



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 3240

DATE FILMED 9/29/91 CAMERA NO. 4

CAMERAMAN AS

40351130

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: \_\_\_\_\_

ANALYST: Anthony Raymond

I. COMMITTEE: Equitable Financial Services Political  
Action Committee (C00161901)  
George A. Williams, Treasurer  
787 Seventh Avenue, Area 41-C  
New York, NY 10019

Donaldson, Lufkin & Jenrette, Inc.-  
Better Government Fund (C00103325)  
Anthony F. Daddino, Treasurer  
140 Broadway  
New York, NY 10005

II. RELEVANT STATUTE: 2 U.S.C. §441a(a)(2)(A)

III. BACKGROUND:

Apparent Excessive Contributions Made to Federal Candidate  
Committees by Affiliated Committees

The Equitable Financial Services Political Action  
Committee ("EQUI-PAC") and the Donaldson, Lufkin & Jenrette,  
Inc. - Better Government Fund ("the Fund"), two affiliated  
multi-candidate committees, disclosed a total of \$23,750 in  
apparent excessive contributions to seven (7) federal  
candidates during the 1987-88 election cycle and a total of  
\$9,400 in apparent excessive contributions to five (5)  
federal candidates during the 1989-90 election cycle (See  
Chart).

On December 21, 1989, Paul Camilleri and George  
Williams, representatives of EQUI-PAC and the Fund made a  
conference call to the Reports Analysis Division ("RAD").  
The gentlemen stated that Equitable Financial Services had  
acquired Donaldson, Lufkin & Jenrette several years before,  
however, a Statement of Organization reflecting the  
committees' affiliation had not been filed. The gentlemen  
then requested a meeting to discuss a remedy to the problem  
(Attachment 2).

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EQUITABLE FINANCIAL SERVICES POLITICAL  
ACTION COMMITTEE and DONALDSON, LUFKIN  
& JENRETTE, INC.-BETTER GOVERNMENT FUND  
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On December 27, 1989, the committees filed amended Statements of Organization to reflect affiliation (Attachment 3).

On January 18, 1990, the committees' representatives met with the RAD analysts. The gentlemen stated that as a result of a change in internal procedures, the committees began to communicate concerning intended future contributions to federal candidates -- several years after acquisition. The representatives stated that some possible excessive contributions had resulted over the years between the two committees in giving to federal candidates. The RAD analysts requested the representatives provide the actual date of acquisition as soon as possible to allow for a review of past election cycles for potential excessive situations. (Attachment 4).

On February 26, 1990, the committees filed an amended Statement of Organization letter stating that "Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock on December 13, 1984." The committees stated that they had, "strengthened the coordination between the PACs such that DLJ's planned contributions are formally reported to the Treasurer of EQUI-PAC before the DLJ contribution is made. Administrative systems have been reviewed to capture and monitor contributions from both PACs to avoid the recurrence of this situation." (Attachment 5).

On March 28, 1990, the RAD analyst sent a Request for Additional Information ("RFAI") to the committees concerning several possible excessive contributions to several federal candidate committees over four (4) election cycles. The letter stated that contributions from affiliated multi-candidate committees to federal candidate committees could total no more than \$5,000 per election. The committees were informed that they should request a refund of the excessive portions of the contributions. (Attachments 6 and 7).

On April 17, 1990, the committees responded. The letter from EQUI-PAC stated that the committee was taking actions to "correct certain items." The letter from the Fund was more specific, stating that several of the excessive contributions noted in the March 28 RFAI were not excessive but had been reported incorrectly by the committees with respect to election designation. The letter stated that the contributions were not "designated" for a particular election -- and to avoid possible excessive situations, the Fund changed its reporting of election designations (Attachments 8 and 9).

On May 9, 1990, the Reports Analyst sent RFAIs to the committees noting that simply changing the reported

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EQUITABLE FINANCIAL SERVICES POLITICAL  
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designations on affected reports would not alleviate possible violations of the Federal Election Campaign Act. In addition, the notice requested that the committees clarify which contributions were designated pursuant to 11 CFR §110.2(b)(4) (Attachments 10 and 11).

On May 31, 1990, Second Notices were sent to the committees for failure to respond to the May 9th RFAIs (Attachments 12 and 13).

On June 5, 1990, Ms. Katharine Boyce called concerning the RFAIs. The Reports Analyst explained that there may have been some confusion about the specifics of designation and redesignation on the part of the committees. The analyst explained that although redesignations on amended reports could be done, the 60-day window for redesignations as prescribed at 11 CFR §110.2(b)(5) would not be a viable choice for the apparent excessive situations mentioned in the RFAIs. The analyst suggested that should none of the contributions have been designated, the committees must go back through past election cycles, review the date of contributions as compared to election dates for each candidate, (not relying on information filed by candidate committees over the years) and determine whether any excessive contributions had been made (Attachment 14).

On June 7, 1990, the Fund responded. Mr. Daddino wrote that the Fund "did not designate any of its contributions either for the primary or general election campaigns of any of the candidates in question" (Attachment 15).

On June 14, 1990, EQUI-PAC responded by letter stating that none of the previously questioned contributions to candidate committees had been designated as prescribed at 11 CFR §110.2(b)(4). The letter went on to say that contributions to candidates reported by the committee had been compared to reports filed by the candidates in order to determine appropriate election designations (Attachment 16).

On August 29, 1990, RFAIs were sent to the committees informing the committees of several instances where excessive contributions to candidates may have occurred. The RFAIs stated that the committees should verify the data and, in appropriate instances, request a refund of the excessive amounts. The notice also mentioned previous efforts by the committees to obtain refunds from candidate committees which now needed to be disclosed as debts on Schedule D (Attachments 17 and 18).

On September 11, 1990, Ms. Boyce called to request additional time to respond to the RFAI. The analyst

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explained that the committees should anticipate another letter concerning the matter which would give the committees an additional 15 days to respond (Attachment 19).

On September 12, 1990, Ms. Boyce filed a letter confirming the conversation of September 11, 1990 (Attachment 20).

On September 20, 1990, Second Notices were sent to the committees stating that no responses to the August 29, 1990 RFAIs had been received (Attachments 21 and 22).

On October 5, 1990, the committees responded. The committees stated that they had reviewed the August 29 RFAIs and attached charts and had adjusted some of the enclosed chart's figures. In addition, several copies of refund requests were included in the responses (Attachments 23 and 24).

To date, the committees have received refunds of \$27,150 and have requested refunds of the remaining \$6,000 in apparent excessive contributions.

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ACTIVITY

D=Donaldson, Lufkin & Jenrette

Better Government Fund

E=Equitable Political Action

<u>CANDIDATE</u>	<u>DATE OF ELECTION</u>	<u>Committee</u>	<u>ATTACHMENT #</u>	<u>STATUS</u>	<u>APPARENT DISPOSITION</u>	<u>ATTACHMENT #</u>	
Max Baucus	Primary 6/5/90	E - 6/24/87	\$ 500	A	\$9,000 contributed toward 6/5/90 Primary - apparent \$4,000 excessive in contributions		
	General 11/6/90	E - 3/02/88	\$2,000				
		E - 5/02/88	\$2,000				
		D - 5/01/89	\$1,000				
		E - 5/05/89	\$3,500				
		D - 11/15/89	-\$ 500				
E - 7/17/90	\$3,500						
Lloyd Bentsen	Primary 3/08/88	E - 3/17/87	\$5,000	B	\$11,500 contributed toward 3/8/88 Primary - apparent \$6,500 excessive in contributions		
	Runoff 4/12/88	D - 5/05/87	\$1,500				
		General 11/08/88	E - 6/24/87				\$5,000
		D - 11/21/89	-\$1,500				
		D - 11/21/89	-\$1,500				
		E - 10/31/90	-\$5,000				
Joseph Lieberman	Primary 9/14/88	D - 1/20/88	\$2,500	C	\$7,500 contributed toward 9/14/88 Primary - apparent \$2,500 excessive in contributions		
	General 11/08/88	D - 3/24/88	\$5,000				
		D - 7/02/90	\$2,500				
Daniel Patrick Moynihan	Primary 9/13/88	E - 1/15/86	\$1,000	D	\$9,000 contributed toward 9/13/88 Primary - apparent \$4,000 excessive in contributions		
	General 11/08/88	E - 11/20/86	\$1,000				
		E - 12/08/86	\$1,000				
		D - 4/16/87	\$2,000				
		E - 10/07/87	\$1,000				
		E - 11/06/87	\$2,000				
		D - 7/25/88	\$1,000				
		D - 4/23/90	-\$3,000				
		D - 7/17/90	-\$1,000				

\*NOTE: Election designations are only noted on this chart when the committees indicated that a designation was used. Also, PAD has attributed all undesignated contributions to the next election.

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ACTIVITY

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<u>CANDIDATE</u>	<u>DATE OF ELECTION</u>	<u>Committee</u>	<u>ATTACHMENT #</u>	<u>STATUS</u>	<u>APPARENT DISPOSITION</u>	<u>ATTACHMENT #</u>
Don Riegle	Primary 8/02/88 11/08/88	E - 4/01/85 \$1,000	E	\$9,000 contributed toward 8/2/88 Primary - apparent \$4,000 excessive in contributions	\$2,000 outstanding	E-A
		D - 7/17/86 \$1,000				
		D - 1/28/87 \$1,000				
		E - 2/25/87 \$2,000				
		E - 5/22/87 \$1,000				
		E - 5/02/88 \$1,000				
		D - 7/25/88 \$2,000				
		D - 5/07/90 -\$2,000				
Charles Rangel	General 11/04/86 Primary 9/13/88 General 11/08/88	E - 11/20/86 \$1,500	F	\$6,750 contributed toward 9/13/88 Primary - apparent \$1,750 excessive in contributions		
		E - 11/06/87 \$5,000				
		D - 5/31/88 \$ 250				
		D - 11/15/89 -\$ 250				
		E - 10/31/90 -\$1,500				
David Pryor	Primary 5/29/90 Runoff 6/12/90 General 11/06/90	E - 5/02/88 \$1,000	G	\$6,000 contributed toward 5/29/90 Primary - apparent \$1,000 excessive in contributions		
		E - 5/05/89 \$1,000				
		E - 6/23/89 \$4,000				
		E - 9/25/90 -\$1,000				
John Chafee	Primary 9/14/88 General 11/08/88	E - 5/30/86 \$2,000	H	\$9,000 contributed toward 9/14/88 Primary - apparent \$4,000 excessive in contributions	\$4,000 outstanding	H-A
		E - 11/20/86 \$2,000				
		E - 5/22/87 \$5,000				
Henry John Heinz	Primary 4/26/88 General 11/08/88	E - 2/25/87 \$1,000	I	\$6,000 contributed toward 4/26/88 Primary - apparent \$1,000 excessive in contributions		
		E - 8/04/87 \$1,000				
		D - 2/22/88 \$1,000				
		E - 3/02/88 \$3,000				
		E - 6/07/88 \$2,000				
		E - 6/07/88 \$1,000				
		E - 10 22 90 -\$1,000				

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ACTIVITY

D=Donaldson, Lufkin & Jenrette

Better Government Fund

E=Equitable Political Action

Committee

<u>CANDIDATE</u>	<u>DATE OF ELECTION</u>	<u>ACTIVITY</u>	<u>AMOUNT</u>	<u>ATTACHMENT #</u>	<u>STATUS</u>	<u>APPARENT DISPOSITION</u>	<u>ATTACHMENT #</u>
Charles Schumer	Primary 9/11/90	D - 2/03/89	\$5,000	J		\$7,000 contributed toward 8/21/90 Primary - apparent \$2,000 excessive in contributions	
	General 11/06/90	E - 11/30/89	\$2,000				
		E - 10/31/90	-\$2,000				
Bill Bradley	Primary 6/05/90	D - 9/26/86	\$1,000	K		\$6,400 contributed toward 6/5/90 Primary - apparent \$1,400 excessive in contributions	
		General 11/06/90	E - 11/18/87				
	E - 6/24/87		\$ 600				
	D - 9/15/87	-\$1,000					
	E - 5/05/89	\$1,000					
	D - 10/03/89	\$2,000					
	D - 11/30/89	\$ 400					
		\$ 600-G					
	E - 4/23/90	\$1,900					
	E - 4/23/90	\$3,000-G					
	D - 10/22/90	-\$1,400					
John D. Rockefeller	Primary 5/08/90	E - 5/05/89	\$5,000	L		\$6,000 contributed toward 5/8/90 General - apparent \$1,000 excessive in contributions	
		General 11/06/90	E - 3/20/90				
	D - 5/18/90		\$1,000				
	D - 10/22/90	-\$1,000					



FEDERAL ELECTION COMMISSION  
1989-1990  
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

DATE 8JAN91

PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
					TYPE OF FILER	
	CONALESON, LUPKIN & JENNETTE, INC. BETTER GOVERNMENT FUND					ID #000103325 NON-PARTY QUALIFIED
	CONNECTED ORGANIZATION: D&J INC(AFFLI) EQUI-PAC					
	STATEMENT OF ORGANIZATION - AMENDMENT			27DEC89	2	89FEC/618/1270
	MID-YEAR REPORT	27,838	26,847	1JAN89 - 30JUN89	15	89FEC/601/2717
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN89 - 30JUN89	3	89FEC/616/4471
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN89 - 30JUN89	2	90FEC/636/2920
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN89 - 30JUN89	2	90FEC/642/0561
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN89 - 30JUN89	2	90FEC/657/5061
	MID-YEAR REPORT - AMENDMENT	-	-	1JAN89 - 30JUN89	1	90FEC/658/0750
	REQUEST FOR ADDITIONAL INFORMATION			1JAN89 - 30JUN89	1	89FEC/618/1898
	REQUEST FOR ADDITIONAL INFORMATION			1JAN89 - 30JUN89	2	90FEC/654/1676
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN89 - 30JUN89	1	90FEC/656/1706
	YEAR-END	22,713	24,640	1JUL89 - 31DEC89	11	90FEC/619/1914
	YEAR-END - AMENDMENT	17,712	19,840	1JUL89 - 31DEC89	12	90FEC/632/0610
	YEAR-END - AMENDMENT	-	-	1JUL89 - 31DEC89	6	90FEC/657/5068
	YEAR-END - AMENDMENT	-	-	1JUL89 - 31DEC89	1	90FEC/658/0751
	REQUEST FOR ADDITIONAL INFORMATION			1JUL89 - 31DEC89	3	90FEC/630/4108
	REQUEST FOR ADDITIONAL INFORMATION			1JUL89 - 31DEC89	45	90FEC/632/0610
	REQUEST FOR ADDITIONAL INFORMATION			1JUL89 - 31DEC89	2	90FEC/639/2191
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL89 - 31DEC89	3	90FEC/641/4447
	REQUEST FOR ADDITIONAL INFORMATION			1JUL89 - 31DEC89	2	90FEC/654/1674
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL89 - 31DEC89	1	90FEC/656/1707
	STATEMENT OF ORGANIZATION - AMENDMENT			26FEB90	1	90FEC/619/4814
	MISCELLANEOUS REPORT TO FEC			10SEP90	2	90FEC/655/1677
	MONTHLY QUARTERLY	15,800	9,637	1JAN90 - 31MAR90	13	90FEC/654/1680
	QUARTERLY	8,795	15,500	1APR90 - 30JUN90	8	90FEC/644/3511
	QUARTERLY - AMENDMENT	-	-	1APR90 - 30JUN90	1	90FEC/658/0749
	REQUEST FOR ADDITIONAL INFORMATION			1APR90 - 30JUN90	69	90FEC/654/1678
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR90 - 30JUN90	1	90FEC/656/1708
	QUARTERLY QUARTERLY	16,100	13,810	1JUL90 - 30SEP90	18	90FEC/659/1910
	POST-GENERAL	33,350	30,500	1OCT90 - 26NOV90	20	90FEC/670/0009
	TOTAL	118,518	0	117,124	0	250 TOTAL 84388

Cash on hand as of 11/26/90: \$ 5,317

Debts and obligations owed by the Committee as of 11/26/90: \$3,000.00

Debts and obligations owed to the Committee as of 11/26/90: \$ -0-

All reports have been reviewed.

FEDERAL ELECTION COMMISSION  
1989-1990  
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

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DATE 8JAN91

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COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
						TYPE OF FILER
EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)						
CONNECTED ORGANIZATION: EQUI-PAC/(AFFL) DL&J INC						ID #C00161901 NON-PARTY QUALIFIED
	1989 STATEMENT OF ORGANIZATION - AMENDMENT			27DEC89	2	89FEC/618/1298
	APRIL QUARTERLY	22,174	6	1JAN89 -31MAR89	6	89FEC/591/1998
	JULY QUARTERLY	30,106	73,250	1APR89 -30JUN89	14	89FEC/607/0847
	JULY QUARTERLY - AMENDMENT	30,106	73,250	1APR89 -30JUN89	4	90FEC/627/0498
	JULY QUARTERLY - AMENDMENT	-	-	1JAN89 -30JUN89	4	90FEC/636/3958
	JULY QUARTERLY - AMENDMENT	-	-	1JAN89 -30JUN89	10	90FEC/642/3218
	JULY QUARTERLY - AMENDMENT	-	-	1APR89 -30JUN89	1	90FEC/658/3748
	REQUEST FOR ADDITIONAL INFORMATION			1JAN89 -30JUN89	50	90FEC/632/2108
	REQUEST FOR ADDITIONAL INFORMATION			1JAN89 -30JUN89	2	90FEC/639/2118
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN89 -30JUN89	3	90FEC/641/4478
	REQUEST FOR ADDITIONAL INFORMATION			1APR89 -30JUN89	2	90FEC/654/2488
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR89 -30JUN89	1	90FEC/658/3138
	YEAR-END	89,871	55,656	1JUL89 -31DEC89	21	90FEC/625/1181
	YEAR-END - AMENDMENT	-	-	1JUL89 -31DEC89	1	90FEC/658/3748
	REQUEST FOR ADDITIONAL INFORMATION			1JUL89 -31DEC89	2	90FEC/654/2488
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL89 -31DEC89	1	90FEC/658/3141
	STATEMENT OF ORGANIZATION - AMENDMENT			26FEB90	2	90FEC/629/4188
	MISCELLANEOUS REPORT TO FED			13SEP90	1	90FEC/658/3178
	APRIL QUARTERLY	25,553	30,906	1JAN90 -31MAR90	10	90FEC/635/3781
	APRIL QUARTERLY - AMENDMENT	-	-	1JAN90 -31MAR90	3	90FEC/645/2887
	APRIL QUARTERLY - AMENDMENT	-	-	1JAN90 -31MAR90	1	90FEC/658/3747
	REQUEST FOR ADDITIONAL INFORMATION			1JAN90 -31MAR90	2	90FEC/654/2488
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JAN90 -31MAR90	1	90FEC/656/3141
	JULY QUARTERLY	21,879	49,206	1APR90 -30JUN90	9	90FEC/645/2871
	JULY QUARTERLY - AMENDMENT	-	-	1APR90 -30JUN90	15	90FEC/657/3378
	JULY QUARTERLY - AMENDMENT	-	-	1APR90 -30JUN90	3	90FEC/673/4513
	REQUEST FOR ADDITIONAL INFORMATION			1APR90 -30JUN90	67	90FEC/654/2488
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1APR90 -30JUN90	1	90FEC/658/3141
	OCTOBER QUARTERLY	25,887	29,297	1JUL90 -30SEP90	12	90FEC/660/1082
	OCTOBER QUARTERLY - AMENDMENT	-	-	1JUL90 -30SEP90	4	90FEC/673/4517
	REG-GENERAL	3,275	2,014	1OCT90 -17OCT90	7	90FEC/658/3887
	REG-GENERAL - AMENDMENT	3,275	2,014	1OCT90 -17OCT90	3	90FEC/673/4513
	1989 LETTER INFORMATIONAL NOTICE			1OCT90 -17OCT90	1	90FEC/673/4513
	REG-GENERAL	21,741	8,500	18OCT90 -26NOV90	11	90FEC/673/4513
	TOTAL	240,466	248,835		277	TOTAL 84388

Cash on hand as of 11/26/90: \$ 12,693  
 Debts and obligations owed by the Committee as of 11/26/90: \$ -0-  
 Debts and obligations owed to the Committee as of 11/26/90: \$ 7,000.00

All reports have been reviewed.



