



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4281

DATE FILMED 3-11-96 CAMERA NO. 3

CAMERAMAN JMU

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REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: May 19, 1995

ANALYST: Debbie Manzano

I. COMMITTEE:

Hispanic PAC USA, Inc.
(C00250217)
Dennis Rivera, Treasurer
(4/15/93 - Present)
Joaquin F. Otero, Treasurer
(1/25/91 - 4/14/93)
310 West 43rd Street, 7th Floor
New York, NY 10036

- II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(i), (iii) and (iv)
11 CFR §104.5(c)(1)(A) and (iii)(A)
11 CFR §104.5(c)(2)(i)(B)

III. BACKGROUND:

Chronic Late Filing of Reports

Hispanic PAC USA, Inc. ("the Committee") has failed to file six (6) Reports of Receipts and Disbursements in a timely manner for the 1993-1994 election cycle (see Chart on Page 3). The reports were filed between seventeen (17) and fifty-three (53) calendar days late.

On May 27, 1994, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1993 Year End and 1994 April Quarterly Reports in a timely manner (Attachment 7). The notice advised the Committee that any additional late filing of reports may result in legal enforcement action. The Committee filed separate cover letters with both the 1993 Year End and 1994 April Quarterly Reports explaining the circumstances surrounding the late filing of these reports (Attachments 4 and 8). Subsequent to the mailing of the Chronic Late Filer Notice, the Committee failed to timely file the 1994 July Quarterly, 1994 October Quarterly, 1994 30 Day Post-General, and 1994 Year End Reports in a timely manner.

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9 6 0 4 3 7 2 2 7 1 2

LATE FILING HISTORY

REPORT	PRIOR NOTICE	DATE DUE	NON-FILER NOTICE	DATE FILED
1993 Year End * (7/01/93-12/31/93)	12/27/93 (Attachment 2)	1/31/94	2/25/94 (Attachment 3)	3/07/94 (Attachment 4)
1994 April Quarterly (1/01/94-3/31/94) **	3/22/94 (Attachment 5)	4/15/94	5/10/94 (Attachment 6)	6/07/94 (Attachment 8)
1994 July Quarterly (4/01/94-6/30/94) **	6/21/94 (Attachment 9)	7/15/94	8/04/94 (Attachment 10)	8/01/94 (Attachment 11)
1994 October Quarterly (7/01/94-9/30/94) **	9/21/94 (Attachment 12)	10/15/94	11/04/94 (Attachment 13)	11/15/94 (Attachment 14)
1994 30 Day Post-General (10/01/94-11/28/94) **	10/03/94 (Attachment 15)	12/08/94	1/04/95 (Attachment 16)***	1/26/95 (Attachment 18)
1994 Year End (11/29/94-12/31/94) **	12/28/94 (Attachment 19)	1/31/95	2/17/95 (Attachment 20)	3/08/95 (Attachment 21)

* Instead of filing one 1993 Year End Report covering 7/1/93-12/31/93, the Committee filed a 1993 October Quarterly Report covering 7/1/93-9/30/93 and a 1993 Year End Report covering 9/30/93-12/31/93.

** The Committee filed the April Quarterly Report with the ending coverage date of 4/15/94, the July Quarterly Report with coverage dates of 4/16/94-7/15/94, the October Quarterly Report with coverage dates of 7/16/94-10/15/94, the 30 Day Post-General Report with coverage dates of 10/16/94-11/28/94, and the Year End Report with coverage dates of 11/29/94-1/31/95.

*** Subsequent to the sending of the Non-Filer Notice, the Committee stated in a letter that the 1994 30 Day Post-General Report would be filed on January 13, 1995 (Attachment 17).

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
					TYPE OF FILER	
HISPANIC PAC USA INC						ID #C00250217 NON-PARTY QUALIFIED
CONNECTED ORGANIZATION:	NONE					
1993	STATEMENT OF ORGANIZATION - AMENDMENT			5APR93	3	93FEC/833/3825
	STATEMENT OF ORGANIZATION - AMENDMENT			7MAY93	2	93FEC/838/2069
	MISCELLANEOUS REPORT TO FEC			20MAY93	2	93FEC/840/3991
	STATEMENT OF ORGANIZATION - AMENDMENT			26JUL93	2	93FEC/851/0180
	ACKNOWLEDGEMENT OF FILING FREQUENCY CHANGE			18AUG93	1	93FEC/857/2018
	FEBRUARY MONTHLY	500	601	1JAN93 -31JAN93	7	93FEC/828/4864
	MARCH MONTHLY	310	551	1FEB93 -28FEB93	8	93FEC/832/0458
	APRIL MONTHLY	7	7	1MAR93 -31MAR93	6	93FEC/833/3828
	JULY QUARTERLY	0	881	1APR93 -30JUN93	14	93FEC/855/3303
	JULY QUARTERLY - AMENDMENT	0	881	1APR93 -30JUN93	4	94FEC/886/4376
	JULY QUARTERLY - AMENDMENT	0	881	1APR93 -30JUN93	14	94FEC/900/3583
	1'ST LETTER INFORMATIONAL NOTICE			1APR93 -30JUN93	2	94FEC/876/1270
	REQUEST FOR ADDITIONAL INFORMATION			1APR93 -30JUN93	2	94FEC/888/3312
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR93 -30JUN93	3	94FEC/891/1652
	OCTOBER QUARTERLY	10,500	3,724	1JUL93 -30SEP93	17	94FEC/886/4341
	OCTOBER QUARTERLY - AMENDMENT	10,500	3,724	1JUL93 -30SEP93	8	94FEC/900/3597
	OCTOBER QUARTERLY - AMENDMENT			1JUL93 -30SEP93	10	94FEC/903/2981
	OCTOBER QUARTERLY - AMENDMENT	0	1,099	1JUL93 -30SEP93	7	94FEC/915/4245
	REQUEST FOR ADDITIONAL INFORMATION			1JUL93 -30SEP93	4	94FEC/888/3308
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL93 -30SEP93	5	94FEC/891/1659
	1'ST LETTER INFORMATIONAL NOTICE			1JUL93 -30SEP93	2	94FEC/922/2125
	YEAR-END	0	32	30SEP93 -31DEC93	13	94FEC/886/4358
	YEAR-END - AMENDMENT	0	27	30SEP93 -31DEC93	4	94FEC/900/3605
	YEAR-END - AMENDMENT	0	32	30SEP93 -31DEC93	4	94FEC/915/4252
	NOTICE OF FAILURE TO FILE			1JUL93 -31DEC93	2	94FEC/885/5423
	REQUEST FOR ADDITIONAL INFORMATION			30SEP93 -31DEC93	3	94FEC/888/3305
	REQUEST FOR ADDITIONAL INFORMATION 2ND			30SEP93 -31DEC93	4	94FEC/891/1655
	REQUEST FOR ADDITIONAL INFORMATION			30SEP93 -31DEC93	2	94FEC/904/2543
	REQUEST FOR ADDITIONAL INFORMATION 2ND			30SEP93 -31DEC93	3	94FEC/908/3327
1994	MISCELLANEOUS REPORT TO FEC			10MAR94	3	94FEC/886/4380
	MISCELLANEOUS NOTICE FROM FEC			27MAY94	2	94FEC/901/0747
	APRIL QUARTERLY	0	532	1JAN94 -15APR94	5	94FEC/903/2976
	APRIL QUARTERLY - AMENDMENT	0	542	1JAN94 -15APR94	4	94FEC/915/4264
	NOTICE OF FAILURE TO FILE			1JAN94 -31MAR94	2	94FEC/898/1214
	1'ST LETTER INFORMATIONAL NOTICE			1JAN94 -31MAR94	2	94FEC/904/4789
	JULY QUARTERLY	2,500	2,537	16APR94 -15JUL94	8	94FEC/915/4256
	JULY QUARTERLY - AMENDMENT	2,500	2,537	16APR94 -15JUL94	9	94FEC/934/2038
	JULY QUARTERLY - AMENDMENT	2,500	3,037	16APR94 -15JUL94	9	95FEC/972/2870
	NOTICE OF FAILURE TO FILE			16APR94 -30JUN94	2	94FEC/915/3504
	REQUEST FOR ADDITIONAL INFORMATION			16APR94 -15JUL94	3	94FEC/921/2168
	REQUEST FOR ADDITIONAL INFORMATION 2ND			16APR94 -15JUL94	4	94FEC/925/2315
	OCTOBER QUARTERLY	41,770	18,896	16JUL94 -15OCT94	13	94FEC/943/5178
	OCTOBER QUARTERLY - AMENDMENT	32,870	22,295	16JUL94 -15OCT94	17	95FEC/972/2853
	OCTOBER QUARTERLY - AMENDMENT			16JUL94 -15OCT94	3	95FEC/973/3967

