		ZIP	
CHECK NO.							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			CITY - STATE		ZIP	
CHECK NO.							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			CITY - STATE		ZIP	
CHECK NO.							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			CITY - STATE		ZIP	
CHECK NO.							
DATE PAID	NAME			PURPOSE CODE		EXPLAIN	AMT PAID
	STREET			CITY - STATE		ZIP	
CHECK NO.							
TOTAL THIS PAGE							\$ 573.29

Expenditure Purpose Codes (use on Schedule Q only)

- RENTS Office Rent
- UTILS Utilities
- PRINTL Printing
- POSTAL Postage
- PROFL Professional Services
- OFFEXP Office Expenses
- MAILS Mailings
- OTHER Other Provide Explanation
- VOTER Voter Registration Materials of Service

Complete this summary on your last page only!

①	TOTAL PREPAID EXPENDITURES	\$ 1576.41
②	TOTAL UNPAID EXPENDITURES	\$
③	Schedule Total	\$ 1576.41

98043892755

# \* NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR	FILE NO	STATEMENT PERIOD DATES	PAGE	
1995		FROM 1/12/95 TO 7/11/95	2 of 3	
DO NOT report Transfers Out				
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
1/12/95	POSTMASTER	POSTA		
CHECK NO. 2446	STREET ROUTE 8 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843			\$ 70.23
1/28/95	WM. MONTFORT	UTILS		
CHECK NO. 2447	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	REIMBURSEMENT PHONE BILL		\$ 126.86
1/31/95	POSTMASTER	OTHER		
CHECK NO. 2448	STREET ROUTE 8 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	POST OFFICE BOX RENT		\$ 8.00
2/18/95	WM. MONTFORT	UTILS		
CHECK NO. 2449	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	REIMBURSEMENT PHONE BILL		\$ 236.14
3/25/95	WM. MONTFORT	UTILS		
CHECK NO. 2450	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	REIMBURSEMENT PHONE BILL		\$ 164.37
4/29/95	WM. MONTFORT	UTILS		
CHECK NO. 2451	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	REIMBURSEMENT PHONE BILL		\$ 135.47
5/6/95	WARRENSBURG CHAMBER OF COMMERCE	OTHER		
CHECK NO. 2452	STREET MAIN ST. APT			
	CITY - STATE WARRENSBURG, NY ZIP 12885	MEMBERSHIP FEE		\$ 100.00
5/24/95	WM. MONTFORT	UTILS		
CHECK NO. 2453	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	REIMBURSEMENT PHONE BILL		\$ 75.65
5/24/95	POSTMASTER	POSTA		
CHECK NO. 2454	STREET ROUTE 8 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843			\$ 86.40
TOTAL THIS PAGE				\$ 1003.15

Expenditure Purpose Codes (use on Schedule Q only)

RENT Office Rent  
 UTILS Utilities  
 PAYBL Payroll  
 POSTA Postage  
 PROFL Professional Services  
 OFFEXP Office Expenses  
 TRVLS Travel  
 OTHER Other Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL CAMPAIGN EXPENDITURES	\$
②	TOTAL UNPAID EXPENDITURES	\$
③	Schedule Total	\$

# SUMMARY OF RECEIPTS / EXPENDITURES

1. Opening Balance - must be same as ending balance on line 7 of your previous statement \$ 4,111.57

## RECEIPTS THIS PERIOD

### 2. CONTRIBUTIONS

2a) Schedule A total .....\$ 615.71  
2b) Schedule B total ..... 0.00  
2c) Schedule C total ..... 0.00  
2d) Schedule D total ..... 0.00  
2e) Total Contributions (add 2a through 2d) ..... \$ 615.71

### 3. MISCELLANEOUS RECEIPTS

3a) Schedule E total .....\$ 0.00  
3b) Schedule G total ..... 0.00  
3c) Schedule I total ..... 0.00  
3d) Schedule L total ..... 0.00  
3e) Schedule P total ..... 0.00  
3f) Total Miscellaneous Receipts (add 3a through 3e) .....\$ 0.00

4. TOTAL receipts this period (add 2e plus 3f) ..... \$ 615.71

5. TOTAL (add line 1 plus line 4) ..... \$ 4727.28

## EXPENSES THIS PERIOD

### 6. EXPENSES

6a) Schedule F, box 3 total .....\$ 0.00  
6b) Total of Summary line 2d and Schedule K, Col 4 total ..... 0.00  
6c) Schedule H total ..... 0.00  
6d) Schedule J total ..... 0.00  
6e) Schedule M total ..... 0.00  
6f) Schedule Q total ..... 1576.41  
6g) TOTAL expenses this period (add 6a through 6f) ..... \$ 1576.41

7. BALANCE at end of period: subtract line 6g from line 5 ..... \$ 3150.87

98043892757



## STATE OF NEW YORK

### IDENTIFICATION

WARREN COUNTY  
CLERK OF SUPERIOR COURT  
95 JAN 17 PM 3:5

Full name of Candidate or Committee

**REPLY TO THE EDITOR** - 10/10/94

12804

C. WILLIAM

1994

☐ CHECK BOX IF MAILING ADDRESS HAS CHANGED SINCE LAST REPORT**TYPE OF REPORT**

☐ Party Committee      ☒ Constituted Committee  
☐ Candidate      ☐ Political Committee  
☐ Housekeeping Account Only

1 <input type="checkbox"/> 32 day Pre Primary	7 <input type="checkbox"/> 32 day Pre Special
2 <input type="checkbox"/> 11 day Pre Primary	8 <input type="checkbox"/> 11 day Pre Special
3 <input type="checkbox"/> 10 day Post Primary*	9 <input type="checkbox"/> 27 day Post Special*
4 <input type="checkbox"/> 32 day Pre General	10 <input checked="" type="checkbox"/> Periodic Jan. 15, 19 <u>95</u>
5 <input type="checkbox"/> 11 day Pre General	11 <input type="checkbox"/> Periodic July 15, 19 <u>    </u>
6 <input type="checkbox"/> 27 day Post General*	12 <input type="checkbox"/> 24 hour notice

☐ Termination Report (you cannot terminate if any funds or debts remain)

☐ Amendment Report Date of original report \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

☐ Treasurer Resignation Report: Copy of letter of resignation attached.

## SUMMARY/STATUS REPORT

☐ I state that I am a candidate or a treasurer of an authorized committee which supports only one candidate, and neither the total of receipts nor the total of expenditures has exceeded or will exceed one thousand dollars in connection with this campaign.

I state that the information contained in this statement is in all respects true and complete to the best of my knowledge, information and belief.

Name - Print or type

**Signature**

518-792-7021

**1996**

**BRUCE M. MCGOWAN**

ANY FALSE INFORMATION IN THIS STATEMENT MAY BE A CLASS A MISDEMEANOR, PUNISHABLE BY A FINE AND/OR UP TO ONE YEAR IMPRISONMENT, PURSUANT  
SECTION 210.46 OF THE PENAL LAW. FOR FURTHER INFORMATION, CONTACT THE NEW YORK STATE BOARD OF ELECTIONS OR YOUR COUNTY BOARD OF ELECTIC  
FOR INFORMATION ON COMPLETING THIS FORM CALL 1-800-455-3453

# EXPENDITURE/PAYMENT Schedule F

ELECTION YEAR 1994		FILER ID		STATEMENT PERIOD DATES FROM 7/12/94 TO 1/11/95		PAGE 2 of 5	
Do NOT report Transfers Out							
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
10/20/94	RUDOLPH MEOLA			CNTRB			
CHECK NO.	STREET						
	ROUTE 1 BOX 1			APT			
	CITY - STATE			ZIP			
	HAGUE, NY			12836		\$ 100.00	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
8/18/94	BOB LAWRENCE FOR CONGRESS			CNTRB			
CHECK NO.	STREET						
	P.O.BLDG. SUITE #3, POB 1994			APT			
	CITY - STATE			ZIP			
	CANAAN, NY			12029		\$2,000.00	
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
DATE PAID	NAME			PURPOSE CODE	EXPLAIN	AMT PAID	
	STREET			APT			
CHECK NO.	CITY - STATE			ZIP			
TOTAL THIS PAGE						\$2,100.00	

## Expenditure Purpose Codes

CMSL	Campaign Meetings	FOLLE	Flying Cards
CONSL	Campaign Consultants	POSTA	Postage
CONSV	Consultant Services	PLANT	Print Ads
CNTRB	Political Contributions	PROFL	Professional Services
FUNDR	Fundraising	RADIO	Radio Ads
SVCE	Investment Expenses	RSHTO	Radio Host
UTER	Campaign Literature	TVADS	Television Ads
OFFCE	Office Expenses	VOTER	Voter Registration Materials or Services
OTHER	Other Must Provide Explanation	WADES	Campaign Workers' Salaries
PETIT	Petition Expenses		

Complete this summary  
on your last page only!

①	TOTAL FINANCED EXPENDITURES	\$ 2,100.00
②	TOTAL UNFINANCED EXPENDITURES	\$ 0.00
③	Schedule Total	\$ 2,100.00

98043892759



Receipts from Party Committee and other committees  
authorized solely for this candidate

**(TRANSFERS IN) Schedule G**

ELECTION YEAR <b>1994</b>		STATEMENT PERIOD DATES FROM <b>7/13/94</b> TO <b>11/11/95</b>		PAGE <b>1</b>	
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
TYPE 1 - Party/Constituted Committee TYPE 2 - Committee Solely Supporting Same Candidate				TOTAL THIS PAGE	\$
NOTE: DO NOT REPORT FUNDS RECEIVED FROM INDEPENDENT COMMITTEES OR COMMITTEES AUTHORIZED BY A DIFFERENT CANDIDATE AS A TRANSFER. THESE RECEIPTS MUST BE REPORTED AS A CONTRIBUTION ON SCHEDULE G.				SCHEDULE TOTAL Last Page Only	\$

Payments to Party Committee and other committees  
authorized solely for this candidate

**(TRANSFERS OUT) Schedule H**

DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
10/28/94	SARATOGA COUNTY DEMOCRATIC COMMITTEE	6 CAPPA BINCA DR.	WATERFORD, NY		\$ 1,000.00
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
12/9/94	ESSEX COUNTY DEMOCRATIC COMMITTEE	JERSEY ST., POB 127	ESSEX, NY		\$ 1,000.00
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
TYPE 1 - Party/Constituted Committee TYPE 2 - Committee Solely Supporting Same Candidate				TOTAL THIS PAGE	\$ 2,000.00
NOTE: DO NOT REPORT FUNDS PAID TO INDEPENDENT COMMITTEES OR COMMITTEES AUTHORIZED BY A DIFFERENT CANDIDATE AS A TRANSFER. THESE PAYMENTS MUST BE REPORTED AS A PAYMENT ON				SCHEDULE TOTAL Last Page Only	\$

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# \* NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR 1995		FILER ID		STATEMENT PERIOD DATES FROM 7/12/94 TO 1/11/95		PAGE 4 of 5	
DO NOT report Treasurer Out							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
8/4/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	PHONE BILL & COPIER REPAIR	318.58
CHECK NO. 2426							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
8/15/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	OTHER	PERMIT #	75.00
CHECK NO. 2427							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
8/18/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	UTILS	TELEPHONE	186.96
CHECK NO. 2428							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
9/25/94	WARREN COUNTY DEMOCRATIC COMMITTEE	16 LUPINE LANE	QUEENSBURY, NY	12804	OTHER	HEARTS & FLOWERS	100.00
CHECK NO. 2431							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
9/25/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	COMPUTER REPAIR & PHONE	784.02
CHECK NO. 2430							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
10/12/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	POSTA		72.04
CHECK NO. 2432							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
10/20/94	JOHN E. WERTIME, TREASURER	CO. MUNICIPAL CENTER	LAKE GEORGE, NY	12843	OTHER	PRINTING	19.00
CHECK NO. 2434							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
10/20/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	POSTA		52.20
CHECK NO. 2435							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
10/31/94	COLONIAL ARMS REST.	MAIN ST.	WARRENSBURG, NY	12885	OTHER	FALL RALLY DINNERS	310.00
CHECK NO. 2438							
TOTAL THIS PAGE							12,117.80

Expenditure's Purpose (Define (use on Schedule Q only)

RENTO Office Rent  
 UTILS Utilities  
 PAYTEL Paytel  
 POSTA Postage  
 PROF. Professional Services  
 OFFEXP Office Expenses  
 MALS Mailings  
 OTHER Other: Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL EXPENSES ON THIS PAGE	\$
②	TOTAL UNPAID EXPENSES	\$
③	Schedule Total	\$

\* This schedule to be used only by party or constituted committee.

• **NON-CAMPAIGN HOUSEKEEPING EXPENSES** Schedule Q

SELECTION YEAR	FLIER ID	STATEMENT PERIOD DATES	PAGE	
1994		FROM 7/12/94 TO 1/11/95	5 OF 5	
DO NOT REPORT TRANSFERS OUT:				
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
11/30/94	WM. MONTFORT	UTILS		
CHECK NO. 2439	STREET SO. JOHNSBURG RD. POB 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	2 MONTH PHONE BILLS		415.92
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
11/30/94	WM. MONTFORT	OTHER	DRUM	
CHECK NO. 2440	STREET SO. JOHNSBURG RD. POB 9 APT		FOR LAZER PRINTER	
	CITY - STATE JOHNSBURG, NY ZIP 12843	TONER FOR COPIER		157.77
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
12/9/94	GLENS FALLS NATIONAL BANK	OTHER		
CHECK NO. N/A	STREET 250 GLEN ST. APT			
	CITY - STATE GLENS FALLS, NEW YORK ZIP 12801	STOP CHECK FEE		15.00
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
12/27/94	JOHN E. WERTINE, TREASURER	OTHER		
CHECK NO. 2442	STREET CO. MUNICIPAL CENTER APT			
	CITY - STATE LAKE GEORGE, NY ZIP 12845	PRINTING		18.00
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
12/27/94	TOM HARRIG	OTHER		
CHECK NO. 2443	STREET 1 SWEETBRIAR LANE APT			
	CITY - STATE QUEENSBURY, NY ZIP 12804	REIMBURSE PHONE		91.94
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
12/27/94	WM. MONTFORT	OTHER		
CHECK NO. 2444	STREET 50. JOHNSBURG RD. POB 9 APT			
	CITY - STATE JOHNSBURG, NY ZIP 12843	PHONE BILL		133.92
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
12/29/94	WARREN CO. DEMOCRATIC COMMITTEE	OTHER		
CHECK NO. 2445	STREET 16 LUPINE LANE APT			
	CITY - STATE QUEENSBURY, NY ZIP 12804	HEARTS & FLOWERS		100.00
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
	GLENS FALLS NATIONAL BANK	OTHER		
CHECK NO.	STREET 250 GLEN ST. APT			
	CITY - STATE GLENS FALLS, NY ZIP 12801	BANK CHARGE & CHECK FEES		13.10
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
CHECK NO.	STREET			
	CITY - STATE			
TOTAL THIS PAGE				947.68

Expenditure Purpose Codes (use on Schedule Q only)

RENT	Office Rent
UTILS	Utilities
PAYRL	Payroll
POSTA	Postage
PROP.	Professional Services
OPEXP	Office Expenses
TRAVL	Travels
OTHER	Other: Provide Explanation
VOTER	Voter Registration Materials or Services

**Complete this summary  
on your last page only!**

①	TOTAL INCREASED EXPENDITURES	\$3,065.48
②	TOTAL INCREASED EXPENDITURES	\$ 0.00
③	Schedule Total	\$3,065.48

\* This schedule to be used only by party or constituted committee.



# SUMMARY OF RECEIPTS / EXPENDITURES

1. Opening Balance - must be same as ending balance on line 7 of your previous statement \$ 9,870.85

## RECEIPTS THIS PERIOD

### 2. CONTRIBUTIONS

2a) Schedule A total .....\$ 1,406.20  
2b) Schedule B total ..... 0.00  
2c) Schedule C total ..... 0.00  
2d) Schedule D total ..... 0.00  
2e) Total Contributions (add 2a through 2d) ..... \$ 1,406.20

### 3. MISCELLANEOUS RECEIPTS

3a) Schedule E total .....\$ 0.00  
3b) Schedule G total ..... 0.00  
3c) Schedule I total ..... 0.00  
3d) Schedule L total ..... 0.00  
3e) Schedule P total ..... 0.00  
3f) Total Miscellaneous Receipts (add 3a through 3e) .....\$ 0.00

4. TOTAL receipts this period (add 2e plus 3f) .....\$ 1,406.20

5. TOTAL (add line 1 plus line 4) .....\$ 11,277.05

## EXPENSES THIS PERIOD

### 6. EXPENSES

6a) Schedule F, box 3 total .....\$ 2,100.00  
6b) Total of Summary line 2d and Schedule K, Col 4 total ..... 0.00  
6c) Schedule H total ..... 2,000.00  
6d) Schedule J total ..... 0.00  
6e) Schedule M total ..... 0.00  
6f) Schedule Q total ..... 3,065.48  
6g) TOTAL expenses this period (add 6a through 6f) .....\$ 7,165.48

7. BALANCE at end of period: subtract line 6g from line 5 .....\$ 4,111.57

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## ESSEX COUNTY BOARD OF ELECTIONS

ELIZABETHTOWN, NEW YORK 12932

518-873-3474

Fax No. 518-873-3479

**EDWARD P. HATCH**  
Democratic Commissioner  
873-3475

**Rita J. Phillips**  
Deputy  
873-3477

**ADOLPH N. DISKIN**  
Republican Commissioner  
873-3478

**Nancy G. Doyle**  
Deputy  
873-3476

May 16, 1996

Dear Margaret:

Enclose is the Financial Activity of the Essex County Democratic Committee. If you have any questions please do not hesitate to call.

Sincerely,

Rita Phillips  
Deputy Commissioner

980043892764

May 14, 1996

Here follows a report of the financial activity of the Essex County Democratic Committee from July 1, 1993 to July 1, 1995. This report has been prepared by Barbara S. Dwyer, CPA and a copy of her workpapers are attached for clarification.

Beginning cash balance, 7/1/93 \$ 639.93

Cash receipts, 7/1/93-6/30/95:

Interest income	39.06
Party Contribution, 10/93	20.00
Raffle Income, 1993	755.00
Raffle Income, 1994	1,425.00
Party Contributions, 1994	1,810.00
Total Cash Receipts	4,049.06

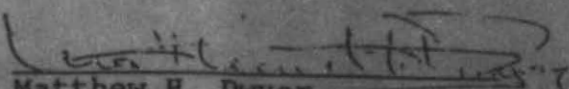
Subtotal 4,688.99

Cash disbursements, 7/1/93-6/30/95:

Postage expense	85.76
County Fair Booth Rent	132.00
Supplies	97.65
Raffle Winner, 1993	377.50
Raffle Winners, 1994	225.00
Contribution to Robert Lawrence for Congress campaign, 10/94	1,000.00
Contributions to local campaigns, 10/93	375.00
Contribution to United States Democratic Committee	650.00
Phamplet design fee	60.00
Survey fee	300.00
Total Cash Disbursements	3,302.91

Ending cash balance, 6/30/95 1,386.08

I attest to the accuracy of the reporting of the financial activities of the Essex County Democratic Committee as here reported.

  
Matthew H. Dwyer,  
Treasurer

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2	9/26/94	Int	269			
3	10/3/94	Int	241			
4	11/4/94	Gibney Anthony + Ellen (?) <small>Tom Gibson</small>		143	5700	
5	11/9/94	Party Contributions	52500			
6	11/9/94	✓ -	26000			
7	11/9/94	US Democratic Comm		142	65000	
8	11/30/94	Int	241			170170
9	12/15/94	Party Contributions	102500			
10	12/20/94	Robt Laurence for Congress		144	100000	
11	12/31/94	Int	279			
12	1/31/95	Int	257			
13	2/29/95	Int	233			
14	3/27/95	Claire Torby-Thamplet		145	6000	
15	3/31/95	Int	256			
16	4/30/95	Int	241			
17	5/31/95	Int	250			
18	6/13/95	Tom Konda - Survey		146	30000	
19	6/30/95	Int	216			138462
20	7/3/95	Int	206			<u>\$138603</u>
21						
22			<u>\$104906</u>		330291	
23		Party Donation 1993	2000			
24		Interest Income	3906		8576	Postage
25		Raffle Income 1993	75500	✓	13200	Book Rent
26		Raffle Income 1994	142500		9765	Supplies
27		Party Contributions 1994	181000	✓	37750	1993 Raffle Winner
28			<u>409906</u>	✓	22500	1994 Raffle Winner
29				✓	100000	Robt Laurence for Congress '91
30				✓	30000	Survey Fee
31				✓	6000	Thamplet Design Fee
32				✓	65000	US Democratic Comm
33		Savings acct	2757	✓	37500	1993 Local Candidates
34		no transactions for 2 yrs			330291	
35		except minor interest postings				
36						
37						
38						
39						
40						

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# \* NON-CAMPAIGN HOUSEKEEPING RECEIPTS Schedule P

ELECTION YEAR <b>1994</b>		FILER ID <b>14-1615366</b>		STATEMENT PERIOD DATE FROM <b>10/26/94</b> TO <b>12/21/94</b>		PAGE <b>1 of 1</b>	
------------------------------	--	-------------------------------	--	--	--	-----------------------	--

DATE RECEIVED	NAME	STREET	CITY - STATE	APT	ZIP	AMOUNT	PREV. AMT.
<b>11/5</b>	<b>Kenneth J. Plummer</b>	<b>10 Greensboro Blvd</b>	<b>Clifton Park, NY</b>		<b>12065</b>	<b>100</b>	
CODE: <b>IND</b>							
CHECK #							
<b>11/5</b>	<b>Charlotte Hess</b>	<b>PO Box 336</b>	<b>Galway, NY</b>		<b>12074</b>	<b>200</b>	
CODE: <b>IND</b>							
CHECK #							
<b>11/5</b>	<b>Joseph Hughes</b>	<b>65 Gabriel Rd.</b>	<b>Corinth, NY</b>		<b>12822</b>	<b>150</b>	
CODE: <b>IND</b>							
CHECK #							
<b>11/5</b>	<b>Warren County Democratic Committee</b>	<b>PO Box 19</b>	<b>Johnsburg, NY</b>		<b>12843</b>	<b>1000</b>	
CODE: <b>IND</b>							
CHECK #							
<b>11/5</b>	<b>Richard &amp; Carol Green</b>	<b>7 Smithbridge Rd.</b>	<b>Saratoga, NY</b>		<b>12866</b>	<b>150</b>	
CODE: <b>IND</b>							
CHECK #							
<b>11/29</b>	<b>Harry D. Snyder</b>	<b>446 Grand Ave</b>	<b>Saratoga Springs</b>		<b>12866</b>	<b>100</b>	
CODE: <b>IND</b>							
CHECK #							
<b>11/5</b>	<b>Major Fund Raiser</b>	<b>(ad book + Cocktail Party)</b>				<b>4674</b>	
CODE: <b>IND</b>							
CHECK #							
DATE RECEIVED	NAME	STREET	CITY - STATE	APT	ZIP	AMOUNT	PREV. AMT.
CODE:							
CHECK #							
DATE RECEIVED	NAME	STREET	CITY - STATE	APT	ZIP	AMOUNT	PREV. AMT.
CODE:							
CHECK #							
DATE RECEIVED	NAME	STREET	CITY - STATE	APT	ZIP	AMOUNT	PREV. AMT.
CODE:							
CHECK #							

TOTAL THIS PAGE \$ **6374**

**CODE:**

**IND** = INDIVIDUAL

**CORP** = CORPORATE

**PART** = PARTNERSHIP: Partnerships which contribute over \$2500.00 total, must further define in Schedule O.

Complete this summary  
on your last page only!

①	TOTAL ITEMIZED CONTRIBUTIONS	\$ <b>6374</b>
②	TOTAL UNITEMIZED CONTRIBUTIONS	\$ <b>390</b>
③	Schedule Total	\$ <b>6764</b>

\* This schedule to be used only by party or constituted committee.



# NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR	FILER ID	STATEMENT PERIOD DATES	PAGE
1994	14-1615306	FROM 10/26/94 TO 12/21/94	1 OF 1
DO NOT report Transfers Out:			
DATE PAID	NAME	PURPOSE CODE	AMT PAID
10/27	Journal Newspapers	Other	
CHECK NO. 470	STREET APT	2d	
	CITY - STATE ZIP		\$ 588.00
10/29	Elizabeth Huntley	Other	
CHECK NO. 471	STREET APT	harper for cocktail party	
	CITY - STATE ZIP		\$ 150.00
10/29	Denovan's	Other	
CHECK NO. 472	STREET APT	cocktail party	
	CITY - STATE ZIP		\$ 782.28
10/29	Ann Derico	Other	
CHECK NO. 473	STREET APT	reimburse - cocktail party	
	CITY - STATE ZIP		\$ 100.00
10/29	Campaign to Elect Bob Lawrence	Other	
CHECK NO. 476	STREET APT	Campaign donation	
	CITY - STATE ZIP		\$ 1000.00
11/3	Stephanie Pardo	Other	
CHECK NO. 474	STREET APT	donation for Bernstein campaign	
	CITY - STATE ZIP		\$ 235.00
11/11	Michael Phillips	Other	
CHECK NO. 475	STREET APT	printing for ad book	
	CITY - STATE ZIP		\$ 1605.00
11/30	US Postmaster	POSTA	
CHECK NO. 477	STREET APT		
	CITY - STATE ZIP		\$ 182.70
DATE PAID	NAME	PURPOSE CODE	AMT PAID
	STREET APT		
CHECK NO.	CITY - STATE ZIP		\$
TOTAL THIS PAGE			\$ 4642.98

Expenditure Purpose Codes (use on Schedule Q only)

RENTO Office Rent  
 UTILS Utilities  
 PAYFL Payroll  
 POSTA Postage  
 PROFL Professional Services  
 OFFEXP Office Expenses  
 MAILS Mailings  
 OTHER Other: Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL ITEMIZED EXPENDITURES	\$ 4642.98
②	TOTAL UNITEMIZED EXPENDITURES	\$ —
③	Schedule Total	\$ 4642.98

\* This schedule to be used only by party or constituted committee.



GLENS FALLS DEMOCRATIC COMMITTEE

Dan Martindale, Chairman  
Lou Hoffis, Vice Chairman  
Joe Dawson, Secretary / Treasurer

January 2, 1995

Dear Committee Member,

Enclosed please find my written report regarding the finances of the Warren County Democratic Committee for your review. This report is based upon my inspections of the financial data and disclosure sheets on November 29, 1995 and December 10, 1995.

This report will also be a part of my chairman's report at the January 10, 1996 Glens Falls Committee meeting, at which time I will be happy to answer any questions you may have as best as possible.

*Daniel Martindale*  
Dan Martindale  
Chairman

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## CHAIRMAN'S REPORT

Pursuant to the resolution passed on September 27, 1995 at a meeting of the Warren County Democratic Committee, a full disclosure of the County Committee finances was made to the Executive Committee thereof on November 29, 1995. On December 10, 1995, Executive Committee members were given a second opportunity to inspect the cancelled checks, bank statements, check registers, receipts, and state financial disclosure statements from July 13, 1993 (7/13/93) to the present.

This report will cover four separate disclosure periods, coinciding with the financial disclosure statements, and a reconciliation for the statement to be filed by January 15, 1996. As background information, all receipts were in the form of what the general public would recognize as a receipt, or invoices. There were no bills of lading, bills of sale, etc. present.