9104056170

UNITED STATES HOUSE OF REPRESENTATIVES

COMMITTEE ON OVERSIGHT AND INVESTIGATION

UNITED STATES HOUSE OF REPRESENTATIVES

HOUSE REPORT

PAGE 1

ADVISORY COMMITTEE

COMMITTEE

DISPOSITION

OF REPORTS  
 COVERED DATES PAGES LOCATION  
 TYPE OF FILER

ADVISORY COMMITTEE ON THE NATIONAL DEFENSE SCIENCE AND TECHNOLOGY  
 COMPLETED REGISTRATION: DONALDSON LUCIAN & HENRIETTE INC

ID #000103325 NON-PARTY QUALIFIED

ADVISORY COMMITTEE	COMMITTEE	DISPOSITION	OF REPORTS	COVERED DATES	PAGES	LOCATION	TYPE OF FILER
1987	MID YEAR REPORT		56,132		56,597	1JAN87 -30JUN87	16 87FEC/473/2402
	MID YEAR REPORT	AMENDMENT	-		-	1JAN87 -30JUN87	2 90FEC/636/3842
	MID YEAR REPORT	AMENDMENT	-		-	1JAN87 -30JUN87	2 90FEC/642/0569
	MID YEAR REPORT	AMENDMENT	-		-	1JAN87 -30JUN87	1 90FEC/658/3755
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1JAN87 -30JUN87	2 90FEC/632/1766
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1JAN87 -30JUN87	2 90FEC/639/2087
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1JAN87 -30JUN87	3 90FEC/641/4441
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1JAN87 -30JUN87	2 90FEC/654/3686
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1JAN87 -30JUN87	1 90FEC/656/3021
	YEAR END		35,763		34,139	1JUL87 -31DEC87	15 88FEC/500/2796
	YEAR END	AMENDMENT	35,763		34,139	1JUL87 -31DEC87	17 88FEC/513/3488
	YEAR END	AMENDMENT	-		-	1JUL87 -31DEC87	1 90FEC/658/3752
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1JUL87 -31DEC87	1 88FEC/512/3226
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1JUL87 -31DEC87	2 90FEC/654/3684
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1JUL87 -31DEC87	1 90FEC/656/3023
1988	APRIL QUARTERLY		16,796		16,311	1JAN88 -31MAR88	11 88FEC/518/1050
	APRIL QUARTERLY	AMENDMENT	-		-	1JAN88 -31MAR88	7 90FEC/636/3817
	APRIL QUARTERLY	AMENDMENT	-		-	1JAN88 -31MAR88	2 90FEC/642/0551
	APRIL QUARTERLY	AMENDMENT	-		-	1JAN88 -31MAR88	6 90FEC/657/5355
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1JAN88 -31MAR88	2 90FEC/654/3682
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1JAN88 -31MAR88	1 90FEC/656/3023
	JULY QUARTERLY		18,139		19,260	1APR88 -30JUN88	11 88FEC/532/3018
	JULY QUARTERLY	AMENDMENT	-		-	1APR88 -30JUN88	4 88FEC/541/0994
	JULY QUARTERLY	AMENDMENT	-		-	1APR88 -30JUN88	7 90FEC/636/3848
	JULY QUARTERLY	AMENDMENT	-		-	1APR88 -30JUN88	2 90FEC/642/0572
	JULY QUARTERLY	AMENDMENT	-		-	1APR88 -30JUN88	1 90FEC/658/3754
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1APR88 -30JUN88	1 88FEC/540/2417
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1APR88 -30JUN88	45 90FEC/632/1768
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1APR88 -30JUN88	2 90FEC/639/2089
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1APR88 -30JUN88	3 90FEC/641/4444
	REQUEST FOR ADDITIONAL INFORMATION		-		-	1APR88 -30JUN88	2 90FEC/654/3680
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1APR88 -30JUN88	1 90FEC/656/3024
	OCTOBER QUARTERLY		45,589		48,500	1JUL88 -30SEP88	18 88FEC/552/0229
	OCTOBER QUARTERLY	AMENDMENT	-		-	1JUL88 -30SEP88	2 90FEC/636/3834
	OCTOBER QUARTERLY	AMENDMENT	-		-	1JUL88 -30SEP88	2 90FEC/642/0563
	OCTOBER QUARTERLY	AMENDMENT	-		-	1JUL88 -30SEP88	1 90FEC/658/3742
	REQUEST FOR ADDITIONAL INFORMATION END		-		-	1JUL88 -30SEP88	1 90FEC/656/3025
	PRE-GENERAL		3,000		3,500	1OCT88 -19OCT88	6 88FEC/560/3607
	POST-GENERAL		8,943		5,025	30OCT88 -28NOV88	12 88FEC/567/2793
	YEAR END		1,936		3,300	30NOV88 -31DEC88	10 89FEC/576/3399
1990	REQUEST FOR ADDITIONAL INFORMATION		-		-	30SEP88 -1JUL90	2 90FEC/654/3678
TOTAL			189,357	6	196,152	0	230 TOTAL PAGES

All reports have been reviewed

Debts and obligations owed to the committee as of 12/31/88 : -0-  
 Debts and obligations owed by the committee as of 12/31/88 : \$4,000  
 cash on hand as of 12/31/88 : \$2,000.00

(Page 3 of 4)

9 1 5 4 0 5 5 4 1 1

UNITED STATES HOUSE OF REPRESENTATIVES  
 COMMITTEE ON OVERSIGHT AND INVESTIGATION  
 SUBCOMMITTEE ON CONSUMER PROTECTION

COMMITTEE REPORT NO. 100-161901  
 TITLE: CONSUMER PROTECTION ACT SERVICES PROVIDED BY THE COMMITTEE (1987-1988)  
 COVERAGE DATE: FROM: LOCATION: TYPE OF FILER:

DESCRIPTION	AMOUNT	DATE	FILE NO.	PAGES	LOCATION	TYPE OF FILER
1987 9th YEAR REPORT	76,662	76,662	1JAN87 -30JUN87	13	87FEC/477/4384	NON-PARTY QUALIFIED
9th YEAR REPORT - AMENDMENT			1JAN87 -30JUN87	2	87FEC/492/4478	
9th YEAR REPORT	76,662	76,662	1JAN87 -30JUN87	13	87FEC/493/1522	
9th YEAR REPORT - AMENDMENT			1JAN87 -30JUN87	7	87FEC/495/0003	
9th YEAR REPORT - AMENDMENT			1JAN87 -30JUN87	2	90FEC/636/3873	
9th YEAR REPORT - AMENDMENT			1JAN87 -30JUN87	1	90FEC/658/3744	
REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	6	87FEC/492/1004	
REQUEST FOR ADDITIONAL INFORMATION END			1JAN87 -30JUN87	1	87FEC/493/2241	
REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	2	90FEC/632/1957	
REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	2	90FEC/639/2107	
REQUEST FOR ADDITIONAL INFORMATION END			1JAN87 -30JUN87	3	90FEC/641/4466	
REQUEST FOR ADDITIONAL INFORMATION			1JAN87 -30JUN87	2	90FEC/654/2494	
REQUEST FOR ADDITIONAL INFORMATION END			1JAN87 -30JUN87	1	90FEC/656/3035	
YEAR END - AMENDMENT	51,900	51,900	1JUL87 -31DEC87	14	88FEC/498/0489	
YEAR END - AMENDMENT			1JUL87 -31DEC87	2	90FEC/636/3861	
YEAR END - AMENDMENT			1JUL87 -31DEC87	1	90FEC/658/3745	
REQUEST FOR ADDITIONAL INFORMATION			1JUL87 -31DEC87	2	90FEC/632/1959	
REQUEST FOR ADDITIONAL INFORMATION			1JUL87 -31DEC87	2	90FEC/639/2109	
REQUEST FOR ADDITIONAL INFORMATION END			1JUL87 -31DEC87	3	90FEC/641/4469	
REQUEST FOR ADDITIONAL INFORMATION			1JUL87 -31DEC87	2	90FEC/654/2492	
REQUEST FOR ADDITIONAL INFORMATION END			1JUL87 -31DEC87	1	90FEC/656/3036	
STATEMENT OF ORGANIZATION - AMENDMENT			8JAN88	1	88FEC/498/0488	
STATEMENT OF ORGANIZATION - AMENDMENT			11APR88	1	88FEC/516/1877	
STATEMENT OF ORGANIZATION - AMENDMENT			16NOV88	2	88FEC/565/1831	
APRIL QUARTERLY	46,778	30,450	1JAN88 -31MAR88	10	88FEC/516/1878	
APRIL QUARTERLY - AMENDMENT			1JAN88 -31MAR88	1	90FEC/658/3746	
REQUEST FOR ADDITIONAL INFORMATION			1JAN88 -31MAR88	2	90FEC/632/1961	
REQUEST FOR ADDITIONAL INFORMATION			1JAN88 -31MAR88	2	90FEC/639/2111	
REQUEST FOR ADDITIONAL INFORMATION END			1JAN88 -31MAR88	3	90FEC/641/4472	
REQUEST FOR ADDITIONAL INFORMATION			1JAN88 -31MAR88	2	90FEC/654/2490	
REQUEST FOR ADDITIONAL INFORMATION END			1JAN88 -31MAR88	1	90FEC/656/3037	
JULY QUARTERLY	45,450	45,450	1APR88 -30JUN88	10	88FEC/532/5267	
JULY QUARTERLY - AMENDMENT			1APR88 -30JUN88	10	88FEC/534/3501	
JULY QUARTERLY - AMENDMENT			1APR88 -30JUN88	1	90FEC/658/3750	
REQUEST FOR ADDITIONAL INFORMATION			1APR88 -30JUN88	45	90FEC/632/1963	
REQUEST FOR ADDITIONAL INFORMATION			1APR88 -30JUN88	2	90FEC/639/2113	
REQUEST FOR ADDITIONAL INFORMATION END			1APR88 -30JUN88	3	90FEC/641/4475	
REQUEST FOR ADDITIONAL INFORMATION			1APR88 -30JUN88	2	90FEC/654/2488	
REQUEST FOR ADDITIONAL INFORMATION END			1APR88 -30JUN88	1	90FEC/656/3038	
OCTOBER QUARTERLY	19,117	19,117	1JUL88 -30SEP88	10	88FEC/549/1969	
DECEMBER QUARTERLY	10,500	10,500	1OCT88 -28NOV88	11	88FEC/573/2703	
YEAR GENERAL			29NOV88 -31DEC88	6	89FEC/580/3095	
YEAR END	13,765	0				
TOTAL	324,175	323,879	0	208	TOTAL PAGES	

All reports have been reviewed  
 Debts and obligations owed to the committee as of 12/31/88 : -0-  
 Debts and obligations owed by the committee as of 12/31/88 : -0-  
 Cash on hand as of 12/31/88 : \$36,516.76

(Page 4 of 4)

SCHEDULE B

ITEMIZED DISBURSEMENTS

1987 Mid Year Report

Use separate schedule for each category of the Disbursements Summary Page

PAGE 01  
6  
FORM LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for similar purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIPAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Victor Fazio (D-CA) Congressman Fazio Campaign Committee PO Box 2474 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$350.00
B. Full Name, Mailing Address and ZIP Code Congressman Marge Roukema (R-NJ) The Committee to Re-elect Marge Roukema PO Box 625 Ridgewood NJ	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$300.00
C. Full Name, Mailing Address and ZIP Code Senator Malcolm Wallop (R-WY) Wallop Senate Drive 4124 South 36th Street Arlington VA 22206	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$1,000.00
D. Full Name, Mailing Address and ZIP Code Congressman Pete Stark (D-CA) Pete Strk Reelection Committee 106 N Carolina Ave SE Washington DC 20003	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$1,500.00
E. Full Name, Mailing Address and ZIP Code Senator Edward Kennedy (D-MA) Committee to Re-elect Senator Edward Kennedy	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	1,000.00
F. Full Name, Mailing Address and ZIP Code Senator Bill Bradley (D-NJ) Bradley For US Senate PO Box 1990 Union New Jersey 07083	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$600.00
G. Full Name, Mailing Address and ZIP Code Senator Lloyd Bentsen (D-TX) Election Committee PO Box 1990 Washington DC 20013-1990	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$5,000.00
H. Full Name, Mailing Address and ZIP Code Senator Max Baucus (D-MT) Friends of Max Baucus PO Box 2891 Washington DC 20013-2891	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$500.00
I. Full Name, Mailing Address and ZIP Code Congressman Ed Towns (D-NY) Committee to Re-elect Congress Ed Towns PO Box 2474 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$300.00

SUBTOTAL of Disbursements This Period (all items)

\$10,550.00

TOTAL This Period (all items)

1040564172  
10477437





SCHEDULE B

ITEMIZED CONTRIBUTIONS

(Contributions to Federal Candidates and Other Political Committees)

Donor Summary Page 11 (Use this number)

All information on this Report and Disbursements may not be used by any person for the purpose of conducting any election or for any other purpose other than that set forth in the name and address of any political committee to which contributions were made.

NAME OF COMMITTEE on Page

1988 JULY QTRLY

EXITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EFOI-PAC) 000161901

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement CONTRIBUTION TO BOB MICHEL (R-IL) HOUSE CANDIDATE, FEDERAL	Date (month, day, year)	Amount of Each Disbursement This Period
CONGRESSMAN BOB MICHEL (R-IL) MICHEL FOR CONGRESS 1230 20TH STREET N.W. WASHINGTON D.C. 20007	Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	5/2/88	\$1,000.00
B. Full Name, Mailing Address and ZIP Code ON REELECTION COMMITTEE 1101 CONNECTICUT AVE. N.W. SUITE 500 WASHINGTON, D.C. 20036	Purpose of Disbursement CONTRIBUTION TO POLITICAL COMMITTEE, FEDERAL	5/2/88	\$2,000.00
C. Full Name, Mailing Address and ZIP Code SENATOR JIM SASSER (D-TX) FRIENDS OF JIM SASSER 240 MERCER STREET, SUITE 1406 NEW YORK, NY 10012	Purpose of Disbursement CONTRIBUTION TO JIM SASSER (D-TX) SENATE CANDIDATE, FEDERAL	5/2/88	\$1,000.00
D. Full Name, Mailing Address and ZIP Code CONGRESSMAN JIM BUNNING (R-KY) CITIZENS FOR BUNNING 1717 DIXIE HIGHWAY SUITE 180 FT. WRIGHT, KY 41011	Purpose of Disbursement CONTRIBUTION TO JIM BUNNING (R-KY) HOUSE CANDIDATE, FEDERAL	5/2/88	\$300.00
E. Full Name, Mailing Address and ZIP Code SENATOR ALAN SIMPSON (R-WY) THE SIMPSON SENATE COMMITTEE PO BOX 82 CASPER, WY 82602	Purpose of Disbursement CONTRIBUTION TO ALAN SIMPSON (R-WY) SENATE CANDIDATE, FEDERAL	5/2/88	\$2,000.00
F. Full Name, Mailing Address and ZIP Code SENATOR MAX BAUCUS (D-MT) FRIENDS OF MAX BAUCUS PO BOX 259 WASHINGTON, DC 20013	Purpose of Disbursement CONTRIBUTION TO MAX BAUCUS (D-MT) SENATE CANDIDATE, FEDERAL	5/2/88	\$2,000.00
G. Full Name, Mailing Address and ZIP Code CONGRESSMAN JOHN ROWLAND (R-CT) ROWLAND CONGRESS COMMITTEE BOX 444 MERRITT, CT 06720	Purpose of Disbursement CONTRIBUTION TO JOHN ROWLAND (R-CT) HOUSE CANDIDATE, FEDERAL	5/2/88	\$250.00
H. Full Name, Mailing Address and ZIP Code SENATOR CHRIS DODD (D-CT) FRIENDS OF CHRIS DODD 1310 19th STREET, N.W. WASHINGTON, D.C. 20036	Purpose of Disbursement CONTRIBUTION TO CHRIS DODD (D-CT) SENATE CANDIDATE, FEDERAL	5/2/88	\$500.00
I. Full Name, Mailing Address and ZIP Code SENATOR DAVID PRYOR (D-AR) THE DAVID PRYOR FOR US SENATE PO BOX 1726 HOT SPRING, AR 72202	Purpose of Disbursement CONTRIBUTION TO DAVID PRYOR (D-AR) SENATE CANDIDATE, FEDERAL	5/2/88	\$1,000.00

SUBTOTAL of Disbursements This Page (see page 1)

TOTAL



14-00000-1000000  
Page 317  
Page Last Number 22

Any information obtained from this Report and Statement may not be used for the purpose of obtaining contributions or for commercial purposes, other than using the name and address of contributors to obtain contributions from such contributors.

NAME OF COMMITTEE IN FULL  
**HOUSE OF REPRESENTATIVES** 1989 July 07  
**HOUSE OF REPRESENTATIVES POLITICAL ACTION COMMITTEE (H-PAC) CD0161901**

31060708519

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement CONTRIBUTION	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Tim Johnson (D-SD) Tim Johnson for South Dakota P. O. Box 117 Sioux Falls, SD 57104	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	300.00
Congressman Peter Hoagland (D-ND) Hoagland for Congress Committee 8601 W. Dodge Rd. Omaha, NE 68114	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	300.00
Senator Strom Thurmond (D-SC) Re-elect Thurmond Committee c/o Kinghorn and Associates 1155 15th Street NW Suite 400 Washington, D. C. 20005	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	1,600.00
Dan Heath (R-IL) Dan Heath for Congress Committee P. O. Box 11888 Fort Wayne, IN 46861	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	250.00
Congressman Richard Gephardt (D-ND) The Gephardt Committee 555 New Jersey Avenue NW, Ste. 265 Washington, D. C. 20001	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	250.00
Congressman Marty Russo (D-IL) Russo for Congress Committee 420 7th Street, SE Washington, D. C. 20003	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	3,000.00
Senator Wyche Fowler (D-GA) Wyche Fowler for Senate Campaign c/o Harriett Mildner 3442 Ridgewood Rd. NW Atlanta, GA 30327	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	2,000.00
Congresswoman Rita Lowey (D-NY) Rita Lowey for Congress Committee 901 Fifteenth St. NW, Ste. 700 Washington, D. C. 20005	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	300.00
Senator Max Baucus (D-MT) Friends of Max Baucus P. O. Box 2891 Washington, D. C. 20013	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	3,500.00

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period for (see the box number only)

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each company if its Detailed Summary Page

PAGE 1  
Total: \$ 10,000

All information on this Schedule A and Statements may not be valid or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lukin & Jenrette, Inc. Better Government Fund

1989 YEAR END

A Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Receipts For
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Stop Payment Issued	Occupation	09/22/89	500
Aggregate Year-to-Date > \$ 500			
B Full Name, Mailing Address and ZIP Code Brad Dye Campaign Fund PO Box 22818 Jackson, Mississippi 39225-2848 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Stop Payment Issued	Name of Employer	Date (month, day, year)	Amount of Receipts For
	Occupation	10/17/89	2,000
Aggregate Year-to-Date > \$ 2,000			
C Full Name, Mailing Address and ZIP Code Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Stop Payment Issued	Name of Employer	Date (month, day, year)	Amount of Receipts For
	Occupation	10/17/89	2,500
Aggregate Year-to-Date > \$ 2,500			
D Full Name, Mailing Address and ZIP Code Charles B. Rangel PO Box 5577, Manhattanville Station 145 West 125th St. New York, NY 10027 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Contribution Refund	Name of Employer	Date (month, day, year)	Amount of Receipts For
	Occupation	11/15/89	250
Aggregate Year-to-Date > \$ 250			
E Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third St., NE Washington, DC 20002 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Contribution Refund	Name of Employer	Date (month, day, year)	Amount of Receipts For
	Occupation	1/15/89	500
Aggregate Year-to-Date > \$ 500			
F Full Name, Mailing Address and ZIP Code Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Contribution Refund	Name of Employer	Date (month, day, year)	Amount of Receipts For
	Occupation	11/21/89	1,500
Aggregate Year-to-Date > \$ 1,500			
G Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Receipts For
	Occupation		
Aggregate Year-to-Date > \$			

403161177

SUBTOTAL of Receipts This Page (optional)

TOTAL This Page (last page this number only)

\$ 10,000



SCHEDULE A

ITEMIZED RECEIPTS  
REFUNDS - LINE 16

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

PAGE 3 OF 3  
FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

1990 OCTOBER  
QUARTERLY

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR DANIEL MOYNIHAN (D-NY) 500 FIFTH AVENUE NEW YORK, N.Y. 10022	REFUND OF 11/6/87 CONTRIBUTION	7/17/90	\$1,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		
SENATOR MAX BAUCUS (D-MT) FRIENDS OF MAX BAUCUS P.O. BOX 2891 WASHINGTON, D.C. 20013	REFUND OF 4/20/89 CONTRIBUTION	7/17/90	\$3,500.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		
SENATOR DAVID PRYOR DAVID PRYOR FOR U.S. SENATE COMM. P.O. BOX 3334 LITTLE ROCK, AR 72203-3334	REFUND OF 4/20/89 CONTRIBUTION	9/25/90	\$1,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation Aggregate Year-to-Date \$		

1198  
 4035  
 1198

TR

TOTAL FOR LINE 16

Any information copied from such Reports and Statements may not be used or cited by any person for the purpose of obtaining contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQU/FPAC) 1987 MID-YEAR

5004073603131

TR

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Bob Dole (R-KS) Campaign America 919 Prince Street Alexander, Virginia 22314	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	1,000.00
B. Full Name, Mailing Address and ZIP Code Senator Lloyd Bentsen (D-TX) Senator Lloyd Bentsen Election Com. P.O. Box 1990 Washington D.C. 20013-1990	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	5,000.00
C. Full Name, Mailing Address and ZIP Code Senator John B. Breaux (D-LA) JohnBreaux Committee 106 North Carolina Ave. SE Washington D.C. 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)		1,000.00
D. Full Name, Mailing Address and ZIP Code Congressman Trent Lott (R-MISS) Trent Lott for Congress P.O. Box 1744 Gulf Port MS 39502	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	1,000.00
E. Full Name, Mailing Address and ZIP Code Congressman Beryl Anthony (I-AR) Anthony for Congress Campaign Com P.O. Box 1871 Fidorado, Arkansas 71730	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	1,000.00
F. Full Name, Mailing Address and ZIP Code Senator George Mitchell Mitchell For Senate P.O. Box 2884 Washington, D.C. 20013	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	3,000.00
G. Full Name, Mailing Address and ZIP Code Congressman Bill Green (R-NY) The Committee for Congressman Bill Green P.O. Box 1505 Murray Station New York New York 10156	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	1,000.00
H. Full Name, Mailing Address and ZIP Code The Lautenberg Committee Senator Frank Lautenberg (D-NJ) P.O. Box 2846 Washington, D.C. 20013	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	1,000.00
I. Full Name, Mailing Address and ZIP Code Congressman Jack Kemp (R-NY) Citizens for Jack Kemp 520 Leesburg Pike Ste. 1207 Falls Church, VA 22041	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	1,000.00

SUBTOTAL of Disbursements This Page (optional) ..... \$15,000.00

TOTAL This Period (last page the line number only) .....

FORM 278E  
MAY 1986

**SCHEDULE B FINISHED DISBURSEMENTS**

1987 MID-YEAR

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Name of committee (in full)  
SCALISE, LIPCHIN & ASSOCIATE SYSTEMS COMPANY FUND

A. Full name mailing address and zip code	purpose of disbursement FUNDRAISER	date	amount this period
GEORGE FOR SENATE COMMITTEE JAMES S. SCALISE III AMERICAN EXPRESS TOWER WORLD FINANCIAL CENTER NEW YORK, NEW YORK 10036-5700	disbursement for: primary <input checked="" type="checkbox"/> general <input type="checkbox"/> other (specify)	01/28/87	1,000.00
30 LEGION OF PAUL TROUBLE J. MARSHALL GILBERT ADM'T, POK, ELSTON, PLATERS & SHIP 8200 OLD COUNTRY LANE UTERA, VIRGINIA 22189-3000	purpose of disbursement CAMPAIGN CONTRIBUTION	02/06/87	5,000.00
HEALTH CARE POLICY ATTENTION: KENNETH S. BARKS, CHAIRMAN 82 WALL STREET, 8100 NEW YORK, NEW YORK 10005	purpose of disbursement FUNDRAISER	02/25/87	1,250.00
GIPAC 1717 PENNSYLVANIA AVENUE, S.W. WASHINGTON, D.C. 20006	purpose of disbursement PAC-TO-PAC CONTRIBUTION	02/27/87	200.00
NOVIBAM COMMITTEE 800 THIRD AVENUE NEW YORK, NEW YORK	purpose of disbursement CAMPAIGN CONTRIBUTION	04/16/87	2,000.00
SENATOR BENNETT ELECTION COMMITTEE P.O. BOX 61202 HOUSTON, TEXAS 77266	purpose of disbursement FUNDRAISER	05/05/87	1,500.00
GEORGE I. BUSH FOR PRESIDENT 61 MADISON AVENUE NEW YORK, NEW YORK	purpose of disbursement FUNDRAISER	05/26/87	2,000.00
EMPIRE STATE LEADERSHIP FUND C/O JOHN MARINO, EXECUTIVE DIRECTOR 60 EAST 42ND STREET NEW YORK, NEW YORK	purpose of disbursement PAC-TO-PAC CONTRIBUTION	06/19/87	5,000.00
PAZIO FOR CONGRESS COMMITTEE 934 GREENSTAR LAY SACRAMENTO, CALIFORNIA 95831	purpose of disbursement FUNDRAISER	06/19/87	1,000.00

Subtotal of Receipts This Page (optional)..... 919,000.00

TOTAL This Period (last page this line number only).....