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COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
					TYPE OF FILER	
	NOTICE OF FAILURE TO FILE			16JUL94 -30SEP94	2	94FEC/943/0797
	REQUEST FOR ADDITIONAL INFORMATION			16JUL94 -15OCT94	11	95FEC/966/1241
	REQUEST FOR ADDITIONAL INFORMATION 2ND			16JUL94 -15OCT94	12	95FEC/969/2097
	POST-GENERAL	4,500	10,000	16OCT94 -28NOV94	6	95FEC/959/1825
	POST-GENERAL - AMENDMENT	3,500	10,000	16OCT94 -28NOV94	7	95FEC/972/2879
	NOTICE OF FAILURE TO FILE			16OCT94 -28NOV94	2	95FEC/955/0803
	REQUEST FOR ADDITIONAL INFORMATION			16OCT94 -28NOV94	2	95FEC/966/1239
	REQUEST FOR ADDITIONAL INFORMATION 2ND			16OCT94 -28NOV94	2	95FEC/969/2095
	YEAR-END	0	0	29NOV94 -31JAN95	3	95FEC/968/4637
	YEAR-END - AMENDMENT	0	0	29NOV94 -31DEC94	4	95FEC/972/2886
	YEAR-END - AMENDMENT	0	0	29NOV94 -31DEC94	6	95FEC/974/4257
	REQUEST FOR ADDITIONAL INFORMATION			29NOV94 -31JAN95	2	95FEC/970/1168
	REQUEST FOR ADDITIONAL INFORMATION 2ND			29NOV94 -31JAN95	3	95FEC/972/1253
	NOTICE OF FAILURE TO FILE			29NOV94 -31DEC94	2	95FEC/966/3766
1995	MISCELLANEOUS REPORT TO FEC			11JAN95	3	95FEC/956/0866
	MISCELLANEOUS REPORT TO FEC			3APR95	2	95FEC/971/0146
	TOTAL	39,687	0	39,045	0	313 TOTAL PAGES

All Reports Have Been Reviewed.
Cash-On-Hand as of 12/31/94: \$5022
Outstanding Debts and Obligations Owed To/By the Committee
as of 12/31/94: \$15,456

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YEAR-END

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 27, 1993

WHO MUST FILE

Party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report on January 31, 1994.

REPORT CONTENT AND DATES**I. Semiannual Filers**

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/93 - 12/31/93	01/31/94	01/31/94

Semiannual filers must disclose financial activity that occurred from July 1 through December 31, 1993, on their Year-End Report.

II. Monthly Filers

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/93 - 12/31/93	01/31/94	01/31/94

Monthly filers must disclose financial activity from December 1 through December 31, 1993, on their Year-End Report.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from semiannual to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

LABEL

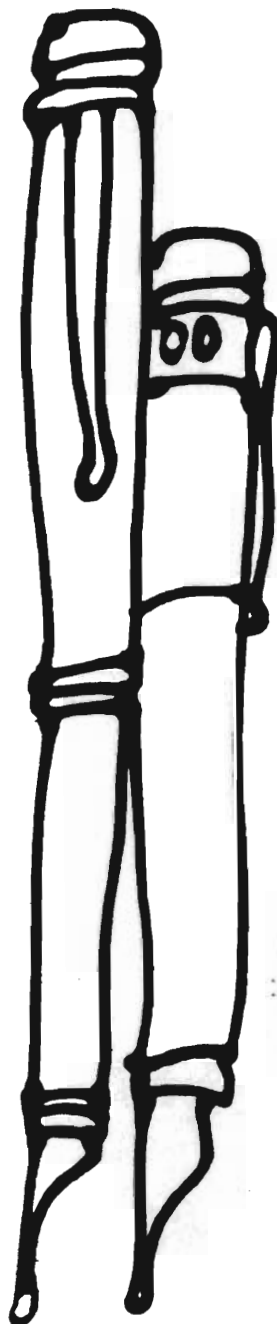
Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

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PARTIES AND PACS

YEAR-END

1994 FILING SCHEDULE

I. Quarterly Filers

REPORT	PERIOD COVERED*	REG./CERT. MAILING DATE**	FILING DATE
April	01/01/94 - 03/31/94	04/15/94	04/15/94
July	04/01/94 - 06/30/94	07/15/94	07/15/94
October	07/01/94 - 09/30/94	10/15/94	10/15/94
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94
Year-End	11/29/94 - 12/31/94	01/31/95	01/31/95

II. Monthly Filers

REPORT	PERIOD COVERED*	REG./CERT. MAILING DATE**	FILING DATE
February	01/01/94 - 01/31/94	02/20/94	02/20/94
March	02/01/94 - 02/28/94	03/20/94	03/20/94
April	03/01/94 - 03/31/94	04/20/94	04/20/94
May	04/01/94 - 04/30/94	05/20/94	05/20/94
June	05/01/94 - 05/31/94	06/20/94	06/20/94
July	06/01/94 - 06/30/94	07/20/94	07/20/94
August	07/01/94 - 07/31/94	08/20/94	08/20/94
September	08/01/94 - 08/31/94	09/20/94	09/20/94
October	09/01/94 - 09/30/94	10/20/94	10/20/94
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94
Year-End	11/29/94 - 12/31/94	01/31/95	01/31/95

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043722736



FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

RQ-7

February 25, 1994

Dennis Rivera, Treasurer
Hispanic PAC USA Inc.
310 West 43rd Street, 7th Floor
New York, NY 10036

Identification Number: C00250217

Reference: Year End Report (7/1/93-12/31/93)

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Debbie Manzano on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

249383723

HISPANIC PAC USA, INC.

