



FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20463

THIS IS THE BEGINNING OF MUR # 4219

DATE FILMED 8/23/95 CAMERA NO. 1

CAMERAMAN EES

95043665064

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSELDATE: April 7, 1995ANALYST: **NICK FEDERSPIEL****I. COMMITTEE:**

Dan Frisa for Congress
(C00296608)
Patrick H. O'Sullivan, Treasurer
23 Canyon Lane
Westbury, NY 11590

II. RELEVANT STATUTE:

- A. 2 U.S.C. §434(a)(2)(A)(i)
- B. 2 U.S.C. §434(a)(6)
11 CFR §104.5(f)

III. BACKGROUND**A. Failure to Timely File the 1994 12 Day Pre-General Report**

The Committee failed to file the 1992 12 Day Pre-General Report of Receipts and Disbursements as of Election Day, November 8, 1994. The Committee was notified on October 3, 1994, that the 1994 12 Day Pre-General Report was due October 27, 1994 (Attachment 2). A mailgram was sent to the Committee on October 28, 1994 for failure to file the 1994 12 Day Pre-General Report (Attachment 3). The mailgram informed the Committee that failure to file the report within four (4) business days might result in publication, audit or legal enforcement action. The name of the Committee was published for failure to file the 1994 12 Day Pre-General Report on November 4, 1994 (Attachment 4). On November 15, 1994, a Committee representative, Steven Leventhal, phoned the RAD analyst to say the 12 Day Pre-General Report was forthcoming. Mr. Leventhal again called on November 18, 1994 to inform the analyst that the report would be sent unsigned and the Committee would need to amend the report with the proper signature (Attachment 5). The report was filed on November 21, 1994 (Attachment 6).

B. Failure to File the Required 48-Hour Notifications

The Committee has failed to file the required 48-Hour Notifications ("48-Hour Notices") for forty-three (43) contributions totaling \$69,000 received prior to the 1994 General Election.

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The candidate was involved in the 1994 General Election held on November 8, 1994. Prior Notice was sent to the Committee on October 3, 1994 (Attachment 2). The Notice includes a section titled "48 Hour Notices on Contributions." This section reads "Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of October 20 through November 5. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s)."

Schedule A of the 30 Day Post-General Report indicates that the Committee has failed to file 48-Hour Notices for forty-three (43) contributions received during the aforementioned period (Attachment 7). The following is a list of the contributions for which no 48-Hour Notices were filed:

<u>Contributor Name</u>	<u>Date</u>	<u>Amount</u>
Richard S. Lane	10/24/94	\$2,000
Susan Kelly	10/24/94	\$1,000
John Kelly	10/24/94	\$1,000
Salvatore Frisa	10/26/94	\$1,000
Joan Frisa	10/26/94	\$1,000
Raj Chopra	11/03/94	\$1,000
Daniel Lehner	11/03/94	\$1,000
Remy Lehner	11/03/94	\$1,000
Gerald Kessler	11/04/94	\$1,000
Friends of Newt Gingrich	10/24/94	\$1,000
Friends of John Boehner	10/24/94	\$1,000
McGrath for Congress Committee	10/27/94	\$1,000
Friends of Bob Livingston	10/27/94	\$1,000
Americans for a Republican Majority	11/02/94	\$4,500
Bill McCollum for Congress	11/02/94	\$1,000
Jerry Solomon for Congress	11/02/94	\$1,000
Countdown to Majority	10/24/94	\$1,000
Americans for Free International Trade Political Action Committee	10/24/94	\$1,000
Alliance for American Leadership	10/24/94	\$1,000
Citicorp Voluntary Political Fund-Federal	10/24/94	\$1,000
NFL PAC	10/25/94	\$1,000
American Medical Political Action Committee	10/26/94	\$5,000
Philip Morris	10/26/94	\$1,000
	10/27/94	\$1,000
NYNEX Federal PAC	10/26/94	\$3,000
	10/31/94	\$1,000
US Team PAC	10/26/94	\$1,000
BellSouth Federal Political Action Committee	10/27/94	\$1,500
RJR Political Action Committee	10/27/94	\$2,000
	11/02/94	\$1,000

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Contributor Name	Date	Amount
Twin Laboratories	10/28/94	\$1,500
National Beer Wholesalers Assoc.	10/31/94	\$5,000
Pepsico Concerned Citizens Fund	10/31/94	\$1,000
Team Ameritech PAC	11/02/94	\$1,000
NRA Political Victory Fund	11/02/94	\$4,000
Stone-PAC	11/02/94	\$1,500
Realtors PAC*	11/03/94	\$2,500
AFSA Political Action Committee	11/04/94	\$2,000
UPSPAC	11/04/94	\$3,000
Travelers PAC	11/04/94	\$1,000
National Cable Television PAC	11/04/94	\$2,500
The National Association of Life Underwriters PAC	11/04/94	\$1,000
AILPAC	11/04/94	\$1,000

On December 28, 1994, a Request for Additional Information ("RFAI") was sent to the Committee (Attachment 8). The RFAI notes on an informational basis that the Committee may have failed to file one or more of the required 48-Hour Notices for "last minute" contributions of \$1,000 or more. The RFAI requests the Committee to review their procedures for checking contributions received during the aforementioned time period. Additionally, the RFAI states that although the Commission may take legal steps, any response would be taken into consideration.

On January 19, 1995, the RAD analyst phoned a representative of the Office of Records and Registration, Clerk of the House. The Clerk's representative confirmed that the Committee has failed to file any 48-Hour Notices for the General Election with the Clerk of the House.

On January 25, 1995, Mr. Leventhal phoned the RAD Analyst to request a list of the missing 48-Hour Notices (Attachment 9). A list was sent to Mr. Leventhal via Fax on January 25.

On January 30 and February 1, 1995, Mr. Leventhal phoned the RAD analyst to say an amendment was forthcoming regarding the missing 48-Hour Notices (Attachment 9).

On February 13, 1995, a letter was received from the Committee stating that improvements in office procedures would insure the Committee will not fail in the future to file "last minute" notices of contributions in amounts equal to or greater than one thousand dollars (Attachment 10).

*The Committee originally reported this \$2,500 contribution from "430 N Michigan Avenue." An RFAI mailed to the Committee on December 28, 1994, mentions this contribution and asks for clarification. The Committee confirmed this contribution as being from the Realtors PAC (C00030718) per its letters of February 13, 1995.

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FEDERAL ELECTION COMMISSION
1993-1994
STATE INDEX OF SUPPORTING DOCUMENTS - (B)

Attachment 81
DATE 4APR95

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		DISBURSEMENTS		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
TYPE OF FILER									
FRISA, DANIEL	HOUSE 04	REPUBLICAN PARTY			NEW YORK		1994 ELECTION	ID# H2NY04079	
1. STATEMENT OF CANDIDATE							22JUL94		1 94HSE/534/0646
1994 STATEMENT OF CANDIDATE									
2. PRINCIPAL CAMPAIGN COMMITTEE									
DAN FRISA FOR CONGRESS							ID #C00296608	HOUSE	
1994 STATEMENT OF ORGANIZATION							22JUL94		1 94HSE/534/0646
PRE-PRIMARY			46,885		40,703		24AUG94		6 94HSE/538/1025
OCTOBER QUARTERLY				32,992		32,800	25AUG94 - 30SEP94		7 94HSE/545/2884
PRE-GENERAL				22,750		10,985	10CT94 - 19OCT94		25 94HSE/557/1846
PRE-GENERAL - AMENDMENT							10CT94 - 19OCT94		3 95HSE/571/2790
NOTICE OF FAILURE TO FILE							10CT94 - 19OCT94		2 94FEC/941/1780
REQUEST FOR ADDITIONAL INFORMATION							10CT94 - 19OCT94		6 94FEC/954/4856
REQUEST FOR ADDITIONAL INFORMATION 2ND							10CT94 - 19OCT94		7 95FEC/957/3392
POST-GENERAL				133,382		142,833	20OCT94 - 28NOV94		52 94HSE/559/2925
POST-GENERAL - AMENDMENT							20OCT94 - 28NOV94		3 95HSE/571/2786
REQUEST FOR ADDITIONAL INFORMATION							20OCT94 - 28NOV94		8 94FEC/954/4848
REQUEST FOR ADDITIONAL INFORMATION 2ND							20OCT94 - 28NOV94		8 95FEC/957/3384
YEAR-END				200			12 29NOV94 - 31DEC94		8 95HSE/568/1587
TOTAL			46,885	189,324	40,703	186,630			136 TOTAL PAGES
3. AUTHORIZED COMMITTEES									
FRIENDS OF DAN FRISA							ID #C00273417	HOUSE	
1993 TERMINATION APPROVAL							27AUG93		1 93FEC/859/0322
MID-YEAR REPORT - TERMINATED			0		145		1JAN93 - 30JUN93		3 93HSE/502/3150
TERMINATED									4 TOTAL PAGES
FRISA CONGRESS NOW							ID #C00273409	HOUSE	
1993 STATEMENT OF ORGANIZATION - AMENDMENT							20JUL93		1 93HSE/499/1426
MID-YEAR REPORT			4,236		4,088		1JAN93 - 30JUN93		10 93HSE/502/3140
1ST LETTER INFORMATIONAL NOTICE							1JAN93 - 30JUN93		1 93FEC/862/2285
YEAR-END - TERMINATED			0		365		1JUL93 - 31DEC93		6 94HSE/513/4316
1994 TERMINATION APPROVAL							22APR94		2 94FEC/897/2347
TERMINATED									20 TOTAL PAGES
4. JOINT FUNDRAISING COMMITTEES AUTHORIZED BY THE CAMPAIGN									24 AUTH TOT PAGES

All reports have been reviewed.