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Detailed Summary Page 3 of 4 (Total Number)

All information copied from such Reports and Statements may not be used or cited by any person for the purpose of soliciting contributions for any political purpose, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIPAC)

1987 MID YEAR

17 3 4 7 8 9 4 2 2 1

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Victor Fazio (D-CA) Congressman Fazio Campaign Committee PO Box 2474 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$350.00
B Full Name, Mailing Address and ZIP Code Congressman Marge Roukema (R-NJ) The Committee to Re-elect Marge Roukema PO Box 625 Ridgewood NJ	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$300.00
C Full Name, Mailing Address and ZIP Code Senator Malcolm Wallop (R-WY) Wallop Senate Drive 4124 South 36th Street Arlington VA 22206	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$1,000.00
D Full Name, Mailing Address and ZIP Code Congressman Pete Stark (D-CA) Pete Stark Reelection Committee 106 N Carolina Ave SE Washington DC 20003	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$1,500.00
E Full Name, Mailing Address and ZIP Code Senator Edward Kennedy (D-MA) Committee to Re-elect Senator Edward Kennedy	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	1,000.00
F Full Name, Mailing Address and ZIP Code Senator Bill Bradley (D-NJ) Bradley For US Senate PO Box 1990 Union New Jersey 07083	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$600.00
G Full Name, Mailing Address and ZIP Code Senator Lloyd Bentsen (D-TX) Election Committee PO Box 1990 Washington DC 20013-1990	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$5,000.00
H Full Name, Mailing Address and ZIP Code Senator Max Baucus (D-MT) Friends of Max Baucus PO Box 2891 Washington DC 20013-2891	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$500.00
I Full Name, Mailing Address and ZIP Code Congressman Ed Towns (D-NY) Committee to Re-elect Congress Ed Towns PO Box 2474 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$300.00
SUBTOTAL of Disbursements This Period (see instructions)			\$10,550.00
TOTAL This Period (see instructions)			

SCHEDULE A

ITEMIZED RECEIPTS

(Use separate schedule for each category of the following Summary Page)

Page 4 of 6  
Form 1120-UB (1988) 16

All information appearing from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

7  
7  
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A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): Stop Payment Issued		09/22/89	500
Aggregate Year-to-Date > \$ 500			
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Brad Dye Campaign Fund PO Box 22848 Jackson, Mississippi 39225-2848 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): Stop Payment Issued		10/17/89	2,000
Aggregate Year-to-Date > \$ 2,000			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): Stop Payment Issued		10/17/89	2,500
Aggregate Year-to-Date > \$ 2,500			
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Charles B. Rangel PO Box 5577, Manhattanville Station 65 West 125th. St. New York, NY 10027 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): Contribution Refund		11/15/89	250
Aggregate Year-to-Date > \$ 250			
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Friends of Max Baucus 313 Third St., NE Washington, DC 20002 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): Contribution Refund		11/15/89	500
Aggregate Year-to-Date > \$ 500			
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): Contribution Refund		11/21/89	1,500
Aggregate Year-to-Date > \$ 1,500			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Aggregate Year-to-Date > \$			

TR

SUBTOTAL of Receipts This Page (optional) .....	7,250
TOTAL This Period (last page this line number only) .....	7,250

SCHEDULE A

ITEMIZED RECEIPTS

REFUNDS

Detailed Summary Page

FORM LINE NUMBER  
16

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NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
JOHN HEINZ 1100 PNB BUILDING PHILADELPHIA, PA 19107	REFUND OF CONTRIBUTION	10/22/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$ 1,000.00			
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
THE HONORABLE FERNAND ST. GERMAIN 21 HARBOR VIEW DRIVE NEWPORT, RI 02840	REFUND OF CONTRIBUTION	10/31/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$ 1,000.00			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
CONGRESSMAN CHARLES RANGEL (D-NY) RANGEL FOR CONGRESS COMMITTEE 2030 ALLEN PLACE NW WASHINGTON, D.C. 20009	REFUND OF CONTRIBUTION	10/31/90	\$1,500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$ 1,500.00			
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
CONGRESSMAN CHARLES SCHUMER (D-NY) COMMITTEE TO RE-ELECT CHARLES SCHUMER 1551 E. 23rd STREET BROOKLYN, N.Y. 11210	REFUND OF CONTRIBUTION	10/31/90	\$2,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$ 2,000.00			
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR LLOYD BENTSEN (D-TX) ELECTION COMMITTEE P.O. BOX 61202 HOUSTON, TX 77208	REFUND OF CONTRIBUTION	10/31/90	\$5,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$ 5,000.00			
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$			

SUBTOTAL of Receipts (Applicable)

\$10,500.00

TOTAL This Period (Applicable to number only)

(TOTAL FOR LINE 16)

\$10,500.00

12434306753 1:505



SCHEDULE A

ITEMIZED RECEIPTS

Use separate section for  
for each category of  
Detailed Summary Page

All information on this Schedule A and Statements may not be sold or used by any person for the purpose of soliciting contributions or purchases other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

Donaldson, Lutkin & Jenrette, Inc. Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount Received
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Stop Payment Issued		09/22/89	500
Brad Dye Campaign Fund PO Box 20814 Jackson, Mississippi 39225-2848 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Stop Payment Issued		10/17/89	2,000
C. Full Name, Mailing Address and ZIP Code Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Stop Payment Issued		10/17/89	2,500
D. Full Name, Mailing Address and ZIP Code Charles B. Rangel PO Box 5577, Manhattanville Station 13 West 125th. St. New York, NY 10027 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Contribution Refund		11/15/89	250
E. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third St., NE Washington, DC 20002 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Contribution Refund		1/15/89	500
F. Full Name, Mailing Address and ZIP Code Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Contribution Refund		11/21/89	1,500
G. Full Name, Mailing Address and ZIP Code			

SUBTOTAL of Receipts for this Period

TOTAL for this Period (last page this number only)

490306112004

CHINA LA  
 REPORTED DISBURSEMENTS  
 For the reporting period ending on 12/31/88  
 For the reporting period ending on 12/31/88

Any information omitted from this report and Schedule may not be due to any error in the reporting of collecting contributions or for unreported disbursements, other than using the reported address of one disbursement to print another disbursement.

NAME OF CONTRIBUTOR OR DONOR  
 Donaldson, Larkia & Jeannette Better Government Fund 1988 APRIL QTR 4

NAME OF CONTRIBUTOR OR DONOR	PURPOSE OF DISBURSEMENT	DATE (month, day, year)	AMOUNT OF EACH DISBURSEMENT THIS PERIOD
A. Full Name, Mailing Address and ZIP Code Lieberman for Senate c/o David Roth, Esq. Barding, Sbarge, Cronkin & Co. 111 Main St. N. Hartford, CT	Fundraiser Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	01/20/88	2,500
B. Full Name, Mailing Address and ZIP Code Roukema for Congress 303 Cannon House Office Bldg. Washington, DC 20515	Campaign Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	01/22/88	2,000
C. Full Name, Mailing Address and ZIP Code People for John Hains Comm. 112 C Street, N.W. Suite 650 Washington, DC 20001	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	02/22/88	1,000
D. Full Name, Mailing Address and ZIP Code BIPAC Political Action Fund 1647 Pennsylvania Ave. NW Washington, DC 20004	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) PAC CO. PAC CO.	02/23/88	250
E. Full Name, Mailing Address and ZIP Code Lyons Gray for Congress P.O. Box 24338 Winston Salem, NC 27114	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/02/88	500
F. Full Name, Mailing Address and ZIP Code Missourians for Kit Bond 2600 Mutual Benefit Life Bldg 2345 Grand Ave. Kansas City, Missouri 64108	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/02/88	1,500
G. Full Name, Mailing Address and ZIP Code Lieberman for Senate 30 Laurel Street Hartford, CT 06104	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/24/88	5,000
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (rounded) 11,750

TOTAL This Period (all pages this and number only) 11,750



SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committees

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

1990 OCTOBER QUARTERLY

A Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Lieberman '94 Committee PO Box 31294 Old State House Square Hartford, CT 06103		07/02/90	2,500.00
Receipt For: <input type="checkbox"/> Other (specify) <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <b>Refunded check</b>	Occupation		
Aggregate Year-to-Date > \$			
B Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation		
Aggregate Year-to-Date > \$			
C Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation		
Aggregate Year-to-Date > \$			
D Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation		
Aggregate Year-to-Date > \$			
E Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation		
Aggregate Year-to-Date > \$			
F Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation		
Aggregate Year-to-Date > \$			
G Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation		
Aggregate Year-to-Date > \$			

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SUBTOTAL of Receipts This Page (all lines)	2,500.00
TOTAL This Period (all lines, including this line)	2,500.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to contact contributors from such committee

NAME OF COMMITTEE OR FULL **THE BOUTWELL LIFE ASSURANCE SOCIETY POLITICAL ACTION COMMITTEE**

(BOUT PAC) 1986 APRIL Q1LY

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Daniel Moynihan Moynihan Committee 488 Madison Avenue, 12th Floor New York, N.Y. 10022	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/15/86	\$1,000.00
B. Full Name, Mailing Address and ZIP Code Representative Wyche Fowler Committee To Re-elect Wyche Fowler, P.O. Box 2000 Atlanta, Ga. 30301	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/15/86	\$500.00
C. Full Name, Mailing Address and ZIP Code John D. Dingle for Congress Committee P.O. Box 2884 Washington, D.C. 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/6/86	\$500.00
D. Full Name, Mailing Address and ZIP Code Senator Robert Kasten Kasten for Senate 145 West 58th Street, 7D New York, N.Y. 10019	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/21/86	\$1,000.00
E. Full Name, Mailing Address and ZIP Code Representative James Jones James R. Jones for Re-election P.O. Box 767 Tulsa, Ok. 74101	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/21/86	\$1,000.00
F. Full Name, Mailing Address and ZIP Code Kit Bond Republican Candidate for U.S. Senate Missourians for Kit Bond P.O. Box 7000 Washington, D.C. 20009	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/21/86	\$1,000.00
G. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (do not type the line number only)

\$5,000.00

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350033017

MEDIA 8

ITEMIZED DISBURSEMENTS

All figures reported on this category of the Federal Summary Page

FOR LINE NUMBER

Any information reported from such Reports and Statements may not be used or cited by any person for the purpose of obtaining contributions or for commercial purposes, other than using the name and address of any donor to obtain contributions from such donor.

NAME OF CONTRIBUTOR OR FUND

UNITED STATES SENATORIAL SERVICES  
Political Action Committee

(Post-Gen) 1996 30 DAY POST GENERAL

70043150

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Eli Bond - Republican Candidate For US House Memorials For Eli Bond 1735 S Street S W Washington, D.C. 20001	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,000.00
Congressman John Brown John Brown Committee P.O. Box 1791 Baton Rouge, LA 70821	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$2,000.00
Joe Canada Virginians For Joe Canada To Congress 317 Birchwood Park Drive Suite 303 Virginia Beach, VA 23452	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00
Senator Alfonso D'Amato D'Amato In '86 254 West 31 Street 9 Fl New York, New York 10001	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,000.00
Senator Christopher Dodd Chris Dodd For Senate 207 1/2 Park Street Hartford, CT 06106	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00
John Kyl John Kyl For Congress P.O. Box 15136 Phoenix, AR. 85060	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00
Senator Daniel Moynihan Moynihan Committee 800 Third Avenue 29 Fl New York, NY 10022	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,000.00
Congressman Charles Rangel Committee To Re-Elect Charles Rangel 2030 Allen Place N W Washington, D.C. 20009	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,500.00
Congressman Pete Stark Pete Stark Re-Election Committee P.O. Box 5303 Oakland, CA 94605	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00

SUBTOTAL of Disbursements This Page (optional) ..... \$8,500.00

TOTAL This Period (list as per the line number only) .....

SCHEDULE B

ITEMIZED DISBURSEMENTS

For each category of the  
Detailed Summary Page

FOR LINE NUMBER  
21

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NAME OF SUBJECT TO FULL **Equitable Financial Services** (Regi-Pac) **1986 YEAR END**  
**Political Action Committee**

373464510177  
37346451203

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator John Chafee John Chafee Campaign Committee P.O. Box 643 Providence, RI 02910	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$2,000.00
B. Full Name, Mailing Address and ZIP Code Senator Daniel Moynihan Moynihan Committee 600 Third Ave 29 Floor New York, NY 10022	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	12/08/86	\$1,000.00
C. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) ..... \$3,000.00

TOTAL This Period (on page the line number only) ..... \$31,000.00



FORM 8878  
4-88 (REV. 84)

**SCHEDULE B** **UNITED STATES**

Any information omitted from this report or disclosure may not be held or used by any person for the purpose of collecting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of committee (in full) **SENATORIAL, SENATE & SENATE ELECTION CAMPAIGN FUND** 1987 MID YEAR

A. Full name mailing address and zip code	purpose of disclosure FUNDRAISER	date	amount this period
OFFICE FOR SENATE SENATOR JAMES D. BUSHNELL III AMERICAN EXPRESS TOWER 300 WALL STREET, NEW YORK NEW YORK, NEW YORK 10038-5700	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	01/28/87	1,000.00
FRIENDS OF PAUL TIBBIS J. MARSHALL COLLIER 4001, PARK, EASTMAN, PLATON & BARR 8200 OLD COLLEGE ROAD VIRGINIA, VIRGINIA 22140-2000	purpose of disclosure CAMPAIGN CONTRIBUTION	date	amount this period
SENATE FOR SENATE SENATOR HEALTH CARE POLICY ATTENTION: ROBERT S. BARKER, CHAIRMAN 82 WALL STREET, 9TH FLOOR NEW YORK, NEW YORK 10005	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	02/04/87	5,000.00
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	purpose of disclosure FUNDRAISER	date	amount this period
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	02/27/87	250.00
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	purpose of disclosure CAMPAIGN CONTRIBUTION	date	amount this period
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	04/16/87	2,000.00
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	purpose of disclosure FUNDRAISER	date	amount this period
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	05/28/87	2,000.00
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	purpose of disclosure CAMPAIGN CONTRIBUTION	date	amount this period
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	04/7/87	5,000.00
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	purpose of disclosure FUNDRAISER	date	amount this period
SENATE SENATOR SENATOR SENATE SENATOR SENATOR SENATE SENATOR SENATOR	disclosure type primary <input checked="" type="checkbox"/> general other (specify)	06/19/87	1,000.00

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Subtotal of Receipts This Page (optional)..... 699,000.00  
TOTAL This Period (last page this line number only).....

SCHEDULE B

FINANCED DISBURSEMENTS

See amount allocated for each category of the preceding category page

Page 213  
Form Line number

Any information omitted from both Reports and Supporting Docs will be held in good by any person for the purpose of collecting contributions or for campaign purposes, other than using the name and address of any individual contributor to which such notices from such committee.

NAME OF COMMITTEE IN FULL

EQUITABLE FINANCIAL SERVICE POLITICAL ACTION COMMITTEE (EQUIPAC)

1987 YEAR END

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Cash Disbursement This Period
CONGRESSMAN BARBARA KENNEDY (D-CT) BARBARA KENNEDY FOR CONGRESS 7864 COLONIAL VILLAGE ROW ANNANDALE VA 22003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 500.00
SENATOR DAVE DURENBERGER (R-MN) DURENBERGER '88 RE-ELECTION COMMITTEE 8120 PECH AVE S. STE 156 BLOOMINGTON MN 55431	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 5,000.00
PETE DAWKINS (R-NJ) PETE DAWKINS FOR U.S. SENATE PO BOX 432 SEAGIRT NJ 08750	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 1,000.00
SENATOR GEORGE MITCHELL (D-ME) 3022 N STREET NW WASHINGTON DC 20007	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 1,000.00
CONGRESSMAN MIKE ANDREWS (D-DE) ANDREWS CAMPAIGN FUND C/O JOHN L STRAHAN 1200 SMITH ST, STE 3600 WASHINGTON DC 20004	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 1,000.00
SENATOR PATRICK MOYNIHAN (D-NY) C/O GOLD & LIEBERGOOD INC. 1655 PECH. AVE NW WASHINGTON DC 20004	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 1,000.00
CONGRESSMAN JIM JEFFORDS (R-VT) JEFFORDS FOR VERMONT COMMITTEE 119 D STREET SE #2 WASHINGTON DC 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 500.00
CONGRESSMAN MIKE OXLEY (R-OH) OXLEY FOR CONGRESS COMMITTEE 1800 R STREET NW STE 605 WASHINGTON DC 20008	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 300.00
CONGRESSMAN RICHARD SCHULZE (R-PA) CITIZENS FOR SCHULZE PO BOX 417 PAOLI PA 19301	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 500.00

SUBTOTAL of Disbursements This Page (attach)

TOTAL This Period (see page one for number only)

987349704211

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

The amount allocated to each category of the Budgetary Page

PAGE 315  
 OF  
 PAGE LINE NUMBER

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NAME OF COMMITTEE (in Full)

**EQUITABLE FINANCIAL SERVICE POLITICAL ACTION COMMITTEE (EQUIPAC)**

1987 YEAR END

2 3 7 1 4 94 003467 4 2 1 2

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
CONGRESSMAN TOM MCWILLIEN (D-ND) TOM MCWILLIEN FOR CONGRESS 2135 DEFENSE HWY 09 CROFTON DEPOT CROFTON ND 21114	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 500.00
DEMOCRATIC SENATORIAL CAMPAIGN COM. US SENATE BUSINESS ROUNDTABLE JOHN KERRY CHAIRMAN 430 SOUTH CAPITAL STREET WASHINGTON DC 20001	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/7/87	\$ 3,000.00
CONGRESSMAN BRUCE MORRISON (D-CT) CONG. BRUCE MORRISON RE-ELECTION PO BOX 15461 WASHINGTON DC 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/16/87	\$ 350.00
CONGRESSMAN JOHN J DUNCAN (R-TN) JOHN J. DUNCAN CAMPAIGN 68 PO BOX 2030 KNOXVILLE TN 37901	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/16/87	\$ 1,000.00
CONGRESSMAN HAL DAUB (R-NE) DAUB FOR SENATE COMMITTEE PO BOX 11613 WASHINGTON DC 20008	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/16/87	\$ 1,000.00
CONGRESSMAN STEVE NEAL (D-NC) STEVE NEAL FOR CONGRESS 4830 N 24TH STREET ARLINGTON VA 22207	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/16/87	\$ 500.00
CONGRESSMAN JOHN J. DUNCAN (R-TN) JOHN DUNCAN CAMPAIGN '88 PO BOX 2030 KNOXVILLE TN 37901	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/6/87	\$ 1,000.00
CONGRESSMAN JIM HOODY (D-WI) FRIENDS OF JIM HOODY PO BOX 2884 WASHINGTON DC 20013	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/6/87	\$ 1,000.00
SENATOR PATRICK MOYNIHAN (D-NY) 500 5TH AVENUE NEW YORK, NY 10022	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/6/87	\$ 2,000.00

SUBTOTAL of Disbursements This Page (see instructions)	
TOTAL This Period (see instructions and line number above)	

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**SCHEDULE B**

**FINANCED DISBURSEMENTS**

1988 October Quarterly Report

For the quarterly reporting period ending 10/31/88

Page 7 of 9  
37

Any information reported from such Reports and Statements may not be sold or used by any person for the purpose of making contributions or for commercial purposes, other than using the names and address of any political committee to solicit contributions from such committee.

**Name of Committee on File**

**Donaaldson, Lufkin & Jearrette Better Government Fund**

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
The Blanchard Officeholder Expense Fund 109 W. Michigan, Suite 1000 Lansing, MI 48224	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	07/25/88	1,900
Bergen County Republican Organ. 339 Main Street Hackensack, NJ 07601	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	08/18/88	5,000
New Jersey Republican State Committee 312 West State Street Trenton, NJ 08618	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	07/25/88	6,000
Ann Richards Public Service Committee 1709 San Antonio St., Suite 1 Austin, TX 78701	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	07/25/88	5,000
The Real Bob Casey Committee 411 Walnut St., Suite 3 Harrisburg, PA 17101	Contribution Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/09/88	9,000
Connecticut State Democratic Party David Rogh, Esq.-433 Main St. Y. Hartford, CT 06110	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	07/25/88	2,500
The Moynihan Committee 500 Fifth Ave., Suite 2628 New York, NY 10110	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	07/25/88	1,000
Kansas GOP Grand Club 214 W. Sixth Topeka, KS 66603	Membership Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) Membership	09/21/88	1,000
The Celebreeze Committee 170 North Nighth Street Columbus, Ohio	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/21/88	5,000

**SUBTOTAL of Disbursements (This Page (above))** ..... 36,000

**TOTAL This Period (all pages this Report)** .....

0 9 0 3 4 5 6 2 0 2 4 3 1 5



SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 2  
FOR LINE NUMBER 11 (a)

1990 July Quarterly Report

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of obtaining more business or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (to Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Riegle for Senate Committee P.O. Box 2434 Washington, DC 20013-2431	Refund of disbursement made in prior period	05/07/90	2,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	U.S. Senate	Aggregate Year-to-Date > \$2,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Moynihan Committee 800 Third Avenue New York, NY	Refund of disbursement made in prior period	04/23/90	3,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	U.S. Senate	Aggregate Year-to-Date > \$3,000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Galban, Leandro 140 Broadway New York, NY 10005	DLJ	05/01/90	1,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	SVP	Aggregate Year-to-Date > \$1,000.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Cooper, James 140 Broadway New York, NY 10005	DLJ	bimonthly payroll deduction	(\$50 per pay period) 300.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	SVP	Aggregate Year-to-Date > \$ 300.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Casey, John B. 140 Broadway New York, NY 10005	DLJ	bimonthly payroll deduction	(\$50 per pay period) 250.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	SVP	Aggregate Year-to-Date > \$ 500.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Jaffee, Martin 140 Broadway New York, NY 10005	Wood, Struthers & Winthrop	bimonthly payroll deduction	(\$40 per pay period) 240.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	SVP	Aggregate Year-to-Date > \$ 240.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Moran, Michael 140 Broadway New York, NY 10005	DLJ	bimonthly payroll deduction	(\$40 per pay period) 240.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	SVP	Aggregate Year-to-Date > \$ 240.00	

SUBTOTAL of Receipts This Page (optional) ..... 7,030.00  
TOTAL This Period (two page this line number only) .....

37103403871214

EDULE A

ITEMIZED RECEIPTS  
REFUNDS - LINE 16

Use separate schedules for each category of the Detailed Summary Page

3	3
FOR LINE NUMBER	
11	(a) (1)

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

1990 OCTOBER QUARTER

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR DANIEL MOYNIHAN (D-NY) 500 FIFTH AVENUE NEW YORK, N.Y. 10022	REFUND OF 11/6/87 CONTRIBUTION	7/17/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR MAX BAUCUS (D-MT) FRIENDS OF MAX BAUCUS P.O. BOX 2891 WASHINGTON, D.C. 20013	REFUND OF 4/20/89 CONTRIBUTION	7/17/90	\$3,500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR DAVID PRYOR DAVID PRYOR FOR U.S. SENATE COMM. P.O. BOX 3334 LITTLE ROCK, AR 72203-3334	REFUND OF 4/20/89 CONTRIBUTION	9/25/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	

11340335

SUBTOTAL REFUNDS THIS PERIOD: \$5,500.00  
TOTAL FOR LINE 16: \$5,500.00

SCHEDULE H

ITEMIZED DISBURSEMENTS

Line Number:  
Date of Disbursement:  
Amount of Disbursement:  
Purpose of Disbursement:

All contributions and from such Reports and Statements may not be used by any person for the purpose of avoiding contribution limits or for any other purpose, other than using the name and address of any donor or contributor to send a contribution from such contributor.