C/O 1199
7TH FLOOR
310 WEST 43RD STREET
NEW YORK, NY 10036

March 2, 1994

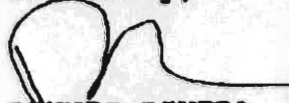
Mr. John D. Gibson
Assistant Staff Director
Reports Analysis Division
Federal Election Commission
Washington, D.C. 20463

Dear Mr. Gibson:

Per your letter dated February 25, 1994, I am writing to express my regret regarding the lateness of these filings.

The reason for the delay was that our Counsel accepted another position and we had to hire a new one. The enclosed filings that we are submitting today will bring us up to date.

Sincerely,



DENNIS RIVERA
Treasurer

DR:rb

29 45 34 33 22 23 42 28

REPORT OF RECEIPTS AND DISBURSEMENTS

Attachment #4

Page 2 of 4

For Other Than An Authorized Committee

(Summary Page)

USE FEC MARKING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Hispanic PAC USA, Inc.		2. FEC IDENTIFICATION NUMBER C00250217
ADDRESS (number and street) ☐ Check if different than previously reported c/o Local 1199 310 West 43rd Street		
CITY, STATE and ZIP CODE New York, N.Y. 10036		3. ☐ This committee qualified as a multicandidate committee DURING THIS Reporting Period on (date).

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☒ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____
(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____

in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO**SUMMARY**

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>7/1/93</u> through <u>9/30/93</u>		
6. (a) Cash on Hand January 1, 19 <u>93</u>		\$ 4,382.26
(b) Cash on Hand at Beginning of Reporting Period	\$ 3,158.26	
(c) Total Receipts (from Line 19)	\$10,500.00	\$11,317.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$13,658.26	\$15,699.26
7. Total Disbursements (from Line 30)	\$ 3,724.16	\$ 5,765.16
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 9,934.10	\$ 9,934.10
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-378-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dennis Rivera

Signature of Treasurer

2/10/94

Date

2/18/94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 1/1/91)

94038864344

REPORT RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)

Attachment #4

Page 3 of 4

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Hispanic PAC USA, Inc.		2. FEC IDENTIFICATION NUMBER C00250217
ADDRESS (number and street) Check if different than previously reported c/o Local 1199 310 West 43rd Street		
CITY, STATE and ZIP CODE New York, N.Y. 10036		3. This committee qualified as a multicandidate committee DURING THIS Reporting Period on (date).

4. TYPE OF REPORT

(a) April 15 Quarterly Report

☐ July 15 Quarterly Report☐ October 15 Quarterly Report☒ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20☐ March 20☐ April 20☐ May 20☐ June 20☐ July 20☐ August 20☐ September 20☐ October 20☐ November 20☐ December 20☐ January 31☐ Twelfth day report preceding

(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on

_____ in the State of _____

(b) Is this Report an Amendment?

☐ YES☒ NO**SUMMARY**

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period <u>9/30/93</u> through <u>12/31/93</u>		
6	(a) Cash on Hand January 1, 19 <u>93</u>		\$ 4,382.26
	(b) Cash on Hand at Beginning of Reporting Period	\$ 9,934.10	
	(c) Total Receipts (from Line 19)	\$ -0-	\$ 11,317.00
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 9,934.10	\$ 15,699.26
7	Total Disbursements (from Line 30)	\$ 32.14	\$ 5,797.30
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 9,901.96	\$ 9,901.96
9	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	
10	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ -0-	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dennis Rivera

Signature of Treasurer

Date

2/18/94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 1/1/91)

9946003483576242375180

The Commission has added this page to the end of this filing to indicate how it was received.

2.1.

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

March 22, 1994

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
April Quarterly	01/01/94-03/31/94	04/15/94	04/15/94

WHO MUST FILE

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in April.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election, must also file a Pre-Election Report, if the activity was not previously reported. See January 1994 Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election day.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

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**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043722742



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

RQ-7

May 10, 1994

Dennis Rivera, Treasurer
Hispanic PAC USA Inc.
310 West 43rd Street, 7th Floor
New York, NY 10036

Identification Number: C00250217

Reference: April Quarterly Report (1/1/94-3/31/94)

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Debbie Manzano on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division



FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20541

NS-L

Dennis Rivera, Treasurer
 Hispanic PAC USA Inc.
 310 West 43rd Street, 7th Floor
 New York, NY 10036

MAY 27 1994

Identification Number: C00250217

Reference: 1993-1994 Election Cycle Reports

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
Year End (7/1/93-12/31/93)	1/31/94	3/07/94
April Quarterly (1/1/94-3/31/94)	4/15/94	Not Filed

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Debbie Manzano on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

[Signature]
 Lisa J. Stolaruk
 Chief, Party/Non-Party Branch
 Reports Analysis Division

956,003 3 2 3 7 4 A

MEYER, SUOZZI, ENGLISH & KLEIN, P.C.

BERNARD S. MEYER
JOSEPH A. SUOZZI
JOHN P. ENGLISH (1960-1987)
BASIL A. PATTERSON
JEFFREY G. STARK
JOHN V. H. KLEIN
ROBERT M. ARCHER
BRIAN MICHAEL SOLTZER
ROBERT N. ZAUBER
RICHARD G. FROMSWICK
LOIS CARTER SCHLISSEL
KENNETH L. GARTNER
MICHAEL A. CIAPPA
BARRY J. PEEK
WILLIAM J. CUNNINGHAM, III
BARBARA RUFIN SCHUEERMANN
JACK RUBINSTEIN
RICHARD D. WINSTEN
ANDREW J. TURRO
A. THOMAS IRVIN
JULES B. IRVINE

COUNSELORS AT LAW
ONE COMMERCE PLAZA
SUITE 1810
ALBANY, NEW YORK 12260
518-465-5551
FACSIMILE: 518-465-2033

MINEOLA OFFICE
1505 KELLUM PLACE
MINEOLA, NEW YORK 11501-4824
516-741-6565
212-227-5511

JAMES T. ROCHFORD
EDWARD J. OUTLEBER
CHARLES DEWEY COLE, JR.
MICHAEL S. SCHIFF
CHERYL A. PARNAN
LOWELL PATTERSON

COUNSEL
ARTHUR E. TARLOW
ANNE J. DRI. CASINO
MICHAEL N. ELAR
THOMAS P. HARTNETT
PATRICIA GALTREI
DONNALYNN DARLING
*MEMBER NY BAR ONLY
REGISTERED LOBBYIST IN NY STATE

June 7, 1994

Lisa J. Stolaruk
Federal Election Commission
Chief, Party/Non-Party Branch
Reports Analysis Division
Washington, D.C. 20463

Identification Number: C00250217

Reference: 1993-1994 Election Cycle Reports

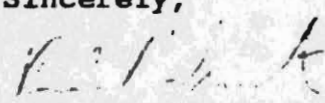
Dear Ms. Stolaruk:

I was assigned the responsibility of putting in place and maintaining a system for recording Hispanic PAC expenditures and disbursements as of April 1994. My recent involvement with the Hispanic PAC and the need to familiarize myself with FEC rules and regulations resulted in the late April Quarterly filing.