Cash on Hand as of 12/31/94: \$ 8,874.80

Debts and obligations owed to the Committee: \$ 0

Debts and obligations owed by the Committee: \$ 95,050.00

GENERAL ELECTION REPORT NOTICE

FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES

October 3, 1994

1994 GENERAL ELECTION CANDIDATE COMMITTEES

REPORT	REPORTING PERIOD*	REG./CERT.	FILING DATE
		MAILING DATE**	
Pre-General	10/01/94 - 10/19/94	10/24/94	10/27/94
Post-General	10/20/94 - 11/28/94	12/08/94	12/08/94

WHO MUST FILE

All 1994 general election principal campaign committees of congressional candidates (including unopposed candidates) who seek election in the November 8, 1994, General Election must file the Pre- and Post-General Election Reports. If the campaign has an authorized committee(s), in addition to the principal campaign committee, the principal campaign committee must also file a consolidated report on Form 32 and attach the report(s) of the authorized committee(s).

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives contributions (including contributions and loans from the candidate's personal funds; and endorsements or guarantees of bank loans) of \$1,000 or more, during the period of October 20 through November 5. The notices must reach the appropriate federal and state filing offices within 48 hours of the committee's receipt of the contribution(s).

LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

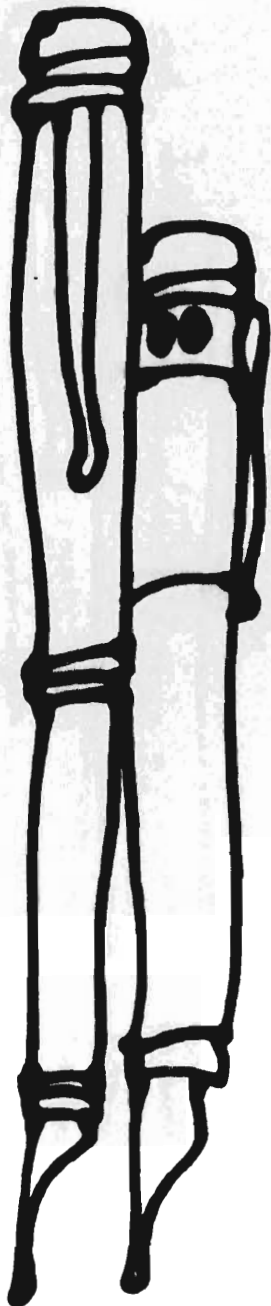
COMPLIANCE

TREASURERS ARE RESPONSIBLE FOR FILING ALL REPORTS AND 48 HOUR NOTICES ON TIME. FAILURE TO DO SO IS SUBJECT TO ENFORCEMENT ACTION. COMMITTEES USING NON-FEC FORMS FOR REPORTS OR FILING ILLEGIBLE REPORTS OR NOTICES WILL BE REQUIRED TO REFILE.

*The period begins with the close of the last report filed by the committee. If the committee has filed no previous reports, the period begins with the date of the committee's first activity.

**Reports sent by registered or certified mail must be post-marked by the mailing date; otherwise, they must be received by the filing date.

FOR INFORMATION, Call: 800/424-9530 or 202/219-3420



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RQ-7

(This is a copy of the text of a mailgram sent to the committee listed below on October 28, 1994.)

Patrick H. O'Sullivan, Treasurer
Dan Frisa for Congress
23 Canyon Lane
Westbury, NY 11590

Identification Number: C00296608

Reference: 12 DAY PRE-GENERAL REPORT (10/1/94-10/19/94)

It has come to the attention of the Federal Election Commission that you may have failed to file the 12 Day Pre-General Report of receipts and expenditures as required by the Federal Election Campaign Act. You were previously notified of the due date for this report.

You will be allowed four (4) business days from the date of this notice to file the 12 Day Pre-General Report. The report should be filed with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, DC 10515, or the Secretary of the Senate, Office of Public Records, 232 Hart Senate Office Building, Washington, DC 20510, as appropriate. A copy of the report should also be filed with the appropriate Secretary of State or equivalent state officer.

If you have filed the report timely by certified or registered mail, please notify us immediately, in writing, of the certified or registered number and the date that the report was sent. The failure to file this report may result in publication, audit or legal enforcement action.

If you have any questions regarding this matter, please contact the Reports Analysis Division on our toll free number (800) 424-9530 or on our local number (202) 219-3580.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

9954043964615107800

FEDERAL ELECTION COMMISSION



Press Office

999 E Street, N.W., Washington, D.C. 20463

Phone: Local 202-219-4155

Toll Free 800-424-9530

Attachment #4

FOR IMMEDIATE RELEASE:
November 4, 1994

CONTACT: KELLY HUFF
RON HARRIS
SHARON SNYDER
IAN STIRTON

CAMPAIGNS CITED FOR FAILURE TO FILE PRE-GENERAL REPORTS

WASHINGTON -- The Federal Election Commission has cited the campaigns of 16 1994 U.S. Senate and House candidates for failing to file the required pre-general election financial disclosure reports.

Provisions of the Federal Election Campaign Act require committees supporting candidates involved in the general election to file reports of their financial activity 12 days before the election.

As of 5 p.m., November 3, 1994, disclosure reports from the following committees had not been filed:

IN.....MARY CATHERINE BARTON FOR U.S. SENATE CAMPAIGN...MARY C. BARTON, TREAS...MARY C. BARTON....NA**
NH.....FRANCESCO LORATO FOR U.S. SENATE...JANICE M. BIRD, TREAS...FRANCESCO LORATO...IND
CA/38...MATHIEVS FOR CONGRESS...JAMES A. PHILLIPS, TREAS...PETER MATHIEVS...DEN
IL/04...CITIZENS FOR VALTIERRA...STEVEN VALTIERRA, TREAS...STEVEN VALTIERRA...REP
IL/09...CITIZENS FOR GEORGE E. LANNY...GEORGE E. LANNY, TREAS...GEORGE E. LANNY...REP
MA/10...CMT TO ELECT KEITH J. HENDON...KEITH J. HENDON, TREAS...KEITH J. HENDON...REP
NI/11...BRESEBOLD FOR CONGRESS...BARRY L. BRICKNER, TREAS...MICHAEL S. BRESEBOLD...DEN
ND/02...KELLY FOR CONGRESS...MICHAEL FITZJARRELL, TREAS...PATRICK D. KELLY...DEN
NJ/05...BILL ADER FOR CONGRESS...ARTHUR YEVIN, TREAS...BILL ADER...DEN
NY/02...MANFRE FOR CONGRESS COMMITTEE...JAMES MANFRE, TREAS...JAMES MANFRE...DEN
NY/04...DAN FRISA FOR CONGRESS...PATRICK H. O'SULLIVAN, TREAS...DANIEL FRISA...REP
NY/05...CMT TO ELECT ED "DOC" ELKOWITZ TO CONGRESS...STUART A. SINEL, TREAS...DR. EDWARD B. ELKOWITZ...RE
NY/13...FRIENDS OF TYRONE BUTLER...GIL V. PEREZ, TREAS...TYRONE G. BUTLER...DEN
PA/04...PEGLOV FOR CONGRESS...C. KIRK FRENCH, TREAS...EDWARD P. PEGLOV...REP
PA/11...FRIENDS OF PODOLAK FOR CONGRESS...PHILIP VISNIEVSKI, TREAS...JURIJ A. PODOLAK...REP
TX/26...FRIENDS OF LEEARL BRYANT...BRUCE G. ANDERSON, TREAS...LEEARL A. BRYANT...DEN

The reports were to include financial activity occurring from October 1 through October 19. If sent by registered mail, the reports should have been postmarked by October 24. Otherwise, the due date was close-of-business October 27.

Some individuals and their committees have no obligation to file reports under federal election law, even though their names may appear on state ballots. If an individual raises or spends less than \$5,000, he or she is not considered a "candidate" subject to reporting under the FECA.

The FEC notified committees involved in the general of their potential filing requirements on October 3. Those committees which did not file on the due date were notified on October 28 that reports had not been received and that their names would be published if they did not respond within four business days.

-more-

Other political committees supporting Senate and House candidates in elections (those which are not authorized units of a candidate's campaign) also are required to file pre-primary reports, unless they report monthly. Those committee names are not published by the FEC.

Further Commission action against non-filers and late filers is decided on a case-by-case basis. Federal law gives the FEC broad authority to initiate enforcement actions, including the imposition of civil penalties (\$5,000 for "any violation" and \$10,000 for any "knowing and willful" violation).

The next regularly scheduled disclosure reports for candidate committees will be the post-general election report, due December 8, 1994. It will cover activity from October 20 through November 28.

