



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4453

DATE FILMED 1-12-98 CAMERA NO. 2

CAMERAMAN S.E.G.

98043852562



National Republican Congressional Committee

Bill Paxon, M.C.
Chairman

Maria Cino
Executive Director

ORIGINAL

September 6, 1996

BEFORE THE FEDERAL ELECTION COMMISSION

Mike Ward for Congress Committee)

William Carl Fust, W. Allen Priest, and Donna L. Heitzman)
Treasurers to Ward for Congress)

Jefferson County Democratic Executive Committee)

Stephen G. Horner, Esq., Treasurer to the JCDEC)

MUR #

4453

SEP 6 3 03 PM '96

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF ORIGINAL
JUL 23 1996

COMPLAINT

The NRCC, by and through its Executive Director, Maria Cino, brings this Complaint pursuant to 2 U.S.C. § 437g(a)(1) (1994). The N.R.C.C. is located at 320 First Street, S.E., Washington, D.C. 20003.

I. SUMMARY

The Mike Ward for Congress Committee has engaged in a pattern of inaccurate reporting and unlawful campaign practices including:

1. Knowing illegal acceptance of an excessive election-eve contribution from an unregistered local party committee;
2. Failure to File a required FEC Statement of Candidacy for 1996 for over two hundred days;
3. Unilateral, unauthorized and unlawful re-designation of PAC contributions.

These activities highlight willful non-compliance with federal election laws and woeful lack of understanding of basic disclosure requirements. Ignorance of the law is no excuse, and political operators such as Mike Ward and Stephen Horner owe more to the citizens of Kentucky.

320 First Street, S.E.
Washington, D.C. 20003
(202) 479-7020

COUNT I.

***Mike Ward Knowingly Accepted an Excessive Contribution
On the Eve of the 1994 Election from a Local Party Committee
That Had Terminated its Federal Account Several Months Prior***

A. **FACTS**

1. On its October 24, 1994 pre-election report, the Ward for Congress Committee itemized a \$5,000 contribution allegedly received from the "*Louisville-Jefferson County Democratic Exe. Comm.*" ("LJCDEC"). *See Ward Committee's 10/24/94 FEC Filing Schedule A, Line 11b, page 1 (attached hereto as Exhibit 1).*
2. Ward reported receipt of this contribution on October 12, 1994 and listed the address of LJCDEC as "Mid-City Mall, 1250 Bardstown, Louisville, KY, 40204," the same as his own campaign headquarters. *Id.*
3. According to the FEC, there has never been a federal political committee named LJCDEC.
4. There was a federal committee know as the "Louisville-Jefferson County Democratic Party" ("LJCDP") that registered with the FEC in 1992. But the LJCDP made absolutely no contributions to any candidates in 1994, had been previously warned against mixing federal and non-federal activity by the FEC, and sought and received termination approval from the FEC on July 22, 1994. *See LJCDP FEC Report 7/1/94 (Exh. 2).*¹
5. The Jefferson County Democratic Executive Committee ("JCDEC") is a local party committee that has never registered with the FEC. Mike Ward served as treasurer of this local party committee for eight years. *See Al Cross, "Ward's Action Rile Some Democrats Into Skipping Convention," The Louisville Courier-Journal, June 13, 1992 at 7A (Exh. 3).* Louisville attorney Stephen G. Horner was acting treasurer for the JCDEC in November, 1994 and continues to serve as such.
6. Stephen Horner concurrently served as treasurer to the JCDP (the federal account) until July 22, 1994, when the JCDP terminated its existence with only \$765.00 cash-on-hand.
7. On October 7, 1994, the Louisville *Courier-Journal* depicted Ward's race against Republican Susan Stokes as "a dead heat" where "Stokes, whose campaign is expected to have the most money, could surge ahead if Ward can't match her spending on television and radio advertising." *See Rick McDonough, "Bluegrass State Poll: Stokes, Ward Race is Neck and Neck Campaign," The Courier-Journal, Oct. 7, 1994 at 1A (Exh. 4).*

¹ The FEC recently confirmed that "the JCDEC is no longer registered [with the FEC] as a political committee." *See FEC Advisory Opinion 1996-8.*

8. Five days after this article, and just 28 days prior to the general election, Mike Ward accepted \$5,000 for his campaign from the JCDEC, the unregistered local party committee of which he used to be treasurer. This contribution was Ward's largest single receipt in the thirty-days prior to election day.

9. The JCDEC reports making this contribution from its non-federal account on the very same date, noting the address of Ward for Congress as the basement of its own building. *See JCDEC December 7, 1994 State Disclosure Report (Exh. 5)*. The JCDEC in no way indicated that only "permissible funds" were contributed. Ward also fails to indicate whether "permissible funds" were contributed.

B. DISCUSSION

A local party committee that has not registered as a "political committee" with the Federal Election Commission may contribute no more than \$1,000 per candidate per election. *See 2 U.S.C. § 441a(a); see also 11 C.F.R. § 102.5(b)*. The JCDEC *never* registered as a political committee with the FEC. Indeed, the JCDEC and its treasurer Stephen Horner spent a good part of 1994 terminating a separate federal committee, the JCDP. Horner's predecessor as JCDP treasurer worked with Horner to rectify illegal mixing of federal and non-federal activity that had occurred in 1992, specifically promising the FEC in 1993 that:

There were no transfers of funds between the federal and non-federal accounts.
Once we became aware of the need to establish separate accounts, we did so.

See Ryan Letter to McBride, Nov. 10, 1993 (Exh. 6) (emphasis added); see also Horner Let. to Gibson, Oct. 30, 1993 (Exh. 7). Horner even thanked the FEC for accepting the termination of the federal committee in August 1994. *See Horner Letter to Wall, Aug. 25, 1995 (Exh. 8)*.

The election-eve contribution of \$5,000 by an unregistered local party committee was excessive and unlawful. From his numerous correspondences with the FEC during the termination of the federal JCDP account, Stephen Horner knew that such federal contributions by non-federally-registered committees were illegal. As former 8-year treasurer to this very committee, Mike Ward knew or certainly should have known that the huge election-eve contribution from the local party committee upstairs -- the largest single contribution Ward received in the 30 days prior to election day -- was illegal as well.

Note that a party committee must register as a federal committee if its contributions to all federal candidates exceed \$1,000 per year in the aggregate. The JCDEC contributed at least \$6,000 to federal candidates in the final month of the 1994 elections but never registered with the FEC thereafter. *See JCDEC 12/7/94 State Disclosure Report (Exh. 5)*. Indeed, Mr. Horner knew of these federal reporting requirements and specifically rejected them, writing to the Commission in August of 1995 that the JCDEC "do[es] not choose to accept the financial reporting requirements involved with fund-raising and expenditures for" a federal presidential campaign. *See Horner Letter to Wall, Aug. 25, 1995 (Exh. 8)*. Finally, neither Ward for

Congress nor the JCDEC indicated that only "permissible funds" were contributed, as is legally required. See 11 C.F.R. § 102.5(b)(1)(ii).²

COUNT II.

Congressman Ward Failed to File a Required Statement of Candidacy for the 1996 Elections for Over Two Hundred Days.

Under federal law, an individual becomes a candidate for federal office -- and thus triggers registration and reporting requirements under the Act -- when campaign activity exceeds \$5,000 in either contributions or expenditures. See 2 U.S.C. § 431(2)(A). Once an individual becomes a "candidate," he or she must file a "Statement of Candidacy" (FEC Form 2) with the Commission within 15 days. 2 U.S.C. § 432(e)(1).

Having already received \$500 for the 1996 election cycle from a New York City PAC in February, 1995,³ Congressman Ward accepted \$5,000 further in one lump sum from the Teamsters Union PAC in Washington, D.C. on March 18, 1995. See Ward 7/22/95 FEC Report, Schedule A, Line 11c, page 5 (Exh. 9); see also DRIVE April 1995 Report, Schedule B page 6 (Exh. 10). Both the Teamsters' PAC -- which had contributed \$10,000 to Ward's 1994 election efforts -- and the Ward Committee itself designated these Teamsters moneys for the 1996 "primary" election. Mr. Ward's Statement of Candidacy was therefore due within 15 days, or by April 2, 1995. 2 U.S.C. § 432(e)(1).

However, Mr. Ward did not file a Statement of Candidacy by April 2. ***Nor did he file as of June 30, 1995, when he had raised twenty times the amount triggering this filing*** (\$23,325 in itemized individual contributions, over \$71,575 in PAC contributions, and at least \$16,385 in unitemized donations, all for the 1996 elections). See Ward 7/22/95 FEC Report Summary Page (Exh. 11). Clearly Ward intended to run again in 1996, why else raise far more money than necessary to pay off existing campaign debts? The Ward Campaign Committee continued full operations throughout 1995, paying campaign employees' salaries, purchasing new checks and new deposit slips, buying over \$1,000 worth of office supplies, and paying thousands to political consultants. Yet still, Ward did not file a Statement of Candidacy.

In fact, Ward ignored this mandatory disclosure requirement until November 9, 1995, more than 200 days after he was initially required to file, and only then at the insistence of the

² Organizations that are not federal political committees under the Federal Election Campaign Act must:

Demonstrate through a reasonable accounting method that whenever such organization makes a contribution, expenditure or exempted payment, that organization has received sufficient funds subject to the limitations and prohibitions of the Act to make such contribution, expenditure, or payment.

See 11 C.F.R. § 102.5(b)(ii) (1996).

³ Ward reports receipt of \$500.00 from the Loews Lorillard Public Affairs Committee, located at 1 Park Avenue in New York, on February 28, 1996. According to Ward's own report, this contribution was for the "primary" and not for 1994 debt retirement.

Commission. *See Ward Statement of Candidacy for 1996 (Exh. 12).* Candidates must be encouraged to comply with all laws.

**COUNT III. The Mike Ward for Congress Committee Unilaterally
Redesignated PAC Contributions In Direct Contravention
of the Express Intentions Reported by the PAC Contributors**

The Mike Ward for Congress Committee has -- according to its own reports -- unlawfully redesignated 7 PAC contributions received in 1995 to cover debts carried over from Ward's 1994 congressional campaign. *Compare Ward Committee's 7/22/95 FEC Filing Schedule A with PAC Reports (Exhs. 13- 19).* According to contemporaneous reports filed by each of the PACs in question, none of these contributions were intended to retire 1994 debts. *Id.* None of these PACs amended their FEC reports to indicate changes in designation of their contributions. The Ward Committee's unilateral and unauthorized re-designation was thus made without the knowledge or consent of the donors.

Chart W-1 specifically itemizes each of the unlawful redesignations in question, contrasting the Ward Committee's filed reporting of the donation in question with that by the PACs at issue.

CHART W-1

**MIKE WARD FOR CONGRESS' UNILATERAL, UNAUTHORIZED,
AND UNLAWFUL RE-DESIGNATION OF PAC CONTRIBUTIONS**

<u>Exh #</u>	<u>PAC</u>	<u>(Contribution)</u>	<u>DATE</u>	<u>Ward Reports</u>	<u>vs.</u>	<u>PAC Reports</u>
13	Brown-Forman Corp. Comm.	(\$500)	2/12/95	"general-94"		"primary"
14	Great Lakes Sugarbeet Grwrs Assn.	(\$250)	6/30/95	"primary-94"		"primary"
15	Heublein Employees	(\$500)	4/3/95	"general-94"		"primary"
16	Human Rights Campaign Fund	(\$2,000)	6/8/95	"general-94"		"primary"
17	James B. Beam Brands	(\$500)	2/12/95	"general-94"		"primary"
18	Kentucky Utilities	(\$500)	1/19/95	"general-94"		"primary"
19	NARFE	(\$1,000)	6/30/95	"general-94"		"primary 1996"

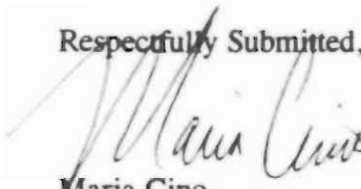
PACs that in-fact sought to retire 1994 debt stated this intention in their own FEC filings -- the Ward Committee therefore *knew* that PACs that did not designate for 1994 did not intend to do so. *The unauthorized, unilateral re-designation of contributions by the Ward Committee was unlawful and improper.* A campaign is supposed to obtain written permission from the donor before redesignating any contributions. *See 11 CFR § 110.1(b)(5)(i) (1996).* Since none of the 7 contributors on Chart W-1 informed the FEC that their 1995 contributions were to be applied to 1994 debt retirement, one may conclude that the Ward Committee unilaterally redesignated these contributions.

9804852558

VI. PRAYER FOR RELIEF

The FEC should investigate the Ward Committee, the Jefferson County Democratic Executive Committee, and both committees' various officers, taking appropriate and necessary measures to bring Mr. Ward's filings into compliance with the law and to deter future unlawful activity. Unlawful contributions must be returned, the local party committee must register with the FEC and disgorge non-federal funds, and the FEC should impose penalties for the knowing and willful election-eve violations as prescribed at 2 U.S.C. § 437g. The NRCC respectfully requests any and all appropriate actions to inform the voting public and deter future violations.

Respectfully Submitted,


Maria Cino
NRCC Executive Director

District of Columbia

Signed and sworn to before me this 6 th day of Sept, 1996.


NOTARY PUBLIC

My commission expires: _____

M. D. Aston
Notary Public, District of Columbia
My Commission Expires July 14, 1999

5000.00

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Jefferson County Democratic Executive Comm.		2. FEC IDENTIFICATION NUMBER C00278226
ADDRESS (number and street) ☐ Check if different than previously reported 1250 Bardstown Road		3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)
CITY, STATE and ZIP CODE Louisville, KY 40204-1333		

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report☒ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☒ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____
(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____
in the State of _____

(b) Is this Report an Amendment?

☐ YES ☒ NO

SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
1 Apr 94 through 30 Jun 94		
6. (a) Cash on Hand January 1, 1994		\$ 765.11
(b) Cash on Hand at Beginning of Reporting Period	\$ 765.11	
(c) Total Receipts (from Line 19)	\$ -0-	\$ -0-
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 765.11	\$ 765.11
7. Total Disbursements (from Line 30)	\$ -0-	\$ -0-
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 765.11	\$ 765.11
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

STEPHEN G. HORNER

Signature of Treasurer

Stephen G. Horner

Date

1 Jul 94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 9/93)

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The Courier-Journal

June 13, 1992, Saturday - METRO Edition

SECTION: NEWS; Pg. 7A

LENGTH: 1014 words

HEADLINE: WARD'S ACTION RILE SOME DEMOCRATS INTO SKIPPING CONVENTIONS

BYLINE: AL CROSS

BODY:

When Kentucky Democrats gather for district and state conventions in Frankfort today, some Jefferson County party stalwarts won't be there because they're unhappy with how state Rep. Mike Ward has influenced this year's local party elections.

Ward says he followed accepted practices to elect the people he wanted to the county Democratic Executive Committee and today's conventions, and did so mainly in the interest of fellow supporters of Gov. Brereton Jones.

But his critics, including county Democratic chairman Sean Delahanty, see Ward as a would-be power broker who has gained control of the local party apparatus but could factionalize it.

"He's gotten fat-headed about it," Delahanty said yesterday. "Mike is Brereton Jones' No. 1 guy in Jefferson County, apparently, so he is very impressed with his power and authority."

"If he's not careful, he's going to split the Democratic Party in Jefferson County all to pieces," said George Melton, the husband of Alderman Bebe Melton and Democratic chairman of the 38th Legislative District, one of those not going to today's conventions because they're angry at Ward.

Some of Ward's allies say the local party needs better leadership than Delahanty has been offering, but Ward himself declined to criticize Delahanty. He denied Delahanty's and Melton's claim that he now holds sway over the executive committee.

Ward, who represents the 34th District, said he merely traded votes with other legislative-district chairmen to elect at-large members of the executive committee last month.

He acknowledged that he pushed for the election of three Jones campaign workers, C'Lest Lanier, Melvin Ratchford and Bill Riggs "to make sure that those who were involved with Jones continue to have an opportunity to be involved with the party, to take party positions."

Delahanty and Melton said Ward pulled a power play that made a waste of the district chairmen's interviews of candidates for the committee, and left the South End and southwest county without adequate representation.

"I feel that we've been shafted," said Grayson Sorrels, chairman of the 28th District in the southwest.

Ward noted that the at-large members include residents of Shively and the southern part of the county, and said some of the East End residents also represent other constituencies -- Lanier is black and Riggs is a union member.

One of the new at-large members is Jeff Barr, whom Delahanty called "a true stranger" to party affairs. Barr is the nephew of party activist Carl Bensinger, and Ward acknowledged trading votes with Bensinger.

Ward also did some trading with Rep. Tom Burch, who is chairman of his own 30th District and opposed Delahanty's re-election bid through a procedural vote at the chairmen's election of party officers in April.

Burch and Bensinger said Delahanty has failed to speak for the party as a whole, especially by saying without consulting the committee that embattled Sheriff Jim Greene should resign.

Delahanty said, "They wanted a chairman who was independent and had a strong voice and that's what they've got -- and those who've got a complaint need to find somebody else. And count their votes before they call it."

Ward was county party treasurer for eight years and played the leading role in redrawing the county's legislative districts last year.

His critics also took issue with his management, at last week's county convention, of the presidential preference caucus for Arkansas Gov. Bill Clinton. The caucus elected 68 delegates to the district and state conventions, which today will elect delegates to the national convention.

Ward acknowledged that as caucus chairman, he saw that the first 68 people nominated were those he wanted -- securing Jones' control of those national convention delegates, as usual for a governor, and simplifying the election process for those who voted at the county convention.

Those included employees of some local elected officials. Property Valuation Administrator Denise Harper-Angel said she encouraged her employees to attend but gave them no instructions.

Harper-Angel is expected to be one of the five Clinton delegates to the national convention from the 3rd Congressional District, to be elected at today's district convention in Frankfort.

The 3rd District's two uncommitted delegates will be elected by the 25 uncommitted delegates elected by that caucus at last week's convention. Delahanty was chairman of that caucus.

LANGUAGE: English

LOAD-DATE: August 5, 1993

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The Courier-Journal

October 7, 1994, Friday - METRO Edition

SECTION: NEWS; Pg. 1A

LENGTH: 2040 words

HEADLINE: BLUEGRASS STATE POLL; STOKES, WARD RACE IS NECK AND NECK CAMPAIGN;
ABORTION

BYLINE: RICK MCDONOUGH ON THE BLUEGRASS POLL.

BODY:

The race to succeed Romano Mazzoli in Congress is a dead heat between Republican Susan Stokes and Democrat Mike Ward, according to The Courier-Journal's latest Bluegrass State Poll.

Ward led Stokes by less than half of a percentage point in the poll of 545 likely voters in Kentucky's 3rd District. Richard Lewis, a member of the Taypayers Party, finished a distant third.

The poll was conducted from Sept. 26 to Oct. 1. The survey's margin of error is plus or minus 4.2 percentage points. The results include those who said they were undecided but leaning toward a candidate.

Overall, voters seem to have a firmer impression of Stokes than they do of Ward, possibly because she ran for Congress against Mazzoli two years ago.

For example, 90 percent of likely voters said they know who Stokes is compared with 81 percent who were familiar with Ward. Only half had heard or read about Lewis.

With the Nov. 8 election a month away, there's plenty of time for the race to change. For example, Stokes, whose campaign is expected to have the most money, could surge ahead if Ward can't match her spending on television and radio advertising. There's also time for either candidate to make a mistake and drive voters away.

For Ward, the upside is that Democrats outnumber Republicans by more than 2 to 1 in the district, which covers most of Jefferson County inside the Snyder Freeway. But to take full advantage of the Democratic lead in registration, Ward will have to do a better job of winning over members of his own party. The poll showed Ward was favored by 54 percent of likely Democratic voters. More than a quarter of the Democrats said they will vote for Stokes. The rest favored Lewis or were undecided.

In a finding that may surprise some Republicans, Stokes was slightly more likely to be seen as a professional politician than Ward was. Sixty-two percent held that view of her compared with 58 percent for Ward.

Both candidates are former state representatives. Stokes also is a former suburban mayor, as well as a former congressional candidate. She frequently says she doesn't want a career in politics, and she started running a new TV

ad this week that criticizes Ward by claiming he is a professional politician.