Also, there is a \$.25 use fee charged with each check that is written. However, the way this fee is accounted for in the check register has changed with the ascension of Tom O'Dea as Treasurer. Former Treasurer Bill McCarthy recorded checks in the register with the \$.25 transaction fee included in the check amount. In other words, the amount on the face of the check and the amount recorded in the check register would differ by \$.25. However, the register and the bank statement would be accurate and the balances would match. The current treasurer has decided that the amount written on the face of the check will be the amount that is reflected in the check register. The aggregate amount of the

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check charges will be deducted from the register quarterly, upon receipt of the quarterly bank statement. This change is merely one of method, and no discrepancies should arise therefrom.

PERIOD 1: JULY 13, 1993 - JANUARY 12, 1994 (7/13/93-1/12/94)

During this time period, there were no events such as raffles or dinners. The major expense for this period was the County Democratic headquarters located in Glens Falls, where a majority of the candidates were running for office. During this time period, there were four checks issued for which there are no receipts:

1. A check in the amount of \$ 300.00 issued to the New York State Democratic Committee.
2. A check in the amount of \$ 214.74 issued for payment of utilities at County Headquarters.
3. A check in the amount of \$ 318.74 issued to Raplh Farrell for the phone bill at the County Headquarters.
4. A check in the amount of \$ 208.65 issued to Dick and Pat Dudley for decorations and refreshments associated with the County Headquarters.

There are no checks regarding the rent of the space used for the headquarters because the space was given rent-free. Also of interest was check # 2374. This check was in the amount of \$

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500.00 issued to the Meeta for Supreme Court campaign committee. This check was voided because it was returned by the Meeta Committee.

The total receipts for the period of July 12, 1993 through January 13, 1994 totalled \$ 2,745.50. This figure is confirmed by the disclosure statement, bank statement, and the check register.

**PERIOD 2: JANUARY 13, 1994 - JULY 12, 1994 (1/13/94-7/12/94)**

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There were two major events during this disclosure period. The first was the retirement dinner for former Mayor Frank O'Keefe. This event took place at the Queensbury Hotel. The total deposits and funds raised for this dinner were \$ 3,875.00. The total expenses for the event totalled \$ 2,460.37, leaving a total profit of \$ 1,414.63. After this event, two checks were issued for which no receipts were given. One check was for \$ 1,300.00, and the other was for \$ 200.00. Both checks were issued to former Mayor Frank O'Keefe.

The difference between the total profit of \$ 1,414.63 and the \$ 1,500.00 given to Frank O'Keefe was due to a billing error by the Queensbury Hotel. The Queensbury originally sent a bill for \$ 2,320.92. However, this bill did not include any tax. Thus, the original profit from the dinner was \$ 1,554.08. An amended bill was then sent which included tax in the amount of \$ 139.45. The new bill arrived after the aforementioned checks had been issued to former Mayor O'Keefe. At that point, it would have been

inappropriate to ask the former Mayor to return funds from his own retirement dinner.

The other major event for that period was a raffle. Total deposits for the raffle were \$ 24,271.00, which came from the sale of 240 raffle tickets at \$ 100.00 each, plus a guest fee of \$ 12.00 for the dinner associated with the raffle. The expenses were \$ 11,300.00 in prizes, and \$ 1,925.00 for meals at the Colonial Arms, for a grand total of \$ 13,225.00. There were no receipts given for the checks issued as raffle prizes, nor was there a receipt given for the Colonial Arms bill. The question is, is \$ 1,925.00 a reasonable expense for dinners for 120 people. This averages out to \$ 16.04 per person. The total profit from this fundraiser was \$ 11,046.00.

The total receipts for this period totalled \$ 28,146.00. This figure matches the disclosure statement, check register and bank statement. After expenses, the balance was \$ 9,870.85.

PERIOD 3: JULY 13, 1994 - JANUARY 12, 1994 (7/13/94-1/12/95)

For this disclosure period, there were four checks for which there are no receipts:

1) check # 2431 in the amount of \$ 100.00 issued to the Hearts and Flowers Committee.

2) check # 2445 in the amount of \$ 100.00 issued to the Hearts and Flowers Committee.

3) check # 2438 in the amount of \$ 510.00 issued to the Colonial Arms for the 1994 dinner rally.

4) check # 2435 in the amount of \$ 52.20 to the postmaster.

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Receipts from the Hearts and Flowers committee are not to be expected, since it is a subcommittee of the County Committee. The question is, is it reasonable to spend \$ 100.00 on floral arrangements and cards for sick, injured, or hospitalized Democrats? It would seem so.

The postmaster receipt of \$ 52.50 would cover the postage for two (2) mailings to the County Committee membership, plus approximately thirty more envelopes, which with two weeks to go before the gubernatorial election is not unreasonable.

The major fundraiser during this period was a dinner at the Colonial Arms. The dinner was attended by 55 people. Total deposits for this dinner were \$ 1,330.00. The expenses were \$ 510.00, thus leaving a total profit of \$ 820.00. The total price of the dinners averages out to be \$ 9.27 per person, which is not an extravagant amount.

The bank statement shows a balance of \$ 3,406.20. This figure matched the check registers and receipts. However, there was a \$ 2,000.00 variance on the original disclosure sheet. The disclosure sheet showed an amount of \$ 1,406.20.

The variance arose out of a contribution to the Bob Lawrence for Congress campaign. A \$ 4,000.00 check was sent by the County Committee to the Lawrence Campaign as a contribution. \$2,000.00 was returned, since federal election law does not allow contributions of more than \$ 2,000.00. Two separate checks of \$ 1,000.00 each were then issued: #2436 was issued to the Essex County Democratic Committee and #2437 was issued to the Saratoga County Democratic Committee, which subsequently gave this money to

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the Lawrence Campaign. Inter-County transfers such as these are not uncommon.

For the purposes of expediency, the checks were shown as \$ 4,000.00 going out in three checks, which is what was actually spent, but the \$ 2,000.00 that was returned by the Lawrence Campaign was never shown as a deposit, since it was immediately sent back out to the aforementioned County committees. This was simply a matter of accounting. However, the campaign disclosure form has since been amended to reflect the \$ 2,000.00 as a deposit. The amended statement was filed on December 11, 1995 and all statements, disclosures, registers, and receipts now reflect the figure of \$ 3,406.20.

Furthermore, check #2436, which was issued to the Essex County Democratic Committee was lost by that committee after the transfer had been made. On December 9, 1994, a stop check order was issued, with a fee of \$ 15.00 charged for doing so. That same day, check #2441 was issued to the Essex County Democratic Committee. Thus, there is no discrepancy.

**PERIOD 4: JANUARY 13, 1995 - JULY 12, 1995 (1/13/95-7/12/95)**

During this period, no fundraisers were held by the County Committee. For this reporting period, there were two checks for which there are no receipts:

Check #2454 in the amount of \$ 86.40 to the Postmaster.

Check #2452 in the amount of \$ 100.00 to the Warrensburg Chamber of Commerce.

The check issued to the Chamber of Commerce was an expense

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related to the World's Largest Garage Sale, which was held the weekend of September 30 / October 1, 1995. This fundraiser raised \$ 885.43 after expenses. The check to the postmaster was issued at the beginning of the petition circulation period. Also, there were two County Committee meetings, one in May and one in July, for which notices had to be sent. Thus, it would seem that a bill of \$ 86.40 is not unreasonable.

The campaign disclosure sheet shows that \$ 615.71 in deposits were made. This figure is also shown in the check register, but nothing appears on the bank statement. The figure is not shown on the bank statement because the \$ 615.71 came from outstanding checks, some which dated as far back as 1988, which were retired by former Treasurer Bill McCarthy. Because teh checks were never cashed, no funds were ever withdrawn from the bank account. Although there is the slight chance that these checks may be cashed, the prospects of this event occurring are not likely. These retired checks are listed as deposits on the disclosure statement because there is no area on the disclosure sheet where retired debts should be listed. Therefore, former Treasurer McCarthy listed these retired checks as deposits.

\* \* \* \* \*

As of the last bank statement, September 15, 1995, the checkbook showed a balance of \$ 2,647.12, while the bank statement showed a balance of \$ 2,642.37, for a discrepancy of \$ 4.75, which considering the amount of money that has been transferred in and out of this account is de minimus,

Since that time, there was the aforementioned garage

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sale, an election weekend rally at the Hibernian Hall, and contributions to political candidates. The balance as of December 10, 1995 was \$ 995.19, based upon the deposits and receipts, then subtracting ther outstanding checks. The actual balance is \$ 990.94, which is due to the \$.25 check charge and how it is reflected. Former Treasurer McCarthy, when doing the checkbook register, added \$.25 to the amount of each check to cover the bank charge. In other words, there would be a \$.25 difference between the check amount written in the register and the face amount of the check.

The new Treasurer, Tom O'Dea, will instead enter the same amount into the register as is written on the face of the check. The bank charges will be aggregated and subtracted from the register quarterly, to coincide with the bank statement. This is merely a change of method and no discrepancies should arise from the different system of accounting.

Finally, for information purposes, the next financial disclosure form is due to be filed by January 15, 1996.

\* \* \* \* \*

After my review of the bank statements, cancelled checks, receipts, check registers, and financial disclosure statements of the Warren County Democratic Committee, I have found all expenses, deposits, and records to be accurate, reasonable, and legitimate.

  
Dan Martindale, Chairman  
Glens Falls Democratic Comm.



September 8, 1995  
Glens Falls, N.Y.

New York State Board of Elections,  
Albany, New York

Attention Mr. Peter Kazinsky, Esq.

Dear Mr. Kazinsky;

Due to the information received by us through your recent conversation with Lawrence A. Dudley, Secretary/Treasurer of the Glens Falls Democratic Committee, the undersigned members of the Glens Falls and Warren County Democratic Committees and all the elected Democratic public officials of the City of Glens Falls who are committee members, would like to officially request that the New York State Board of Elections conduct a formal audit of the finances of the Warren County Democratic Committee.

This request is a result of a lengthy series of very troubling irregularities and actions by the Chairman of the Warren County Democratic Committee, Mr. William Montfort of Johnsbury, and the Treasurer of the Warren County Democratic Committee, Mr. William McCarthy of Queensbury.

To summarize:

- The Warren County Democratic Committee, to the knowledge of the signers, has never had an audit.
- Approximately three or four years ago, Mr. Robert Fuller, then Chairman of the Queensbury Democratic Committee, became disturbed at the lack of accountability and the vagueness of reports concerning the Warren County Democratic Committee's finances. Aware of the fiduciary responsibility of all committee members, Mr. Fuller brought the subject up at an official meeting of the Warren County Democratic Committee. Despite protests from Mr. Montfort that an audit was not necessary, the Committee adopted a resolution, by a majority, to conduct an audit. When Mr. Fuller tried to carry through on this resolution, however, he was told by Mr. Montfort that a quorum did not exist at the meeting, and therefore the resolution was never legally adopted. This despite the fact an official meeting was held with a standard roll call. A quorum, in fact, had existed. Mr. Fuller continued for 18 months to try and see the Warren County Committee's books and financial records, absolutely without success. In the end, he simply gave up.
- Financial statements or Treasurer's reports are never routinely presented at Warren County Democratic Committee meetings.
- In fact, the Treasurer of the Warren County Democratic Committee, Mr.

William McCarthy, who is elderly and retired, spends the majority of the year living in retirement in Florida, leaving before Winter, returning only in late Spring or summer.

- In order that the absence of a Treasurer to conduct the Warren County Democratic Committee's finances does not cause the County Committee to suspend operations for the lack of funds, Mr. McCarthy leaves behind a stack of signed official checks of the Warren County Democratic Committee in the custody of County Chairman Mr. William Montfort, who is empowered to co-sign checks with the County Treasurer. In practice, Mr. Montfort can sign checks and expend funds of the Warren County Democratic Committee on his sole authority.
- Over the last several years the Warren County Democratic Committee raised fairly substantial sums of money due to several highly successful fund raisers. At one point in 1994 the Warren County Democratic Committee had approximately \$14,000 in its treasury.
- There were no local, that is City, Town or County races last year that would have prompted large expenditures of money.
- Early last spring, several members of the Glens Falls Democratic Committee who are signatories of this letter discussed with Mr. Montfort another issuing of the Warren County Democrat, the County Committee's official newspaper, which in the past was issued before elections. The release of such a paper would cost less than \$4,000. After initially being told there was enough money in the treasury to cover what had always been the relatively modest cost of this newspaper, they were suddenly told not enough money was left.
- After mulling over the problem for several months, it was decided to pursue checking out the exact state of the Warren County Democratic Committee's finances. At the regular June meeting of the Warren County Democratic Committee, several of the signers of this letter and other County Committee members asked for a financial accounting. At the meeting Mr. Montfort stated that he could not give an accounting of the County Committee's finances at that time. The signers of this letter and other members of the County Committee demanded and received a promise by Mr. Montfort that a full accounting would be made.
- At the July meeting an extremely terse financial statement was issued by Mr. Montfort and Mr. McCarthy. According to this report, which was read aloud since no copies were available for members of the County Committee, several thousand dollars had been expended in the last year on "operating expenses" of a committee in an off election year. Furthermore, another, separate large sum was spent on repair or maintenance of a copy machine and other equipment, in fact, larger than such equipment would normally cost new. However, there were no details of any kind on what these large sums represented. Other funds seemed to be unaccounted for as well. Uncertain of the details of this verbal report, several of the signers of this letter asked for their own written copies. After a considerable delay, Lawrence Dudley, the Secretary/Treasurer of the Glens Falls Democratic Committee, received a single page copy, printed in extremely large type to fill up the page. The contents of this report, however, would fill a standard 3x5 card.
- We would like to note in passing that Mr. Montfort treated all of the above requests with great resentment and hostility.



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- Paul Kemnitzer, a member of the Glens Falls and Warren County Committees, was particularly disturbed at these turns of events, and volunteered his professional services. Besides being a Committee member, Mr. Kemnitzer is also a Certified Public Accountant, the present incumbent City Treasurer of the City of Glens Falls, the Treasurer of the Glens Falls Industrial Development Agency and a member of the Glens Falls Local Development Corporation. At the July 14, 1995 meeting of the Warren County Democratic Committee Mr. Kemnitzer asked if he could see the County Committee's books. As the only CPA on the County Committee and the City Treasurer of Glens Falls, he regarded this as a normal and routine request. He even offered to go to Mr. McCarthy's house at a time of Mr. McCarthy's convenience. Instead of responding directly to this perfectly reasonable and routine request Mr. McCarthy silently turned to Mr. Montfort for instructions. Then Mr. McCarthy replied they would have to get the permission of the Finance Committee of the County Committee for such a request, which they regarded as something extraordinary, not routine. There was a vague commitment to honor Mr. Kemnitzer's request, which he took at face value. He has never heard from Mr. McCarthy.
- The Finance Committee of the Warren County Democratic Committee is composed of Mr. Montfort, Mr. McCarthy and Mr. Mark Morgan, a committee member who lives down the road from Mr. Montfort in a very rural area of Johnsburg in the northern part of Warren County. Obviously, Mr. Montfort and Mr. McCarthy could have agreed on the spot to Mr. Kemnitzer's request.
- Sometime later in August, Mr. Kemnitzer discovered that a highly irregular challenge had been made to the petitions of his and his father, Mr. John Kemnitzer, a former Councilman of the City of Glens Falls. Since the New York State Board of Elections has been made aware of this matter in detail through other actions taken by Mr. Kemnitzer, who has retained Counsel, this letter will not delve into this matter in detail. However, to summarize the matter briefly, it is felt this situation was created in a deliberate attempt to force Mr. Kemnitzer from the Warren County Committee in an effort to prevent his reviewing the Warren County Democratic Committee's finances by denying him eligibility.
- In addition, efforts were made by Mr. Montfort or at his behest, to prevent other residents of the City of Glens Falls from securing open, uncontested seats on the Warren County Democratic Committee, or running in primaries. Five calls were made to Ms. Jean Rikhoff of Glens Falls, a recently retired professor at Adirondack Community College, to dissuade her from running in a primary. She agreed to withdraw with the understanding she would be placed in another seat; however she was not informed that she would lose her vote at the organizational meeting. In addition, other petitions were challenged under suspicious circumstances. A petition was circulated for Ms. Florida James of Glens Falls, also a recently retired professor at Adirondack Community College and the only African-American candidate for the County Committee, by two of the signers of this letter. Her petition appeared to be irregularly challenged. It is our understanding from reading the Charter of the County Committee, it is the responsibility of Mrs. Montfort to inform



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candidates or those who file their petitions of any problems and how to correct them. Another signer of this letter brought the petition to Mrs. Montfort at her office and no effort was made by her to review the petition for any problems. We later learned that a Mr. Buell Brayton, a fellow Democrat, challenged that petition. When Richard Dudley, who circulated the petition for Ms. James, called him, Mr. Brayton would not give him any explanation and added that he had not even seen the petition. In sum, it would appear a concerted effort was made to prevent the Glens Falls Democratic Committee from having any additional seats on the County Committee. In addition, Mrs. Montfort, without consulting with the Glens Falls Committee, moved committee members to wards and districts where they would have a smaller weighted vote. All this was done to prevent elected officials in Glens Falls and the Glens Falls Committee from having the votes to investigate the state of the County Committee's finances. You can imagine our frustration in trying to build the Glens Falls Committee with members who would add significantly to our Committees, only to have these efforts thwarted by Mr. and Mrs. Montfort.

On August 16 a letter was drafted and sent by Glens Fall Democratic Committee Chair Margaret Farrell asking to see the County Committee's books, registers and check stubs. Mrs. Farrell, who works an irregular schedule at night as supervisor of the pediatric critical care unit at Glens Falls Hospital, asked that the records be brought by Mr. Montfort's spouse, Mrs. Lois Montfort, the Warren County Commissioner of Elections for the Democratic Party, to the Warren County Municipal Center. She has never received a reply.

Some of the committee members of the Warren County Democratic Committee undoubtedly would prefer that this matter, no matter how serious, be swept under the rug. However, the signers of this letter, who are mainly elected public officials, have sworn an Oath of Office to uphold the laws of the State of New York. The signers who are also City Council members of the City of Glens Falls are also legally peace officers. They feel they cannot look the other way in such a grave matter.

It is our belief the New York State Board of Elections can hardly do so either, given the mass of material presented in this letter. We feel that because of the upcoming biannual election of officers required after the primary, the State Board of Elections should pursue the requested audit as soon as possible.

Thank You.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee  
Councilmember, Fifth Ward, City of Glens Falls, New York

19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls

20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation

27 State St. Glens Falls,  
New York  
793-2987

Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation

8 Montcalm St., Glens Falls,  
New York  
793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls

22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley



Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee

8 Montcalm St. Glens Falls,  
New York  
793-8251

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September 18, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Council  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

Thank you for your letter and your prompt initial response to this serious situation.

Firstly, we will attempt to comply with your request for the Financial Disclosure Statements of the Warren Democratic Committee, although, frankly, we feel this is an investigative responsibility best handled by the State Board of Elections.

But we are puzzled at the idea, implicit in your request, that these statements may have any bearing whatsoever on reality or that one could expect to learn anything from looking at them. If possible wrong doing is occurring, is one to seriously expect truthful statements from possible wrong doers on what they have been doing? Such a Financial Statement would constitute proof under law against such possible wrong doers. No possible wrong doer is likely to incriminate themselves in such a manner. In short, the request seems to be a pointless diversion. Only a direct examination of the County Committee's books, check stubs and registers, etc., can possibly yield any light on this matter.

Furthermore, we must point out that these Financial Disclosure Statements are in the custody of the spouse of one of the individuals who is a central figure in this situation. Surely you must recognize how this greatly complicates matters. For instance, if she refuses to let us see them, is the State Board going to tell us to get a FOIL while more time is needlessly lost?

Beyond that, there seems to us to be a real danger that such a request, or the Civil Proceeding you have suggested, is likely to prompt a destruction of records by possible wrong doers, who might well decide that the consequences of destroying records would be significantly less severe than those for other misdeeds which intact records might reveal.

We are also troubled by what seems to be an implication in your letter that a Criminal Investigation can only stem from a Civil Proceeding. If true, this is a novel concept in law enforcement.

Finally, exactly what bearing does 16-114 have on the reporting of finances of the Warren County Democratic Committee? 16-114 speaks only of candidates and campaign expenditures -- not Constituted Committees, which, according to the manual on Financial Disclosure of the State Board of Elections, are held to somewhat different standards, for just one example, on the reporting of what are called "housekeeping expenditures," etc.

On your letter's final point, we did not state that Mrs. Montfort changed petitions after they were filed. The point we made is that committee members in Glens Falls discovered they had been moved without their knowledge when they received their petitions, and also without any notification to the Glens Falls Democratic Committee, into different wards and election districts, which would force them into primaries if they wanted to run for their original seats. These actions are part of a consistent overall pattern of actions to prevent any financial accountability, like trying to force the only Certified Public Accountant on the County Committee off the Committee when he asked to see the books.

Finally, we would like to pose an additional question. We understand the State Election Law requires the election of officers within 19 days of the Primary Election. Given the possible irregularities in the Warren County Democratic Committee, are there any circumstances under which this election may be postponed?

It seems to us that due diligence and speed is required in this matter. We thank you for your prompt attention to this grave and serious matter.

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Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
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792-8987

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22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251

October 4, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Counsel  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

Thank you for your last letter, your advice and your prompt response to this situation.

You will find enclosed copies the financial disclosure statements of the Warren County Democratic Committee covering the financial reporting period from July 1994 to July 1995.

Although we had no luck in securing these forms directly from Mrs. Montfort, the wife of County Chairman William Montfort, the principal figure in creating this situation, we were able to secure these forms through the intervention of the Post-Star newspaper of Glens Falls, N.Y, probably because they have lawyers on retainer. Although necessarily cursory in character, we believe these forms raise many extremely serious questions, which we will detail in an attached memorandum.

However, our principal concern at this moment is a series of actions, some of which we believe represent further serious illegalities, taken by Mr. William Montfort, the Warren County Democratic Chairman, to prevent an accounting of the finances of the Warren County Democratic Committee.

We have learned that on August 27 or 28, a secret and we believe illegal meeting of the Warren County Democratic Committee was held at the Hibernian Hall in Glens Falls, N.Y. All the signers of this letter, including all the party officers of the Glens Falls Democratic Committee and all the elected Democratic public officials of the City of Glens Falls who are members of the Warren County Democratic Committee and the Glens Falls



Democratic Committee, were deliberately not informed of this meeting by Mr. Mortfort. Not a single one received the required notification in the mail. That one, or possibly two members might not receive such a notice in the mail due to the vagaries of the Post Service might be understandable, but as far as we can determine at this moment, at least 14 or 15 members of the Warren County and Glens Falls Democratic Committees were deliberately not informed of this meeting, in violation, as we understand it, not only of the by-laws of the Warren County Democratic Committee, but also the New York State Democratic Committee and the Election Law of the State of New York.

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We believe the purpose of this secret meeting, held before the primary election, but including new members not yet on the Committee, was to suborn or arrange a fraudulent election of officers for the Glens Falls Democratic Committee in an attempt to block their legitimate request for a proper financial accounting of the Warren County Democratic Committee. Mr. Montfort called, organized and planed this meeting. At this secret meeting, a carefully orchestrated effort was organized to obtain proxy votes, possibly under false pretenses, to fraudulently overthrow the existing leadership of the City Democratic Committee. In addition, towards that end, charges were apparently made to those attending this secret meeting against those individuals who were seeking an accounting of the Warren County Committee's finances, which they, obviously, could not correct or defend themselves against because they were deliberately excluded.

It is likely some of those attending this secret meeting may not have been as fully aware as they should have been as to the true nature of the situation; the absence of so many other committee members may have been explained away in some manner. However, as always, ignorance of the law is no excuse.

A new slate of officers was prepared, including Mr. Daniel Martindale of Glens Falls, a young, recently graduated law student, for City Chair. At the present time, we believe that Mr. Martindale, in effect, was a "patsy" in this affair, unaware of the larger ramifications of what going on, i.e. that he was participating in a cover-up and general effort to forestall an accounting of the Warren County Democratic Committee's finances. After

learning of these larger ramifications, Mr. Martindale became extremely concerned also, partly over concern of his own potential culpability in a cover-up, and has been cooperating with the signers of this letter.

Information concerning this meeting was conveyed to the signers of this letter on the date of the organizational meeting of the Warren County Democratic Committee, September 27, 1995: Colleen Daly, a committee member from Glens Falls, admitted to Lawrence A. Dudley that she had received the letter after the Glens Falls Committee's picnic in late August and that the meeting was held about two or three weeks earlier. Bret and Ray Blanchard, County Committee members from Stoney Creek, admitted to Margaret Farrell and her husband Ralph Farrell that the secret meeting had been held at the Hibernian Hall. Daniel Martindale of Glens Falls admitted to Margaret Farrell and Lawrence Dudley that the meeting was held.

We would note several aspects of this secret meeting; at the recent organizational meeting of the County Committee, there was a limited Treasurer's Report to the effect that the Warren County Democratic Committee now had \$2,462 in its account. At the time of the statutory filing date on July 15, that number stood at \$3,150 -- that is \$688 dollars was expended in about two months time. The State Board needs to be aware that it is probable that a significant portion of these funds were spent for the purpose of electioneering for party elections, which we understand is a violation of the Election Law and a misdemeanor. Furthermore, rent may have been paid to the Hibernian Hall, which would also fall into this same category of prohibited expenditures. We have been told by sources close to the management of the Hibernian Hall that the hall was rented and paid for with a check.

It appears this meeting was planned and coordinated with other actions referenced in earlier letters or in different actions; i.e. the effort to prevent new members like Ms. Rickhoff and Ms. James to acquire seats on the Warren County and Glens Falls Committees, and the effort to challenge Paul and John Kemnitzer's nominating petitions, who are represented by Counsel and are undertaking action before your Board in separate actions. These efforts were made to subvert, in a general sense, the legitimate inquiry being made by Glens Falls Democratic Committee members into



the County Committee's finances by personally discrediting those making these inquiries and subverting the Glens Falls Democratic Committee as a necessary and proper forum to investigate these matters.

Ironically, after Mr. Martindale became aware of the full situation facing the Warren County Democratic Committee, he, at the request of the signers of this letter, and because of his concern over the issue of his own potential complicity in this matter, volunteered to sponsor a resolution at the Warren County Democratic Committee's organization meeting calling for the opening of the County Committee's books, which would allow an audit.

Predictably, this motion failed, 30-21, proxy votes figured in. However, a weakened version of this motion calling for opening and inspection of all books and records by the County Executive Board (composed of certain of Mr. Montfort's appointees and the City and Town Chairs) did pass. It remains to be seen whether or not this measure will yield any revelation or not, given this board's restricted composition.

We should also note in passing, that Mr. William McCarthy, of Queensbury, the former Treasurer of the Warren County Democratic Committee, abruptly refused to accept renomination as treasurer. We believe this was because the information contained on the financial disclosure forms was circulated at the Glens Falls Democratic Meeting the previous night.

The signers of this and the previous letters have not regarded Mr. McCarthy as the principle figure in this matter. Although he may have information useful to the State Board of Elections, we do not feel Mr. McCarthy, who is retired and spends most of the year in Florida, should be regarded as a potential target for investigation. The principal figure is Mr. Montfort.

All this leads to several important questions:

- Does the calling of this secret meeting by Mr. Montfort in this manner for this purpose render the elections in the Glens Falls Democratic Committee and possibly in the Warren County Democratic



Committee null and void?

- If so, can new elections be ordered or held?
- If fraud or other illegalities occurred in this matter, can Mr. Montfort and other be removed from the Committee by the State Board of Elections?
- Can they be criminally prosecuted for this matter, separate from the issue of financial improprieties?

Although our initial concern in this entire matter was what we believe was the appearance of serious illegalities in the finances of the Warren County Democratic Committee, we believe these new events are also so serious as to merit, in effect, an entire separate investigation.

