



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 4269

DATE FILMED 3-6-96 CAMERA NO. 3

CAMERAMAN JMH

9604372266

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: April 26, 1995

ANALYST: STEPHEN COHEN

I. COMMITTEE:

North Dakota Republican Party
(C00018929)
David P. Vanderscoff, Treasurer
(2/23/95 - Present)
Stephen D. Easton, Treasurer*
(9/11/93 - 2/22/95)
Yvonne M. Kroll, Treasurer
(10/21/90 - 9/10/93)
409 West Front Avenue
P.O. Box 1917
Bismarck, ND 58502

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(A)(i)
11 CFR §104.5(c)(1)

III. BACKGROUND:

Chronic Late Filing of Reports

The North Dakota Republican Party ("the Committee") has failed to file three (3) Reports of Receipts and Disbursements in a timely manner for the 1991-1992 election cycle (see attached Chart). The reports were filed between seventeen (17) and forty-four (44) calendar days late.

On November 6, 1992, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1992 April Quarterly and October Quarterly Reports in a timely manner (Attachment 6). The Notice advised the Committee that any additional late filing of reports may result in legal enforcement action.

*Stephen D. Easton assumed the position of Treasurer on September 11, 1993; however, the Commission was not notified of this change until April 22, 1994.

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**NORTH DAKOTA REPUBLICAN PARTY
REPORTS ANALYSIS OGC REFERRAL
PAGE 2**

The analyst called Kathlene Schafer-Imhoff, finance director for the Committee, on March 15, 1993 and informed her that the Committee was delinquent on the filing of their 1992 Year End Report. The analyst stressed that it was imperative the report be filed by March 20, 1993. Ms. Schafer-Imhoff replied that a package of amendments, including an original 1992 Year End Report, had already been sent to the Commission (Attachment 9).

On March 16, 1993, the Commission received the Committee's 1992 Year End Report (Attachment 10).

9 6 0 4 3 7 2 2 2 8

LATE FILING HISTORY

REPORT TYPE	PRIOR NOTICE	DATE DUE	NON-FILER NOTICE	DATE FILED
1992 April Quarterly (1/1/92-3/31/92)	3/20/92 (Attachment 2)	4/15/92	None	5/06/92 (Attachment 3)
1992 October Quarterly (7/1/92-9/30/92)	9/21/92 (Attachment 4)	10/15/92	None	11/02/92 (Attachment 5)
1992 Year End (12/1/92-12/31/92)	12/28/92 (Attachment 7)	1/31/93	2/18/93 (Attachment 8)	3/16/93 (Attachment 10)

FEDERAL ELECTION COMMISSION
1991-1992

INDEX OF DISCLOSURE DOCUMENTS - (C)

Attachment 1
Page 1 of 4

DATE 21APR95

PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
					TYPE OF FILER	
NORTH DAKOTA REPUBLICAN PARTY				ID #C00018929	PARTY QUALIFIED	
CONNECTED ORGANIZATION: NONE						
1991	MID-YEAR REPORT	80,703	59,809	1JAN91 -30JUN91	19	91FEC/708/0754
	MID-YEAR REPORT - AMENDMENT	80,703	59,809	1JAN91 -30JUN91	10	92FEC/737/4716
	REQUEST FOR ADDITIONAL INFORMATION			1JAN91 -30JUN91	2	91FEC/724/3582
	YEAR-END	102,067	115,914	1JUL91 -31DEC91	5	92FEC/737/4711
	YEAR-END - AMENDMENT	102,067	115,914	1JUL91 -31DEC91	26	92FEC/753/5337
	REQUEST FOR ADDITIONAL INFORMATION			1JUL91 -31DEC91	2	92FEC/743/4942
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL91 -31DEC91	3	92FEC/748/2424
	REQUEST FOR ADDITIONAL INFORMATION			1JUL91 -31DEC91	2	92FEC/765/4853
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL91 -31DEC91	3	92FEC/772/3602
1992	MISCELLANEOUS REPORT TO FEC			9JAN92	1	92FEC/726/0269
	MISCELLANEOUS NOTICE FROM FEC			6NOV92	1	92FEC/802/1383
	APRIL QUARTERLY	175,476	121,241	1JAN92 -15APR92	28	92FEC/753/5309
	APRIL QUARTERLY - AMENDMENT	129,439	120,018	1JAN92 -30MAR92	27	94FEC/897/0009
	APRIL QUARTERLY - AMENDMENT	129,439	120,018	1JAN92 -31MAR92	5	94FEC/905/3520
	APRIL QUARTERLY - AMENDMENT	129,439	120,018	1JAN92 -31MAR92	3	94FEC/906/1648
	APRIL QUARTERLY - AMENDMENT	172,241	121,241	1JAN92 -15APR92	16	92FEC/765/3097
	APRIL QUARTERLY - AMENDMENT	172,241	121,241	1JAN92 -15APR92	2	92FEC/816/0009
	APRIL QUARTERLY - AMENDMENT	172,241	121,241	1JAN92 -15APR92	2	93FEC/819/3935
	REQUEST FOR ADDITIONAL INFORMATION			1JAN92 -31MAR92	2	92FEC/757/4584
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN92 -31MAR92	3	92FEC/761/5024
	REQUEST FOR ADDITIONAL INFORMATION			1JAN92 -31MAR92	1	92FEC/776/4792
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN92 -31MAR92	2	92FEC/779/1770
	1ST LETTER INFORMATIONAL NOTICE			1JAN92 -31MAR92	1	92FEC/818/0715
	REQUEST FOR ADDITIONAL INFORMATION			1JAN92 -31MAR92	2	94FEC/901/2153
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN92 -31MAR92	2	94FEC/904/3083
	JULY QUARTERLY	122,440	101,482	1APR92 - 1JUL92	19	92FEC/766/0003
	JULY QUARTERLY - AMENDMENT	158,243	110,653	1APR92 -30JUN92	26	94FEC/897/0003
	JULY QUARTERLY - AMENDMENT	158,243	110,653	1APR92 -30JUN92	5	94FEC/905/3525
	JULY QUARTERLY - AMENDMENT	158,243	110,653	1APR92 -30JUN92	3	94FEC/906/1651
	JULY QUARTERLY - AMENDMENT	122,440	102,952	1APR92 - 1JUL92	16	92FEC/816/0012
	REQUEST FOR ADDITIONAL INFORMATION			1APR92 -30JUN92	3	94FEC/901/2150
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR92 -30JUN92	4	94FEC/904/3094
	REQUEST FOR ADDITIONAL INFORMATION			1APR92 - 1JUL92	2	92FEC/776/4794
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR92 - 1JUL92	3	92FEC/779/1772
	OCTOBER QUARTERLY	43,022	97,812	1JUL92 - 1OCT92	17	92FEC/802/0918
	OCTOBER QUARTERLY - AMENDMENT	131,549	121,171	1JUL92 -30SEP92	22	94FEC/896/5524
	OCTOBER QUARTERLY - AMENDMENT	131,549	121,171	1JUL92 -30SEP92	23	94FEC/906/1654
	OCTOBER QUARTERLY - AMENDMENT	113,129	97,812	1JUL92 - 1OCT92	18	93FEC/819/4125
	OCTOBER QUARTERLY - AMENDMENT	113,022	97,812	1JUL92 - 1OCT92	20	93FEC/831/3534
	OCTOBER QUARTERLY - AMENDMENT	-	-	1JUL92 - 1OCT92	2	93FEC/836/5314
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL92 -30SEP92	2	93FEC/836/4320
	REQUEST FOR ADDITIONAL INFORMATION			1JUL92 -30SEP92	3	94FEC/901/2147
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL92 -30SEP92	3	94FEC/904/3091
	REQUEST FOR ADDITIONAL INFORMATION			1JUL92 - 1OCT92	2	92FEC/803/5399