Name of Contributor (or Page): **EQUI-PAC 1985 MID-YEAR**

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Robert Packwood Re-elect Packwood Committee Benjamin Franklin Station PC 14086 Washington, DC 20044	Disbursement for Primary, General Other (specify):	1/16/85 1/31/85	\$1,000.00 \$4,000.00
Senator Paul Trible Friends of Paul Trible P.O. Box 506 Richmond, VA 23204	Disbursement for Primary, General Other (specify):	1/16/85	\$ 500.00
6 for Robert Dole for Senate Prince Street, Suite 210 Alexandria, VA 22314	Disbursement for Primary, General Other (specify):	3/22/85	\$1,000.00
D Senator Charles Grassley Grassley Committee, Inc. P.O. Box 142 Great Falls, VA 22046	Disbursement for Primary, General Other (specify):	3/22/85	\$1,000.00
E Senator Steven Symms Symms for Senate Box 471 Boise, ID 83701	Disbursement for Primary, General Other (specify):	3/22/85	\$1,000.00
Congressman Ronnie G. Flippe Ronnie G. Flippe Committee P.O. Box 483 Alexandria, VA 22301	Disbursement for Primary, General Other (specify):	3/27/85	\$ 500.00
Senator Christopher Dodd Chris Dodd for Senate P.O. Box 9238 New Haven, Connecticut 06533	Disbursement for Primary, General Other (specify):	3/27/85	\$ 500.00
Rep. Carlisle Collins Carlisle Collins for Congress 343 South Dearborn Street Chicago, Illinois 60604	Disbursement for Primary, General Other (specify):	4/1/85	\$ 250.00
The Riegle for Senate Committee P.O. Box 2434 Washington, DC 20013	Disbursement for Primary, General Other (specify):	4/1/85	\$1,000.00

SUBTOTAL of Disbursements This Page (including line numbers): **\$10,750.00**

TOTAL of Disbursements This Page (including line numbers): **\$10,750.00**



FORM 1041  
 .....  
 USE THESE IN  
 .....

**SCHEDULE B** **UNITED DISBURSMENTS**

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Name of committee (to full)  
 CONGRESS, SENATE & JUDICATE BETTER GOVERNMENT FUND

1987 MID YEAR

8, 1, 3, 4, 7, 3, 3, 2, 4, 1

1. Full name mailing address and zip code	purpose of disbursement FUNDRAISER	date	amount this period
GEORGE P. BUSH SENATE COMMITTEE JAMES D. BUSH 3111 AMERICAN EXPRESS TOWER WORLD FINANCIAL CENTER NEW YORK, NEW YORK 10036-3700	Disbursement type: primary <input checked="" type="checkbox"/> general <input type="checkbox"/> other (specify)	01/28/87	1,000.00
POLIQUA OF PAULA TERRELL J. BACCHALL COLLEGE 6001, PK. CLOSTER, PLAZA 6 BLDG 6001 OLD COURTLANE RD UPRVA, VIRGINIA 22149-3000	purpose of disbursement COMPANY CONTRIBUTION Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	02/04/87	5,000.00
CONCERNED NEW YORKERS FOR A BROAD HEALTH CARE POLICY ATTENTION: COMMITTEE E. BIRCH, CHAIRMAN 82 WALL STREET, 81MS NEW YORK, NEW YORK 10005	purpose of disbursement FUNDRAISER Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	02/25/87	1,200.00
SIPAC 1747 PENNSYLVANIA AVENUE, S.W. WASHINGTON, D.C. 20006	purpose of disbursement PAC-TO-PAC CONTRIBUTION Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	02/27/87	200.00
ROYAL OAS COMMITTEE 800 THIRD AVENUE NEW YORK, NEW YORK	purpose of disbursement COMPANY CONTRIBUTION Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	04/16/87	2,000.00
SENATOR NEWTON ELECTION COMMITTEE P.O. BOX 61202 HOUSTON, TEXAS 77266	purpose of disbursement FUNDRAISER Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	05/05/87	1,500.00
GEORGE I. BUSH FOR PRESIDENT 41 MADISON AVENUE NEW YORK, NEW YORK	purpose of disbursement FUNDRAISER Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	05/20/87	2,000.00
EMPIRE STATE LEADERSHIP FUND C/O JOHN MARINO, EXECUTIVE DIRECTOR 62 EAST 42ND STREET NEW YORK, NEW YORK	purpose of disbursement PAC-TO-PAC CONTRIBUTION Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	06/19/87	5,000.00
RAZIO FOR CONGRESS COMMITTEE 734 CHESTNUT LAY SACRAMENTO, CALIFORNIA 95831	purpose of disbursement FUNDRAISER Disbursement type: primary <input type="checkbox"/> general <input type="checkbox"/> other (specify)	06/19/87	1,000.00

Subtotal of Receipts This Page (optional)..... \$79,000.00  
 TOTAL This Period (last page this line number only).....

Any information reported from each Report B and Supplement may not be used or cited by any person for the purpose of influencing other business or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such persons.

NAME OF COMMITTEE (in Full)

1987 MID-YEAR

Equitable Financial Services Political Action Committee (EQUIPAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Jim Sasser (D-TN) Friends of Jim Sasser 7th Floor 1722 Eye Street N.W. Washington, D.C. 20006	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	\$1,000.00
Congressman Dick Gephardt (D-MO) Gephardt Presidential Exploratory Com 304 Pennsylvania Ave S.E. Washington, D.C. 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	250.00
Congressman Bob Michel (R-MICH) Michel For Congress Committee P.O. Box 6544 Washington D.C. 20035-9990	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	1,000.00
Congressman Byron Dorgan (D-KT) Friends of Byron Dorgan Campaign Com P.O. Box 37070 Washington D.C. 20013-7070	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	1,000.00
Senator John Heinz (R-PA) People for John Heinz 22 C STREET N.W. Washington, D.C. 20001	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	1,000.00
Senator Donald Reigle (D-MICH) Donald Reigle for Senator Com P.O. Box 2434 Washington, D.C. 20013	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	2,000.00 TR
Congressman Hank Brown Hank Brown for Congress 1101 Connecticut Avenue NW Ste 500 Washington, D.C. 20036	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	500.00
Congressman Rod Chandler (R-WA) Volunteers for Chandler 1101 Connecticut Ave, NW Ste 500 Washington, D.C. 20036	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	500.00
Congressman Mitt Runo (D-IL) Runo for Congress Committee P.O. Box 627 Oakdale, Illinois 60452	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	500.00

SUBTOTAL of Disbursements This Page (attach) .....	\$7,750.00
TOTAL This Period (fill page and line number only) .....	

Any amount not reported from such Report is and Disbursements may not be used or used by any person for the purpose of creating contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such persons.

NAME OF COMMITTEE OR FUND

1987 MID-YEAR

Equitable Financial Services Political Action Committee (EQUIPAR)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Bill Gray (D-PA) Bill Gray for Congress P.O. Box 181M Washington, DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	\$1,000.00
B. Full Name, Mailing Address and ZIP Code Congressman David Price (D-NC) David Price for Congress P.O. Box 1986 Raleigh, NC 27603	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	500.00
C. Full Name, Mailing Address and ZIP Code Congressman Cardiss Collins (D-IL) Citizens for Cardiss Collins P.O. Box 1986 - 210 7th St SE Washington DC 20003	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	500.00
D. Full Name, Mailing Address and ZIP Code Congressman Hal Daub (R-NE) Daub for Congress Committee 73 Connecticut Ave NW Boston DC 20008	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	1,000.00
E. Full Name, Mailing Address and ZIP Code Congressman Robert Matsui (D-CA) Matsui for Congress Committee P.O. Box 2884 Washington, DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	500.00
F. Full Name, Mailing Address and ZIP Code Senator Jack Danforth (R-MO) Danforth for Senate Committee P.O. Box 12634 St. Louis, MO 63141	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/7/87	1,000.00
G. Full Name, Mailing Address and ZIP Code Congressman Sam Gibbons (D-FL) Committee for Sam Gibbons PO Box 2884 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1,000.00
H. Full Name, Mailing Address and ZIP Code Senator Donald Riegle (D-MI) Riegle for Senate Committee PO Box 2434 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1,000.00 <sup>TR</sup>
I. Full Name, Mailing Address and ZIP Code Congressman Pete Stark (D-CA) Pete Stark Re-election Committee 106 North Caroline Ave SE Washington DC 20003	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$2,500.00

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SUBTOTAL of Disbursements This Page (see instructions) .....

\$9,000.00

TOTAL This Period (see page one for instructions) .....



1988 July Quarterly Report

SCHEDULE B

ITEMIZED DISBURSEMENTS

(Contributions to Federal Candidates and Other Political Committees)

Use separate schedule for each category of the Date and Summary Page (SEE THE NUMBER)

All information covered from such Reports and Statements may not be used by any person for the purpose of soliciting contributions for political purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUJ-PAC) 00016190

71034336321870

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month day, year)	Amount of Each Disbursement This Period
GOVERNOR ROBERT KERREY (D-NE) KERREY FOR SENATE COMMITTEE 322 MASSACHUSETTS AVE. N.E. WASHINGTON, DC 20003	CONTRIBUTION TO GOV. ROBERT KERREY (D-NE) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$1,000.00
CONGRESSWOMAN LINDY BOGGS (D-LA) FRIENDS OF LINDY BOGGS 700 NEW HAMPSHIRE AVE. WY APT 901 WASHINGTON, DC 20037	CONTRIBUTION TO LINDY BOGGS (D-LA) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$500.00
CONGRESSMAN JIM COURTER (R-NJ) COURTER FOR CONGRESS COMMITTEE 41 N BRIDGE STREET S.MERVILLE NJ 08876	CONTRIBUTION TO JIM COURTER (R-NJ) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$500.00
SUSAN ENGELEITER (R-WI) ENGELEITER FOR US SENATE CAMPAIGN C/O CHRYMAS SHANNON 13555 ELSHIRE COURT, SUITE 220 WILSONVILLE, OR 97152	CONTRIBUTION TO SUSAN ENGELEITER (R-WI) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$100.00
GOVERNOR CHUCK ROBB (D-VA) CHUCK ROBB FOR SENATE 6000 W. SALEM, KARR AGENCY 5000 MARKET STREET - 7TH FLOOR WASHINGTON, DC 20002	CONTRIBUTION TO CHUCK ROBB (D-VA) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	1,000.00
CONGRESSMAN WAYNE DUDY (D-MISS) MISSISSIPPIANS FOR WAYNE DUDY 406 SHERRILL AVENUE FAYETTE, MISSISSIPPI 39206	CONTRIBUTION TO WAYNE DUDY (D-MISS) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$1,000.00
CONGRESSMAN MIKE ESPY (D-MISS) MIKE ESPY FOR CONGRESS COMMITTEE P.O. BOX 1005 MEMPHIS, TENNESSEE 38106	CONTRIBUTION TO MIKE ESPY (D-MISS) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$500.00
SENATOR FRANK LANTENBERG (D-NJ) SENATOR LANTENBERG COMMITTEE 333 BRIDGE STREET NE WASHINGTON, DC 20002	CONTRIBUTION TO FRANK LANTENBERG (D-NJ) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$1,000.00
SENATOR DONALD RIEGLE (D-MI) RIEGLE FOR SENATE COMMITTEE P.O. BOX 243- WASHINGTON DC 20013	CONTRIBUTION TO DONALD RIEGLE (D-MI) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	5/2/88	\$1,000.00

SUBTOTAL (Disbursements This Page last one)

TOTAL (Disbursements This Page last one)



SCHEDULE B

ITEMIZED DISBURSEMENTS

1988 October Quarterly Report

Use separate schedules for each category of the Detailed Summary Page	Page 21
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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

1  
2  
3  
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8  
9  
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4  
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6  
7  
8  
9  
0

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Riegle for Senate Committee P.O. Box 2434 Washington, DC 20013	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	07/25/88	2,000
Pete Dawkins for U.S. Senate Drew Lewis, Union Pacific Corp 345 Park Ave, NY, NY 10154	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	08/03/88	3,350
Bobby Freeman Committee P.O. Box 299 Plaquemines, LA 70765-0299	Contribution Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	08/05/88	2,500
Friends of Dick Lugar 3515 Washington Blvd. Rm 1988 Indianapolis, IN 46209	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	08/24/88	1,000
Victory '88 Jonathan Bush NY Rep. State Comm. 641 Lexington Ave, NY NY 10022	Fundraiser-George Bush Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/13/88	1,000
Bill Gunther Campaign Fund State Treasurers Office PL-11 The Capital Tallahassee, FL 32300-8300	Contribution Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/14/88	1,000
The Buddy McKay Campaign Fund 111 N Orange Ave, Suite 1975 Orlando, FL 32801	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	09/19/88	1,000
Paxton for Congress '88 PO Box 1988 East Aurora, NY 14052	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	09/21/88	250

SUBTOTAL of Disbursements This Page (last one):

TOTAL This Period (last page this line):

12,100.00

LIN NUMBER

**SCHEDULE B** **STERILIZED DISBURSEMENTS**

All information copied from such reports or statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to collect contributions from such committee.

Name of committee (in full)  
DONALDSON, LUFKIN & JENNINGS ATTORNEY GENERAL CAND

1986 OCTOBER QTRLY

A. Full name mailing address and zip code	purpose of disbursement CAMPAIGN CONTRIBUTION	date	amount this period
SANDY BLAZER FOR U. S. SENATE CAMPAIGN FUND P.O. BOX 809 CHARLOTTE, LOUISIANA 70044	disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	7/28/86	\$5,000.00
AMERICA'S LEADERS FUND P.O. BOX 2169 WASHINGTON, D.C. 20013-2169	purpose of disbursement CAMPAIGN CONTRIBUTION disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	7/09/86	\$2,000.00
DEMOCRATIC CONGRESSIONAL CAMPAIGN COMMITTEE 430 SOUTH CAPITAL STREET, S.E. WASHINGTON, D.C. 20003	purpose of disbursement CAMPAIGN CONTRIBUTION disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	7/17/86	9250.00
BEGLE FOR SENATE COMMITTEE P.O. BOX 2434 WASHINGTON, D.C. 20017	purpose of disbursement SIA FUNDRAISER disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	7/17/86	\$1,000.00
COMMITTEE TO ELECT TERRY SANFORD BOX 3539 DURHAM, NORTH CAROLINA 27702	purpose of disbursement FUNDRAISER disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	9/12/86	\$1,000.00
CRANSTON FOR SENATE '86 2325 NORTH JACKSON ARLINGTON, VIRGINIA 22201	purpose of disbursement FUNDRAISER disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	9/12/86	\$1,000.00
CRANSTON FOR SENATE '86 2325 NORTH JACKSON ARLINGTON, VIRGINIA 22201	purpose of disbursement CAMPAIGN CONTRIBUTION disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	9/23/86	\$1,000.00
BILL BRADLEY FOR U.S. SENATE '80 P.O. BOX 1990 UNION, N.J. 07083	purpose of disbursement FUNDRAISER disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	9/26/86	\$1,000.00
S.P.A. 497 L'ENFANT PLAZA EAST, S.W. WASHINGTON, D.C. 20026	purpose of disbursement PAC-TO-PAC CONTRIBUTION disbursement for: primary <input type="checkbox"/> general <input checked="" type="checkbox"/> other (specify)	7/09/86	\$5,000.00

TR

11040361222

Section C Receipt This Page (optional)..... \$17,250.00

Total for Period (last page this line number only).....

SCHEDULE A

ITEMIZED RECEIPTS

Use separate sheets for each committee. Do not include P.O.

12 (a)

All information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any public committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

1990 July Quarterly

A Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Riegle for Senate Committee P.O. Box 2434 Washington, DC 20013-2431	Refund of disbursement made in prior period	05/07/90	2,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	U.S. Senate Aggregate Year to Date		\$2,000.00
B Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Moynihan Committee 800 Third Avenue New York, NY	Refund of disbursement made in prior period	04/23/90	3,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	U.S. Senate Aggregate Year to Date		\$3,000.00
C Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Galban, Leandro 140 Broadway New York, NY 10005	DLJ	05/01/90	1,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	SVP Aggregate Year to Date		\$1,000.00
D Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Cooper, James 140 Broadway New York, NY 10005	DLJ	biweekly payroll deduction	(\$50 per pay period)
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	SVP Aggregate Year to Date		\$300.00
E Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Casey, John B. 140 Broadway New York, NY 10005	DLJ	biweekly payroll deduction	(\$50 per pay period)
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	AVP Aggregate Year to Date		\$600.00
F Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Juffee, Martin 140 Broadway New York, NY 10005	Wood, Struthers & Winthrop	biweekly payroll deduction	(\$40 per pay period)
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	SVP Aggregate Year to Date		\$240.00
G Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month day year)	Amount of Each Receipt This Period
Moran, Michael 140 Broadway New York, NY 10005	DLJ	biweekly payroll deduction	(\$40 per pay period)
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	SVP Aggregate Year to Date		\$240.00

SUBTOTAL (Receipts This Page (options))

TOTAL This Period (last page (this line number only))

7,000.00

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**SCHEDULE D**  
(Revised 3/80)

**DEBTS AND OBLIGATIONS**  
Excluding Loans

LINE NUMBER ACCUMULATED  
(Use separate schedules  
each numbered last)

TR

Name of Committee (or Full)	Outstanding Balance Beginning The Period	Amount Incurred The Period	Payment The Period	Outstanding Balance at Close of The Period
<b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE</b>				
A. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
SENATOR DAN RIEGLE P.O. BOX 2434 WASHINGTON, D.C. 20013-2434	\$2,000	-	-	\$2,000
Nature of Debt (Purpose):				
REFUND OF CONTRIBUTION				
B. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
CONGRESSMAN CHARLES RANGEL RANGEL FOR CONGRESS COMMITTEE 2030 ALLEN PLACE NW WASHINGTON, D.C. 20009	\$1,500	-	\$1,500	-0-
Nature of Debt (Purpose):				
REFUND OF CONTRIBUTION				
C. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
THE HONORABLE FERNAND ST. GERMAIN 21 HARBOR VIEW DRIVE NEWPORT, RI 02840	\$1,000	-	\$1,000	-0-
Nature of Debt (Purpose):				
D. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
E. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
F. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
1) SUBTOTALS This Period This Page (optional)				\$2,000.00
2) TOTAL This Period (last page this line only)				\$7,000.00
3) TOTAL OUTSTANDING LOANS from Schedule C (last page only)				
4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only)				

104036134



SCHEDULE B

ITEMIZED DISBURSEMENTS

Page 3 of 4  
 For Legal Number

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of influencing contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE ON THIS PAGE: **HERITAGE POLITICAL SERVICES**  
**Political Action Committee** (Regt-200) 1986 30 DAY POST GENERAL

27040364325

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Kit Bond-Republican Candidate For US House Miscellaneous For Kit Bond 1755 S Street N W Washington, D.C. 20001	Senate From Missouri Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,000.00
B. Full Name, Mailing Address and ZIP Code Congressman John Breaux John Breaux Committee P.O.Box 1791 Baton Rouge, LA 70821	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$2,000.00
C. Full Name, Mailing Address and ZIP Code Joe Canada Virginiaans For Joe Canada to Congress 317 Birchwood Park Drive Suite 303 Virginia Beach, VA 23452	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00
D. Full Name, Mailing Address and ZIP Code Senator Alfonse D'Amato D'Amato In '86 291 West 31 Street 9 fl New York, New York 10001	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,000.00
E. Full Name, Mailing Address and ZIP Code Senator Christopher Dodd Chris Dodd For Senate 2074 Park Street Bartford, CT 06106	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00
F. Full Name, Mailing Address and ZIP Code John Kyl John Kyl For Congress P.O.Box 15136 Phoenix, AR. 85060	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00
G. Full Name, Mailing Address and ZIP Code Senator Daniel Moynihan Moynihan Committee 800 Third Avenue 29 fl New York, NY 10022	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,000.00
H. Full Name, Mailing Address and ZIP Code Congressman Charles Rangel Committee To Re-Elect Charles Rangel 203C Allen Place N W Washington, D.C. 20009	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$1,500.00 ] TR
I. Full Name, Mailing Address and ZIP Code Congressman Pete Stark Pete Stark Re-Election Committee P.O.Box 5303 Oakland, CA 94605	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$500.00

SUBTOTAL of Disbursements This Page (optional) .....	\$8,500.00
TOTAL This Period (last page this line number only) .....	

**SCHEDULE B**

**FINANCED DISBURSEMENTS**

This schedule should be filed with copy of the General Summary Page

Page 1 of 1

Any information copied from both Schedule B and Schedule C may not be used by any person for the purpose of making contributions or for commercial purposes, other than using the name and address of any political committee to obtain contributions from such committee.

NAME OF COMMITTEE (See Part I)

1987 YEAR END

**EQUITABLE FINANCIAL SERVICE POLITICAL ACTION COMMITTEE (EQUIFAC)**

3213493057226

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Cash Disbursement This Period
<b>CONGRESSMAN CHARLIE RANGEL (D-NY)</b> RANGEL FOR CONGRESS COMMITTEE 2030 ALLEN PLACE WASHINGTON DC 20008	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/6/87	\$ 5,000.00
<b>CONGRESSMAN ED MADIGAN (R-IL)</b> CITIZENS FOR MADIGAN WILLIAM SULASKI TREASURER PO BOX 3305	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/18/87	\$ 500.00
<b>CONGRESSMAN JUDD GREGG (R-NH)</b> JUDD GREGG COMMITTEE C/O GORDON MACKEY 501 BOYLSTON ST BOSTON MA 02117	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/18/87	\$ 500.00
<b>BRADLEY LAUTENBERG THEATRE BENEFIT</b> SENATOR BILL BRADLEY (D-NJ) SENATOR FRANK LAUTENBERG (D-NJ) PO BOX 1988 INDIANAPOLIS IN 46203	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/18/87	\$ 1,000.00
<b>CONGRESSMAN STEVE BARTLETT (R-TX)</b> STEVE BARTLETT CONG. CAMPAIGN COM PO BOX 671205 DALLAS TX 75267	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/18/87	\$ 1,000.00
<b>CONGRESSMAN JAKE PICKLE (R-TX)</b> J.J. (JAKE) PICKLE RE-ELECTION COM PO BOX 70806 WASHINGTON DC 2002A	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/18/87	\$ 1,000.00
<b>CONGRESSMAN JIM WRIGHT (D-TX)</b> WRIGHT APPRECIATION COMMITTEE PO BOX 1413 FT WORTH TX 76101	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/18/87	\$ 2,000.00
<b>THE HOUSE LEADERSHIP FUND</b> CONGRESSMAN TOM POLEY (D-MA) PO BOX 39236 WASHINGTON DC 20016-9236	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	12/2/87	\$ 1,000.00
<b>CONGRESSMAN RON WYDEN (D-OR)</b> WYDEN FOR CONGRESS PO BOX 12473 PORTLAND OR 97212	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	12/2/87	\$ 150.00

SUBTOTAL of Disbursements This Page (attach) .....	
TOTAL This Period (see page one for number zero) .....	

Any information omitted from each figure and appearing may not be held in error by the printer or the printer of including corrections or for corrected figures, other than using the name and address of any individual concerning to which contributions have been submitted.

NAME OF COMMITTEE IN FULL **Donaldson, Lefkin & Jeanette Better Government Fund** 1988 JULY QUARTERLY

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Dick Lugar 3515 Washington Blvd. Indianapolis, IN	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	04/05/88	1,000
Thomas McMillen for Congress 2135 Defense Highway 49 Crofton Depot Crofton, Maryland 21114	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	04/20/88	1,000
America's Leaders Fund P.O. Box 2169 Washington, DC 20013-2169	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	05/03/88	1,000
Rangel for Congress P.O. Box 5577, Manhattanville 365 West 125th. Street New York, NY 10027	Fundraiser Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	05/31/88	250
The Presidential Trust Mr. James D. Robinson III P.O. Box 4413 New York, NY 10185-0037	Fundraiser (G. Bush) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	06/23/88	5,000
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

NETOTAL of Disbursements This Page (optional) .....