We are also concerned about one other matter concerning the Glens Falls Committee. The members of the Glens Falls Democratic Committee charged with control of the Glens Falls Committee's finances, Margaret Farrell and Lawrence Dudley, feel it would be inappropriate and probably a serious legal breach of their fiduciary responsibilities to hand over control of the Glens Falls Committee's finances, however meager (\$359), if any of these concerns set forth in this letter are correct.

Perhaps the State Board of Elections should consider immediately freezing the Glens Falls Democratic Committee's finances until these questions are fully resolved.

One final matter; we believe the State Board of Elections should carefully investigate the conduct and financial arrangements of a banquet/raffle held by the Warren County Democratic Committee in the Spring of 1994 and 1993, and in several previous years. These raffles featured a \$100 ticket including a dinner. Typically, 250 tickets were sold. The prize was \$10,000. What may be potential irregularities in regard to these raffles, including the final drawing of prizes, sale of tickets and patterns of prize winners, may be the most explosive issue in regard to the Warren County Democratic Committee's finances. I.e. There may be a possibility at least one at least one or more of these raffles may have been rigged or debauched in some manner or form. It is also our experience individuals around the raffle are those showing the most resistance to any financial accountability.

We would like to note that the elected officials of the City of Glens Falls who are signatories of this letter were so concerned about the propriety of elected public officials selling such tickets to people living in their jurisdiction, that they refused to sell any to people in upstate New York, only buying tickets for themselves and selling a few to personal friends in New York City.

Again, let us express our appreciation to you for your prompt attention to these matters. Thank you.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee?  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee?  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls  
20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant

Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation  
27 State St. Glens Falls,  
New York  
793-2987

Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation  
8 Montcalm St., Glens Falls,  
New York  
793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls  
22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251



**Memo**

**October 4, 1995**

**From: Margaret Farrell, Lawrence Dudley, Paul Kemnitzer, Richard Dudley, Dave Cooney, Patricia Dudley.**

**To: Mr. Stanley Zalen, Enforcement Counsel, State Board of Elections**

**Re: Notes on attached disclosure forms**

- 1. Please note the two \$1,000 checks written 10/28/94 and 12/9/94 to the Saratoga and Essex County Committees. At the organizational meeting, when questioned why we were funding these committees, Mr. Montfort stated that these checks actually went to Congressional candidate Bob Lawrence because they could not be sent to him directly, since the Warren County Committee had already given Mr. Lawrence \$2,000 directly. Isn't this a violation of the Campaign Financing Act? Or other laws? Furthermore, if they genuinely were for campaign purposes, why were they sent so late?
- 2. Please note that numbers for checks to Mr. Bob Lawrence, the Saratoga County Committee, and Mr. Rudy Meola, totaling \$3,100, are not included on the forms.
- 3. Note there is a stop check fee on 12/9/94. Is it possible one of these three checks were stopped? If so, which checks were stopped and why, and how many checks were stopped? And why did a check have to be stopped?
- 4. Note that some check numbers are missing from the sequence -- #2429, 2436, 2437. Were they for Mr. Meola, Mr. Lawrence or the Saratoga County Committee? If so, why aren't they entered?
- 5. Note over \$2,900 in checks paid directly to Mr. Montfort.
- 6. Note numerous expenses bundled in together, including equipment repair expenses. Why weren't checks written

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directly to repair service?

- 7. Note that more money appears to have been spent repairing a copier than it is possible to purchase one new. This may also be true of the item labeled "computer repair".
- 8. Note \$1,687.71 in phone bills in one years time.
- 9. Note \$1,102.60 in bundled phone bills and "repairs".
- 10. Note \$355.87 in total postage costs. There were never more than 72 members of the Warren County Committee, and no more than four or five meetings. What possible justification is there for such postage costs?
- 11. Note the \$91.94 check written to Mr. Tom Harig for "Phone reimbursement". Was the work conducted in relation to a campaign or not? If so, why wasn't it reported as such? This does not look like a legitimate housekeeping expense. If it is not a campaign expense, what is the justification for it?

We believe there are so many irregularities just on the surface of this report, that, like an iceberg, far more serious problems inevitably lie underneath the surface. Given the resistance this issue has created, there are obviously good reasons for the remarkable intensity and extreme measures these individual have been willing to take in their refusal to open the County Committee's books,

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October 25, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Counsel  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

We trust you found our faxed letter of October 4th and the attached disclosure forms of the Warren County Democratic Committee of value. We are still trying to obtain additional information, particularly on the secret, and we believe illegal, meeting held by Mr. Montfort for the purposes of frustrating a financial disclosure of the Warren County Committee's finances. Since our last letter we have learned the following, all of which we believe are further serious matters of concern:

- We have been told by Mr. Montfort repeatedly over the years that weighted votes were only required at the biannual elections. We have since determined under our by-laws and, we believe, state law, that this is in fact not the case. This means that the resolutions over the years requiring an accounting of the Warren County Democratic Committee's finances almost certainly passed and were improperly frustrated. In particular, a roll-call vote was held at this year's organizational meeting. It failed on a straight-line vote of 30-21. However, those voting in favor of the resolution were entirely from the more heavily democratic districts in the City of Glens Falls while those opposed to it were mainly from the sparsely populated districts up county. We think it is inconceivable that given a weighted vote, this resolution would not have passed. It is Mr. Montfort's duty as Chairman to see that the by-laws of the Committee and the State Election Law is upheld. Instead, he has seriously abused this responsibility to prevent an accounting of the

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Committee finances.

- The by-laws of the Warren County Committee specify that the Finance Committee of the County Committee has the power on its own to "allocate funds to endorsed candidates." No mention is made of any other disbursements, i.e. operating or "housekeeping expenses". We believe it is clear that only the County Committee as a whole can authorize such expenditures.
- Furthermore, the Finance Committee is exclusively appointed by the Chairman of the County Committee and serves exclusively at his pleasure. Clearly, the potential for abuse in such an arrangement is great.
- Because of these comments by Mr. Montfort regarding weighted voting, elections in the Glens Falls Democratic Committee and the various town committees (such as they are large enough to actually elect officers) were not conducted using weighted votes. This raises serious questions. Were the last elections in the Glens Falls Democratic Committee legal?
- Finally, Mr. Montfort told the membership of the Warren County Democratic Committee at this year's organizational meeting that by-laws had to be adopted every two years. It is now our understanding that there is no such requirement. Further, on checking, we realized no by-laws were adopted at the 1993 organizational meeting of the Warren County Democratic Committee. However, at the organizational meeting Mr. Montfort claimed that by-laws were adopted two years ago and that the Committee was merely voting to renew the by-laws, which it ostensibly did. We are concerned at what by-laws exactly have been filed with the State and County Boards. Since none were adopted at the 1993 meeting, the only valid ones were the ones adopted in 1991. We believe there is a possibility of an attempt to register some other, essentially bogus or fraudulent document, which may be different from the ones legally in force, possibly for further frustrating a legitimate accounting of the Committee's finances or the additional purpose of a coverup.

We hope this material is of further use to you. We will be looking forward to hearing from you on these matters and if you need any further information.

Thank you for your all your time and attention to this serious problem. Let us assure you, we, and the disgusted voters of Warren County, will appreciate it.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee?  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee?  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls  
20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation  
27 State St. Glens Falls,  
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Richard E. Dudley  
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Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation  
8 Montcalm St., Glens Falls,  
New York  
793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls  
22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251



April 16, 1996

Mr. Joe Dedario  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, N.Y. 12223-1605

Dear Mr. Dedario;

It was good speaking to you. You will find enclosed the materials from the Warren County Democratic Committee that we discussed.

To reiterate, we believe this hearing clearly is a brazen effort by Mr. William Montfort, the Warren County Democratic Chairman, to harass and coerce us into dropping our complaint against him, which your office presently is investigating, and as such, we believe this effort is yet another possible violation of the law on his part. He is, basically, trying to deprive us of our right to due process, among other things.

We believe his effort should be included into your investigation of Mr. Montfort.

A general chain of events leading to this threat against us began almost a year ago when the signers of the complaints in your hands realized something was seriously wrong with the funding of the Warren County Democratic Committee. We began making discrete inquiries over the summer, inquiries that resulted in the Montfort's actions against Paul Kemnitzer and his father, which are also before your office. Despite being repeatedly rebuffed, and the victims of what we regard as the calling of an illegal secret meeting to illegally overthrow the Glens Falls Democratic Committee, the signers of the petitions in your possession remained loyal members of the Warren County Democratic Committee and continued to seek access to the Warren County Democratic Committee's financial records and sought grievance through conventional channels during the course of the autumn and winter. The most recent action was a unanimous

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resolution by the Glens Falls Democratic Committee requesting that the Warren County Committee allow one single individual chosen by the Glens Falls Committee to review the County Committee's books in the presence of the County Committee Chairman and the County Committee Treasurer. This effort has been for naught, as have all our other attempts.

In fact, in what we regard as an interesting and even amusing note, the present Treasurer of the County Committee claims that he does not have the authority or has sufficient control of the records of the County Committee to show them to members of the County Committee. Obviously, the Treasurer has this authority, or his position would be meaningless. What we have gotten instead is this absurd effort to remove us from the Committee.

On behalf of Margaret Farrell, Paul Kemnitzer, Dave Cooney, Richard Dudley, Patricia Dudley, myself and other deeply concerned and upset committee members, I thank you for your time and efforts and we look forward to speaking with you as a group.

Sincerely Yours,

Lawrence R. Dudley  
28 Mason St.  
Glens Falls, N.Y. 12801  
Tel. 518-792-5444  
Fax. 518-793-5726  
L\_dudley@interramp.com



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

October 20, 1997

Lawrence A. Dudley  
20 Mason St.  
Glens Falls, NY 12801

Dear Mr. Dudley:

This is to acknowledge receipt of your letter dated October 7, 1997. As we previously notified you on September 29, 1997, the Federal Election Campaign Act of 1971, as amended and Commission Regulations require that the contents of a complaint meet certain specific requirements. Your most recent letter does not meet these requirements. Although your letter was signed in the presence of a notary public and notarized, it was not sworn to, as required.

In order to file a legally sufficient complaint, you must swear before a notary that the contents of your complaint are true to the best of your knowledge and the notary must represent as part of the jurat that such swearing occurred. The preferred form is: "Subscribed and sworn to before me on this \_\_\_\_ day of \_\_\_\_, 19\_\_." A statement by the notary that the complaint was sworn to and subscribed before her also will be sufficient.

Please note that this matter will remain confidential for a 15 day period to allow you to correct the defects in your complaint. If the complaint is corrected and refiled within the 15 day period, the respondents will be so informed and provided a copy of the corrected complaint. The respondents will then have an additional 15 days to respond to the complaint on the merits. If the complaint is not corrected, the file will be closed and no additional notification will be provided to the respondents.

We regret the inconvenience that these requirements may cause you, but we are not statutorily empowered to proceed with the handling of a compliance action unless all the statutory requirements are fulfilled. See 2 U.S.C. § 437g. If you have any questions concerning this matter, please contact me at (202) 219-3410.

Sincerely,

*Retha Dixon*  
Retha Dixon  
Docket Chief

cc: Warren County Democratic Committee

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RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

November 3, 1997

Nov 10 3 29 PM '97

Retha Dixon  
Docket Chief  
Office of General Counsel  
Federal Election Commission  
999 E. Street, N.W.  
Washington, D.C.  
20463

MUR 4699

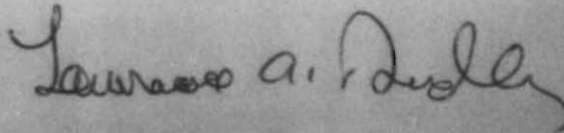
Dear Ms. Dixon;

Thank you for your letter of October 20<sup>th</sup>. According to your request, you will find enclosed our complaint, which we originally submitted to the Federal Election Commission on September 12, 1997, with the additional notarizations requested.

We hope this form is satisfactory. If not, or if you need any other information, please contact Lawrence or Richard and Patricia Dudley by phone at 518-792-5444 or 518-793-8251 or fax us at 518-793-5726 or e-mail at ldudley@mindspring.com or dudleyanddudley@mindspring.com.

Thank you for your attention to this matter. We will be looking forward to hearing from you.

On behalf of Margaret Farrell, Richard and Patricia Dudley, Paul Kemnitzer and David Cooney,



Lawrence A. Dudley  
20 Mason St.  
Glens Falls, NY  
12801

9804382806

Federal Election Commission  
Office of General Counsel

In the matter of  
The Warren County, New York  
Democratic Committee

MUR 4699

State of New York )

ss:

County of Warren )

Margaret Farrell, Lawrence Dudley, Paul Kemnitzer, Richard Dudley, David Cooney,  
and Patricia Dudley, being duly sworn, depose and say:

September 12, 1997

Office of General Counsel  
Federal Elections Commission  
999 E. St. NW Washington, D.C.  
20463

Dear Sir/Madam:

We have been referred to you by Mr. Stanley Zalen, Chief Enforcement Counsel of the  
New York State Board of Elections.

The signers of this letter, all present or former members of the Warren County Democratic  
Committee and several elected public officials of the City of Glens Falls, New York, have had a  
complaint before the New York State Board of Elections for some time. We only recently were  
told by the State Board that they did not feel they were the appropriate venue for the most serious  
of our complaints; the lesser charges they declined to pursue due to the lack of records.

The core of the matter which we understand will be of interest to the FEC is an illegal  
diversion of funds of the Warren County Democratic Committee in a Congressional campaign to  
evade Federal spending limits.

Specifically, in the 1994 election campaign of Robert Lawrence of Cleverdale, N.Y. for  
Congress, representing this area, funds of the Warren County Democratic Committee were  
funneled through county committees in Essex and Saratoga Counties, New York, to evade  
spending limits.

The Warren County Democratic Committee originally gave Mr. Lawrence (without the  
knowledge or consent of the Committee as a whole) \$4,000 for his campaign. Mr. Lawrence's  
campaign shortly later returned the check to the County Chairman, Mr. William Montfort, who  
then knowingly illegally diverted the funds through the Essex and Saratoga County Democratic

Committees.

Remarkably, Mr. Montfort confirmed this transfer was illegal and knowingly done to evade Federal law during open discussion at the September, 1995 organizational meeting of the Warren County Democratic Committee.

This diversion was also later confirmed in a written report by Mr. Daniel Martindale, a member of the Warren County Committee and the new Chairman of the Glens Falls Democratic Committee.

The financial affairs of the Warren County Democratic Committee have been an issue with committee members and local elected officials since the Spring of 1995. Although a series of highly lucrative fund raisers had been held over the previous several years, it was discovered by several committee members who were also elected officials that over ten thousand dollars were essentially unaccounted for in the County Committee's treasury.

These officials, who included the elected City Treasurer of Glens Falls, NY, (who is a C.P.A.), the Glens Falls City Council President (the highest elected Democratic official in Warren County, N.Y.), two Democratic members of the City Council of Glens Falls, also County Committee members, the then Chair of the Glens Falls Democratic Committee and the Secretary/Treasurer of the Glens Falls Democratic Committee and others sought a financial accounting from Mr. William Montfort, the County Chair.

What followed is too involved to recap in this letter, but is well covered in the attached copies of our complaints sent to the New York State Board of Elections.

In short, Mr. Montfort organized an attack on these individuals and their family members in an all out effort to either intimidate them into silence or discredit them. Serious professional harm was done to some of these individuals, particularly to Ralph Farrell, the husband of Councilmember Margaret Farrell, who, thanks to Mr. Montfort's actions, was illegally terminated from his job with the New York State Department of Environmental Conservation. (Mr. Farrell's termination is currently under litigation.)

In an effort to deprive committee members of the support of the Glens Falls Democratic Committee, Mr. Montfort also challenged the nominating petition of the first black woman to seek membership of the Warren County Democratic Committee.

We regard Mr. Montfort's actions to silence those questioning Committee financial affairs as further, though circumstantial, proof of his guilt. We believe they may also constitute Obstruction of Justice or other criminal offenses in and of themselves. We also believe they give you an better understanding of the general background; Mr. Montfort's illegal diversion of funds was but one part of a pattern of illegal or grossly abusive uses of County Committee funds.

The financial disclosure forms filed with the New York State Board of Elections will confirm the illegal transfers to the election effort of Mr. Robert Lawrence. This has also been extensively investigated by the New York State Board. The facts of the matter are not in dispute.

Accordingly, we are submitting to you:

- Copies of our complaints and correspondence with the New York State Board of Elections.



- Copies of financial disclosure forms submitted to the the N.Y.S. Board of Elections by Mr. Montfort and the Warren County Democratic Committee.
- A copy of a report on County Committee finances by the Chairman of the Glens Falls Democratic Committee, Mr. Daniel Martindale.
- Miscellaneous notes and records.

We will try to highlight what we believe are important sections for your attention.

We should note that the report issued by Mr. Martindale was yet another effort by Mr. Montfort to evade accountability and deny qualified members of the Committee (specifically our CPA City Treasurer) access to County records. Obviously, it backfired badly.

We would also like to point out the the signers of this letter are mainly elected public officials who have sworn an Oath of Office to uphold the laws of the State of New York. The signers who are also City Council members of the City of Glens Falls are also legally Peace Officers. They feel they cannot look the other way in such a grave matter, and that a criminal complaint and investigation is called for.

We are available for any further questions or requests for information by the FEC.

We are confident you will find the actions detailed in this complaint as unlawful and unacceptable as we do.

Thank you for your time and attention.

Sincerely,

*Margaret Farrell*

Margaret Farrell, Member,  
Warren County Democratic Committee  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic  
Committee, 1989-1995  
Councilmember, Fifth Ward,  
City of Glens Falls, New York  
19 Bush Street  
Glens Falls, New York 12801

State of New York )  
County of Warren ) ss:

Subscribed and sworn before me this 5 day of November, 1997

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County  
Commission Expires May 18, 1999

*Debbie A. Cameron*

*Lawrence A. Dudley*

Lawrence A. Dudley, Member  
Warren County Democratic Committee  
Glens Falls Democratic Committee  
Secretary/Treasurer, Glens Falls  
Democratic Committee 1993-1995  
Chairman, 2nd Ward Democratic  
Committee, 1993-1997, Glens Falls  
20 Mason Street  
Glens Falls, New York 12801

State of New York )  
County of Warren )

ss:

Subscribed and sworn before me this 4 day of November, 1997

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County  
Commission Expires May 18, 1999

*Debbie A. Cameron*

*Paul A. Kemnitzer*

Paul Kemnitzer, Certified Public Accountant  
Member, Warren County Democratic  
Committee,  
Glens Falls Democratic Committee  
1993-1997  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial  
Development Agency  
Member, Glens Falls Local Development  
Corporation  
27 State Street  
Glens Falls, New York 12801

State of New York )  
County of Warren )

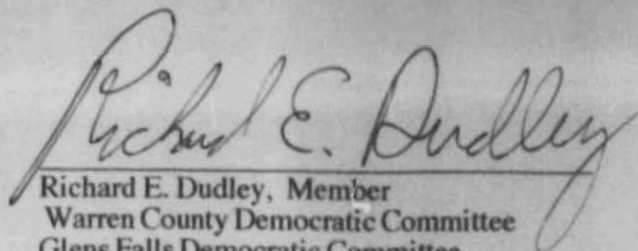
ss:

Subscribed and sworn before me this 4 day of November, 1997

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County  
Commission Expires May 18, 1999

*Debbie A. Cameron*

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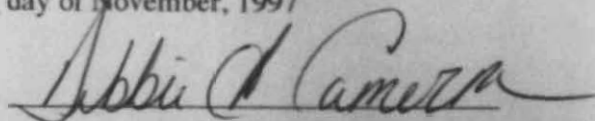
Richard E. Dudley, Member  
Warren County Democratic Committee  
Glens Falls Democratic Committee  
1993-1997  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial  
Development Agency  
Vice Chairman, Glens Falls Local  
Development Corporation  
8 Montcalm Street  
Glens Falls, New York 12801

State of New York )  
County of Warren )

ss:

Subscribed and sworn before me this 4 day of November, 1997

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County  
Commission Expires May 18, 1999





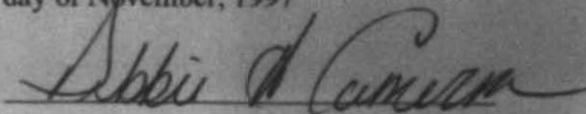
David F. Cooney, Member  
Warren County Democratic Committee,  
Glens Falls Democratic Committee  
1993-1997  
Councilmember, Fourth Ward  
City of Glens Falls  
22 Crandall Street  
Glens Falls, New York 12801

State of New York )  
County of Warren )

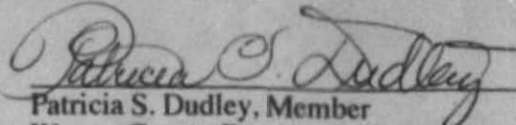
ss:

Subscribed and sworn before me this 4 day of November, 1997

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County  
Commission Expires May 18, 1999



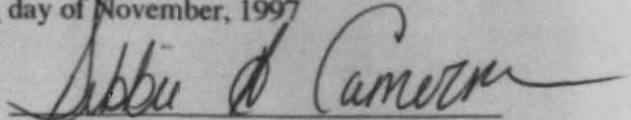


  
Patricia S. Dudley, Member  
Warren County Democratic Committee,  
Glens Falls Democratic Committee  
1993-1997  
8 Montcalm Street  
Glens Falls, New York 12801

State of New York )  
County of Warren ) ss:

Subscribed and sworn before me this 4 day of November, 1997

DEBBIE A. CAMERON  
Notary Public, State of New York  
No. 4887744  
Qualified in Saratoga County  
Commission Expires May 18, 1999



# STATE OF NEW YORK

## BOARD OF ELECTIONS DISCLOSURE STATEMENT — COVER PAGE

ELECTION YEAR <b>1994</b>	FILE NO.	STATEMENT NUMBER FROM BELOW <b>10</b>	STATEMENT PERIOD DATES from <b>7/12/94</b> to <b>1/11/95</b>
------------------------------	----------	--	---

WARREN COUNTY  
 BOARD OF ELECTIONS  
 95 JAN 17 PM 3:51

### IDENTIFICATION

YOU MUST TYPE OR PRINT LEGIBLY IN BLACK OR BLUE INK

**WARREN COUNTY DEMOCRATIC COMMITTEE**  
Full Name of Candidate or Committee

**16 LUPINE LANE**  
Residing Address of Treasurer - Number and Street

**QUEENSBURY NEW YORK 12804**  
City State ZIP

**McCARTHY C. WILLIAM**  
COMMITTEE TREASURER NAME (LAST) (FIRST)

IS THIS COMMITTEE AUTHORIZED BY THE CANDIDATE? ☐ YES ☐ NO  
☐ CHECK BOX IF MAILING ADDRESS HAS CHANGED SINCE LAST REPORT

OFFICE/DISTRICT/CANDIDATE BEING SUPPORTED

### TYPE OF REPORT

STATEMENT IS BEING FILED BY:

<input type="checkbox"/> Party Committee	<input checked="" type="checkbox"/> Constituted Committee
<input type="checkbox"/> Candidate	<input type="checkbox"/> Political Committee
<input type="checkbox"/> Housekeeping Account Only	

**CHECK ONE BOX AND INDICATE STATEMENT NUMBER ABOVE:**

- |  |   |
|--|---|
| 1 <input type="checkbox"/> 32 day Pre Primary  | 7 <input type="checkbox"/> 32 day Pre Special                         |
| 2 <input type="checkbox"/> 11 day Pre Primary  | 8 <input type="checkbox"/> 11 day Pre Special                         |
| 3 <input type="checkbox"/> 10 day Post Primary | 9 <input type="checkbox"/> 27 day Post Special                        |
| 4 <input type="checkbox"/> 32 day Pre General  | 10 <input checked="" type="checkbox"/> Periodic Jan. 15, 19 <b>95</b> |
| 5 <input type="checkbox"/> 11 day Pre General  | 11 <input type="checkbox"/> Periodic July 15, 19                      |
| 6 <input type="checkbox"/> 27 day Post General | 12 <input type="checkbox"/> 24 hour notice                            |

- \* Campaign material or a disclaimer must be submitted with Post-Election statements.
- ☐ Termination Report (you cannot terminate if any funds or debts remain)
- ☐ Amendment Report Date of original report \_\_\_\_\_
- ☐ Treasurer Resignation Report: Copy of letter of resignation attached.

### STATEMENT INVENTORY

INDIVIDUAL/PARTNERSHIP CONTRIBUTIONS (SCH. A)		
CORPORATE CONTRIBUTIONS (SCH. B)		
ALL OTHER CONTRIBUTIONS (SCH. C)		
IN-KIND CONTRIBUTIONS/OTHER RECEIPTS (SCH. D/E)		
EXPENDITURE PAYMENTS (SCH. F)	1	
TRANSFERS IN/OUT (SCH. G/H)	1	
LOANS: RECEIVED/PAID (SCH. I/J)		
BILLS/LOANS FORGIVEN (SCH. K)		
EXPENDITURE REFUNDS/CONTRIBUTIONS REFUNDED (SCH. L/M)		
OUTSTANDING LIABILITIES (SCH. N)		
PARTNERSHIPS/CONTRACTS (SCH. O)		
HOUSEKEEPING RECEIPTS (SCH. P)		
HOUSEKEEPING EXPENSES (SCH. Q)	2	
SUMMARY/STATUS REPORT	1	

### IN-LIEU-OF STATEMENT

☐ I state that I am a candidate or a treasurer of an authorized committee which supports only one candidate, and neither the total of receipts nor the total of expenditures has exceeded or will exceed one thousand dollars in connection with this campaign.

### VERIFICATION

Must have original signature - sign in black or blue ink only

I state that the information contained in this statement is in all respects true and complete to the best of my knowledge, information and belief

**C. WILLIAM McCARTHY**  
Name - Print or type

*C. William McCarthy*  
Signature

**TREASURER**  
Title

**1/13/95** **518-792-7021**  
Date Signed Phone Number

ANY FALSE INFORMATION IN THIS STATEMENT MAY BE A CLASS A MISDEMEANOR, PUNISHABLE BY A FINE AND/OR UP TO ONE YEAR IMPRISONMENT, PURSUANT TO SECTION 210.40 OF THE PENAL LAW. FOR FURTHER INFORMATION, CONTACT THE NEW YORK STATE BOARD OF ELECTIONS OR YOUR COUNTY BOARD OF ELECTIONS. FOR INFORMATION ON COMPLETING THIS FORM CALL 1-800-455-3453

## EXPENDITURE/PAYMENTS Schedule F

ACTION YEAR 1994		PAGE 2 of 5		STATEMENT PERIOD DATES FROM 7/12/94 TO 1/11/95		DO NOT report THROUGH Oct.	
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
10/20/94	RUDOLPH NEOLA	ROUTE 1 BOX 1			HAGUE, NY	12836	100.00
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
8/18/94	BOB LAWRENCE FOR CONGRESS	P.O. BLDG. SUITE #3, POB 1994			CANAAN, NY	12029	2,000.00
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET		APT	CITY - STATE	ZIP	AMT PAID
CHECK NO.							
TOTAL THIS PAGE							2,100.00

98043892814

## Expenditure Purpose Codes

**Complete this summary  
on your last page only!**

①	TOTAL UNPAID SPENDING	2,100.00
②	TOTAL UNPAID SPENDING	0.00
③	Schedule Total	2,100.00

CBAL	Campaign Mailings	POLLS	Poling Costs
CONS	Campaign Consultant	POSTA	Postage
CONSV	Consultant Services	PRCT	Print Ads
CONTR	Political Contributions	PROFL	Professional Services *
FUNDR	Fundraising	RADIO	Radio Ads
SAVES	Insurance Expenses	RENTS	Office Rent
LYER	Campaign Lawyers	TRADG	Trademark Ads
OFFICE	Office Expenses	VOTER	Voter Registration Materials or Services
OTHER	Other Non-Federal Expenses	WAGES	Campaign Workers' Salaries
PETIT	Petition Expenses		



Receipts from Party Committee and other committees  
authorized solely for this candidate

(TRANSFERS IN) Schedule G

ACTION YEAR 1994		FILE #	STATEMENT PERIOD DATES from 7/1/94 to 11/11/95		PAGE 3 of 5
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
CHECK #					
TOTAL THIS PAGE					\$
SCHEDULE TOTAL Last Page Only					\$

TYPE 1 - Party/Constituted Committee  
TYPE 2 - Committee Solely Supporting  
Some Candidate

NOTE: DO NOT REPORT FUNDS RECEIVED FROM INDEPENDENT  
COMMITTEES OR COMMITTEES AUTHORIZED BY A DIFFERENT CANDIDATE  
AS A TRANSFER. THESE RECEIPTS MUST BE REPORTED AS A  
CONTRIBUTION ON SCHEDULE G.