We have been in continuous contact with Debbie Manzano, Reports Analyst, who has been extremely helpful in clarifying the filing process. In the future the Hispanic PAC will timely file.

Thank you for your consideration in this matter.

Sincerely,


Richard Winsten

29 46 50 34 93 5 52 42 27 1 05

REPORT OF RECEIPTS AND DISBURSEMENTSAttachment #8
Page 2 of 3For Other Than An Authorized Committee
(Summary Page)USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Hispanic PAC USA, Inc.		2. FEC IDENTIFICATION NUMBER C00250217
ADDRESS (number and street) ☐ Check if different than previously reported Local 1199 310 West 43rd Street		
CITY, STATE and ZIP CODE New York, New York 10036		
3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT(a) ☒ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____
(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____
in the State of _____

(b) Is this Report an Amendment?

☐ YES ☒ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	1/1/94 through 4/15/94		
6. (a) Cash on Hand January 1, 1994			\$ 9,906.46
(b) Cash on Hand at Beginning of Reporting Period		\$ 9,906.46	
(c) Total Receipts (from Line 19)		\$ 0	\$ 0
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ 9,906.46	\$ 9,906.46
7. Total Disbursements (from Line 30)		\$ 532.30	\$ 532.30
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ 9,374.16	\$ 9,374.16
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 0	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dennis Rivera

Signature of Treasurer

Date

6/7/94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 9/93)

Federal Election Commission
ENVELOPE REPLACEMENT PAGE
FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐ Hand Delivered	DATE OF RECEIPT
☐ First Class Mail	POSTMARKED
☒ Registered/Certified Mail	POSTMARKED 6-7-94
☐ No Postmark	
☐ Postmark Illegible	
☐ Received from the House Office of Records and Registration	DATE OF RECEIPT
☐ Received from the Senate Office of Public Records	DATE OF RECEIPT
☐ Other (Specify):	POSTMARKED
	DATE OF RECEIPT
<i>LSH.</i> 6-14-94 PREPARED DATE PREPARED	

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

June 21, 1994

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
July Quarterly	04/01/94 - 06/30/94	07/15/94	07/15/94

WHO MUST FILE

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in July.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1994 Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election day.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043722788



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

RQ-7

August 4, 1994

Dennis Rivera, Treasurer
Hispanic PAC USA, Inc.
310 West 43rd Street, 7th Floor
New York, NY 10036

Identification Number: C00250217

Reference: July Quarterly Report (4/16/94-6/30/94)

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer. 11 CFR §§108.2, 108.3, 108.4

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Debbie Manzano on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

6/16/94
22-27-94

For Other Than An Authorized Committee
(Summary Page)USE FEC REGISTRATION LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Hispanic PAC USA, Inc.		2. FEC IDENTIFICATION NUMBER 000250217
ADDRESS (number and street) ☐ Check if different than previously reported C/O Local 1199 - 310 W. 43rd Street		
CITY, STATE and ZIP CODE New York, N.Y. 10036		
3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report☒ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 01 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____
(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____

_____ in the State of _____

(b) Is this Report an Amendment?

☐ YES☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Covering Period <u>4/16/94</u> through <u>7/15/94</u>		
8. (a) Cash on Hand January 1, 19 <u>94</u>		\$ 2,026.96
(b) Cash on Hand at Beginning of Reporting Period	\$ 1,484.65	
(c) Total Receipts (from Line 19)	\$ 2,500.00	\$ 2,500.00
(d) Subtotal (add Lines 8(b) and 8(c) for Column A and Lines 8(b) and 8(c) for Column B)	\$ 3,984.65	\$ 4,526.96
7. Total Disbursements (from Line 30)	\$ 2,537.11	\$ 3,079.42
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 1,447.84	\$ 1,447.84
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule U)	\$ 0	For further information contact: Federal Election Commission 990 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-218-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule U)	\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer

Date

7/27/94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 8/93)

94039154256

Federal Election Commission
ENVELOPE REPLACEMENT PAGE
FOR INCOMING DOCUMENTS

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☐ Hand Delivered

DATE OF RECEIPT

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☒ Registered/Certified Mail

POSTMARKED

8-01-94

☐ No Postmark

☐ Postmark Illegible

☐ Received from the House Office of Records
and Registration

DATE OF RECEIPT

☐ Received from the Senate Office of Public
Records

DATE OF RECEIPT

☐ Other (Specify)

POSTMARKED

and/or DATE OF RECEIPT

MMR

PREPARER

8-05-94

DATE PREPARED

9604572275
24039151253

QUARTERLY REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 21, 1994

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
October Quarterly	07 01 94 - 09 30 94	10/15/94	10/15 94

WHO MUST FILE

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in October.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1994 Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election day.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

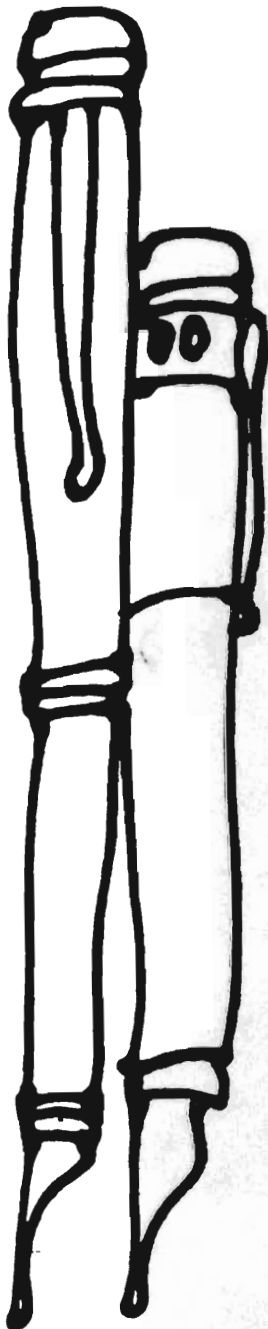
LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

over



9604372275

PARTIES AND PACS

OCTOBER QUARTERLY

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

96043722753

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420



FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20463

RQ-7

November 4, 1994

Dennis Rivera, Treasurer
Hispanic PAC USA Inc.
310 West 43rd Street, 7th Floor
New York, NY 10036

Identification Number: C00250217

Reference: October Quarterly Report (7/16/94-9/30/94)

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Debbie Manzano on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

94039430797

REPORT OF RECEIPTS AND DISBURSEMENTS

Attachment #14
Page 1 of 2

Other Than An Authorized Committee
(Summary Page)

1 NAME OF COMMITTEE (in full)

ISLANIC PAC USA INC.

2 Line number and street ☐ Check if different than previously reported

LOCAL 1199 310 WEST 43RD STREET

CITY, STATE and ZIP CODE

NEW YORK, N.Y. 10036

2 FEC IDENTIFICATION NUMBER

000250217

3 ☐ This committee has qualified as a multicandidate committee (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☒ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On

☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 21

☐ Twelfth day report preceding _____ (Type Election)
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
_____ in the State of _____

(b) Is this Report an Amendment?