Among Republicans, the voters who should know Stokes best, nearly a quarter had an unfavorable impression of her. A smaller percentage of Democrats, 11 percent, had an unfavorable impression of Ward. But more than a third of the Democrats said they didn't know enough about Ward to have formed an impression.

Not surprisingly, Ward led Stokes among African-American voters by more than 3-1. Black voters tend to support Democrats, but Stokes had hoped to make some inroads because two years ago, when she lost to Mazzoli, most of Mazzoli's margin came from black voters.

Lewis, a former Republican seeking his first elective office, may benefit from being confused with Republican 2nd District U.S. Rep. Ron Lewis, who represents part of suburban Jefferson County.

Richard Lewis did best with voters who say abortion should be illegal in all circumstances. He drew 22 percent from that group. Lewis opposes abortion rights; Stokes and Ward support them.

Lewis' strength is supposed to be among conservatives. But the poll found that only one in five of the likely voters who described themselves as conservatives supported Lewis. The conservatives make up 30 percent of the likely voters and he can't mount a serious campaign if he continues to attract so little support from this group.

The effort by Lewis and his supporters to paint Stokes as a liberal -- they've highlighted the support she received from feminist Gloria Steinem two years ago -- doesn't seem to be working. Nearly half of the conservatives said they will vote for Stokes.

Lewis, however, seems to take more votes from Stokes than from Ward. Most of Lewis' support comes from Republicans, independents and conservatives -- three groups Stokes does well with.

Ward has tried to appeal to Roman Catholic voters, but they favored him over Stokes only slightly.

The two candidates drew equal support from Protestant voters.

A third of those polled identified themselves as born-again or evangelical Christians. Although people with such strong religious views often are assumed to be conservative voters, Ward was the candidate who appeared to do best with that group.

Stokes, who lives off Brownsboro Road and represented Jefferson County's eastern suburbs in the state legislature, appeared to be more popular among suburban voters, while Ward appeared to do better in Louisville.

Ward, who lives in the Highlands, was more popular in an area that includes downtown, Old Louisville and the city's West End, largely because of the support he received from black voters.

Likely voters agreed with Stokes and Lewis on two issues. Solid majorities said they favored a constitutional amendment that would force Congress to

balance the federal budget, and they favored an amendment to limit the number of terms members of Congress can serve. Ward opposes both measures.

While voters apparently care about a balanced-budget amendment and term limits, they don't necessarily base their votes on them. Among those who favor the two measures, almost as many said they will vote for Ward as for Stokes.

Nearly half of likely voters said they oppose increasing the federal cigarette tax by 45 cents a pack. Such an increase, offered as a compromise to suggestions that it be increased as high as \$2 a pack, was proposed to help to pay for national health-care reform.

Stokes and Lewis oppose any new tobacco taxes, while Ward has said he could support the 45 cents-a-pack increase if it was a compromise negotiated by tobacco-state congressmen. Again, Ward drew almost as much support as Stokes from voters who oppose the cigarette tax increase.

The poll's margin of error means that, in theory, in 19 of 20 cases the poll results would differ by no more than 4.2 percentage points from the result that would have been obtained by questioning all likely voters with telephones in Kentucky's 3rd Congressional District. The Bluegrass State Poll asked the following:

As you may know, Congressman Ron Mazzoli is retiring and voters in November will elect a new U.S. representative from Louisville and Jefferson County's 3rd Congressional District. How much attention have you been able to pay to this year's campaign for the 3rd Congressional District seat -- a lot, some, not much, or no attention so far?

Are you currently registered to vote in the precinct where you live, or not? (If registered,) As you feel today, will you definitely go to the polls on Nov. 8 and vote in the elections for Congress and other offices, or will you probably vote, probably not vote or definitely not vote?

(If registered and definitely/ probably will vote,) As I read you the names of the candidates in the 3rd Congressional District election, please tell me if you have read or heard anything about each one. Have you read or heard anything about Richard Lewis/ Susan Stokes/ Mike Ward? (The names were rotated from interview to interview.) (If yes,) Is your impression of Lewis/ Stokes/ Ward favorable or not favorable?

If the Nov. 8 general election for U. S. representative were held today, would you vote for Richard Lewis, the independent candidate running under the Payers Party label; Susan Stokes, the Republican, or Mike Ward, the Democrat (Names were rotated.)

(Those who were undecided were asked,) As of today, do you lean more toward Richard Lewis, more toward Susan Stokes, or more toward Mike Ward?

(Those who supported a candidate or were leaning toward one were asked,) Do you strongly support him/ her or do you only moderately support him/ her?

Two years ago in the November 1992 3rd District Congressional election, did you vote for Ron Mazzoli or Susan Stokes, or did things come up to keep you from voting?

How certain are you that you will go to the polls on Nov. 8 and vote -- absolutely certain, fairly certain or not too certain?

Next, I'm going to ask you a question about each candidate running in the 3rd Congressional District election, and I'd like you to tell me how you feel about each one. When you think of Lewis/ Stokes/ Ward, do you think of him/ her as a professional politician, or not? (Names were rotated.)

Now let me ask your opinion about several issues. First, would you favor or oppose a constitutional amendment requiring Congress to balance the federal budget? Would you favor or oppose a constitutional amendment establishing congressional term limits, that is, limiting the length of time that members of Congress could serve in office? Would you favor or oppose increasing federal income taxes by 45 cents a pack?

Are you registered, Are you registered as a Democrat, as a Republican, as a member of another party or as an independent?

How do you describe your views on most political matters? Generally, do you consider yourself as liberal, moderate or conservative?

Do you think abortions should be legal under any circumstances, legal under all circumstances, or illegal in all circumstances?

Ward

Susan Stokes

Richard Lewis

WAS CONDUCTED

STATE POLL, directed by Mark Schneider, research manager of The Bluegrass State Poll, based on interviews with 545 likely voters in Kentucky's 3rd Congressional District out of a total sample of 900 Jefferson Countians 18 years

trained interviewers, calling from Louisville, contacted the numbers randomly selected by a computer. One adult in each household was randomly selected, eliminating interviewers' choices in the interview.

Weighted to properly balance the sample by age, sex and

Percentages based on the 545 likely voters are subject to a margin of error of 3.3 percentage points above or below what was reported. Percentages based on 900 interviews are subject to a margin of error of 3.3 points.

In addition to these sampling errors, the practical difficulties of conducting any survey can also influence the results.

Republishing the BLUEGRASS STATE POLL without credit to the Courier- Journal and Louisville Times Co. is prohibited.

9 0 4 3 8 5 2 5 / 6

Courier-Journal, October 1994

The BLUEGRASS STATE POLL conforms to the standards of the National Council on Public Polls.

LANGUAGE: English

LOAD-DATE: October 10, 1994

980438525 / 7

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KENTUCKY 40601
(502) 673-2228
FAX # (502) 673-8822

OFFICE USE ONLY

STATE AND COUNTY
EXECUTIVE COMMITTEE
ELECTION FINANCE STATEMENT
COVER PAGE

1. Executive Committee Name

JEFFERSON COUNTY DEMOCRATIC
EXECUTIVE COMMITTEE

County of Committee JEFFERSON

Political Party DEMOCRATIC

2. Executive Committee Address:

MID-CITY MALL
1250 BIRDSTOWN ROAD
LOUISVILLE, KY 40204-1333

Rec'd 2:31 PM '94
12/7/94 KREF
8E

3. Chairman's Name and Address

Z. GREY VINCENT
5011 FAMOUS WAY
LOUISVILLE, KY 40219
Area Code/Phone No.
(502) 968-6726

4. Treasurer's Name and Mailing Address

STEPHEN G. HORNER
2504 GOLDSMITH LANE
LOUISVILLE, KY 40218-1021
Area Code/Phone No. 574-4436 - OFF.
(502) 458-1128 - RES.

5. This Statement Covers

From JUN 2, 1994
Month Day Year
To NOV 9, 1994
Month Day Year

TYPE OF STATEMENT

6a. ☒ Post Election (30th day following each election)

Statement relates to:

☐ Primary ☐ Runoff ☒ General
Primary

Date of Election

Nov 8, 1994
Month Day Year

b. ☐ Termination _____
Month Day Year

c. ☐ Amendment: Check one of the items above
to indicate which statement is being amended.

INCLUDE INFORMATION
FOR THIS ELECTION ONLY

NOTE: USE ONLY THOSE PAGES WHICH
APPLY TO YOUR COMMITTEE.

If you have had no activity between reporting
periods, complete Cover Page and Summary
Page. Enter -0- in receipts, enter -0- in expen-
ditures and ending balance from last report.

7. Verification: I certify that this Election Finance Statement is prepared with all reasonable diligence and to the best of my knowledge the contents are true, accurate and complete.

Committee Chairman
or Treasurer

Type or Print Name

STEPHEN G. HORNER, TREASURER

Authorized Signature

Stephen G. Horner

Date

DEC 7, 1994

Month Day Year

DISBURSEMENTS
SCHEDULE 2

1. Name of Committee

Jefferson County DEMOCRATIC
EXECUTIVE COMMITTEE

2. This Statement Covers

From JUNE 2, 1994
Month Day Year
To NOV 9, 1994
Month Day Year

3. Name, Address and Occupation of person to whom paid (if over \$25.00, disbursement must be made by check. Persons transporting voters to the polls must be paid by check and each payment must be itemized to include name and address to whom made.)	4. Purpose (be specific, \$25.00 or less show purpose, date and amount.) Beneficiary, if other than Executive Committee, must be listed.	5. Date	6. Amount Disbursed	
			a. Disb. made from General funds	b. Disb. made from Admin. funds (State committee only)
Ky. State Treasurer	voter registration precinct lists unemployment taxes and Ky. income withholding taxes	9/17/44 7/15/44 7/15/44 9/4/44 10/24/44 10/24/44	18.00 44.34 20.44 5.80 8.78 75.57	
Louisville Gas & Electric Company P.O. Box 3200 Lou, Ky 40232	electrical service	6/20/44	103.56	
Michael Baird for Congress 1250 Bullstown Road (basement) Lou, Ky 40202	campaign donation	10/12/44	5,000.00	
Dave Haskison for Congress Joe Hancock, Treasurer 2207 Frederica Street Burlington, KY 42301	campaign donation	10/12/44	1,000.00	
Jefferson County Young Democrats 806 Blain, President 1009 South Fourth Street Lou, Ky 40203	to underwrite the cost of a pizza party they had	9/28/44	180.00	
Subtotal This Page			6,456.49	
Total this Period (only on last page of this schedule)			34,229.46	

Enter this
total on
line 3a of
Summary Page

Enter this
total on
line 3b of
Summary Page

FEDERAL ELECTION
COMMISSION
ADMINISTRATIVE DIVISION

Nov 15 12 32 PM '93

November 10, 1993

Ms. Jan McBride
Federal Election Commission
Washington, D.C. 20463

Dear Ms. McBride:

Thank you for being so patient while I have struggled to reconstruct the financial activity of the 1992 local federal election account for the Louisville/Jefferson County Democratic Party.

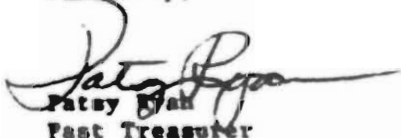
As I have explained, originally, the Clinton/Core campaign did not operate under the auspices of the local Democratic Headquarters. Therefore, few records of daily transactions were recorded. I have also included a record of known cash transactions made by an over zealous, and un-knowledgeable group of volunteers. You will note that several transactions occurred which exceeded the limit for cash disbursements. It is my opinion that this occurred without intent to violate any election finance laws, but merely as a lack of education on the process. Most contributions were in the form of donations in exchange for t-shirts, hats, bumper stickers, etc. and averaged \$20.

There were no transfers of funds between the federal and non-federal accounts. Once we became aware of the need to establish separate accounts, we did so. To compensate for the co-mixing of revenues and disbursements which occurred prior to that, the Executive Assistant attempted to make disbursements based upon an approximate 50% share from the federal account. I believe all efforts to "make right" a very complicated process have been in good faith and without intent to defraud.

I know you will probably need additional information and follow-up. Please do not hesitate to contact me at my office or home (502-625-0136 office; 502-456-1871 home).

Again, thank you for your patience and assistance.

Sincerely,


Patsy Ryan
Past Treasurer

Louisville/Jefferson County Democratic Party

930438525999

RECEIVED
FEDERAL ELECTION COMMISSION
ADMINISTRATIVE

30 Oct 93

Nov 3 12 34 PM '93

Mr. John D. Gibson
Assistant Staff Director
Reports Analysis Division
Federal Election Commission
Washington, DC 20463

Identification Number: C00278226
Reference: Year End Report (11/25/92 - 12/31/92)

Dear Mr. Gibson:

This is to acknowledge receipt of and to thank you for your letter dated 21 Oct 93 which references a letter dated 29 Sep 93 by Jan McBride of your staff which I also received.

You may not be aware that I was appointed Treasurer of the Jefferson County Democratic Executive Committee on 28 Jul 93 and was not in office during the period about which you inquire. My immediate predecessor was Patsy Ryan and, before her, Steve Henry.

When I received Ms. McBride's letter, I sent a photocopy to Ms. Ryan who orally agreed to furnish a detailed written response to Ms. McBride on my behalf. I apologize for any inconvenience that you or Ms. McBride may have suffered as a result of this delay.

Your letter specified a deadline of 5 Nov 93 for a response. I have sent Ms. Ryan a photocopy of your letter and have attempted unsuccessfully to reach her by phone. I would very much appreciate an extension of time subsequent to 5 Nov 93 within which to respond in substance to your inquiry because I am not in possession of a copy of the subject report or related documents.

Thanking you for your cooperation, I am

Respectfully yours,

Stephen G. Horner, Treasurer
Jefferson County Democratic
Executive Committee
2504 Goldsmith Lane
Louisville, KY 40218-1921
phone: (502) 574-4936
fax: (502) 574-4905

pcj Ms. Jan McBride
Reports Analyst
Reports Analysis Division

98993038625389188

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

Aug 28 9 39 AM '95

Z. Grey Vincent
Chairperson

451-6772

25 Aug 95

Mary Henderson
Vice-Chairperson

Ms. Jennifer K. Wall
Reports Analyst
Reports Analyst Division
Federal Election Commission
999 E. Street, N.W.
Washington, DC 20463

Your identification number: C00278226
Name: Jefferson County Democratic Executive Committee ("JCDEC")

Dear Ms. Wall:

This is to acknowledge receipt of and to thank you for your letter dated 22 Jul 94 in which you accepted our last filing as a "valid termination" of our account status with your agency. However, it is necessary that I inform you, at the request of JCDEC Chairman Grey Vincent, that the JCDEC is disassociating itself from an effort by anyone or any group in Jefferson County to raise and/or spend money and/or "in-kind" donations/expenditures on behalf of the Clinton-Gore re-election effort that would require reporting to the FEC.

It goes without saying that we fully support the re-election of Bill Clinton and Al Gore but we do not choose to accept the financial reporting requirements involved with fund-raising and expenditures for the 1996 campaign.

If you have any questions, please let me know. Thanking you for your cooperation, I am

Respectfully,

Steve Horner, Treasurer

pc: 1) Grey Vincent, Chairman

ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

PEC ID No.

c00284117

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\$ 6,750.00

The Period

SCHEDULE B

DISBURSEMENTS

 Use separate schedule(s)
for each category of the
Detailed Summary Page.

 PAGE 6 OF
FOR LINE NUMBER
23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DRIVE - THE P.A.C. FOR THE INTERNATIONAL BROTHERHOOD OF TEAMSTERS

A. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
THE REED COMMITTEE P.O. BOX 8628 CRANSTON RI 02920	REED, JACK D-RI-02ND Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	03/08/95	\$1000.00
B. Full Name, Mailing Address and Zip Code COMMITTEE TO RE-ELECT LOUISE SLAUGHTER 1751 EAST AVENUE ROCHESTER NY 14610	SLAUGHTER, LOUISE D-NY-30TH Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	03/14/95	\$500.00
C. Full Name, Mailing Address and Zip Code BENNIE THOMPSON FOR CONGRESS 1996 CAMPAIGN P.O. BOX 100 BOLTON MS 29041	THOMPSON, BENNIE D-MS-02ND Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	03/08/95	\$5000.00
D. Full Name, Mailing Address and Zip Code MIKE WARD FOR CONGRESS 1250 BARDSTOWN ROAD LOUISVILLE KY 40204	WARD, MIKE D-KY-03RD Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	03/14/95	\$5000.00
E. Full Name, Mailing Address and Zip Code DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE 430 S. CAPITOL STREET, SE WASHINGTON DC 20003	NAT'L PARTY CMTE/FEDERAL AC FEDERAL ACCOUNT Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1995	03/14/95	\$10000.00
F. Full Name, Mailing Address and Zip Code DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE 430 S. CAPITOL STREET, SE WASHINGTON DC 20003	NAT'L PARTY CMTE/FEDERAL AC FEDERAL ACCOUNT Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1995	03/14/95	\$1000.00
G. Full Name, Mailing Address and Zip Code "TTD/PAC" 400 N. CAPITOL ST, NW, STE 861 WASHINGTON DC 20001	POLITICAL CONTRIBUTION FEDERAL ACCOUNT Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1995	03/16/95	\$4000.00
H. Full Name, Mailing Address and Zip Code CALIFORNIA DEMOCRATIC PARTY - FEDERAL ACCOUNT 8440 SANTA MONICA BLVD LOS ANGELES CA 90069	STATE PARTY COMMITTEE FEDERAL ACCOUNT Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1996	03/08/95	\$500.00
I. Full Name, Mailing Address and Zip Code BENNIE THOMPSON FOR CONGRESS 1996 CAMPAIGN P.O. BOX 100 BOLTON MS 29041	THOMPSON, BENNIE D-MS-02ND Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 1994	03/14/95 9/29/94	\$5000.00- (Or voided)

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

DETAILED SUMMARY PAGE

of Receipts and Disbursements
(Page 2, FEC FORM 3)

Name of Committee (in full) Ward for Congress		Report Covering the Period From: 1-1-95 To: 6-30-95	
I. RECEIPTS		COLUMN A Total This Period	COLUMN B Calendar Year-To-Date
11 CONTRIBUTIONS (other than loans) FROM			
(a) Individuals/Persons Other Than Political Committees			
(i) Itemized (use Schedule A)		23325.00	
(ii) Unitemized		16385.00	
(a) Total of contributions from individuals		39710.00	39710.00
(b) Political Party Committees			
(c) Other Political Committees (such as PACs)		71575.00	71575.00
(d) The Candidate			
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(i), (b), (c) and (d))		111285.00	111285.00
12 TRANSFERS FROM OTHER AUTHORIZED COMMITTEES			
13 LOANS			
(a) Made or Guaranteed by the Candidate		20060.00	20060.00
(b) All Other Loans			
(c) TOTAL LOANS (add 13(a) and (b))		20060.00	20060.00
14 OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)		1644.83	1644.83
15 OTHER RECEIPTS (Dividends, Interest, etc.)			
16 TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15)		132989.83	132989.83
II. DISBURSEMENTS			
17 OPERATING EXPENDITURES		47919.38	47919.38
18 TRANSFERS TO OTHER AUTHORIZED COMMITTEES			
19 LOAN REPAYMENTS			
(a) Of Loans Made or Guaranteed by the Candidate		20060.00	20060.00
(b) Of All Other Loans			
(c) TOTAL LOAN REPAYMENTS (add 19(a) and (b))		20060.00	20060.00
20 REFUNDS OF CONTRIBUTIONS TO:			
(i) Individuals/Persons Other Than Political Committees			
(b) Political Party Committees			
(c) Other Political Committees (such as PACs)			
(d) TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))			
21 OTHER DISBURSEMENTS			
22 TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)		67979.38	67979.38

III. CASH SUMMARY

23 CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$	476.84	23
24 TOTAL RECEIPTS THIS PERIOD (from Line 16)	\$	132989.83	24
25 SUBTOTAL (add Line 23 and Line 24)	\$	133466.67	25
26 TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)	\$	67979.38	26
27 CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (subtract Line 26 from 25)	\$	65487.29	27

96 JAN -3 PM 12:06

STATEMENT OF CANDIDACY

(see reverse side for instructions)

1 (a) Name of Candidate (in full)
Michael D. Ward
 (b) Address (number and street) ☐ Check if address changed
1905 Deer Park Avenue
 (c) City, State and ZIP Code
Louisville, Kentucky 40205
 2 Identification Number **C00284117** **11-9-95**
 3 Party Affiliation **Democrat** 4 Office Sought **U. S. Representative** 5 State & District of Candidate **Third District, Kentucky**

DESIGNATION OF PRINCIPAL CAMPAIGN COMMITTEE

6 I hereby designate the following named political committee as my Principal Campaign Committee for the 1996 election(s)
 (year of election)

NOTE: This designation should be filed with the appropriate office listed below.