TOTAL This Period (not page 5th line number only) ..... **8,250.00**



SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 1  
FORM 1041 6-10-81  
16

All information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

A Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003		09/22/89	500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): <u>Stock Payment Issued</u>	Occupation	Aggregate Year-to-Date > \$ 500	
Brad Dye Campaign Fund PO Box 22848 Jackson, Mississippi 39225-2848		10/17/89	2,000
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): <u>Stock Payment Issued</u>	Occupation	Aggregate Year-to-Date > \$ 2,000	
Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047		10/17/89	2,500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): <u>Stock Payment Issued</u>	Occupation	Aggregate Year-to-Date > \$ 2,500	
Charles B. Rangel PO Box 5577, Manhattanville Station 65 West 125th. St. New York, NY 10027		11/15/89	250
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): <u>Contribution Refund</u>	Occupation	Aggregate Year-to-Date > \$ 250	TR
Friends of Max Baucus 313 Third St., NE Washington, DC 20002		11/15/89	500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): <u>Contribution Refund</u>	Occupation	Aggregate Year-to-Date > \$ 500	
Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208		11/21/89	1,500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify): <u>Contribution Refund</u>	Occupation	Aggregate Year-to-Date > \$ 1,500	
G Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	
SUBTOTAL of Receipts This Page (optional)			7,250
TOTAL This Page (for page totals - no number only)			7,250



1989 YEAR END

SCHEDULE A

ITEMIZED RECEIPTS

Contributions are reported on Form 1041, Schedule B, if they exceed \$500 for the year.

For each contribution, you must provide the name of the employer, the date (month and year) received, and the amount received. If the contribution is from an individual, you must also provide the name of the individual.

NAME OF COMMITTEE (or)

Democratic Party, 1989, National Government Fund

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month and year)	Amount Received
[Redacted] [Redacted] [Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted] [Redacted]	[Redacted]	10/17/89	\$ 2,000
[Redacted] [Redacted]	[Redacted]	10/17/89	\$ 2,500
[Redacted] [Redacted]	[Redacted]	11/15/89	\$ 250
[Redacted] [Redacted]	[Redacted]	1/15/89	\$ 500
[Redacted] [Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted] [Redacted]	[Redacted]	[Redacted]	[Redacted]

31040361229

TR

Use separate schedules for each category of the following information:

SCHEDULE A

ITEMIZED RECEIPTS

REFUNDS

Information on this form is for informational purposes only. It does not constitute a receipt for the purpose of deducting contributions on a tax return. It should be used only for the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQU1-PAC)

1990 309

A Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Total Receipts for this Period
JOHN HEINZ 1100 PNB BUILDING PHILIDELPHIA, PA 19107	REFUND OF CONTRIBUTION	10/22/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date > \$ 1,000.00	
B Full Name, Mailing Address and ZIP Code THE HONORABLE FERNAND ST. GERMAIN 21 HARBOR VIEW DRIVE NEWPORT, RI 02840	REFUND OF CONTRIBUTION	10/31/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date > \$ 1,000.00	
C Full Name, Mailing Address and ZIP Code CONGRESSMAN CHARLES RANGEL (D-NY) RANGEL FOR CONGRESS COMMITTEE 2030 ALLEN PLACE NW WASHINGTON, D.C. 20009	REFUND OF CONTRIBUTION	10/31/90	\$1,500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date > \$ 1,500.00	
D Full Name, Mailing Address and ZIP Code CONGRESSMAN CHARLES SCHUMER (D-NY) COMMITTEE TO RE-ELECT CHARLES SCHUMER 1551 E. 23rd STREET BROOKLYN, N.Y. 11210	REFUND OF CONTRIBUTION	10/31/90	\$2,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date > \$ 2,000.00	
E Full Name, Mailing Address and ZIP Code SENATOR LLOYD BENTSEN (D-TX) ELECTION COMMITTEE P.O. BOX 61202 HOUSTON, TX 77206	REFUND OF CONTRIBUTION	10/31/90	\$5,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date > \$ 5,000.00	
F Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Total Receipts for this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date \$	
G Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Total Receipts for this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		Occupation: _____ Aggregate Year-to-Date \$	

2,100 3,100 4,100 5,100 6,100 7,100 8,100 9,100 10,100 11,100 12,100 13,100 14,100 15,100 16,100 17,100 18,100 19,100 20,100

TOTAL RECEIPTS FOR THIS YEAR: \$ \_\_\_\_\_

TOTAL REFUNDS FOR THIS YEAR: \$ \_\_\_\_\_





**SCHEDULE**

For Deposit in the Treasury of the United States  
 For State or Local Government

Any information on or from this Report and Statements may not be used to identify donors for the purpose of including contributions or for other purposes, other than using the name and address of any person contributing to such organizations, without their consent.

NAME OF CONTRIBUTOR		PURPOSE OF DISBURSEMENT CONTRIBUTION	Date (month, day, year)	Amount of Each Disbursement This Period
A. Full Name, Mailing Address and ZIP Code Congressman Dan Glickman (D-PA) Dan Glickman for Congress P. O. Box 2884 Washington, D. C. 20013		Purpose of Disbursement CONTRIBUTION Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 7/03/89	Amount of Each Disbursement This Period 200.00
B. Full Name, Mailing Address and ZIP Code Congressman Ron Wyden (D-OR) Wyden for Congress P. O. Box 2206, Main Station Washington, D. C. 20013		Purpose of Disbursement CONTRIBUTION Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 8/05/89	Amount of Each Disbursement This Period 1,000.00
C. Full Name, Mailing Address and ZIP Code Congressman Hank Brown (R-CO) Hank Brown for U.S. Senate 113 C Street, SE Apt. A Washington, D. C. 20003		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 1,000.00
D. Full Name, Mailing Address and ZIP Code Congressman John Hiler (R-VA) Hiler Recount Committee 5650 N Eighth Street Arlington, VA 22205		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 500.00
E. Full Name, Mailing Address and ZIP Code Congressman Tom McMillen (D-MD) Tom McMillen for Congress 2135 Defense Highway, #9 Crofton, MD 21114		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 1,000.00
F. Full Name, Mailing Address and ZIP Code Congressman Bill Emerson (R-MO) Friends of Bill Emerson Suite 700 733 Fifteenth Street, NW Washington, D. C. 20005		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 500.00
G. Full Name, Mailing Address and ZIP Code Senator Tom Daschle (D-SD) A Lot of People Supporting Tom Daschle P. O. Box 15155 Washington, D. C. 20003		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 1,000.00
H. Full Name, Mailing Address and ZIP Code Senator Al Gore (D-TN) Friends of Al Gore Committee 1717 K. Street, N.W., Suite 703 Washington, D. C. 20006		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 1,000.00
I. Full Name, Mailing Address and ZIP Code Senator David Pryor (D-AR) The David Pryor for U.S. Senator Cba P. O. Box 3334 Little Rock, AR 72203		Purpose of Disbursement CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/05/89	Amount of Each Disbursement This Period 1,000.00

1  
2  
3  
4  
5  
6  
7  
8  
9  
0  
1  
2  
3  
4  
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8  
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0

SUBTOTAL of Disbursements This Page (optional) .....  
 TOTAL This and Past pages (do not include number only) .....



INCLUSIVE PERIOD: 6/23/89 TO 6/23/89  
 NAME OF CONTRIBUTOR: [REDACTED]  
 ADDRESS: [REDACTED]

Any information reported here shall be reported to the appropriate Federal, State, or local agency, including the appropriate State or local agency.

NAME OF CONTRIBUTOR: [REDACTED] MS 6/23/89 JULY 1989 TR

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator David Pryor (D-AR) The David Pryor Box US Senate Office P. O. Box 17261 Alexandria, VA 22302	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	4,000.00
Congressman Sam Gibbons (D-FL) Committee for Sam Gibbons 313 E. Capitol Street SE Washington, D. C. 20003	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	1,000.00
Congressman Clay Shaw (D-FL) Friends of Clay Shaw P.O. Box 2188 Ft. Lauderdale, FL 33309-2188	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	500.00
Senator William Cohen (R-ME) Cohen for Senator 1285 6th Avenue, 7th Floor New York, N.Y. 10018	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	1,000.00
Congressman Jim Moody (D-WI) Friends of Jim Moody/Debt Retirement 907 G Street SE Washington, D. C. 20023	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	1,000.00
Congressman Hank Brown (R-CO) Hank Brown for US Senate 113 C Street, SE, Apt. A Washington, D. C. 20003	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	1,000.00
Health Insurance Political Action Office 1025 Connecticut Avenue NW Washington, D. C. 20006	Purpose of Disbursement: CONTRIBUTION TO POLITICAL COMMITTEE, FEDERAL Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	5,000.00
Jim Bacchus (D-FL) The Bacchus Exploratory Committee P. O. Box 231 Orlando, FL 32802	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	500.00
Senator Thad Cochran (R-MS) Citizens for Cochran P. O. Box 22761 Jackson, MS 39225-2761	Purpose of Disbursement: CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	3,000.00

SUBTOTAL of Disbursements This Page (optional) .....	
TOTAL This Period (see page 646 for the number only) .....	

SCHEDULE A

ITEMIZED RECEIPTS  
REFUNDS - LINE 16

Use separate schedules for each category of the Detailed Summary Page

PAGE 3 OF 3  
FOR LINE NUMBER 11 (a) (1)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

1990 OCTOBER QUARTERLY

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
SENATOR DANIEL MOYNIHAN (D-NY) 500 FIFTH AVENUE NEW YORK, N.Y. 10022	REFUND OF 11/6/87 CONTRIBUTION	7/17/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
B. Full Name, Mailing Address and ZIP Code SENATOR MAX BAUCUS (D-MT) FRIENDS OF MAX BAUCUS P.O. BOX 2891 WASHINGTON, D.C. 20013	REFUND OF 4/20/89 CONTRIBUTION	7/17/90	\$3,500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
C. Full Name, Mailing Address and ZIP Code SENATOR DAVID PRYOR DAVID PRYOR FOR U.S. SENATE COMM. P.O. BOX 3334 LITTLE ROCK, AR 72203-3334	REFUND OF 4/20/89 CONTRIBUTION	9/25/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	

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SUBTOTAL (Amounts to be reported only) \_\_\_\_\_

TOTAL (Amounts to be reported only) \*\*TOTAL FOR LINE 16 \_\_\_\_\_

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 3 OF 3  
FOR LINE NUMBER

Any information copied from such Reports and Schedules may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to collect contributions from such committee

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political  
Action Committee (EQUI-PAC)

1986 JULY QTLY

40361235

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Health Insurance Assoc of America Political Action 1025 Connecticut Ave, NW Washington, DC 20006	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/8/86	\$3,000.00
B. Full Name, Mailing Address and ZIP Code Representative Bill Gray Bill Gray For Congress Comm P.O. Box 1808 Washington, DC 20033	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/30/86	\$500.00
C. Full Name, Mailing Address and ZIP Code Senator John Chafee The Re-elect Senator Chafee P.O. Box 623 Providence, RI 02901	Committee Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/30/86	\$2,000.00
D. Full Name, Mailing Address and ZIP Code Congressman Chuck Schumer Re-Elect Cong. Chuck Schomer P.O. Box 2884 Washington, DC 20013	Committee Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/18/86	\$250.00
E. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

TR

SUBTOTAL (including this page entries)	\$5,750.00
TOTAL (including all page entries)	\$20,450.00

SCHEDULE D

ITEMIZED DISBURSEMENTS

of separate schedules for each category on the Disburse Summary Page  
P.C. 6  
of 6  
FOR JURY SERVICE

Any information reported from each Report and Schedule may not be used by any person for the purpose of obtaining contributions or for campaign purposes, and the name and address of a political committee to which contributions from such person.

NAME OF COMMITTEE OR FUND: **POLITICAL ACTION COMMITTEE** 1986 30 DAY POST GENERAL

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator ERIC HOLLOMAN Citizens Committee For Ernest Holloman P.O. Box 65273 Washington, D.C. 20036	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/30/86	\$2,000.00
Senator JOHN CHAFFEE John Chaffee Campaign Committee P.O. Box 603 Providence, RI 02910	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/30/86	\$2,000.00 <span style="float: right;">JR</span>
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

770403631236

TOTAL of Disbursements This Page (see line 1)	\$ 4,000.00
TOTAL This Period (see page one for line number only)	\$ 2,000.00



SECTION 1

ITEMIZED DISBURSEMENTS

For each disbursement, the donor must provide the name and address of the recipient, the date of the disbursement, the amount, and the purpose of the disbursement.

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NAME OF COMMITTEE (in full) **1987 MID-YEAR**  
**EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIPAC)**

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Wyche Fowler (D-GA) Wyche Fowler for Senate Committee 3442 Ridgewood Rd NW Atlanta GA 30327	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)		\$1,000.00
B. Full Name, Mailing Address and ZIP Code Congressman Thomas J Manton (D-NY) Manton For Congress, INC 6221 29th Street NW Washington DC 20015	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$250.00
C. Full Name, Mailing Address and ZIP Code Congressman David Bonior (D-MI) Bonior For Congress 3309 Valley Drive Alexandria Virginia 22304	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$500.00
D. Full Name, Mailing Address and ZIP Code Congressman Frank Guarini (D-NJ) Committee to Re-elect Frank Guarini PO Box 2884 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1,000.00
E. Full Name, Mailing Address and ZIP Code Congressman Ronnie Flippo (D-AL) Ronnie Flippo Committee PO Box 1221 Florence AL 35631	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1,000.00
F. Full Name, Mailing Address and ZIP Code Senator Tim Wirth (D-CO) Committee for Tim Wirth PO Box 75483 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1,000.00
G. Full Name, Mailing Address and ZIP Code Congressman Ron Wyden (D-OR) Wyden For Congress PO Box 2206 Mesa Station Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$350.00
H. Full Name, Mailing Address and ZIP Code Senator Dick Lugar (R-IN) 440 1st Street NW Suite 600 Washington DC 20001	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1,000.00
I. Full Name, Mailing Address and ZIP Code Senator Dan Claitor (R-RI) The Re-elect Senator PO Box 904 Providence RI 02902	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$5,000.00

7/1/87 4.0.16

JR

SUBTOTAL	\$11,000.00
TOTAL	



SCHEDULE B

ITEMIZED DISBURSEMENTS

1987 Mid Year Report

Use separate schedules for each category of the Detailed Summary Page

Page 1 of 7  
FORM LINE NUMBER

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NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUIPAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Jim Sasser (D-TN) Friends of Jim Sasser 7th Floor 1722 Eye Street N.W. Washington, D.C. 20006	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	\$1,000.00
B. Full Name, Mailing Address and ZIP Code Congressman Dick Gephardt (D-MO) Gephardt Presidential Exploratory Com 304 Pennsylvania Ave S.E. Washington, D.C. 20001	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	250.00
C. Full Name, Mailing Address and ZIP Code Congressman Bob Michel (R-MICH) Michel For Congress Committee P.O. Box 65993 Washington, D.C. 20035-5993	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	1,000.00
D. Full Name, Mailing Address and ZIP Code Congressman Byron Dorgan (D-ND) Friends of Byron Dorgan Campaign Com P.O. Box 37070 Washington, D.C. 20013-7070	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	1,000.00
E. Full Name, Mailing Address and ZIP Code Senator John Heinz (R-PA) People for John Heinz 122 G STREET N.W. Washington, D.C. 20001	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	1,000.00
F. Full Name, Mailing Address and ZIP Code Senator Donald Reigle (D-MICH) Donald Reigle for Senator Com P.O. Box 2434 Washington, D.C. 20013	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/25/87	2,000.00
G. Full Name, Mailing Address and ZIP Code Congressman Hank Brown Hank Brown for Congress 1101 Connecticut Avenue NW Ste 500 Washington, D.C. 20036	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	500.00
H. Full Name, Mailing Address and ZIP Code Congressman Rod Chandler (R-WA) Volunteers for Chandler 1101 Connecticut Ave, NW Ste 500 Washington, D.C. 20036	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	500.00
I. Full Name, Mailing Address and ZIP Code Congressman Molly Russo (D-IL) Russo for Congress Committee P.O. Box 627 Oak Park, IL 60452	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/17/87	500.00

SUBTOTAL of Disbursements This Page (ignore!!)

\$7,750.00

TOTAL This Period (last page the line number only)

31040383239

1987 Year End Report

**EQUITABLE FINANCIAL SERVICE POLITICAL ACTION COMMITTEE (EFPAC)**

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date Disbursed (M, Y, Day)	Amount of Cash Disbursement This Period
<b>CONGRESSMAN JIM JEFFORDS (D-VT)</b> JEFFORDS FOR VERMONT 119 B STREET SE #2 WASHINGTON DC 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/4/87	\$ 500.00
<b>CONG. CHAMBERS W. LEE (D-OR)</b> STYLE FOR CONGRESS COMMITTEE C/O MAIL ASSOC. OF BUREAUERS 19TH & N STREET SE	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/4/87	\$ 250.00
<b>SENATOR JOHN HEINE (D-PA)</b> PEOPLE OF JOHN HEINE 699 S CAPITOL ST NW WASHINGTON DC 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/4/87	\$ 1,000.00
<b>CONG. JIM BURNING (D-NY)</b> CITIZENS FOR BURNING 1717 BLIXE HIGHWAY STE 100	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/20/87	\$ 250.00
<b>SENATOR DAVID KARNES (D-NC)</b> FRIENDS OF DAVID K KARNES PO BOX 241174	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/20/87	\$ 1,000.00
<b>CONGRESSMAN TONY COELHO (D-CA)</b> COELHO FOR CONGRESS COMMITTEE PO BOX 2884 WASHINGTON DC 20013	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/20/87	\$ 250.00
<b>DEMOCRATIC CONGRESSIONAL COMMITTEE</b> 430 S CAPITAL STREET WASHINGTON DC 20003	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	8/20/87	\$ 5,000.00
<b>CONGRESS ROBERT GARCIA (D-NY)</b> COMMITTEE FOR CONG. ROBERT GARCIA PO BOX 1571 BROOKLYN NY 01451	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	9/14/87	\$ 600.00
<b>CONGRESSMAN BORN LEFT (D-NY)</b> LEFT FOR CONGRESS COMMITTEE PO BOX 2305 ARLINGTON VA 22202	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify)	9/24/87	\$ 250.00

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RETOTAL of Disbursements This Page (insert) .....	
TOTAL This Period (fill in) (do not number) .....	



SCHEDULE B

ITEMIZED DISBURSEMENTS

1988 April Quarterly Report

Use separate schedules for each category of the Detailed Summary Page

PAGE 1  
FOR LINE NUMBER 21

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

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A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Lieberman for Senate c/o David Roth, Esq. Harding, Sbarge, Svonkin & Dr 111 Main St., W. Hartford, CT 06110	Fundraiser Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	01/20/88	2,500
Roukema for Congress 303 Cannon House Office Bldg. Washington, DC 20515	Campaign Contribution Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	01/22/88	1,000
People for John Heinz Comm. 122 C Street, N.W. Suite 650 Washington, DC 20001	Fundraiser Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	02/22/88	1,000
BIPAC Political Action Fund 1647 Pennsylvania Ave. NW Washington, DC 20006	PAC to PAC Contribution Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	02/23/88	250
Lyons Gray for Congress P.O. Box 24338 Winston Salem, NC 27114	Fundraiser Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/02/88	500
Missourians for Kit Bond 2600 Mutual Benefit Life Bldg 2345 Grand Ave. Kansas City, Missouri 64108	Contribution Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/02/88	1,500
Lieberman for Senate 30 Laurel Street Hartford, CT 06106	Contribution Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/24/88	5,000

SUBTOTAL of Disbursements This Page (optional)

11,750

TOTAL This Period (last page this line number only)

11,750

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

(Contributions to Federal Candidates and Other Political Committees)

Use separate schedules for each category of the Detailed Summary Page

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1 2  
FORM LINE NUMBER  
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NAME OF COMMITTEE (in Full)

1988 April Quarterly Report

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIPAC) (C00161901)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, div. year)	Amount of Each Disbursement This Period
CONGRESSMAN JOHN DINGELL JOHN DINGELL FOR CONGRESS P.O. BOX 2884 WASHINGTON D.C. 20013	CONTRIBUTION TO JOHN DINGELL (D-MI) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/28/88	\$500.00
JIM GRIFFEN FOR CONGRESS C/O WUNDER & DIERENDERFY 1615 L. STREET N.W. SUITE 650 WASHINGTON D.C. 20026	CONTRIBUTION TO JIM GRIFFEN (D-CT) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/28/88	\$250.00
SENATOR KENT CONRAD CONRAD CAMPAIGN 509 CAPITAL CT. N.E. WASHINGTON DC 20002	CONTRIBUTION TO KENT CONRAD (D-ND) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/28/88	\$1,000.00
CONGRESSMAN TOM MCHILLEN MCHILLEN FOR CONGRESS PO BOX 33636 WASHINGTON DC 20033	CONTRIBUTION TO TOM MCHILLEN (D-MD) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/23/88	\$500.00
CONGRESSWOMAN MARGE ROUKEMA THE COMM. TO RE-ELECT M. ROUKEMA PO BOX 625 RIDGEWOOD NJ 07451	CONTRIBUTION TO MARGE ROUKEMA (R-NJ) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/23/88	\$300.00
CAMPAIGN AMERICA SENATOR BOB DOLE 919 PRINCE STREET ALEXANDRIA VA 22314	CONTRIBUTION TO SENATOR BOB DOLE (R-KS) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/2/88	\$2,000.00
GOVERNOR RICHARD BRYAN FRIENDS OF BRYAN 509 CAPITAL COURT NE #100 WASHINGTON D.C. 20002	CONTRIBUTION TO GOVERNOR RICHARD BRYAN, (D-NV) FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/2/88	\$2,000.00
SENATOR MAX BAUCUS FRIENDS OF MAX BAUCUS P.O. BOX 6268 BOZEMAN MT 59715	CONTRIBUTION TO MAX BAUCUS (D-MT) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/2/88	\$2,000.00
SENATOR JOHN HEINZ PEOPLE FOR JOHN HEINZ 122 C STREET NW SUITE 650 WASHINGTON DC 20001	CONTRIBUTION TO JOHN HEINZ (R-PA) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/2/88	\$3,000.00

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (list page and line number only)

23 APR 94 013 677Z 42

SCHEDULE B

ITEMIZED DISBURSEMENTS

(Contributions to Federal Candidates and Other Political Committees)

The separate schedule for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in Full) **1988 July Quarterly Report**  
**EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIPAC) LOCAL 1401**

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A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month day, year)	Amount of Each Disbursement This Period
SENATOR JOHN HEINZ (R-PA) PEOPLE FOR JOHN HEINZ 122 C STREET N.W. SUITE 650 WASHINGTON DC 20001	CONTRIBUTION TO JOHN HEINZ (R-PA) SENATE CANDIDATE, FEDERAL Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other specify:	6/7/88	\$1,000.00
CONGRESSMAN ROD CHANDLER (R-WA) VOLUNTEERS FOR ROD CHANDLER PO BOX 5755 BELLEVUE, WA 98006	CONTRIBUTION TO ROD CHANDLER (R-WA) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	6/30/88	\$1,500.00
CONGRESSMAN JOHN HILER (R-IN) HILER FOR CONGRESS 5650 NORTH 8TH STREET ARLINGTON, VA 22205	CONTRIBUTION TO JOHN HILER (R-IN) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	6/30/88	\$1,000.00
CONGRESSMAN MIKE ANDREWS (D-TX) MIKE ANDREWS FOR CONGRESSMAN CAND. PO BOX 285- WASHINGTON DC 20013	CONTRIBUTION TO MIKE ANDREWS (D-TX) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	6/30/88	\$1,000.00
CONGRESSMAN NORM LENT (R-NY) LENT FOR CONGRESS BOX 2305 WASHINGTON DC 20013	CONTRIBUTION TO NORM LENT (R-NY) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	6/30/88	\$250.00
CONGRESSMAN JIM MORLEY (D-WI) FRIENDS OF JIM MORLEY 430 W APPLETON AVE MILWAUKEE WI 53202	CONTRIBUTION TO JIM MORLEY (D-WI) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	6/30/88	\$1,000.00
SENATOR SKIT SAPALIS (R-FL) SENATOR SKIT SAPALIS FOR CONGRESS (R-FL) 1095 S. ATLANTIC TRAIL SUITE MIAMI FL 33131	CONTRIBUTION TO SKIT SAPALIS (R-FL) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	6/30/88	\$1,000.00