☐ YES ☒ NO

SUMMARY

5	Covering Period	7/16/94	through	10/15/94	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6	(a) Cash on Hand January 1, 1994					\$ 2,026.96
	(b) Cash on Hand at Beginning of Reporting Period				\$ 1,447.84	
	(c) Total Receipts (from Line 19)				\$ 41,770.00	\$ 44,270.00
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)				\$ 43,217.84	\$ 46,296.96
7	Total Disbursements (from Line 30)				\$ 18,896.80	\$ 22,976.22
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))				\$ 24,321.04	\$ 23,320.74
9	Debits and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)				\$ 0	
10	Debits and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)				\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer

Signature of Treasurer

Date

NOTE: Submission of false, misleading, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 437.

FEC FORM 3X

(Revised 9/93)

Federal Election Commission
ENVELOPE REPLACEMENT PAGE
FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received

☐ Hand Delivered

DATE OF RECEIPT

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☒ Registered/Certified Mail

POSTMARKED

11/15/94

☐ No Postmark

☐ Postmark Illegible

☐ Received from the House Office of Records
and Registration

DATE OF RECEIPT

☐ Received from the Senate Office of Public
Records

DATE OF RECEIPT

☐ Other (Specify)

POSTMARKED

and/or DATE OF RECEIPT

E.S.
PREPARED

11/18/94
DATE PREPARED

94032435100

GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

October 3, 1994

I. ALL MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94

II. QUARTERLY FILERS THAT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 19

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94

III. QUARTERLY FILERS THAT DO NOT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 19***

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Post-General	10/01/94 - 11/28/94	12/08/94	12/08/94

WHO MUST FILE

Party committees and PACs must follow the above charts in order to determine whether they must file the Pre-General Election Report. All Party committees and PACs, regardless of financial activity, must file the Post-General Election Report.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

***Committees that made general election contributions or expenditures before October 1 and that did not previously report them must also follow the Chart II reporting requirements.

(over)

PARTIES AND PACS

GENERAL ELECTION

LAST-MINUTE INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures aggregating \$1,000 or more during the period beginning October 20 and ending November 6 must report them within 24 hours.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043722758



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20461

RQ-7

January 4, 1995

Dennis Rivera, Treasurer
Hispanic PAC USA Inc.
310 West 43rd Street 7th Floor
New York, NY 10036

Identification Number: C00250217

Reference: 30 Day Post-General Report (10/16/94-11/28/94)

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer. 11 CFR §§108.2, 108.3, 108.4

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Debbie Manzano on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

95039550803



Hispanic Political Action Committee

FEDERAL ELECTION
COMMISSION
WASHINGTON, D.C.

Attachment # 17

10 13 AM '95

**Federal Election Commission
Washington, D.C. 20463**

Reference: 30 Day Post-General Report (10/16/94-11/28/94)

Dear John D. Gibson:

We are in receipt of your letter notifying us of our delinquency. This was an oversight that will be rectified immediately. You will receive our 30 Day Post General Report on Friday, January 13, 1995.

If you have any questions please contact Alicia J. Ybarra at 212 261-2223.

Sincerely,

**Alicia J. Ybarra
Executive Director**

2 3 0 3 2 6 0 9 4 6

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Hispanic PAC USA INC.		JAN 26 11 50 AM
ADDRESS (number and street) ☐ Check if different than previously reported 310 W. 43rd street, 7th Floor		
CITY, STATE and ZIP CODE New York, NY 10036		
2. FEC IDENTIFICATION NUMBER 000250217		
3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☐ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____
(Type of Election)

election on _____ in the State of _____

☒ Thirtieth day report following the General Election on

Nov 8, 1994 in the State of New York.

(b) Is this Report an Amendment?

☐ YES ☐ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	10/16/94 through 11/28/94		
6. (a) Cash on Hand January 1, 1994			\$ 2,026.96
(b) Cash on Hand at Beginning of Reporting Period		\$ 23,320.74	
(c) Total Receipts (from Line 18)		\$ 4,500.00	\$ 48,770.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ 27,820.74	\$ 50,796.96
7. Total Disbursements (from Line 30)		\$ 10,000.00	\$ 32,976.22
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ 17,820.74	\$ 17,820.74
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 0	For further information contact: Federal Election Commission 909 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dennis Rivera

Signature of Treasurer

Date

1-13-94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 9/93)

YEAR-END REPORT NOTICE

Attachment #19
Page 1 of 2

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 28, 1994

QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	11/29/94 - 12/31/94	01/31/95	01/31/95

WHO MUST FILE

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

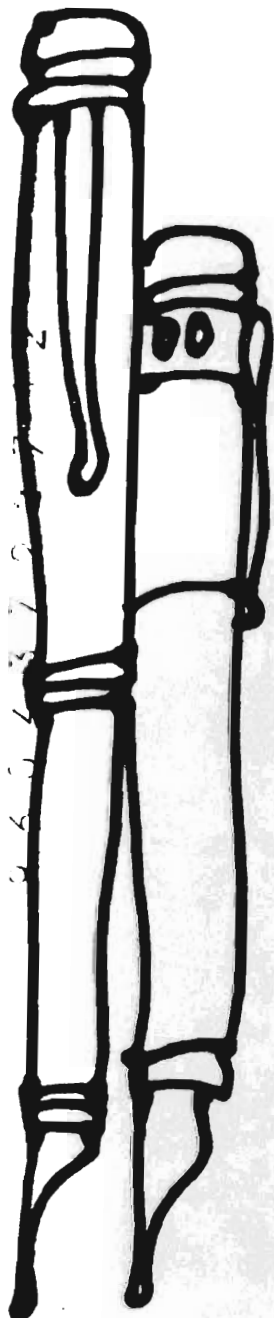
COMPLIANCE.

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)



**1995 REPORTING SCHEDULE
PACs AND PARTIES***

I. SEMIANNUAL FILERS**

REPORT	PERIOD COVERED	REG./CERT. MAILING DATE***	FILING DATE
Mid-Year	01/01/95 - 06/30/95	07/31/95	07/31/95
Year-End	07/01/95 - 12/31/95	01/31/96	01/31/96

II. MONTHLY FILERS

REPORT	PERIOD COVERED	REG./CERT. MAILING DATE***	FILING DATE
February	01/01/95 - 01/31/95	02/20/95	02/20/95
March	02/01/95 - 02/28/95	03/20/95	03/20/95
April	03/01/95 - 03/31/95	04/20/95	04/20/95
May	04/01/95 - 04/30/95	05/20/95	05/20/95
June	05/01/95 - 05/31/95	06/20/95	06/20/95
July	06/01/95 - 06/30/95	07/20/95	07/20/95
August	07/01/95 - 07/31/95	08/20/95	08/20/95
September	08/01/95 - 08/31/95	09/20/95	09/20/95
October	09/01/95 - 09/30/95	10/20/95	10/20/95
November	10/01/95 - 10/31/95	11/20/95	11/20/95
December	11/01/95 - 11/30/95	12/20/95	12/20/95
Year-End	12/01/95 - 12/31/95	01/31/96	01/31/96

*Committees that wish to change their filing status must notify the Commission in writing.