(a) Name of Committee (in full)

Ward for Congress

(b) Address (number and street)

1905 Deer Park Avenue

(c) City, State and ZIP Code

Louisville, Kentucky 40205

DESIGNATION OF OTHER AUTHORIZED COMMITTEES

(Including Joint Fundraising Representatives)

7 I hereby authorize the following named committee, which is NOT my principal campaign committee, to receive and expend funds on behalf of my candidacy.

NOTE: This designation should be filed with the principal campaign committee.

(a) Name of Committee (in full)

None

(b) Address (number and street)

(c) City, State and ZIP Code

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.
 Signature of Candidate **Mike Ward** Date **11-9-95**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

CANDIDATES FOR THE OFFICE OF:

President mail to:

U.S. Senate mail to:

U.S. House of Representatives mail to:

For further information contact:

Federal Election Commission
 999 E Street, N.W.
 Washington, DC 20463

Secretary of the Senate
 Office of Public Records
 232 Hart Senate Office Bldg
 Washington, DC 20510-7116

Clerk of the House of Representatives
 Office of Records and Registration
 1036 Longworth Office Bldg
 Washington, DC 20515-6612

Federal Election Commission
 Toll-free 800-424-9530
 Local 202-219-3420

9804318525967

SCHEDULE A

ITEMIZED RECEIPTS

PAGE 4	OF 16
FOR LINE NUMBER 11c	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

NAME OF CONTRIBUTOR (to full)

Ward for Congress

FEC ID No.

c00284117

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Brotherhd. of Locomotive Engin. 1370 Ontario St. Cleveland, OH 44113	PAC	4/3/95	\$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
B. Full Name, Mailing Address and ZIP Code Brown & Williamson Tobacco Corporation Employees PAC P.O. Box 35080 Louisville, KY 40232	PAC	Date (Month, Year, Day) 4/7/95 6/30/95	Amount of Each Receipt This Period \$ 500.00 \$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 1,000.00	
C. Full Name, Mailing Address and ZIP Code Brown-Forman Corporation Non-Partisan Committee P.O. Box 1080 Louisville, KY 40201	PAC	Date (Month, Year, Day) 2/12/95	Amount of Each Receipt This Period \$ 500.00
Receipt for: general-94	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
D. Full Name, Mailing Address and ZIP Code Civic Action Fund - Lorain Corporation 1210 Massillon Rd. Akron, OH 44315	PAC	Date (Month, Year, Day) 5/8/95	Amount of Each Receipt This Period \$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
E. Full Name, Mailing Address and ZIP Code Coopers & Lybrand PAC 1800 M St., NW Washington, DC 20036	PAC	Date (Month, Year, Day) 5/25/95	Amount of Each Receipt This Period \$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
SUBTOTAL		of Receipts This Page	\$ 3,000.00
TOTAL		This Period	

08047851-030

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 1 OF 1
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Brown-Forman Corporation Non-Partisan Committee for Responsible Government

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Ron Lewis for Congress (R-KY-02) P.O. Box 307 Elizabethtown, KY 42702	1994 Debt Retirement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	1/26/95	\$500.00
B. Full Name, Mailing Address and ZIP Code Ward for Congress (D-KY-03) Mid-City Mall 1250 Bardstown Road Louisville, KY 40204	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	2/7/95	\$500.00
C. Full Name, Mailing Address and ZIP Code DISPAC 1250 Eye Street, NW Suite 900 Washington, DC 20005-3999	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	2/7/95	\$5,000.00
D. Full Name, Mailing Address and ZIP Code Bryant for Congress (R-TN-07) P.O. Box 40175 Washington, DC 20016	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	3/14/95	\$500.00
E. Full Name, Mailing Address and ZIP Code LoBiondo for Congress (R-NJ-02) P.O. Box 550 Vineland, NJ 08360-9884	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/29/95	\$500.00
F. Full Name, Mailing Address and ZIP Code Whitfield for Congress (R-KY-01) P.O. Box 391 Hopkinsville, KY 42241	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/23/95	\$500.00
G. Full Name, Mailing Address and ZIP Code Ron Lewis for Congress Committee (R-KY-02) P.O. Box 307 Elizabethtown, KY 42702	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/23/95	\$500.00
H. Full Name, Mailing Address and ZIP Code Citizens for Bunning (R-KY-04) 1717 Dixie Highway, Suite 180 Ft. Wright, KY 41011	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/23/95	\$500.00
I. Full Name, Mailing Address and ZIP Code Quillen for Congress (R-TN-01) P.O. Box 2769 Washington, DC 20013	Congressional Race Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/23/95	\$500.00

SUBTOTAL of Disbursements This Page (optional)

9,000.00

TOTAL This Period (last page this line number only)

9,000.00

SCHEDULE A

ITEMIZED RECEIPTS

PAGE	OF
7	18
FOR LINE NUMBER	
11c	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF CONTRIBUTOR (in Full)		FEC ID No	
Ward for Congress		c00284117	
A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
General Atomics / PAC P.O. Box 22930 San Diego, CA 92122	PAC	4/14/95	\$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Gen. Dynamics Vol. Pol. Contr. Plan 1745 Jefferson Davis Hwy. Suite 1000 Arlington, VA 22202	PAC	3/21/95	\$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Gen. Electric Co. PAC Multic. Com. 1299 Pennsylvania Ave., NW Suite 1100 Washington, DC 20004	PAC	4/7/95	\$ 500.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Great Lakes Sugar Beet Growers Assoc. 485 Plaza North Saginaw, MI 48604	PAC	6/30/95	\$ 250.00
Receipt for: primary-94	Occupation: PAC	Aggregate Year-To-Date \$ 250.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Houblein Employees Political Participation Committee P.O. Box 388 Farmington, CT 06034	PAC	4/3/95	\$ 500.00
Receipt for: general-94	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
SUBTOTAL		of Receipts This Page	\$ 2,250.00
TOTAL		This Period	

primary-94

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 8 OF 12
FOI LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
GREAT LAKES SUGAR BEET GROWERS
POLITICAL ACTION COMMITTEE

A. Full Name, Mailing Address and ZIP Code Lynn Rivers Con Campaign P. O. Box 8293 Ann Arbor, MI 48107	Purpose of Disbursement US House of Rep MI 13 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 5/12/95	Amount of Each Disbursement This Period 500.00
B. Full Name, Mailing Address and ZIP Code Friends of Congresswoman Barbara-Rose Collins P. O. Box 2884 Washington, DC 20024	Purpose of Disbursement US House of Rep MI 15 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 5/12/95	Amount of Each Disbursement This Period 500.00
C. Full Name, Mailing Address and ZIP Code Ike Skelton for Congress Com P. O. Box A Harrisonville, MO 64761	Purpose of Disbursement US House of Rep MO 4 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 5/26/95	Amount of Each Disbursement This Period 250.00
D. Full Name, Mailing Address and ZIP Code Ward for Congress Mike Ward 38 Ivy St, SE Washington, DC 20003	Purpose of Disbursement US House Rep NY 3 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 250.00
E. Full Name, Mailing Address and ZIP Code Friends of Mark Foley 1951 Biltmore, NW #3 Washington, DC 20009	Purpose of Disbursement US House of Rep FL 16 Disbursement for: ☐ Primary ☐ General ☒ Other (specify)	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00
F. Full Name, Mailing Address and ZIP Code Rom Lewis for Con '96 Comm c/o Belen McCarthy 1700 W Abingdon Dr #101 Alexandria, VA 22314	Purpose of Disbursement US House of Rep KY 2 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00
G. Full Name, Mailing Address and ZIP Code Bereuter for Cong Comm Doug Bereuter 4010 Franconia Road Alexandria, Va. 22310-2136	Purpose of Disbursement US House of Rep NE 1 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 250.00
H. Full Name, Mailing Address and ZIP Code Baker for Congress Richard H. Baker P. O. Box 2694 Baton Rouge, LA 70821-9990	Purpose of Disbursement US House of Rep LA 6 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00
I. Full Name, Mailing Address and ZIP Code Pat Roberts for Cong Comm 4010 Franconia Road Alexandria, VA. 22310-2136	Purpose of Disbursement US House of Rep KS 1 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) <u>Contribution</u>	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00

SUBTOTAL of Receipts This Page (optional)

3750.00

TOTAL This Period (last page this line number only)

SCHEDULE A

ITEMIZED RECEIPTS

PAGE	OF
7	16
FOR LINE NUMBER	
11c	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

NAME OF CONTRIBUTOR (in Full)

REC ID No

Ward for Congress

c00284117

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
General Atomics / PAC P.O. Box 22930 San Diego, CA 92122	PAC	4/14/95	\$ 500.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
B. Full Name, Mailing Address and ZIP Code Gen. Dynamics Vol. Pol. Contr. Plan 1745 Jefferson Davis Hwy. Suite 1000 Arlington, VA 22202	PAC	3/21/95	\$ 500.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
C. Full Name, Mailing Address and ZIP Code Gen. Electric Co. PAC Multic. Com. 1299 Pennsylvania Ave., NW Suite 1100 Washington, DC 20004	PAC	4/7/95	\$ 500.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
D. Full Name, Mailing Address and ZIP Code Great Lakes Sugar Beet Growers Assoc. 485 Plaza North Saginaw, MI 48604	PAC	6/30/95	\$ 250.00
Receipt for primary-94	Occupation: PAC	Aggregate Year-To-Date \$ 250.00	
E. Full Name, Mailing Address and ZIP Code Heublein Employees Political Participation Committee P.O. Box 388 Farmington, CT 6034	PAC	4/3/95	\$ 500.00
Receipt for general-94	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
SUBTOTAL of Receipts This Page			\$ 2,250.00
TOTAL This Period			

980438596015-6-JUN-10-UN3

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

HEUBLEIN EMPLOYEES POLITICAL PARTICIPATION COMMITTEE

A. Full Name, Mailing Address and ZIP Code Frank Riggs for Congress P.O. Box 590 Windsor, CA 95492	Purpose of Disbursement Frank Riggs US House - CA Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
B. Full Name, Mailing Address and ZIP Code Woolsey For Congress P.O. Box 750176 Petaluma, CA 94975	Purpose of Disbursement Lynn Woolsey US House - CA Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
C. Full Name, Mailing Address and ZIP Code John D. Dingell for Congress 5467 Schaefer Rd. Dearborn, MI 48126	Purpose of Disbursement John Dingell US House - MI Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$1,000.00
D. Full Name, Mailing Address and ZIP Code Ward for Congress 1250 Bards Town Rd. Mid-City Mall Louisville, KY 40204	Purpose of Disbursement Mike Ward US House - KY Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
E. Full Name, Mailing Address and ZIP Code Friends of Sherwood Boehlert P.O. Box C Utica, NY 13503	Purpose of Disbursement Sherwood Boehlert US House - NY Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
F. Full Name, Mailing Address and ZIP Code Friends of Bill Zeliff P.O. Box 818 Manchester, NH 03105	Purpose of Disbursement Bill Zeliff US House - NH Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
G. Full Name, Mailing Address and ZIP Code Ensign for Congress 4012 S. Rainbow, Suite K-560 Las Vegas, NV 89103	Purpose of Disbursement John Ensign US House - NV Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
H. Full Name, Mailing Address and ZIP Code Frank Riggs for Congress P.O. Box 16021 Alexandria, VA 22302	Purpose of Disbursement Frank Riggs US House - CA Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00
I. Full Name, Mailing Address and ZIP Code McCrery for Congress P.O. Box 4650 Shreveport, LA 71101	Purpose of Disbursement Jim McCrery US House - LA Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Campaign Contr.	Date (month, day, year) 2-28-95	Amount of Each Disbursement This Period \$500.00

SUBTOTAL of Disbursements This Page (optional)

\$5,000.00

TOTAL This Period (last page this line number only)

90580349308652265942

SCHEDULE A

ITEMIZED RECEIPTS

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OF

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FOR LINE NUMBER

11c

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

NAME OF CONTRIBUTOR (in Full)

Ward for Congress

FEC ID No.

c00284117

A. Full Name, Mailing Address and ZIP Code

Human Rights Campaign Fund
P.O. Box 1396
Washington, DC 20013

Name of Employer

PAC

Date (Month, Year, Day)

6/6/95

Amount of Each Receipt This Period

\$ 2,000.00

Receipt for

general-94

Occupation

PAC

Aggregate Year-To-Date

\$ 2,000.00

B. Full Name, Mailing Address and ZIP Code

I.B.E.W.-C.O.P.E. -
1125 15th St., NW
Washington, DC 20005

Name of Employer

PAC

Date (Month, Year, Day)

4/28/95

Amount of Each Receipt This Period

\$ 1,000.00

4/28/95

\$ 1,000.00

6/30/95

\$ 2,000.00

Receipt for

primary

Occupation

PAC

Aggregate Year-To-Date

\$ 4,000.00

C. Full Name, Mailing Address and ZIP Code

Intern. Un. of Electr., Electrical, Sal.
Machine & Furnit. Wk. AFL-CIO
1126 16th St. NW
Washington, DC 20036

Name of Employer

PAC

Date (Month, Year, Day)

8/7/95

Amount of Each Receipt This Period

\$ 1,000.00

Receipt for

primary

Occupation

PAC

Aggregate Year-To-Date

\$ 1,000.00

D. Full Name, Mailing Address and ZIP Code

Ironworkers Pol. Action League
1750 New York Ave., NW
Washington, DC 20006

Name of Employer

PAC

Date (Month, Year, Day)

3/27/95

Amount of Each Receipt This Period

\$ 1,000.00

Receipt for

primary

Occupation

PAC

Aggregate Year-To-Date

\$ 1,000.00

E. Full Name, Mailing Address and ZIP Code

James B. Beam Brands Co. PAC
510 Lake Cook Rd.
Deerfield, IL 60015

Name of Employer

PAC

Date (Month, Year, Day)

2/12/95

Amount of Each Receipt This Period

\$ 500.00

Receipt for

general-94

Occupation

PAC

Aggregate Year-To-Date

\$ 500.00

SUBTOTAL

of Receipts This Page

\$

\$ 8,500.00

TOTAL

This Period

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Contributions to Federal Candidates

 Report schedule(s)
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Human Rights Campaign Fund Political Action Committee #C00235853

A. Full Name, Mailing Address and ZIP Code WOOLSEY FOR CONGRESS P.O. BOX 750176 PETALUMA, CA 94975	Purpose of Disbursement HD CA-06 POLITICAL CONTRIBUTION Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 94 G, DEBT RETIRE	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 2,000.00
B. Full Name, Mailing Address and ZIP Code FRIENDS OF CONGRESSMAN GEORGE MILLER P.O. BOX 5864 CONCORD, CA 94524	Purpose of Disbursement HD CA-07 POLITICAL CONTRIBUTION Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 2,000.00
C. Full Name, Mailing Address and ZIP Code ABERCROMBIE BACK TO CONGRESS P.O. BOX 2884 WASHINGTON, DC 20013	Purpose of Disbursement HD HI-01 POLITICAL CONTRIBUTION Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 2,000.00
D. Full Name, Mailing Address and ZIP Code CITIZENS FOR HARKIN 426 C STREET, NE REAR BLDG. WASHINGTON, DC 20002	Purpose of Disbursement SD IA-S POLITICAL CONTRIBUTION Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 3,000.00
E. Full Name, Mailing Address and ZIP Code CONGRESSMAN KILDEE COMMITTEE P.O. BOX 2884 WASHINGTON, DC 20013	Purpose of Disbursement HD MI-09 POLITICAL CONTRIBUTION Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 2,500.00
F. Full Name, Mailing Address and ZIP Code KILDEE FOR CONGRESS P.O. BOX 2884 WASHINGTON, DC 20013	Purpose of Disbursement HD MI-09 POLITICAL CONTRIBUTION Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 92-G, DEBT RETIRE	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 500.00
G. Full Name, Mailing Address and ZIP Code MIKE WARD FOR CONGRESS 1250 MID-CITY MALL LOUISVILLE, KY 40205	Purpose of Disbursement HD KY-03 POLITICAL CONTRIBUTION Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 2,000.00
H. Full Name, Mailing Address and ZIP Code STUDDS FOR CONGRESS P.O. BOX 513 SCITUATE, MA 02066	Purpose of Disbursement HD MA-10 POLITICAL CONTRIBUTION Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 2,500.00
I. Full Name, Mailing Address and ZIP Code CONGRESSMAN ELIOT ENGEL 177 DREISER LOOP BRONX, NY 10475	Purpose of Disbursement HD NY-17 POLITICAL CONTRIBUTION Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 94-G, DEBT RETIRE	Date (month, day, year) 5/25/95	Amount of Each Disbursement This Period 1,000.00

SUBTOTAL of Disbursements This Page (optional)

15500.00

TOTAL This Period (last page this line number only)

SCHEDULE A

ITEMIZED RECEIPTS

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11c	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.

NAME OF COMMITTEE (in Full)

FEC ID No.