SUBTOTAL (None shown) Total Disbursements

TOTAL (None shown) Total Disbursements



SCHEDULE B

ITEMIZED DISBURSEMENTS  
(Contributions to Federal Candidates  
and Other Political Committees)

Line number of disbursements for each category of the Detailed Summary Page  
FORM NO. 10485-B-84  
21

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NAME OF COMMITTEE (in Full)

1988 July Quarterly Report

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC) 11016190

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month day year)	Amount of Each Disbursement This Period
CONGRESSMAN STEVE BARTLETT (R-TX) STEVE BARTLETT CONGRESSIONAL CAMP. PO BOX 671205 DALLAS, TX 75367	CONTRIBUTION TO STEVE BARTLETT (R-TX) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$100.00
B. Full Name, Mailing Address and ZIP Code CONGRESSMAN RAY MCGRATH (R-NY) MCGRATH FOR CONGRESS PO BOX 333 WALLEY STREAM, NY 11580	CONTRIBUTION TO RAY MCGRATH (R-NY) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$2,000.00
C. Full Name, Mailing Address and ZIP Code SENATOR RICHARD LUGAR (R-IN) FRIENDS OF LUGAR 3815 WASHINGTON BLVD. ROOM 1988 INDIANAPOLIS, IN 46205	CONTRIBUTION TO RICHARD LUGAR (R-IN) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$1,000.00
D. Full Name, Mailing Address and ZIP Code CONGRESSMAN VIC FAZIO (D-CA) CONGRESSMAN FAZIO CAMPAIGN COMMITTEE BOX 2474 WASHINGTON, DC 20013	CONTRIBUTION TO VIC FAZIO (D-CA) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$500.00
E. Full Name, Mailing Address and ZIP Code CONGRESSMAN CHUCK SCHUMER (D-NY) RE-ELECT CONGRESSMAN CHUCK SCHUMER COMMITTEE BOX 2887 WASHINGTON, DC 20013	CONTRIBUTION TO CHUCK SCHUMER (D-NY) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$1,000.00
F. Full Name, Mailing Address and ZIP Code CONGRESSMAN DAN ROSTENKOWSKI (D-IL) AMERICA'S LEADER FUND BOX 2169 WASHINGTON, DC 20013-2169	CONTRIBUTION TO DAN ROSTENKOWSKI (D-IL) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$1,000.00
G. Full Name, Mailing Address and ZIP Code SENATOR MAI CLAUDINE SCHNEIDER (R-RI) RE-ELECT CLAUDINE SCHNEIDER 100 N. STREET 5A PROVIDENCE, RI 02902	CONTRIBUTION TO CLAUDINE SCHNEIDER (R-RI) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$375.00
H. Full Name, Mailing Address and ZIP Code CONGRESSMAN LYNN MARTIN (R-IL) MEMBER FOR CONGRESS COMMITTEE 200 NORTH JERMAN #103 CHICAGO, IL 60610	CONTRIBUTION TO LYNN MARTIN (R-IL) HOUSE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$300.00
I. Full Name, Mailing Address and ZIP Code SENATOR JOHN HEINZ (R-PA) SENATE FOR JOHN HEINZ 22 C STREET, NW SUITE 650 WASHINGTON, DC 20007	CONTRIBUTION TO JOHN HEINZ (R-PA) SENATE CANDIDATE, FEDERAL Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	6/7/88	\$2,000.00

SUBTOTAL DISBURSEMENTS THIS PERIOD

TOTAL DISBURSEMENTS THIS PERIOD

91034501265 + 744





SCHEDULE B

ITEMIZED DISBURSEMENTS

1989 Mid Year Report

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 1  
 FOR LINE NUMBER 11

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Re-elect Congressman Chuck Schumer 1551 East 23rd. Street Brooklyn, NY 11210	Contribution Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	02/03/89	\$5,000
B. Full Name, Mailing Address and ZIP Code Pete Dawkins for US Senate 2555 M Street Suite 203 Washington, DC 20037	Debt Retirement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	03/10/89	1,000
C. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third Street NE Washington, DC 20002	Contribution Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	05/01/89	1,000
D. Full Name, Mailing Address and ZIP Code Pete Dawkins for US Senate 2555 M Street Suite 203 Washington, DC 20037	Debt Retirement Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	06/21/89	650
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (ignore!)			\$7,650
TOTAL This Period (Use page this line number only)			\$7,650

SCHEDULE B

ITEMIZED DISBURSEMENTS

1989 Year End Report

The separate schedule of  
for each party of the  
Date of Form: Page

These statements should be prepared in such form and statements may not be sold or used by any person for the purpose of the sale of securities or for the purpose of the sale of insurance and address of any of the committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIT-PAC) 700161001

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	CONTRIBUTION	Date (month day, year)	Amount of Each Disbursement This Period
Senator Terry Sanford (D-NC) Terry Sanford Committee c/o Paula Levine 1002 Van Ness Street, NW, Suite 1018-W Washington, D.C. 20005	Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	CONTRIBUTION	11/30/89	2,000.00
Baron Hill (D-IN) Hoosier for Baron Hill 1129 20th Street, NW, Suite 707 Washington, D.C. 20036	Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	CONTRIBUTION	11/30/89	2,000.00
Congressman Charles Schumer (D-NY) Re-elect Charles E. Schumer c/o Steve Goldenkrantz 1551 E. 23rd Street Brooklyn, NY 11210	Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	CONTRIBUTION	11/30/89	2,000.00
Democratic Congressional Campaign Committee Speaker's Club 430 South Capital Street Washington, D.C. 20003	Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	CONTRIBUTION	11/30/89	5,000.00
Campaign America 919 Prince Street Alexandria, VA 22314	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	CONTRIBUTION	9/30/89	2,000.00
Senator Joe Lieberman (D-CT) Chairman for Senate Debt Retirement 20 Laurel Street Hartford, CT 06106	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	CHECK RETURNED: FUND CLOSED	5/5/89	(500.00)
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)			

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SCHEDULE A

ITEMIZED RECEIPTS

REFUNDS

Use separate schedule for each contribution item and include the date of contribution.

All information copied from such receipts and statements may not be valid or used by any person for the purpose of claiming a tax credit or deduction. Do not use the name and address of any donor to contribute to any other committee.

NAME OF COMMITTEE (in Full)

1990 30 DAY POST GENERAL

TRIANGLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (FOU-PAC)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
JOHN HEINZ 1100 PNB BUILDING PHILADELPHIA, PA 19107	REFUND OF CONTRIBUTION	10/22/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$ 1,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
THE HONORABLE FERNAND ST. GERMAIN 21 HARBOR VIEW DRIVE NEWPORT, RI 02840	REFUND OF CONTRIBUTION	10/31/90	\$1,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$ 1,000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
CONGRESSMAN CHARLES RANGEL (D-NY) RANGEL FOR CONGRESS COMMITTEE 2030 ALLEN PLACE NW WASHINGTON, D.C. 20009	REFUND OF CONTRIBUTION	10/31/90	\$1,500.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$ 1,500.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
CONGRESSMAN CHARLES SCHUMER (D-NY) COMMITTEE TO RE-ELECT CHARLES SCHUMER 1551 E. 23rd STREET ELMHURST, N.Y. 11210	REFUND OF CONTRIBUTION	10/31/90	\$2,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$ 2,000.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
SENATOR LLOYD BENTSEN (D-TX) ELECTION COMMITTEE P.O. BOX 61202 HOUSTON, TX 77262	REFUND OF CONTRIBUTION	10/31/90	\$5,000.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$ 5,000.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year to Date > \$	

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TOTAL: \$10,500.00  
FORM FOR 1990



1986 October Quarterly Report

page 1 of 1  
LINE NUMBER 2

SCHEDULE B ITEMIZED DISBURSEMENTS

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of committee (in full)  
DONALDSON, LUKIN & JERRETTE BETTER INVESTMENT FUND

A. full name mailing address and zip code	Purpose of disbursement	date	amount this period
SANDY BLUMZ FOR U. S. SENATE CAMPAIGN FUND P.O. BOX 809 CHALMETTE, LOUISIANA 70044	CAMPAIGN CONTRIBUTION disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	7/08/86	\$5,000.00
B. full name mailing address and zip code	Purpose of disbursement	date	amount this period
AMERICA'S LEADERS FUND P.O. BOX 2169 WASHINGTON, D.C. 20013-2169	CAMPAIGN CONTRIBUTION disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	7/09/86	\$2,000.00
C. full name mailing address and zip code	Purpose of disbursement	date	amount this period
DEMOCRATIC CONGRESSIONAL CAMPAIGN COMMITTEE 430 SOUTH CAPITAL STREET, S.E. WASHINGTON, D.C. 20003	CAMPAIGN CONTRIBUTION disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	7/17/86	\$250.00
D. full name mailing address and zip code	Purpose of disbursement	date	amount this period
RIEGLE FOR SENATE COMMITTEE P.O. BOX 2434 WASHINGTON, D.C. 20017	RIA FUNDRAISER disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	7/17/86	\$1,000.00
E. full name mailing address and zip code	Purpose of disbursement	date	amount this period
COMMITTEE TO ELECT TERRY SANFORD BOX 3539 DURHAM, NORTH CAROLINA 27702	FUNDRAISER disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	9/12/86	\$1,000.00
F. full name mailing address and zip code	Purpose of disbursement	date	amount this period
CRANSTON FOR SENATE '86 2325 NORTH JACKSON ARLINGTON, VIRGINIA 22201	FUNDRAISER disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	9/12/86	\$1,000.00
G. full name mailing address and zip code	Purpose of disbursement	date	amount this period
CRANSTON FOR SENATE '86 2325 NORTH JACKSON ARLINGTON, VIRGINIA 22201	CAMPAIGN CONTRIBUTION disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	9/23/86	\$1,000.00
H. full name mailing address and zip code	Purpose of disbursement	date	amount this period
GILL BRADLEY FOR U.S. SENATE '90 P.O. BOX 1990 UNION, N.J. 07083	FUNDRAISER disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	9/26/86	\$1,000.00
I. full name mailing address and zip code	Purpose of disbursement	date	amount this period
SIPAC 490 L'ENFANT PLAZA EAST, S.W. WASHINGTON, D.C. 20024	PAC-to-PAC CONTRIBUTION disbursement for: primary <input checked="" type="checkbox"/> general other (specify)	7/09/86	\$5,000.00

Subtotal of Receipts This Page (optional)..... \$17,250.00

TOTAL This Period (last page this line number only).....

7.1040361249



SCHEDULE B

ITEMIZED DISBURSEMENTS

1987 Mid Year Report

Use separate schedule for each category of the Detailed Summary Page FOR LINE NUMBER

Any information covered from such Reports and Statements may not be used or cited by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUIPAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman Victor Fazio (D-CA) Congressman Fazio Campaign Committee PO Box 2474 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$350.00
B. Full Name, Mailing Address and ZIP Code Congressman Marge Roukema (R-NJ) The Committee to Re-elect Marge Roukema PO Box 625 Ridgewood NJ	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$300.00
C. Full Name, Mailing Address and ZIP Code Senator Malcolm Wallop (R-WY) Wallop Senate Drive 4124 South 36th Street Arlington VA 22206	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$1,000.00
D. Full Name, Mailing Address and ZIP Code Congressman Pete Stark (D-CA) Pete Stark Reelection Committee 106 N Carolina Ave SE Washington DC 20003	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$1,500.00
E. Full Name, Mailing Address and ZIP Code Senator Edward Kennedy (D-MA) Committee to Re-elect Senator Edward Kennedy	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	1,000.00
F. Full Name, Mailing Address and ZIP Code Senator Bill Bradley (D-NJ) Bradley For US Senate PO Box 1990 Union New Jersey 07083	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$600.00
G. Full Name, Mailing Address and ZIP Code Senator Lloyd Bentsen (D-TX) Election Committee PO Box 1990 Washington DC 20013-1990	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$5,000.00
H. Full Name, Mailing Address and ZIP Code Senator Max Baucus (D-MT) Friends of Max Baucus PO Box 2891 Washington DC 20013-2891	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$500.00
I. Full Name, Mailing Address and ZIP Code Congressman Ed Towns (D-NY) Committee to Re-elect Congress Ed Towns PO Box 2474 Washington DC 20013	Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/24/87	\$300.00

SUBTOTAL of Disbursements This Period

\$10,550.00

TOTAL TO BE PAID BY DONORS THIS PERIOD

3710 A-07 3761 39

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

1987 Year End Report

Use separate schedules for each category of the Disbursed Summary Page  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of contacting any donor or for any other legal purpose, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE OR FUND**

Donaldson, Lufkin & Jeanette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
American's with Hart PO Box 4097 Lexington, KY 40504	Stop payment issued for check 444-2/2/86 Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	9/15/87	(1,000.00)
Bill Bradley for US Senate's PO Box 1990 Union, NJ 07083	Stop payment issued for check 444-9/25/87 Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	9/15/87	(1,000.00)
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
<b>SUBTOTAL of Disbursements This Page (optional)</b> .....			
<b>TOTAL This Period (see page 10 for line number only)</b> .....			(2,000.00)



SCHEDULE B

ITEMIZED DISBURSEMENTS

1989 July Quarterly Report

Page	17
of	21
Form	LINE NUMBER

Any information obtained from such Reports and Statements may not be sold or used by the donor for the purpose of soliciting contributions or for commercial purposes. Your State using the name and address of any political committee to solicit contributions from such individuals.

Name of Committee on Page

SEATTLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (SFPAC) C00161901

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A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement CONTRIBUTION	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Howell Heflin (D-AL) Friends of Howell Heflin Cmtc, Inc P. O. Box 1990 Tusculum, AL 35674	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	1,000.00
Senator Chris Dodd (D-CT) Friends of Chris Dodd P. O. Box 9186 Hartford, CT 06108	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	1,000.00
C. Full Name, Mailing Address and ZIP Code Congressman Guy Vanderjagt (R-NJ) Vanderjagt Campaign Committee 4502 Herend Place Fairfax, VA 22032	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	400.00
B. Full Name, Mailing Address and ZIP Code Congressman Byron Dorgan (D-ND) Friends of Byron Dorgan P. O. Box 37070 Washington, D.C. 20013-7070	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	2,500.00
E. Full Name, Mailing Address and ZIP Code Congressman Mike Espy (D-MS) Mike Espy for Congress Committee For Debt Retirement P. O. Box 2474 Washington, DC 20013	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	2,000.00
F. Full Name, Mailing Address and ZIP Code Senator Tom Harkin (D-IA) Citizens For Tom Harkin P. O. Box 75221 Washington, D. C. 20013	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	1,000.00
G. Full Name, Mailing Address and ZIP Code Congresswoman Lynn Martin (R-IL) Martin for Congress Committee P. O. Box 206 Rockford, IL 61105	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	500.00
H. Full Name, Mailing Address and ZIP Code Congressman David Bonior (D-MI) Bonior for Congress 106 Eighth Street, NE Washington, D.C. 20002	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	500.00
I. Full Name, Mailing Address and ZIP Code Senator Bill Bradley (D-NJ) Bill Bradley for U.S. Senate P. O. Box 1990 Union, NJ 07083	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/89	1,000.00

SUBTOTAL of Disbursements This Page (optional) .....

SCHEDULE B

ITEMIZED DISBURSEMENTS

1989 Year End Report

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 2  
SCHEDULE NUMBER 21

All information on copies from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

10/25/89 4 3 4 3 4 3 4 3 4

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
BillAC William Butler 1747 Pennsylvania Ave., NW Washington, DC 20006	Pac to Pac Contribution Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	09/14/89	250
Bill Bradley for U.S. Senate c/o Levy, Pazanti & Assoc. 3450 Wilshire Blvd, Suite 345 Los Angeles, Calif. 90010	Fundraiser Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	10/03/89	2,000
Bill Bradley for U.S. Senate James R. Zazzali, Esq. Gateway 1 Newark, NJ 07102	Contribution Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	11/30/89	1,000
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (see line 1)

3,250

TOTAL This Page (see line 1) and number on 1

3,250

SCHEDULE B

ITEMIZED DISBURSEMENTS

1990 July Quarterly Report

Use separate schedules for each category of the Detailed Summary Page

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FOR LINE NUMBER  
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NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
THE HONORABLE HANK BROWN (R-CO) HANK BROWN FOR U.S. SENATE 1660 S. ALBION, SUITE 0300 DENVER, CO 80150	CONTRIBUTION Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/17/90	\$1,000.00
B. Full Name, Mailing Address and ZIP Code CONGRESSMAN VIC FAZIO (D-CA) VIC FAZIO CAMPAIGN COMMITTEE P.O. BOX 2474 WASHINGTON, D.C. 20013	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$1,000.00
C. Full Name, Mailing Address and ZIP Code CONGRESSMAN TOM MANTON (D-NY) MANTON FOR CONGRESS, INC. 6221 29TH STREET, N.W. WASHINGTON, D.C. 20015	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$3,000.00
D. Full Name, Mailing Address and ZIP Code CONGRESSMAN GENE TAYLOR (D-MS) GENE TAYLOR FOR CONGRESS P.O. BOX 2639-BAY ST. LOUIS, MISSISSIPPI 39521	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$1,000.00
E. Full Name, Mailing Address and ZIP Code CONGRESSMAN MIKE PARKER (D-MS) FRIENDS OF MIKE PARKER P.O. BOX 926-BROOKHAVEN, MISSISSIPPI 39601	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$1,000.00
F. Full Name, Mailing Address and ZIP Code SENATOR CARL LEVIN (D-MI) FRIENDS OF CARL LEVIN 424 C STREET, NW WASHINGTON, D.C. 20002	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$500.00
G. Full Name, Mailing Address and ZIP Code SENATOR BILL BRADLEY (D-NJ) BILL BRADLEY FOR U.S. SENATE P.O. BOX 1990 WOODBIDGE, NJ 07095	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$1,900.00
H. Full Name, Mailing Address and ZIP Code SENATOR BILL BRADLEY (D-NJ) BILL BRADLEY FOR U.S. SENATE P.O. BOX 1990 WOODBIDGE, NJ 07095	CONTRIBUTION Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$3,000.00
I. Full Name, Mailing Address and ZIP Code TOBY MOFFETT (D-CT) MOFFETT FOR CONGRESS 500 CAPITOL COURT, NE #100 WASHINGTON, D.C. 20002	CONTRIBUTION Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/23/90	\$500.00

SUBTOTAL OF DISBURSEMENTS This Page (add line 1)

\$10,900.00

TOTAL DISBURSEMENTS This Page (no number on 1)

40364205

Any information on this page and attachments may not be held or used by the IRS for the purpose of enforcing certain provisions of the Internal Revenue Code other than those specifically stated on this page and attachments.

NAME OF COMMITTEE OR FUND: Donaldson, Lukin & Jenrette, Inc. Better Government Fund  
DATE: 11/30 DAY POST-GENERAL

a. Full Name, Mailing Address and ZIP Code	Name of Employer	Date Received (Mo. Year)	Amount of Contribution (Mo. Year)
Whitean for U.S. Senate 38 N. Gaston Ave. Somerville, NJ 08876 Received For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check	Occupation: Appropriate Year to Report: 8	10/16/90	3,000.00
Bradley for U.S. Senate PO BOX 1990 Mudbridge, NJ 07095 Received For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check	Occupation: Appropriate Year to Report: 8	10/22/90	3,400.00
Cung. St. Germaine for Re-election CUMM. 21 Harbor View Drive Newport, RI 02840 Received For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check	Occupation: Appropriate Year to Report: 8	10/22/90	3,000.00
Rockefeller Friends 313 3rd. Street, NE Washington, DC 20002 Received For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check	Occupation: Appropriate Year to Report: 8	10/22/90	3,000.00
(Empty)	Occupation: Appropriate Year to Report: 8		
(Empty)	Occupation: Appropriate Year to Report: 8		
(Empty)	Occupation: Appropriate Year to Report: 8		

SUBTOTAL of Receipts on This Page (including): 8,400.00

TOTAL for this page (including the number one): 8,400.00

19573432547



<p>1. Full Name, Mailing Address and ZIP Code            Richard D. Scheller (D-WV)            Senator-Scheller            3004            P. O. Box 3004            Charleston, WV 25304</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            12/01/89</p>	<p>Amount of Each Disbursement This Period            3,000.00</p>
<p>2. Full Name, Mailing Address and ZIP Code            John H. Johnson (D-WV)            John H. Johnson            144            Charleston, WV 25304</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            200.00</p>
<p>3. Full Name, Mailing Address and ZIP Code            Senator Bob Cotts (D-WV)            Coach for Indiana Committee            229 Second Street, SE            Washington, D. C. 20002</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            1,000.00</p>
<p>4. Full Name, Mailing Address and ZIP Code            Congressman Bill Young (D-WV)            Bill Young Re-Elect Committee            P. O. Box 2474            Washington, D. C. 20013</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            250.00</p>
<p>5. Full Name, Mailing Address and ZIP Code            Congressman Vic Fazio (D-OA)            Congressman Fazio Campaign Committee            P. O. Box 2474            Washington, D. C. 20013</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/22/89</p>	<p>Amount of Each Disbursement This Period            300.00</p>
<p>6. Full Name, Mailing Address and ZIP Code            Congressman Frank Guarini (D-NJ)            Guarini for Congress            P. O. Box 6448            Jersey City, New Jersey 07306</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            1,000.00</p>
<p>7. Full Name, Mailing Address and ZIP Code            Congressman Bruce Morrison (D-CT)            Bruce Morrison Re-Election Committee            P. O. Box 303            New Haven, CT 05602-0303</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            350.00</p>
<p>8. Full Name, Mailing Address and ZIP Code            Congressman Jim Bunning (R-KY)            Citizens for Bunning            1717 Dixie Highway Suite 180            Fort Wright, KY 41011</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            500.00</p>
<p>9. Full Name, Mailing Address and ZIP Code            Congressman David Price (D-MC)            David Price for Congress            P. O. Box 2474            Washington, D. C. 20013</p>	<p>Purpose of Disbursement: <u>CONGRESSIONAL</u>            Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify)</p>	<p>Date Disbursed (Mo./Year)            5/20/89</p>	<p>Amount of Each Disbursement This Period            500.00</p>

<p>SUBTOTAL of Disbursements This Page (entered) .....</p>	<p>.....</p>
<p>TOTAL This Period (not from this box number only) .....</p>	<p>.....</p>

SCHEDULE B

ITEMIZED DISBURSEMENTS

1990 April Quarterly Report

Use separate schedule for each category of the Detailed Financial Report

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC) C0016190

A. Full Name Mailing Address and ZIP Code	Purpose of Disbursement	Date (month day, year)	Amount of Each Disbursement This Period
CONGRESSMAN BILL GREEN (R-NY) THE COMMITTEE FOR CONGRESSMAN BILL GREEN, 501 FIFTH AV. NEW YORK, NY 10017 ST. 1814	CONTRIBUTION Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	3/20/90	\$2,000.00
CONGRESSMAN J.J. JAKE PICKLE (D-TX) J.J. JAKE PICKLE RE-ELECT COM. P.O. BOX 717 AUSTIN, TX 78767	CONTRIBUTION Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	3/20/90	\$1,000.00
CONGRESSMAN JOHN TANNER (D-TN) FRIENDS OF JOHN TANNER P.O. BOX 629 UNION CITY, TN 38261	CONTRIBUTION Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	3/20/90	\$1,000.00
CONGRESSMAN JOHN HILEK (R-IN) HILLE FOR CONGRESS COMMITTEE P.O. BOX 118 LAPOORTE, IN 46350	CONTRIBUTION Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	3/20/90	\$650.00
SENATOR WYCHE FOWLER (D-GA) WYCHE FOWLER FOR SENATE CAMPAIGN 2442 RIDGEWOOD RD. NW ATLANTA, GA 30327	CONTRIBUTION Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	3/20/90	\$2,000.00
SENATOR JOHN ROCKEFELLER (D-WV) FRIENDS OF SENATOR ROCKEFELLER 313 3rd STREET, NE WASHINGTON, DC 20002	CONTRIBUTION Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify):	3/20/90	\$5,000.00
CONGRESSMAN CHARLIE RANGEL (D-NY) RANGEL FOR CONGRESS COMMITTEE 1330 ALLEN PLACE, NW WASHINGTON, DC 20009	CONTRIBUTION Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	3/20/90	\$2,500.00
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):		

TOTAL

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1  
FOR LINE NUMBER 21

1990 July Quarterly Report

All information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for similar purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
The Democratic Congressional Campaign Committee 430 South Capital Street, SE Washington, DC 20003	Fundraiser Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	05/04/90	2,500.00
B. Full Name, Mailing Address and ZIP Code Friends of Senator Rockefeller 313 Third Street Washington, DC 20002	Fundraiser Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	05/18/90	1,000.00
C. Full Name, Mailing Address and ZIP Code Whitman for U.S. Senate George L. Ball Prudential Bache Securities One Sunport Plaza, NY, NY	Fundraiser Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	06/18/90	1,000.00
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

31094030155153

SUBTOTAL of Disbursements This Page (include)	4,500.00
TOTAL This Period (all page this line number only)	4,500.00

Form 1041-90

This form must be attached to the donor's Form 1041 and the recipient must not be paid or used by the donor for the purpose of avoiding contribution or for other purposes other than using the name and address of any political committee to obtain contributions from such contributors.