**Committees that filed quarterly reports in 1994 are only required to file semiannually in 1995.

***Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

96043722763



FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20463

RQ-7

February 17, 1995

Dennis Rivera, Treasurer
Hispanic PAC USA Inc.
310 West 43rd Street, 7th Floor
New York, NY 10036

Identification Number: C00250217

Reference: Year End Report (11/29/94-12/31/94)

Dear Mr. Rivera:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Debbie Manzano on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

95039663766

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

MAR 14 11 04 AM '95

REPORT OF RECEIPTS AND DISBURSEMENTSFor Other Than An Authorized Committee
(Summary Page)USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Hispanic PAC USA		2. FEC IDENTIFICATION NUMBER 000250217
ADDRESS (number and street) ☐ Check if different than previously reported 310 W. 43rd Street, 2nd Floor		
CITY, STATE and ZIP CODE New York, NY 10036		
3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT(a) ☐ April 15 Quarterly Report☐ July 15 Quarterly Report☐ October 15 Quarterly Report☒ January 31 Year End Report☐ July 31 Mid Year Report (Non-election Year Only)☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____
(Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____
in the State of _____

(b) Is this Report an Amendment?

☐ YES☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>11/29/94</u> through <u>1/31/95</u>		
6. (a) Cash on Hand January 1, 19 <u>95</u>		\$17,820.74
(b) Cash on Hand at Beginning of Reporting Period	\$17,820.74	
(c) Total Receipts (from Line 10)	\$ 0	\$48,770.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 17,820.74	\$ 66,590.74
7. Total Disbursements (from Line 30)	\$ 0	\$32,976.22
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 17,820.74	\$ 17,820.74
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0	For further information contact: Federal Election Commission 900 E Street, NW Washington, DC 20463 Toll Free 800-424-9630 Local 202-219-3430
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dennis Rivera
Signature of Treasurer

Date

3/7/95

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FOR RECORDING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐

Hand Delivered

DATE OF RECEIPT

☐

First Class Mail

POSTMARKED

☒

Registered/Certified Mail

POSTMARKED

3-3-95

☐

No Postmark

☐

Postmark Illegible

☐

Received from the House Office of Records
and Registration

DATE OF RECEIPT

☐

Received from the Senate Office of Public
Records

DATE OF RECEIPT

☐

Other (Specify):

POSTMARKED

and/or DATE OF RECEIPT

JMN

PREPARED

3-14-95

DATE PREPARED

98004372237266
98004372237266

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

Nov 8 10 00 AM '95

FIRST GENERAL COUNSEL'S REPORT

SENSITIVE

RAD Referral #95NF-20
Date Activated: October 2, 1995

Staff Members: Mark Allen
Deborah Rice

SOURCE: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS: HISPANIC PAC USA, INC. AND DENNIS RIVERA,
AS TREASURER

RELEVANT STATUTE: 2 U.S.C. § 434(a)(4)(A)(i), (iii) and (iv)

INTERNAL REPORTS CHECKED: Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

On May 22, 1995, the Reports Analysis Division ("RAD") referred the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, for failure to file six (6) Reports of Receipts and Disbursements in a timely manner for the 1993-1994 election cycle. These six reports are the 1993 Year End, 1994 April Quarterly, 1994 July Quarterly, 1994 October Quarterly, 1994 30 Day Post-General, and the 1994 Year End.

II. FACTUAL AND LEGAL ANALYSIS

Based on the Factual and Legal Analysis, see Attachment 1, this Office recommends that the Commission find reason to believe Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i), (iii) and (iv).

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III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

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IV. RECOMMENDATIONS

1. Open a MUR.
2. Find reason to believe that the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i), (iii), and (iv) and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the attached Factual and Legal Analysis, proposed conciliation agreement and the appropriate letter.

Lawrence M. Noble
General Counsel

Date

11/7/55

BY:


Lois G. Verner
Associate General Counsel

Attachments

1. Factual & Legal Analysis
2. Conciliation Agreement

9604372749

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

Hispanic PAC USA, Inc. and Dennis
Rivera, as Treasurer.

MUR 4281
)
) RAD Referral
) #95NF-20
)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on November 20, 1995, the Commission decided by a vote of 5-0 to take the following actions in RAD Referral #95NF-20:

1. Open a MUR.
2. Find reason to believe that the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, violated 2 U.S.C. §§ 434(A)(4)(A)(i), (iii), and (iv) and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the Factual and Legal Analysis, proposed conciliation agreement and the appropriate letter, as recommended in the General Counsel's Report dated November 7, 1995.

Commissioners Aikens, Elliott, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

11-20-95
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Wed., Nov. 08, 1995 10:00 a.m.
Circulated to the Commission: Wed., Nov. 08, 1995 4:00 p.m.
Revised deadline for vote: Tues., Nov. 21, 1995 4:00 p.m.

bjr

96043722770



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

November 27, 1995

Hispanic PAC USA, Inc.
Dennis Rivera, Treasurer
310 West 43rd Street, 7th Floor
New York, NY 10036

RE: MUR 4281
Hispanic PAC USA, Inc. and
Dennis Rivera, as treasurer

Dear Mr. Rivera:

On November 20, 1995, the Federal Election Commission found that there is reason to believe the Hispanic PAC USA, Inc. and you, as treasurer, ("Committee") violated 2 U.S.C. §§ 434(a)(4)(A)(i), (iii), and (iv), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

MUR 4281
Mr. Rivera
Page 2

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

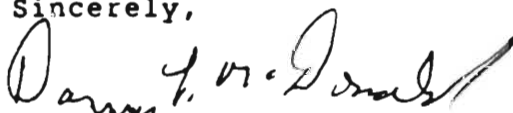
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Deborah Rice, the staff person assigned to this matter, at (202) 219-3690.

Sincerely,


Danny L. McDonald
Chairman

Enclosures
Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

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FEDERAL ELECTION COMMISSION
FACTUAL AND LEGAL ANALYSIS

RESPONDENTS: HISPANIC PAC USA, INC. AND
DENNIS RIVERA, AS TREASURER

MUR: 4281

This matter was generated based on information ascertained by the Federal Election Commission ("the Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. § 437g(a)(2).

The Federal Election Campaign Act of 1971, as amended, requires treasurers of unauthorized political committees to file periodic reports of receipts and disbursements on a quarterly or a monthly basis. 2 U.S.C. § 434(a)(4). Political committees opting to file on a quarterly basis must file, in the calendar years in which a regularly scheduled general election is held, a quarterly report no later than the 15th day after the last day of each calendar quarter except that the report for the quarter ending on December 31 of such calendar year shall be filed no later than January 31 of the following calendar year. 2 U.S.C.

§ 434(a)(4)(A)(i). Political committees shall also file a post-election report which shall be filed no later than the 30th day after the general election. 2 U.S.C. § 434(a)(4)(A)(iii). Further, in any other calendar year, a report covering the period beginning July 1 and ending December 31 shall be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(4)(A)(iv).

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The Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, ("Committee") is a political committee that is not an authorized committee of a candidate. The Committee elected to report on a quarterly basis. The Committee's 1993 Year End Report was due on January 31, 1994. 2 U.S.C. § 434(a)(4)(A)(iv). Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), the Committee's the 1994 April Quarterly was due on April 15, 1994, the 1994 July Quarterly was due on July 15, 1994, the 1994 October Quarterly was due on October 15, 1994, and the 1994 Year End Report was due on January 31, 1995. The Committee's 1994 Post-General Election Report was due December 8, 1994. 2 U.S.C. § 434(a)(4)(A)(iii).