Ward for Congress

c00284117

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Human Rights Campaign Fund P.O. Box 1398 Washington, DC 20013	PAC	6/8/95	\$ 2,000.00
Receipt for: general-94	Occupation: PAC	Aggregate Year-To-Date \$ 2,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
I.B.E.W.-C.O.P.E. - 1125 15th St. NW Washington, DC 20005	PAC	4/28/96 4/28/96 6/30/95	\$ 1,000.00 \$ 1,000.00 \$ 2,000.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 4,000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Intern. Un. of Electr. Electrical, Sal. Machine & Furnl. Wk. AFL-CIO 1126 16th St. NW Washington, DC 20036	PAC	6/7/95	\$ 1,000.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 1,000.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Ironworkers Pol. Action League 1750 New York Ave., NW Washington, DC 20006	PAC	3/27/95	\$ 1,000.00
Receipt for: primary	Occupation: PAC	Aggregate Year-To-Date \$ 1,000.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
James B. Beam Brands Co. PAC 510 Lake Cook Rd. Deerfield, IL 60015	PAC	2/12/95	\$ 500.00
Receipt for: general-94	Occupation: (PAC)	Aggregate Year-To-Date \$ 500.00	
SUBTOTAL	of Receipts This Page		\$ 8,500.00
TOTAL	This Period		

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE 1	OF 1
FOR LINE NUMBER 23		

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for other purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
 Jim Beam Brands Co.
 Political Action Committee

FEC ID No. C00194126

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
NRCC 320 First Street, S.E. Washington, DC 20003	Campaign Contrib Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	1-25-95	1000.00
→ B. Full Name, Mailing Address and ZIP Code Ward for Congress KY 1250 Bardstown Road Midcity Mall Louisville, KY 40204	Campaign Contrib Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	2-6-95	500.00
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

1500.00

TOTAL This Period (last page this line number only)

1500.00

SCHEDULE A

ITEMIZED RECEIPTS

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NAME OF CONTRIBUTOR (in Full)

Ward for Congress

REC ID No

c00284117

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
KyEPAC Federal - KY Utilities Co. One Quality St. Lexington, KY 40507	PAC	1/19/95	\$ 500.00
Receipt for: <u>general-94</u>	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
B. Full Name, Mailing Address and ZIP Code Laborers' Political League 905 16th St., NW Washington, DC 20006	PAC	Date (Month, Year, Day) 1/23/95 2/7/95 6/1/95	Amount of Each Receipt This Period \$ 250.00 \$ 500.00 \$ 250.00
Receipt for: <u>general-94</u>	Occupation: PAC	Aggregate Year-To-Date \$ 1,000.00	
C. Full Name, Mailing Address and ZIP Code Lockheed Martin Employ. PAC 1725 Jefferson Davis Highway Crystal Square Two, Suite 300 Arlington, VA 22202	PAC	Date (Month, Year, Day) 6/30/95	Amount of Each Receipt This Period \$ 500.00
Receipt for: <u>general-96</u>	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
D. Full Name, Mailing Address and ZIP Code Loews Lorillard Public Affairs Com. 1 Park Ave. New York, NY 10013	PAC	Date (Month, Year, Day) 2/25/95	Amount of Each Receipt This Period \$ 500.00
Receipt for: <u>primary</u>	Occupation: PAC	Aggregate Year-To-Date \$ 500.00	
E. Full Name, Mailing Address and ZIP Code Machinists Non-Part. Pol. League 9000 Machinist Pl. Upper Marlboro, MD 20772	PAC	Date (Month, Year, Day) 6/15/95	Amount of Each Receipt This Period \$ 1,500.00
Receipt for: <u>primary-94</u>	Occupation: PAC	Aggregate Year-To-Date \$ 1,500.00	
SUBTOTAL of Receipts This Page			\$ 4,000.00
TOTAL This Period			

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

KENTUCKY UTILITIES COMPANY PAC

993003493-895215-28

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Mike Ward for Congress United States House of Representatives Washington, DC 20515	Contribution to Mike Ward - 3rd. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	1/9/95	\$ 500.00
B. Full Name, Mailing Address and ZIP Code Ed Whitfield for Congress United States House of Representatives Washington, DC 20515	Purpose of Disbursement Contribution to Ed Whitfield - 1st. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	1/9/95	500.00
C. Full Name, Mailing Address and ZIP Code McConnell Senate Committee /96 425 Second Street, N.E. Washington, DC 20002 Attn: Laura Whitten	Purpose of Disbursement Contribution to Mitch McConnell-Senate Candid. Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	2/28/95	1,000.00
D. Full Name, Mailing Address and ZIP Code Ed Whitfield For Congress Committee 1541 LHOB Washington, DC 20515	Purpose of Disbursement Contribution to Ed Whitfield - 1st. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	4/24/95	500.00
E. Full Name, Mailing Address and ZIP Code Ron Lewis for Congress Committee 412 CHOB Washington, DC 20515	Purpose of Disbursement Contribution to Ron Lewis - 2nd. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	4/24/95	500.00
F. Full Name, Mailing Address and ZIP Code Jim Bunning for Congress Committee 2437 RHOB Washington, DC 20515	Purpose of Disbursement Contribution to Jim Bunning - 4th. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	4/24/95	1,000.00
G. Full Name, Mailing Address and ZIP Code Scotty Baesler for Congress Committee 113 CHOB Washington, DC 20515	Purpose of Disbursement Contribution to Scotty Baesler - 6th. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	4/24/95	500.00
H. Full Name, Mailing Address and ZIP Code Scotty Baesler for Congress 113 Cannon Office Building Washington, DC 20515	Purpose of Disbursement Contribution to Scotty Baesler - 6th. Dist./KY Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/27/95	500.00
I. Full Name, Mailing Address and ZIP Code McConnell Senate Committee P.O. Box 1496 Louisville, KY 40201	Purpose of Disbursement Contribution to Mitch McConnell-Senate Candid. Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	6/27/95	1,000.00

SUBTOTAL of Disbursements This Page (optional)

\$6,000.00

TOTAL This Period (last page this line number only)

SCHEDULE A

ITEMIZED RECEIPTS

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NAME OF CONTRIBUTOR (in Full)		FEC ID No	
Ward for Congress		c00284117	
A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
NARFE-PAC - National Assoc. of Retired Federal Employees 1533 New Hampshire Ave., NW Washington, DC 20036	PAC	6/30/95	\$ 1,000.00
Receipt for <u>general-94</u>	Occupation: PAC	Aggregate Year-To-Date	
		\$ 2,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Nat. Air Traffic Control. Ass. PAC 1150 17th St., NW Suite 701 Washington, DC 20036	PAC	4/14/95	\$ 500.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date	
		\$ 500.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
Nat. Ass. of Life Underwriters 1822 F St., NW Washington, DC 20006	PAC	4/7/95	\$ 500.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date	
		\$ 500.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
National City Corporation PAC National City Center 1900 E. 9th St. Cleveland, OH 44114	PAC	5/12/95	\$ 500.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date	
		\$ 500.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (Month, Year, Day)	Amount of Each Receipt This Period
National Comm. to Preserve Social Security & Medicare PAC 2000 K St., NW, Suite 800 Washington, DC 20006	PAC	3/27/95	\$ 1,000.00
Receipt for primary	Occupation: PAC	Aggregate Year-To-Date	
		\$ 1,000.00	
SUBTOTAL of Receipts This Page		\$ 3,500.00	
TOTAL This Period			

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)

National Association of Retired Federal Employees Political Action Committee (NARFE-PAC)

A. Full Name, Mailing Address and ZIP Code Frank Riggs for Congress PO Box 590 Windsor, CA 95492	Purpose of Disbursement Contribution Rep. Frank Riggs (CA-R) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 500.00
B. Full Name, Mailing Address and ZIP Code Lynn Rivers for Congress 42245 East Ann Arbor Rd. Plymouth, MI 48170	Purpose of Disbursement Contribution Rep. Lynn Rivers (MI-D) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 1,000.00
C. Full Name, Mailing Address and ZIP Code Lucille Roybal-Allard for Congress PO Box 523024 Springfield, VA 22152	Purpose of Disbursement Contribution Rep. Lucille Roybal-Allard (CA-D) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 450.00
D. Full Name, Mailing Address and ZIP Code The Tom Sawyer Committee PO Box 70092 Washington, DC 20024	Purpose of Disbursement Contribution Rep. Tom Sawyer (OH-D) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 2,000.00
E. Full Name, Mailing Address and ZIP Code Ward for Congress 38 Ivy Street, SE Washington, DC 20003	Purpose of Disbursement Contribution Rep. Mike Ward (KY-D) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 1,000.00
F. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 203 C Street, NE Washington, DC 20002	Purpose of Disbursement Contribution Sen. Max Baucus (MT-D) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 2,000.00
G. Full Name, Mailing Address and ZIP Code Cohen for Senator PO Box 1379 Portland, ME 04104-1379	Purpose of Disbursement Contribution Sen. Bill Cohen (ME-R) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 2,000.00
H. Full Name, Mailing Address and ZIP Code Stevens for Senate Committee PO Box 1766 Washington, DC 20013	Purpose of Disbursement Contribution Sen. Ted Stevens (AK-R) Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 3,000.00
I. Full Name, Mailing Address and ZIP Code Friends of John Warner ¹⁹⁶ Committee 425 Second Street, NE Washington, DC 20002	Purpose of Disbursement Contribution Sen. John Warner (VA-R) Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 06/26/95	Amount of Each Disbursement This Period 3,000.00

SUBTOTAL of Disbursements This Page (optional)

14,950.00

TOTAL This Period (last page this line number only)

\$ 91,500.00



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 11, 1996

Maria Cino, Executive Director
National Republican Congressional Committee
320 First Street, SE
Washington, DC 20003

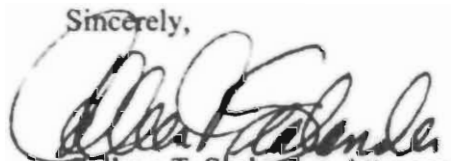
RE: MUR 4453

Dear Ms. Cino:

This letter acknowledges receipt on September 6, 1996, of your complaint alleging possible violations of the Federal Election Campaign Act of 1971, as amended ("the Act"). The respondent(s) will be notified of this complaint within five days.

You will be notified as soon as the Federal Election Commission takes final action on your complaint. Should you receive any additional information in this matter, please forward it to the Office of the General Counsel. Such information must be sworn to in the same manner as the original complaint. We have numbered this matter MUR 4453. Please refer to this number in all future communications. For your information, we have attached a brief description of the Commission's procedures for handling complaints.

Sincerely,


Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosure
Procedures

980043852601



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 11, 1996

William Carl Fust, Treasurer
Mike Ward for Congress Committee
1250 Bardstown Road
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Fust:

The Federal Election Commission received a complaint which indicates that the Mike Ward for Congress Committee ("Committee") and you, as treasurer, may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4453. Please refer to this number in all future correspondence.

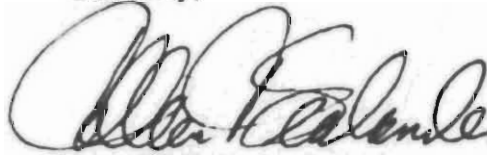
Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and you, as treasurer, in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

98043852602

If you have any questions, please contact a member of the Central Enforcement Docket at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,



Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

9 8 0 4 3 8 5 2 6 0 3



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 11, 1996

The Honorable Mike Ward
1032 LHOB
Washington, DC 20515-1703

RE: MUR 4453

Dear Representative Ward:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4453. Please refer to this number in all future correspondence.

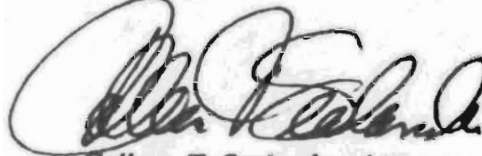
Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

98043852604

If you have any questions, please contact a member of the Central Enforcement Docket at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,



Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

980043852605



FEDERAL ELECTION COMMISSION
Washington, DC 20463

September 11, 1996

The Honorable Mike Ward
1905 Deer Park Avenue
Louisville, KY 40205

RE: MUR 4453

Dear Representative Ward:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4453. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

98043852606

If you have any questions, please contact a member of the Central Enforcement Docket at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,



Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

98043852607



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 11, 1996

Stephen G. Horner, Treasurer
Jefferson County Democratic Executive Committee
Mid-City Mall, 1250 Bardstown Road
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Horner:

The Federal Election Commission received a complaint which indicates that the Jefferson County Democratic Executive Committee ("Committee") and you, as treasurer, may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4453. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against the Committee and you, as treasurer, in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

98043852608

If you have any questions, please contact a member of the Central Enforcement Docket at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,



Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

98043852609



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 11, 1996

W. Allen Priest
200 S. 5th Street
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Priest:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4453. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

98043852610

If you have any questions, please contact a member of the Central Enforcement Docket at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,



Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

980043852611



FEDERAL ELECTION COMMISSION

Washington, DC 20463

September 11, 1996

Donna L. Heitzman
4030 Norbourne Blvd.
Louisville, KY 40207

RE: MUR 4453

Dear Ms. Heitzman:

The Federal Election Commission received a complaint which indicates that you may have violated the Federal Election Campaign Act of 1971, as amended ("the Act"). A copy of the complaint is enclosed. We have numbered this matter MUR 4453. Please refer to this number in all future correspondence.

Under the Act, you have the opportunity to demonstrate in writing that no action should be taken against you in this matter. Please submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter. Where appropriate, statements should be submitted under oath. Your response, which should be addressed to the General Counsel's Office, must be submitted within 15 days of receipt of this letter. If no response is received within 15 days, the Commission may take further action based on the available information.

This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

980043852612

If you have any questions, please contact a member of the Central Enforcement Docket at (202) 219-3400. For your information, we have enclosed a brief description of the Commission's procedures for handling complaints.

Sincerely,



Colleen T. Sealander, Attorney
Central Enforcement Docket

Enclosures

1. Complaint
2. Procedures
3. Designation of Counsel Statement

9 0 4 3 8 5 2 6 1 3

15 September 1996

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

SEP 23 11 24 AM '96

Colleen T. Sealander
Central Enforcement Docket
Federal Election Commission
999 E Street, NW
Washington, DC 20463

RE: MUR 4453

Ms. Sealander:

Today I received your notification of the complaint filed by the National Republican Congressional Committee that you have designated as MUR 4453. This letter serves as my own initial personal response to the complaint. Since I am no longer formally associated with the Ward For Congress Committee, and have never been associated with the other individuals named in the complaint, I can not and do not respond for the other named parties.

I reserve the right to retain counsel at any future date. Since the FEC itself has not reviewed this matter or proposed any potential violations of law I see no need to retain counsel at this time.

I request to be released from any responsibility for Items # 1 and 2 in the complaint.

Since I was not the treasurer at any time during 1994 I have no personal liability for any actions of the Committee during that year. Since all of the activities outlined in item 1 occurred during 1994 I should be released from that item.

As I understand the Act, the candidate is required to file the certificate of candidacy, not the committee treasurer. I have no liability for the filing of that statement. In fact as treasurer during 1995, my responsibility, as I understand the law, was to file campaign finance reports once the contribution limits were reached, whether or not the candidacy statement was timely filed. Since I performed as required I request to be released from any personal liability for item 2.

In response to item 3, I will need an extension of time to answer. Since I was the treasurer who signed that report I will need to investigate the situation. It is my understanding that each of those particular donors had given permission to use the funds contributed to the Committee to retire debt from the previous general election. But, since I am no longer associated with the Ward for Congress Committee, and since I am dealing with the illness of a small child, I will need an extension of time until at least October 31, 1996, to properly retrieve the necessary documents and correspond with the appropriate parties to resolve these questions.

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I will endeavor to respond as quickly as possible. I would appreciate a response from your office to my request for an extension of time for my personal response to this complaint.

W. Allen Priest

W. Allen Priest, CPA

98043852615



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 24, 1996

W. Allen Priest
200 S. 5th Street
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Priest:

This is in response to your letter dated September 15, 1996 which we received on September 23, 1996 requesting an extension to respond to the complaint filed in the above-noted matter. After considering the circumstances presented in your letter, the Office of the General Counsel has granted the requested extension. Accordingly, your response is due by the close of business on October 31, 1996.

If you have any questions, please contact the Central Enforcement Docket at (202) 219-3400.

Sincerely,

A handwritten signature in black ink, reading "Erik Morrison", is written over the typed name.

Erik Morrison, Paralegal
Central Enforcement Docket

980043852616

Mike Ward

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
3 32 PM '96

September 30, 1996

Federal Election Commission
ATTN: Colleen T. Sealander, Attorney
999 E Street NW
Washington, DC 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
OCT 1 3 32 PM '96

IN RE: MUR ~~4470~~ 4453

Dear Ms. Sealander:

This letter acknowledges receipt of your inquiry of MUR ~~4470~~ 4453.

We respectfully ask for an extension of time in order to fully respond.

The complaint filed by the NRCC requires us to undertake research involving seven political action committees, the State Democratic Party, the Jefferson County Democratic Party concerning contributions for the 1994 election.

The complaint does not allege any failure to disclose but only the nature of attribution of the contribution and in one case its legality. Further, the outcome of this inquiry will have no impact on the pending election. While we submit that the charges are unfounded, should any prove to be accurate they have no impact on the current selection.

Given that the 1996 election is but five weeks away, and given the age of the alleged violations, and given that the complaint was filed by the campaign committee supporting our opponent and given that our resources are tied up in the pending election, we respectfully request an extension of 60 days.

It is our wish and intent to be fully responsive.

Sincerely,



Wm. Carl Fust
Treasurer

98043852617

Rubin Hays & Foley

ATTORNEYS AT LAW

First Trust Centre, 200 South Fifth Street, Louisville, Kentucky 40202-3236
Telephone (502) 569-7550 Telefax (502) 569-7555

WM. CARL FUST
HARRY LEE MEYER
DAVID W. GRAY
IRVING D. FOLEY
JOSEPH R. GATHRIGHT, JR.
LISA ROCH BRYANT*
SHARON C. HARDY
CHARLES S. MUSSON
W. RANDALL JONES
K. GAIL RUSSELL
CHRISTIAN L. JUCKETT
COURTNEY L. MCCALL

OF COUNSEL
JAMES E. FAHEY

*ALSO ADMITTED IN INDIANA

November 14, 1996

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
NOV 18 2 43 PM '96

Federal Election Commission
999 E Street N.W.
Washington D.C. 20463
Identification Number C00284117
Attention: Colleen T. Sealander, Esq.

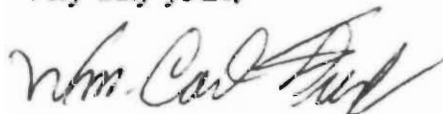
Re: MUR #4453
MUR #4470

Dear Ms. Sealander:

Enclosed is my response to referenced MUR's as the current treasurer for the Ward for Congress Committee. If you need to correspond with me or to telephone me, please use the address and telephone number on the letter head of this letter. The campaign headquarters for Mike Ward will be closing shortly.

If this response does not adequately address the issues raised in the MUR's, please advise. Also bear in mind that I am a volunteer, non-paid treasurer recently appointed to close out the operations for the Ward for Congress Committee. I will do the best I can to resolve these issues.

Very truly yours,



Wm. Carl Fust

cc: Mike Ward

BEFORE THE FEDERAL ELECTION COMMISSION

Mike Ward for Congress Committee

William Carl Fust, W. Allen Priest, and Donna L. Heitzman
Treasurers to Ward for Congress

Jefferson County Democratic Executive Committee

Stephen G. Horner, Esq., Treasurer for JCDEC

MUR #4453

RESPONSE

William Carl Fust, current Treasurer for the Mike Ward 1996 Congressional Campaign, on behalf of himself and on behalf of Mike Ward for Congress Committee, W. Allen Priest, Donna L. Heitzman, Jefferson County Democratic Executive Committee and Stephen G. Horner does herewith make this Response to the Complaint filed by the NRCC. The Complaint alleges that Mike Ward for Congress Committee has engaged in a pattern of inaccurate reporting and unlawful practices as set forth in numerical paragraphs 1, 2 and 3 in the Summary of the Complaint. This Response shall deal with each of such allegations in the same numerical order.

1. The Complaint alleges that the Respondents knowingly accepted an illegal, excessive 1994 election-eve contribution from an unregistered local party committee. In response, Respondents acknowledge that the Mike Ward for Congress Committee did in fact receive a \$5,000 contribution from the Jefferson County Democratic Executive Committee (JCDEC) for the 1994 general election which was duly reported on the appropriate FEC Report of the Mike Ward for Congress Committee. However, Kentucky Revised Statute Chapter 120, entitled Campaign Finance Regulation, for purposes of regulating political campaign contributions considers each local democratic party committee as a subdivision of the state democratic party executive committee which was then duly registered with the FEC, and therefore, was entitled under federal law to make a \$5,000 contribution through JCDEC to the Mike Ward for Congress Committee for the 1994 general election. 11 CFR 110.2(d) See the attached Exhibit 1, which is a statement by the treasurer of the Jefferson County Democratic Executive Committee setting forth the facts and rationale for said \$5,000 contribution to the Mike Ward for Congress Committee. Also see attached FEC Advisory Opinion 1996-8 addressed to Pamela Rochester, Counsel to JCDEC.

2. The Complaint alleges a failure to file a required FEC Statement of Candidacy for the 1996 Congressional election until two hundred days after the date required for filing of such statement. Respondents do admit that such Statement of Candidacy was not filed on time. Neither Congressman Mike Ward nor his then campaign treasurer were aware of the requirement that a Statement of Candidacy be filed for reelection of an incumbent congressman. Mike Ward had duly filed on time (last Tuesday in January, 1996), the necessary nomination papers with the

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Secretary of State of Kentucky as required by Kentucky law KRS 118.165. As soon as Congressman Mike Ward became aware of the omission, such FEC Statement of Candidacy for the 1996 election was filed.

3. The Complaint alleges unilateral, unauthorized and unlawful re-designation of PAC contributions by seven contributors for a total contribution of \$5,250 between January 19, 1995 and June 30, 1995. The Respondents do acknowledge that the seven PAC Contributions were made in the total amounts indicated in the Complaint and that through error or oversight on the part of one or more of the Respondents, the purpose of such contributions were incorrectly reported on the FEC reports made by the Ward for Congress Committee. Attached as Exhibit 2 are letters and notes on telephone messages from each of the various PAC's indicating the purposes for which such contributions were made. Also attached is a revised FEC report by the Ward for Congress Committee for the period of time in question reflecting the correct designation of such contributions as reflected in the attached correspondence from the PAC's.