*Pre-general election reports for these committees were received after the 5 p.m., November 3 deadline for publication.

**New Alliance Party

95043665072

Attachment 5

TELECON

DAN FRISA FOR CONGRESS

FEC REPRESENTATIVE: RAD ANALYST NICK FEDERSPIEL

COMMITTEE REPRESENTATIVE: STEVEN LEVENTHAL

November 15, 1994

Mr. Leventhal phoned analyst to say the 12 Day Pre-General Report is forthcoming.

November 18, 1994

Mr. Leventhal phoned analyst to say the 12 Day Pre-General Report will be sent unsigned and the Committee will amend with the proper signature.

95043665073

REPORT OF RECEIPTS AND DISBURSEMENTS

Attachment #6

For An Authorized Committee
(Summary Page)

NOV 21 AM 9:38

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

DO NOT WRITE IN THESE SPACES

TYPE OR PRINT

1 NAME OF COMMITTEE (in full)
DAN FAISA FOR CONGRESS

ADDRESS (number and street) ☐ Check if different than previously reported
83 CANYON LANE

CITY, STATE AND ZIP CODE
WESTBURY NEW YORK 11590 NY 14

2 FEC IDENTIFICATION NUMBER
C00296608

3 IS THIS REPORT AN AMENDMENT
☐ YES ☒ NO

4. TYPE OF REPORT

- ☐ April 15 Quarterly Report
- ☒ Weekly day report preceding GENERAL
(Type of Election)
election on November 8, 1994 in the State of New York
- ☐ July 15 Quarterly Report
- ☐ October 15 Quarterly Report
- ☐ Through day report following the General Election on _____ in the State of _____
- ☐ January 31 Year End Report
- ☐ July 31 Mid-Year Report (Non-election Year Only) ☐ Termination Report

This report contains activity for ☐ Primary Election ☒ General Election ☐ Special Election ☐ Runoff Election

SUMMARY

5. Covering Period	COLUMN A This Period	COLUMN B Calendar Year-to-Date
<u>10/1/94</u> through <u>10/19/94</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(a))	21,750.00	39,627.44
(b) Total Contribution Refunds (from Line 28(d))		
(c) Net Contributions (other than loans) (subtract Line 6(b) from 6(a))	21,750.00	39,627.44
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	10,985.00	84,488.99
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (subtract Line 7(b) from 7(a))	10,985.00	84,488.99
8. Cash on Hand at Close of Reporting Period (from Line 27)	18,138.45	
9. Debts and Obligations Owed TO the Committee (Review all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Review all on Schedule C and/or Schedule D)	62,000.00	

For further information
contact:
Federal Election Commission
800 E Street, NW
Washington, DC 20541
Toll Free 800-424-9531
Local 202-546-3030

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Signature of Treasurer

Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of P.U.S.C. §437g

FEC FORM 278

SCHEDULE A

ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of obtaining contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from U.S.P. committees.

NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code

EMPLOYMENT RESOURCES
3333 NEW HYDE PARK ROAD
NEW HYDE PARK NY 11042

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$200.00

B. Full Name, Mailing Address and ZIP Code

PETER H. GRANEY JR
AP. 3T
130 S. PARK AVENUE
ALBANY NY 11570

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$50.00

C. Full Name, Mailing Address and ZIP Code

JOEL SILVERSTEIN
174 WEBSTER ROAD
SCARSDALE NY 10583

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$750.00

D. Full Name, Mailing Address and ZIP Code

LISA SILVERSTEIN
174 WEBSTER ROAD
SCARSDALE NY 10583

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$750.00

E. Full Name, Mailing Address and ZIP Code

RICHARD S. LANE
200 E. 71 ST
NEW YORK NY 10021

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$200.00

F. Full Name, Mailing Address and ZIP Code

SUSAN KELLY
161 MEADOWBROOK ROAD
GARDEN CITY NY 11530

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$300.00

G. Full Name, Mailing Address and ZIP Code

JOHN KELLY
161 MEADOWBROOK ROAD
GARDEN CITY NY 11530

Receipt For ☐ Primary ☒ General
☐ Other (specify)

Name of Employer

Occupation

Aggregate Year-to-Date > \$300.00

SUBTOTAL x Receipts This Page (optional)

TOTAL This Period (last page this line number only)

95043665075

SCHEDULE A

ITEMIZED RECEIPTS

Use separate information
for each category of the
related Summary Page

First page of Schedule A
1991

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code SAMUEL P. MARESCA 414 ROSLYN RD EAST WILLISTON NY. 11596 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 5.00	Date (month day year) 10/26/94	Amount of Cash Received This Period \$ 0.00
B. Full Name, Mailing Address and ZIP Code GERARDINE MARESCA 414 ROSLYN ROAD EAST WILLISTON NY 11596 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 5.00	Date (month day year) 10/26/94	Amount of Cash Received This Period \$ 0.00
C. Full Name, Mailing Address and ZIP Code SALVATORE FRISA 15 PILGRIM LANE WESTBURY NY. 11590 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 1500.00	Date (month day year) 10/26/94	Amount of Cash Received This Period \$ 1000.00
D. Full Name, Mailing Address and ZIP Code JOAN FRISA 15 PILGRIM LANE WESTBURY NY. 11590 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 1000.00	Date (month day year) 11/6/94	Amount of Cash Received This Period \$ 1000.00
E. Full Name, Mailing Address and ZIP Code HOWARD MAXWELL BENDER Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 35.00	Date (month day year) 10/27/94	Amount of Cash Received This Period \$ 35.00
F. Full Name, Mailing Address and ZIP Code CHRISTOPHER BARNES 439 MOORE AVENUE RICKVILLE CENTRE, NY. 11570 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 30.00	Date (month day year) 11/9/94	Amount of Cash Received This Period \$ 30.00
G. Full Name, Mailing Address and ZIP Code WILLIAM SMITH 51 LOCKST STREET GARDEN CITY NY. 11530 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$ 50.00	Date (month day year) 11/9/94	Amount of Cash Received This Period \$ 50.00

SUBTOTAL of Receipts This Page (optional)

\$ 35.00

950436650/8

SCHEDULE A

ITEMIZED RECEIPTS

File separate schedule for each category of the Detailed Summary Page

34 15
11/28/94
11/28/94

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions to the campaign or purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code JOHN P. MAHON 3460 SILVERTON AVENUE WANTAGH N.Y. 11793 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$50.00	Date (month, day, year) 11/3/94	Amount of Cash Received This Period 50.00
B. Full Name, Mailing Address and ZIP Code ELIZABETH M. MAHON 3460 SILVERTON AVENUE WANTAGH N.Y. 11793 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$50.00	Date (month, day, year) 11/3/94	Amount of Cash Received This Period 50.00
C. Full Name, Mailing Address and ZIP Code RAJ CHOPRA THE MAIN ROAD WESTBURY N.Y. 11590 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$1000.00	Date (month, day, year) 11/3/94	Amount of Cash Received This Period 1000.00
D. Full Name, Mailing Address and ZIP Code DANIEL LEHNER 125 S. COTTAGE ST. VALLEY STREAM N.Y. 11580 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$1000.00	Date (month, day, year) 11/3/94	Amount of Cash Received This Period 1000.00
E. Full Name, Mailing Address and ZIP Code REMY LEHNER 125 S. COTTAGE ST. VALLEY STREAM N.Y. 11580 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$1000.00	Date (month, day, year) 11/3/94	Amount of Cash Received This Period 1000.00
F. Full Name, Mailing Address and ZIP Code WILLIAM F. RELLA 60-27 71ST ST MASPETH N.Y. 11378 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$30.00	Date (month, day, year) 11/4/94	Amount of Cash Received This Period 30.00
G. Full Name, Mailing Address and ZIP Code JOANNE RELLA 60-27 71ST ST MASPETH N.Y. 11378 Receipt For ☐ Other (specify) ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year-to-Date > \$30.00	Date (month, day, year) 11/4/94	Amount of Cash Received This Period 30.00

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page the line number only)

95043306-61115 0/7-

SCHEDULE A

ITEMIZED RECEIPTS

Use separate lines to report receipts for each category of contribution. Attach supporting documents.