The Commission notified the Committee on December 27, 1993 of all reports required to be filed for 1994.

1993 Year End Report

A Non-Filer Notice was mailed to the Committee on February 25, 1994 for failure to file the 1993 Year End Report. A letter dated March 2, 1994 from the Committee to the Reports Analysis Division ("RAD") expressed regret and stated that the reason for the delay was that their Counsel accepted another position and they had to hire new Counsel. Respondents did not file the 1993 Year End report until March 7, 1994, 35 days late, disclosing disbursements of \$34.12.

1994 April Quarterly Report

On March 22, 1994, the Commission notified the Committee that the 1994 April Quarterly was due on April 15, 1994. A Non-Filer Notice was mailed to the Committee on May 10, 1994 for failure to file the 1994 April Quarterly Report. On June 7, 1994 new Counsel

for the Hispanic PAC USA, Inc. stated in a letter to RAD that he was in the process of familiarizing himself with FEC rules and regulations resulting in the late April Quarterly filing. Counsel also stated that in the future the Hispanic PAC would file timely reports. Respondents did not file the 1994 April Quarterly report until June 7, 1994, 53 days late, disclosing disbursements of \$542.31.

1994 July Quarterly Report

On June 21, 1994, the Commission notified the Committee that the 1994 July Quarterly was due on July 15, 1994. Respondents filed the 1994 July Quarterly report on August 1, 1994, 17 days late, disclosing receipts of \$2,500.00 and disbursements of \$2,037.11.

1994 October Quarterly Report

On September 21, 1994, the Commission notified the Committee that the 1994 October Quarterly Report was due on October 15, 1994. A Non-Filer Notice was mailed to the Committee on November 4, 1994 for failure to file the 1994 October Quarterly Report. Respondents did not file the 1994 October Quarterly report until November 15, 1994, 31 days late, disclosing receipts of \$41,770.00 and disbursements of \$16,448.40.

1994 30 Day Post-Election Report

On October 3, 1994, the Commission notified the Committee that the 1994 30 Day Post-General Report was due on December 8, 1994. A Non-Filer Notice was mailed to the Committee on January 4, 1995 for failure to file the 30 Day Post-General Report. In a letter to RAD, the Committee responded to this

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latest notice by describing its failure to file as an oversight. Respondents did not file the 1994 30 Day Post-Election report until January 26, 1995, 49 days late, disclosing receipts of \$4,500.00 and disbursements of \$10,000.00.

1994 Year End Report

Finally, on December 28, 1994, the Commission notified the Committee that the 1994 Year End Report was due on January 31, 1995. A Non-Filer Notice was mailed to the Committee on February 17, 1995 for failure to file the 1994 Year End Report. Respondents did not file the 1994 Year End report until March 8, 1995, 36 days late. The report disclosed no receipts or disbursements.

The Committee failed to timely file the 1993 Year End Report, 1994 April Quarterly Report, July Quarterly Report, October Quarterly Report, 1994 30 Day Post-Election Report, and the 1994 Year End Report. Therefore, there is reason to believe the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, violated 2 U.S.C. §§ 434(a)(4)(A)(i), 434(a)(4)(A)(iii), and 434(a)(4)(A)(iv).

96043722776

MEYER, SUOZZI, ENGLISH & KLEIN, P.C.

BERNARD S. MEYER
JOSEPH A. SUOZZI
JOHN F. ENGLISH (1960-1987)
BASIL A. PATERSON
JEFFREY O. STARK
JOHN V. N. KLEIN
ROBERT M. ARCHER
BRIAN MICHAEL SELTZER
ROBERT M. ZAUSMER
RICHARD G. FROMEWICK
LOIS CARTER SCHLISSEL
KENNETH L. GARTNER
MICHAEL A. CIAPPA
BARRY J. FREE
WILLIAM J. CUNNINGHAM, III
BARBARA RUBIN SCHUEBERMANN
JACK RUBINSTEIN
RICHARD D. WINSTEN
ANDREW J. TURRO
A. THOMAS LEVIN
JULIE B. LEVINE
EDWARD J. OUTLEBER
LOWELL PETERSON
DONNALYNN DARLING

COUNSELORS AT LAW
ONE COMMERCE PLAZA
SUITE 1810
ALBANY, NEW YORK 12260

518-465-5551
FACSIMILE: 518-465-2033

MINEOLA OFFICE
1505 KELLUM PLACE
MINEOLA, NEW YORK 11501-4824
516-741-6565
212-227-5511

JAMES T. ROCHFORD
CHERYL A. PARHAM
LAWRENCE E. SCHERER
JOHN H. BYINGTON III
ELIZABETH A. FAUGHNAN

COUNSEL
ARTHUR E. TABLOW
ANNE J. DEL CASINO
THOMAS F. HARTNEY
PATRICIA GALTERI
KEVIN M. MC CARTHY
*MEMBER N.Y.S. BAR ONLY
REGISTERED LOBBYIST IN NY STATE

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

Dec 29 11 33 AM '95

December 27, 1995

Deborah Rice
Federal Election Commission
Washington D.C. 20463

RE: MUR 4281
Hispanic PAC USA, Inc. and
Dennis Rivera, as Treasurer

Dear Ms. Rice:

I am writing in response to the FEC letter dated November 27, 1995, the offered conciliation agreement and with the understanding that all discussions and letters regarding settlement of this matter are to be strictly confidential. (2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A)).

The Hispanic PAC has implemented measures to address and correct procedures regarding the alleged violations. Specifically, the Hispanic PAC has designated its attorneys to oversee the prompt filing of the Reports of Receipts and Disbursement. Moreover, the personnel that previously prepared the Reports of Receipts and Disbursement has been relieved from both bookkeeping and reporting responsibilities. We believe that these structural changes will remedy past administrative errors.

Sincerely,

Richard Winsten
Richard Winsten

Lawrence Scherer
Lawrence Scherer

9604372277

STATEMENT OF DESIGNATION OF COUNSEL

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

NR 4281

NAME OF COUNSEL: Richard Winsten & Lawrence Scherer

JAN 3 11 30 AM '96

ADDRESS: Meyer, Suozzi, English & Klein, P.C.
One Commerce Plaza

Suite 1810, Albany, NY 12260

TELEPHONE:

(518) 465-5551

The above-named individual is hereby designated as my
counsel and is authorized to receive any notifications and other
communications from the Commission and to act on my behalf before
the Commission.

1/2/96
Date

Signature

RESPONDENT'S NAME: Dennis Rivera

ADDRESS: 310 West 43rd Street, 7th Fl.

New York, NY 10036

HOME PHONE:

BUSINESS PHONE:

(212) 582-1890

96043722778



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20461

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL
FEB 13 9 48 AM '96

2/12/96

TWO WAY MEMORANDUM

TO: OGC, Docket

FROM: Rosa E. Swinton
Accounting Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from Hispanic PAC, USA, Inc., check number 4281, dated 1/30/96, and in the amount of \$3,400.00. Attached is a copy of the check and any correspondence that was forwarded. Please indicate below the account into which it should be deposited, and the MUR number and name.