Respondents believe that based on the foregoing, the Complaint does not set forth violations of the Federal Election Campaign Act of 1971, as amended and that the Commission make such finding and close the file.

Respectfully Submitted,



Wm. Carl Fust
Treasurer, Ward for Congress Committee

Signed and sworn to before me this 14th day of November, 1996.

My Commission expires March 24, 1997


Notary Public
State at Large



451-6772

Z. Grey Vincent
Chairperson

Mary Henderson
Vice-Chairperson

Federal Election Commission MUR4453
Statement

By
Stephen G. Horner

I am Treasurer of the Jefferson County Democratic Executive Committee (hereafter "JCDEC") and this statement is an account of the facts surrounding JCDEC's \$5,000 contribution to Ward for Congress in 1994 and the legal basis for it.

Facts

Mike Ward in autumn, 1994 asked the JCDEC for a contribution of \$5,000 to his campaign committee. He explained that 1) the maximum allowable contribution from the Kentucky Democratic Party (hereafter "KDP") was \$5,000; 2) the KDP had made no contribution to his campaign and had no plans to do so; 3) the JCDEC could make a \$5,000 contribution of its own in the stead of the KDP. Then the JCDEC did, in fact, vote to authorize a \$5,000 contribution which was paid to Ward for Congress in Autumn, 1994.

Law

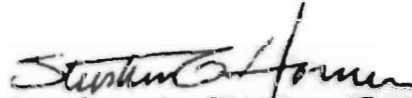
KRS 121.150 (11) sets forth a maximum contribution amount (\$2,500) per calendar year by any person "...to the state executive committee of a political party and its subdivisions and affiliates... (underlined furnished by undersigned)." The Kentucky Registry of Election Finance has determined that county executive committees, such as JCDEC, are "subdivisions and affiliates" of state executive committees and that the aggregate contributions to and expenditures by these various committees must not exceed the legal maximums which are specifically (by language in the statutes) applicable to state executive committees.

Please see page 4 of "Executive Committee Guide to Campaign Finance" [1996 Edition] produced by the Kentucky Registry of Election Finance.

Argument

Because the JCDEC was an "affiliate" of the KDP and the KDP was a registered committee with the FEC in autumn, 1994, the \$5,000 contribution by the JCDEC was, as a matter of law, a contribution by the KDP and, thus, was a contribution in accordance with U.S. law.

Respectfully submitted,



Stephen G. Horner, Treasurer
Jefferson County Democratic
Executive Committee
Mid-City Mall
1250 Bardstown Road
Louisville, KY 40204
8 Nov 96

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Contribution Limits 121.150(11)

No person shall contribute more than two thousand five hundred dollars (\$2,500) to the state executive committee of a political party and its subdivisions and affiliates in any one (1) calendar year. *The maximum (\$2,500) contribution limit includes both money and in-kind contributions.* Contributions to a political party in excess of one thousand dollars (\$1,000) in any one (1) calendar year shall be deposited in a **separate account the state committee maintains** for the exclusive purpose of paying administrative costs incurred by the political party.

Executive Committees may contribute unlimited amounts to candidates, except for slates of candidates for Governor and Lieutenant Governor. In gubernatorial campaigns, a party executive committee may contribute no more than \$1,000 per election to gubernatorial slates.

CONTRIBUTIONS TO EXECUTIVE COMMITTEES BY:	INDIVIDUAL	PAC	CONTRIBUTING ORGANIZATION	CORPORATION
	\$2,500 per year aggregate (121.150(11))	\$2,500 per year aggregate (121.150(11))	\$2,500 per year aggregate (121.150(11))	PROHIBITED

CONTRIBUTIONS FROM EXECUTIVE COMMITTEES TO:	A CANDIDATE OR A SLATE	SCHOOL BOARD CANDIDATE	PAC	ISSUES COMMITTEE
	Unlimited* *State limit is \$1,000 per election (121A.050(3))	Unlimited* *May be against party rules	\$1,500 per year aggregate (121.150(10))	Unlimited* *May be against party rules

REGARDING:	
CASH RECEIPTS	\$50 per election* (121.150(4)) *Cash prohibited in slates (121A.050(3))
ANONYMOUS RECEIPTS	\$50 per election* *Maximum aggregate \$1,000 per election (121.150(5))

- ** A candidate shall not accept contributions from Executive Committees which, in the aggregate, exceed fifty percent (50%) of the total contributions accepted by the candidate in any one (1) election or ten thousand dollars (\$10,000) in any one (1) election, whichever is the greater amount.
- ** Independent expenditures must be reported by contributor when the aggregate independent expenditures exceeds \$500 in one election.
- ** In-kind contributions are subject to same limits as contributions by check.
- ** Contributions by cashier's check or money order are limited to \$50 per election unless the instrument clearly identifies both the payer and payee.

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SECTION.

- 121.040. [Repealed.]
121.045. Contributions to certain candidates or slates of candidates by individuals prohibited.
121.050. [Repealed.]
121.055. Candidates prohibited from making expenditure, loan, promise, agreement or contract as to action when elected, in consideration for vote.
121.056. Restrictions upon specified campaign contributors.
121.060. [Repealed.]
121.065. Limitation of political advertising rates — Injunction.
121.070. [Repealed.]

REGISTRY OF ELECTION FINANCE

- 121.100. [Repealed.]
121.110. Registry of Election Finance — Membership — Terms — Meetings — Compensation.
121.120. Duties and powers of registry — Appointment of certain employees.
121.130. Dissemination of information to candidates, treasurers, depositories and general public.
121.135. Advisory opinion by registry — Effect — Publication.
121.140. Investigation of complaint — Conciliation agreement hearing — Issuance of order — Reference for possible prosecution — Judicial review.
121.150. Campaign contribution and loan restrictions and expenditure limitations.
121.160. Campaign treasurers — Duties.
121.170. Registration of committees and fundraisers — Information required — Permanent commit-

SECTION.

- tee by member of General Assembly prohibited.
121.175. Allowable campaign expenditures — Administrative regulations — Penalties.
121.180. Reports required of committees and treasurers — Exemptions — Administrative fee — Exceptions — Use of campaign funds — Disposition of unexpended campaign funds — Reports of purveyors of communications and advertising services.
121.190. Identification of contributors and advertisers.
121.200. Reports to be kept four years — Admissibility as evidence. [Repealed.]
121.210. Central campaign committee — Designation — Duties — Unauthorized or disavowed campaign committee.
121.220. Primary campaign depository — Secondary depository — Deposits — Statements.
121.230. Use of portion of income tax designated to political party — Records and reports — Audit.

PROHIBITIONS

- 121.310. Coercement of employee's vote prohibited.
121.320. Assessment of state or federal employee prohibited.
121.330. Restrictions on elected officials and their appointees in dealing with certain contributors and fundraisers.

PENALTIES

- 121.990. Penalties.

121.010. Proclamation for special election for Governor. [Repealed.]

Compiler's Notes. This section (1523-2, 1525: Acts 1966, ch. 239, § 129) was repealed

by Acts 1974, ch. 130, § 198. For present law, see KRS 116.710.

§ 121.015. Definitions for chapter.

As used in this chapter:

- (1) "Registry" means the Kentucky Registry of Election Finance;
- (2) "Election" means any primary, runoff primary, regular, or special election to fill vacancies regardless of whether a candidate or slate of candidates is opposed or unopposed in an election. Each primary, runoff primary, regular, or special election shall be considered a separate election;
- (3) "Committee" includes the following:

ributions to aid can-
r public office prohib-
d to support a public
mitted.

- (a) "Campaign committee," which means one (1) or more persons who receive contributions and make expenditures to support or oppose one (1) or more specific candidates or slates of candidates for nomination or election to any state, county, city, or district office;
- (b) "Political issues committee," which means three (3) or more persons joining together to advocate or oppose a constitutional amendment or public question which appears on the ballot if that committee receives or expends money in excess of one thousand dollars (\$1,000);
- (c) "Permanent committee," which means a group of individuals, including an association, committee or organization, other than a campaign committee, political issues committee, inaugural committee, or party executive committee, which is established as, or intended to be, a permanent organization having as a primary purpose political activity which may include support of or opposition to selected candidates, slates of candidates, political parties, or issues of public importance, and which functions on a regular basis throughout the year;
- (d) An executive committee of a political party; and
- (e) "Inaugural committee," which means one (1) or more persons who receive contributions and make expenditures in support of inauguration activities for any candidate or slate of candidates elected to any state, county, city, or district office;
- (4) "Contributing organization" means a group which merely contributes to candidates, campaign committees, or executive committees from time to time from funds derived solely from within the group, and which does not solicit or receive funds from sources outside the group itself. However, any contributions made by the groups in excess of one hundred dollars (\$100) shall be reported to the registry;
- (5) "Testimonial affair" means an affair held in honor of a person who holds or who is or was a candidate for nomination or election to a political office in this state designed to raise funds for any purpose not charitable, religious, or educational;
- (6) "Contribution" means any:
 - (a) Payment, distribution, loan, deposit, or gift of money or other thing of value, to a candidate, his agent, a slate of candidates, its authorized agent, a committee, or contributing organization. As used in this subsection, "loan" shall include a guarantee, endorsement, or other form of security where the risk of nonpayment rests with the surety, guarantor, or endorser, as well as with a committee, contributing organization, candidate, slate of candidates, or other primary obligor. No person shall become liable as surety, endorser, or guarantor for any sum in any one (1) election which, when combined with all other contributions the individual makes to a candidate, his agent, a slate of candidates, its agent, a committee, or a contributing organization, exceeds the contribution limits provided in KRS 121A.050 or KRS 121.150;

- (b) Payment
treasurer's
tee, or a
sonal ser-
slate of c
inaugura
 - (c) Goods, as
dred doll
are furn
contribut
charge, o
for the p
 - (d) Paymen
treasurer
tee, or co
value of
any one
candidat
ration a
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independ
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purpose
- (7) Notwithstar shall not be
- (a) Services
ing a po
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Kentuck
regulati
- (8) "Candidate"
made expend
his consent
penditures v
public office
- (9) "Slate of ca
notification
butions or n
nated a cam
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Lieutenant
vision of lat
candidates:
- (10) "Knowing
described b

- (8) No permanent committee shall contribute funds to another permanent committee for the purpose of circumventing contribution limits of subsection (6) of this section.
- (9) No person shall contribute funds to a permanent committee, political issues committee, or contributing organization for the purpose of circumventing the contribution limits of subsection (6) of this section.
- (10) No person shall contribute more than one thousand five hundred dollars (\$1,500) to all permanent committees and contributing organizations in any one (1) year.
- (11) No person shall contribute more than two thousand five hundred dollars (\$2,500) to the state executive committee of a political party and its subdivisions and affiliates in any one (1) year. Contributions a person makes to a political party in excess of one thousand dollars (\$1,000) in any one (1) year shall be deposited in a separate account which the state executive committee maintains for the exclusive purpose of paying administrative costs incurred by the political party.
- (12) No person shall make a payment, distribution, loan, advance, deposit, or gift of money to another person to contribute to a candidate, committee, contributing organization, or anyone on their behalf. No candidate, committee, contributing organization, nor anyone on their behalf shall accept a contribution made by one (1) person who has received a payment, distribution, loan, advance, deposit or gift of money from another person to contribute to a candidate, committee, contributing organization, or anyone on their behalf.
- (13) No candidates running as a slate for the offices of Governor and Lieutenant Governor shall make combined total personal loans to their committee in excess of fifty thousand dollars (\$50,000) in any one (1) election. No candidate for any other statewide elected state office shall lend to his committee any amount in excess of twenty-five thousand dollars (\$25,000) in any one (1) election. In campaigning for all other offices, no candidate shall lend to his committee more than ten thousand dollars (\$10,000) in any one (1) election.
- (14) No candidate or slate of candidates for nomination to any state, county, city, or district office, nor their campaign committees, nor anyone on their behalf, shall solicit or accept contributions for primary election expenses after the date of the primary. No person other than the candidate or slate of candidates shall contribute for primary election expenses after the date of the primary.
- (15) No slate of candidates for nomination for election to the offices of Governor and Lieutenant Governor, nor its campaign committees, nor anyone on their behalf, shall solicit or accept contributions for runoff primary election expenses after the date of the runoff primary. No person other than the slated candidates shall contribute for runoff primary election expenses after the date of the runoff primary.
- (16) No candidate or slate of candidates for any state, county, city, or district office at a regular election, nor their campaign committees, nor anyone on their behalf, shall solicit or accept contributions for regular election expenses after the date of the regular election. No person other

than the car
election exp

- (17) No candidate, state, county, nor anyone special election other than special election
- (18) Nothing in prohibit a slate campaign fund matches of election but the date of
- (19) The provision only to those conducted sections (15) and slates of candidates can be conducted
- (20) No slate of immediate value to the value which be recovered bined total
- (21) No candidate committee, shall know indirectly.
- (22) Nothing in corporation tions can be 121.025.
- (23) In addition candidates immediately vided in K
- (24) No candidate, behalf, shall employee, w service prov violation of directed to h an overall
- (25) A candidate Governor at from permar

person is campaigning as a write-in candidate prior to having filed a declaration of intent to receive write-in votes, and, if the registry determines upon a preponderance of the evidence that a person who may later be a write-in candidate is conducting a campaign, the candidate or slate of candidates may petition the registry to permit the candidate or slate of candidates to exercise the reversion rights provided in paragraph (d) of this subsection.

- (i) If the opponent of a candidate or slate of candidates is replaced due to his withdrawal because of death, disability, or disqualification, the candidate or slate of candidates may exercise the reversion rights provided in paragraph (d) of this subsection not later than fifteen (15) days after the party executive committee nominates a replacement for the withdrawn candidate or slate of candidates.
 - (j) The campaign committee of any candidate or slate of candidates that has filed a request for exemption or a political issues committee whose chairman has filed a request for exemption shall be bound by its terms unless it is rescinded in a timely manner and no new request for exemption has been executed.
 - (k) 1. Any candidate, slate of candidates, or political issues committee that is exempt from filing campaign finance reports pursuant to paragraph (a), (b), or (e) of this subsection that accepts contributions or makes expenditures, or whose campaign treasurer accepts contributions or makes expenditures, in excess of the applicable limit in any one (1) election without rescinding the request for exemption in a timely manner shall comply with all applicable reporting requirements and, in lieu of other penalties prescribed by law, pay a fine of not more than five hundred dollars (\$500) plus the amount by which the spending limit was exceeded.
 - 2. A candidate, slate of candidates, campaign committee, or political issues committee that is exempt from filing campaign finance reports pursuant to paragraph (a), (b), or (e) of this subsection that knowingly accepts contributions or makes expenditures in excess of the applicable spending limit in any one (1) election without rescinding the request for exemption in a timely manner shall comply with all applicable reporting requirements and shall be guilty of a Class D felony.
- (2) State and county executive committees shall make a full report, upon a prescribed form, to the registry, of all money, loans, or other things of value, received from any source, and expenditures authorized, incurred, or made, since the date of the last report, including:
- (a) For each contribution of any amount made by a permanent committee, the name and business address of the permanent committee, the date of the contribution, the amount contributed, and a description of the major business, social, or political interest represented by the permanent committee;
 - (b) For other contributions in excess of one hundred dollars (\$100), the full name, address, age if less than the legal voting age, the

date of the con
employer and
self-employed.
listed:

- (c) The total amount of the reporting period; and
 - (d) A complete statement of funds made. The contribution name and address in excess of two hundred dollars for the purpose of each industry within the states of candidates. If an individual county executive or a political candidate, the contribution shall be included on the contribution funds remitted. \$141,073 shall be paid in the manner may be made in subsection, to the local election.
- (3) (a) Except for candidates, or political requirements of campaign treasurer, committee, or political expends, expenditure more than election, and of three thousand make a full report money, loans, and expenditure the last report
1. For each committee committee, and cal inter-
 2. For each made to elected st or slate (date, man uctor and

DRAFT1 **ADVISORY OPINION 1996-8**

2
3 **Pamela Rochester, Counsel**
4 **Jefferson County Democratic Executive Committee**
5 **1250 Bardstown Road**
6 **Louisville, KY 40204-1333**

7
8 **Dear Ms. Rochester:**

9 This responds to your letter dated February 29, 1996, requesting an advisory
10 **opinion on behalf of the Jefferson County Democratic Executive Committee ("JCDEC")**
11 **concerning the application of the Federal Election Campaign Act of 1971, as amended**
12 **("the Act"), and Commission regulations to the establishment of a building fund for a**
13 **local party committee's headquarters. By letter dated April 3, 1996, the Kentucky**
14 **Democratic Party ("KDP") has joined in this request.**

15 You state that the Kentucky Democratic Party is the State committee for the
16 **Democratic Party in Kentucky as defined in 11 CFR 100.14(a), and that the JCDEC is a**
17 **subordinate committee of the KDP as defined in 11 CFR 100.14(b).¹ The JCDEC wishes**
18 **to establish a building fund for the purpose of purchasing a headquarters, pursuant to the**
19 **exception to the definitions of "contribution" and "expenditure" in the Act and**
20 **Commission regulations. This fund would be established as a bank account separate**
21 **from other accounts of the JCDEC, and the money expended from the fund would be**
22 **used "only for building fund purposes in conformance with federal law."²**

23 You ask whether the JCDEC, as a subordinate committee of a State party
24 **committee, may establish a separate building fund which may receive donations that are**
25 **not subject to the prohibitions and limitations of the Act. As part of this query, you ask**
26 **whether a "subordinate party committee" would be specifically included in the definition**
27 **of "state party committee." In the event that the Commission concludes that a**
28 **subordinate committee may not establish a building fund that may receive such**
29 **donations, you wish to know whether a State party committee (i.e., the KDP) may**

¹ The Kentucky State Democratic Central Executive Committee is a political committee registered with the Commission. The JCDEC was registered with the Commission as a political committee on October 26, 1992, as the Louisville-Jefferson County Democratic Party. The Commission accepted the JCDEC's termination as a political committee on July 22, 1994.

² The KDP notes that the building fund will be "wholly owned and maintained" by the JCDEC.

98043852627

AO 1996-8

Page 2

1 establish such a building fund specifically designated for the purpose of building a
2 JCDEC headquarters.

3 Under the Act and Commission regulations, a gift, subscription, loan, advance, or
4 deposit of money or anything of value made to a national committee or a State committee
5 of a political party, that is specifically designated to defray the costs incurred for
6 construction or purchase of an office facility, is not considered to be a contribution or
7 expenditure, provided that the facility is not acquired for the purpose of influencing the
8 election of any candidate in any particular election for Federal office. 2 U.S.C.
9 §431(8)(B)(viii); 11 CFR 100.7(b)(12), 100.8(b)(13), and 114.1(a)(2)(ix). The
10 Commission has applied these sections to permit a number of State party committees and
11 a national party committee to accept corporate donations to building funds set up for the
12 purpose of purchasing or constructing a headquarters for those party committees.
13 Advisory Opinions 1993-9, 1991-5, 1986-40, and 1983-8.

14 You propose that the JCDEC, as a subordinate committee of a State committee,
15 would be eligible for the same exemption. The Commission concludes that the JCDEC
16 could not avail itself of the exemption and, if it becomes a political committee, could not
17 take contributions outside the limits and prohibitions of the Act for the purposes of
18 building or purchasing a headquarters.² The Act and Commission regulations define
19 "State committee" as "the organization which by virtue of the bylaws of a political party,
20 is responsible for the day-to-day operation of the political party at the State level, as
21 determined by the Commission." 2 U.S.C. §432(15); 11 CFR 100.14(a). Commission
22 regulations separately define a "subordinate committee of a State committee as "any
23 organization which is responsible for the day-to-day operation of the political party at the
24 level of city, county, neighborhood, ward, district, precinct, or any other subdivision of a
25 State, or any organization under the control or direction of the State committee." 11 CFR

² Assuming its lawful disbursements for permissible Federal election activity would not cause JCDEC to become a "political committee," as defined in the Act, the funds it receives and spends for the purchase or construction of an office facility would not be subject to the limits and most of the prohibitions of the Act. 2 U.S.C. §431(4)(C); 11 CFR 102.5(b); see 2 U.S.C. §§441a, 441b, 441c, 441f, and 441g. The JCDEC is, however, barred from accepting any donations from foreign nationals, from national banks, or from any corporation organized by a law of Congress. 2 U.S.C. §§441b(a) and 441e.