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of influencing, or attempting to influence, the outcome of any election, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
GERALD KESSLER JONATHAN LANGIN 395 CODE POINT CIRCLE CHOTER FL 33458		11/4/94	1000.00
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 1000.00			
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
BH UPENDRA R. PATEL 5 BRIGLE PATH CT MUTONTOWN NY. 11545		11/4/94	350.00
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 350.00			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
INDRA PATEL 5 BRIGLE PATH CT MUTONTOWN NY. 11545		11/4/94	350.00
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 350.00			
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
LOUISE STELLATO 15 WHITNEY ST. WESTBURY NY. 11590		11/4/94	12.50
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 12.50			
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
ALBERT STELLATO 15 WHITNEY ST. WESTBURY NY. 11590		11/4/94	12.50
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 12.50			
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
JOSEPH L. MAROTTA 366 NORTH BROADWAY JERAMO NY. 11753		11/4/94	100.00
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 100.00			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
JAMES D. BENNETT 34 HILTON AVENUE GARDEN CITY NY. 11530		11/4/94	50.00
Receipt For: ☐ Other (specify): ☐ Primary ☒ General	Occupation		
Aggregate Year-to-Date > \$ 50.00			

TOTAL OF RECEIPTS (This page only)

TOTAL This Period (Last page this one)

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 2 OF 3
FOR LINE NUMBER 18

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NAME OF COMMITTEE (In Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code

TERRY SUMMON FOR CONGRESS
COMMITTEE
P.O. BOX 459
SARATOGA SPRINGS NY 12866

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/3/94

1000.00

Receipt For ☐ Primary ☒ General ☐ Other (specify):

Aggregate Year to Date > \$1,000.00

B. Full Name, Mailing Address and ZIP Code

DICK ARMEY CAMPAIGN
P.O. BOX 85
LEWISVILLE TEXAS 75067

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

950.00

Receipt For ☐ Primary ☒ General ☐ Other (specify):

Aggregate Year to Date > \$950.00

C. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

Receipt For ☐ Primary ☐ General ☐ Other (specify):

Aggregate Year to Date > \$

D. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

Receipt For ☐ Primary ☐ General ☐ Other (specify):

Aggregate Year to Date > \$

E. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

Receipt For ☐ Primary ☐ General ☐ Other (specify):

Aggregate Year to Date > \$

F. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

Receipt For ☐ Primary ☐ General ☐ Other (specify):

Aggregate Year to Date > \$

G. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

Receipt For ☐ Primary ☐ General ☐ Other (specify):

Aggregate Year to Date > \$

TOTAL This Period (last page this line number only)

95043665030

ITEMIZED RECEIPTS

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FOUR ONE NINE

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NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code FOOD DISTRIBUTORS VOICE IN POLITICS COMMITTEE 201 PARK WASHINGTON COURT FALLS CHURCH, VA 22046 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 500.00
B. Full Name, Mailing Address and ZIP Code COUNTDOWN TO MAJORITY A MULTI-CANDIDATE PAC P.O. BOX 533971 ORLANDO FLORIDA 32853-3971 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$1000.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 1000.00
C. Full Name, Mailing Address and ZIP Code TEXACO POLITICAL INFLUENCE COMMITTEE WHITE PLAINS NY. 10601 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 500.00
D. Full Name, Mailing Address and ZIP Code PANHANDLE EASTERN CORP POLITICAL ACTION COMMITTEE 5400 WESTHAMEL HUNSTON, TEXAS 77056 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 500.00
E. Full Name, Mailing Address and ZIP Code VICTORY AMERICA P.O. BOX 11771 COLUMBIA SC 29811 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 500.00
F. Full Name, Mailing Address and ZIP Code SOUTH WESTERN BELL CORPORATION EMPLOYEE FEDERAL POLITICAL ACTION COMMITTEE 175 E. HUNSTON ROOM 6-P-8 SAN ANTONIO TEXAS 78205 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 500.00
G. Full Name, Mailing Address and ZIP Code AMERICANS FOR FREE INTERNATIONAL TRADE POLITICAL ACTION COMMITTEE 112 SOUTH WEST STREET SUITE 310 ALEXANDRIA, VA 22314 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$1000.00	Date (month, day, year) 10/24/94	Amount of Cash Received This Period 1000.00

95043665081

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code
ALLIANCE FOR AMERICAN LEADERSHIP
1707 L STREET NW SUITE 333
WASHINGTON DC 20036-5401

Receipt For ☐ Primary ☒ General
☐ Other (specify):

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$1000.00

10/24/94

1000.00

B. Full Name, Mailing Address and ZIP Code
CITICORP VOLUNTARY POLITICAL
FUND - FEDERAL
1101 PENNSYLVANIA AVE. N.W.
WASHINGTON DC 20004

Receipt For ☐ Primary ☒ General
☐ Other (specify):

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$1000.00

10/24/94

1000.00

C. Full Name, Mailing Address and ZIP Code
NFL PAC
P.O. BOX 2301
ARLINGTON VA 22202

Receipt For ☐ Primary ☒ General
☐ Other (specify):

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$1000.00

10/25/94

1000.00

D. Full Name, Mailing Address and ZIP Code
ZENECIA INC
CONCORD PIKE & MURPHY ROAD
WILMINGTON DE 19897

Receipt For ☐ Primary ☒ General
☐ Other (specify):

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$500.00

10/25/94

500.00

E. Full Name, Mailing Address and ZIP Code
AMERICAN MEDICAL POLITICAL
ACTION COMMITTEE NW
1101 VERMONT AVENUE NW
WASHINGTON DC 20005

Receipt For ☐ Primary ☒ General
☐ Other (specify):

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$5000.00

10/26/94

5000.00

F. Full Name, Mailing Address and ZIP Code
THOMAS MURPHY
130 PARK AVENUE 25TH FLOOR
NEW YORK NY 10017

Receipt For ☐ Primary ☒ General
☐ Other (specify):

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$2000.00

10/26/94

1000.00

10/27/94

1000.00

G. Full Name, Mailing Address and ZIP Code
WINEX FEDERAL PAC
CONCORD CA 94520

Name of Employer

Date (month, day, year)

Amount of Each Receipt for This Period

Occupation

Aggregate Year to Date > \$1000.00

10/26/94

3000.00

10/27/94

1000.00

TOTAL This Period (This Page Only)

TOTAL This Period (Last page this line number only)

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SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules
for each category of the
Donated Summary PagePAGE 3 OF 7
FOR LINE NUMBER
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NAME OF COMMITTEE (In Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code

US TEAM PAC
GREENWICH CT. 06830

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

10/06/94

1000.00

Receipt For

☐ Primary☒ General☐ Other (specify)

Aggregate Year to Date > \$1000.00

B. Full Name, Mailing Address and ZIP Code

CBAMMS-PAC
PO BOX 325
CLARK CENTRAL STATION
NY NY. 10163

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

10/07/94

500.00

Receipt For

☐ Primary☐ General☐ Other (specify)

Aggregate Year to Date > \$500.00

C. Full Name, Mailing Address and ZIP Code

AMCO PAC
200 EAST RANDOLPH DRIVE
CHICAGO ILLINOIS 60601

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

10/07/94

500.00

Receipt For

☐ Primary☒ General☐ Other (specify)

Aggregate Year to Date > \$500.00

D. Full Name, Mailing Address and ZIP Code

GEL SOUTH
FEDERAL POLITICAL ACTION COMMITTEE
ATLANTA GA 30302

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

10/07/94

1500.00

Receipt For

☐ Primary☒ General☐ Other (specify)

Aggregate Year to Date > \$1500.00

E. Full Name, Mailing Address and ZIP Code

NJR POLITICAL ACTION COMMITTEE
POST OFFICE BOX 718
WINSTON-SALEM NC 27102

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

10/07/94

2000.00

Receipt For

☐ Primary☒ General☐ Other (specify)

Aggregate Year to Date > \$3000.00

F. Full Name, Mailing Address and ZIP Code

LOEWS/LORILLARD
ONE PARK AVENUE
NEW YORK NY. 10016-5896

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

10/08/94

500.00

Receipt For

☐ Primary☒ General☐ Other (specify)

Aggregate Year to Date > \$500.00

G. Full Name, Mailing Address and ZIP Code

TWIN LABORATORIES

Name of Employer

Date (month,
day, year)Amount of Each
Receipt This Period

Occupation

1/30/94

1500.00

Receipt For

☐ Primary☒ General☐ Other (specify)

Aggregate Year to Date > \$1500.00

TOTAL of Receipts This Page (optional)

TOTAL This Report (fill page this line number only)

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SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Form 1040 Summary Page

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F.A. LINE NUMBER
11(C)

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NAME OF COMMITTEE (in Full)

DAN FAISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code NATIONAL ASSOCIATION OF CONJUNCTION STORES 1605 KING ST ALEXANDRIA VA 22314 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/3/94	Amount of Each Receipt This Period 500.00
B. Full Name, Mailing Address and ZIP Code NATIONAL BEER WHOLESALE ASSOC. 1100 S. WASHINGTON STREET ALEXANDRIA VA 22314-4494 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/3/94	Amount of Each Receipt This Period 5000.00
C. Full Name, Mailing Address and ZIP Code CHARGES OUT-PAC 321 N. CLARK ST. STE 273 CHICAGO, IL 60610 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 10/3/94	Amount of Each Receipt This Period 500.00
D. Full Name, Mailing Address and ZIP Code PEPSICO CONCERNED CITIZENS FUND 10051 WAY PURCHASE NY 10577 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$1000.00	Date (month, day, year) 10/3/94	Amount of Each Receipt This Period 1000.00
E. Full Name, Mailing Address and ZIP Code TEAM AMERITECH PAC 1401 H STREET NW PO BOX 27769 WASHINGTON, D.C. 20038-2768 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$1000.00	Date (month, day, year) 11/2/94	Amount of Each Receipt This Period 1000.00
F. Full Name, Mailing Address and ZIP Code NRA POLITICAL VICTORY FUND 11250 WAPLES MILL ROAD FAIRFAX VA 22030-7400 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$4000.00	Date (month, day, year) 11/2/94	Amount of Each Receipt This Period 4000.00
G. Full Name, Mailing Address and ZIP Code MAYPAC 611 OLIVE STREET ST. LOUIS, MO 63101 Receipt For ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year to Date > \$500.00	Date (month, day, year) 11/2/94	Amount of Each Receipt This Period 500.00