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COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES TYPE OF FILER	# OF PAGES LOCATION
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL92 - 1OCT92	3 92FEC/816/3491
	REQUEST FOR ADDITIONAL INFORMATION			1JUL92 - 1OCT92	3 93FEC/822/4544
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL92 - 1OCT92	4 93FEC/829/0350
	REQUEST FOR ADDITIONAL INFORMATION			1JUL92 - 1OCT92	1 93FEC/833/1185
	REQUEST FOR ADDITIONAL INFORMATION			1OCT92 - 1DEC92	5 93FEC/818/5072
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1OCT92 - 1DEC92	6 93FEC/823/0377
	REQUEST FOR ADDITIONAL INFORMATION			1OCT92 - 1DEC92	2 93FEC/833/1182
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1OCT92 - 1DEC92	3 93FEC/836/4317
	POST-SPECIAL - AMENDMENT	182,925	204,658	1OCT92 - 30NOV92	23 94FEC/890/2306
	POST-SPECIAL - AMENDMENT	182,925	204,658	1OCT92 - 30NOV92	23 94FEC/896/5501
	POST-SPECIAL - AMENDMENT	182,925	204,658	1OCT92 - 30NOV92	26 94FEC/906/1677
	REQUEST FOR ADDITIONAL INFORMATION			1OCT92 - 30NOV92	3 94FEC/901/2144
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1OCT92 - 30NOV92	3 94FEC/904/3088
	POST-SPECIAL	205,136	226,699	1OCT92 - 1DEC92	20 92FEC/813/4945
	POST-SPECIAL - AMENDMENT	130,136	226,699	1OCT92 - 1DEC92	5 92FEC/816/0003
	POST-SPECIAL - AMENDMENT	130,136	97,812	1OCT92 - 1DEC92	2 93FEC/819/3937
	POST-SPECIAL - AMENDMENT	112,136	231,242	1OCT92 - 1DEC92	20 93FEC/831/3664
	POST-SPECIAL - AMENDMENT	-	-	1OCT92 - 1DEC92	1 93FEC/837/0147
	YEAR-END	0	0	1DEC92 - 31DEC92	3 93FEC/831/3531
	YEAR-END - AMENDMENT	-	-	1DEC92 - 31DEC92	1 93FEC/837/0146
	YEAR-END - AMENDMENT	4,091	43,423	1DEC92 - 31DEC92	9 94FEC/890/2329
	YEAR-END - AMENDMENT	4,091	43,423	1DEC92 - 31DEC92	10 94FEC/906/1703
	NOTICE OF FAILURE TO FILE			2DEC92 - 31DEC92	1 93FEC/823/5399
	REQUEST FOR ADDITIONAL INFORMATION			1DEC92 - 31DEC92	1 93FEC/833/1180
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1DEC92 - 31DEC92	2 93FEC/836/4322
	REQUEST FOR ADDITIONAL INFORMATION			1DEC92 - 31DEC92	3 94FEC/901/2141
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1DEC92 - 31DEC92	3 94FEC/904/3085
	TOTAL	889,625	0 977,051	0	549 TOTAL PAGES

All Reports Have Been Reviewed.

Outstanding Debts And Obligations Owed To/By the Committee: \$0

Cash-On-Hand as of 12/31/92: \$93,983

FEDERAL ELECTION COMMISSION
1993-1994

Attachment #1

DATE 20APR95

SEE INDEX OF DISCLOSURE DOCUMENTS - (C)

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PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
				TYPE OF FILER		
NORTH DAKOTA REPUBLICAN PARTY				ID #C00018929	PARTY QUALIFIED	
CONNECTED ORGANIZATION: NONE						
1993	MID-YEAR REPORT	187,641	192,170	1JAN93 -30JUN93	43	93FEC/854/4854
	MID-YEAR REPORT - AMENDMENT	187,641	192,170	1JAN93 -30JUN93	32	94FEC/889/1747
	REQUEST FOR ADDITIONAL INFORMATION			1JAN93 -30JUN93	2	94FEC/887/1604
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN93 -30JUN93	2	94FEC/889/4670
	YEAR-END	173,256	179,458	1JUL93 -31DEC93	39	94FEC/880/3622
	YEAR-END - AMENDMENT	173,256	179,458	1JUL93 -31DEC93	4	94FEC/897/0763
	REQUEST FOR ADDITIONAL INFORMATION			1JUL93 -31DEC93	3	94FEC/889/3672
1994	STATEMENT OF ORGANIZATION - AMENDMENT			22APR94	2	94FEC/894/2013
	APRIL QUARTERLY	91,878	88,817	1JAN94 -31MAR94	30	94FEC/895/2036
	APRIL QUARTERLY - AMENDMENT	91,878	88,817	1JAN94 -31MAR94	31	94FEC/902/2573
	APRIL QUARTERLY - AMENDMENT	91,878	88,817	1JAN94 -31MAR94	2	94FEC/909/5388
	REQUEST FOR ADDITIONAL INFORMATION			1JAN94 -31MAR94	2	94FEC/901/2139
	JULY QUARTERLY	108,746	110,313	1APR94 -30JUN94	24	94FEC/909/5390
	JULY QUARTERLY - AMENDMENT	108,746	110,313	1APR94 -30JUN94	12	94FEC/924/5017
	OCTOBER QUARTERLY	53,009	46,015	1JUL94 -30SEP94	19	94FEC/931/2415
	POST-GENERAL	41,342	48,141	1OCT94 -28NOV94	14	94FEC/952/2630
	YEAR-END	14,740	16,687	29NOV94 -31DEC94	10	95FEC/957/2252
	TOTAL	670,612	0 681,601	0	271	TOTAL PAGES

All Reports Have Been Reviewed.