(Payments to Party Committee and other committees  
authorized solely for this candidate)

(TRANSFERS OUT) Schedule H

DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
10/28/94	SARATOGA COUNTY DEMOCRATIC COMMITTEE	6 CAPPA BINCA DR.	WATERFORD, NY		\$1,000.00
CHECK #					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
12/9/94	ESSEX COUNTY DEMOCRATIC COMMITTEE	JERSEY ST., POB 127	ESSEX, NY		\$1,000.00
CHECK # 244					
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
DATE	NAME	STREET	CITY - STATE	TRANSFER TYPE 1 <input type="checkbox"/> 2 <input type="checkbox"/>	AMOUNT TRANSFERRED
TOTAL THIS PAGE					\$2,000.00
SCHEDULE TOTAL Last Page Only					\$

TYPE 1 - Party/Constituted Committee  
TYPE 2 - Committee Solely Supporting  
Some Candidate

NOTE: DO NOT REPORT FUNDS PAID TO INDEPENDENT COMMITTEES OR  
COMMITTEES AUTHORIZED BY A DIFFERENT CANDIDATE AS A TRANSFER.  
THESE PAYMENTS MUST BE REPORTED AS A PAYMENT ON

93043892815

# ON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR		FILER ID		STATEMENT PERIOD DATES		PAGE	
1995				FROM 7/12/94 TO 1/11/95		4 of 5	
DO NOT report Transfers Out:							
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE	EXPLAIN	AMT PAID
8/4/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	PHONE BILL & COPIER REPAIR	318.58
8/15/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	OTHER	PERMIT #	75.00
8/18/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	UTILS	TELEPHONE	186.96
9/25/94	WARREN COUNTY DEMOCRATIC COMMITTEE	16 LUPINE LANE	QUEENSBURY, NY	12804	OTHER	HEARTS & FLOWERS	100.00
9/25/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	COMPUTER REPAIR & PHONE	784.02
10/12/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	POSTA		72.04
10/20/94	JOHN E. WERTIME, TREASURER	CO. MUNICIPAL CENTER	LAKE GEORGE, NY	12843	OTHER	PRINTING	19.00
10/20/94	POSTMASTER	ROUTE 8	JOHNSBURG, NY	12843	POSTA		52.20
10/31/94	COLONIAL ARMS REST.	MAIN ST.	WARRENSBURG, NY	12885	OTHER	FALL RALLY DINNERS	510.00
TOTAL THIS PAGE							\$2,117.80

Expenditure Purpose Codes (see on Schedule Q entry)

RENTO Office Rent  
 UTILS Utilities  
 PAYRL Payroll  
 POSTA Postage  
 PROFL Professional Services  
 OFFSP Office Expenses  
 MALLS Mailings  
 OTHER Other: Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL PAID	
②	TOTAL UNPAID	
③	Schedule Total	

\* This schedule to be used only by party or constituted committee.



# N-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

FILING YEAR		FILER ID		STATEMENT PERIOD DATES		PAGE	
1994				FROM 7/12/94 TO 1/11/95		5	
DO NOT WRITE THROUGH THIS LINE							
DATE PAID	NAME	STREET	CITY - STATE	ZIP	PURPOSE CODE	EXPLAIN	AMT PAID
11/30/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	UTILS		
2439					2 MONTH PHONE BILLS		415.92
11/30/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER	DRUM	
2440					FOR LAZER PRINTER TONER FOR COPIER		157.77
12/9/94	GLENS FALLS NATIONAL BANK	250 GLEN ST.	GLENS FALLS, NEW YORK	12801	OTHER		
N/A					STOP CHECK FEE		15.00
12/27/94	JOHN E. WERTIME, TREASURER	CO. MUNICIPAL CENTER	LAKE GEORGE, NY	12845	OTHER		
2442					PRINTING		18.00
12/27/94	TOM HARRIS	1 SWEETBRIAR LANE	QUEENSBURY, NY	12804	OTHER		
2443					REIMBURSE PHONE		91.94
12/27/94	WM. MONTFORT	SO. JOHNSBURG RD. POB 9	JOHNSBURG, NY	12843	OTHER		
2444					PHONE BILL		135.95
12/29/94	WARREN CO. DEMOCRATIC COMMITTEE	16 LUPINE LANE	QUEENSBURY, NY	12804	OTHER		
2445					HEARTS & FLOWERS		100.00
	GLENS FALLS NATIONAL BANK	250 GLEN ST.	GLENS FALLS, NY	12801	OTHER		
					BANK CHARGE & CHECK FEES		13.10
TOTAL THIS PAGE							947.68

Expenditure Purpose Codes (use on Schedule Q only)

RENTS	Office Rent
UTILS	Utilities
PAYROLL	Payroll
POSTAGE	Postage
PROF. FEES	Professional Services
OFFICE EXP	Office Expenses
TRAVEL	Travel
OTHER	Other Private Expenditure
VOTER	Voter Registration Materials or Services

Complete this summary  
on your last page only!

①	TOTAL REIMBURSEMENT	\$3,065.48
②	TOTAL UNREIMBURSED	0.00
③	Schedule Total	\$3,065.48

\* This schedule to be used only by party or constituted committee.



# SUMMARY OF RECEIPTS / EXPENDITURES

1. Opening Balance - must be same as ending balance on line 7 of your previous statement \$ 9,870.85

## RECEIPTS THIS PERIOD

### 2. CONTRIBUTIONS

2a) Schedule A total .....\$ 1,406.20  
2b) Schedule B total ..... 0.00  
2c) Schedule C total ..... 0.00  
2d) Schedule D total ..... 0.00  
2e) Total Contributions (add 2a through 2d) ..... \$ 1,406.20

### 3. MISCELLANEOUS RECEIPTS

3a) Schedule E total .....\$ 0.00  
3b) Schedule G total ..... 0.00  
3c) Schedule I total ..... 0.00  
3d) Schedule L total ..... 0.00  
3e) Schedule P total ..... 0.00  
3f) Total Miscellaneous Receipts (add 3a through 3e) .....\$ 0.00

4. TOTAL receipts this period (add 2e plus 3f) ..... \$ 1,406.20

5. TOTAL (add line 1 plus line 4) ..... \$ 11,277.05

## EXPENSES THIS PERIOD

### 6. EXPENSES

6a) Schedule F, box 3 total .....\$ 2,100.00  
6b) Total of Summary line 2d and Schedule K, Col 4 total ..... 0.00  
6c) Schedule H total ..... 2,000.00  
6d) Schedule J total ..... 0.00  
6e) Schedule M total ..... 0.00  
6f) Schedule Q total ..... 3,065.48  
6g) TOTAL expenses this period (add 6a through 6f) ..... \$ 7,165.48

7. BALANCE at end of period: subtract line 6g from line 5 ..... \$ 4,111.57

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# STATE OF NEW YORK

## BOARD OF ELECTIONS DISCLOSURE STATEMENT — COVER PAGE

ELECTION YEAR <b>1995</b>	PAGE NO. <b>11</b>	STATEMENT NUMBER FROM BELOW <b>11</b>	STATEMENT PERIOD DATED <b>1/12/95 to 7/11/95</b>
------------------------------	-----------------------	--	---

WARREN COUNTY BOARD OF ELECTIONS  
95 JUL 17 10 10 11

### IDENTIFICATION

YOU MUST TYPE OR PRINT LEGIBLY IN BLACK OR BLUE INK

**WARREN COUNTY DEMOCRATIC COMMITTEE**  
FOR USE OF CANDIDATE OR COMMITTEE

**16 LUPINE LANE**  
Mailing Address of Treasurer — number and street

**QUEENSBURY NEW YORK 12804**  
City State Zip

**MCCARTHY C. WILLIAM**  
COMMITTEE TREASURER NAME (LAST) (FIRST)

IS THIS COMMITTEE AUTHORIZED BY THE CANDIDATE? ☐ YES ☐ NO  
☐ CHECK BOX IF MAILING ADDRESS HAS CHANGED SINCE LAST REPORT

OFFICE/DISTRICT/CANDIDATE BEING SUPPORTED

### TYPE OF REPORT

STATEMENT IS BEING FILED BY:

- |  |   |
|--|---|
| <input type="checkbox"/> Party Committee           | <input checked="" type="checkbox"/> Constituted Committee |
| <input type="checkbox"/> Candidate                 | <input type="checkbox"/> Political Committee              |
| <input type="checkbox"/> Housekeeping Account Only |   |

**\*\* CHECK ONE BOX AND INDICATE STATEMENT NUMBER ABOVE**

- |  |   |
|--|---|
| 1 <input type="checkbox"/> 32 day Pre Primary  | 7 <input type="checkbox"/> 32 day Pre Special                 |
| 2 <input type="checkbox"/> 11 day Pre Primary  | 8 <input type="checkbox"/> 11 day Pre Special                 |
| 3 <input type="checkbox"/> 10 day Post Primary | 9 <input type="checkbox"/> 27 day Post Special                |
| 4 <input type="checkbox"/> 32 day Pre General  | 10 <input type="checkbox"/> Periodic Jan. 15, 19__            |
| 5 <input type="checkbox"/> 11 day Pre General  | 11 <input checked="" type="checkbox"/> Periodic July 15, 1995 |
| 6 <input type="checkbox"/> 27 day Post General | 12 <input type="checkbox"/> 24 hour notice                    |

\* Campaign material or a disclaimer must be submitted with Post-Election statements.

- ☐ Termination Report (you cannot terminate if any funds or debts remain)
- ☐ Amendment Report Date of original report \_\_\_\_/\_\_\_\_/\_\_\_\_
- ☐ Treasurer Resignation Report: Copy of letter of resignation attached.

### VERIFICATION

Must have original signature — sign in black or blue ink only

I state that the information contained in this statement is in all respects true and complete to the best of my knowledge, information and belief.

**C. WILLIAM MCCARTHY**

Name — Print or type

**TREASURER**

Title

*C. William McCarthy*  
Signature

**1/12/95**

Date signed

**518-792-7021**

Phone number

ANY FALSE INFORMATION IN THIS STATEMENT MAY BE A CLASS A MISDEMEANOR, PUNISHABLE BY A FINE AND/OR UP TO ONE YEAR IMPRISONMENT, PURSUANT TO SECTION 216.45 OF THE PENAL LAW. FOR FURTHER INFORMATION, CONTACT THE NEW YORK STATE BOARD OF ELECTIONS OR YOUR COUNTY BOARD OF ELECTIONS. FOR INFORMATION ON COMPLETING THIS FORM CALL 1-800-458-3453

93043892819

## NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

GLASSBORO YEAR		PAGE NO		STATEMENT PERIOD DATES		PAGE	
1995				FROM 1/12/95 TO 7/11/95		3-3	
						DO NOT report Transfers Out	
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE OTHER	EXPLAIN	AMT PAID
6/1/95	GLENS FALLS DEMOCRATIC COMM.	19 BUSH ST.	NY		RETURNED TO GLENS FALLS, TREASURER		\$100.00
CHECK NO. 2435				ZIP 12801			
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE OTHER	EXPLAIN	AMT PAID
6/1/95	RAY MAHONEY	83 SHERIDAN ST.	NY		REIMBURSEMENT FOR LITIGATION		\$250.00
CHECK NO. 2436				ZIP 12801			
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE OTHER	EXPLAIN	AMT PAID
7/8/95	WM. MONTFORT	S. JOHNSBURG RD. POBOX 9	NY		REIMBURSEMENT PHONE BILL		\$210.29
CHECK NO. 2437				ZIP 12843			
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE OTHER	EXPLAIN	AMT PAID
7/8/95	GLENS FALLE NATIONAL BANK	250 GLEN ST.	NY		BANK FEE		\$13.00
CHECK NO.				ZIP 12801			
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE	EXPLAIN	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE	EXPLAIN	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE	EXPLAIN	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE	EXPLAIN	AMT PAID
CHECK NO.							
DATE PAID	NAME	STREET	CITY - STATE	APT	PURPOSE CODE	EXPLAIN	AMT PAID
CHECK NO.							
						TOTAL THIS PAGE \$ 573.29	

Expenditure Purpose Codes (use on Schedule Q only)

RENTS	Office Rent
UTILS	Utilities
PAYRL	Payroll
POSTA	Postage
PROFL	Professional Services
OFFEXP	Office Expenses
MAINT	Maintenance
OTHER	Other Provide Expenses
VOTER	Voter Registration Materials of Service

**Complete this summary  
on your last page only!**

①	TOTAL FINANCED DIFFERENTIAL	4 1576.41
②	TOTAL UNFINANCED DIFFERENTIAL	3
③	Schedule Total	5 1576.41

\* This schedule to be used only by party or constituted committee.



# \* NON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR	PLAC	STATEMENT PERIOD DATES	PAGE	
1995		FROM 1/12/95 TO 7/11/95	2 of 3	
DO NOT report Treasury Out				
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
1/12/95	POSTMASTER	POSTA		
CHECK NO. 2446	STREET ROUTE 8 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843		\$ 70.23
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
1/28/95	WM. MONTFORT	UTILS		
CHECK NO. 2447	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843	REIMBURSEMENT PHONE BILL	\$ 126.86
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
1/31/95	POSTMASTER	OTHER		
CHECK NO. 2448	STREET ROUTE 8 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843	POST OFFICE BOX RENT	\$ 0.00
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
2/18/95	WM. MONTFORT	UTILS		
CHECK NO. 2449	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843	REIMBURSEMENT PHONE BILL	\$ 236.14
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
3/25/95	WM. MONTFORT	UTILS		
CHECK NO. 2450	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843	REIMBURSEMENT PHONE BILL	\$ 164.37
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
4/29/95	WM. MONTFORT	UTILS		
CHECK NO. 2451	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843	REIMBURSEMENT PHONE BILL	\$ 135.47
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
5/6/95	WARRENSBURG CHAMBER OF COMMERCE	OTHER		
CHECK NO. 2452	STREET MAIN ST. APT			
	CITY - STATE WARRENSBURG, NY	ZIP 12885	MEMBERSHIP FEE	\$ 100.00
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
5/24/95	WM. MONTFORT	UTILS		
CHECK NO. 2453	STREET S. JOHNSBURG RD. PO BOX 9 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843	REIMBURSEMENT PHONE BILL	\$ 75.65
DATE PAID	NAME	PURPOSE CODE	EXPLAIN	AMT PAID
5/24/95	POSTMASTER	POSTA		
CHECK NO. 2454	STREET ROUTE 8 APT			
	CITY - STATE JOHNSBURG, NY	ZIP 12843		\$ 86.40
TOTAL THIS PAGE				\$ 1003.1

Expenditure Purpose Codes (see on Schedule Q only)

RENT Office Rent  
 UTILS Utilities  
 PAYTEL Paytel  
 POSTA Postage  
 PROFL Professional Services  
 OFFEXP Office Expenses  
 MEALS Meals  
 OTHER Other (Provide Explanation)  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL EXPENSES	2
②	TOTAL UNPAID EXPENSES	2
③	Schedule Total	2

# SUMMARY OF RECEIPTS / EXPENDITURES

1. Opening Balance - must be same as ending balance on line 7 of your previous statement \$ 4,111.57

## RECEIPTS THIS PERIOD

### 2. CONTRIBUTIONS

2a) Schedule A total .....\$ 615.71  
2b) Schedule B total ..... 0.00  
2c) Schedule C total ..... 0.00  
2d) Schedule D total ..... 0.00  
2e) Total Contributions (add 2a through 2d) ..... \$ 615.71

### 3. MISCELLANEOUS RECEIPTS

3a) Schedule E total .....\$ 0.00  
3b) Schedule G total ..... 0.00  
3c) Schedule I total ..... 0.00  
3d) Schedule L total ..... 0.00  
3e) Schedule P total ..... 0.00  
3f) Total Miscellaneous Receipts (add 3a through 3e) .....\$ 0.00

4. TOTAL receipts this period (add 2e plus 3f) ..... \$ 615.71

5. TOTAL (add line 1 plus line 4) ..... \$ 4727.28

## EXPENSES THIS PERIOD

### 6. EXPENSES

6a) Schedule F, box 3 total .....\$ 0.00  
6b) Total of Summary line 2d and Schedule K, Col 4 total ..... 0.00  
6c) Schedule H total ..... 0.00  
6d) Schedule J total ..... 0.00  
6e) Schedule M total ..... 0.00  
6f) Schedule Q total ..... 1576.41  
6g) TOTAL expenses this period (add 6a through 6f) ..... \$ 1576.41

7. BALANCE at end of period: subtract line 6g from line 5 ..... \$ 3150.87

93043892822

GLENS FALLS DEMOCRATIC COMMITTEE

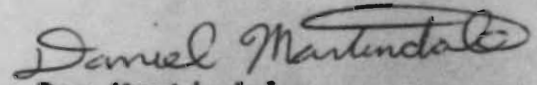
Dan Martindale, Chairman  
Lou Hoffis, Vice Chairman  
Joe Dawson, Secretary / Treasurer

January 2, 1995

Dear Committee Member,

Enclosed please find my written report regarding the finances of the Warren County Democratic Committee for your review. This report is based upon my inspections of the financial data and disclosure sheets on November 29, 1995 and December 10, 1995.

This report will also be a part of my chairman's report at the January 10, 1996 Glens Falls Committee meeting, at which time I will be happy to answer any questions you may have as best as possible.

  
Dan Martindale  
Chairman

980433922823



## CHAIRMAN'S REPORT

Pursuant to the resolution passed on September 27, 1995 at a meeting of the Warren County Democratic Committee, a full disclosure of the County Committee finances was made to the Executive Committee thereof on November 29, 1995. On December 10, 1995, Executive Committee members were given a second opportunity to inspect the cancelled checks, bank statements, check registers, receipts, and state financial disclosure statements from July 13, 1993 (7/13/93) to the present.

This report will cover four separate disclosure periods, coinciding with the financial disclosure statements, and a reconciliation for the statement to be filed by January 15, 1996. As background information, all receipts were in the form of what the general public would recognize as a receipt, or invoices. There were no bills of lading, bills of sale, etc. present.

Also, there is a \$.25 use fee charged with each check that is written. However, the way this fee is accounted for in the check register has changed with the ascension of Tom O'Dea as Treasurer. Former Treasurer Bill McCarthy recorded checks in the register with the \$.25 transaction fee included in the check amount. In other words, the amount on the face of the check and the amount recorded in the check register would differ by \$.25. However, the register and the bank statement would be accurate and the balances would match. The current treasurer has decided that the amount written on the face of the check will be the amount that is reflected in the check register. The aggregate amount of the

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check charges will be deducted from the register quarterly, upon receipt of the quarterly bank statement. This change is merely one of method, and no discrepancies should arise therefrom.

**PERIOD 1: JULY 13, 1993 - JANUARY 12, 1994 (7/13/93-1/12/94)**

During this time period, there were no events such as raffles or dinners. The major expense for this period was the County Democratic headquarters located in Glens Falls, where a majority of the candidates were running for office. During this time period, there were four checks issued for which there are no receipts:

1. A check in the amount of \$ 300.00 issued to the New York State Democratic Committee.
2. A check in the amount of \$ 214.74 issued for payment of utilities at County Headquarters.
3. A check in the amount of \$ 318.74 issued to Raplh Farrell for the phone bill at the County Headquarters.
4. A check in the amount of \$ 208.65 issued to Dick and Pat Dudley for decorations and refreshments associated with the County Headquarters.

There are no checks regarding the rent of the space used for the headquarters because the space was given rent-free. Also of interest was check # 2374. This check was in the amount of \$

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00.00 issued to the Meeta for Supreme Court campaign committee. This check was voided because it was returned by the Meeta Committee.

The total receipts for the period of July 12, 1993 through January 13, 1994 totalled \$ 2,745.50. This figure is confirmed by the disclosure statement, bank statement, and the check register.

**PERIOD 2: JANUARY 13, 1994 - JULY 12, 1994 (1/13/94-7/12/94)**

There were two major events during this disclosure period. The first was the retirement dinner for former Mayor Frank O'Keefe. This event took place at the Queensbury Hotel. The total deposits and funds raised for this dinner were \$ 3,875.00. The total expenses for the event totalled \$ 2,460.37, leaving a total profit of \$ 1,414.63. After this event, two checks were issued for which no receipts were given. One check was for \$ 1,300.00, and the other was for \$ 200.00. Both checks were issued to former Mayor Frank O'Keefe.

The difference between the total profit of \$ 1,414.63 and the \$ 1,500.00 given to Frank O'Keefe was due to a billing error by the Queensbury Hotel. The Queensbury originally sent a bill for \$ 2,320.92. However, this bill did not include any tax. Thus, the original profit from the dinner was \$ 1,554.08. An amended bill was then sent which included tax in the amount of \$ 139.45. The new bill arrived after the aforementioned checks had been issued to former Mayor O'Keefe. At that point, it would have been



appropriate to ask the former Mayor to return funds from his own retirement dinner.

The other major event for that period was a raffle. Total deposits for the raffle were \$ 24,271.00, which came from the sale of 240 raffle tickets at \$ 100.00 each, plus a guest fee of \$ 12.00 for the dinner associated with the raffle. The expenses were \$ 11,300.00 in prizes, and \$ 1,925.00 for meals at the Colonial Arms, for a grand total of \$ 13,225.00. There were no receipts given for the checks issued as raffle prizes, nor was there a receipt given for the Colonial Arms bill. The question is, is \$ 1,925.00 a reasonable expense for dinners for 120 people. This averages out to \$ 16.04 per person. The total profit from this fundraiser was \$ 11,046.00.

The total receipts for this period totalled \$ 28,146.00. This figure matches the disclosure statement, check register and bank statement. After expenses, the balance was \$ 9,870.85.

**PERIOD 3: JULY 13, 1994 - JANUARY 12, 1994 (7/13/94-1/12/95)**

For this disclosure period, there were four checks for which there are no receipts:

- 1) check # 2431 in the amount of \$ 100.00 issued to the Hearts and Flowers Committee.
- 2) check # 2445 in the amount of \$ 100.00 issued to the Hearts and Flowers Committee.
- 3) check # 2438 in the amount of \$ 510.00 issued to the Colonial Arms for the 1994 dinner rally.
- 4) check # 2435 in the amount of \$ 52.20 to the postmaster.

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Receipts from the Hearts and Flowers committee are not to be expected, since it is a subcommittee of the County Committee. The question is, is it reasonable to spend \$ 100.00 on floral arrangements and cards for sick, injured, or hospitalized Democrats? It would seem so.

The postmaster receipt of \$ 52.50 would cover the postage for two (2) mailings to the County Committee membership, plus approximately thirty more envelopes, which with two weeks to go before the gubernatorial election is not unreasonable.

The major fundraiser during this period was a dinner at the Colonial Arms. The dinner was attended by 55 people. Total deposits for this dinner were \$ 1,330.00. The expenses were \$ 510.00, thus leaving a total profit of \$ 820.00. The total price of the dinners averages out to be \$ 9.27 per person, which is not an extravagant amount.

The bank statement shows a balance of \$ 3,406.20. This figure matched the check registers and receipts. However, there was a \$ 2,000.00 variance on the original disclosure sheet. The disclosure sheet showed an amount of \$ 1,406.20.

The variance arose out of a contribution to the Bob Lawrence for Congress campaign. A \$ 4,000.00 check was sent by the County Committee to the Lawrence Campaign as a contribution. \$2,000.00 was returned, since federal election law does not allow contributions of more than \$ 2,000.00. Two separate checks of \$ 1,000.00 each were then issued: #2436 was issued to the Essex County Democratic Committee and #2437 was issued to the Saratoga County Democratic Committee, which subsequently gave this money to

the Lawrence Campaign. Inter-County transfers such as these are not uncommon.

For the purposes of expediency, the checks were shown as \$ 4,000.00 going out in three checks, which is what was actually spent, but the \$ 2,000.00 that was returned by the Lawrence Campaign was never shown as a deposit, since it was immediately sent back out to the aforementioned County committees. This was simply a matter of accounting. However, the campaign disclosure form has since been amended to reflect the \$ 2,000.00 as a deposit. The amended statement was filed on December 11, 1995 and all statements, disclosures, registers, and receipts now reflect the figure of \$ 3,406.20.

Furthermore, check #2436, which was issued to the Essex County Democratic Committee was lost by that committee after the transfer had been made. On December 9, 1994, a stop check order was issued, with a fee of \$ 15.00 charged for doing so. That same day, check #2441 was issued to the Essex County Democratic Committee. Thus, there is no discrepancy.

**PERIOD 4: JANUARY 13, 1995 - JULY 12, 1995 (1/13/95-7/12/95)**

During this period, no fundraisers were held by the County Committee. For this reporting period, there were two checks for which there are no receipts:

Check #2454 in the amount of \$ 86.40 to the Postmaster.

Check #2452 in the amount of \$ 100.00 to the Warrensburg Chamber of Commerce.

The check issued to the Chamber of Commerce was an expense



related to the World's Largest Garage Sale, which was held the weekend of September 30 / October 1, 1995. This fundraiser raised \$ 885.43 after expenses. The check to the postmaster was issued at the beginning of the petition circulation period. Also, there were two County Committee meetings, one in May and one in July, for which notices had to be sent. Thus, it would seem that a bill of \$ 86.40 is not unreasonable.

The campaign disclosure sheet shows that \$ 615.71 in deposits were made. This figure is also shown in the check register, but nothing appears on the bank statement. The figure is not shown on the bank statement because the \$ 615.71 came from outstanding checks, some which dated as far back as 1988, which were retired by former Treasurer Bill McCarthy. Because teh checks were never cashed, no funds were ever withdrawn from the bank account. Although there is the slight chance that these checks may be cashed, the prospects of this event occurring are not likely. These retired checks are listed as deposits on the disclosure statement because there is no area on the disclosure sheet where retired debts should be listed. Therefore, former Treasurer McCarthy listed these retired checks as deposits.

\* \* \* \* \*

As of the last bank statement, September 15, 1995, the checkbook showed a balance of \$ 2,647.12, while the bank statement showed a balance of \$ 2,642.37, for a discrpancy of \$ 4.75, which considering the amount of money that has been transferred in and out of this account is de minimus,

Since that time, there was the aforementioned garage

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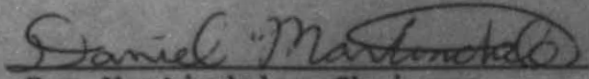
sale, an election weekend rally at the Hibernian Hall, and contributions to political candidates. The balance as of December 10, 1995 was \$ 995.19, based upon the deposits and receipts, then subtracting ther outstanding checks. The actual balance is \$ 990.94, which is due to the \$.25 check charge and how it is reflected. Former Treasurer McCarthy, when doing the checkbook register, added \$.25 to the amount of each check to cover the bank charge. In other words, there would be a \$.25 difference between the check amount written in the register and the face amount of the check.