98043852628

FEC General Counsel

L 1202-219-3923

May 96

14:32 No.005 P.07

AO 1996-8

Page 3

1 100.14(b). The Commission has explicitly stated that the building fund exemption was
2 inapplicable to the Erie County Democratic Committee (in New York) because it was a
3 local, rather than a State or national, committee of a political party. Advisory Opinion
4 1988-12.

5 The building fund exemption in the Act and regulations is a specific and narrow
6 exception to the definition of contribution explicitly provided for the benefit of national
7 and State party committees. As indicated in the above-cited advisory opinion and the
8 Commission regulations, the exemption is not applicable with respect to financing the
9 purchase or construction of a local party committee's headquarters. The Commission
10 therefore concludes that the building fund exemption applicable to the KDP may not be
11 used for the purposes of financing the construction or purchase of a JCDEC
12 headquarters.

13 This opinion does not address the possible application of Kentucky statutes to the
14 described activity, and does not address any issues that may arise under the Federal
15 preemption provisions of 2 U.S.C. §453 and 11 CFR 108.7.

16 This response constitutes an advisory opinion concerning the application of the
17 Act, or regulations prescribed by the Commission, to the specific transaction or activity
18 set forth in your request. See 2 U.S.C. §437f.

19 Sincerely,

21 Lee Ann Elliott
22 Chairman

23
24 Enclosures (AOs 1993-9, 1991-5, 1988-12, 1986-40, and 1983-8)

25

98043852629

Last, first: Brown Forman,
 Mail name: Brown-Forman Corp P. A. C.
 Salutation: Kerry

Record type: P
 Last update: 11/12/96
 Enter date: 02/17/96

Home Address (Default)

Work Address

Mail Address

P. O. Box 1080

Louisville, KY 40201 -

Phone numbers:

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County: Jefferson
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Meth	Batch	Letter	MCode	Camp	Source	Account	Deposit	Oper	Comment	Link	CId
06/27/96	\$1500.00	0	C	K	0			C					KES check 1433	04	
12/05/95	\$1000.00	0	C	K	0			C					GRS check 1412	01	
02/07/95	\$500.00	0	C	K	0			b					GRS 94 G/ chCok 1392	02	
05/26/94	\$2000.00	0	C	K	0			W					KES	03	

'96 primary

98043852630

Last, first: Human Rights,
 Mail name: Human Rights Campaign Fund
 Salutation: Kevin

Record type: P
 Last update: 10/15/96
 Enter date: 02/19/96

Home Address (Default)

Work Address

Mail Address

Attn: Kevin Layton
 1101 14th Street NW
 Suite 200
 Washington, DC 20005 -

P. O. Box 1396
 Washington, DC 20013 -

1101 14th Street NW
 Suite 200
 Washington, DC 20005 -

Phone numbers:

202-628-4160 work
 202-347-5323 fax

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County: District Of Col
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Meth	Batch	Letter	MCode	Camp	Source	Amount	Deposit	Oper	Comment	Link	CId
09/30/96	\$2500.00	G	C	K	4	thak		C					KES 1098		04
05/24/96	\$3000.00	F	C	K	0			C					KES check 1017		05
08/30/95	\$2000.00	F	C	K	0			C					GRS check 2219		01
05/25/95	\$2000.00	H	C	K	0			b					GRS \$4 general/check 2158		02

Notes

Date Summary
 07/03/96 Director Political dept. is Dan

put call in

194 debt Susan Salkind

9 8 0 4 3 8 5 2 6

Last, first: Nafe,
 Mail name: N. A. R. F. E. P. A. C.
 Salutation: Friends

Record type: P
 Last update: 06/21/96
 Enter date: 02/19/96

Home Address

Work Address

Mail Address (Default)

Natl Assoc Of Retired Fed Employees,
 1533 New Hampshire Avenue NW
 Washington DC 20036 -

Phone numbers:

202-234-0832 work
 202-797-9697 fax

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:

County: District Of Col
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Math	Hatch	Letter	MCODE	Camp	Source	Account	Deposit	Oper	Comment	Link	Clid
12/05/95	\$1000.00	P	C	K	0				C			GRS	check 006160		01
06/28/95	\$1000.00	P	C	K	0				C			KES	check 006059		05
02/06/95	\$1000.00	H	C	IK	0				C			GRS	94 g/ check 5401		02
10/26/94	\$2000.00	G	C	K	0				W			KES			04

Notes

Date Summary
 06/12/96 natl contact: Mr. Chris Farrell
 05/09/96 contact: mr. chris farrell

Shelby Costello

debt
 retirement
 Check #
 5401

Confirmed NARFE FEC

reported debt retirement

9 0 4 3 8 5 2 6 3

Last, first: Narfe,
 Mail name: N.A.R.F.E.P.A.C.
 Salutation: Friends

Record type: P
 Last update: 10/10/96
 Enter date: 02/19/96

Home Address

Work Address

Mail Address (Default)

Natl Assoc Of Retired Fed Employees
 1533 New Hampshire Avenue NW
 Washington, DC 20036 -

Phone numbers:

202-234-0832 work
 202-797-9697 fax

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County: District Of Col
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Math	Batch	Letter	MCCode	Camp	Source	Account	Deposit	Oper	Comment	Link	Cid
12/05/95	\$1000.00	P	C	K	0				C			GRS	check 006160		01
06/28/95	\$1000.00	P	C	K	0				C			KES	check 006059		05
02/06/95	\$1000.00	M	C	K	0				C			GRS	94 G/ check 5401		02
10/26/94	\$2000.00	G	C	K	0				W			KES			04

Notes

Date Summary
 06/11/96 natl contact: Mr. Chris Farrell
 05/09/96 contact: mr. chris farell

Chris Farrell
 Judy Park



Done 10/17/96

9 0 4 3 8 5 2 6

Last, first: Heublin Employees,
 Mail name: Heublin Employees
 Salutation: Friends

Record type: P
 Last update: 06/21/96
 Enter date: 04/28/96

Home Address

Work Address

Mail Address (Default)

Pol Participation Comm
 P. O. Box 388

Farmington , CT 06034 -

1825 I. Street NW
 Suite 400

Washington , DC 20006 -

Phone numbers:

202-429-2003 work
 202-296-9554 fax

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County:
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Meth	Batch	Letter	MCode	Camp	Source	Account	Deposit	Oper	Comment	Link	CId
04/03/95	\$500.00	N	C	K	0			C					KES 94 G/ check 1409		01

Notes

Date Summary
 05/09/96 contact: mr. peter seramat

194 debt

98043852634

Memorandum

Heublein

To: Kathleen Savatell
From: Joan Mastrota
Date: October 28, 1996
Re: Heublein Employees' Political Participation Committee Contribution

Confirming our conversation, I've found paperwork in our records indicating our 2/28/95 contribution for \$500 (check #1409) was designated on 4/14/95 to the retirement of the 1994 General Election Debt. While I wasn't handling the account last year, it appears the confusion may have been at your end (see copies of 3/31/95 letter and designation of 4/12/95).

Let me know if this clears things up for your accounting. If you have any questions, I can be reached at 860-702-4062

Thanks!



98043852635

Mike Ward

April 12, 1995

Mr. Peter Seremet
Heublein Inc.
1825 Eye Street, N.W., Suite 400
Washington, DC 20006

Dear Mr. Seremet:

Thank you very much for your recent contribution that you made to Ward for Congress.

In accordance with the Federal Election Commission regulations, we require your written authorization designating that this contribution is either for retirement of the 1994 General Election Debt or for the 1996 Primary.

Please complete the form at the bottom of this letter and fax this page back to me at (202) 546-5470. We appreciate your cooperation and apologize for any inconvenience this may cause you. Please don't hesitate to call me at (202) 544-3536 with any questions.

Again, thank you very much for your support. Without people like you, we would not be able to continue the efforts of the campaign.

Sincerely,

Abby Goodwyn
Ward for Congress

Please accept this written authorization that check # 1469 dated 4/12/95 in the amount of \$ 500.00 payable to Ward for Congress should be designated as follows:

Retirement of the 1994 General Election Debt ☒
1996 Primary ☐

Date: 4/14/95

Signature: Peter M. Seremet

Name: PETER M. SEREMET

HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

MIKE WARD
Ranking Member, Education and the Workforce Committee

March 31, 1995

Mr. Peter Schemet
Haublein Inc.
1825 Eye Street, N.W., Suite 400
Washington, DC 20006

Dear Mr. Schemet:

Peter,

Thank you for your contribution of \$500 to my campaign. As you know the 1996 election cycle is upon us now. It is from generous contributors like you that help me do the work I was sent to Washington to accomplish.

I am committed to working hard in the 104th Congress. I expect a formidable fight from my opponent in the next election cycle, and we are going to have to organize early to keep my seat in the Democratic column.

I greatly appreciate your contribution towards my re-election campaign. If you have any questions or need additional information please don't hesitate to call me or Abby Goodwyn at 202-544-3536.

Thank you for your support of my 1996 campaign. With best personal regards, I am

Sincerely,

Mike

Mike Ward

Thanks so much!

98043852637

Last, first: K.Y.E.P.A.C.
 Mail name: K.Y.E.P.A.C. - K.Y. Utilities Co.
 Salutation:

Record type: P
 Last update: 09/18/96
 Enter date: 02/25/96

Home Address (Default)

Work Address

Mail Address

One Quality Street

Lexington, KY 40507 -

Phone numbers:

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County: Fayette
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Meth	Batch	Letter	MCode	Camp	Source	Account	Deposit	Oper	Comment	Link	CId
01/09/95	\$500.00	K	C	K	0				b				GBS 94 G/ check 478		01

196 Primary

98043852638

KENTUCKY UTILITIES COMPANY

ONE QUALITY STREET
LEXINGTON, KENTUCKY
40507

November 6, 1996

Mr. William Carl Fust
Treasurer
Mid-City Mall
1250 Bardstown Road
Louisville, KY 40204

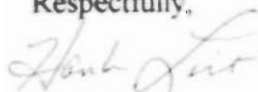
Dear Mr. Fust:

Thank you for your letter concerning the contribution made by KyEPAC, Kentucky Utilities Political Action Committee, for \$500.00 in January of 1995.

I delivered the check to Mike as a contribution toward his re-election and was not aware of his campaign debt at that time. The FEC reporting we made designated the contribution for Primary 96 during that election cycle.

I am sorry that this complaint was filed by the NRCC.

Respectfully,


Hank List

93040852639

Last, first: Sugar Beet,
 Mail name: Great Lakes Sugarg Beet Growers Assoc
 Salutation: Mr. Robert Young

Record type: P
 Last update: 06/21/96
 Enter date: 05/06/96

Home Address

Work Address

Mail Address (Default)

320 Plaza North

Saginaw

MI 48604 -

Phone numbers:

517-792-1531 work

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County:
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Meth	Batch	Letter	MCode	Camp	Source	Account	Deposit	Oper	Comment	Link	Cid
06/26/95	\$250.00	N	C	K	0			C					KES check 2128		01

Notes

Date Summary
 05/09/96 contact: mr. robert young

~~94 debt~~

Must go to 96 Promiss

98043852610



GREAT LAKES SUGAR BEET GROWERS

POLITICAL ACTION COMMITTEE

GLSBGPAC

October 24, 1996

PLAZA NORTH, SUITE 485
4800 FASHION SQUARE BLVD.
SAGINAW, MICHIGAN 48604-2604

Mr. Wm. Carl Fust, Treasurer
Ward for Congress
Mid-City Mall
1250 Bardstown Rd
Louisville, KY 40204

Attention: Kathleen Savatier

Dear Mr. Fust:

I am writing in response to your letter dated October 18, 1996, about a contribution made to Ward for Congress for \$250.00 in June of 1995, check number 2128. An inquiry was made by the FEC in response to a complaint filed by the NRCC.

According to my records, it was not designated for the 1996 primary, rather I checked "Other" and stated that it was a contribution. The contribution was to be used at your discretion.

I enclosed a copy of my report and a copy of the check for your convenience in clarifying this matter. If I can be of further help, don't hesitate to call me.

Sincerely,

Carol Middleton

Carol Middleton
Treasurer

Enclosure

08043852641

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 8 OF 12
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
GREAT LAKES SUGAR BEET GROWERS
POLITICAL ACTION COMMITTEE

A. Full Name, Mailing Address and ZIP Code Lynn Rivers Con Campaign P. O. Box 8293 Ann Arbor, MI 48107	Purpose of Disbursement US House of Rep MI 13 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 5/12/95	Amount of Each Disbursement This Period 500.00
B. Full Name, Mailing Address and ZIP Code Friends of Congresswoman Barbara-Rose Collins P. O. Box 2884 Washington, DC 20024	Purpose of Disbursement US House of Rep MI 15 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 5/12/95	Amount of Each Disbursement This Period 500.00
C. Full Name, Mailing Address and ZIP Code Ike Skelton for Congress Com P. O. Box A Harrisonville, MO 64701	Purpose of Disbursement US House of Rep MO 4 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 250.00
D. Full Name, Mailing Address and ZIP Code Ward for Congress Mike Ward 38 Ivy St, SE Washington, DC 20003	Purpose of Disbursement US House Rep KY 3 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 250.00
E. Full Name, Mailing Address and ZIP Code Friends of Mark Foley 1951 Biltmore, NW #3 Washington, DC 20009	Purpose of Disbursement US House of Rep FL 16 Disbursement for: ☐ Primary ☐ General ☒ Other (specify)	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00
F. Full Name, Mailing Address and ZIP Code Ron Lewis for Con '96 Comm c/o Helen McCarthy 1700 W Abingdon Dr #101 Alexandria, VA 22314	Purpose of Disbursement US House of Rep KY 2 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00
G. Full Name, Mailing Address and ZIP Code Bereuter for Cong Comm Doug Bereuter 4010 Franconia Road Alexandria, Va 22310-2136	Purpose of Disbursement US House of Rep NE 1 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 250.00
H. Full Name, Mailing Address and ZIP Code Baker for Congress Richard H. Baker P. O. Box 1694 Baton Rouge, LA 70821-9990	Purpose of Disbursement US House of Rep LA 6 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00
I. Full Name, Mailing Address and ZIP Code Pat Roberts for Cong Comm 4010 Franconia Road Alexandria, VA 22310-2136	Purpose of Disbursement US House of Rep KS 1 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Contribut	Date (month, day, year) 6/26/95	Amount of Each Disbursement This Period 500.00

SUBTOTAL of Receipts This Page (optional)

3750.00

TOTAL This Period (last page this line number only)

2128

June 26, 1995 18

WARD FOR CONGRESS
Mike Ward (KY-3-D)
38 Ivy Street, SE
Washington, DC 20003

FOR

Contribution

TAX
EXEMPTIBLE

BALANCE

TOTAL

CHECK

OTHER

DEPOSITS

250 00

WARD FOR CONGRESS

GREAT LAKES SUGAR BEET GROWERS ASSOCIATION
POLITICAL ACTION COMMITTEE
OLDSPEC
485 PLAZA NORTH
SAGINAW, MI 48604

THRU 26,

9

9-80/725

2121



Michigan National Bank
SAGINAW, MI 48604

Contribution

#002128# 007200008056 6996083269010

NOT NEGOTIABLE

3 7 9 2 5 8 2 4 0 8 6

Last, first: Beam Brands Co.
 Mail name: James B. Beam Brands Co. P. A. C.
 Salutation: Friends

Record type: P
 Last update: 09/18/96
 Enter date: 05/06/96

Home Address

Work Address

Mail Address (Default)

510 Lake Cook Road
 Deerfield IL 60015 -

Phone numbers:

847-831-0606 work

Sex:
 Party:
 Omit: n

Districts:

CD: SD: HD: A: B: C:

Email:
 County:
 Precinct:
 Employer:
 Occupation:

Contributions

Date	Amount	Per	Type	Meth	Batch	Letter	MCode	Camp	Source	Account	Deposit	Oper	Comment	Link	CId
02/06/95	\$500.00	N	C	K	0				C				KES 94 G/ check 1343		01

96 Primary

98043852644

BEAMPAC

**Jim Beam Brands Co.
Political Action Committee
510 Lake Cook Road
Deerfield, IL 60015**

Wm. Carl Fust
Treasurer
Ward for Congress
Mid-City Mall
1250 Bardstown Rd.
Louisville, KY 40204

Dear Mr. Fust:

When we made our contribution to Ward for Congress in February, 1995 we did not specify an intended use.

We have been advised by the FEC that contributions that have not been specifically designated as primary or general must be used for the next primary election and cannot be used for debt reduction unless the contributor specifically states the contribution as general. In order to comply with the FEC, our February 1995 contribution of \$500.00 should have been used for primary 1996 inasmuch as we did not specify its designation on our FEC report.

We apologize for any confusion this may have caused.

Sincerely,



David C. Wagner
Vice President, Administration

dew:dms

08043352615

For Authorized Committee
(Summary Page)

RECEIVED
GENERAL ELECTION
COMMISSION
OFFICE OF GENERAL

Nov 18 2 43 PM '96

1. NAME OF COMMITTEE (in full)

ADDRESS (number and street) ☐ Check if different than previously reported

CITY, STATE and ZIP CODE

Louisville, KY

KY-03

2. FEC IDENTIFICATION NUMBER

C00284117

3. IS THIS REPORT AN AMENDMENT?

☒ YES☐ NO☐ April 15 Quarterly Report☐ 12-Day Pre-Election Report for the

(Type of Election)

July 15 Quarterly Report

election on _____ in the State of _____

October 15 Quarterly Report

☐ 30-Day Post-Election Report for the

(Type of Election)

☐ January 31 Year End Report

election on _____ in the State of _____

☒ July 31 Mid-Year Report (Non-election Year Only)☐ Termination Report

This report contains
activity for

☐ Primary Election☐ General Election☐ Special Election☐ Runoff Election

5 Covering Period <u>1-1-95</u> through <u>6-30-95</u>		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6	Net Contributions (other than loans)		
(a)	Total Contributions (other than loans) (from Line 11(e))	111285.00	111285.00
(b)	Total Contribution Refunds (from Line 20(d))		
(c)	Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	111285.00	111285.00
	Net Operating Expenditures		
(a)	Total Operating Expenditures (from Line 17)	47919.38	47919.38
(b)	Total Offsets to Operating Expenditures (from Line 14)	1644.83	1644.83
(c)	Net Operating Expenditures (subtract Line 7(b) from 7(a))	46274.55	46274.55
8	Cash on Hand at Close of Reporting Period (from Line 27)	65487.29	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530
9	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	22360.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer

Date _____

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437d.