Outstanding Debts Owed To/By the Committee as of 12/31/94: \$0

Cash-On-Hand as of 12/31/94: \$1117

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FEDERAL ELECTION COMMISSION
1995-1996

Attachment #1
4 of 4

DATE 20APR95

EE INDEX OF DISCLOSURE DOCUMENTS - (C)

PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
				TYPE OF FILER		
NORTH DAKOTA REPUBLICAN PARTY				ID #C00018929	PARTY QUALIFIED	
CONNECTED ORGANIZATION: NONE				23FEB95	2	95FEC/967/1732
1995 STATEMENT OF ORGANIZATION - AMENDMENT						
TOTAL		0	0	0	2	TOTAL PAGES

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QUARTERLY REPORT NOTICE

ATTACHMENT #2

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

March 20, 1992

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
April Quarterly	01/01/92-03/31/92	04/15/92	04/15/92

WHO MUST FILE

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in April.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election, must also file a Pre-Election Report, if the activity was not previously reported. See January 1992 Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

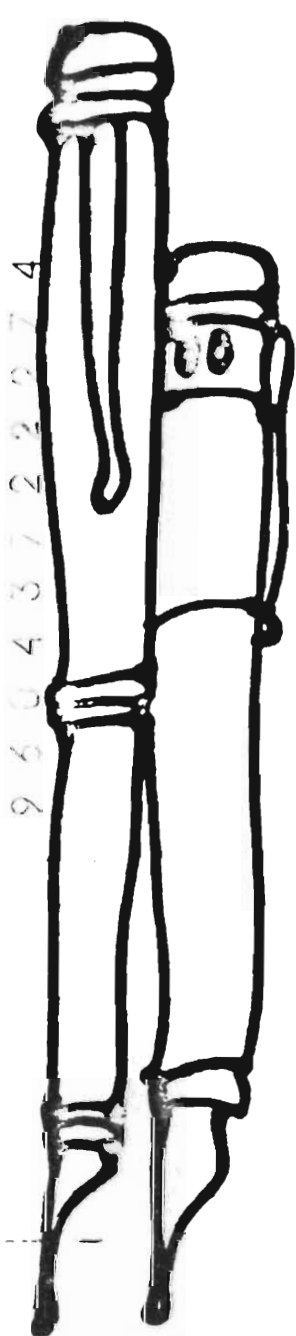
COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420



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REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

MAY 6 8 50 AM '92

ATTACHMENT #3
Page 1 of 2

1. NAME OF COMMITTEE (SEE INSTRUCTIONS) North Dakota Republican Party ADDRESS (Number and street) (Check if different than previously reported) Russell Building Highway 83 PO Box 1817 CITY, STATE AND ZIP CODE Bismarck North Dakota 58502	2. FEC IDENTIFICATION NUMBER C00018929 1 ☐ This committee qualified as a non-federally committee during this reporting period
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4. TYPE OF REPORT

- (a) ☒ April 15 Quarterly Report
- ☐ July 15 Quarterly Report
- ☐ October 15 Quarterly Report
- ☐ January 31 Year End Report
- ☐ July 31 Mid Year Report (Non-election Year Only)
- ☐ Termination Report
- Monthly Report Due On:**
- | | | |
|--------------------------------------|---------------------------------------|--------------------------------------|
| ☐ February 20 | ☐ June 20 | ☐ October 20 |
| ☐ March 20 | ☐ July 20 | ☐ November 20 |
| ☐ April 20 | ☐ August 20 | ☐ December 20 |
| ☐ May 20 | ☐ September 20 | ☐ January 21 |
- ☐ Twelfth day report preceding _____ (Type of Election)
 election on _____ in the State of _____
- ☐ Thirtieth day report following the General Election on _____
 in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Covering Period <u>January 1, 1992</u> to <u>April 15, 1992</u>			
6(a) Cash on Hand January 1, 1992			\$ 8087.97
6(b) Cash on Hand at Beginning of Reporting Period	\$ 8087.97		
6(c) Total Receipts (from Line 10)	\$ 175476.32		\$ 175476.32
6(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(c) and 6(d) for Column B)	\$ 183464.29		\$ 183464.29
7. Total Disbursements (from Line 20)	\$ 121241.43		\$ 121241.43
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 62322.86		\$ 62322.86
9. Debts and Obligations Owed TO the Committee (Provide all on Schedule C and/or Schedule D)	\$		
10. Debts and Obligations Owed BY the Committee (Provide all on Schedule C and/or Schedule D)	\$		

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Yvonne Kroll

Signature of Treasurer

Date
4/30/92

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 6437g.

FEC FORM 3X
(revised 4/87)

DETAILED SUMMARY PAGE **OF RECEIPTS AND DISBURSEMENTS** **PAGE 2, FEC FORM 28X**

(FEBRUARY 1992)

NAME OF COMMITTEE North Dakota Republican Party Federal Comm		REPORT COVERING PERIOD FROM 1-1-92 TO 4-15-92	
		COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
1. Itemized (see Schedule A)		50650.00	50650.00
2. Unitemized		109143.08	109143.08
3. Total (add 1 and 2) >		159793.08	159793.08
b. Political Party Committees			
c. Other Political Committees (such as PACs)			
d. Total Contributions (add a 1, b and c) >		159793.08	159793.08
12. Transfers From Affiliated/Other Party Committees			
13. All Loans Received			
14. Loan Repayments Received			
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			
17. Other Federal Receipts (Dividends, Interest, etc.)		133.84	133.84
18. Transfers from Nonfederal Account for Joint Activity		15549.40	15549.40
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >		175476.32	175476.32
20. Total Federal Receipts (subtract line 18 from line 19) >		159926.92	159926.92
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
1. Federal Share		7388.98	7388.98
2. Non-Federal Share		12314.97	12314.97
b. Other Federal Operating Expenditures		101537.48	101437.48
c. Total Operating Expenditures (Add a 1, a 2, and b) >		121241.43	121241.43
22. Transfers to Affiliated/Other Party Committees			
23. Contributions to Federal Candidates/Committees and Other Political Committees			
24. Independent Expenditures (see Schedule E)			
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (see Schedule F)			
26. Loan Repayments Made			
27. Loans Made			
28. Refunds of Contributions To:			
a. Individual/Persons Other Than Political Committees			
b. Political Party Committees			
c. Other Political Committees (such as PACs)			
d. Total Contribution Refunds (Add a, b and c) >			
29. Other Disbursements			
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >		121241.43	121241.43
31. Total Federal Disbursements (subtract line 21 a 1 from line 30) >		105692.03	105692.03
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)		159793.08	159793.08
33. Total Contribution Refunds (from line 28d)			
34. Net Contributions (other than loans)(subtract line 33 from 32)		159793.08	159793.08
35. Total Federal Operating Expenditures (add 21 a 1 and 21 b) >		121241.43	121241.43
36. Offsets to Operating Expenditures (from line 15)			
37. Net Operating Expenditures (subtract line 36 from 35) >		121241.43	121241.43

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QUARTERLY REPORT NOTICE

ATTACHMENT #4
PAGE 1 OF 2

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

September 21, 1992

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
October Quarterly	07/01/92 - 09/30/92	10/15/92	10/15/92

WHO MUST FILE

Party committees and PACs (nonconnected committees and separate segregated funds) filing on a quarterly basis must file a Quarterly Report in October.