The new Treasurer, Tom O'Dea, will instead enter the same amount into the register as is written on the face of the check. The bank charges will be aggregated and subtracted from the register quarterly, to coincide with the bank statement. This is merely a change of method and no discrepancies should arise from the different system of accounting.

Finally, for information purposes, the next financial disclosure form is due to be filed by January 15, 1996.

\* \* \* \* \*

After my review of the bank statements, cancelled checks, receipts, check registers, and financial disclosure statements of the Warren County Democratic Committee, I have found all expenses, deposits, and records to be accurate, reasonable, and legitimate.

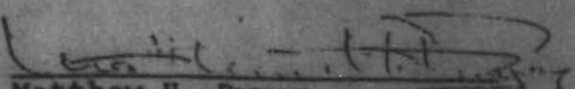
  
Dan Martindale, Chairman  
Glens Falls Democratic Comm.

May 14, 1996

Here follows a report of the financial activity of the Essex County Democratic Committee from July 1, 1993 to July 1, 1995. This report has been prepared by Barbara S. Dwyer, CPA and a copy of her workpapers are attached for clarification.

Beginning cash balance, 7/1/93	\$ 639.93
Cash receipts, 7/1/93-6/30/95:	
Interest income	39.06
Party Contribution, 10/93	20.00
Raffle Income, 1993	755.00
Raffle Income, 1994	1,425.00
Party Contributions, 1994	1,810.00
Total Cash Receipts	4,049.06
Subtotal	4,688.99
Cash disbursements, 7/1/93-6/30/95:	
Postage expense	85.76
County Fair Booth Rent	132.00
Supplies	97.65
Raffle Winner, 1993	377.50
Raffle Winners, 1994	225.00
Contribution to Robert Lawrence for Congress campaign, 10/94	1,000.00
Contributions to local campaigns, 10/93	375.00
Contribution to United States Democratic Committee	650.00
Phamplet design fee	60.00
Survey fee	300.00
Total Cash Disbursements	3,302.91
Ending cash balance, 6/30/95	1,386.08

I attest to the accuracy of the reporting of the financial activities of the Essex County Democratic Committee as here reported.

  
Matthew H. Dwyer,  
Treasurer



C/R

C/D

	Beginning cash balance				639.93
7/13/93	Postage		1624		
7/17/93	Essex City Fair-booth		13200		
7/19/93	Postage		1595		
8/12/93	Lakeside - labels		1550		
8/16/93	Fair booth supplies		2515		
9/9/93	Int - July + Aug	176			436.80
9/9/93	Postage		717		
9/14/93	Postage		1740		
10/9/93	Int - Sept	69			
10/11/93	Sc/So Raffle	75500			1167.92
10/19/93	BH Brinton - So/So winner		37750		
10/19/93	Donation to Party	2000			
10/19/93	Rickard Hall - campaign		2500		
10/21/93	Patricia Hewitt -		5000		
10/21/93	J. McCormick -		10000		
10/21/93	J. Jenks -		2500		
10/21/93	B. Sweet -		2500		
10/21/93	E. Coonrod -		2500		
10/21/93	R. Connors -		5000		
10/21/93	S. Vinskus -		2500		
10/21/93	V. Trombley -		5000		
11/6/93	Int - Oct	69			386.11
11/17/93	Postage		2900		
12/4/93	Int - Nov	76			
12/11/93	Int - Dec	76			408.63
1/1/94	Int - Jan	68			
2/1/94	Int - Feb	76			
3/1/94	Int - March	68			
4/1/94	Int - April	68			
5/1/94	Int - May	69			
6/1/94	Int - June	69			
7/22/94	Party Contributions	22500			
7/26/94	Party Contributions	120000			
7/26/94	Linda Martin - 2nd Prize		#139	5000	
7/27/94	Susan Russ - 1st Prize		138	10000	
7/31/94	Int	69			1688.50
8/8/94	Gary - Allen - 4th Prize		141	2500	
8/17/94	Dan Pratt - 3rd Prize		140	5000	
9/3/94	Int	269			

Cash Raffle

SON JONES COMPANY

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PAGE 11.1

	1	2	3	4	5	6
9/30/94 Int		269				
10/31/94 Int		241				
11/4/94 <sup>Tom Lindsey</sup> Gibney Anthony + Ellen (?)			143	5700		
11/9/94 Party Contributions		52500				
11/8/94 ~ ~		26000				
11/9/94 US Democratic Comm			142	65000		
11/30/94 Int		241				17017
12/15/94 Party Contributions		102500				
12/20/94 Robt Laurence for Congress			144	100000		
12/31/94 Int		279				
1/31/95 Int		257				
2/28/95 Int		233				
3/27/95 Claire Tobey - Pamphlet			145	6000		
3/31/95 Int		256				
4/30/95 Int		241				
5/31/95 Int		250				
6/13/95 Tom Konda - Survey			146	30000		
6/30/95 Int		216				13846
7/31/95 Int		206				13360
		404906		330291		
Party Donation 10/93		2000				
Interest Income		3906		8576	Postage	
Raffle Income 1993		75500		13200	Booth Rent	
Raffle Income 1994		142500		9765	Supplies	
Party Contributions 1994		181000		37750	1993 Raffle Winner	
		404906		22500	1994 Raffle Winner	
				100000	Robt Laurence for Congress '94	
				30000	Survey Fee	
				6000	Pamphlet Design Fee	
				65000	US Democratic Comm	
Savings acct		2737		37500	1993 Local Candidates	
no transactions for 2 yrs				330291		
except minor interest postings						

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# \* NO CAMPAIGN HOUSEKEEPING RECEIPTS Schedule P

ELECTION YEAR <b>1994</b>		FILER ID <b>14-1615306</b>		STATEMENT PERIOD DATE FROM <b>10/26/94</b> TO <b>12/21/94</b>		PAGE <b>1 of 1</b>	
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DATE RECEIVED	NAME	STREET	CITY - STATE	ZIP	AMOUNT	PREV. AMT.
11/5	Kenneth J. Plummer	16 Greensboro Blvd	Clarkstown Park NY	12065	\$ 100	—
11/5	Charlotte Hess	PO Box 336	Galway NY	12074	\$ 200	—
11/5	Joseph Hughes	65 Gabriel Rd.	Corinth NY	12822	\$ 150	—
11/5	Warren County Democratic Committee	PO Box 49	Johnsburg NY	12843	\$ 1000	—
11/5	Richard & Carol Green	7 Smithbridge Rd.	Saratoga NY	12866	\$ 150	—
11/29	Harry D. Snyder	440 Grand Ave	Saratoga Springs	12866	\$ 100	—
11/5	Major Fund Raiser	(2d book + Cocktail Party)			\$ 4674	—
report of Saratoga County Democratic Committee - 1994						
TOTAL THIS PAGE					\$ 6374	

**CODE:**

IND = INDIVIDUAL

CORP = CORPORATE

PART = PARTNERSHIP: Partnerships which contribute over \$2500.00 total, must further define in Schedule O.

Complete this summary  
on your last page only!

\* This schedule to be used only by party or constituted committee.

①	TOTAL ITEMIZED CONTRIBUTIONS	\$ 6374
②	TOTAL UNITEMIZED CONTRIBUTIONS	\$ 390
③	Schedule Total	\$ 6764



# ON-CAMPAIGN HOUSEKEEPING EXPENSES Schedule Q

ELECTION YEAR		FILER ID		STATEMENT PERIOD DATES		PAGE	
1994		14-1615306		FROM 10/26/94 TO 12/24/94		1 of 1	
DO NOT report Transfers Out							
DATE PAID	NAME	STREET	CITY - STATE	APT	ZIP	PURPOSE CODE	EXPLAIN
10/27	Journal Newspapers					Other	
CHECK NO. 470						ad	
							\$ 588.00
10/29	Elizabeth Huntley					Other	
CHECK NO. 471						harper for cocktail party	
							\$ 150.00
10/29	Denovan's					Other	
CHECK NO. 472						cocktail party	
							\$ 782.28
10/29	Ann Derico					Other	
CHECK NO. 473						reimburse - deposit for party	
							\$ 100.00
10/29	Campaign to Elect Bob Lawrence					Other	
CHECK NO. 476						campaign donation	
							\$ 1000.00
11/3	Stephane Bardo					Other	
CHECK NO. 474						donation for Barnstein Campaign	
							\$ 235.00
11/11	Michael Phillips					Other	
CHECK NO. 475						printing for ad book	
							\$ 1605.00
11/30	US Postmaster					POSTA	
CHECK NO. 477							\$ 182.70
							\$
TOTAL THIS PAGE							\$ 4642.98

Expenditure Purpose Codes (use on Schedule Q only)

RENTO Office Rent  
 UTILS Utilities  
 PAYRL Payroll  
 POSTA Postage  
 PROFL Professional Services  
 OFEXP Office Expenses  
 MALS Mailings  
 OTHER Other: Provide Explanation  
 VOTER Voter Registration Materials or Services

Complete this summary on your last page only!

①	TOTAL ITINERATED EXPENDITURES	\$ 4642.98
②	TOTAL UNINERATED EXPENDITURES	\$ —
③	Schedule Total	\$ 4642.98

\* This schedule to be used only by party or constituted committee.

September 8, 1995  
Glens Falls, N.Y.

New York State Board of Elections,  
Albany, New York

Attention Mr. Peter Kazinsky, Esq.

Dear Mr. Kazinsky;

Due to the information received by us through your recent conversation with Lawrence A. Dudley, Secretary/Treasurer of the Glens Falls Democratic Committee, the undersigned members of the Glens Falls and Warren County Democratic Committees and all the elected Democratic public officials of the City of Glens Falls who are committee members, would like to officially request that the New York State Board of Elections conduct a formal audit of the finances of the Warren County Democratic Committee.

This request is a result of a lengthy series of very troubling irregularities and actions by the Chairman of the Warren County Democratic Committee, Mr. William Montfort of Johnsbury, and the Treasurer of the Warren County Democratic Committee, Mr. William McCarthy of Queensbury.

To summarize:

- The Warren County Democratic Committee, to the knowledge of the signers, has never had an audit.
- Approximately three or four years ago, Mr. Robert Fuller, then Chairman of the Queensbury Democratic Committee, became disturbed at the lack of accountability and the vagueness of reports concerning the Warren County Democratic Committee's finances. Aware of the fiduciary responsibility of all committee members, Mr. Fuller brought the subject up at an official meeting of the Warren County Democratic Committee. Despite protests from Mr. Montfort that an audit was not necessary, the Committee adopted a resolution, by a majority, to conduct an audit. When Mr. Fuller tried to carry through on this resolution, however, he was told by Mr. Montfort that a quorum did not exist at the meeting, and therefore the resolution was never legally adopted. This despite the fact an official meeting was held with a standard roll call. A quorum, in fact, had existed. Mr. Fuller continued for 18 months to try and see the Warren County Committee's books and financial records, absolutely without success. In the end, he simply gave up.
- Financial statements or Treasurer's reports are never routinely presented at Warren County Democratic Committee meetings.
- In fact, the Treasurer of the Warren County Democratic Committee, Mr.



William McCarthy, who is elderly and retired, spends the majority of the year living in retirement in Florida, leaving before Winter, returning only in late Spring or summer.

- In order that the absence of a Treasurer to conduct the Warren County Democratic Committee's finances does not cause the County Committee to suspend operations for the lack of funds, Mr. McCarthy leaves behind a stack of signed official checks of the Warren County Democratic Committee in the custody of County Chairman Mr. William Montfort, who is empowered to co-sign checks with the County Treasurer. In practice, Mr. Montfort can sign checks and expend funds of the Warren County Democratic Committee on his sole authority.
- Over the last several years the Warren County Democratic Committee raised fairly substantial sums of money due to several highly successful fund raisers. At one point in 1994 the Warren County Democratic Committee had approximately \$14,000 in its treasury.
- There were no local, that is City, Town or County races last year that would have prompted large expenditures of money.
- Early last spring, several members of the Glens Falls Democratic Committee who are signatories of this letter discussed with Mr. Montfort another issuing of the Warren County Democrat, the County Committee's official newspaper, which in the past was issued before elections. The release of such a paper would cost less than \$4,000. After initially being told there was enough money in the treasury to cover what had always been the relatively modest cost of this newspaper, they were suddenly told not enough money was left.
- After mulling over the problem for several months, it was decided to pursue checking out the exact state of the Warren County Democratic Committee's finances. At the regular June meeting of the Warren County Democratic Committee, several of the signers of this letter and other County Committee members asked for a financial accounting. At the meeting Mr. Montfort stated that he could not give an accounting of the County Committee's finances at that time. The signers of this letter and other members of the County Committee demanded and received a promise by Mr. Montfort that a full accounting would be made.
- At the July meeting an extremely terse financial statement was issued by Mr. Montfort and Mr. McCarthy. According to this report, which was read aloud since no copies were available for members of the County Committee, several thousand dollars had been expended in the last year on "operating expenses" of a committee in an off election year. Furthermore, another, separate large sum was spent on repair or maintenance of a copy machine and other equipment, in fact, larger than such equipment would normally cost new. However, there were no details of any kind on what these large sums represented. Other funds seemed to be unaccounted for as well. Uncertain of the details of this verbal report, several of the signers of this letter asked for their own written copies. After a considerable delay, Lawrence Dudley, the Secretary/Treasurer of the Glens Falls Democratic Committee, received a single page copy, printed in extremely large type to fill up the page. The contents of this report, however, would fill a standard 3x5 card.
- We would like to note in passing that Mr. Montfort treated all of the above requests with great resentment and hostility.



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- Paul Kemnitzer, a member of the Glens Falls and Warren County Committees, was particularly disturbed at these turns of events, and volunteered his professional services. Besides being a Committee member, Mr. Kemnitzer is also a Certified Public Accountant, the present incumbent City Treasurer of the City of Glens Falls, the Treasurer of the Glens Falls Industrial Development Agency and a member of the Glens Falls Local Development Corporation. At the July 14, 1995 meeting of the Warren County Democratic Committee Mr. Kemnitzer asked if he could see the County Committee's books. As the only CPA on the County Committee and the City Treasurer of Glens Falls, he regarded this as a normal and routine request. He even offered to go to Mr. McCarthy's house at a time of Mr. McCarthy's convenience. Instead of responding directly to this perfectly reasonable and routine request Mr. McCarthy silently turned to Mr. Montfort for instructions. Then Mr. McCarthy replied they would have to get the permission of the Finance Committee of the County Committee for such a request, which they regarded as something extraordinary, not routine. There was a vague commitment to honor Mr. Kemnitzer's request, which he took at face value. He has never heard from Mr. McCarthy.
  - The Finance Committee of the Warren County Democratic Committee is composed of Mr. Montfort, Mr. McCarthy and Mr. Mark Morgan, a committee member who lives down the road from Mr. Montfort in a very rural area of Johnsburg in the northern part of Warren County. Obviously, Mr. Montfort and Mr. McCarthy could have agreed on the spot to Mr. Kemnitzer's request.
  - Sometime later in August, Mr. Kemnitzer discovered that a highly irregular challenge had been made to the petitions of his and his father, Mr. John Kemnitzer, a former Councilman of the City of Glens Falls. Since the New York State Board of Elections has been made aware of this matter in detail through other actions taken by Mr. Kemnitzer, who has retained Counsel, this letter will not delve into this matter in detail. However, to summarize the matter briefly, it is felt this situation was created in a deliberate attempt to force Mr. Kemnitzer from the Warren County Committee in an effort to prevent his reviewing the Warren County Democratic Committee's finances by denying him eligibility.
  - In addition, efforts were made by Mr. Montfort or at his behest, to prevent other residents of the City of Glens Falls from securing open, uncontested seats on the Warren County Democratic Committee, or running in primaries. Five calls were made to Ms. Jean Rikhoff of Glens Falls, a recently retired professor at Adirondack Community College, to dissuade her from running in a primary. She agreed to withdraw with the understanding she would be placed in another seat; however she was not informed that she would lose her vote at the organizational meeting. In addition, other petitions were challenged under suspicious circumstances. A petition was circulated for Ms. Florida James of Glens Falls, also a recently retired professor at Adirondack Community College and the only African-American candidate for the County Committee, by two of the signers of this letter. Her petition appeared to be irregularly challenged. It is our understanding from reading the Charter of the County Committee, it is the responsibility of Mrs. Montfort to inform

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candidates or those who file their petitions of any problems and how to correct them. Another signer of this letter brought the petition to Mrs. Montfort at her office and no effort was made by her to review the petition for any problems. We later learned that a Mr. Buell Brayton, a fellow Democrat, challenged that petition. When Richard Dudley, who circulated the petition for Ms. James, called him, Mr. Brayton would not give him any explanation and added that he had not even seen the petition. In sum, it would appear a concerted effort was made to prevent the Glens Falls Democratic Committee from having any additional seats on the County Committee. In addition, Mrs. Montfort, without consulting with the Glens Falls Committee, moved committee members to wards and districts where they would have a smaller weighted vote. All this was done to prevent elected officials in Glens Falls and the Glens Falls Committee from having the votes to investigate the state of the County Committee's finances. You can imagine our frustration in trying to build the Glens Falls Committee with members who would add significantly to our Committees, only to have these efforts thwarted by Mr. and Mrs. Montfort.

On August 16 a letter was drafted and sent by Glens Fall Democratic Committee Chair Margaret Farrell asking to see the County Committee's books, registers and check stubs. Mrs. Farrell, who works an irregular schedule at night as supervisor of the pediatric critical care unit at Glens Falls Hospital, asked that the records be brought by Mr. Montfort's spouse, Mrs. Lois Montfort, the Warren County Commissioner of Elections for the Democratic Party, to the Warren County Municipal Center. She has never received a reply.

Some of the committee members of the Warren County Democratic Committee undoubtedly would prefer that this matter, no matter how serious, be swept under the rug. However, the signers of this letter, who are mainly elected public officials, have sworn an Oath of Office to uphold the laws of the State of New York. The signers who are also City Council members of the City of Glens Falls are also legally peace officers. They feel they cannot look the other way in such a grave matter.

It is our belief the New York State Board of Elections can hardly do so either, given the mass of material presented in this letter. We feel that because of the upcoming biannual election of officers required after the primary, the State Board of Elections should pursue the requested audit as soon as possible.

Thank You.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee  
Councilmember, Fifth Ward, City of Glens Falls, New York

19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls

20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation

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27 State St. Glens Falls,  
New York  
793-2987

Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation

8 Montcalm St., Glens Falls,  
New York  
793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls

22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley

980438922842

Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee

8 Montcalm St. Glens Falls,  
New York  
793-8251

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September 18, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Council  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

Thank you for your letter and your prompt initial response to this serious situation.

Firstly, we will attempt to comply with your request for the Financial Disclosure Statements of the Warren Democratic Committee, although, frankly, we feel this is an investigative responsibility best handled by the State Board of Elections.

But we are puzzled at the idea, implicit in your request, that these statements may have any bearing whatsoever on reality or that one could expect to learn anything from looking at them. If possible wrong doing is occurring, is one to seriously expect truthful statements from possible wrong doers on what they have been doing? Such a Financial Statement would constitute proof under law against such possible wrong doers. No possible wrong doer is likely to incriminate themselves in such a manner. In short, the request seems to be a pointless diversion. Only a direct examination of the County Committee's books, check stubs and registers, etc., can possibly yield any light on this matter.

Furthermore, we must point out that these Financial Disclosure Statements are in the custody of the spouse of one of the individuals who is a central figure in this situation. Surely you must recognize how this greatly complicates matters. For instance, if she refuses to let us see them, is the State Board going to tell us to get a FOIL while more time is needlessly lost?



Beyond that, there seems to us to be a real danger that such a request, or the Civil Proceeding you have suggested, is likely to prompt a destruction of records by possible wrong doers, who might well decide that the consequences of destroying records would be significantly less severe than those for other misdeeds which intact records might reveal.

We are also troubled by what seems to be an implication in your letter that a Criminal Investigation can only stem from a Civil Proceeding. If true, this is a novel concept in law enforcement.

Finally, exactly what bearing does 16-114 have on the reporting of finances of the Warren County Democratic Committee? 16-114 speaks only of candidates and campaign expenditures -- not Constituted Committees, which, according to the manual on Financial Disclosure of the State Board of Elections, are held to somewhat different standards, for just one example, on the reporting of what are called "housekeeping expenditures," etc.

On your letter's final point, we did not state that Mrs. Montfort changed petitions after they were filed. The point we made is that committee members in Glens Falls discovered they had been moved without their knowledge when they received their petitions, and also without any notification to the Glens Falls Democratic Committee, into different wards and election districts, which would force them into primaries if they wanted to run for their original seats. These actions are part of a consistent overall pattern of actions to prevent any financial accountability, like trying to force the only Certified Public Accountant on the County Committee off the Committee when he asked to see the books.

Finally, we would like to pose an additional question. We understand the State Election Law requires the election of officers within 19 days of the Primary Election. Given the possible irregularities in the Warren County Democratic Committee, are there any circumstances under which this election may be postponed?

It seems to us that due diligence and speed is required in this matter. We thank you for your prompt attention to this grave and serious matter.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls  
20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation  
27 State St. Glens Falls,  
New York  
793-2987

Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation  
8 Montcalm St., Glens Falls,  
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793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls  
22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251



October 4, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Counsel  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

Thank you for your last letter, your advice and your prompt response to this situation.

You will find enclosed copies the financial disclosure statements of the Warren County Democratic Committee covering the financial reporting period from July 1994 to July 1995.

Although we had no luck in securing these forms directly from Mrs. Montfort, the wife of County Chairman William Montfort, the principal figure in creating this situation, we were able to secure these forms through the intervention of the Post-Star newspaper of Glens Falls, N.Y, probably because they have lawyers on retainer. Although necessarily cursory in character, we believe these forms raise many extremely serious questions, which we will detail in an attached memorandum.

However, our principal concern at this moment is a series of actions, some of which we believe represent further serious illegalities, taken by Mr. William Montfort, the Warren County Democratic Chairman, to prevent an accounting of the finances of the Warren County Democratic Committee.

We have learned that on August 27 or 28, a secret and we believe illegal meeting of the Warren County Democratic Committee was held at the Hibernian Hall in Glens Falls, N.Y. All the signers of this letter, including all the party officers of the Glens Falls Democratic Committee and all the elected Democratic public officials of the City of Glens Falls who are members of the Warren County Democratic Committee and the Glens Falls

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Democratic Committee, were deliberately not informed of this meeting by Mr. Mortfort. Not a single one received the required notification in the mail. That one, or possibly two members might not receive such a notice in the mail due to the vagaries of the Post Service might be understandable, but as far as we can determine at this moment, at least 14 or 15 members of the Warren County and Glens Falls Democratic Committees were deliberately not informed of this meeting, in violation, as we understand it, not only of the by-laws of the Warren County Democratic Committee, but also the New York State Democratic Committee and the Election Law of the State of New York.

We believe the purpose of this secret meeting, held before the primary election, but including new members not yet on the Committee, was to suborn or arrange a fraudulent election of officers for the Glens Falls Democratic Committee in an attempt to block their legitimate request for a proper financial accounting of the Warren County Democratic Committee. Mr. Montfort called, organized and planed this meeting. At this secret meeting, a carefully orchestrated effort was organized to obtain proxy votes, possibly under false pretenses, to fraudulently overthrow the existing leadership of the City Democratic Committee. In addition, towards that end, charges were apparently made to those attending this secret meeting against those individuals who were seeking an accounting of the Warren County Committee's finances, which they, obviously, could not correct or defend themselves against because they were deliberately excluded.

It is likely some of those attending this secret meeting may not have been as fully aware as they should have been as to the true nature of the situation; the absence of so many other committee members may have been explained away in some manner. However, as always, ignorance of the law is no excuse.

A new slate of officers was prepared, including Mr. Daniel Martindale of Glens Falls, a young, recently graduated law student, for City Chair. At the present time, we believe that Mr. Martindale, in effect, was a "patsy" in this affair, unaware of the larger ramifications of what going on, i.e. that he was participating in a cover-up and general effort to forestall an accounting of the Warren County Democratic Committee's finances. After

learning of these larger ramifications, Mr. Martindale became extremely concerned also, partly over concern of his own potential culpability in a cover-up, and has been cooperating with the signers of this letter.

Information concerning this meeting was conveyed to the signers of this letter on the date of the organizational meeting of the Warren County Democratic Committee, September 27, 1995: Colleen Daly, a committee member from Glens Falls, admitted to Lawrence A. Dudley that she had received the letter after the Glens Falls Committee's picnic in late August and that the meeting was held about two or three weeks earlier. Bret and Ray Blanchard, County Committee members from Stoney Creek, admitted to Margaret Farrell and her husband Ralph Farrell that the secret meeting had been held at the Hibernian Hall. Daniel Martindale of Glens Falls admitted to Margaret Farrell and Lawrence Dudley that the meeting was held.

We would note several aspects of this secret meeting; at the recent organizational meeting of the County Committee, there was a limited Treasurer's Report to the effect that the Warren County Democratic Committee now had \$2,462 in its account. At the time of the statutory filing date on July 15, that number stood at \$3,150 -- that is \$688 dollars was expended in about two months time. The State Board needs to be aware that it is probable that a significant portion of these funds were spent for the purpose of electioneering for party elections, which we understand is a violation of the Election Law and a misdemeanor. Furthermore, rent may have been paid to the Hibernian Hall, which would also fall into this same category of prohibited expenditures. We have been told by sources close to the management of the Hibernian Hall that the hall was rented and paid for with a check.

It appears this meeting was planed and coordinated with other actions referenced in earlier letters or in different actions; i.e. the effort to prevent new members like Ms. Rickhoff and Ms. James to acquire seats on the Warren County and Glens Falls Committees, and the effort to challenge Paul and John Kemnitzer's nominating petitions, who are represented by Counsel and are undertaking action before your Board in separate actions. These efforts were made to subvert, in a general sense, the legitimate inquiry being made by Glens Falls Democratic Committee members into



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the County Committee's finances by personally discrediting those making these inquiries and subverting the Glens Falls Democratic Committee as a necessary and proper forum to investigate these matters.

Ironically, after Mr. Martindale became aware of the full situation facing the Warren County Democratic Committee, he, at the request of the signers of this letter, and because of his concern over the issue of his own potential complicity in this matter, volunteered to sponsor a resolution at the Warren County Democratic Committee's organization meeting calling for the opening of the County Committee's books, which would allow an audit.

Predictably, this motion failed, 30-21, proxy votes figured in. However, a weakened version of this motion calling for opening and inspection of all books and records by the County Executive Board (composed of certain of Mr. Montfort's appointees and the City and Town Chairs) did pass. It remains to be seen whether or not this measure will yield any revelation or not, given this board's restricted composition.

We should also note in passing, that Mr. William McCarthy, of Queensbury, the former Treasurer of the Warren County Democratic Committee, abruptly refused to accept renomination as treasurer. We believe this was because the information contained on the financial disclosure forms was circulated at the Glens Falls Democratic Meeting the previous night.

The signers of this and the previous letters have not regarded Mr. McCarthy as the principle figure in this matter. Although he may have information useful to the State Board of Elections, we do not feel Mr. McCarthy, who is retired and spends most of the year in Florida, should be regarded as a potential target for investigation. The principal figure is Mr. Montfort.