TO: Rosa E. Swinton
Accounting Technician

FROM: OGC, Docket By aa

In reference to the above check in the amount of \$3,400.00, the MUR number is 4281 and in the name of Hispanic PAC USA, Inc. The account into which it should be deposited is indicated below:

- ☒ Budget Clearing Account (OGC), 95F3875.16
☐ Civil Penalties Account, 95-1099.160
☐ Other: _____

Amita Alexander
Signature

2-13-96
Date

96043722779

THIS CHECK IS DELIVERED FOR PAYMENT ON THE FOLLOWING ACCOUNTS:

HISPANIC PAC, USA INC.
FEDERAL ACCOUNT

221

1/30 1994

1 337/260

PAY
TO THE
ORDER OF

PAY TO THE ORDER OF Federal Election Commission

\$ 3400.00

Three thousand four-hundred — DOLLARS



AMALGAMATED BANK OF NEW YORK
11-15 Union Square, New York, N.Y. 10003

~~8~~

1100022110 102600337910 0112273911

FEDERAL ELECTION COMMISSION

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

FEB 26 11 41 AM '96

In the Matter of)

Hispanic PAC USA, Inc. and Dennis Rivera,
as treasurer)

MUR 4281

SENSITIVE

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On November 27, 1995, the Commission found reason to believe that Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, ("Committee") violated 2 U.S.C. § 434(a)(4)(A)(i), (iii) and (iv), and determined to enter into pre-probable cause conciliation.

III. RECOMMENDATIONS

1. Accept the attached conciliation agreement with the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer.

2. Close the file.

9 6 0 4 3 7 2 2 7 3 1

3. Approve the appropriate letter.

Lawrence M. Noble
General Counsel

2/28/96
Date

BY: Lois G. Lerner
Lois G. Lerner
Associate General Counsel

Attachments

1. Conciliation correspondence
2. Conciliation Agreement

Staff Assigned: Mark Allen

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Hispanic PAC USA, Inc. and) MUR 4281
Dennis Rivera, as treasurer.)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 29, 1996, the Commission decided by a vote of 4-0 to take the following actions in MUR 4281:

1. Accept the conciliation agreement with the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, as recommended in the General Counsel's Report dated February 23, 1996.
2. Close the file.
3. Approve the appropriate letter, as recommended in the General Counsel's Report dated February 23, 1996.

Commissioners Aikens, Elliott, McDonald, and Thomas voted affirmatively for the decision; Commissioner McGarry did not cast a vote.

Attest:

2/29/96
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Mon., Feb. 26, 1996 11:41 a.m.
Circulated to the Commission: Mon., Feb. 26, 1996 4:00 p.m.
Deadline for vote: Thurs., Feb. 29, 1996 4:00 p.m.

lrd



FEDERAL ELECTION COMMISSION
Washington, DC 20463

March 4, 1996

Lawrence Scherer, Esq.
Meyer, Suozzi, English & Klein, P.C.
One Commerce Plaza, Suite 1810
Albany, NY 12260

RE: MUR 4281
Hispanic PAC USA, Inc. and Dennis Rivera,
as treasurer

Dear Mr. Scherer:

On February 29, 1996, the Federal Election Commission accepted the signed conciliation agreement and civil penalty submitted on your clients' behalf in settlement of violations of 2 U.S.C. §§ 434(a)(4)(A)(i), 434(a)(4)(A)(iii), and 434(a)(4)(A)(iv), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

Information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact me at (202) 219-3400.

Sincerely,

Mark Allen

Mark Allen
Attorney

Enclosure
Conciliation Agreement

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FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

FEB 21 3 12 PM '96

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Hispanic PAC USA, Inc.) MUR 4281
and Dennis Rivera, as treasurer)
)

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Federal Election Commission ("Commission") found reason to believe that the Hispanic PAC USA, Inc. and Dennis Rivera, as treasurer, ("Respondents") violated 2 U.S.C. §§ 434(a)(4)(A)(i), 434(a)(4)(A)(iii), and 434(a)(4)(A)(iv).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

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1. The Hispanic PAC USA, Inc. is a political committee within the meaning of 2 U.S.C. § 431(4) and is not an authorized committee of any candidate. It has elected to report on a quarterly basis.

2. Dennis Rivera is the treasurer of the Hispanic PAC USA, Inc.

3. Pursuant to 2 U.S.C. § 434(a)(4)(A)(i), for years in which a regularly scheduled general election is held, all political committees opting to file on a quarterly basis shall file quarterly reports no later than the 15th day after the last day of the calendar quarter except that the report covering the last quarter of the calendar year shall be filed no later than January 31 of the following calendar year. Respondents were required to file the 1994 April Quarterly Report, 1994 July Quarterly Report, 1994 October Quarterly Report and the 1994 Year End Report.

4. Political committees shall file a post-election report which shall be filed no later than the 30th day after the general election. 2 U.S.C. § 434(a)(4)(A)(iii). Respondents were required to file the 1994 30 Day Post-General Report.

5. In any other calendar year, a report covering the period beginning July 1 and ending December 31 shall be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(4)(A)(iv). Respondents were required to file the 1993 Year End Report.

6. Respondents did not file the 1993 Year End report until March 7, 1994, 35 days late, disclosing disbursements of \$34.12.

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7. Respondents did not file the 1994 April Quarterly report until June 7, 1994, 53 days late, disclosing disbursements of \$542.31.

8. Respondents did not file the 1994 July Quarterly report until August 1, 1994, 17 days late, disclosing receipts of \$2,500.00 and disbursements of \$2,037.11.

9. Respondents did not file the 1994 October Quarterly report until November 15, 1994, 31 days late, disclosing receipts of \$41,770.00 and disbursements of \$16,448.40.

10. Respondents did not file the 1994 30 Day Post-General report until January 26, 1995, 49 days late, disclosing receipts of \$4,500.00 and disbursements of \$10,000.00.

11. Respondents did not file the 1994 Year End report until March 8, 1995, 36 days late, disclosing no receipts or disbursements.

V. Respondents failed to timely file the 1993 Year End Report, 1994 April Quarterly Report, 1994 July Quarterly Report, 1994 October Quarterly Report, 1994 30 Day Post-General Report, and 1994 Year End Report in violation of 2 U.S.C. §§ 434(a)(4)(A)(i), 434(a)(4)(A)(iii), and 434(a)(4)(A)(iv).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Three Thousand, Four Hundred Dollars (\$3,400.00), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement

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thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

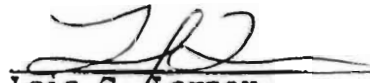
IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

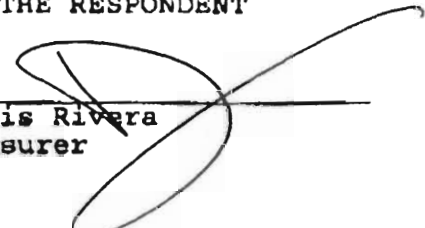
BY:


Lois G. Lerner
Associate General Counsel

Date

3/3/96

FOR THE RESPONDENT


Dennis Rivera
Treasurer

Date

2/12/96

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4281

DATE FILMED 3-11-96 CAMERA NO. 3

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