PRE-ELECTION REPORTING

Committees which make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See the January 1992 Record.

LAST-MINUTE INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election.

CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from quarterly to monthly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

REPORTING BANK LOANS

Bank loans must be reported on Schedule C-1. Call the number below to request forms.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

PARTIES AND PACS

OCTOBER QUARTERLY

COMPLIANCE

TREASURERS OF POLITICAL COMMITTEES ARE RESPONSIBLE FOR FILING ALL REPORTS ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES FILING ILLEGIBLE REPORTS OR USING NON-FEC FORMS WILL BE REQUIRED TO REFILE.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

ATTACHMENT #5

Page 1 of 2

COMMITTEE
 YVONNE M KROLL
 NORTH DAKOTA REPUBLICAN PARTY
 P O BOX 1917 BISMARCK ND 58103
 BISMARCK ND 58103

NOV 29 1992
 1. FEC IDENTIFICATION NUMBER

3. This committee qualified as a multicandidate committee DURING THIS Reporting Period on (date)

4. TYPE OF REPORT

(a) April 15 Quarterly Report

July 15 Quarterly Report

☒ October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On

February 20	June 20	October 20
March 20	July 20	November 20
April 20	August 20	December 20
May 20	September 20	January 21

Twelfth day report preceding _____ (Type of Election)

election on _____ in the State of _____

Thirtieth day report following the General Election on _____

in the State of _____

(b) Is this Report an Amendment?

YES ☒ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period <u>7-1-92</u> through <u>12-31-92</u>		
6	(a) Cash on Hand January 1, 19 <u>92</u>		\$ 8,000.00
	(b) Cash on Hand at Beginning of Reporting Period	\$ 23,700.00	
	(c) Total Receipts (from Line 19)	\$ 113,000.94	\$ 410,939.47
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 196,300.94	\$ 500,307.47
7	Total Disbursements (from Line 30)	\$ 77,600.00	\$ 300,536.00
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 98,400.94	\$ 181,771.47
9	Debts and Obligations Owed TO the Committee (Remove all on Schedule C and or Schedule D)	\$	
10	Debts and Obligations Owed BY the Committee (Remove all on Schedule C and or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer

Yvonne M. Kroll

Date

10/29/92

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 1/1/91)

DETAILED SUMMARY PAGE **OF RECEIPTS AND DISBURSEMENTS** **PAGE 2, PEC FORM 5X**

ATTACHMENT #5
PAGE 2 of 2

(Revised 11/91)

NAME OF COMMITTEE <i>Al. Republican Party</i>		REPORT COVERING PERIOD FROM <i>11/1/93</i> TO <i>11/1/94</i>	
		COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts			
11 Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
1. Itemized (use Schedule A)			
2. Unitemized			
b. Total	(add 1 and 2) >	<i>1,881,110.00</i>	<i>616,300.00</i>
b. Political Party Committees			<i>224,571.74</i>
c. Other Political Committees (such as PACs)			<i>226,231.74</i>
d. Total Contributions	(add a, b and c) >	<i>1,881,110.00</i>	<i>286,231.74</i>
12 Transfers From Affiliated/Other Party Committees			<i>55,000.00</i>
13 All Loans Received			
14 Loan Repayments Received			
15 Offsets To Operating Expenditures (Refunds, Rebates, etc.)			
16 Refunds of Contributions Made to Federal Candidates and Other Political Committees			
17 Other Federal Receipts (Dividends, Interest, etc.)		<i>131.11</i>	<i>2,631.00</i>
18 Transfers from Nonfederal Account for Joint Activity		<i>447,110.03</i>	<i>703,424.43</i>
Total Receipts	(add 11d, 12, 13, 14, 15, 16, 17, and 18) >	<i>1,130,221.14</i>	<i>410,737.47</i>
Total Federal Receipts	(subtract line 18 from line 19) >	<i>1,128,110.03</i>	<i>380,738.11</i>
B. Disbursements			
Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule M4)			
1. Federal Share		<i>530,717.17</i>	<i>710,340.00</i>
2. Non-Federal Share		<i>447,110.03</i>	<i>6,111,000.00</i>
b. Other Federal Operating Expenditures			<i>180,372.48</i>
c. Total Operating Expenditures	(Add a, b and c) >	<i>977,827.20</i>	<i>3,901,340.00</i>
Transfers to Affiliated/Other Party Committees			
Contributions to Federal Candidates/Committees and Other Political Committees			
Independent Expenditures (use Schedule E)			
Coordinated Expenditures Made by Party Committees (2 U.S.C. 441ad) (use Schedule F)			
Loan Repayments Made			
Loans Made			
Refunds of Contributions To:			
a. Individual/Persons Other Than Political Committees			
b. Political Party Committees			
c. Other Political Committees (such as PACs)			
d. Total Contribution Refunds	(Add a, b and c) >		
29 Other Disbursements			
30 Total Disbursements	(add 21c, 22, 23, 24, 25, 26, 27, 28d and 29) >	<i>977,827.20</i>	<i>3,901,340.00</i>
31 Total Federal Disbursements	(subtract line 21 a + from line 30) >	<i>977,827.20</i>	<i>2,500,940.00</i>
III. Net Contributions Operating Expenditures			
32 Total Contributions (other than loans) (from line 11d)		<i>1,881,110.00</i>	<i>286,231.74</i>
33 Total Contribution Refunds (from line 28d)		<i>0</i>	<i>0</i>
34 Net Contributions (other than loans) (subtract line 33 from 32)		<i>1,881,110.00</i>	<i>286,231.74</i>
35 Total Federal Operating Expenditures	(add 21 a + and 21 b) >	<i>977,827.20</i>	<i>2,500,940.00</i>
36 Offsets to Operating Expenditures (from line 15)		<i>0</i>	<i>0</i>
37 Net Operating Expenditures	(subtract line 36 from 35) >	<i>977,827.20</i>	<i>2,500,940.00</i>

9200919



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20543

NS-1

NOV 6 1992

Yvonne M. Kroll, Treasurer
North Dakota Republican Party
PO Box 1917 Russel Bldg. Hwy. 82W
Bismarck, ND 58503

Identification Number: C00018929

Reference: 1991-1992 Election Cycle Reports

Dear Ms. Kroll:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

<u>Report Type</u>	<u>Due Date</u>	<u>Date Filed</u>
April Quarterly (1/1/92-3/31/92)	4/15/92	5/6/92
October Quarterly (7/1/92-9/30/92)	10/15/92	Not filed.