TOTAL This Period (last page this line number only)

SCHEDULE A

ITEMIZED RECEIPTS

Use separate statements for each category if the Detailed Summary Page

1/1/94 11/1/94

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NAME OF COMMITTEE (in Full)

DAN FAISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code LAW ENFORCEMENT ALLIANCE OF AMERICA 7700 LEGACY PINE ST #21 FALLS CHURCH VA 22043 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 00.00	Date (month, day, year) 11/2/94	Amount of Each Receipt This Period 100.00
B. Full Name, Mailing Address and ZIP Code NFIB SAFE TRUST 53 CENTURY BLDG SUITE 300 NASHVILLE TN 37204 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 500.00	Date (month, day, year) 11/2/94	Amount of Each Receipt This Period 500.00
C. Full Name, Mailing Address and ZIP Code STONE - PAC 1500 NORTH MICHIGAN AVENUE CHICAGO, ILLINOIS 60601-7508 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 1,500.00	Date (month, day, year) 11/2/94	Amount of Each Receipt This Period 1500.00
D. Full Name, Mailing Address and ZIP Code 430 N MICHIGAN AVENUE CHICAGO, ILLINOIS 60611 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 2500.00	Date (month, day, year) 11/3/94	Amount of Each Receipt This Period 2500.00
E. Full Name, Mailing Address and ZIP Code AFSA POLITICAL ACTION COMMITTEE 919 EIGHTEENTH ST. NW WASHINGTON DC 20006 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 2000.00	Date (month, day, year) 11/4/94	Amount of Each Receipt This Period 2000.00
F. Full Name, Mailing Address and ZIP Code 4PSPAC 55 GLENLAKE PARKWAY NE ATLANTA GA 30328 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 3000.00	Date (month, day, year) 11/4/94	Amount of Each Receipt This Period 3000.00
G. Full Name, Mailing Address and ZIP Code TRAVELERS PAC 1 TOWER SQUARE HARTFORD CT 06183 Receipt For ☐ Other (specify): ☐ Primary ☒ General	Name of Employer Occupation Aggregate Year to Date > \$ 0000.00	Date (month, day, year) 11/4/94	Amount of Each Receipt This Period 1000.00

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SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in Full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code

ASHLAND OIL POLITICAL ACTION
COMMITTEE FOR EMPLOYEES
GREENUP COUNTY KENTUCKY

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

500.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$500.00

B. Full Name, Mailing Address and ZIP Code

AVIS, INC PAC
900 OLD COUNTRY ROAD
CARLE PLACE NY. 11514

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

500.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$500.00

C. Full Name, Mailing Address and ZIP Code

NATIONAL HEALTH
PAC
MELVILLE NY. 11747

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

250.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$250.00

D. Full Name, Mailing Address and ZIP Code

MERRILL LYNCH POLITICAL
ACTION COMMITTEE
300 K ST. NW W. F. 620
WASHINGTON DC 20007-5109

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

500.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$500.00

E. Full Name, Mailing Address and ZIP Code

NATIONAL CABLE TELEVISION
PAC
24 MASSACHUSETTS AVE. NW
WASHINGTON DC. 20036

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

2500.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$2500.00

F. Full Name, Mailing Address and ZIP Code

THE NATIONAL ASSOCIATION OF LIFE
UNDERWRITERS PAC
1922 E ST. NW
WASHINGTON DC. 20006

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

1000.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$

G. Full Name, Mailing Address and ZIP Code

MOBILE CORPORATION
PAC
2325 GALWINS ROAD
FAIRFAX VA 22037-0001

Name of Employer

Date (month, day, year)

Amount of Each Receipt for Period

Occupation

11/4/94

20.00

Receipt For

☐ Primary

☒ General

☐ Other (specify)

Aggregate Year-to-Date > \$500.00

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in full)

DAN FRISA FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code ALPAC 455 CUMMACK ROAD DEER PARK NY. 11729 Receipt For ☐ Primary ☒ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$ 1000.00	Date (month, day, year) 11/4/94	Amount of Each Receipt This Period 1000.00
B. Full Name, Mailing Address and ZIP Code BILD POLITICAL ACTION COMMITTEE OF THE NATIONAL ASSOCIATION OF HOME BUILDERS 1201 15TH ST. N.W. WASHINGTON, D.C. 20005 Receipt For ☐ Primary ☒ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$ 1000.00	Date (month, day, year) 11/7/94	Amount of Each Receipt This Period 1000.00
C. Full Name, Mailing Address and ZIP Code FREEPORT-MEMORAN INC CITIZENSHIP COMMITTEE 50 E STREET N.W. SUITE 1050 WASHINGTON DC. 20001 Receipt For ☐ Primary ☒ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$ 500.00	Date (month, day, year) 11/7/94	Amount of Each Receipt This Period 500.00
D. Full Name, Mailing Address and ZIP Code Receipt For ☐ Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt This Period
E. Full Name, Mailing Address and ZIP Code Receipt For ☐ Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt This Period
F. Full Name, Mailing Address and ZIP Code Receipt For ☐ Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt This Period
G. Full Name, Mailing Address and ZIP Code Receipt For ☐ Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date > \$	Date (month, day, year)	Amount of Each Receipt This Period

SUBTOTAL of Receipts This Page (circle)

TOTAL This Period (last page this line number only)

5450.00

95043665087



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20541

RQ-2

Patrick M. O'Sullivan, Treasurer
Dan Fries for Congress
23 Canyon Lane
Westbury, NY 11590

DEC 28 1994

Identification Number: C00296608

Reference: 30 Day Post-General Report (10/20/94-11/28/94)

Dear Mr. O'Sullivan:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report discloses a contribution(s) which appears to exceed the limits set forth in the Act (copies attached). You should conduct a review of all of your contributions to determine the rest of the excesses that your committee may have received. As you check for additional excesses, you should review the committee's procedures for handling the receipt of contributions.

An individual or a political committee other than a qualified multicandidate committee may not make a contribution to a candidate for federal office in excess of \$1,000 per election. A qualified multicandidate committee may not make a contribution to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §441a(a) and (f); 11 CFR §101.1(b), (e) and (h))

If the contribution(s) in question was not completely or correctly reported, you should amend your original report using the new or corrected information. If the contribution(s) exceeds the limits, you should either refund to the donor the amount in excess of \$1,000 or get the donor to redesignate and/or reattribute the excessive amount in writing. All refunds, redesignations, and reattributions must be made within sixty days of receipt of the contribution. Copies of refund checks and copies of letters reattributing or redesignating the

DAN PRISA FOR CONGRESS
PAGE 2

contributions in question may be used to respond to this letter. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as new entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR §104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund or seek redesignation and/or reattribution of the excessive amount will be considered.

-You must provide the occupation and name of employer for all individuals who contribute more than \$200 in a calendar year. Please amend your report to include the omitted information.

A committee may demonstrate "best efforts" to obtain the required information by providing the Commission with a description of its procedures for requesting the information, accompanied by copies of the solicitations; the committee must request the contributor information in initial solicitations; make follow-up requests (if necessary); report the information; and file amendments to disclose previously unreported information. Each solicitation must include a clear and conspicuous request for the information and must inform the contributor that the reporting of such information is required by federal law. If a committee receives a contribution that exceeds the \$200 threshold but lacks contributor information, the committee must, within 30 days, make an additional written or oral request for the information. Please note that a written request may not include an additional solicitation or material on any other subject, other than thanking the contributor for the donation and must include a pre-addressed return post card or envelope for the contributor's response. An oral request must be documented in writing. Committees must also disclose information that was not provided by the contributor, but is available in any of the committee's records for that current election cycle. Furthermore, if a committee receives contributor information after the contributions have been reported, it must submit, with its next report, an amended Schedule A listing all the contributions for which additional information was received or filed, as well as

END FRISA FOR COMMENTS
PAGE 3

before the next reporting date, amendments to the previous reports on which the contributions were originally disclosed. See 11 CFR §104.3(a)(4)(i) and 11 CFR §104.7.

-Schedule A of your report indicates that your committee may have failed to file one or more of the required 48 hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-General report. A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. Although the Commission may take legal action, any response you wish to provide concerning this matter will be considered. (11 CFR §104.5(f))

-When several loans are owed to the same person or bank, you must report each loan separately, including the original date, the amount, the outstanding balance and all other terms. These loans should not be combined into a single figure. Please amend your report to correct this problem.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Nick Federspiel
Reports Analyst
Reports Analysis Division

TELECON**DAN FRISA FOR CONGRESS****FEC REPRESENTATIVE: RAD ANALYST NICK FEDERSPIEL****COMMITTEE REPRESENTATIVE: STEVEN LEVENTHAL**January 25, 1995

Mr. Leventhal phoned analyst to discuss the 30 Day Post-General Report RFAI sent to the committee on December 28, 1994.

Analyst discussed the missing "48 hour notices on contributions." Mr. Leventhal said a meeting with the committee treasurer has been scheduled for January 27 regarding the missing "48 hour notices on contributions." Mr. Leventhal requested that RAD fax a list of the missing "48 hour notices" for the committee treasurer meeting scheduled for January 27. Analyst sent the list to Mr. Leventhal via fax on January 25.