Name of Committee to Pay

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

1770 30 DAY POST-GENERAL

73334307354247

A. Full Name, Mailing Address and ZIP Code	Name of Employee	Date Received (Mo., Year)	Amount of Contribution (Mo., Year)
Whitman for U.S. Senate 38 N. Gaston Ave. Sumerville, NJ 08876	Occupation	10/16/90	1,000.00
Receipt for: <input checked="" type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check			
Appropriate Year to Report: 90			
B. Full Name, Mailing Address and ZIP Code Bradley for U.S. Senate PO BOX 1990 Middletown, NJ 07095	Name of Employee Occupation	Date Received (Mo., Year) 10/22/90	Amount of Contribution (Mo., Year) 1,400.00
Receipt for: <input checked="" type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check			
Appropriate Year to Report: 90			
C. Full Name, Mailing Address and ZIP Code Cong. St. Germaine for Re-election Cttee. 21 Harbor View Drive Newport, RI 02840	Name of Employee Occupation	Date Received (Mo., Year) 10/22/90	Amount of Contribution (Mo., Year) 1,000.00
Receipt for: <input checked="" type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check			
Appropriate Year to Report: 90			
D. Full Name, Mailing Address and ZIP Code Nichols for Friends 313 3rd. Street, NE Washington, DC 20002	Name of Employee Occupation	Date Received (Mo., Year) 10/22/90	Amount of Contribution (Mo., Year) 1,000.00
Receipt for: <input checked="" type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> (Other Receipt) Refunded check			
Appropriate Year to Report: 90			
E. Full Name, Mailing Address and ZIP Code	Name of Employee Occupation	Date Received (Mo., Year)	Amount of Contribution (Mo., Year)
Receipt for: <input type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General			
Appropriate Year to Report: 90			
F. Full Name, Mailing Address and ZIP Code	Name of Employee Occupation	Date Received (Mo., Year)	Amount of Contribution (Mo., Year)
Receipt for: <input type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General			
Appropriate Year to Report: 90			
G. Full Name, Mailing Address and ZIP Code	Name of Employee Occupation	Date Received (Mo., Year)	Amount of Contribution (Mo., Year)
Receipt for: <input type="checkbox"/> Other Receipt <input type="checkbox"/> Primary <input type="checkbox"/> General			
Appropriate Year to Report: 90			

TR

GRAND TOTAL of Receipts for Reporting Period:	4,400.00
TOTAL TO REPORT (do not include the amount of any refunded checks):	4,400.00



TELECOM

ANALYST: Tony Raymond

CONVERSATION WITH: Paul Camilleri & George Williams

COMMITTEE : EQUI-PAC (D00161901) & Donaldson, Lufkin &  
Jenrette Inc. - Better Government Fund (D00103325)

DATE : December 21, 1989

SUBJECT(S) : Undisclosed Affiliation

91040364261

Mr. Camilleri and Mr. Williams made a conference-call to PAD. The gentlemen stated that Equitable Financial Services had acquired Donaldson, Lufkin & Jenrette several years before but through oversight had not realized until recently the ramifications on their PACs' contribution limits. I informed the gentlemen that a Statement of Organization should be filed immediately to disclose the affiliation. The gentlemen then requested a meeting. I suggested that before the meeting, the representatives determine whether any excessive contributions had occurred as a result of the affiliation.

# STATEMENT OF ORGANIZATION

(See reverse side for instructions)

**MAILED DELIVERED**  
FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543

1. **NAME OF COMMITTEE IS FULL** (Check if name is changed) **EQUITABLE** DATE **12/28/89 DEC 27 AM 9:42**  
**FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)**  
 2. **NAME AND STREET ADDRESS** (Check if address is changed) **787 Seventh Avenue - 41C**  
**NEW YORK, NY 10019**  
 3. **FEC IDENTIFICATION NUMBER** **C00161901**  
 4. **IS THIS STATEMENT AN AMENDMENT?**  
 YES  NO

5. **TYPE OF COMMITTEE (Check one)**

- (a) This committee is a principal campaign committee (Complete the candidate information below)
- (b) This committee is an authorized committee and is NOT a principal campaign committee (Complete the candidate information below)
- Name of Candidate \_\_\_\_\_ Candidate Party Affiliation \_\_\_\_\_ Office Sought \_\_\_\_\_ State/District \_\_\_\_\_
- (c) This committee supports/opposes only one candidate \_\_\_\_\_ (name of candidate) and is NOT an authorized committee
- (d) This committee is a \_\_\_\_\_ (National, State or subordinate) committee of the \_\_\_\_\_ (Democratic, Republican, etc.) Party
- (e) This committee is a separate segregated fund
- (f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee

Name of Any Connected Organization or Affiliated Committee	Mailing Address and ZIP Code	Relationship
The Equitable Life Assurance Society of the United States	787 Seventh Avenue - 41C New York, NY 10019	Connected
Donaldson, Lufkin & Jenrette, Inc. (DLJ)	140 Broadway New York, NY 10005	Affiliated
DLJ Better Government Fund		

6. **Type of Connected Organization**  
 Corporation  Corporation with Capital Stock  Labor Organization  Membership Organization  Trade Association  Cooperative

7. **Custodian of Records** Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records

Full Name	Mailing Address	Title or Position
Treasurer		

8. **Treasurer** List the name and address (phone number -- optional) of the treasurer of the committee and the name and address of any designated agent (e.g. assistant treasurer)

Full Name	Mailing Address	Title or Position
George A. Williams	787 Seventh Avenue 41C New York, NY 10019	Treasurer

9. **Banks or Other Depositories** List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds

Name of Bank, Depository, etc.	Mailing Address and ZIP Code
Chase Manhattan Bank	Avenue of the Americas at 52nd Street New York, NY 10019

I hereby certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete

TYPE OR PRINT NAME OF TREASURER **George A. Williams** SIGNATURE OF TREASURER *George A. Williams* DATE **12/28/89**

NOTE: Submission of false information or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. § 4370c. ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS

9 0 0 3 6 1 4 0 3 5 1 2 6 2

AMENDED  
STATEMENT OF ORGANIZATION  
(See reverse side for instructions)

RECEIVED  
FEDERAL ELECTION COMMISSION  
DEC 21 1989

1 NAME OF COMMITTEE (Full)  (Check name to be changed)  
 Donaldson, Lufkin & Jenrette, Inc. Better Government Fund  
 (a) Number and Street Address (Check if address to be changed)  
 140 Broadway  
 (b) City, State and ZIP Code  
 New York, New York 10005

2 FUND IDENTIFICATION NUMBER  
 C00103325

3 IS THIS STATEMENT AN AMENDMENT?  
 YES  NO

4 TYPE OF COMMITTEE (Check one)

(a) This committee is a principal campaign committee. (Complete the candidate information below.)

(b) This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate	Candidate Party Affiliation	Office Sought	State District
-------------------	-----------------------------	---------------	----------------

(c) This committee supports/opposes only one candidate \_\_\_\_\_ and is NOT an authorized committee.  
 (name of candidate)

(d) This committee is a \_\_\_\_\_ committee of the \_\_\_\_\_ Party.  
 (National, State or subordinate) (Democratic, Republican, etc.)

(e) This committee is a separate segregated fund.

(f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee.

Name of Any Connected Organization or Affiliated Committee	Mailing Address and ZIP Code	Relationship
Donaldson, Lufkin & Jenrette, Inc. (DLJ)	140 Broadway New York, New York 10005	Connected
The Equitable Life Assurance Society of the United States EQUI-PAC	787 Seventh Avenue, #41C New York, New York 10019	Parent Corp. of DLJ

5 Type of Connected Organization  
 Corporation  Corporation w/o Capital Stock  Labor Organization  Membership Organization  Trade Association  Cooperative

7 Custodian of Records. Identify by name, address (phone number -- optional), and position of the person in possession of committee books and records.

Full Name	Mailing Address	Title or Position
Treasurer		

8 Treasurer. List the name and address (phone number -- optional) of the treasurer of the committee, and the name and address of any designating agent (e.g., assistant treasurer).

Full Name	Mailing Address	Title or Position
Anthony F. Daddino	140 Broadway New York, NY 10005	Treasurer
Charles J. Hendrickson	140 Broadway New York, NY 10005	Asst. Treasurer

9 Banks or Other Depositories. List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.	Mailing Address and ZIP Code
Chemical Bank	52 Broadway, New York, NY 10005
Alliance Capital Reserve	P.O. Box 1520, Secaucus, NJ 07094

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

TYPE OR PRINT NAME OF TREASURER: \_\_\_\_\_ SIGNATURE OF TREASURER: *A. F. Daddino* DATE: 12/21/89

NOTE: Submission of this statement does not constitute a request for a receipt. If you wish to receive a receipt, you must submit the data by logging in. Statements filed after the deadline will be accepted only if there is ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

For further information contact:  
 Federal Election Commission  
 Telephone: (202) 474-9530  
 TDD: (202) 474-3125

FEC FORM 1

30936181273  
 040364263

VISITCOM

CONVERSATION WITH: George Williams, Anthony Daddino, Paul  
Camillari

COMMITTEE : EQUI-PAC; Donaldson, Lufin & Jenrette Fund;

DATE : January 18, 1990

SUBJECT(S) : Undisclosed Affiliation

91040364264  
The representatives stated that due to a recent change in internal procedures, they discovered that the affiliation which took place several years ago, was never reported to the FEC nor were contribution limits checked between committees. The representatives stated that some possible excessive contributions had resulted over the years between the two committees in giving to federal candidates and indicated that refund requests in some instances had gone out. I suggested that before any other requests go out, the representatives submit a letter to disclose the exact date of acquisition to best determine whether or not excessive contributions had occurred over the years. I explained that RAD would have to go back through the years to check on the activity of the two committees and examine contribution limits with respect to candidates. The representatives explained that contributions to their PACs by contributors would not present any excessive situations since there were no cross-over solicitations.

The gentlemen stated that a letter with the exact date of affiliation would be forthcoming.



90 FEB 26 AM 10:45

George A. Williams, CPA  
and Deputy Auditor

February 22, 1990

Mr. Anthony Raymond  
Federal Election Commission  
999 "E" Street N.W.  
Washington, D. C.

Dear Mr. Raymond:

This letter responds to your request that we provide you with the background in connection with EQUI-PAC and DLJ Better Government Fund and their managements in light of the purchase of Donaldson, Lufkin and Jenrette by Equitable.

The Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of Equitable officials. Accordingly, Equitable and DLJ do not share operational identities. Each has its own internal management, office locations, business plans, and, as is relevant to this issue, political action goals and objectives.

A review of the records shows that at the time of the merger, neither PAC gave any consideration to a need for affiliation. This is consistent with the philosophy of the agreement between DLJ and Equitable; no other staff function or operational area was combined or merged. Both PACs proceeded to operate independently.

In 1987, an informal procedure was started for the DLJ PAC treasurer to notify The Equitable office of its intended contributions to federal candidates. At the same time, it became apparent that DLJ's \$1,500 contribution to the Bentsen Campaign exceeded the combined limitation and a refund request was made. Correspondence between DLJ personnel and Bentsen Campaign personnel as to the reason for the refund ultimately brought to light, in 1989, that the affiliation of the DLJ and Equitable PACs had not been reported to the FEC. In late 1989, DLJ and Equitable together notified the FEC that they wished to amend the Statements of Organization.

1040364265

Mr. Anthony Raymond  
February 22, 1990  
Page 2

ATTACHMENT 5  
(Page 2 of 2)

Currently, we have strengthened the coordination between the PACs such that DLJ's planned contributions are formally reported to the Treasurer of EQUI-PAC before the DLJ contribution is made. Administrative systems have been reviewed to capture and monitor contributions from both PACs to avoid the recurrence of this situation.

We appreciate the help and consideration of the FEC with respect to this matter and would be pleased to supply any additional information you may need.

Very truly yours,

*A. F. Daddino*

Anthony F. Daddino  
Treasurer  
DLJ Better Government Fund

*George A. Williams*

George A. Williams  
Treasurer  
EQUI-PAC

21040361266



FEDERAL ELECTION COMMISSION  
WASHINGTON, DC 20463

RQ-2

George Williams, Treasurer  
Equitable Financial Services Political  
Action Committee (EQUI-PAC)  
135 West 50th Street - 3rd Floor  
New York, NY 10020

MAR 28 1990

Identification Number: C00161901

Reference: 1984 Year End Report (10/17/84-12/31/84)  
1985 Mid-Year Report (1/1/85-6/30/85)  
1986 April Quarterly Report (1/1/86-3/31/86)  
1986 July Quarterly Report (4/1/86-6/30/86)  
1986 30 Day Post-General Report (10/1/86-11/24/86)  
1986 Year End Report (11/25/86-12/31/86)  
1987 Mid-Year Report (1/1/87-6/30/87)  
1987 Year End Report (7/1/87-12/31/87)  
1988 April Quarterly Report (1/1/88-3/31/88)  
1988 July Quarterly Report (4/1/88-6/30/88)  
1989 July Quarterly Report (1/1/89-6/30/89)

Dear Mr. Williams:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your reports (pertinent portions attached) disclose several contributions which appear to exceed the limits set forth in the Act. The Act precludes a multicandidate committee, in combination with its affiliated committees, from making contributions to candidates for federal office in excess of \$5,000 per election. (2 U.S.C. §441a(a)(5))

If the contributions in question were incompletely or incorrectly disclosed, you should amend your original reports with clarifying information. If you have made an excessive contribution, you should either notify the recipient and request a refund of the amount in excess of \$5,000 and/or notify the recipient, in writing, of your designation of the contribution(s). Although you may redesignate your contributions, be advised that the redesignations will have occurred beyond the sixty (60) days of the original receipt by the candidate committee,

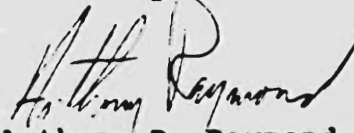
71040364267

and as such, your committee will remain technically in violation of the Act. Refunds are reported on Line 16 of the Detailed Summary Page and on Schedule A of the report covering the period during which they are received. Resignations are reported as memo entries on Schedule B of the report covering the period during which the resignation is made. (11 CFR §110.2(b))

The Commission notes some of the refunds you have obtained and although the Commission may take further legal steps regarding the excessive contributions, your prompt action in obtaining additional refunds and/or redesignations will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,



Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division

21040361203





FEDERAL ELECTION COMMISSION  
WASHINGTON DC 20463

RQ-2

MAR 28 1989

Anthony Daddino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund  
140 Broadway  
New York, NY 10005

Identification Number: C00103325

- Reference: 1983 30 Day Post-General Report (10/18/84-11/26/84)
- 1985 July Quarterly Report (4/1/85-6/30/85)
- 1986 April Quarterly Report (1/1/86-3/31/86)
- 1986 July Quarterly Report (4/1/86-6/30/86)
- 1986 October Quarterly Report (7/1/86-9/30/86)
- 1987 Mid-Year Report (1/1/87-6/30/87)
- 1988 July Quarterly Report (4/1/88-6/30/88)
- 1989 Year End Report (7/1/89-12/31/89)

Dear Mr. Daddino:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your reports (pertinent portions attached) disclose several contributions which appear to exceed the limits set forth in the Act. The Act precludes a multicandidate committee, in combination with its affiliated committees, from making contributions to candidates for federal office in excess of \$5,000 per election. (2 U.S.C. §441a(a)(5))

If the contributions in question were incompletely or incorrectly disclosed, you should amend your original reports with clarifying information. If you have made an excessive contribution, you should either notify the recipient and request a refund of the amount in excess of \$5,000 and/or notify the recipient, in writing, of your designation of the contribution(s). Although you may redesignate your contributions, be advised that the redesignations will have occurred beyond the sixty (60) days of the original receipt by the candidate committee,

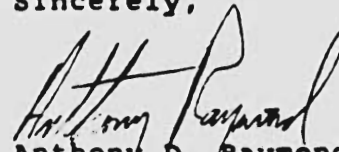
71040554259

and as such, your committee will remain technically in violation of the Act. Refunds are reported on Line 16 of the Detailed Summary Page and on Schedule A of the report covering the period during which they are received. Resignations are reported as memo entries on Schedule B of the report covering the period during which the resignation is made. (11 CFR §110.2(b))

The Commission notes some of the refunds you have obtained and although the Commission may take further legal steps regarding the excessive contributions, your prompt action in obtaining additional refunds and/or redesignations will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,



Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division

71040364270



THE EQUITABLE  
POLITICAL  
ACTION COMMITTEE

90 APR 17 11:10:00

April 16, 1990

Mr. Anthony Raymond  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

RECEIVED

Dear Mr. Raymond:

Re: Identification Number C00161901

This letter is in response to your letter to me of March 28, 1990. In that letter, you indicated a number of candidates to whom excessive campaign contributions were made by The Equitable and/or Donaldson, Lufkin & Jenrette (DLJ) Political Action Committees. This letter describes the actions taken by Equi-Pac to correct certain items and includes all documentation such as amendments to prior filings and correspondence with candidate committees. I am aware that a separate letter has been prepared to describe the actions taken by the DLJ Pac. We believe that, together, these letters describe the actions taken to resolve each of the questions you raised.

Following is a summary of the actions we have taken:

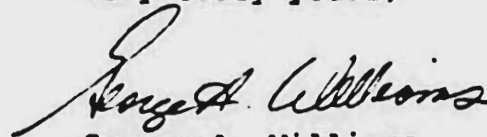
- Max Baucus - Our research indicates that Equi-Pac contributed undesignated contributions to the Max Baucus campaign. The campaign committee correctly applied the funds to the next upcoming election and did not exceed the \$5,000 limitation. However, the Equi-Pac June 30, 1989 FEC filing incorrectly reported a \$3,500 contribution as a primary campaign contribution. As such, we have prepared an amendment (attached) to that filing to correctly report that contribution for the general campaign. (Additionally, in May of 1989, DLJ requested and received a \$500 refund from the Baucus campaign due to an apparent excessive contribution. This has been separately reported to the FEC by DLJ.)
- Pete Dawkins - While this candidate was not identified in your letter of March 28th, our records indicate a situation similar to the Baucus situation described above. As such, Equi-Pac has prepared an amendment (attached) to the December 31, 1987 FEC filing to correctly report the October 7, 1987 (\$1,000) contribution as a primary campaign contribution.

Mr. Anthony Raymond  
April 16, 1990  
Page 2

- David Pryor - This is also similar to the Baucus situation described above. As such, Equi-Pac has prepared an amendment (attached) to the June 30, 1989 FEC filing to correctly report the June 23, 1989 (\$1,000) contribution as a general campaign contribution.
- Thad Cochran - We have contacted the Cochran Committee in our research of the apparent excessive contribution. They confirmed that our contributions, in fact, went to two separate committees maintained by Cochran. One is a political action committee for Thad Cochran; the other is the Citizens for Cochran Campaign. These are separate organizations and have been reported separately by the Cochran Committees and Equi-Pac. Attached is a letter received from the Cochran Campaign Committee as well as copies of the respective FEC filings made by that committee.
- John Chafee - This is also similar to the Baucus situation described above. As such, Equi-Pac has prepared amendments (attached) to the June 30, 1986, November 24, 1986 and June 30, 1987 FEC filings to correctly report the May 30, 1986 (\$2,000), November 20, 1986 (\$2,000) and May 22, 1987 (\$1,000) contributions as primary campaign contributions.
- Bob Packwood - This is similar to the Baucus situation described above. As such, Equi-Pac has prepared amendments (attached) to the December 31, 1984 and June 30, 1985 FEC filings to correctly report the November 12, 1984 (\$1,000), January 6, 1985 (\$1,000) and January 31, 1985 (\$3,000) contributions as primary campaign contributions.

Mr. Raymond, we hope that we have now corrected all situations identified by your review and have provided satisfactory documentation to you.

Very truly yours,



George A. Williams  
Treasurer, Equi-Pac

Attachments

cc: Mr. A. F. Daddino, Treasurer  
DLJ Better Government Fund

21040364272



**REPORT OF RECEIPTS AND  
For Other Than An Authorized  
(Summary Page)**

**ATTACHMENT B  
(Page 3 of 22)**

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES                  POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	90 APR 17 AM 10:03
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported  787 Seventh Avenue - 41C	2. FEC IDENTIFICATION NUMBER  C00161901
CITY, STATE and ZIP CODE New York, New York 10019	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

**4. TYPE OF REPORT**

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

Twelfth day report preceding \_\_\_\_\_ (Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

**SUMMARY**

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>1/1/89</u> through <u>6/30/89</u>		
6. (a) Cash on Hand January 1, 19____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	\$
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

For further information contact:  
 Federal Election Commission  
 999 E Street, NW  
 Washington, DC 20463  
 Toll Free 800-424-9530  
 Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**GEORGE H. WILLIAMS**

Signature of Treasurer

*George H. Williams*

Date

*4/12/90*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Max Baucus (D-MT) Friends of Max Baucus P.O. Box 2891 Washington, D.C. 20013	Contribution previously reported as Primary. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/5/89	\$3,500.00
B. Full Name, Mailing Address and ZIP Code Senator David Pryor (D-AR) David Pryor for U.S. Senator P.O. Box 3334 Little Rock, AR 72203	Contribution previously reported as Primary. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	\$1,000.00 (of \$4,000)
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

210403612/4

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

SUBTOTAL of Disbursements This Page (optional) .....	
TOTAL This Period (last page this line number only) .....	