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Stephen Cohen on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Lisa J. Stolaruk
Chief, Party/Non-Party Branch
Reports Analysis Division

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YEAR-END

ATTACHMENT #7

Page 1 of 2

REPORT NOTICE

FEDERAL ELECTION COMMISSION

PARTIES AND PACS

December 28, 1992

QUARTERLY AND MONTHLY FILERS

REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	11/24/92 - 12/31/92	01/31/93	01/31/93

WHO MUST FILE

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report.

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms will be required to refile.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)

9604372282

1993 REPORTING SCHEDULE
PACs AND PARTIES*

I. SEMIANNUAL FILERS**

REPORT	PERIOD COVERED	REG./CERT. MAILING DATE***	FILING DATE
Mid-Year	01/01/93 - 06/30/93	07/31/93	07/31/93
Year-End	07/01/93 - 12/31/93	01/31/94	01/31/94

II. MONTHLY FILERS

REPORT	PERIOD COVERED	REG./CERT. MAILING DATE***	FILING DATE
February	01/01/93 - 01/31/93	02/20/93	02/20/93
March	02/01/93 - 02/28/93	03/20/93	03/20/93
April	03/01/93 - 03/31/93	04/20/93	04/20/93
May	04/01/93 - 04/30/93	05/20/93	05/20/93
June	05/01/93 - 05/31/93	06/20/93	06/20/93
July	06/01/93 - 06/30/93	07/20/93	07/20/93
August	07/01/93 - 07/31/93	08/20/93	08/20/93
September	08/01/93 - 08/31/93	09/20/93	09/20/93
October	09/01/93 - 09/30/93	10/20/93	10/20/93
November	10/01/93 - 10/31/93	11/20/93	11/20/93
December	11/01/93 - 11/30/93	12/20/93	12/20/93
Year-End	12/01/93 - 12/31/93	01/31/94	01/31/94

*Committees that wish to change their filing status must notify the Commission in writing.

**Committees that filed quarterly reports in 1992 are only required to file semiannually in 1993.

***Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420

9604372233



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

ATTACHMENT 18

20-7

February 18, 1993

Yvonne M. Kroll, Treasurer
North Dakota Republican Party
Russel Building, Hwy 83 N
P.O. Box 1917
Bismarck, ND 58502

Identification Number: C00018929

Reference: Year End Report (12/2/92-12/31/92)

Dear Ms. Kroll:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may be in violation of 2 U.S.C. §434(a) for failing to file the above referenced Report of Receipts and Disbursements. You were previously notified of the due date for this report.

It is important that you file this report immediately with the Federal Election Commission, 999 E Street, NW, Washington, DC 20463 (or with the Clerk of the House or the Secretary of the Senate, as appropriate). A copy of the report or its relevant portions should also be filed with the Secretary of State or equivalent state officer (see 11 CFR §§108.2, 108.3, 108.4).

Although the Commission may initiate an audit or legal enforcement action concerning this matter, your prompt response and a letter of explanation will be taken into consideration.

If you have any questions, please contact Stephen Cohen on our toll-free number (800) 424-9530. Our local number is (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

93038285399

TELECON

ANALYST: Stephen Cohen

CONVERSATION WITH: Kathlene Schafer-Imhoff, Finance Director

COMMITTEE: North Dakota Republican Party

DATE: March 15, 1993

SUBJECT(S): Filing of 1992 Year End Report

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The analyst called Ms. Schafer-Imhoff and informed her that the Committee needed to file the 1992 Year End Report immediately. The analyst stated that it was imperative that the report be filed by March 20, 1993. Ms. Schafer-Imhoff told the analyst that a package of amendments, including the 1992 Year End Report, had been sent to the Commission.

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

ATTENTION #10
Page 1 of 2

Mar 16 10 05 AM '93

NAME OF COMMITTEE (in ink)
North Dakota Republican Party
ADDRESS (number and street) ☐ Check if different than previously reported
PO Box 1912
CITY, STATE and ZIP CODE
BIAMACH ND 58502

1. FEC IDENTIFICATION NUMBER

C00018929

3. ☐ This committee qualified as a multi-state committee DURING THIS Reporting Period on (date)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☒ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☐ February 28 ☐ June 30 ☐ October 30
☐ March 31 ☐ July 31 ☐ November 30
☐ April 30 ☐ August 31 ☐ December 31
☐ May 31 ☐ September 30 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election)

election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment?

☐ YES

☒ NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>12-01-92</u> through <u>12-31-92</u>		
6. (a) Cash on Hand January 1, 19__		\$ 8807.97
(b) Cash on Hand at Beginning of Reporting Period	\$ -24599.55	
(c) Total Receipts (from Line 19)	\$ 00	\$ 519841.10
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ -24599.55	\$ 528649.07
7. Total Disbursements (from Line 30)	\$ 00	\$ 553248.62
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ -24599.55	\$ -24599.55
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Yvonne M. Kroll

Signature of Treasurer

Yvonne M. Kroll

Date

3/15/93

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 437g.

FEC FORM 1X

DETAILED SUMMARY PAGE **OF RECEIPTS AND DISBURSEMENTS** **PAGE 2, FPC FORM 28**

ATTACHMENT #10
Page 2 of 2

(Forward 1/10/92)