January 30, 1995 and February 1, 1995

Mr. Leventhal phoned analyst to say that an amendment is forthcoming regarding the missing "48 hour notices."

95043665091

HAND DELIVERED

95 FEB 13

STEVEN G. LEVENTHAL P.C.
ATTORNEYS AT LAW
15 RIMMEN AVENUE
ROSLYN, NEW YORK 11570

OFFICE
U.S. HOUSE OF REPRESENTATIVES

Attachment #10
Page 1 of 3

STEVEN G. LEVENTHAL (N.Y. N.J.):

10 LUDRAT DRIVE

TELEPHONE (516) 484-8700

ELEEN OGGIO (N.J.)

MORGANVILLE N.J. 07751

TELESCOPIER (516) 484-8710

CERTIFIED PUBLIC ACCOUNTANT (N.Y.)

PLEASE REFER TO NEW YORK ADDRESS

February 9, 1995

VIA AIRCOURT EXPRESS

165710

Clerk of the House
Longworth House Office Building
Washington, D.C. 20443

Re: Sun Price for Congress
Identification No. 000096606
12 Day Pre-General Election Report
10/1/94-10/19/94
✓ 30 Day Post-General Election Report
10/20/94-11/20/94

Dear Madam or Sir:

This is written in response to the letter of Mr. Nick Federupiel, Reports Analyst, dated December 20, 1994, addressed to Patrick H. O'Sullivan, Treasurer of Sun Price for Congress.

I have reviewed Mr. Federupiel's letter with Mr. O'Sullivan, and hope that the following information satisfactorily addresses the questions it raised. If you require more information or documentation, or if the information must be submitted in a different way, I will promptly comply with your further instructions, or the further instructions of Mr. Federupiel.

We have conducted a review of all contributions to determine what, if any, contributions exceeded the limits set forth in the Act. We have also conducted a review of the procedures for handling the receipt of contributions. We found that two contributions exceeded the limits set forth in the Act by two hundred and fifty (\$250.00) dollars and seventy (\$70.00) dollars respectively, and we have refunded the excess amounts. The refunds, which were made during the month of February 1995, will be reflected in the report for that period.

Clerk of the House

2

February 9, 1995

It has always been the policy of the Committee to reject contributions that would exceed the limits set forth in the Act. The two excess contributions were accepted inadvertently, due to the limitations of time and the unwieldiness of our then manual record keeping system. To insure that no excessive contributions will be inadvertently accepted in the future, we have installed a computerized record keeping system, and recruited a bookkeeper who, in addition to her other duties, will enter all contributions as they are received, and compare them with a computerized record of contributions received to that date.

Other than the two contributions referred to above, the Committee did not receive any excess contributions. The other contributions referred to in Mr. Federspiel's letter, which appeared to exceed the limits set forth in the Act, were attributable in part to the primary election, and in part to the general election. Properly attributed, the contributions were not excessive. In some cases, the contributions were properly allocated by the contributors by written notations on the contribution checks. Due to a clerical error, a check mark was not properly placed in the box provided on the report for attributing contributions as between the primary and general elections. By separate cover, we are filing amended reports with the attribution boxes properly checked.

In some cases, the contributors did not make written notations allocating the contribution between the primary and general elections. We are contacting the contributors to obtain letters properly attributing the contributions. The letters will be submitted when they are received. In these cases, the proper attributions will be shown on the report for the period in which the letters of attribution are received.

In reviewing the contributions, we have determined that the Committee inadvertently accepted four hundred (\$400.00) dollars in corporate contributions. We have refunded the corporate contributions, and adopted improvements to our office procedures to insure that corporate contributions will not be accepted in the future. The refunds, which were made during the month of February 1995, will be reflected in the next report.

The improvements to our office procedures will also insure that the Committee will not fail in the future to file "last minute" notices of contributions in amounts equal to or greater than one thousand dollars (\$1,000.00). Please be assured that even with the limitations of our manual record keeping system, all transactions for the periods covered were reported in the 12 Day Pre-General and 30 Day Post-General Reports.

Clerk of the House

3

February 9, 1994

The amended reports will be filed within a few days. In addition to properly attributing contributions between the general and primary elections, they will set forth the occupation and employer's name for all individuals who contributed more than two hundred dollars (\$200.00) in the calendar year 1994, that could be obtained by good faith efforts. As you will see, it is the Committee's policy to report every contribution, even though disclosure is not required for contributions from individuals of less than two hundred dollars (\$200.00).

The amended reports include the name of a contributor, Realtor's PAC, that was omitted from the original filing (the contributor was listed by address only), and correctly identifies three contributors that were mistakenly combined and identified in the original report under the name of their common employer, Twin Laboratories.

The amended reports separately show each loan owed to its only lender, the Candidate, including the original date, the amount, and the outstanding balance of the loans (there were no other terms for the loans).

The Dan Frisa for Congress Committee regards its reporting obligations with the utmost importance. We are grateful for your clear and thorough analysis of our reports, and for your courtesy and cooperation. Please feel free to contact me directly with any further questions or comments you might have.

Very truly yours,

Steven G. Leventhal
Steven G. Leventhal

cc: Patrick H. O'Sullivan, Treasurer

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

JUN 2 4 25 PM '95

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

SENSITIVE

FIRST GENERAL COUNSEL'S REPORT

RAD Referral: 95L-10
Date Activated: May 12, 1995
Staff Member: Chris Worden
Holly Baker

SOURCE: INTERNALLY GENERATED

RESPONDENTS: Dan Frisa for Congress Committee and
Patrick O'Sullivan, as treasurer

RELEVANT STATUTES: 2 U.S.C. § 434(a)(2)(A)(i)
2 U.S.C. § 434(a)(6)(A)
11 C.F.R. § 104.5(a)
11 C.F.R. § 104.5(f)

I. GENERATION OF MATTER

The Office of the General Counsel received a referral from the Reports Analysis Division ("RAD") on April 7, 1995. Attachment 1. The basis for the referral is the failure of the Dan Frisa for Congress Committee and Patrick O'Sullivan, as treasurer, ("Respondents") to timely file the 1994 12 Day Pre-General Report of Receipts and Disbursements and for failure to file 48 Hour notifications ("48 Hour Notices") for forty-three contributions totaling \$69,000. Dan Frisa won the 1994 general election in the 4th Congressional District in the State of New York with 50.1 percent of the vote.¹

1. Frisa prevailed in a four-way race with his closest competitor receiving 37% of the vote.

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II. FACTUAL AND LEGAL ANALYSIS

Based on the Factual and Legal Analysis, see Attachment 2, this Office recommends the Commission find reason to believe Respondents violated 2 U.S.C. § 434(a)(2)(A)(i) and 2 U.S.C. § 434(a)(6)(A).

III. DISCUSSION OF CONCILIATION AND CIVIL PENALTY

95043665096

IV. RECOMMENDATIONS

1. Open a MUR.
2. Find reason to believe that the Dan Frisa for Congress Committee and Patrick O'Sullivan, as treasurer, violated 2 U.S.C. §§ 434(a)(2)(A)(i) and 434(a)(6)(A), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the attached Factual and Legal Analysis, proposed conciliation agreement, and the appropriate letter.

Lawrence M. Noble
General Counsel

Date

6/2/95

BY:


Lois G. Lerner
Associate General Counsel

Attachments:

1. Referral Materials
2. Factual and Legal Analysis
3. Proposed Conciliation Agreement

95043665097

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

Dan Frisa for Congress Committee and
Patrick O'Sullivan, as treasurer

)
)
) RAD Referral
) 95L-10

MUR 4219

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on June 8, 1995, the Commission decided by a vote of 6-0 to take the following actions in RAD Referral #95L-10:

1. Open a MUR.
2. Find reason to believe that the Dan Frisa for Congress Committee and Patrick O'Sullivan, as treasurer, violated 2 U.S.C. §§ 434(a)(2)(A)(i) and 434(a)(6)(A), and enter into conciliation prior to a finding of probable cause to believe.
3. Approve the Factual and Legal Analysis, proposed conciliation agreement, and the appropriate letter, as recommended in the General Counsel's Report dated June 2, 1995.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

Attest:

6-8-95
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat:	Fri.,	June 2, 1995	4:24 p.m.
Circulated to the Commission:	Mon.,	June 5, 1995	4:00 p.m.
Deadline for vote:	Thurs.,	June 8, 1995	4:00 p.m.

mwd

95043665098



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

June 14, 1995

Dan Frisa for Congress Committee
Patrick H. O'Sullivan, Treasurer
23 Canyon Lane
Westbury, NY 11590

RE: MUR 4219
Dan Frisa for Congress Committee and
Patrick H. O'Sullivan, as treasurer

Dear Mr. O'Sullivan:

On June 8, 1995, the Federal Election Commission found that there is reason to believe the Dan Frisa for Congress Committee ("Committee") and you, as treasurer, violated 2 U.S.C. §§ 434(a)(2)(A)(i) and 434(a)(6)(A), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath. In the absence of additional information, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

In order to expedite the resolution of this matter, the Commission has also decided to offer to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. Enclosed is a conciliation agreement that the Commission has approved.