All this leads to several important questions:

- Does the calling of this secret meeting by Mr. Montfort in this manner for this purpose render the elections in the Glens Falls Democratic Committee and possibly in the Warren County Democratic



Committee null and void?

- If so, can new elections be ordered or held?
- If fraud or other illegalities occurred in this matter, can Mr. Montfort and other be removed from the Committee by the State Board of Elections?
- Can they be criminally prosecuted for this matter, separate from the issue of financial improprieties?

Although our initial concern in this entire matter was what we believe was the appearance of serious illegalities in the finances of the Warren County Democratic Committee, we believe these new events are also so serious as to merit, in effect, an entire separate investigation.

We are also concerned about one other matter concerning the Glens Falls Committee. The members of the Glens Falls Democratic Committee charged with control of the Glens Falls Committee's finances, Margaret Farrell and Lawrence Dudley, feel it would be inappropriate and probably a serious legal breach of their fiduciary responsibilities to hand over control of the Glens Falls Committee's finances, however meager (\$359), if any of these concerns set forth in this letter are correct.

Perhaps the State Board of Elections should consider immediately freezing the Glens Falls Democratic Committee's finances until these questions are fully resolved.

One final matter; we believe the State Board of Elections should carefully investigate the conduct and financial arrangements of a banquet/raffle held by the Warren County Democratic Committee in the Spring of 1994 and 1993, and in several previous years. These raffles featured a \$100 ticket including a dinner. Typically, 250 tickets were sold. The prize was \$10,000. What may be potential irregularities in regard to these raffles, including the final drawing of prizes, sale of tickets and patterns of prize winners, may be the most explosive issue in regard to the Warren County Democratic Committee's finances. I.e. There may be a possibility at least one at least one or more of these raffles may have been rigged or debauched in some manner or form. It is also our experience individuals around the raffle are those showing the most resistance to any financial accountability.



We would like to note that the elected officials of the City of Glens Falls who are signatories of this letter were so concerned about the propriety of elected public officials selling such tickets to people living in their jurisdiction, that they refused to sell any to people in upstate New York, only buying tickets for themselves and selling a few to personal friends in New York City.

Again, let us express our appreciation to you for your prompt attention to these matters. Thank you.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee?  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Secretary/Treasurer,  
Glens Falls Democratic Committee?  
Chairman, 2nd Ward Democratic Committee,  
Glens Falls  
20 Mason St., Glens Falls,  
New York  
792-5444

Paul Kemnitzer  
Certified Public Accountant

Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Treasurer, City of Glens Falls  
Treasurer, Glens Falls Industrial Development Agency  
Member, Glens Falls Local Development Corporation  
27 State St. Glens Falls,  
New York  
793-2987

Richard E. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilman-At-Large, City of Glens Falls  
City Council President  
Vice Chairman, Glens Falls Industrial Development Agency  
Vice Chairman, Glens Falls Local Development Corporation  
8 Montcalm St., Glens Falls,  
New York  
793-8257

David F. Cooney  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Councilmember, Fourth Ward, City of Glens Falls  
22 Crandall St., Glens Falls,  
New York  
792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251

**Memo**

**October 4, 1995**

**From: Margaret Farrell, Lawrence Dudley, Paul Kemnitzer, Richard Dudley, Dave Cooney, Patricia Dudley.**

**To: Mr. Stanley Zalen, Enforcement Counsel, State Board of Elections**

**Re: Notes on attached disclosure forms**

- 1. Please note the two \$1,000 checks written 10/28/94 and 12/9/94 to the Saratoga and Essex County Committees. At the organizational meeting, when questioned why we were funding these committees, Mr. Montfort stated that these checks actually went to Congressional candidate Bob Lawrence because they could not be sent to him directly, since the Warren County Committee had already given Mr. Lawrence \$2,000 directly. Isn't this a violation of the Campaign Financing Act? Or other laws? Furthermore, if they genuinely were for campaign purposes, why were they sent so late?
- 2. Please note that numbers for checks to Mr. Bob Lawrence, the Saratoga County Committee, and Mr. Rudy Meola, totaling \$3,100, are not included on the forms.
- 3. Note there is a stop check fee on 12/9/94. Is it possible one of these three checks were stopped? If so, which checks were stopped and why, and how many checks were stopped? And why did a check have to be stopped?
- 4. Note that some check numbers are missing from the sequence -- #2429, 2436, 2437. Were they for Mr. Meola, Mr. Lawrence or the Saratoga County Committee? If so, why aren't they entered?
- 5. Note over \$2,900 in checks paid directly to Mr. Montfort.
- 6. Note numerous expenses bundled in together, including equipment repair expenses. Why weren't checks written

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directly to repair service?

- 7. Note that more money appears to have been spent repairing a copier than it is possible to purchase one new. This may also be true of the item labeled "computer repair".
- 8. Note \$1,687.71 in phone bills in one years time.
- 9. Note \$1,182.68 in bundled phone bills and "repairs".
- 10. Note \$355.87 in total postage costs. There were never more than 72 members of the Warren County Committee, and no more than four or five meetings. What possible justification is there for such postage costs?
- 11. Note the \$91.94 check written to Mr. Tom Harig for "Phone reimbursement". Was the work conducted in relation to a campaign or not? If so, why wasn't it reported as such? This does not look like a legitimate housekeeping expense. If it is not a campaign expense, what is the justification for it?

We believe there are so many irregularities just on the surface of this report, that, like an iceberg, far more serious problems inevitably lie underneath the surface. Given the resistance this issue has created, there are obviously good reasons for the remarkable intensity and extreme measures these individual have been willing to take in their refusal to open the County Committee's books,

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October 25, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Counsel  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

We trust you found our faxed letter of October 4th and the attached disclosure forms of the Warren County Democratic Committee of value. We are still trying to obtain additional information, particularly on the secret, and we believe illegal, meeting held by Mr. Montfort for the purposes of frustrating a financial disclosure of the Warren County Committee's finances. Since our last letter we have learned the following, all of which we believe are further serious matters of concern:

- We have been told by Mr. Montfort repeatedly over the years that weighted votes were only required at the biannual elections. We have since determined under our by-laws and, we believe, state law, that this is in fact not the case. This means that the resolutions over the years requiring an accounting of the Warren County Democratic Committee's finances almost certainly passed and were improperly frustrated. In particular, a roll-call vote was held at this year's organizational meeting. It failed on a straight-line vote of 30-21. However, those voting in favor of the resolution were entirely from the more heavily democratic districts in the City of Glens Falls while those opposed to it were mainly from the sparsely populated districts up county. We think it is inconceivable that given a weighted vote, this resolution would not have passed. It is Mr. Montfort's duty as Chairman to see that the by-laws of the Committee and the State Election Law is upheld. Instead, he has seriously abused this responsibility to prevent an accounting of the

Committee finances.

- The by-laws of the Warren County Committee specify that the Finance Committee of the County Committee has the power on its own to "allocate funds to endorsed candidates." No mention is made of any other disbursements, i.e. operating or "housekeeping expenses". We believe it is clear that only the County Committee as a whole can authorize such expenditures.
- Furthermore, the Finance Committee is exclusively appointed by the Chairman of the County Committee and serves exclusively at his pleasure. Clearly, the potential for abuse in such an arrangement is great.
- Because of these comments by Mr. Montfort regarding weighted voting, elections in the Glens Falls Democratic Committee and the various town committees (such as they are large enough to actually elect officers) were not conducted using weighted votes. This raises serious questions. Were the last elections in the Glens Falls Democratic Committee legal?
- Finally, Mr. Montfort told the membership of the Warren County Democratic Committee at this year's organizational meeting that by-laws had to be adopted every two years. It is now our understanding that there is no such requirement. Further, on checking, we realized no by-laws were adopted at the 1993 organizational meeting of the Warren County Democratic Committee. However, at the organizational meeting Mr. Montfort claimed that by-laws were adopted two years ago and that the Committee was merely voting to renew the by-laws, which it ostensibly did. We are concerned at what by-laws exactly have been filed with the State and County Boards. Since none were adopted at the 1993 meeting, the only valid ones were the ones adopted in 1991. We believe there is a possibility of an attempt to register some other, essentially bogus or fraudulent document, which may be different from the ones legally in force, possibly for further frustrating a legitimate accounting of the Committee's finances or the additional purpose of a coverup.

We hope this material is of further use to you. We will be looking forward to hearing from you on these matters and if you need any further information.



Thank you for your all your time and attention to this serious problem. Let us assure you, we, and the disgusted voters of Warren County, will appreciate it.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee?  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
New York  
792-8987

Lawrence A. Dudley  
Member, Warren County Democratic Committee,  
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Secretary/Treasurer,  
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New York  
792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251

December 29, 1995

Mr. Stanley L. Zalen, Esq  
Enforcement Counsel  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, New York  
12223-1650

Dear Mr. Zalen;

We trust your investigation into the current crises in the conduct and finances of the Warren Democratic Committee are proceeding constructively, and we are eager to hear from you.

We also believe you will be interested in an additional matter, the possible abuse of the computation of weighted votes in Warren County. It is possible that the Warren County Board of Elections has misconstrued these votes. If you will refer to the enclosed minutes of the Warren County Democratic Committee from February 1995 you will find a quote of comments by Mr. Montfort concerning turnout in the last gubernatorial election.

In this comment, he states that turnout in the City of Glens Falls was lower than upcounty.

That is true. However, it is our understanding that the allocation of weighted votes are not determined on a percentage basis, but on the numbers of party voters who vote for that party's gubernatorial candidate. Since the number of registered Democrats in most of the upcounty districts is very small indeed, a difference in percentage terms would still yield them a very small weighted vote, relative to the large districts in the City of Glens Falls.

We believe you should regard this as yet another device used to subvert, illegally we believe, a proper accounting of the finances of the



Warren County Democratic Committee.

Thank you very much.

Sincerely Yours,

Margaret Farrell,  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
Chairperson, Glens Falls Democratic Committee?  
Councilmember, Fifth Ward, City of Glens Falls, New York  
19 Bush Street, Glens Falls,  
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792-7145

Patricia J. Dudley  
Member, Warren County Democratic Committee,  
Glens Falls Democratic Committee  
8 Montcalm St. Glens Falls,  
New York  
793-8251

April 16, 1996

Mr. Joe Dedario  
New York State Board of Elections  
Swan St. Building, Core 1  
6 Empire State Plaza, Suite 201  
Albany, N.Y. 12223-1605

Dear Mr. Dedario;

It was good speaking to you. You will find enclosed the materials from the Warren County Democratic Committee that we discussed.

To reiterate, we believe this hearing clearly is a brazen effort by Mr. William Montfort, the Warren County Democratic Chairman, to harass and coerce us into dropping our complaint against him, which your office presently is investigating, and as such, we believe this effort is yet another possible violation of the law on his part. He is, basically, trying to deprive us of our right to due process, among other things.

We believe his effort should be included into your investigation of Mr. Montfort.

A general chain of events leading to this threat against us began almost a year ago when the signers of the complaints in your hands realized something was seriously wrong with the funding of the Warren County Democratic Committee. We began making discrete inquiries over the summer, inquiries that resulted in the Montfort's actions against Paul Kemnitzer and his father, which are also before your office. Despite being repeatedly rebuffed, and the victims of what we regard as the calling of an illegal secret meeting to illegally overthrow the Glens Falls Democratic Committee, the signers of the petitions in your possession remained loyal members of the Warren County Democratic Committee and continued to seek access to the Warren County Democratic Committee's financial records and sought grievance through conventional channels during the course of the autumn and winter. The most recent action was a unanimous

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resolution by the Glens Falls Democratic Committee requesting that the Warren County Committee allow one single individual chosen by the Glens Falls Committee to review the County Committee's books in the presence of the County Committee Chairman and the County Committee Treasurer. This effort has been for naught, as have all our other attempts.

In fact, in what we regard as an interesting and even amusing note, the present Treasurer of the County Committee claims that he does not have the authority or has sufficient control of the records of the County Committee to show them to members of the County Committee. Obviously, the Treasurer has this authority, or his position would be meaningless. What we have gotten instead is this absurd effort to remove us from the Committee.

On behalf of Margaret Farrell, Paul Kemnitzer, Dave Cooney, Richard Dudley, Patricia Dudley, myself and other deeply concerned and upset committee members, I thank you for your time and efforts and we look forward to speaking with you as a group.

Sincerely Yours,

Lawrence A. Dudley  
20 Mason St.  
Glens Falls, N.Y. 12801  
Tel. 518-792-5444  
Fax. 518-793-5726  
L\_dudley@interramp.com

98043892866



FEDERAL ELECTION COMMISSION

Washington, DC 20463

November 18, 1997

Lawrence A. Dudley  
20 Mason Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. Dudley:

This letter acknowledges receipt on November 10, 1997, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended. The respondent(s) will be notified of this complaint within five business days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be notarized and sworn to in the same manner as the original complaint. We have numbered this matter MUR 4699. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley* (x28)

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosure  
Procedures

98043892867



FEDERAL ELECTION COMMISSION  
Washington, DC 20463

November 18, 1997

Margaret Farrell  
19 Bush Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Ms. Farrell:

This letter acknowledges receipt on November 10, 1997, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended. The respondent(s) will be notified of this complaint within five business days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be notarized and sworn to in the same manner as the original complaint. We have numbered this matter MUR 4699. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley* (HES)

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosure  
Procedures

98043892868





FEDERAL ELECTION COMMISSION

Washington, DC 20463

November 18, 1997

Paul Kemnitzer  
27 State Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. Kemnitzer:

This letter acknowledges receipt on November 10, 1997, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended. The respondent(s) will be notified of this complaint within five business days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be notarized and sworn to in the same manner as the original complaint. We have numbered this matter MUR 4699. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley* (FAS)

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosure  
Procedures

98043892869



FEDERAL ELECTION COMMISSION  
Washington, DC 20463

November 18, 1997

Richard E. and Patricia S. Dudley  
8 Montcalm Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. and Ms. Dudley:

This letter acknowledges receipt on November 10, 1997, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended. The respondent(s) will be notified of this complaint within five business days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be notarized and sworn to in the same manner as the original complaint. We have numbered this matter MUR 4699. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley* (HLS)

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosure  
Procedures

9804389287C



FEDERAL ELECTION COMMISSION  
Washington, DC 20463

November 18, 1997

David F. Cooney  
22 Crandall Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. Cooney:

This letter acknowledges receipt on November 10, 1997, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended. The respondent(s) will be notified of this complaint within five business days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be notarized and sworn to in the same manner as the original complaint. We have numbered this matter MUR 4699. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley* (FAS)

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosure  
Procedures

93043892871





FEDERAL ELECTION COMMISSION  
Washington, DC 20463

November 18, 1997

Bill Montfort, Chair  
Warren County Democratic Committee  
HCR-01 Box 8  
Johnsburg, NY 12843

RE: MUR 4699

Dear Mr. Montfort:

The Federal Election Commission received a complaint which indicates that the Warren County Democratic Committee ("Committee") and its treasurer may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4699. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and its treasurer in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

9 3 0 4 3 8 9 2 8 7 2

If you have any questions, please contact Jennifer H. Boyt at (202) 219-3690. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley (FAS)*

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

98043892873



FEDERAL ELECTION COMMISSION

Washington, DC 20463

November 18, 1997

Tom Bayly, Chair  
Saratoga County Democratic Committee  
6 Cappabianca Drive  
Waterford, NY 12188

RE: MUR 4699

Dear Mr. Bayly:

The Federal Election Commission received a complaint which indicates that the Saratoga County Democratic Committee ("Committee") and its treasurer may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4699. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and its treasurer in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

9804382874



If you have any questions, please contact Jennifer H. Boyt at (202) 219-3690. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

*F. Andrew Turley (yes)*

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

98043392875



FEDERAL ELECTION COMMISSION  
Washington, DC 20463

November 18, 1997

Stuart Brody, Chair  
Essex County Democratic Committee  
Box 127  
Essex, NY 12736

RE: MUR 4699

Dear Mr. Brody:

The Federal Election Commission received a complaint which indicates that the Essex County Democratic Committee ("Committee") and its treasurer may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4699. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and its treasurer in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

98043892876

If you have any questions, please contact Jennifer H. Boyt at (202) 219-3690. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,

F. Andrew Turley (gus)

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

98043892877





Warren County  
Democratic  
Committee

P.O. Box 9, Johnsbury, NY 12843  
Wm. A. Montfort, Chairman

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

Dec 8 10 54 AM '97

December 1, 1997

F. Andrew Turley, Supervisory Att.  
Central Enforcement Docket  
Federal Election Commission  
Washington, D.C. 20463

Re: MUR 4699

Dear Mr. Turley:

In response to the complaint that you received I would like to first inform you that the Treasurer for the Warren County Democratic Committee ("Committee") for the time in question (Wm. C. McCarthy) has retired and given all of his records to the new Treasurer whose name is Thomas P. O'Dea. Mr. McCarthy is retired in Florida, is 75 years old and has a heart condition. He obviously doesn't need this aggravation. Our new Treasurer would be more than happy to bring all of our records to one of your officers and I would accompany him to answer any of your questions, if this can possibly be handled in this way to avoid upsetting Mr. McCarthy.

My position as Chair, as well as the Treasurer, and the rest of the committee members are volunteer positions. While, the past Treasurer and I are not Attorneys or CPAs, I believe that the Committees transactions during the election year of 1994 were not illegal and that there was not knowingly any intention to violate any laws either Federal or State. I would like to point out that those individuals included several letters of complaint (9/8/95, 9/18/95, 10/4/95, 10/25/95) to the State Board in which along with their numerous allegations appeared the same complaint. However, they failed to include the State Board's Determination listing these same letters in which the State Board said "there is not reasonable cause to believe a crime has been committed and this matter is closed".

In Addition, I would like to inform you that our committee requested the State Board to review our financial records to confirm that there were no irregularity or wrongdoing. Enclosed labeled Document # 1 is Committees letter to State Board requesting review of our records. Also enclosed Document # 2 is State Board's Determination mentioned above. Also, when these individuals state "The Financial affairs of the Warren County Democratic Committee have been an issue with committee members and elected officials since the Spring of 1995" they are speaking only of themselves and no one else. All their allegations of patterns of illegal or abusive uses of Committee funds were proven unfounded not because there were no records but because we had receipts, canceled checks and had filed disclosures on record with a clear paper trail of where all of our funds went. We had nothing to hide then and we have nothing to hide now.

I would like to elaborate on their real motives that actually started back in the Spring of 1994. We had just finished a successful fund-raiser when Larry Dudley phoned inquiring how we made out when I told him his reply was good we can save that for when Dick (his brother) runs for Mayor. He was upset when I informed him that it had always been the policy of the Budget & Finance Committee to spend what we raise each year on the present campaigns and that it was their call and not his. I believe out of that conversation came the need for a plan to take over the committee so to control the money for their own future races.

98043892879

Since we hold elections for Chair and other County Committee officers on odd years, e.g., 1995, 1997, the following events occurred: First a 5/16/95 resolution from these individuals trying to gag the Chair as the spoke man of the party. (Document # 3) Also see (Document # 4 Post Star "Power Play" article of 8/13/95) When they failed to receive support from the committee with the above assault. They questioned the committee's finances and revealed their allegations in the news paper and misrepresented information and distributed it to the committee on the day of our organizational meeting to elect the Chair and other officers. (See Document # 5 Post Star "Democrats Squabble Intensifying" article of 9/27/95) When I was re-elected Chair we moved to do a full disclosure to the executive committee which these individuals said would fully satisfy them. However, when no irregularities were found they implied that there was collusion between the officers of the county committee and the entire chairmanships of the town and city sub-committees and continued with their allegations. (See Document # 6 Post Star "Democrat Claims Suspicion remains on Montfort Actions" article of 12/1/95)

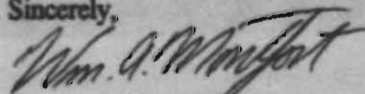
Meanwhile, with no end of these assaults in sight and nothing to hide I contacted the State Board and requested they review all of our records. They needed to get a letter from our Treasurer and Secretary and get permission from their commissioners which they did and concluded their investigation on 2/26/97. These are Document # 1 & # 2. While these individuals were not satisfied with the outcome of the State Board's investigation that they received copies of the Board's Determination at the same time that I received it they did nothing because it was 1997 another election year not only for my chair but for their officers. These individuals were not going to be supported by the committee or the public for re-election to Public Office so they instead put their energies into another Power Play to take over the City and County Committees with their relatives and friends. (see Document # 7 Post Star "Fight Brewing in Glens Falls for Control of Democratic Party" article of 7/24/97)

You might find it curious considering the date of the State Board's Determination 2/26/97 that these individuals make the statement that "We only recently were told by the State Board..." but only until you realize that Primary Day was 9/9/97 and considering the outcome it is not surprising that your first letter from these individuals is dated 9/12/97. (See Document # 8 Post Star "Dudley, Allies Swept From Committee" article of 9/11/97)

One final point it amazes me how these individuals can swear to allegations that they themselves either knows were proven false or that they know to be false. Obviously if more than \$10,000.00 were unaccounted for or if there were not any records to prove otherwise the State Board would not have issued their Determination which stated "there is not reasonable cause to believe a crime has been committed and this matter is closed" Moreover, to imply that Mr. Farrell was terminated from his job as a result of my actions is ridiculous. Mr. Farrell as well as many others and I held positions with the State that changed from a Democratic Governor to a Republican Governor. My position was provisional on me having the opportunity of taking an exam. Mr. Farrell position was what is called "Seasonal Temp" which is May to October and must be appointed every year by the Governor's Appointments Office. Many people including Mr. Farrell and I lost our jobs. Their statement is another fabrication of their imagination. (See Document #9 Chronicle "Spoils of Lake George" article of 6/29/95)

I will not waste any more of your time by replying to any of their other allocations as they probably do not involve the F.E.C. and have already been proven false by our records. However, more importantly I would like to reiterate that we have nothing to hide, our records are available for inspection, we certainly want to, if necessary, correct any mistakes, if any were made. We are not criminals and we have not in the past or in the future have any intentions of violating any laws. We will cooperate with any arrangements you care to make, if you should feel it is necessary to review our financial records. Please contact our present Treasurer or myself. Thank You.

Sincerely,



Wm. A. Montfort, Chairman

Warren County Democratic Committee





# Warren County Democratic Committee

P.O. Box 9, Johnsbury, NY 12843  
Wm. A. Montfort, Chairman

March 20, 1996

DOC #1

Stanley Zalen, Enforcement Counsel  
State of New York State Board of Elections  
Swan St. Bldg., Core 1, Empire State Plaza  
Albany, NY 12223-0002

Dear Mr. Zalen:

As you are aware our chairman Bill Montfort has been in contact with Associate Accountant, Carl Montanino of the Financial Disclosure Section, concerning a request by our chair for the State of New York Board of Elections to review the Warren County Democratic Committee's financial disclosures, and related documentation for every financial disbursement our committee has been involved with for the past two years.

As you can see from our County Committee's by-laws (on file with the State Board and which were adopted on September 25, 1991 and adopted again without any changes from the previous one on September 29, 1993 and September 27, 1995) that our Treasurer and our Budget and Finance committee oversee disbursements made by the county committee. Also our checks require two signatures: the treasurer and the chairman. I now need to fill you in on a little background as to why I am making this unusual request.

At our 5/31/95 regular meeting the Finance Committee Treasurer's Annual Report was made by Chairman, Montfort in the absence of Treasurer, McCarthy. A motion to accept the treasurer report was made and carried all yes with the exception of six abstentions from Glens Falls.

Organizational meeting 9/27/95: with 95% attendance, the following motion was passed: The County Committee Treasurer will make full disclosure to the Executive Committee of all disbursements & records for the period 7/12/93 to present and the Executive Committee will report their findings back to the full committee. The vote was all yes with the exception of one abstention from the County Treasurer to avoid any conflict of interest.

Executive meeting 11/29/95: The above resolution required our newly elected Treasurer, Tom O'Dea to demonstrate to, and answer questions from the entire Executive Committee, whose membership consist of the Chairman, the Vice-Chair, the Secretary, the Treasurer, all the Town Chairmen, the City Chairman, and the members of the State Committee who represent the county. Together, these community leaders compared the financial disclosures on file with the County Board of Elections, every financial disbursement, bank check issued, receipt received, and bank statement our committee has been involved with for the past two years. The Executive Committee of the Warren County Democratic Committee, have duly inspected the documentation of our committee's financial records as required by our county committee resolution of 9/27/95 and their procedure was in full compliance with that resolution, the by-laws of the Warren County Democratic Committee, which itself is in accord with New York State Election Law. They found no grounds for suspicions or unanswered questions about the finances of the Warren County Democratic Committee or the related involvement of Democratic Chair, Bill Montfort, our former treasurer, C. Wm. McCarthy, our Finance Committee members and any or all other officers of the county committee both past and present.

1996 MAR 25 PM 9:14  
ALBANY, NY

OVER



Special meeting 1/5/96: Motion to accept the Executive Committee Report on the Financial Disclosure given on 11/29/95 carried the vote was yes with the exception of 7 abstentions, the treasurer and six individuals from Glens Falls. The acceptance of the executive committee's report in satisfaction of the motion should have concluded the review of the financial records in questions.

However, we have had six individuals from Glens Falls who along with the six proxies they carry for their friends and relatives, trying to discredit our chair, our treasurer, as well as most of the committee, with their unfounded allegations concerning the committee's finances. Their motive is not important but the damage to our reputation is important. This group had previously asked for financial records but had not followed by-laws or parliamentary procedure, and so were out of order. During the 9/27/95 meeting they said they would be fully satisfied with the county committee's acceptance of the report on committee's finances from disclosure to the executive committee. The county committee has accepted the executive committee's report as fully satisfying the resolution of 9/27/95, therefore seemingly putting an end to the matter. However, this group has evidently changed its mind as you can see from the enclosed copy of a 12/1/95 news paper article.

These people, either openly or implicitly, have taken the posture that there is possible, even probable, collusion between all the officers of the county committee and the entire chairmanships of the town and city sub-committees, and the former treasurer of the county committee, to hide the financial information. In September they took information, misrepresented it and distributed it. Our current treasurer Tom O'Dea should have been qualified both professionally and as an unbiased person since the records under question pre-dated his taking office. Likewise, the new city chair's report of the disclosure should have been considered unbiased however, it didn't satisfy these individuals.

If they truly have questions about the county committee finances and are not satisfied by their own leadership's acceptance and reassurances, then an unbiased review from outside this organization is in order and in the committee's best interest. It is understandable how some may feel about an organization conducting an internal review of itself. This is why we need for the State Board to review our financial records from 7/12/93 thru 1/12/96 and confirm that our expenses are legitimate, and that there are no irregularity and no wrongdoing and clear our name once and for all. Please advise me as to the earliest our treasurer can proceed with this review with Mr. Montanino's office. If you have any questions please don't hesitate to contact our Chairman, Bill Montfort @ 251-3138 or our Treasurer, Tom O'Dea @ 623-9333

Sincerely,

*Mrs. Patricia Antinello Thomas P. O'Dea*

Mrs. Patricia Antinello, Secretary & Thomas O'Dea, Treasurer

enc.

cc: Carl Montanino, Asso. Acct.



State of New York  
**STATE BOARD OF ELECTIONS**

Carol Berman  
Chair  
James A. Walsh  
Vice Chair  
Helena Moses Donohue  
Commissioner  
Evelyn J. Aquila  
Commissioner

SWAN STREET BUILDING, CORE 1  
6 EMPIRE STATE PLAZA, SUITE 201  
ALBANY, N.Y. 12223-1650  
Phone: 518/473-2063 Fax: 518/486-4068

Thomas R. Wilkey  
Executive Director  
Peter S. Kosinski  
Deputy Executive Director  
Stanley Zalen  
Enforcement Counsel  
Jeffrey Wait  
Special Deputy Counsel

February 28, 1997

William A. Montfort, Chairman  
Warren County Democratic Committee  
P.O. Box 9  
Johnsburg, New York 12843

Re: CMP95-76-SC96-9

Dear Mr. Montfort:

The New York State Board of Elections has completed its review of allegations concerning the books, records, and expenditures of the Warren County Democratic Committee. A copy of the Board's determination is enclosed.