NAME OF COMMITTEE North Dakota Republican Party Federal Comm.		REPORT COVERING PERIOD FROM 1-1-92 TO 4-15-92	
		COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts			
11. Contributions (other than loans) From:			
a. Individuals/Persons Other Than Political Committees			
1. Received (see Schedule A)		50650.00	50650.00
2. Unreceived		109143.08	109143.08
3. Total (add 1 and 2) >		159793.08	159793.08
b. Political Party Committees			
c. Other Political Committees (such as PACs)			
d. Total Contributions (add a, b, and c) >		159793.08	159793.08
12. Transfers From Affiliated/Other Party Committees			
13. All Loans Received			
14. Loan Repayments Received			
15. Offsets To Operating Expenditures (Refunds, Refunds, etc.)			
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees		133.88	133.88
17. Other Federal Receipts (Childs, Interest, etc.)		15549.40	15549.40
18. Transfers from Nonfederal Account for Joint Activity		775476.32	775476.32
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >		159926.92	159926.92
20. Total Federal Receipts (subtract line 18 from line 19) >			
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H)			
1. Federal Share		7388.98	7388.98
2. Non-Federal Share		12314.97	12314.97
b. Other Federal Operating Expenditures		101437.48	101437.48
c. Total Operating Expenditures (add a, b, and 1) >		121241.43	121241.43
22. Transfers to Affiliated/Other Party Committees			
23. Contributions to Federal Candidates/Committees and Other Political Committees			
24. Independent Expenditures (see Schedule E)			
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(4)) (see Schedule F)			
26. Loan Repayments Made			
27. Loans Made			
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			
b. Political Party Committees			
c. Other Political Committees (such as PACs)			
d. Total Contribution Refunds (add a, b and c) >			
29. Other Disbursements			
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >		121241.43	121241.43
31. Total Federal Disbursements (subtract line 21 a from line 30) >		105692.03	105692.03
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)		159793.08	159793.08
33. Total Contribution Refunds (from line 28d)			
34. Net Contributions (other than loans)(subtract line 33 from 32)		159793.08	159793.08
35. Total Federal Operating Expenditures (add 21 a and 21 b) >		121241.43	121241.43
36. Offsets to Operating Expenditures (from line 15)			
37. Net Operating Expenditures (subtract line 36 from 35) >		121241.43	121241.43

920375352312037

RECEIVED
FEDERAL ELECTION
COMMISSION
FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20045-21
SEP 26 4 21 PM '95

FIRST GENERAL COUNSEL'S REPORT

SENSITIVE

RAD Referral: #95NF-15
Date Activated: August 29, 1995
Staff Member: Craig D. Reffner
Eric S. Brown

SOURCE: INTERNALLY GENERATED

RESPONDENTS: North Dakota Republican Party and
David P. Vanderscoff, as treasurer

RELEVANT STATUTE: 2 U.S.C. § 434(a)(4)(A)(i)

INTERNAL REPORTS CHECKED: Disclosure Reports

FEDERAL AGENCIES CHECKED: None

I. GENERATION OF MATTER

On April 26, 1995, the Reports Analysis Division ("RAD") referred the North Dakota Republican Party and David P. Vanderscoff, as treasurer, ("Respondents") to this Office for failing to timely file the 1992 April Quarterly Report, 1992 October Quarterly Report, and the 1992 Year End Report. See Attachment 1.¹

II. FACTUAL AND LEGAL ANALYSIS

Based on the Factual and Legal Analysis, see Attachment 2,

1. The referral notes that the Committee changed treasurers in September 1993, but failed to notify the Commission of this change until April 1994, approximately seven months later. In light of the Committee's eventual notification to the Commission of this change, and in light of the fact that the Committee properly notified the Commission of its most recent change in treasurers, this Office makes no recommendation regarding this apparent violation of 2 U.S.C. § 433(c).

96043722288

this Office recommends the Commission find reason to believe the Respondents violated 2 U.S.C. § 434(a)(4)(A)(i).

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

96043722289

IV. RECOMMENDATIONS

1. Open a MUR.
2. Find reason to believe the North Dakota Republican Party and David P. Vanderscoff, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the attached Factual and Legal Analysis, conciliation agreement and the appropriate letter.

Lawrence M. Noble
General Counsel

Date

9/26/95

BY:


Lois G. Lerner
Associate General Counsel

Attachments

1. Referral Materials
2. Factual and Legal Analysis
3. Proposed Conciliation Agreement

9604372200

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

North Dakota Republican Party and
David P. Vanderscoff, as treasurer.

)
)
)
)

RAD Referral
#95NF-15

MUR 4269

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on October 2, 1995, the Commission decided by a vote of 6-0 to take the following actions in RAD Referral #95NF-15:

1. Open a MUR.
2. Find reason to believe the North Dakota Republican Party and David P. Vanderscoff, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the Factual and Legal Analysis, conciliation agreement and the appropriate letter, as recommended in the General Counsel's Report dated September 26, 1995.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

Attest:

10-3-95
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Tues., Sept. 26, 1995 4:21 p.m.
Circulated to the Commission: Wed., Sept. 27, 1995 11:00 a.m.
Deadline for vote: Mon., Oct. 02, 1995 4:00 p.m.

lrd



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

October 5, 1995

David P. Vanderscoff, Treasurer
North Dakota Republican Party
P. O. Box 1917
Bismarck, ND 58502

RE: MUR 4269
North Dakota Republican Party and
David P. Vanderscoff, as treasurer

Dear Mr. Vanderscoff:

On October 2, 1995, the Federal Election Commission found that there is reason to believe the North Dakota Republican Party ("Committee") and you, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

Celebrating the Commission's 20th Anniversary

YESTERDAY, TODAY AND TOMORROW
DEDICATED TO KEEPING THE PUBLIC INFORMED

David P. Vanderscoff, Treasurer
Page 2

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Craig D. Reffner, the attorney assigned to this matter, at (202) 219-3400.

Sincerely,


Danny L. McDonald
Chairman

Enclosures
Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

9604372223

**FEDERAL ELECTION COMMISSION
FACTUAL AND LEGAL ANALYSIS**

MUR 4269

RESPONDENTS: North Dakota Republican Party and
David P. Vanderscoff, as treasurer

This matter was generated based on information ascertained by the Federal Election Commission (the "Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. § 437g(a)(2).

96043722204
The Federal Election Campaign Act of 1971, as amended (the "Act") requires each treasurer of a political committee to file reports of receipts and disbursements. 2 U.S.C. § 434(a)(1). In any calendar year in which a regularly scheduled general election is held, all political committees other than authorized committees of a candidate that choose not to file on a monthly basis shall file quarterly reports which shall be filed no later than the fifteenth day after the last day of each calendar quarter, except that the report for the quarter ending December 31 of such calendar year shall be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(4)(A)(i).

The North Dakota Republican Party (the "Committee") is a political committee that is not authorized by any candidate. In 1992, the Committee filed on a quarterly basis. The 1992 April Quarterly Report was due on April 15, 1992. 2 U.S.C. § 434(a)(4)(A)(i). The Committee, however, filed the 1992 April Quarterly Report, which disclosed receipts of \$175,476 and disbursements of \$121,241, on May 6, 1992, 21 days late. The 1992 October Quarterly Report was due on October 15, 1992.

2 U.S.C. § 434(a)(4)(A)(i). The Committee, however, filed the 1992 October Quarterly Report, which disclosed receipts of \$43,022 and disbursements of \$97,812, on November 2, 1992, 18 days late. The 1992 Year End Report was due on January 31, 1993. 2 U.S.C. § 434(a)(4)(A)(i). The Committee filed the 1992 Year End Report, which disclosed receipts of \$4,091 and disbursements of \$43,423, on March 16, 1993, 44 days late.