If you are interested in expediting the resolution of this matter by pursuing preprobable cause conciliation and if you agree with the provisions of the enclosed agreement, please sign and return the agreement, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

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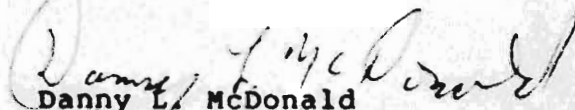
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Chris Worden, the staff member assigned to this matter, at (202) 219-3437.

Sincerely,


Danny L. McDonald
Chairman

Enclosures

Factual and Legal Analysis
Procedures
Designation of Counsel Form
Conciliation Agreement

cc: Honorable Dan Frisa

95043665100

**FEDERAL ELECTION COMMISSION
FACTUAL & LEGAL ANALYSIS**

RESPONDENTS: Dan Frisa for Congress
Committee and Patrick H.
O'Sullivan, as treasurer

MUR 4219

This matter was generated based on information ascertained by the Federal Election Commission ("the Commission") in the normal course of carrying out its supervisory responsibilities. See 2 U.S.C. § 437g(a)(2).

A. Failure to Timely File 12-Day Pre-General Report

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires principal campaign committees of candidates for federal office to file a pre-election report, no later than the 12th day before any election in which the candidate is seeking election, and which shall be complete as of the 20th day before such election. 2 U.S.C. § 434(a)(2)(A)(i). The general election in the State of New York was held on November 8, 1994. Pursuant to the Act, Respondents were required to file a 12-Day Pre-General Report by October 27, 1994. The Dan Frisa for Congress Committee ("the Committee") did not file its report until November 21, 1994.

B. Failure to File 48 Hour Notifications

The Act also requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate, the Clerk of the U.S. House of Representatives or the Commission, as appropriate, and the Secretary of State of the candidate's home state, of each contribution totaling \$1,000 or more, received by any authorized

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committee of the candidate after the 20th day but more than 48 hours before any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. Id. The notification of these contributions shall be in addition to all other reporting requirements. 2 U.S.C. § 434(a)(6)(B).

Pursuant to the Act, Respondents were required to report all contributions of \$1,000 or more received from October 20 to November 5, 1994, within 48 hours of their receipt. A review of the Committee's 1994 Post-General Report identified forty-three (43) contributions received between October 20 and November 5, 1994, of \$1,000 or more, totaling \$69,000. Respondents did not submit 48 hour notices for any of the following contributions:

<u>Contributor Name</u> (as reported by Respondents)	<u>Date</u>	<u>Amount</u>
Richard S. Lane	10/24/94	\$2,000
Susan Kelly	10/24/94	\$1,000
John Kelly	10/24/94	\$1,000
Friends of Newt Gingrich	10/24/94	\$1,000
Friends of John Boehner	10/24/94	\$1,000
Countdown to Majority	10/24/94	\$1,000
Americans for Free International Trade PAC	10/24/94	\$1,000
Alliance for American Leadership	10/24/94	\$1,000
Citicorp Voluntary Political Fund	10/24/94	\$1,000
NFL PAC	10/25/94	\$1,000
Salvatore Frisa	10/26/94	\$1,000
Joan Frisa	10/26/94	\$1,000
American Medical PAC	10/26/94	\$5,000
Philip Morris	10/26/94	\$1,000
US Team PAC	10/26/94	\$1,000
Nynex Federal PAC	10/26/94	\$1,000
McGrath for Congress Committee	10/27/94	\$1,000
Friends of Bob Livingston	10/27/94	\$1,000
Philip Morris	10/27/94	\$1,000

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BellSouth Federal PAC	10/27/94	\$1,500
RJR PAC	10/27/94	\$2,000
Twin Laboratories	10/28/94	\$1,500
Nynex Federal PAC	10/31/94	\$1,000
National Beer Wholesalers Assoc.	10/31/94	\$5,000
Pepsico Concerned Citizens Fund	10/31/94	\$1,000
Americans for a Republican Majority	11/02/94	\$4,500
Bill McCollum for Congress	11/02/94	\$1,000
Jerry Solomon for Congress	11/02/94	\$1,000
RJR PAC	11/02/94	\$1,000
Team Ameritech PAC	11/02/94	\$1,000
NRA Political Victory Fund	11/02/94	\$4,000
Stone-PAC	11/02/94	\$1,500
Raj Chopra	11/03/94	\$1,000
Daniel Lehner	11/03/94	\$1,000
Remy Lehner	11/03/94	\$1,000
Realtors PAC	11/03/94	\$2,500
Gerald Kessler	11/04/94	\$1,000
AFSA PAC	11/04/94	\$2,000
UPSPAC	11/04/94	\$3,000
Travelers PAC	11/04/94	\$1,000
National Cable Television PAC	11/04/94	\$2,500
National Association of Life Underwriters PAC	11/04/94	\$1,00

Therefore, there is reason to believe that the Dan Frisa for Congress Committee and Patrick H. O'Sullivan, as treasurer, violated 2 U.S.C. §§ 434(a)(2)(A)(i) by failing to file a 12-Day Pre-General Report in a timely manner and 2 U.S.C. §434(a)(6)(A) by failing to report campaign contributions of \$1,000 or more, received after the 20th day, but more than 48 hours before the general election, within 48 hours of receipt of the contributions.

95043665103

STEVEN G. LEVENTHAL, P.
ATTORNEYS AT LAW
15 REMSEN AVENUE
ROSLYN, NEW YORK 11576
TELEPHONE: (516) 484-5700
TELECOPIER: (516) 484-2710

RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

JUL 14 10 03 AM '95

STEVEN G. LEVENTHAL
ATTORNEY AT LAW (N.Y., N.J.)
CERTIFIED PUBLIC ACCOUNTANT (N.Y.)

BY AIRBORNE EXPRESS

July 13, 1995

Mr. Chris Worden
Legal Intern
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 4219
Dan Frisa for Congress Committee and
Patrick H. O'Sullivan, as treasurer

Dear Mr. Worden:

This is written on behalf of the respondents above named, in response to the June 14, 1995 letter of Chairman McDonald, addressed to Dan Frisa for Congress Committee, Patrick H. O'Sullivan, Treasurer. Mr. O'Sullivan and I wish to thank you for the courtesy and cooperation shown us by yourself and by FEC attorney Holly J. Baker, Esq. at our meeting on June 29, 1995. We appreciated that you agreed to our request for a meeting so we could personally discuss this matter, and learn more about the Commission and its procedures.

The purpose of this letter is to further our efforts to resolve this matter through a conciliation agreement, and avoid any unnecessary burden on the resources of the Commission or ourselves. It is not intended, and should not be interpreted, as a comment on the factual and legal analysis enclosed with Chairman McDonald's letter, which we reserve for a later date, if needed.

At our meeting, we informed you that Congressman Frisa is committed to the Commission's dual mission of ensuring full and prompt disclosure of campaign finances, and administering compliance with the substantive laws and regulations governing campaign finances. We also described the unique circumstances of Mr. Frisa's remarkable campaign as an insurgent candidate, beset by a lawsuit that challenged his primary victory and that, until a few short weeks before the November election, froze his sources of funding, and diverted the time and attention of his handful of campaign volunteers. Mr. Frisa's campaign reports were prepared and filed against this backdrop of a tightly compressed campaign, managed by a first time campaign treasurer, and a first time post-primary candidate for Federal office. Any violations that may have occurred in preparing or filing the campaign finance reports were committed in good faith, and unintentionally.

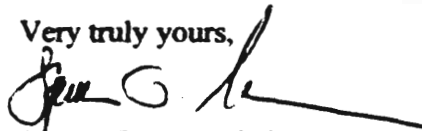
95043665104

July 13, 1995

In the months since the 1994 congressional election, Mr. O'Sullivan has established internal control procedures, including the installation of special computer software, to aid in compliance with the financial reporting requirements. To further ensure compliance with the letter and intent of the Federal Election Act, our records and reports are now compiled and completed under the auspices of a firm of Certified Public Accountants.

95043665105

Very truly yours,



Steven G. Leventhal

cc: Mr. Patrick H. O'Sullivan

STEVEN G. LEVENTHAL, P.
ATTORNEYS AT LAW
15 REMSEN AVENUE
ROSLYN, NEW YORK 11576

TELEPHONE: (516) 484-5700
TELECOPIER: (516) 484-2710

STEVEN G. LEVENTHAL
ATTORNEY AT LAW (N.Y., N.J.)
CERTIFIED PUBLIC ACCOUNTANT (N.Y.)

August 1, 1995

Via Airborne Express
Federal Election Commission
999 E Street, N.W.
Washington, DC 20463
Attn: Mr. Chris Worden
Staff Member

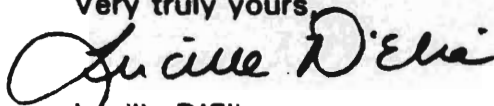
Re: MUR 4219
Dan Frisa for Congress Committee
and
Patrick O'Sullivan, as treasurer

Dear Mr. Worden:

Enclosed is a signed Conciliation Agreement. Please return a fully executed Agreement to this office after it has been approved by the Commission.

Thank you for your cooperation and assistance in this matter.