Very truly yours,

*Stanley L. Zalen*  
Stanley L. Zalen  
Enforcement Counsel

SLZ/dch  
Enc.

OVER  
↓

-----x  
In the Matter of the Complaint Against  
The Warren County Democratic Committee  
-----x

DETERMINATION  
CMP95-76-SC96-9

WHEREAS, the New York State Board of Elections (Board) received this complaint on September 8, 1995, with additional portions of the complaint being sent on September 18, October 4, and October 25, 1995, and,

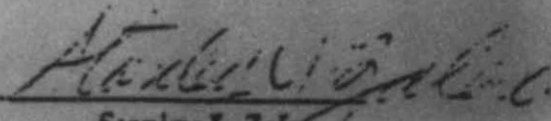
WHEREAS, the complaint having alleged that funds of the Warren County Democratic Committee had been dissipated in 1994 and 1995 either for illegal personal use or illegal expenditures for a primary election, and,

WHEREAS, it is alleged that a gift from Committee funds was given to a retired Mayor of the City of Glens Falls, a violation of Election Law, and,

WHEREAS, the Board having investigated these allegations, and,

WHEREAS, it appears there is reasonable cause to believe that no funds raised for campaign purposes were used to make a personal gift to the retired mayor of Glens Falls, nor were the Committee's funds used for any other personal use or for any primary elections, and,

NOW, THEREFORE, there is not reasonable cause to believe a crime has been committed and this matter is closed.

  
Stanley L. Zelen

DATED: February 26, 1997

98043892883



Doc. #3

# GLENS FALLS DEMOCRATIC COMMITTEE

MARGARET FARRELL, CHAIRPERSON

MARK GRIFFIN, VICE CHAIR

LARRY DUDLEY, SECRETARY/TREASURER

May 16, 1995

## A Resolution

BE IT RESOLVED, that the Glens Falls Democratic Committee hereby expresses its expectation that the Warren County Chairman, Mr. William Montfort, and all other members and committees of the County Democratic Committee, hereby permanently refrain from issuing any press releases, proclamations or responses on any matter relating to any City political issue, either in the City or outside the City, City candidates or officials or its representatives in County government.

The hasty, unauthorized and totally unexpected press release earlier this week responding to attacks by the Republican city chairman on Glens Falls' supervisors, preempted a carefully planned effort to both respond to Mr. O'Connor and use his intemperate remarks to conduct a combination mixer, rally, membership and public education forum in Downtown Glens Falls.

Because of the unauthorized and ill considered press release by the County Chairman, this effort is now permanently blown. The newspapers, having covered one response to Mr. O'Connor, will not be willing to print another. Accordingly, a one-of-a-kind opportunity has been lost. Even if the rally/mixer/forum is held, it could not achieve the level of recognition and success because the same level of publicity that might have been obtained is now foreclosed.

Because of the higher activity level on the City scene, and its very fluid nature, officers and committee members on the less active County level should always clear any such actions in the future with City Party and Elected officials.

August 13, 1995



# THE PO SUN

Home Newspaper of The Ad

## DEMOCRATIC POWER PLAY

Democrats in  
city, up-county  
vie for control

By Michael DeMaio  
Staff Writer

"Bad blood" among leaders of the Warren County Democratic Committee could spell trouble for a party already far outnumbered by Republicans.

The rift is characterized by some as a power play between city and up-county Democrats. Though many in the party downplay the dispute, it could have broader implications as Democrats try to increase their ranks in a region traditionally dominated by the GOP.

"There's bad blood," said Mary Jane Dower, vice chairwoman of the county committee. "There's a few in Glens Falls that want to run everything."

Up-county Democrats, none of whom hold elected office, complain that a core group of city Democrats are trying to usurp their authority.

Tom O'Dea, Warrensburg town Democratic chairman, compared the situation to a minor league baseball team trying to tell its major league affiliation what to do.

"Normally, that doesn't sit well," O'Dea said.

Dower and others say that those vying for control are Councilman-at-Large Richard Dudley, his wife and brother, 5th Ward Councilwoman Margaret Farrell and her husband, and Treasurer Paul Kemnitz.



Richard Dudley



William A. Montfort

"Bill has a harder time controlling his temper. And his people skills have diminished for some reason."

**Richard Dudley,  
Councilman-at-Large**

"It's like it's got to be their way or not at all. It's like there's no bending. No giving."

**William A. Montfort,  
County Democratic  
Committee Chairman**

The Dudleys, Farrells and Kemnitz are part of the 28-member city Democratic Committee. That committee is part of the 72-member countywide committee, and is governed by its rules.

Committees are the organizational arm of political parties, and members serve without pay. Not all members are active, so ultimate control lies with a smaller group of people.

"There's the city committee and

the 'noise' of the city committee," said James Gray, who served as secretary of the county committee until moving to Tennessee last month.

"They're frequently inclined to intimate that the city committee is crucial to the life and death of the county committee," Gray added.

Richard Dudley said it isn't fair to have to respond to someone who no longer lives in the area and isn't a member of the committee.

**See Controls Each Page**



# Control

From Page A1

He's taken heat, he said, because he's been willing to air his criticisms publicly, a tactic that many find threatening.

"There are times when all of us like comfortable mediocrity," Dudley said. "And we shouldn't do that. ... We should challenge ourselves to do better."

## A 'confrontational' style

Since taking office in January 1994, Dudley has embarked on an outspoken — some would say bombastic — path to change the way the city does business and bring it, in his words, into the modern world.

"A lot of people are getting tired of living in a city where the government is acting as if it were living in the first part of the 20th century, and not the second," he said recently.

His brashness, up-front style and open challenges to Mayor Vincent J. De Santis' authority often generate criticism in a city that's more used to a conservative approach to politics.

But Dudley's demeanor doesn't just unnerve those who serve in the opposition party.

"Dick (Dudley) tends to be apocalyptic about most everything," Gray said. "I have to take most of what he says and put it back into perspective. He's given to hyperbole."

Gray said he was disappointed by Dudley's performance after he became councilman at large.

"It seems that (he) alienated a lot of people off the bat, which is the opposite of what you're supposed to do in politics," Gray said.

Warren County Democratic Committee Chairman William A. Montfort, an up-county resident, describes it as a "confrontational" style of both Dudley and his brother, Larry, a trusted adviser.

"It's like it's got to be their way or not at all," Montfort said. "It's like there's no bending. No giving."

But Richard Dudley said there's been an "inexplicable change" in Montfort's attitude over a period of time.

"Bill (Montfort) has a harder time controlling his temper," said Dudley. "And his people skills have diminished for some reason."

Uneasiness with the Dudleys was compounded by what Montfort and Gray considered to be more talk than action by some city Democrats.

Last fall, Montfort said he and other up-county committee members came to Glens Falls on Election Day to make phone calls to registered Democrats urging them to vote for then-Gov. Mario M. Cuomo.

Citywide, Cuomo received 1,644 votes, according to the county Board of Elections, compared with 2,135 in Queensbury, which has a lower percentage of registered Democrats.

than Glens Falls.

In previous election years, Cuomo has gotten 2,200 to 2,700 votes from the city, records show.

The numbers lead Montfort and Gray to believe city Democrats didn't work to get out the vote for Cuomo.

"Their allegations of their importance aren't supported by events and reality," Gray said.

While Kennitzer admitted he was busy on Election Day and didn't have time to make calls, both Larry and Richard Dudley said they and others helped by contacting people and being present at the polls.

"I'm afraid the problem there was the Cuomo campaign," Richard Dudley said. "It had nothing to do with Bill Montfort or any of us."

## I don't want us to be ditto-heads

Montfort and others believe the party will be able to move forward despite the rift, but it could make life a little tougher for a group whose voter registration lags behind Republicans by more than 2-to-1.

Infighting could discourage others from joining or being active in the committee, Montfort said, and make it harder to raise money and recruit candidates for office.

"As much as I don't want us to be ditto-heads, I want us to get along," said Montfort, who has been chairman since 1989. "Because we can't afford that division. We're so small."

The last time Montfort used the phrase "ditto-heads" in the press, he was responding to a partisan attack by the chairman of the city Republican Committee, Michael J. O'Connor.

The decision to respond to O'Connor got Montfort in hot water with some on the city Democratic committee and, Montfort believes, ultimately got him fired from his state government job.

"The only thing that bothers me, in doing my job as county chairman and sticking up (for the party) in Glens Falls, I believe I lost my position," Montfort said, "and these individuals didn't appreciate what I was doing for the county committee."

But Dudley and Kennitzer see it differently. At the time Montfort reacted to O'Connor's remarks, the city Democrats were preparing their own response and wanted to coordinate it with a planned fund-raiser.

When a story about Montfort's reaction appeared in *The Post-Star*, the city Democrats were upset because they felt Montfort had preempted them and stolen their thunder.

With an almost even split of registered Democrats and Republicans in Glens Falls, and 86 Democrats north of the city holding elected office, Kennitzer said the committee has to realize where its strength lies.

Outside of Glens Falls, Republicans hold a 27,815 to 11,250 advantage over Democrats, records show.

"This is where the Democratic party is successful," Kennitzer said. "In the city."

Being an elected official doesn't entitle him or others to more power on the committee though, Kennitzer said, and he disputes the notion city Democrats are trying to undermine Montfort.

"Why would we want to take on more responsibility than we have?" he said. Dudley added he knows of no one challenging Montfort for the chairman's post.

## A letter leads to a dispute

The recent falling out between Montfort and some city Democrats seems trivial in retrospect, but demonstrates how fractured the committee has become.

In May, O'Connor, the head of the city Republicans, accused Democrats on the Warren County Board of Supervisors of being ineffective. There are only four Democrats on the board, all of whom represent wards in the city. Two are running for reelection this year.

On the day O'Connor's remarks appeared in *The Post-Star*, county Democratic leaders held a meeting.

Farrell, chairwoman of the city Democratic Committee, said in the article that day she was preparing a response to O'Connor's remarks and would be releasing it soon.

At the leaders' meeting, Farrell repeated she was working on the response, Montfort recalled, but she didn't mention anything about tying it together with a fund-raiser.

Dower, vice chairwoman of the committee, has the same recollection.

"We didn't understand there was all this other stuff in the background," Dower said of the city Democrats' plans. "We knew nothing as the committee about this."

A message was relayed to Montfort from his wife, Lois, that the Democratic county supervisors wanted Montfort to respond to O'Connor's remarks. They didn't want to wait for Farrell.

"There was a consensus of those supervisors that I should respond," Montfort said. "That was said to me by Lois in front of Margaret (Farrell). I said I was going to respond. Some of the chair asked Margaret what she was going to do. She (said) she was going to prepare a release. We knew they were working on a newspaper to send out to people in Glens Falls listing their accomplishments. The impression was that was how they'd handle it."

A couple days later, Montfort sent a letter to *The Post-Star* defending the Democrats on the county Board of Supervisors and called the Republicans "ditto-heads," among other things. His comments appeared in a story soon after.



Montfort's actions blew the city Democrats' plans to release a statement to the press along with the date of a fund-raiser, Kemnitzer and Richard Dudley said, and several felt their toes had been stepped on. They felt publicity for the fund-raiser would have been greater if it had been tied in with the Democrats' reaction.

Dudley claims Montfort knew of the city Democrats' plans for the fund-raiser. Farrell wasn't sure, but thinks she told Montfort of the plans.

To send a message to Montfort and the county committee, city Democrats unanimously passed a resolution to prevent anyone else from writing press releases or talking to reporters on matters dealing with city political issues.

"We were just asking Bill (Montfort) to call up the city chair and ask, 'How do you want to handle this?'" Kemnitzer said.

But when the resolution was discussed at the countywide meeting on May 31, it set off a contentious debate that ultimately ended with a show of support for Montfort.

"I just thought it was inappropriate," said Thomas Harig, a Queensbury committee member.

"I, for one, resented that resolution because in there it seemed to say the city Democrats have a monopoly on talent and brains," said Rudolph Meola, a Hague committee member.

Montfort made a statement in his own defense at the meeting, saying the county chairman's job is to be the spokesman for the entire county.

"We all have a right to say anything we want to say, about a particular situation, wherever it may be, without fear of being gagged," his statement reads, in part.

Montfort was also incensed because 10 days after he made the comment, he was fired from his job

with the Governor's Traffic Safety Committee, a state agency that coordinates federal grants for highway safety projects.

Though a spokeswoman for the state Department of Motor Vehicles has said Montfort wasn't singled out for any political reasons, Montfort believes there was a link between the events because of the manner in which he was told he was fired.

A superior told him the firing was "political," and that the order came from the "second floor," a reference to Gov. George Pataki's office in the state Capitol, Montfort said.

A political clearinghouse is common when a new party comes into power at any level of government, but Montfort was surprised the governor's office would get involved in such a minor position as his.

### A showdown may be brewing

Several city and up-county Democrats on the committee said the dispute with Montfort has been blown out of proportion.

"I think the Republicans are far more divided than we are," Larry Dudley said. "If there is bad blood in the Democratic Party, it's not even one-tenth of what's going on in the Republican Party."

Richard Dudley also downplayed the rift, and said there are alliances between city Democrats and those up-county. If there is another showdown, it will likely come after the Sep. 12 primary, when the committee meets to fill seats. Members serve a two-year term, and those who filed valid petitions last month will automatically be on the

Of the 28 people on the city committee, 22 turned in petitions to retain their seats -- including the core group of Democrats -- and 10 new people also filed. That would boost the city committee to 32 members.

Up to 38 can serve on the city committee.

Montfort said he actively recruited people to serve on the county committee, and admitted he placed an emphasis on attracting more to the vacant seats in Glens Falls.

Asked if he did that as a way to dilute the power of the Dudleys, Farrells and Kemnitzer, he said, "Yeah, just like if they filled the seats themselves."

But he said it wasn't a vendetta.

# Democrats' squabble intensifying

Some members call on state to audit committee finances

By Michael DeMaio  
Staff Writer

Infighting and distrust within the Warren County Democratic Committee have gotten so bad that a group of Glens Falls-based party members are calling on the state to audit the committee's finances.

The squabble will be vented tonight when the committee meets behind closed doors to elect its slate of officers for the next two years.

In a recent letter to the state Board of Elections, six prominent city Democrats — including Treasurer Paul Kemnitzer and Common Council members Margaret Farrell, Richard Dudley and David Cooney — say there has been "a lengthy series of very troubling irregularities and actions" by committee Chairman William A. Montfort.

Specifically, they claim Montfort has been reluctant to turn over detailed information about the committee's finances.

Montfort said he and other committee members who oversee the finances wouldn't open the books because they were insulted by the suggestion something underhanded was being done.

But, Montfort said, "I don't have any problem with the world seeing what's in there."

A spokesman for the state Board of Elections said the audit request has been turned over to the agency's enforcement counsel, Stanley Zelen, for review.

But the agency's backlog of cases means, even if the agency decides an investigation should be done, it likely wouldn't be started for months.

The city Democrats say Montfort told them last spring the committee could spend \$4,000 on a "newspaper" heralding the Democrats' accomplishments in office, but later backed off the pledge because he said there wasn't enough money to pay for it.

"At one point in 1991 the Warren County Democratic Committee had approximately \$14,000 in its

## Squabble

From Page B1

a \$4,111 balance.

When asked earlier this year whether the committee would pay for the newspaper, Montfort said he told the city Democrats there wasn't enough money to do a citywide mailing, but that it could be printed and distributed by hand.

"They did not want to do this," Montfort said.

The city Democrats also say the treasurer of the committee, William McCarthy of Queensbury, "leaves behind a stack of signed official (committee) checks" for Montfort when McCarthy goes to Florida. McCarthy is retired and spends most of the year in Florida.

"In practice, Mr. Montfort can sign checks and expend funds of the Warren County Democratic Committee on his sole authority," the letter states.

But Montfort said McCarthy takes the committee's checkbook with him to Florida. When he needs money, Montfort said, he calls McCarthy, who signs a check for the right amount and mails it to

Montfort, who also signs it.

To save the 25-cent per check charge, Montfort said the checks are made out to cover several expenses at once, something he admits "probably isn't the right thing to do."

Montfort believes there are receipts for all the transactions, except for money reimbursed to Dudley and his wife, Pat, after the 1993 election for campaign headquarters expenses.

"These people would have been insulted if I asked for a receipt," Montfort said.

Friction between the city Democrats and Montfort has grown over the last several months because of personality conflicts and the feeling among some committee members that city Democrats are trying to rule the party. City Democrats deny that assertion.

Last month, Kemnitzer learned the state Board of Elections may be investigating nominating petitions handed in by himself and his father, possibly because of a forgery. Kemnitzer said there was no forgery, and asserts the allegation came from Montfort or other leaders of the party.

Montfort said he doesn't know who questioned the petitions, and denies any involvement.

treasury," states the Sept. 8 letter, which was obtained by *The Post-Star*. "There was no local, that is city, town or county, taxes last year that would have prompted large expenditures of money."

Montfort said he doesn't know where the city Democrats got the \$14,000 figure, and that he offered a compromise for distributing the newspaper, but was turned down.

"I don't know what these people smoke," said Montfort, who was fuming over his fellow Democrats' allegations.

According to a financial disclosure statement for the six months ending January 1993, the county Democratic Committee had

See Squabble: Next Page

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## Democrat claims suspicion remains on Montfort actions

By Michael DeMasi  
Staff Writer

GLENS FALLS — An internal review of Warren County Democratic Committee finances has uncovered no wrongdoing, but a prominent city Democrat said some suspicion remains about the actions of Chairman William M. Montfort.

City Treasurer Paul A. Kemnitzer doesn't question the findings that the committee's canceled checks match the receipts for expenses incurred since July 1993. But, Kemnitzer wants the opportunity for him or anyone else on the committee to look at the expenses to ensure they're legitimate.

"If I reviewed it and everything was fine, that would be the end of it," said Kemnitzer, a certified public accountant, on Thursday.

Kemnitzer and other city Democrats have raised questions about Montfort's handling of the committee's books, and asked the state Board of Elections in September to do an audit of the finances. That request is still pending, according to a state spokesman.

Montfort has denied any mishandling of funds, and believes the questioning is fueled by personal animosity on the part of Kemnitzer and some other city Democrats.

When Montfort was re-elected as county chairman in September, the group agreed to have the finances reviewed by city and town Democratic chairmen, a group which doesn't include Kemnitzer.

The chairmen checked the documents at a meeting Wednesday night. The county committee's treasurer, Thomas P. O'Dea, told the full committee of the findings.

In an interview Thursday, O'Dea said although there weren't receipts for every transaction, he found nothing irregular about the records.

In some cases, he found no receipts for campaign contributions, but O'Dea said that's common since a donor often doesn't expect the candidate to write a receipt.

The donor is giving the money in part because he or she trusts the candidate, O'Dea said, so there's

no reason for the receipt. In other cases, there was no receipt for checks made out to the post office.

"I don't think a reasonable person would say (having) no receipt for the postmaster (is an) irregularity," O'Dea said.

Kemnitzer said he tried to make a motion to allow for inspection of the records by any committee member — with the oversight of Montfort and O'Dea — but, he said, Montfort stopped him.

"It creates suspicion in people's minds when he's so adamant not to let anyone look at it," Kemnitzer said.

Montfort couldn't be reached for comment. The city Democratic chairman, Daniel S. Martindale, looked at the records and said everything was in order.

Martindale said he'll be making a more complete report about the finances when the city committee meets later this month.

"It wasn't that detailed of a report given to the (county) committee," Martindale admitted. "Once I'm given the chance to give my report, maybe Paul (Kemnitzer) would change his opinion."

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The Post-Star, Thursday, July 24, 1997

## Fight

From Page B1

members will be selected in the primary. If all of the Dudley faction's 19 candidates win committee seats, they will have 51 percent needed to oust Martindale and take over the committee.

But even if all the faction's candidates aren't successful, Kemnitzer said it may be able to win the support of other committee members and still win control.

"There's a good chance we'll take over the city committee," he said.

Martindale isn't taking that threat lightly.

"They could conceivably do it," he said.

But Martindale said he anticipated their plan and has tried to block it by finding candidates for most committee seats.

# Fight brewing in Glens Falls for control of Democratic Party

By Paul Ertelt  
Staff Writer

GLENS FALLS — There will be a battle for the heart and soul of the city's Democratic Party on Sept. 9.

On that day, primaries will be held for Democratic Committee seats in 12 of the city's 19 election districts.

"It's an extremely unusual number," said city Chairman Daniel S. Martindale. "For the most part, they're uncontested."

The primaries are the result of the plan of Councilman-at-Large Richard E. Dudley and his supporters to gain control of the party, and of Martindale's efforts to

stop them.

"We feel the Democratic Party needs to go in a different direction," said city Treasurer Paul A. Kemnitzer, one of Dudley's chief political allies.

Kemnitzer and Dudley's wife, Patricia, are vying for the two committee seats in Ward 2's Election District 1. They face Daniel L. and Sharon M. Hall. Kemnitzer's two sisters and parents are also vying for committee seats.

But the premier race will be in Ward 2 District 4, where Dudley and his brother, Lawrence E. Dudley, are competing against Martindale and Bruce A. Cabana.

In 1995, Martindale ousted longtime city

Chairwoman Margaret J. Farrell, who is also a city councilwoman representing the city's 5th Ward, during a divisive struggle for control of the committee. Since then, Martindale has often been at odds with the city's elected Democrats.

Farrell is running in a four-way primary for a committee seat in Ward 5 District 1.

All told, the Dudley faction filed petitions for 20 committee spots, but the petition for Farrell's son, John, was disqualified on a technicality Tuesday.

There is only one candidate running in one district, so that means 37 committee

See Fight: Next Page

DOC-#8

**Felony Pleas**  
A Lake George man pleaded guilty  
to two of three felonies. **B5**

# Local

**Also inside**  
Bulletin Board **B6**    Obituaries **B6**  
Columns **B4**    Comics **B7**

**B**

The Post-Star, Thursday, September 11, 1997

City Editor Mark C. Mahoney: 792-3131, Ext. 3220

## QUOTABLE

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They called in a variety of emergencies. Accidents, fires, bomb threats. But they never gave a location, except saying 'school' or something like that.

State Police Sgt. Barbara McHugh, referring to the teenagers who called.

## Dudley, allies swept from committee

By Paul Ertelt  
Staff Writer

**GLENS FALLS** — The battle for the soul of the city's Democratic Party is over, and city Chairman Daniel S. Martindale appears to be the winner.

At least, his staunchest Democratic enemies have been vanquished.

In Tuesday's primary, Richard E. Dudley, president of the Common Council and Martindale's chief nemesis, lost a bid for a committee seat, as did some of his closest political allies.

"I think it shows the direction that Democrats in the city wanted to go," Martindale said.

Dudley did not return a phone call seeking comment Wednesday afternoon.

Dudley's wife, Patricia; his brother, Lawrence A. Dudley; city Treasurer Paul A. Kemnitzer; and 4th Ward Councilman David F. Cooney were all bumped from the committee by Democratic voters.

Cooney also lost his bid for the Democratic nomination for his council seat to political novice Mark U. Caruso Sr. He lost his bid for the committee seat at the hands of Caruso and Caruso's wife, Lee-Anne.

All told, of the 19 committee candidates put up by the Dudley faction, only five were elected.

Among the faction's successful candidates

was 5th Ward Councilwoman Margaret J. Farrell, but her husband, Ralph, was defeated. Mrs. Farrell was the chairwoman of the city committee before she was ousted by Martindale in 1995.

Kemnitzer's father, John E. Kemnitzer, retained his committee seat, and his mother, Mary E. Kemnitzer, also won a committee seat. But Paul Kemnitzer's two sisters, Lyn and Susan, were defeated in their attempts to gain committee spots.

There were contested races for committee seats in 12 of the city's 19 election districts.

Each district is entitled to two committee members, but only 36 seats were filled. There is a vacancy in one district, and there was a tie

vote in another. The Warren County Democratic Committee, which includes the city committee, will decide the winner of the tie, Martindale said.

The election for chairman of the city committee must be held within 19 days of the primary, but no date has been set for the vote, Martindale said. He is confident of his chances of holding his post as head of the committee.

"I think I solidly have 24 votes," he said.

County Democratic Committee Chairman William A. Montfort, Martindale's political mentor, faced no opposition in his bid to retain one of Johnsbury's two committee seats. Montfort is expected to be re-elected as county chairman.

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## Spoils of Lake George

**By Ginger Henry**  
Chronicle Staff Writer

The former chairman of the Democratic Party in Glens Falls is out of his job at the beach. The brother of the executive director of the state Republican Party is in. And so it goes at Lake George's Million Dollar Beach and other state-owned facilities in the wake of the change in administrations in Albany.

Is it illegal, is it an outrage, is it fair turnabout, is it merely the system at work? Different factions have dif-

ferent views on the restaffing under way now that the GOP under Gov. George Pataki has come to power after 20 years of Democratic governors.

### Political patronage rules in NYS summer jobs

"It's a fact of life. The Democrats did the same thing when they came into power," says Robert M. Allen, Republican Commissioner of Elections in Warren County and a candidate for chairman of county Republican Committee. "These people who got these jobs knew when they got them how they got

Turn to page 16

## Coffee bar at library

**By Hae Banner**  
Chronicle Freelance

Uncommon Grounds, a Saratoga Springs coffee bar, has leased space for a satellite operation in the town's new

### 'Uncommon Grounds' at new Spa library

public library, which is expected to open this summer

Turn to page 23



# Life's a beach: Political party

## GOP shift is on for summer jobs with NYS

From page one

them." He contends that anyone who gets a job based on political pull has to reckon with the fact that when the pull is gone, his or her job might also be gone.

### Don Johnson's fate

Daniel Johnson, a Democratic committeeman in Warren County as well as Glens Falls, worked for the Department of Environmental Conservation in Lake George every summer from 1981 to 1994, including the past five years as head manager, he said. His sphere of operations, he told *The Chronicle*, included Million Dollar Beach, the parking facility, Battleground Park picnic grounds and Prospect Mountain Highway.

"Every year in December," he said, "I got an application in the mail from DEC." And every year, he filled it out, sent it in and was re-hired. "This year, I didn't get the application until April," Johnson said that he got a call from DEC's Jim McEnaney after spring break telling him that he would not be hired this year. No reason was given, he said.

McEnaney could not be reached for comment, but DEC confirmed that Johnson has been replaced by Edward Reichenbach, brother of Brian Reichenbach who is the Executive Director of the Republican State Committee. The job pays \$10.69 per hour.

Also not rehired was Johnson's assistant, Marjorie Mannix, who had worked at the beach every summer since 1980, and his secretary, Sibella Healy, a college student who had held the job for five years. Healy is the granddaughter of former Warren County Democratic Chairman Daniel Healy.

Mannix contends that when she was first hired "it didn't matter which party you were from," though she admits it helped to know someone. She said she got her job originally through an appointment by Robert Flacke, a Democrat who was then Commissioner of DEC. The difference between then and now, she said, is that she was filling a vacant position.

### Hiring vs. firing

At press time, this statement could not be confirmed or denied by the DEC, but Mannix's view drew support



Chronicle Photo/Ginger Henry

from James Martin, a labor relations specialist with the Civil Service Employees Association (CSEA) for District 4. He told *The Chronicle*, "We have asked our attorneys to take a good hard look at this case. Based on what we think we know, these three people were good seasonal employees, it was recommended that they be rehired and they were not re-hired."