Based on the foregoing, there is reason to believe the North Dakota Republican Party and David P. Vanderscoff, as treasurer, violated 2 U.S.C. § 434(a)(4)(A)(i).

9604372225



THE NORTH DAKOTA REPUBLICAN PARTY

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL

(701) 255-0030, (701) 255-7513 (fax)

Oct 13 10 24 AM '95
Bismarck, ND 58502

October 10, 1995

Danny L. McDonald
Chairman
Federal Election Commission
Washington, D.C. 20463

RE: MUR 4269
North Dakota Republican Party
David P. Vanderscoff, Treasurer

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIA
Oct 13 10 07 AM '95

Dear Mr. McDonald:

This afternoon, I received and read your letter of October 5, 1995, which states that the North Dakota Republican Party and I violated a provision of the Federal Election Campaign Act of 1971. This concerns me greatly, especially since I was elected Treasurer of the North Dakota Republican Party on February 12, 1995, and was not aware of such a violation at that time.

I will commence an immediate and thorough investigation of this matter so that I can discuss it with you intelligently, but I beg your indulgence, understanding, and consideration, and, hereby, request an extension of thirty (30) days to complete the investigation, due to the following facts: the North Dakota Republican Party has just completed moving its office and many records are still in boxes, apparently including some of those relating to the period covered in the violation; I do not yet know the specific individuals, facts, and circumstances related to the violation; and I will be traveling on business from October 14, 1995 through October 28, 1995.

Please grant the extension and fax a reply to me at my business office (fax 701-255-6418) as soon as possible. Thank you.

Sincerely,


David P. Vanderscoff, Treasurer
North Dakota Republican Party

9604372206



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 12, 1995

David P. Vanderscoff, Treasurer
North Dakota Republican Party
P. O. Box 1917
Bismarck, ND 58502

RE: MUR 4269
North Dakota Republican Party and
David P. Vanderscoff, as treasurer

Dear Mr. Vanderscoff:

This is in response to your letter by facsimile dated October 10, 1995, which we received on October 11, 1995, requesting an extension of time to respond to the notification in the above-captioned matter. After considering the circumstances presented in your letter, the Office of the General Counsel has granted the requested extension. Accordingly, your response is due by the close of business on November 6, 1995.

If you have any questions, please contact me at (202) 219-3400.

Sincerely,

A handwritten signature in cursive script, reading "Eric S. Brown", is positioned above the typed name.

Eric S. Brown
Paralegal

9604372227



Vanderscoff Enterprises, Inc.

Management Services, Mergers and Acquisitions, Insurance and Reinsurance
3333 East Broadway, Suite 1202 • Box 7090 • Minnerech, ND 58507
Phone: 701-255-6417 Fax: 701-255-6418

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

NOV 1 9 00 AM '95

November 6, 1995

Danny L. McDonald
Chairman
Federal Election Commission
Washington, D.C. 20463

RE: MUR 4269
North Dakota Republican Party and
David P. Vanderscoff, Treasurer

Dear Mr. McDonald:

As I mentioned in my letter of October 10, 1995, I was traveling from October 14 through October 28, 1995. I returned to the office on Monday, October 30, 1995 to find that little progress had been made during my trip concerning the investigation of the matter which you addressed in your letter to me dated October 5, 1995.

Since the office of the North Dakota Republican Party has been moved twice in the past 18 months, including once in the past 2 months, many old files and reports remained in unopened boxes, until last week when I returned to supervise the above investigation. Unfortunately, we have still not located two of the reports in question, but all of the boxes have been unpacked and we are diligently searching.

During the search process, I have initiated discussions with the two key officers of the North Dakota Republican Party who were responsible for the FEC reports in 1992, namely, Kevin Cramer, Chairman, and Yvonne Kroll, Treasurer. There seems to have been extenuating circumstances which caused the delays in the reports in question, but I still do not have all of the facts. I am currently attempting to contact the independent auditors, who I have been informed have more relevant information.

Considering the above, please grant me another extension of 10 days from today; i.e., until November 16, 1995. Thank you for your patience, understanding, and consideration.

Sincerely,

David P. Vanderscoff, Treasurer
North Dakota Republican Party

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FEDERAL ELECTION COMMISSION

Washington, DC 20463

November 8, 1995

David P. Vanderscoff, Treasurer
North Dakota Republican Party
P. O. Box 1917
Bismarck, ND 58502

RE: MUR 4269
North Dakota Republican Party and
David P. Vanderscoff, as treasurer

Dear Mr. Vanderscoff:

This is in response to your letter by facsimile dated November 6, 1995, which we received on November 7, 1995, requesting an additional extension of time to respond to the notification in the above-captioned matter. After considering the circumstances presented in your letter, the Office of the General Counsel has granted the requested extension. Accordingly, your response is due by the close of business on November 16, 1995. Please note, however, that given the Federal Election Commission's responsibilities to act expeditiously, this Office cannot grant any additional requests for extensions of time in this matter.

If you have any questions, please contact me at (202) 219-3400.

Sincerely,

A handwritten signature in cursive script, reading "Eric S. Brown", is positioned above the typed name.

Eric S. Brown
Paralegal

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**THE NORTH DAKOTA
REPUBLICAN PARTY**

(701) 255-0030, (701) 255-7513 (fax)

P.O. Box 1917, Bismarck, ND 58502

November 9, 1995

Danny L. McDonald
Chairman
Federal Election Commission
Washington, D.C. 20463

RE: MUR 4269
North Dakota Republican Party and
David P. Vanderscoff, Treasurer

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

Nov 13 7 40 AM '95

Dear Mr. McDonald:

I have completed my investigation which I referenced in my letters of October 10, 1995 and November 6, 1995. While the facts are clear that our filings were indeed late, the circumstances were extenuating and frankly disturbing.

It seems that, during 1992 and until early 1993, the North Dakota Republican Party had a trusted, seemingly very capable employee who had many responsibilities, including timely filing of FEC reports. Unfortunately, due to the employee being very skillful at concealing her lack of competence and performance, it was not discovered until early 1993 that the employee was actually untrustworthy and incompetent, and was then immediately replaced.

We sincerely regret the late filing of the three FEC reports which you referenced in your letter of October 5, 1995, but I assure you that this was not intentional and the circumstances were indeed unusual and extenuating.

Thank you for your
understanding and consideration.