Very truly yours,



Lucille D'Elia
Legal Assistant

/lbd
Encs.

Aug 2 9 31 AM '95

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FEDERAL ELECTION COMMISSION
AUG 5 11 22 AM '95

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Dan Frisa for Congress) MUR 4219
Committee and Patrick H.)
O'Sullivan, as treasurer)

SENSITIVE

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On June 2, 1995, the Commission found reason to believe that the Dan Frisa for Congress Committee and Patrick H. O'Sullivan, as treasurer, ("Committee" or "Respondents") violated 2 U.S.C. §§ 434(a)(2)(A)(i) and 434(a)(6)(A). The Committee did not timely file its 12-Day Pre-General Report and failed to file forty-three 48-Hour notifications totaling \$69,000. On the same date, the Commission offered to enter into pre-probable cause conciliation and approved a conciliation agreement

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IV. RECOMMENDATIONS

1. Approve the attached conciliation agreement and the appropriate letter.
2. Close the file.

Lawrence M. Noble
General Counsel

Date

BY: _____
Lois G. Lerner
Associate General Counsel

Attachments

1. Signed Conciliation Agreement
2. Response of Committee
3. OGC Letter

Staff assigned: Chris Worden
Holly Baker

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)

Dan Frisa for Congress Committee and)
Patrick H. O'Sullivan, as treasurer.)

MUR 4219

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on August 8, 1995, the Commission decided by a vote of 6-0 to take the following actions in MUR 4219:

1. Approve the conciliation agreement and the appropriate letter, as recommended in the General Counsel's Report dated August 3, 1995.
2. Close the file.

Commissioners Aikens, Elliott, McDonald, McGarry, Potter, and Thomas voted affirmatively for the decision.

Attest:

8-8-95
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Thurs., Aug. 03, 1995 11:21 a.m.
Circulated to the Commission: Thurs., Aug. 03, 1995 4:00 p.m.
Deadline for vote: Tues., Aug. 08, 1995 4:00 p.m.

lrd

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

August 9, 1995

Mr. Steven G. Leventhal, Esq.
15 Remsen Avenue
Roslyn, NY 11576

RE: MUR 4219
Dan Frisa for Congress Committee and
Patrick H. O'Sullivan, as treasurer

Dear Mr. Leventhal:

On August 8, 1995, the Federal Election Commission accepted the signed conciliation agreement you submitted on behalf of the Dan Frisa for Congress Committee and Patrick H. O'Sullivan, as treasurer, in settlement of violations of 2 U.S.C. § 434 (a)(2)(A)(i) and (a)(6)(A), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). Accordingly, the file has been closed in this matter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. In addition, although the complete file must be placed on the public record within 30 days, this could occur at any time following certification of the Commission's vote. If you wish to submit any factual or legal materials to appear on the public record, please do so as soon as possible. While the file may be placed on the public record before receiving your additional materials, any permissible submissions will be added to the public record upon receipt.

Information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. 2 U.S.C. § 437g(a)(4)(B). However, the enclosed agreement will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. Please note that the civil penalty is due within 30 days of the conciliation agreement's effective date. If you have any questions, please contact me at (202) 219-3690.

Sincerely,

Chris Worden

Chris Worden
Staff Member

Enclosure
Conciliation Agreement

Celebrating the Commission's 20th Anniversary

YESTERDAY TODAY AND TOMORROW
DEDICATED TO KEEPING THE PUBLIC INFORMED

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MUR 4219

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COMMISSION
OFFICE OF GENERAL
COUNSEL

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Dan Frisa for Congress Committee and Patrick H. O'Sullivan, as treasurer, ("Respondents"), violated 2 U.S.C. §§ 434(a)(2)(A)(i) and 434(a)(6)(A).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The Dan Frisa for Congress Committee is a political committee within the meaning of 2 U.S.C. § 431(4), and is the authorized principal campaign committee for Daniel Frisa's 1994 congressional campaign.

2. Patrick H. O'Sullivan is the treasurer of the Dan Frisa for Congress Committee. The reports referred to herein were filed or required to be filed in connection with the first campaign for Federal office in which Mr. O'Sullivan served as campaign committee treasurer.

3. The Federal Election Campaign Act of 1971, as amended ("the Act"), requires principal campaign committees of candidates for federal office to file a pre-election report, no later than the 12th day before any election in which the candidate is seeking election. The report shall be complete as of the 20th day before such election. 2 U.S.C. § 434(a)(2)(A)(i).

4. Pursuant to 2 U.S.C. § 434(a)(2)(A)(i), the 12-Day Pre-General Report was due on October 27, 1994. The Respondents filed their report on November 21, 1994, disclosing receipts of \$21,750 and disbursements of \$10,985.

5. The Federal Election Campaign Act of 1971, as amended ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate, the Clerk of the U.S. House of Representatives or the Commission, as appropriate, and the Secretary of State of the candidate's home state, of each contribution totaling \$1,000 or

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more, received by any authorized committee of the candidate after the 20th day but more than 48 hours before any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. Id. The notification of these contributions shall be in addition to all other reporting requirements. 2 U.S.C. § 434(a)(6)(B).

6. Between October 20 and November 5, 1994, Respondents received 43 contributions of \$1,000 or more, totaling \$69,000. Respondents did not submit 48-Hour Notices for these contributions.

V. Respondents did not file their 12-Day Pre-General Report in a timely manner, in violation of 2 U.S.C. § 434(a)(2)(A)(i).

VI. Respondents did not report campaign contributions of \$1,000 or more received after the 20th day, but more than 48 hours before the general election, within 48 hours of receipt of the contributions, in violation of 2 U.S.C. § 434(a)(6)(A).

VII. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Ten Thousand Five Hundred Dollars (\$10,500), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VIII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this

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agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

IX. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

X. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with the requirement contained in this agreement.

XI. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY:

Lois G. Lerner
Lois G. Lerner
Associate General Counsel

August 9, 1995
Date

FOR THE RESPONDENTS:

Steven G. Leventhal
(Name) Steven G. Leventhal
(Position) Counsel

July 31, 1995
Date

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FEDERAL ELECTION COMMISSION
WASHINGTON D.C. 20461

THIS IS THE END OF MUR # 4219

DATE FILMED 8/23/95 CAMERA NO. 1

CAMERAMAN EES

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Date: 8/31/95

☒ Microfilm
☐ Public Records
☐ Press

THE ATTACHED MATERIAL IS BEING ADDED TO CLOSED NUR 4219

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STEVEN G. LEVENTHAL, P.C.
ATTORNEYS AT LAW
15 REMSEN AVENUE
ROSLYN, NEW YORK 11576

TELEPHONE: (516) 484-5700
TELECOPIER: (516) 484-2710

STEVEN G. LEVENTHAL
ATTORNEY AT LAW (N.Y., N.J.)
CERTIFIED PUBLIC ACCOUNTANT (N.Y.)

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COMMISSION
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AUG 29 10 00 AM '95

VIA AIRBORNE EXPRESS

August 28, 1995

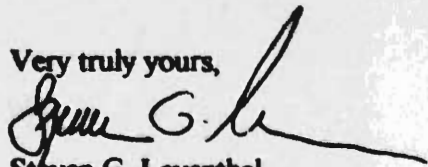
Mr. Chris Worden
Federal Election Commission
999 E Street, N.W.
Washington, D.C. 20463

Re: MUR 4219
Dan Frisa for Congress Committee and
Patrick H. O'Sullivan, as treasurer

Dear Mr. Worden:

Enclosed please find check number 1170 drawn on the account of the "Dan Frisa for Congress Committee," in accordance with the agreement dated August 9, 1995.

Very truly yours,


Steven G. Leventhal

cc: Mr. Patrick H. O'Sullivan

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007-100000-1
AUG 29 1995

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RECEIVED
FEDERAL ELECTION
COMMISSION
OFFICE OF GENERAL
COUNSEL

AUG 31 9 25 AM '95

1170

DAN FRISA FOR CONGRESS

PAY TO THE
ORDER OF

F.E.C.

ten thousand five hundred

\$ 10,500.00

DOLLARS

THE BANK OF NEW YORK

514 OLD COUNTRY RD., WESTLINTX, N.Y. 11500

Memo

[Signature]

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

AUGUST 30, 1995

TWO WAY MEMORANDUM

TO: OGC Docket

FROM: Rosa E. Swinton Accounting Technician Leslie D. Brown *ldb* Disbursement Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from DAN FRISA FOR CONGRESS, check number 1170, dated 8-27-95, for the amount of \$10,500.00. A copy of the check and any correspondence is being forwarded. Please indicate below which account the funds should be deposited and give the MUR/Case number and name associated with the deposit.

TO: Rosa E. Swinton Accounting Technician Leslie D. Brown Disbursement Technician

FROM: OGC Docket *aa*

SUBJECT: Disposition of Funds Received

In reference to the above check in the amount of \$10,500.00, the MUR/Case number is 4219 and in the name of Dan Frisa for Congress Cmte.. Place this deposit in the account indicated below:

☐ Budget Clearing Account (OGC), 95F3875.16

☒ Civil Penalties Account, 95-1099.160

☐ Other: _____

Anita Alexander
Signature

8-31-95
Date

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