FEC E

FEA-NOG4

DETAILED SUMMARY PAGE

of Receipts and Disbursements

(Page 2, FEC FORM 3)

Name of Committee (in full)	Report Covering the Period	
Ward for Congress	From 1-1-95	To 6-30-95
I. RECEIPTS	COLUMN A Total This Period	COLUMN B Calendar Year-To-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	23325.00	
(ii) Unitemized	16385.00	
(iii) Total of contributions from individuals	39710.00	39710.00
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)	71575.00	71575.00
(d) The Candidate		
(e) TOTAL CONTRIBUTIONS (other than loans) (add 11(a)(iii), (b), (c) and (d))	111285.00	111285.00
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES		
13. LOANS		
(a) Made or Guaranteed by the Candidate	20060.00	20060.00
(b) All Other Loans		
(c) TOTAL LOANS (add 13(a) and (b))	20060.00	20060.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	1644.83	1644.83
15. OTHER RECEIPTS (Dividends, Interest, etc.)		
16. TOTAL RECEIPTS (add 11(e), 12, 13(c), 14 and 15)	132989.83	132989.83
II. DISBURSEMENTS		
17. OPERATING EXPENDITURES	47919.38	47919.38
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES		
19. LOAN REPAYMENTS		
(a) Of Loans Made or Guaranteed by the Candidate	20060.00	20060.00
(b) Of All Other Loans		
(c) TOTAL LOAN REPAYMENTS (add 19(a) and (b))	20060.00	20060.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) TOTAL CONTRIBUTION REFUNDS (add 20(a), (b) and (c))		
21. OTHER DISBURSEMENTS		
22. TOTAL DISBURSEMENTS (add 17, 18, 19(c), 20(d) and 21)	67979.38	67979.38

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD	\$176.84
24. TOTAL RECEIPTS THIS PERIOD (from Line 16)	\$ 132989.83
25. SUBTOTAL (add Line 23 and Line 24)	\$ 133166.67
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)	\$ 67979.38
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (subtract Line 26 from 25)	\$ 65187.29

98043852647

MS

SCHEDULE A
 CONTRIBUTIONS FROM INDIVIDUALS/PERSONS

ITEMIZED RECEIPTS

1 of
 For line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Ronald Abrams 2829 Avenue Of The Woods Louisville, KY 40241	self-employed C.P.A.	05/01/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Ruth Alexander 2542 Ransdell Ave Louisville, KY 40204	attorney	05/01/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
William Arnold 235 West 56th Street #42p New York, NY 10019	Chemical Bank banker	05/10/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
George Aronoff 1130 Rostrevor Drive Louisville, KY 40205	University Medical doctor	05/16/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Minx Auerbach 1400 Willow #405 Louisville, KY 40204	civic activist	04/21/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Gary Becker 1400 Willow Ave. Louisville, KY 40204	self-employed attorney	05/05/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page	\$2000.00
TOTAL This Period	\$2000.00

039478152638

SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

2 of
For line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Carl Bensinger 4001 Stoneview Drive Louisville, KY 40207	self employed attorney	05/12/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Jerry Bizer 2307 Wesleyan Ct Louisville, KY 40242	self optometrist	05/01/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Lynn Bizer 6703 Deep Creek Drive Prospect, KY 40059	dr. bizer's vision vice president	05/01/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Richard Breen 219 Smithfield Road Louisville, KY 40207	self attorney	04/26/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
F. (deceased) Burke Jr. 7302 Wolf Pen Branch Rd Prospect, KY 40059		05/09/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Frank Burke Sr. 509 Briar Hill Rd Louisville, KY 40206	wyatt tarrant and combs attorney	05/08/95 05/10/95	\$250.00 \$250.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page	\$2000.00
TOTAL This Period	\$4000.00

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SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

3 of
Page line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Lewis Cole 5800 Coach Gate Wynde Apt 296 Louisville, KY 40207	retired	05/09/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Joe Corradino 1505 Sylvan Court Louisville, KY 40205	Corradino Group engineer	05/12/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Donald Cox 500 Meiginger Tower Louisville, KY 40202	Gilman Cox Lynch & attorney	04/24/95	\$1000.00

Receipt for: P Aggregate ytd > \$1000.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Scott Cummings 474 Lightfoot Rd. Louisville, KY 40207	U of L professor	03/12/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
William De Vries 6009 Regal Spring Drive Louisville, KY 40205	self-employed doctor-cardiac surgeon	06/01/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Carl Fust 1701 Dundee Road Louisville, KY 40205	rubin, hayes et al attorney	04/29/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

SUBTOTAL of Receipts This Page	\$2500.00
TOTAL This Period	\$6500.00

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2
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SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

4 of
Form line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Carol Fust 1701 Dundee Road Louisville, KY 40205	homemaker	05/12/95 05/12/95	\$250.00 \$250.00

Receipt for: P Aggregate ytd > \$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Fred Goldberg Louisville, KY 40202		05/09/95	\$300.00

Receipt for: P Aggregate ytd > \$300.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
James Goldberg 710 Cadogan Court Lyndon, KY 40222	goldberg & simpson attorney	05/09/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Scott Greene 1001 Alta Vista Road Louisville, KY 40205	Stites & Harbison attorney	05/12/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Kevin Hable 1922 Strathmoor Blvd Louisville, KY 40205	Wyatt, Tarrant & Combs attorney	04/28/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Roger Hale 4305 Twin Elms Court Louisville, KY 40241	L. G. & E. CEO	06/01/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page	\$2050.00
TOTAL This Period	\$8550.00

SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

5 of

For line number: 11(a)(i)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Harriman Communications Center 430 S. Capitol Street Washington, DC 20003		01/10/95	\$85.60

Receipt for: P Aggregate ytd > \$85.60

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Richard Hay 203 W. Columbia St. P. O. Box 1124 Somerset, KY 42501	self-employed attorney	05/05/95	\$250.00
Receipt for: P Aggregate ytd >			\$250.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Audrey Tayse Haynes 3600 Connecticut Ave. NW #104 Washington, DC 20008	BPW-USA Exec. Director	05/12/95	\$250.00
Receipt for: P Aggregate ytd >			\$250.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Jane Hope 1895 Princeton Drive Louisville, KY 40205	retired	05/12/95	\$250.00
Receipt for: P Aggregate ytd >			\$250.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Walter Huddleston 95 Pleasant Colony Rd Elizabethtown, KY 42701		05/02/95	\$250.00
Receipt for: P Aggregate ytd >			\$250.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Gary Johnson P. O. Box 231 Pikeville, KY 41501	self-employed attorney	04/26/95	\$500.00
Receipt for: P Aggregate ytd >			\$500.00

SUBTOTAL of Receipts This Page	\$1585.60
TOTAL This Period	\$10135.60

SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

6 of

For line number: 11(a)(i)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Thomas Jolly 7908 Burdette Road Bethesda, MD 20817	Keck, Mahin & Cate attorney	04/03/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
David A. Jones 500 West Main Street Louisville, KY 40202	Humana, Inc health care executive	04/21/95	\$1000.00
Receipt for: P	Aggregate ytd >	\$1000.00	

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Mark Kerman 6204 Raccoon Run Court Louisville, KY 40241	Ken Mark Optical President	05/19/95	\$350.00
Receipt for: P	Aggregate ytd >	\$350.00	

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
James King 2900 Newburg Road Louisville, KY 40205	King & Co., PSC C.P.A.	04/21/95	\$1000.00
Receipt for: P	Aggregate ytd >	\$1000.00	

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
James Klump 2407 Gray Fox Road Louisville, KY 40205	retired	05/02/95	\$250.00
Receipt for: P	Aggregate ytd >	\$250.00	

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
David Kueber 700 Shady Lane Louisville, KY 40223	movie gallery real estate rep	05/09/95	\$250.00
Receipt for: P	Aggregate ytd >	\$250.00	

SUBTOTAL of Receipts This Page	\$3350.00
TOTAL This Period	\$13485.60

8043203

SCHEDULE A ITEMIZED RECEIPTS

Contributions from Individuals/Persons

7 of
For line number: 11(a)(i)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
James Lenihan 1707 Paisley Road Louisville, KY 40205	Hargadon, Lenihan, attorney/partner	05/03/95	\$250.00
Receipt for: P		Aggregate ytd >	\$250.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Philip Lynch 1274 Willow Ave. Louisville, KY 40204	Brown-Forman Director of Corporate	04/26/95	\$250.00
Receipt for: P		Aggregate ytd >	\$250.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Judith McCandless 330 Wildwood Place Louisville, KY 40206	self employed planner	01/04/95 04/26/95	\$200.00 \$200.00
Receipt for: P		Aggregate ytd >	\$400.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Creighton Mershon 2311 Raleigh Lane Louisville, KY 40206	South Central Bell attorney	05/04/95 05/18/95	\$250.00 \$250.00
Receipt for: P		Aggregate ytd >	\$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Douglas Morris 7412 Cedar Bluff Court Prospect, KY 40059	Oldfather & Morris attorney	05/02/95	\$500.00
Receipt for: P		Aggregate ytd >	\$500.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Richard Northern 4514 Southern Parkway Louisville, Ky 40214	atty	05/01/95	\$250.00
Receipt for: P		Aggregate ytd >	\$250.00

SUBTOTAL of Receipts This Page	\$2150.00
TOTAL This Period	\$15635.60

30433264

SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

8 of

For line number: 11(a)(i)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Rick Northern 500 W. Jefferson 2800 Citizens Plaza Louisville, KY 40207	self-employed attorney	05/02/95	\$250.00
Receipt for: P	Aggregate ytd >	\$250.00	

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
James Pope Deceased Louisville, KY 40207	retired	01/01/95 05/15/95	\$925.00 \$100.00
Receipt for: P	Aggregate ytd >	\$1025.00	

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Naomi Pressma 2518 Valley Vista Rd. Louisville, KY 40205	housewife	04/24/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Robert Rowland 1327 Leighton Circle Louisville, KY 40222	Anso Stamping Co. consultant	05/11/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Mason Rudd 1400 Willow Ave. #406 Louisville, KY 40204	retired	05/08/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Stuart Sampson 2104 Strathmoor Blvd Louisville, KY 40205	Jefferson County Assistant to judge	04/30/95	\$250.00
Receipt for: P	Aggregate ytd >	\$250.00	

SUBTOTAL of Receipts This Page	\$3025.00
TOTAL This Period	\$18660.60

180435265

SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

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For line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Bill Seiller 1416 Willow Ave. Louisville, KY 40204	Seiller & Handmaker defense attorney	04/29/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
David Shearer 394 Mockingbird Valley Road Louisville, KY 40207	self employed attorney	05/01/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Frank Shoop 642 Gingermill Lane Lexington, KY 40509	owner	05/01/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Eva Spaid 2160 Baringer Louisville, KY 40204	Eva Spaid Nursing owner	05/01/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Staples 1935 S. Hurstborne Pkwy Louisville, KY 40220		04/26/95	\$84.79

Receipt for: P Aggregate ytd > \$84.79

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Christopher Steward 212 Broadway P. O. Box 336 Cave City, KY 42127	Family Dental Service doctor-dentist	05/14/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

SUBTOTAL of Receipts This Page \$2334.79
TOTAL This Period \$20995.39

980485266

SCHEDULE A ITEMIZED RECEIPTS
Contributions from Individuals/Persons

10 of
For line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
William Stone 2034 Grasmere Dr Louisville, KY 40205	attorney	05/01/95	\$250.00
Receipt for: P		Aggregate ytd >	\$250.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
David Tachau 4020 Leland Rd. Louisville, KY 40207	Tachau Maddox & Hovious attorney	05/12/95	\$250.00
Receipt for: P		Aggregate ytd >	\$250.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Bernard Trager 6001 Orion Road Louisville, KY 40222	Republic Bank & Trust banker	04/13/95	\$1000.00
Receipt for: P		Aggregate ytd >	\$1000.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Scott Trager 215 Sequoya Rd. Louisville, KY 40207	Republic Bank & Trust President	05/31/95	\$500.00
Receipt for: P		Aggregate ytd >	\$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Steven Trager 5411 Pueblo Rd. Louisville, KY 40207	Republic Bank & Trust Vice-Chairman	05/30/95	\$600.00
Receipt for: P		Aggregate ytd >	\$600.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
William Vonderhaar 1170 East Broadway Suite 400 Louisville, KY 40204	american health network doctor	05/03/95	\$500.00
Receipt for: P		Aggregate ytd >	\$500.00

SUBTOTAL of Receipts This Page	\$3100.00
TOTAL This Period	\$24095.39

98043852657

SCHEDULE A
Contributions from Individuals/Persons

ITEMIZED RECEIPTS

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For line number: 11(a)(i)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Henry Wagner 3032 Running Deer Circle Louisville, KY 40241	Jewish Hospital CEO	05/01/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Carla Wallace P. O. Box 407 Prospect, KY 40059		05/22/95	\$1000.00

Receipt for: P Aggregate ytd > \$1000.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Joseph White 2823 Alta Vista Ct Louisville, KY 40206	White, Conway & Adams attorney	04/26/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Barry Willett 1100 Republic Building Louisville, KY 40202	George, George & attorney	04/21/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Lawrence Zielke 8901 Cromwell Hill Road Louisville, KY 40222	Pedley, Ross & Zielke attorney	05/03/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page \$2250.00
TOTAL This Period \$26345.39

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SCHEDULE A

ITEMIZED RECEIPTS

Page 1 of

Contributions from Party Committees

For line number: 11(b)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Democratic Congressional Campaign 430 S. Capitol St. SE Washington, DC 20002		06/30/95	\$150.00*
Receipt for: P		* In-Kind: research Aggregate ytd >	\$150.00

SUBTOTAL of Receipts This Page	\$150.00
TOTAL This Period	\$150.00

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SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

P 1 of
For line number: 11(c)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Bell South Federal P. A. C. 1133 21st Street NW Suite 900 Washington, DC 20036		03/21/95	\$500.00
Receipt for: P	Aggregate ytd >	\$3500.00	

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Bell South Federal P. A. C. 1133 21st Street NW Suite 900 Washington, DC 20036		01/01/95	\$3000.00
Receipt for: H	Aggregate ytd >	\$3500.00	

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Ed Graves & Associates 4120 Forthworth Plaza Alexandria, VA 22304		04/05/95	\$250.00
Receipt for: H	Aggregate ytd >	\$250.00	

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Intl Assoc Of Bridge And Ironworkers 1750 New York Avenue NW Washington, DC 20006		06/07/95	\$1000.00
Receipt for: P	Aggregate ytd >	\$1000.00	

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Heublin Employees Pol Participation Comm P. O. Box 388 Farmington, CT 06034		04/03/95	\$500.00
Receipt for: H	Aggregate ytd >	\$500.00	

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Brown And Williamson Tobacco Corp Attn: Gene Russell 499 S. Capitol St. NW Washington, DC 20003		03/24/95 06/16/95	\$500.00 \$500.00
Receipt for: P	Aggregate ytd >	\$1000.00	

SUBTOTAL of Receipts This Page	\$6250.00
TOTAL This Period	\$6250.00

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SCHEDULE A

ITEMIZED RECEIPTS

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Contributions from Other Political Committees

For line number: 11(c)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Federation Of Teachers 555 New Jersey Avenue NW Washington, DC 20001		05/23/95	\$1000.00

Receipt for: P Aggregate ytd > \$1000.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Optometric Assoc 1505 Prince Street Suite 300 Alexandria, VA 22314		03/22/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Lockheed Martin Employees P. A. C. 1725 Jefferson Davis Hwy Crystal Square Two Arlington, VA 22202		06/29/95	\$500.00

Receipt for: G Aggregate ytd > \$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
United Parcel Service 1400 N. Hurstbourne Pkwy Louisville, KY 40223		03/08/95 03/14/95	\$500.00 \$3975.00

Receipt for: P Aggregate ytd > \$4575.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
United Parcel Service 1400 N. Hurstbourne Pkwy Louisville, KY 40223		03/14/95	\$100.00

Receipt for: P Aggregate ytd > \$4575.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Brotherhood Of Locomotive Engineers Attn: Tommy Mayne Ky State Legislative Board Corbin, KY 40701		03/15/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page \$7075.00
TOTAL This Period \$13325.00

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SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

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For line number: 11(c)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
National City Corporation National City Center Attn: William McDonnell Cleveland, OH 44114		05/11/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
James B. Beam Brands Co. P. A. C. 520 Lake Cook Road Deerfield, IL 60015		02/12/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
United Auto Workers 8000 E. Jefferson Avenue Detroit, MI 48214		04/27/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Bakery Confectionery & Tobacco 10401 Connecticut Ave. Kensington, MD 20895		05/31/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
International Association Of Machinists & Aerospace Engrs Attn: Rich Michalski Upper Marlboro, MD 20772		05/23/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$2500.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
International Association Of Machinists & Aerospace Engrs Attn: Rich Michalski Upper Marlboro, MD 20772		05/23/95	\$1500.00
Receipt for: H	Aggregate ytd >		\$2500.00

SUBTOTAL of Receipts This Page	\$4500.00
TOTAL This Period	\$17825.00

SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

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For line number: 11(c)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Great Lakes Sugarg Beet Growers 485 Plaza North Saginaw, MI 48604		06/30/95	\$250.00

Receipt for: P Aggregate ytd > \$250.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
R. J. Reynolds P. A. C. Attn: Tommy Payne 1455 Pennsylvania Ave. NW Washington, DC 20004		02/08/95	\$1000.00

Receipt for: H Aggregate ytd > \$1000.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Deloitte & Touche 1001 Pennsylvania Ave. NW Ste 350 N. Washington, DC 20004		05/08/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
U. S. Team P. A. C. Attn: Todd Walker 1825 I. Street NW Washington, DC 20006		04/18/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Intl Assoc Of Fire Fighters 1750 New York Ave. NW Washington, DC 20006		06/07/95	\$1000.00

Receipt for: P Aggregate ytd > \$1000.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Natl Assoc Of Life Underwriters 1922 F. Street NW Washington, DC 20006		04/05/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page \$3750.00
TOTAL This Period \$21575.00

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SCHEDULE A

ITEMIZED RECEIPTS

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Contributions from Other Political Committees

For line number: 11(c)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Responsible Citizens Pol League Attn: Frank Ferlin 3 Research Plaza Rockville, MD 20850		03/10/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Natl Comm To Preserve Soc Sec 2000 K. Street NW Suite 800 Washington, DC 20006		03/17/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Ernst & Young 1225 Connecticut Ave. NW Suite 600 Washington, DC 20036		05/10/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Bankers Assoc 1120 Connecticut Avenue NW Washington, DC 20036		06/06/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
United Assoc Of Plumbers & Pipefitters 901 Massachusetts Ave. NW Washington, DC 20001		03/07/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Action Committee For Rural Electrification Attn: Mike Whalen Arlington, VA 22203		03/06/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

SUBTOTAL of Receipts This Page	\$4000.00
TOTAL This Period	\$25575.00

9304385264

SCHEDULE A ITEMIZED RECEIPTS 6 of
Contributions from Other Political Committees For line number: 11(c)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
A. I. C. P. A. Effective Legislation 1455 Pennsylvania Ave. NW Ste 400 Washington, DC 20004		06/27/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Amalgamated Transit Union Cope 5025 Wisconsin Avenue NW Washington, DC 20016		04/05/95	\$1000.00
Receipt for: P	Aggregate ytd >	\$1000.00	

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Crystal Sugar P. A. C. Attn: David Berg 101 North Third Street Moorhead, MN 56560		04/17/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
A. F. S. C. M. E. 1625 L. Street NW Washington, DC 20036		02/23/95	\$1500.00
Receipt for: P	Aggregate ytd >	\$1500.00	

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
A. T. L. A. Political Action Comm 2950 Breckenridge Lane Suite 3 Louisville, KY 40220		04/05/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Brown-Forman Corp P. A. C. P. O. Box 1080 Louisville, KY 40201		02/12/95	\$500.00
Receipt for: P	Aggregate ytd >	\$500.00	

SUBTOTAL of Receipts This Page	\$4500.00
TOTAL This Period	\$30075.00

9304852655



SCHEDULE A

ITEMIZED RECEIPTS

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Contributions from Other Political Committees

For line number: 11(c)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Intl Brotherhood Of Teamsters D. R. I. V. E. 3813 Taylor Boulevard Louisville, KY 40215		03/14/95	\$5000.00
Receipt for: P		Aggregate ytd >	\$5000.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Northrop Grumman Corp Employees Attn: Diane Harper 1840 Century Park East Los Angeles, CA 90067		05/06/95	\$500.00
Receipt for: P		Aggregate ytd >	\$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
General Atomics Political Action P. O. Box 22930 San Diego, CA 92122		04/04/95	\$500.00
Receipt for: P		Aggregate ytd >	\$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Human Rights Campaign Fund Attn: Kevin Layton 1101 14th Street NW Washington, DC 20005		06/08/95	\$2000.00
Receipt for: H		Aggregate ytd >	\$2000.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
N. A. R. F. E. P. A. C. 1533 New Hampshire Ave. NW Washington, DC 20036		06/28/95	\$1000.00
Receipt for: P		Aggregate ytd >	\$2000.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
N. A. R. F. E. P. A. C. 1533 New Hampshire Ave. NW Washington, DC 20036		02/06/95	\$1000.00
Receipt for: H		Aggregate ytd >	\$2000.00