Sincerely,

David P. Vanderscoff
David P. Vanderscoff, Treasurer
North Dakota Republican Party

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

FEB 13 9 41 AM '96

2/12/96

TWO WAY MEMORANDUM

TO: OGC, Docket

FROM: Rosa E. Swinton
Accounting Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from North Dakota
Republican Party, check number 3364, dated
Jan 31, 1996 and in the amount of \$4,000.00.
Attached is a copy of the check and any correspondence that
was forwarded. Please indicate below the account into which
it should be deposited, and the MUR number and name.

TO: Rosa E. Swinton
Accounting Technician

FROM: OGC, Docket By aa

In reference to the above check in the amount of
\$4,000.00, the MUR number is 4269 and in the name of
North Dakota Republican Party. The account into
which it should be deposited is indicated below:

- ☒ Budget Clearing Account (OGC), 95F3875.16
☐ Civil Penalties Account, 95-1099.160
☐ Other: _____

Anita Alexander
Signature

2-13-96
Date

96043722301

3364

N. D. REPUBLICAN PARTY

FEDERAL ACCOUNT
BOX 1917 PH. 701-255-0030
BISMARCK, ND 5850277-2
913-648

Jan 31 1996

PAY
TO THE
ORDER OF

Federal Election Commission

\$ 4,000.00

Four thousand and no/100

DOLLARS



First Bank Bismarck

Member First Bank System

First Bank of North Dakota, National Association
200 North Third
Bismarck, ND 58501

FOR

MUR 4269

David P. Varley
Pay Cash

⑈003364⑈ ⑆091300023⑆163121484105⑈

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

In the Matter of

MUR 4269

FEB 20 10 59 AM '96

North Dakota Republican Party and
David P. Vanderscoff, as treasurer

GENERAL COUNSEL'S REPORT

SENSITIVE

I. BACKGROUND

Attached is a conciliation agreement which has been signed by David P. Vanderscoff, the treasurer of the North Dakota Republican Party. This agreement concerns the Respondents' failure to timely file their 1992 April Quarterly, October Quarterly and Year End Reports.

The attached agreement does not contain any changes from the agreement that the Commission instructed this Office to send to the Respondents on January 23, 1996. A \$4,000 check for the civil penalty has been received.

II. RECOMMENDATIONS

1. Accept the attached conciliation agreement with the North Dakota Republican Party and David P. Vanderscoff, as treasurer.
2. Close the file.
3. Approve the appropriate letter.

Lawrence M. Noble
General Counsel

Date

2/16/96

BY:



Lois G. Lerner
Associate General Counsel

Attachments

1. Conciliation Agreement
2. Photocopy of civil penalty check

Staff Assigned: Craig D. Reffner
Susan M. Mitchell

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
North Dakota Republican Party and) MUR 4269
David P. Vanderscoff, as treasurer.)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 23, 1996, the Commission decided by a vote of 4-0 to take the following actions in MUR 4269:

1. Accept the conciliation agreement with the North Dakota Republican Party and David P. Vanderscoff, as treasurer, as recommended in the General Counsel's Report dated February 16, 1996.
2. Close the file.
3. Approve the appropriate letter, as recommended in the General Counsel's Report dated February 16, 1996.

Commissioners Aikens, Elliott, McDonald, and Thomas voted affirmatively for the decision; Commissioner McGarry did not cast a vote.

Attest:

2/23/96
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Tues., Feb. 20, 1996 10:56 a.m.
Circulated to the Commission: Tues., Feb. 20, 1996 4:00 p.m.
Deadline for vote: Fri., Feb. 23, 1996 4:00 p.m.

lrd

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 29, 1996

David P. Vanderscoff, treasurer
North Dakota Republican Party
P.O. Box 1917
Bismark, ND 58502

RE: MUR 4269
North Dakota Republican Party and
David P. Vanderscoff, as treasurer

Dear Mr. Vanderscoff:

On February 23, 1996, the Federal Election Commission accepted the signed conciliation agreement and civil penalty submitted on your behalf in settlement of a violation of 2 U.S.C. § 434(a)(4)(A)(i), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). Accordingly, the file has been closed in this matter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

Information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission.
See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact me at (202) 219-3400.

Sincerely,


Craig D. Reffner
Attorney

Enclosure
Conciliation Agreement

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
North Dakota Republican Party and) MUR 4269
David P. Vanderscoff, as treasurer)

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the North Dakota Republican Party (the "Committee") and David P. Vanderscoff, as treasurer, ("Respondents") violated 2 U.S.C. § 434(a)(4)(A)(i).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C.

§ 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The North Dakota Republican Party is a political committee within the meaning of 2 U.S.C. § 431(4).

2. David P. Vanderscoff is the treasurer of the North Dakota Republican Party.

3. All political committees other than authorized committees of a candidate that do not file monthly reports shall file

FEDERAL ELECTION
COMMISSION
MAIL ROOM
FEB 8 12 25 PM '96

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quarterly reports, in a calendar year in which a regularly scheduled general election is held, which shall be filed no later than the 15th day after the last day of each calendar quarter, except that the report for the quarter ending on December 31 of such calendar year shall be filed no later than January 31 of the following calendar year. 2 U.S.C. § 434(a)(4)(A)(i).

4. The 1992 April Quarterly Report was due on April 15, 1992. The Committee, however, filed the 1992 April Quarterly Report, which disclosed receipts of \$175,476 and disbursements of \$121,241, on May 6, 1992, 21 days late.

5. The 1992 October Quarterly Report was due on October 15, 1992. The Committee, however, filed the 1992 October Quarterly Report, which disclosed \$43,022 in receipts and \$97,812 in disbursements, on November 2, 1992, 18 days late.

6. The 1992 Year End Report was due on January 31, 1993. The Committee, however, filed the 1992 Year End Report, which disclosed \$4,091 in receipts and \$43,423 in disbursements, on March 16, 1993, 44 days late.

V. Respondents did not file the 1992 April Quarterly, October Quarterly, and Year End Reports in a timely manner, in violation of 2 U.S.C. § 434(a)(4)(A)(i).

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of four thousand dollars (\$4,000), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement

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thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

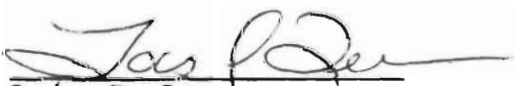
IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

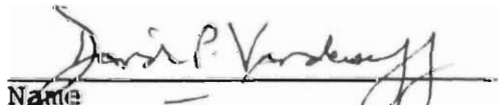
Lawrence M. Noble
General Counsel

BY:


Lois G. Lerner
Associate General Counsel

Date 1-27-96

FOR THE RESPONDENTS:


Name
Position Treasurer

Date 1/31/96



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 4269

DATE FILMED 3-6-96 CAMERA NO. 3

CAMERAMAN JMH

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