Martin says the case is unusual in that three employees at the same facility were not re-hired despite a recommendation to the contrary.

"The DEC has the right to determine which positions will be filled and who will fill them," Martin said, but added that CSEA takes the stand that if a qualified worker reapplies for a position, he or she should be reinstated. "My understanding is that no grounds were given for not re-hiring these employees," Martin said.

The Republicans are quick to point out that the policy of "out with the old, in with the new" is not something that their party has a monopoly on.

### 'Are you an enrolled Republican?'

Ken Parker, who recently stepped down from his position as Warren County Republican Committee Chairman, said, "It's a fact of life that when the administration changes, so do many of the people working in the administration." He termed this good management practice, stating that administrators need to be sure that the people who are working for them are willing to carry out their policies. He said that he feels this also applies to seasonal non policy-making jobs. Asked about a printed employment application of which *The Chronicle*

has a copy and which asks point blank, "Are you an enrolled Republican? If under 18, are your parents enrolled Republicans?" Parker said, "It's a good way for a political party to be able to inventory prospective employees who are in need of a job."

Parker was adamant in stating, however, that "there is no intervention in the hiring process." He said that he knows about the form, but doesn't know where it comes from. He also admitted that he "probably" has handed some out, but explained that the forms are kept in a file and, when the party hears about job openings, it makes recommendations based on this file. He stressed that education and experience befitting the job are "imperative."

### In the Cuomo era

William Montfort, Warren County Democratic Chairman, claims that patronage was handled differently under the Cuomo administration. He said that county chairmen "would make recommendations for seasonal appointments" but that "we were told by the Appointments Office (in the Governor's Office) that we could not recommend anyone to replace someone else. We only made recommendations for open positions."

Montfort says the number of appointments was reduced after the Illinois Supreme Court ruled in 1990 that, for lower-level, non policy-making jobs, hiring or firing based on politics is discriminatory.

"Even though this did not apply to New York," Montfort said, "our governor took it seriously. We started getting a few less jobs to fill and the

# atronage

agencies started hiring the people they wanted."

"Patronage isn't a bad thing," Montfort said. "What's important to me is that people get the jobs that they are qualified for and that appointments are only used to fill a vacancy, not to replace a qualified employee. If you use the appointment system right, then it isn't hurting anyone."

## Montfort's rise & fall

Montfort himself seems, at once, to have been both a beneficiary and victim of the system. From last year until this past May, he held an appointed \$43,620-a-year job with the Governor's Traffic Safety Board. His wife Lois has been Democratic Commissioner of the Warren County Board of Elections since January 1991. It's a \$34,807 job that is one of a handful of county jobs filled by Democrats.

Montfort contends he lost his state job shortly after criticizing Glens Falls Republican Chairman Michael O'Connor's attack on Democratic Supervisors from Glens Falls. "On May 26, I got called upstairs to meet the Deputy Commissioner," Montfort said. "I said, 'Glad to meet you' and he said 'No, you're not because we got a call from the second floor (the Appointments Office) and you're out of here.'"

With last fall's change in administration, Montfort said, "A number of names that I used to put in for jobs changed their politics. I don't know if they were pressured or not. Most who did not change their politics are no longer working for the state." He admits, however, that some retained their jobs despite their Democratic affiliation.

Michael J. O'Connor, Glens Falls Republican Chairman who had been seeking to succeed Parker in the county's top GOP post, said, "It's traditional that the party does have some influence for summer employment. Probably there will be some employees who are Republicans and some who are Democrats." His position is that if two applicants are equally qualified, then it is valid to choose one based on his or her political affiliation.

A former state employee remembers that he was often asked to hire seasonal employees simply because they were recommended by some politician. Some of them, he said, were worthless and drunks. On the other hand, he added, he also was not averse to using his influence to get good people hired.



Stuart H. Brody, Esq.

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December 5, 1997

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F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docketed Federal Election Commission  
Washington, DC 20463,

Re: MUR 4699

Dear Mr. Turley,

I am the Chair of the Essex County Democratic Committee. I am in receipt of your letter of November 18, 1997 which I received just before Thanksgiving. I then left on business from December 1 through 5 and I'm leaving on an extended vacation tomorrow until the New Year.

I do not have any of the files with me and my travels have prevented me from discussing this matter with the Treasurer of the Committee. I have also been unsuccessful in reaching my attorney, William Greenawalt, whose name was filed with the FEC with my initial appearance.

Please be sure that we will cooperate fully, but I am unable to do so until January 5, 1998.

If you need to reach me, please call my office at either of the numbers above.

Sincerely,

Stuart H. Brody





FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

December 9, 1997

Stuart H. Brody, Esq.  
P.O. Box 2616  
Plattsburgh, NY 12901

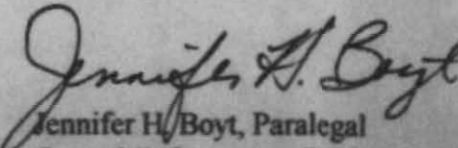
RE: MUR 4699

Dear Mr. Brody:

This is in response to your letter dated December 5, 1997, which we received on December 8, 1997, requesting an extension to respond to the complaint filed in the above-noted matter. After considering the circumstances presented in your letter, the Office of the General Counsel has granted the requested extension. Accordingly, your response is due by the close of business on January 5, 1997.

If you have any questions, please contact me on our toll-free telephone number, (800) 424-9530. Our local telephone number is (202) 219-3400.

Sincerely,

  
Jennifer H. Boyt, Paralegal  
Central Enforcement Docket

98043892896



# Saratoga County Democratic Committee

Thomas M. Bayly, Chairman  
Box 280  
Clifton Park, New York 12065  
237-6815

December 6, 1997

F. Andrew Turley  
Supervising Attorney  
Central Enforcement Docket  
Federal Election Commission  
Washington, DC 20463

Dear Mr. Turley

RE: MUR 4699

Please note that this case has been reviewed by the New York Board of Elections which found no cause for action. This is the second review the Federal Board of Elections has made. We find we have done nothing wrong or illegal pertaining to the Election Law.

We will be glad to answer any specific questions or concerns you may have.

Sincerely,

Tom Bayly/mk

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fax - 212 935 7892

111 Washington Avenue  
Albany, New York 12210  
tel - 518 449 5320  
fax - 518 449 5812

Associated Offices:  
London  
Geneva

January 15, 1998

VIA FEDERAL EXPRESS

F. Andrew Turley, Esq.  
Supervisory Attorney  
Central Enforcement Docket  
Federal Election Commission  
Washington, DC 20463

RE: MUR 4699

Dear Mr. Turley:

I have just returned to my office from an extended illness from which I am still recovering, and I have just reviewed the correspondence of Ms. Boyt's of December 9, 1997, granting me an extension to January 5, 1998, to respond in the above-referenced matter. I trust, in view of the foregoing, you can understand my inability to respond by this date.

I thought the best manner of responding to the voluminous materials you sent me was to set forth the simple facts of the Essex County Democratic Committee's contribution to the campaign of Bill Lawrence.

Sometime in the fall of 1994, I received a call from the Warren County Democratic Committee Chairman, Bill Montfort, asking the Essex County Democratic Committee to contribute on behalf of the candidacy of Bill Lawrence. I had been Chairman of the Party for less than a year, taking over from a lackadaisical administration that left our treasury with a few hundred dollars at best, and barely functioning.

I therefore explained to Mr. Montfort that his request was out of the question. He thereupon offered to donate \$1,000.00 to our Committee from his Committee, which he requested that we then donate to Mr. Lawrence's campaign. He stated that his purpose in requesting that we make this contribution was, I recall, to demonstrate a "broad base of support"

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FEDERAL ELECTION  
COMMISSION  
OFFICE OF CENTRAL  
COUNSEL

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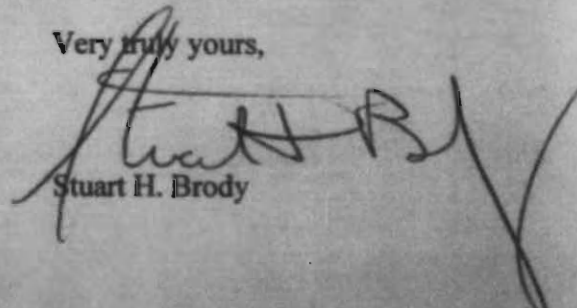
for Mr. Lawrence. At no time did he state or imply that he was asking us to do this to evade any spending limit, nor was I aware that the requested action might constitute a violation of any election law.

After speaking with Mr. Montfort, I called Matthew Dwyer, Treasurer of our Committee, and explained my conversation with Mr. Montfort, and we agreed that he should not write any check for Mr. Lawrence's campaign until he had received a check from the Warren County Democratic Committee. That was the end of my involvement in the matter: a few minutes on the phone with Mr. Montfort, and a few minutes with Matthew Dwyer.

I subsequently learned that the Warren County Democratic Committee did issue a check for \$1,000.00 which, however, bounced. Another one was issued later by the Warren County Democratic Committee, which did clear, whereupon Mr. Dwyer, our Treasurer, issued a check to the Lawrence campaign.

I trust that the foregoing is helpful in revealing the involvement of Essex County in what appears to be your investigation of Warren County financial matters. I am happy to assist you, as is Mr. Dwyer, our Treasurer. You should also know that I am being represented by Mr. William Greenawalt of Loselle, Greenawalt, Kaplan & Adler, 140 East 45<sup>th</sup> Street, 42<sup>nd</sup> Floor, New York, NY 10017. His phone number is (212) 986-6850.

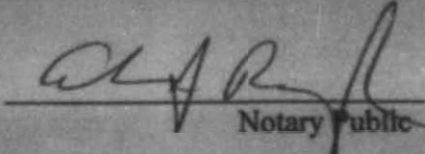
Very truly yours,

  
Stuart H. Brody

SHB/lks

cc: William Greenawalt, Esq.

Sworn to before me this 15<sup>th</sup>  
day of January, 1998.

  
Notary Public

**ALAN J. BURCZAK**  
Notary Public, State of New York  
No. 02BU5055622  
Qualified in Clinton County  
Commission Expires: 2-15-00

98043692899

## STATEMENT OF DESIGNATION OF COUNSEL

MUR 4699NAME OF COUNSEL: William S. GreenawaltFIRM: Loiselle Greenawalt Kaplan & BlairADDRESS: Two Grand Central Tower140 E. 45th StreetNew York, New York 10017-3144TELEPHONE: (212) 986 6850FAX: (212) 986 6852

The above-named individual is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

January 15, 1998

Date

Signature

RESPONDENT'S NAME: Essex County Democratic Comm: Hqs / Matthew Dwyer46 Stuart H. BrodyADDRESS: Box 127Essex, New York 12936TELEPHONE: HOME (518) 562 3131BUSINESS (518) 963 7479

JAN 20 2 35 PM '98

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

98043892900

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
WASHINGTON, D.C.

MAY 22 3 34 PM '98

In the Matter of

)  
)  
) CASE CLOSURES UNDER  
) ENFORCEMENT PRIORITY  
)

GENERAL COUNSEL'S REPORT

**SENSITIVE**

I. INTRODUCTION.

The cases listed below have been identified as either stale or of low priority based upon evaluation under the Enforcement Priority System (EPS). This report is submitted to recommend that the Commission no longer pursue these cases.

II. CASES RECOMMENDED FOR CLOSURE.

A. Cases Not Warranting Further Action Relative to Other Cases Pending Before the Commission

EPS was created to identify pending cases which, due to the length of their pendency in inactive status or the lower priority of the issues raised in the matters relative to others presently pending before the Commission, do not warrant further expenditure of resources. Central Enforcement Docket (CED) evaluates each incoming matter using Commission-approved criteria which results in a numerical rating of each case.

Closing cases permits the

Commission to focus its limited resources on more important cases presently

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pending before it. Based upon this review, we have identified 14 cases that do not warrant further action relative to other pending matters.<sup>1</sup> The attachment to this report contains a factual summary of each case, the EPS rating, and the factors leading to assignment of a low priority and recommendation not to further pursue the matter.

### B. Stale Cases

Effective enforcement relies upon the timely pursuit of complaints and referrals to ensure compliance with the law. Investigations concerning activity more remote in time usually require a greater commitment of resources, primarily due to the fact that the evidence of such activity becomes more difficult to develop as it ages. Focusing investigative efforts on more recent and more significant activity also has a more positive effect on the electoral process and the regulated community. In recognition of this fact, EPS provides us with the means to identify those cases which remained unassigned for a significant period due to a lack of staff resources for effective investigation. The utility of commencing an investigation declines as these cases age, until they reach a point when activation of a case would not be an efficient use of the Commission's resources.

<sup>1</sup> These cases are: Pre-MUR 360 (*First National Bank of Wheaton, IL*); Pre-MUR 361 (*Teresa Isaac for Congress*); MUR 4663 (*Rodriguez for Congress*); MUR 4698 (*Mayor Louis Bencardino*); MUR 4699 (*Warren County Democratic Committee*); MUR 4705 (*Fox for Congress*); MUR 4706 (*Carl Lindner*); MUR 4712 (*Fox for Congress*); MUR 4714 (*Mary Jane Garcia for Congress*); MUR 4717 (*Hostettler for Congress*); MUR 4718 (*Oxley for Congress*); MUR 4723 (*Oscar H. Flores*); MUR 4724 (*Feinberg for Congress*); and MUR 4727 (*Madison Magazine*).

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We have identified cases that have remained on the Central Enforcement Docket for a sufficient period of time to render them stale. We recommend that these cases be closed.<sup>3</sup>

We recommend that the Commission exercise its prosecutorial discretion and direct closure of the cases listed below, effective June 3, 1998. Closing these cases as of this date will permit CED and the Legal Review Team the necessary time to prepare closing letters and case files for the public record.

<sup>3</sup> These cases are: MUR 4539 (*Sallie Mae Student Loan*); MUR 4543 (*Besicorp*); MUR 4625 (*Hinojosa for Congress*); MUR 4640 (*New Mexicans Accion del Pueblo Citizen Action*); RAD 97L-02 (*Cooksey for Congress*); RAD 97L-03 (*Maxfield for Congress*); RAD 97NF-03 (*Dan Hansen for Congress*); RAD 97NF-08 (*Congressional Accountability PAC*); RAD 97NF-16 (*America's Fund*); 97NF-18 (*Faith, Family & Freedom PAC*); and 97NF-19 (*Pro-Hispanic PAC*).

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### III. RECOMMENDATIONS.

A. Decline to open a MUR, close the file effective June 3, 1998, and approve the appropriate letters in the following matters

RAD 97L-02  
RAD 97L-03  
RAD 97NF-03

RAD 97NF-08  
RAD 97NF-16  
RAD 97NF-18

RAD 97NF-19  
Pre-MUR 360  
Pre-MUR 361

B. Take no action, close the file effective June 3, 1998, and approve the appropriate letters in the following matters:

MUR 4539  
MUR 4543  
MUR 4625  
MUR 4640  
MUR 4663

MUR 4698  
MUR 4699  
MUR 4705  
MUR 4706  
MUR 4712  
MUR 4714  
MUR 4717

MUR 4718  
MUR 4723  
MUR 4724  
MUR 4727

5/22/98  
Date

LM Noble (Z9Z)  
Lawrence M. Noble  
General Counsel

980443892904



BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
Case Closures Under ) Agenda Document No. X98-31  
Enforcement Priority )

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on June 9, 1998, do hereby certify that the Commission took the following actions with respect to Agenda Document No. X98-31:

1. Decided by a vote of 5-0 to

A. Decline to open a MUR, close the file effective June 15, 1998, and approve the appropriate letters in the following matters:

- |                |                |
|----------------|----------------|
| 1. RAD 97L-02  | 6. RAD 97NF-18 |
| 2. RAD 97L-03  | 7. RAD 97NF-19 |
| 3. RAD 97NF-03 | 8. Pre-MUR 360 |
| 4. RAD 97NF-08 | 9. Pre-MUR 361 |
| 5. RAD 97NF-16 |                |

(continued)

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Federal Election Commission  
Certification: Agenda Document  
No. X98-31  
June 9, 1998

Page 2

B. Take no action, close the file  
effective June 15, 1998 and  
approve the appropriate letters  
in the following matters:

1.	MUR 4539	9.	MUR 4706
2.	MUR 4543	10.	MUR 4712
3.	MUR 4625	11.	MUR 4714
4.	MUR 4640	12.	MUR 4717
5.	MUR 4663	13.	MUR 4718
6.	MUR 4698	14.	MUR 4723
7.	MUR 4699	15.	MUR 4724
8.	MUR 4705	16.	MUR 4727

Commissioners Aikens, Elliott, McDonald,  
McGarry, and Thomas voted affirmatively  
for the decision.

Attest:

6-10-98  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

98043892906



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 16, 1998

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Lawrence A. Dudley  
20 Mason Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. Dudley:

On November 10, 1997, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

98043892907



MUR 4699

**WARREN COUNTY DEMOCRATIC COMMITTEE**

Members of the Warren County Democratic Committee (WCDC) collectively allege that the Committee unlawfully gave \$4,000 to Bob Lawrence for Congress in 1994. Of that \$4,000, Bob Lawrence for Congress refunded \$2,000 to WCDC, according to the complaint. The complainants further allege that WCDC then contributed \$1,000 each to Essex County Democratic Committee (Essex) and the Saratoga County Democratic Committee (Saratoga), with the understanding that they would contribute these amounts to Bob Lawrence for Congress. None of the county committees are registered with the FEC. Bob Lawrence for Congress terminated in 1996.

Respondent Stuart H. Brody, Chairman of Essex, acknowledges that William A. Montfort, Chairman of WCDC, donated \$1,000 to Essex and asked it to contribute this amount to Lawrence's campaign committee. Mr. Brody states that he was not aware that the requested action might constitute a violation of one or more election laws.

Respondent Tom Bayly, Chairman of Saratoga, denies any wrongdoing in this matter, and points out the New York Board of Elections had already investigated and found no cause for action.

Mr. William A. Montfort, Chair of WCDC, responds that the matter has already been reviewed by the New York Board of Elections which found no reasonable cause to believe a crime has been committed and this matter is closed. Mr. Montfort adds that WCDC requested State Board review of its financial records to confirm that there was no irregularity or wrongdoing generally. Mr. Montfort also asserts that the allegations are motivated by disagreements with WCDC on budget policy.

This matter is less significant relative to other matters pending before the Commission.

93043892908



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 16, 1998

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Margaret Farrell  
19 Bush Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Ms. Farrell:

On November 10, 1997, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

A handwritten signature in dark ink, appearing to read "F. Andrew Turley", is written over a horizontal line.

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

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MUR 4699

WARREN COUNTY DEMOCRATIC COMMITTEE

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Respondent Tom Bayly, Chairman of Saratoga, denies any wrongdoing in this matter, and points out the New York Board of Elections had already investigated and found no cause for action.

Mr. William A. Montfort, Chair of WCDC, responds that the matter has already been reviewed by the New York Board of Elections which found no reasonable cause to believe a crime has been committed and this matter is closed. Mr. Montfort adds that WCDC requested State Board review of its financial records to confirm that there was no irregularity or wrongdoing generally. Mr. Montfort also asserts that the allegations are motivated by disagreements with WCDC on budget policy.

This matter is less significant relative to other matters pending before the Commission.

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 16, 1998

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Paul Kemnitzer  
27 State Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. Kemnitzer:

On November 10, 1997, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

A handwritten signature in dark ink, appearing to read "F. Andrew Turley", is written over a horizontal line.

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

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MUR 4699

WARREN COUNTY DEMOCRATIC COMMITTEE

Members of the Warren County Democratic Committee (WCDC) collectively allege that the Committee unlawfully gave \$4,000 to Bob Lawrence for Congress in 1994. Of that \$4,000, Bob Lawrence for Congress refunded \$2,000 to WCDC, according to the complaint. The complainants further allege that WCDC then contributed \$1,000 each to Essex County Democratic Committee (Essex) and the Saratoga County Democratic Committee (Saratoga), with the understanding that they would contribute these amounts to Bob Lawrence for Congress. None of the county committees are registered with the FEC. Bob Lawrence for Congress terminated in 1996.

Respondent Stuart H. Brody, Chairman of Essex, acknowledges that William A. Montfort, Chairman of WCDC, donated \$1,000 to Essex and asked it to contribute this amount to Lawrence's campaign committee. Mr. Brody states that he was not aware that the requested action might constitute a violation of one or more election laws.

Respondent Tom Bayly, Chairman of Saratoga, denies any wrongdoing in this matter, and points out the New York Board of Elections had already investigated and found no cause for action.

Mr. William A. Montfort, Chair of WCDC, responds that the matter has already been reviewed by the New York Board of Elections which found no reasonable cause to believe a crime has been committed and this matter is closed. Mr. Montfort adds that WCDC requested State Board review of its financial records to confirm that there was no irregularity or wrongdoing generally. Mr. Montfort also asserts that the allegations are motivated by disagreements with WCDC on budget policy.

This matter is less significant relative to other matters pending before the Commission.

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 16, 1998

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Richard E. and Patricia S. Dudley  
8 Montcalm Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. and Ms. Dudley:

On November 10, 1997, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

A handwritten signature in dark ink, appearing to read "F. Andrew Turley", written over a horizontal line.

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

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MUR 4699

**WARREN COUNTY DEMOCRATIC COMMITTEE**

Members of the Warren County Democratic Committee (WCDC) collectively allege that the Committee unlawfully gave \$4,000 to Bob Lawrence for Congress in 1994. Of that \$4,000, Bob Lawrence for Congress refunded \$2,000 to WCDC, according to the complaint. The complainants further allege that WCDC then contributed \$1,000 each to Essex County Democratic Committee (Essex) and the Saratoga County Democratic Committee (Saratoga), with the understanding that they would contribute these amounts to Bob Lawrence for Congress. None of the county committees are registered with the FEC. Bob Lawrence for Congress terminated in 1996.

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Respondent Tom Bayly, Chairman of Saratoga, denies any wrongdoing in this matter, and points out the New York Board of Elections had already investigated and found no cause for action.

Mr. William A. Montfort, Chair of WCDC, responds that the matter has already been reviewed by the New York Board of Elections which found no reasonable cause to believe a crime has been committed and this matter is closed. Mr. Montfort adds that WCDC requested State Board review of its financial records to confirm that there was no irregularity or wrongdoing generally. Mr. Montfort also asserts that the allegations are motivated by disagreements with WCDC on budget policy.

This matter is less significant relative to other matters pending before the Commission.

98043692914



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 16, 1998

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

David F. Cooney  
22 Crandall Street  
Glens Falls, NY 12801

RE: MUR 4699

Dear Mr. Cooney:

On November 10, 1997, the Federal Election Commission received your complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the respondents. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

A handwritten signature in black ink, appearing to read "F. Andrew Tufley".

F. Andrew Tufley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

98043892915

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MUR 4699

WARREN COUNTY DEMOCRATIC COMMITTEE

Members of the Warren County Democratic Committee (WCDC) collectively allege that the Committee unlawfully gave \$4,000 to Bob Lawrence for Congress in 1994. Of that \$4,000, Bob Lawrence for Congress refunded \$2,000 to WCDC, according to the complaint. The complainants further allege that WCDC then contributed \$1,000 each to Essex County Democratic Committee (Essex) and the Saratoga County Democratic Committee (Saratoga), with the understanding that they would contribute these amounts to Bob Lawrence for Congress. None of the county committees are registered with the FEC. Bob Lawrence for Congress terminated in 1996.

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Respondent Tom Bayly, Chairman of Saratoga, denies any wrongdoing in this matter, and points out the New York Board of Elections had already investigated and found no cause for action.

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This matter is less significant relative to other matters pending before the Commission.





FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

June 16, 1998

Bill Montfort, Chair  
Warren County Democratic Committee  
HCR-01 Box 8  
Johnsburg, NY 12843

RE: MUR 4699

Dear Mr. Montfort:

On November 18, 1997, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Warren County Democratic Committee and its treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 614-1650.

Sincerely,

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

98043892917

MUR 4699

WARREN COUNTY DEMOCRATIC COMMITTEE

Members of the Warren County Democratic Committee (WCDC) collectively allege that the Committee unlawfully gave \$4,000 to Bob Lawrence for Congress in 1994. Of that \$4,000, Bob Lawrence for Congress refunded \$2,000 to WCDC, according to the complaint. The complainants further allege that WCDC then contributed \$1,000 each to Essex County Democratic Committee (Essex) and the Saratoga County Democratic Committee (Saratoga), with the understanding that they would contribute these amounts to Bob Lawrence for Congress. None of the county committees are registered with the FEC. Bob Lawrence for Congress terminated in 1996.

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This matter is less significant relative to other matters pending before the Commission.

98043892918



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 16, 1998

Tom Bayly, Chair  
Saratoga County Democratic Committee  
6 Cappabianca Drive  
Waterford, NY 12188

RE: MUR 4699

Dear Mr. Bayly:

On November 18, 1997, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Saratoga County Democratic Committee and its treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 614-1650.

Sincerely,

F. Andrew Turley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

20043892919



MUR 4699

**WARREN COUNTY DEMOCRATIC COMMITTEE**

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This matter is less significant relative to other matters pending before the Commission.

90043892920



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

June 16, 1998

William S. Greenewalt, Esq.  
Loselle, Greenewalt, Kaplan, Blair & Adler  
140 E. 45th Street, 42nd Floor  
New York, NY 10017

RE: MUR 4699  
Essex County Democratic Committee and Treasurer

Dear Mr. Greenewalt:

On November 18, 1997, the Federal Election Commission notified Stuart Brody of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission has determined to exercise its prosecutorial discretion and to take no action against the Essex County Democratic Committee and its treasurer. See attached narrative. Accordingly, the Commission closed its file in this matter on June 15, 1998.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 614-1650.

Sincerely,

A handwritten signature in dark ink, appearing to read "F. Andrew Tunley".

F. Andrew Tunley  
Supervisory Attorney  
Central Enforcement Docket

Attachment  
Narrative

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MUR 4699

WARREN COUNTY DEMOCRATIC COMMITTEE

Members of the Warren County Democratic Committee (WCDC) collectively allege that the Committee unlawfully gave \$4,000 to Bob Lawrence for Congress in 1994. Of that \$4,000, Bob Lawrence for Congress refunded \$2,000 to WCDC, according to the complaint. The complainants further allege that WCDC then contributed \$1,000 each to Essex County Democratic Committee (Essex) and the Saratoga County Democratic Committee (Saratoga), with the understanding that they would contribute these amounts to Bob Lawrence for Congress. None of the county committees are registered with the FEC. Bob Lawrence for Congress terminated in 1996.

Respondent Stuart H. Brody, Chairman of Essex, acknowledges that William A. Montfort, Chairman of WCDC, donated \$1,000 to Essex and asked it to contribute this amount to Lawrence's campaign committee. Mr. Brody states that he was not aware that the requested action might constitute a violation of one or more election laws.

Respondent Tom Bayly, Chairman of Saratoga, denies any wrongdoing in this matter, and points out the New York Board of Elections had already investigated and found no cause for action.

Mr. William A. Montfort, Chair of WCDC, responds that the matter has already been reviewed by the New York Board of Elections which found no reasonable cause to believe a crime has been committed and this matter is closed. Mr. Montfort adds that WCDC requested State Board review of its financial records to confirm that there was no irregularity or wrongdoing generally. Mr. Montfort also asserts that the allegations are motivated by disagreements with WCDC on budget policy.

This matter is less significant relative to other matters pending before the Commission.

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4699

DATE FILMED 7/7/98 CAMERA NO. 1

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