SUBTOTAL of Receipts This Page	\$10000.00
TOTAL This Period	\$40075.00

98043852666

SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

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For line number: 11(c)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Phillip Morris Companies Attn: Gregory Scott 120 Park Avenue New York, NY 10017		03/20/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
P. T. C. Political Action Committee Attn: Lisa Stiles Licata P. O. Box 1158 Richmond, VA 23230		04/21/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Sheet Metal Workers' Intl Political 1750 New York Avenue NW Washington, DC 20006		04/18/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
United Mine Workers Of America C. O. M. P. A. C. Voluntary 900 15th Street NW Washington, DC 20005		04/18/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Maritime Officers 490 L'Efant Plaza SW Suite 7204 Washington, DC 20036		05/30/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Ashland Oil P. A. C. P. O. Box 391 Ashland, KY 41114		02/27/95	\$1000.00
Receipt for: H	Aggregate ytd >		\$1000.00

SUBTOTAL of Receipts This Page	\$5000.00
TOTAL This Period	\$45075.00

98043852667

SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

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For line number: 11(c)

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Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Coopers & Lybrand P. A. C. 1800 M. Street NW Washington, DC 20036		05/25/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
C. S. X. Transportation P. A. C. 1331 Pennsylvania Ave. NW Suite 560 Washington, DC 20004		04/21/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
D. I. S. P. A. C. Attn: Tim Dudgeon 1250 I. Street NW Washington, DC 20005		03/28/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Effective Government Committee 607 14th Street NW Suite 800 Washington, DC 20005		06/28/95	\$1000.00

Receipt for: P Aggregate ytd > \$1000.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
I. B. E. W. -C O. P. E. 10400 Dixie Highway Louisville, KY 40272		04/04/95 04/06/95	\$1000.00 \$1000.00

Receipt for: P Aggregate ytd > \$4000.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
I. B. E. W. -C O. P. E. 10400 Dixie Highway Louisville, KY 40272		06/28/95	\$2000.00

Receipt for: P Aggregate ytd > \$4000.00

SUBTOTAL of Receipts This Page \$6500.00
TOTAL This Period \$51575.00

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SCHEDULE A ITEMIZED RECEIPTS
Contributions from Other Political Committees

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For line number: 11(c)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
K. Y. E. P. A. C. - K. Y. Utilities One Quality Street Lexington, KY 40507		01/19/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Loews Lorillard Pub Affairs Comm 1 Park Avenue New York, NY 10016		01/13/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
McDonnell Douglas Empl P. A. C. 1735 Jefferson Davis Highway Suite 1200 Arlington, VA 22202		05/18/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Mid Amer Dairymen P. A. C. 3253 E. Chestnut Expressway Springfield, MO 65802		04/07/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Natl Air Traffic Control P. A. C. 1150 17th Street NW Suite 701 Washington, DC 20036		04/04/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Raytheon P. A. C. 141 Spring Street Lexington, MA 02173		05/24/95	\$500.00

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page	\$3000.00
TOTAL This Period	\$54575.00

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SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

Page 11 of
For line number: 11(c)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Speak Up For Rural Electrification			
P. O. Box 32170		03/10/95	\$500.00
Louisville, KY 40232		04/24/95	\$500.00

Receipt for: P Aggregate ytd > \$1000.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
United Food & Commercial Workers			
International Union P. A. C.		06/19/95	\$5000.00
1775 K. Street NW			
Washington, DC 20006			

Receipt for: P Aggregate ytd > \$5000.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Campaign Fund Of			
Marzian For St. Representative		05/12/95	\$100.00
2007 Tyler Lane			
Louisville, KY 40205			

Receipt for: P Aggregate ytd > \$100.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Podiatric Association			
9312 Old Georgetown Road		03/20/95	\$1000.00
Bethesda, MD 20814			

Receipt for: P Aggregate ytd > \$1000.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
N. A. P. U. S. P. A. C.			
For Postmasters		05/24/95	\$500.00
8 Herbert Street			
Alexandria, VA 22305			

Receipt for: P Aggregate ytd > \$500.00

F. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
General Dynamics			
Political Contribution Plan		03/10/95	\$500.00
1745 Jefferson Davis Hwy			
Arlington, VA 22202			

Receipt for: P Aggregate ytd > \$500.00

SUBTOTAL of Receipts This Page \$8100.00
TOTAL This Period \$62675.00

9804352670

SCHEDULE A
Contributions from Other Political Committees

ITEMIZED RECEIPTS

12 of
For line number: 11(c)

Any info. copied from Reports or Statements may not be sold or used by any person for purpose of soliciting contrib. or for commercial purpose, other than using name & addr. of a political comm. to solicit from comm.

Full Name of Committee: Ward for Congress C00284117

A. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Intl Union Of Electronic Electrical Salaried Machine & Furniture Attn: Lauren Rothfarb Washington, DC 20036		06/01/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

B. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
American Sugarbeet Growers Assn 1156 15th Street NW Suite 1101 Washington, DC 20005		03/07/95	\$500.00
Receipt for: H	Aggregate ytd >		\$500.00

C. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Ironworkers P. A. C. Attn: Denver Skaggs 2441 Crittenden Drive Louisville, KY 40217		03/14/95	\$1000.00
Receipt for: P	Aggregate ytd >		\$1000.00

D. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
Florida Sugar Cane League P. A. C. 115 S. Lopez Street Clewiston, FL 33440		04/05/95	\$500.00
Receipt for: G	Aggregate ytd >		\$500.00

E. Full Name, Address, Zipcode	Employer/Occupation	Date	Amount
General Electric Company Attn: Peter Prowitt 1299 Pennsylvania Ave. NW Washington, DC 20004		04/04/95	\$500.00
Receipt for: P	Aggregate ytd >		\$500.00

SUBTOTAL of Receipts This Page \$3500.00
TOTAL This Period \$66175.00

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

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ENFORCEMENT PRIORITY

SENSITIVE

GENERAL COUNSEL'S REPORT

I. INTRODUCTION.

The cases listed below have been identified as either stale or of low priority based upon evaluation under the Enforcement Priority System (EPS). This report is submitted to recommend that the Commission no longer pursue these cases.

This is the first Enforcement Priority Report that reflects the impact of the 1996 election cycle cases on the Commission's enforcement workload. We have identified cases that are stale which are recommended for dismissal at this time. This is the highest number of cases identified as stale in a single report, and the highest number of stale cases recommended for closure at one time, since the inception of EPS in 1993.

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II. **CASES RECOMMENDED FOR CLOSURE.**

A. **Cases Not Warranting Further Action Relative to Other Cases Pending Before the Commission**

EPS was created to identify pending cases which, due to the lower priority of the issues raised in the matters relative to others presently pending before the Commission, do not warrant further expenditure of resources. Central Enforcement Docket (CED) evaluates each incoming matter using Commission-approved criteria, resulting in a numerical rating for each case.

Closing such cases permits the Commission to focus its limited resources on more important cases presently pending before it. Based upon this review, we have identified cases that do not warrant further action relative to other pending matters.³ Attachment I to this report contains summaries of each case, the EPS rating, and the factors leading to assignment of a low priority and recommendation not to further pursue the matter.

B. **Stale Cases**

Effective enforcement relies upon the timely pursuit of complaints and referrals to ensure compliance with the law. Investigations concerning activity more remote in time usually require a greater commitment of resources, primarily due to the fact that the evidence of such activity becomes more difficult to develop as it ages. Focusing investigative efforts on more recent and more significant activity also has a more positive effect on the electoral process and the regulated community. In recognition of this fact, EPS provides us with the

³ These cases are: RAD 97L-10 (*Citizens for Randy Borow*); RAD 97L-16 (*Republican State Central Committee of South Dakota*); Pre-MUR 347 (*Producers Lloyds Insurance Company*); Pre-MUR 348 (*Peoples National Bank of Commerce*); Pre-MUR 349 (*Trump Plaza*); Pre-MUR 350 (*Citibank, N.A.*); Pre-MUR 355 (*Feingold Senate Committee*); MUR 4494 (*Georgianna Lincoln*);

MUR 4586 (*Friends of Zach Wamp*); MUR 4590 (*Oklahoma Education Association*); MUR 4600 (*San Diego Police Officers Assoc.*); MUR 4612 (*Teresa Doggett for Congress*); MUR 4615 (*Catholic Democrats for Christian Values*); MUR 4616 (*American Legislative Exchange Council*); MUR 4620 (*Eastern Connecticut Chamber of Commerce*); MUR 4622 (*Telles for Mayor*); MUR 4628 (*Gutknecht for Congress*); MUR 4629 (*Janice Schakowsky*); MUR 4636 (*IBEW Local 505*); MUR 4637 (*Dettman for Congress*); MUR 4639 (*Larson for Congress*); MUR 4641 (*Becker for Congress*); MUR 4644 (*Detroit City Council*); MUR 4651 (*Mike Ryan*); MUR 4653 (*Pritzker for Congress*); MUR 4656 (*H. Carroll for Congress*); and MUR 4657 (*Buchanan for President*).

means to identify those cases which, though earning a higher rating when received, remained unassigned for a significant period due to a lack of staff resources for effective investigation. The utility of commencing an investigation declines as these cases age, until they reach a point when activation of a case would not be an efficient use of the Commission's resources.

We have identified cases that have remained on the Central Enforcement Docket for a sufficient period of time to render them stale. We are recommending the closure of cases based on staleness.⁶

* These cases are: MUR 4283 (*Chenoweth for Congress*); MUR 4341 (*Juan Soliz for Congress*); MUR 4402 (*U.S. Representative Helen Chenoweth*); MUR 4435 (*Lincoln for Congress*); MUR 4439 (*UAW*); MUR 4442 (*Lipinski for Congress*); MUR 4444 (*Roberts for Congress*); MUR 4445 (*Randy Tate for Congress*); MUR 4446 (*Clinton/Gore '96 Primary*); MUR 4447 (*Random House, Inc.*); MUR 4449 (*Clinton Administration*); MUR 4453 (*Mike Ward for Congress*); MUR 4454 (*Ralph Nader*); MUR 4459 (*Clinton/Gore '96*); MUR 4474 (*Salvi for Senate*); MUR 4477 (*BBDQ-New York*); MUR 4481 (*Diamond Bar Caucus*); MUR 4485 (*Perot '92 Petition Committee*); MUR 4486 (*Buenda for Congress*); MUR 4495 (*Pennsylvania PACE for Federal Elections*); MUR 4496 (*Norwood for Congress*); MUR 4497 (*Pease for Congress*); MUR 4510 (*Stabenow for Congress*); MUR 4511 (*Bob Coffin for Congress*); MUR 4514 (*Friends for Franks*); MUR 4515 (*Clinton Investigative Commission*); MUR 4521 (*WMAL 630 AM*); MUR 4525 (*Senator Larry Pressler*); MUR 4527 (*Brennan for Senate*); MUR 4536 (*Signature Properties, Inc.*); MUR 4540 (*Tim Johnson for SD*); MUR 4542 (*Dan Frisa for Congress*); MUR 4552 (*Charles W. Norwood*); MUR 4554 (*John Byron for Congress*); MUR 4556 (*Jim Wiggins for Congress*); MUR 4561 (*Jay Hoffman for Congress*); MUR 4564 (*National Republican Congressional Committee*); MUR 4567 (*DNC Services Corp.*); MUR 4569 (*McGovern Committee*); RAD 96L-11 (*New York Republican County Committee*); Pre-MUR 343 (*NRSC*); and Pre-MUR 312 (*Joseph Demio*). The Demio case involves fundraising related to former Congresswoman Mary Rose Oaker's 1992 congressional campaign. It was held as a courtesy to the Department of Justice pending resolution of a parallel criminal matter in the District Court for the District of Columbia. Mr. Demio recently entered into a plea agreement with the Department of Justice (on which we were not consulted) in which he agreed, among other things, to waive the statute of limitations regarding civil violations of the FECA. Considering the age of the case and activity, the fact that DOJ has not formally referred this matter to us, and the Commission's continuing resource constraints, dismissal is the appropriate disposition of this matter.

We recommend that the Commission exercise its prosecutorial discretion and direct closure of the cases listed below, effective November 17, 1997. Closing these cases as of this date will permit CED and the Legal Review Team the necessary time to prepare closing letters and case files for the public record.

III. RECOMMENDATIONS.

A. Decline to open a MUR, close the file effective November 17, 1997, and approve the appropriate letters in the following matters:

RAD 96L-11

Pre-MUR 312

Pre-MUR 349

Pre-MUR 343

Pre-MUR 350

RAD 97L-10

Pre-MUR 347

Pre-MUR 355

RAD 97L-16

Pre-MUR 348

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B. Take no action, close the file effective November 17, 1997, and approve the appropriate

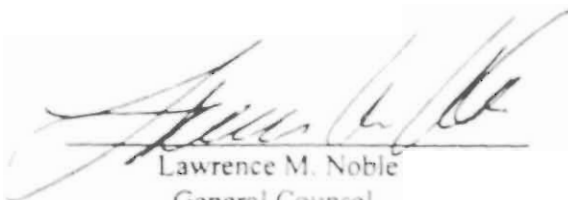
letters in the following matters:

MUR 4283	MUR 4495	
MUR 4341	MUR 4496	MUR 4569
MUR 4402	MUR 4497	MUR 4586
MUR 4435	MUR 4510	MUR 4590
MUR 4439	MUR 4511	MUR 4600
MUR 4442	MUR 4514	MUR 4612
MUR 4444	MUR 4515	MUR 4615
MUR 4445		MUR 4616
MUR 4446	MUR 4521	MUR 4620
MUR 4447	MUR 4525	MUR 4622
MUR 4449	MUR 4527	MUR 4628
MUR 4453	MUR 4536	MUR 4629
MUR 4454	MUR 4540	MUR 4636
MUR 4459	MUR 4542	MUR 4637
MUR 4474	MUR 4552	MUR 4639
MUR 4477	MUR 4554	MUR 4641
MUR 4481	MUR 4556	MUR 4644
MUR 4485	MUR 4561	MUR 4651
MUR 4486		MUR 4653
	MUR 4564	MUR 4656
MUR 4494	MUR 4567	MUR 4657

Date

7/97

Lawrence M. Noble
General Counsel



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In the Matter of)
)
Enforcement Priority) Agenda Document No. X97-77

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on December 2, 1997, do hereby certify that the Commission took the following actions with respect to Agenda Document No. X97-77:

1. Decided by a vote of 5-0 to

A. Decline to open a MUR, close the file effective December 15, 1997, and approve the appropriate letters in the following matters:

- | | | | |
|----|-------------|-----|-------------|
| 1. | RAD 96L-11 | 7. | Pre-MUR 347 |
| | | 8. | Pre-MUR 348 |
| 3. | RAD 97L-10 | 9. | Pre-MUR 349 |
| 4. | RAD 97L-16 | 10. | Pre-MUR 350 |
| 5. | Pre-MUR 312 | 11. | Pre-MUR 355 |
| 6. | Pre-MUR 343 | | |

B. Take no action, close the file effective December 15, 1997, and approve the appropriate letters in the following matters:

- | | | | | | |
|----|-----|------|-----|-----|------|
| 1. | MUR | 4283 | 6. | MUR | 4442 |
| 2. | MUR | 4341 | 7. | MUR | 4444 |
| 3. | MUR | 4402 | 8. | MUR | 4445 |
| 4. | MUR | 4435 | 9. | MUR | 4446 |
| 5. | MUR | 4439 | 10. | MUR | 4447 |

(continued)

Federal Election Commission
Certification: Agenda Document
No. X97-77
December 2, 1997

Page 2

11.	MUR 4449	36.	MUR 4556
12.	MUR 4453	37.	MUR 4561
13.	MUR 4454	38.	MUR 4564
14.	MUR 4459	39.	MUR 4567
15.	MUR 4474	40.	MUR 4569
16.	MUR 4477	41.	MUR 4586
17.	MUR 4481	42.	MUR 4590
18.	MUR 4485	43.	MUR 4600
19.	MUR 4486	44.	MUR 4612
20.	MUR 4494	45.	MUR 4615
21.	MUR 4495	46.	MUR 4616
22.	MUR 4496	47.	MUR 4620
23.	MUR 4497	48.	MUR 4622
24.	MUR 4510	49.	MUR 4628
25.	MUR 4511	50.	MUR 4629
26.	MUR 4514	51.	MUR 4636
27.	MUR 4515	52.	MUR 4637
28.	MUR 4521	53.	MUR 4639
29.	MUR 4525	54.	MUR 4641
30.	MUR 4527	55.	MUR 4644
31.	MUR 4536	56.	MUR 4651
32.	MUR 4540	57.	MUR 4653
33.	MUR 4542	58.	MUR 4656
34.	MUR 4552	59.	MUR 4657
35.	MUR 4554		

Commissioners Aikens, Elliott, McDonald, McGarry,
and Thomas voted affirmatively for the decision.

Attest:

12-4-97
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

98043852678



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 1997

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Ted Maness, Executive Director
National Republican Congressional Committee
320 First Street, SE
Washington, DC 20003

RE: MUR 4453

Dear Mr. Maness:

On September 6, 1996, the Federal Election Commission received Maria Cino's complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended ("the Act").

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action in the matter. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on December 15, 1997. This matter will become part of the public record within 30 days.

The Act allows a complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437(g)(8).

Sincerely,

A handwritten signature in black ink, appearing to read "F. Andrew Turley".

F. Andrew Turley
Supervisory Attorney
Central Enforcement Docket

98043852619



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 1997

William Carl Fust, Treasurer
Mike Ward for Congress Committee
1250 Bardstown Road
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Fust:

On September 11, 1996, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action against Mike Ward for Congress Committee and you, as treasurer. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on December 15, 1997.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 219-3690.

Sincerely,

A handwritten signature in dark ink, appearing to read "F. Andrew Tuttle".

F. Andrew Tuttle
Supervisory Attorney
Central Enforcement Docket

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 1997

The Honorable Mark Ward
1905 Deer Park Avenue
Louisville, KY 40205

RE: MUR 4453

Dear Mr. Ward:

On September 11, 1996, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action against you. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on December 15, 1997.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 219-3690.

Sincerely,

A handwritten signature in black ink, appearing to read "F. Andrew Tuttle".

F. Andrew Tuttle
Supervisory Attorney
Central Enforcement Docket

98043852631



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 1997

Stephen G. Horner, Treasurer
Jefferson County Democratic Executive Committee
Mid-City Mall, 1250 Bardstown Road
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Horner:

On September 11, 1996, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action against Jefferson County Democratic Executive Committee and you, as treasurer. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on December 15, 1997.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 219-3690.

Sincerely,

F. Andrew Turley
Supervisory Attorney
Central Enforcement Docket

08043852632



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 1997

W. Allen Priest
200 S. 5th Street
Louisville, KY 40204

RE: MUR 4453

Dear Mr. Priest:

On September 11, 1996, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action against you. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on December 15, 1997.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800)-424-9530. Our local number is (202) 219-3690.

Sincerely,

A handwritten signature in black ink, appearing to read "F. Andrew Turley".

F. Andrew Turley
Supervisory Attorney
Central Enforcement Docket

98043852633



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 15, 1997

Donna L. Heitzman
4030 Norbourne Blvd.
Louisville, KY 40207

RE: MUR 4453

Dear Ms. Heitzman:

On September 11, 1996, the Federal Election Commission notified you of a complaint alleging certain violations of the Federal Election Campaign Act of 1971, as amended. A copy of the complaint was enclosed with that notification.

After considering the circumstances of this matter, the Commission exercised its prosecutorial discretion to take no action against you. This case was evaluated objectively relative to other matters on the Commission's docket. In light of the information on the record, the relative significance of the case, and the amount of time that has elapsed, the Commission determined to close its file in this matter on December 15, 1997.

The confidentiality provisions of 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record prior to receipt of your additional materials, any permissible submissions will be added to the public record when received.

If you have any questions, please contact Jennifer H. Boyt on our toll-free number, (800) 424-9530. Our local number is (202) 219-3690.

Sincerely,

A handwritten signature in black ink, appearing to read "F. Andrew Tufey".

F. Andrew Tufey
Supervisory Attorney
Central Enforcement Docket

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4453

DATE FILMED 1-12-98 CAMERA NO. 2

CAMERAMAN S.E.G

98043852635