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Candidate  
(Summary Page)

ATTACHMENT 8  
Page 5 of 22

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>		90 APR 17 AM 10:03
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>787 Seventh Avenue - 41C</b>		2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:

- February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>7/1/87</u> through <u>12/31/87</u>		
6. (a) Cash on Hand January 1, 19____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**George A. Williams**

Signature of Treasurer

*George A. Williams*

Date

**4/10/90**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §407g

FEC FORM 3X

SCHEDULE B  
Amendment

ITEMIZED DISBURSEMENTS

For each category of the  
Detailed Summary Page

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as General.	Date (month, day, year)	Amount of Each Disbursement This Period
Pete Dawkins (R-NJ) Pete Dawkins for U.S. Senate P.O.Box 432 Sea Girt NJ 08750	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/07/87	\$1,000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

SUBTOTAL of Disbursements This Page (Optional)	<input type="checkbox"/> Other (specify)
TOTAL This Period (last page this line number only)	



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorizer  
(Summary Page)

ATTACHMENT 8  
(Page 7 of 22)

USE FEC MAILING LABEL OR TYPE OR PRINT

90 APR 17 11:10:02

NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES                  POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported  <b>787 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER  <b>C00161901</b>
CITY, STATE and ZIP CODE  <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?     YES     NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5.	Covering Period <u>4/1/86</u> through <u>6/30/86</u>		
6.	(a) Cash on Hand January 1, 19____		\$
	(b) Cash on Hand at Beginning of Reporting Period	\$	
	(c) Total Receipts (from Line 18)	\$	\$
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7.	Total Disbursements (from Line 28)	\$	\$
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9.	Debits and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10.	Debits and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: GEORGE A WILLIAMS

Signature of Treasurer: *George A. Williams* Date: 4-12-90

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as General.	Date (month, day, year)	Amount of Each Disbursement This Period
Senator John Chaffee Re-elect John Chaffee Committee P.O.Box 623 Providence RI 02901	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/30/86	\$2000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

# REPORT OF RECEIPTS AND DISBURSEMENTS

Other Than An Authorized Agent  
(Summary Page)

ATTACHMENT 8  
Page 9 of 22

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>		90 APR 17 AM 10:02
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>787 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER <b>C00161901</b>	
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)	

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report
- Monthly Report Due On:  
 February 20  June 20  October 20  
 March 20  July 20  November 20  
 April 20  August 20  December 20  
 May 20  September 20  January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_  
in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>10/1/86</u> through <u>11/24/86</u>		
6. (a) Cash on Hand January 1, 19____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**GEORGE A. WILLIAMS**

Signature of Treasurer

*George A. Williams*

Date

**4/12/90**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator John Chaffee Re-elect John Chaffee Committee P.O.Box 623 Providence RI 02901	Contribution previously reported as General. Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$2000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Use Only by an Authorized Person  
(Summary Page)

Attachment 8  
(Page 11 of 22)

90 APR 17 11:10:02

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 787 Seventh Avenue - 41C	2. FEC IDENTIFICATION NUMBER C00161901
CITY, STATE and ZIP CODE New York, New York 10019	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

### Monthly Report Due On:

- February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

5. Covering Period <u>1/1/87</u> through <u>6/30/87</u>		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a)	Cash on Hand January 1, 19____		\$
(b)	Cash on Hand at Beginning of Reporting Period	\$	
(c)	Total Receipts (from Line 1B)	\$	\$
(d)	Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7.	Total Disbursements (from Line 2B)	\$	\$
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9.	Debits and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	
10.	Debits and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

For further information contact:  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
Toll Free 800-424-9530  
Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

GEORGE A. WILLIAMS

Signature of Treasurer

George A. Williams

Date

4/12/90

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 5937.

FEC FORM 3X

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as General.	Date (month, day, year)	Amount of Each Disbursement This Period
Senator John Chaffee Re-elect John Chaffee Committee P.O.Box 623 Providence RI 02901	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/22/87	\$1000.00 (of \$5000.00)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized (Summary Page)

ATTACHMENT 8  
(Page 13 of 22)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES                  POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	90 APR 17 AM 10:02
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported  787 Seventh Avenue - 41C	2. FEC IDENTIFICATION NUMBER  C00161901
CITY, STATE and ZIP CODE  New York, New York 10019	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>10/17/84</u> through <u>12/31/84</u>		
6. (a) Cash on Hand January 1, 19____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**GEORGE A. WILLIAMS**

Signature of Treasurer

*George A. Williams*

Date

*4/12/90*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 18 U.S.C. §477b

**FEC FORM 3X**

SCHEDULE B  
Amendment

ITEMIZED DISBURSEMENTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as General.	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Bob Packwood Re-elect Packwood Committee Benjamin Franklin Station P.O. Box 14086 Washington DC 20044	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/12/84	\$1000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Use Only by an Authorized Person  
(Summary Page)

ATTACHMENT 8  
Page 15 of 22

90 APR 17 11:10:02

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>787 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:

- February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

- Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Thirtieth day report following the General Election on \_\_\_\_\_  
in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

5. Covering Period <u>1/1/85</u> through <u>6/30/85</u>		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 19__			\$
(b) Cash on Hand at Beginning of Reporting Period		\$	
(c) Total Receipts (from Line 18)		\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$	\$
7. Total Disbursements (from Line 28)		\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$	

For further information contact:  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
Toll Free 800-424-9530  
Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**GEORGE A. WILLIAMS**

Signature of Treasurer

*George A. Williams*

Date

**4/12/90**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437c.

FEC FORM 3X

SCHEDULE B  
Amendment

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Page

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Bob Packwood Re-elect Packwood Committee Benjamin Franklin Station P.O. Box 14086 Washington DC 20044	General. Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/16/85	\$1000.00
" " " "	general Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/31/85	\$3000.00 (of 4000.00)
C. Full Name, Mailing Address and ZIP Code 6	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code 6	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code 0 4	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

SUBTOTAL of Disbursements This Page (add only)

TOTAL This Period (add page this line number on)

# The Senate Victory Fund

Post Office Box 1331, Jackson, Mississippi 39225-1331

Thad Cochran  
U. S. Senator  
Honorary Chairman

James Y. Becker  
Chairman

B. Keith Hill, CPA  
Treasurer

## MEMORANDUM

TO: Mr. George Williams, Treasurer  
Equitable Life Assurance Society PAC

FROM: Keith Hill, Treasurer *AK*  
Citizens for Cochran (Authorized Committee for  
Senator Thad Cochran)  
The Senate Victory Fund, PAC

DATE: 4.10.90

RE: Contributions

.....

As per a telephone conversation with Ms Kim Kynoch, I am forwarding to you the Schedule A that relates to the contributions in question.

Your contribution for \$3,000 dated March 4, 1988 was received by The Senate Victory Fund, PAC March 31, 1988 and designated for the Primary election.

Your contribution for \$3,000 dated June 23, 1989 was received by Citizens for Cochran July 26, 1989 and designated for the Primary election.

Should you need any additional information, please feel free to contact me at 601/969-0100.

cc: Citizens for Cochran  
FEC File

21040351287

SCHEDULE A

ITEMIZED RECEIPTS

For Line: 112

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of collecting contributions or for commercial purposes, other than using the name and address of any political committee to collect contributions from such committee.

NAME OF COMMITTEE: Citizens for Cochran

<b>FULL NAME AND MAILING ADDRESS:</b> Don B Bradstreet PAC Don B Bradstreet 600 Maryland Avenue, SW, #2 Washington, DC 20024	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 10/18/89 <b>AMOUNT OF RECEIPT:</b> 1,000.00 <b>2,825.00</b>
---	--	--

<b>FULL NAME AND MAILING ADDRESS:</b> Equitable Life Assurance Society PAC-EQUI-PA 1700 Pennsylvania Avenue, NW Suite 825 Washington, DC 20008	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 7/26/89 <b>AMOUNT OF RECEIPT:</b> 3,000.00 <b>3,000.00</b>
---	--	---

<b>FULL NAME AND MAILING ADDRESS:</b> Federal Express PAC 2003 Corporate Avenue Memphis, TN 38138	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 10/19/89 <b>AMOUNT OF RECEIPT:</b> 4,000.00 <b>6,000.00</b>
--	--	--

<b>FULL NAME AND MAILING ADDRESS:</b> Fert PAC-The Fertilizer Institute 501 Second Street, NE Washington, DC 20002	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 12/04/89 <b>AMOUNT OF RECEIPT:</b> 500.00 <b>600.00</b>
---	--	--

<b>FULL NAME AND MAILING ADDRESS:</b> Fisheries PAC 2000 M Street, NW Suite 580 Washington, DC 20036	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 11/08/89 <b>AMOUNT OF RECEIPT:</b> 1,000.00 <b>1,000.00</b>
---	--	--

<b>FULL NAME AND MAILING ADDRESS:</b> Floor Public Affairs Committee 3333 Nicholson Drive Irvine, CA 92730	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 12/27/89 <b>AMOUNT OF RECEIPT:</b> 1,000.00 <b>1,825.00</b>
---	--	--

<b>FULL NAME AND MAILING ADDRESS:</b> FMC Corporation Good Gov't. Program 200 East Randolph Drive Chicago, IL 60601	<b>NAME OF EMPLOYER:</b> N/A <b>OCCUPATION:</b> N/A <b>AGGREGATE YEAR-TO-DATE:</b>	<b>DATE:</b> 10/03/89 <b>AMOUNT OF RECEIPT:</b> 1,000.00 <b>3,000.00</b>
--	--	--

**SUBTOTAL of Receipts This Page** ..... **11,800.00**

21040051233



SCHEDULE A

ITEMIZED RECEIPTS

For each category of the  
Detailed Summary Page

4 | 4  
FOR LINE NUMBER  
21C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

The Senate Victory Fund, PAC

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Credit Union Legislative Action Council 805 Fifteenth Street, NW Suite 300 Washington, D. C. 20005-2207	N/A	02/26/88	2,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$ 2,000.00		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
National Council of Farmer Cooperatives Co-op/PAC 50 F Street, NW, Suite 900 Washington, D.C. 20001	N/A	03/08/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$ 1,000.00		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Conagra Good Government Association One Central Park Plaza Omaha, NE 68102	N/A	03/08/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$ 1,000.00		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Minn-Dak Farmers Cooperative Political Action Committee (MDFPAC) Box 10 Wahpeton, ND 58075	N/A	03/16/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$ 1,000.00		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Equitable Life Assurance Society Political Action Committee (EQUI-PAC) 1700 Pennsylvania Ave, Suite 525 NW Washington, D.C. 20006	N/A	03/31/88	3,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$ 3,000.00		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Masters, Mates and Pilots Political Contribution Fund 700 Maritime Blvd. Linthicum Heights, MD 21090	N/A	03/31/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$ 1,000.00		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (this Period)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
	Aggregate Year-to-Date > \$		

SUBTOTAL of Receipts This Page (optional) ..... 9,000.00

TOTAL This Period (first page this line number only) ..... 25,750.00

1040364233

# The Senate Victory Fund

Post Office Box 1331, Jackson, Mississippi 39225-1331

Thad Cochran  
U. S. Senator  
Honorary Chairman

James Y. Becker  
Chairman

B Keith Hill, CPA  
Treasurer

## MEMORANDUM

TO: Mr. George Williams, Treasurer  
Equitable Life Assurance Society PAC

FROM: Keith Hill, Treasurer *KH*  
Citizens for Cochran (Authorized Committee for  
Senator Thad Cochran)  
The Senate Victory Fund, PAC

DATE: 4.10.90

RE: Contributions

.....

As per a telephone conversation with Ms Kim Kynoch, I am forwarding to you the Schedule A that relates to the contributions in question.

Your contribution for \$3,000 dated March 4, 1988 was received by The Senate Victory Fund, PAC March 31, 1988 and designated for the Primary election.

Your contribution for \$3,000 dated June 23, 1989 was received by Citizens for Cochran July 26, 1989 and designated for the Primary election.

Should you need any additional information, please feel free to contact me at 601/969-0100.

cc: Citizens for Cochran  
FEC File

71040361270

SCHEDULE A

ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Citizens for Cochran

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Don S Brodatroot PAC Don S Brodatroot 800 Maryland Avenue, SW, #2 Washington, DC 20024	N/A OCCUPATION: N/A	DATE: 10/18/89 1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	2,025.00

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Equitable Life Assurance Society PAC-EQUI-PA 1700 Pennsylvania Avenue, NW Suite 525 Washington, DC 20008	N/A OCCUPATION: N/A	DATE: 7/26/89 2,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	3,000.00

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Federal Express PAC 3003 Corporate Avenue Memphis, TN 38132	N/A OCCUPATION: N/A	DATE: 10/19/89 4,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	6,000.00

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Fert PAC-The Fertilizer Institute 501 Second Street, NE Washington, DC 20002	N/A OCCUPATION: N/A	DATE: 12/04/89 500.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	600.00

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Fisher PAC 2000 M Street, NW Suite 580 Washington, DC 20036	N/A OCCUPATION: N/A	DATE: 11/08/89 1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	1,000.00

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Floor Public Affairs Committee 3333 Nicholson Drive Irvine, CA 92730	N/A OCCUPATION: N/A	DATE: 12/27/89 1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	1,825.00

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
TMC Corporation Good Gov't. Program 200 East Randolph Drive Chicago, IL 60601	N/A OCCUPATION: N/A	DATE: 10/03/89 1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	2,000.00

SUBTOTAL of Receipts This Page ..... 11,500.00

3104036122

SCHEDULE A

ITEMIZED RECEIPTS

For each category of the  
Detailed Summary Page

4 1 4  
FOR LINE NUMBER  
11C

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

The Senate Victory Fund, PAC

A. Full Name, Mailing Address and ZIP Code <b>Credit Union Legislative Action Council</b> 805 Fifteenth Street, NW Suite 300 Washington, D. C. 20005-2207	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
	N/A	02/26/88	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$ 2,000.00

B. Full Name, Mailing Address and ZIP Code <b>National Council of Farmer Cooperatives Co-op/PAC</b> 50 F Street, NW, Suite 900 Washington, D.C. 20001	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
	N/A	03/08/88	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$ 1,000.00

C. Full Name, Mailing Address and ZIP Code <b>Conagra Good Government Association</b> One Central Park Plaza Omaha, NE 68102	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
	N/A	03/08/88	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$ 1,000.00

D. Full Name, Mailing Address and ZIP Code <b>Minn-Dek Farmers Cooperative Political Action Committee (MDFPAC)</b> Box 10 Wahpeton, ND 58075	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
	N/A	03/16/88	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$ 1,000.00

E. Full Name, Mailing Address and ZIP Code <b>Equitable Life Assurance Society Political Action Committee (EQUI-PAC)</b> 1700 Pennsylvania Ave, Suite 525 NW Washington, D.C. 20006	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
	N/A	03/31/88	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$ 3,000.00

F. Full Name, Mailing Address and ZIP Code <b>Masters, Mates and Pilots Political Contribution Fund</b> 700 Maritime Blvd. Linthicum Heights, MD 21090	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
	N/A	03/31/88	
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$ 1,000.00

G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt (in Period)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		Occupation	Aggregate Year-to-Date > \$

SUBTOTAL of Receipts This Page (optional) ..... 9,000.00

TOTAL This Period (first page this line number only) ..... 36,750.00

21040364292



**DONALDSON, LUFKIN & JENRETTE**  
**BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

SO MAR 17 11:10:00

MAIL ROOM

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

April 16, 1990

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Identification Number: C00103325

Dear Mr. Raymond:

This letter is in response to your correspondence of March 28, 1990 regarding the Commission's preliminary review of Donaldson, Lufkin & Jenrette Better Government Fund ("DLJ") and Equitable Financial Services ("Equi-Pac") reports.

The PACs understand that the Commission's preliminary review focuses on several reports which disclose contributions appearing to exceed the limitation on two affiliated political action committees from together making contributions to candidates for federal office in excess of \$5,000 per election. 2 U.S.C. Sec. 441a(a)(5), 11 C.F.R. Sec. 110.3.

Last fall, DLJ thoroughly reviewed DLJ and Equi-Pac reports dating back to the DLJ/Equitable merger to ensure that the combined contributions made by the two PACs met Commission requirements. DLJ discovered five instances where either DLJ or Equi-Pac should seek refunds and amend various previously filed reports. DLJ immediately requested, received and reported refunds from candidates Bentsen, Baucus and Rangel (see attached Schedule A reports previously filed with DLJ's 1989 Year-End Report). Although DLJ reported these refunds, it did not file amendments to its originally filed Schedule B forms to reflect correctly the election for which DLJ contribution was made to Bentsen, Baucus and Rangel, respectively. Attached herewith are the corrected Schedule B forms with Summary Pages indicating the particular reports being amended.

As to the two remaining candidates, Moynihan and Riegle, DLJ and Equi-Pac agreed that Equi-Pac would contact those campaign

71040361273

Mr. Anthony D. Raymond  
April 16, 1990  
Page 2

committees to request appropriate refunds. When Equi-Pac contacted the two committees each suggested that it would be simplest for it to refund to DLJ the contribution made by DLJ. Therefore, DLJ has sent the attached letter confirming the refund requests. When DLJ receives the contribution refunds, it will report the refunds in its next scheduled quarterly report. Attached to this letter are amendments to the original Schedule B forms to reflect correctly the election for which each contribution is made.

2 1 0 4 0 3 6 4 2 7 4

The Commission's letter also inquired about contributions made by the PACs to eight other candidates.<sup>1</sup> While it may appear from PAC reports that these candidates received excessive contributions from the two PACS, in fact these contributions were just reported incorrectly by the PAC. While both PACs reported most of their contributions as given for the general election, none were designated as such in writing as encouraged in 11 C.F.R. Sec. 110.2(b)(4). As such undesignated contributions were to be applied toward the next election after the contributions were made, 11 C.F.R. Sec. 110.2(b)(ii), DLJ reviewed the candidates reports to see if the candidate committees had reported these contributions for the proper elections. Discrepancies existed where contributions used and reported by candidate committees for primary elections were reported by DLJ and Equi-Pac as general election contributions (see attached chart). The facts are that all contributions made by DLJ and Equi-Pac to these candidates were lawfully given by the PACs and lawfully reported by the candidates; DLJ and Equi-Pac simply incorrectly reported the contributions. With submission of the enclosed Schedule B amendments correctly reporting these contributions, DLJ should be in compliance with Commission's requirements.<sup>2</sup>

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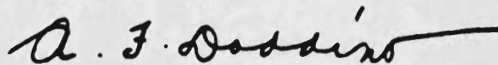
1 St. Germaine, Pryor, Cochran, Chafee, Cranston, Packwood, Wirth, Lieberman. DLJ is only addressing instances where both committees made contributions to the candidates. As Equi-Pac alone contributed to Pryor, Cochran, Chafee and Packwood, it will address these contributions in a separate letter. (See enclosed.)

2 Equi-Pac will file an amended Schedule B. (See enclosed.)

Mr. Anthony D. Raymond  
April 16, 1990  
Page 3

To ensure full compliance in the future, DLJ will designate the use of contributions on its contribution checks and reports, as encouraged by the Commission's regulations. Should you have any further questions, please do not hesitate to contact me. We will address any concerns promptly.

Sincerely,



Anthony F. Daddino  
Treasurer

AFD:bdm  
Attachments

cc: George Williams, w/Attachments  
Treasurer, Equi-Pac

21040364225

**DONALDSON, LUFKIN  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

April 11, 1990

John S. Chalaty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

Ms. Jill Weide  
Office of  
Senator Donald Riegle  
SD 105  
Dirksen Senate Office Building  
Washington, D.C. 20510-2201

Dear Ms. Weide:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election. It appears that the PACs inadvertently donated an aggregate of \$7,000 to Senator Riegle's 1988 primary election campaign.

To avoid your committee's receipt of contributions that may be excessive, I understand that you have agreed to refund \$2,000 and have decided to refund the entire \$2,000 to DLJBGF because it would be simpler for your committee's recordkeeping. I would appreciate receiving the requested refund of \$2,000 as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address.

If you have any further questions, please call me or Paul Camilleri at (212)504-4475. Thank you in advance for your assistance.

Sincerely,



Anthony F. Daddino

AFD:bdm

21040361276



**DONALDSON, LUFKIN .**  
**BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

April 11, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

Miss Sue Davis  
Office of  
Senator Patrick Moynihan  
405 Lexington Avenue  
New York, NY 10174

Dear Miss Davis:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election. It appears that the PACs inadvertently donated an aggregate of \$8,000 to Senator Moynihan's 1988 primary election campaign.

To avoid your committee's receipt of contributions that may be excessive, I understand that you have agreed to refund \$3,000 and have decided to refund the entire \$3,000 to DLJBGF because it would be simpler for your committee's recordkeeping. I would appreciate receiving the requested refund of \$3,000 as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address.

If you have any further questions, please call me or Paul Camilleri at (212)504-4475. Thank you in advance for your assistance.

Sincerely,

*A. F. Daddino*

Anthony F. Daddino

AFD:bdm

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# REPORT OF RECEIPTS AND DISBURSEMENTS

For Officers and Candidates for Office  
(Summary Page)

ATTACHMENT 9  
(Page 6 of 26)

90 APR 17 2:10:01

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report 1988
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

### SUMMARY

5. Covering Period _____ through _____	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6 (a) Cash on Hand January 1, 19 _____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	\$
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

*April, 1988*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

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**FEC FORM 3X**  
(revised 4/87)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Joe Lieberman for Senate	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/20/88	(\$2,500)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional) ..... (\$2,500)

TOTAL This Period (last page this line number only) ..... (\$2,500)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For One Than An Authorized C  
(Summary Page)

ATTACHMENT 9  
(Page 8 of 26)

90 APR 17 11:13:01

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>Donaldson, Lufkin &amp; Jenrette, Inc.                  Better Government Fund</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>140 Broadway</b>	2. FEC IDENTIFICATION NUMBER <b>C00103325</b>
CITY, STATE and ZIP CODE <b>New York, New York 10005</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1989
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 1B)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 2B)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
**Anthony Daddino**  
 Signature of Treasurer

*Anthony Daddino*

Date  
*April 16, 1990*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g



SCHEDULE B

ITEMIZED DISBURSEMENTS

for each category of the  
Detailed Summary Page

1	1
FOR LINE NUMBER	
21	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Max Baucus	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/01/89	(\$1,000)*
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
*\$500 of this contribution	refunded 11/15/89 Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional)	(\$1,000)
TOTAL This Period (last page this line number only)	(\$1,000)

# REPORT OF RECEIPTS AND D

For One Than An Authorized C  
(Summary Page)

ATTACHMENT 9  
(Page 10 of 26)

90 APR 17 11:10:01

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER  C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report 1986  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report
- Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period _____ through _____		
6	(a) Cash on Hand January 1, 19 _____		\$
	(b) Cash on Hand at Beginning of Reporting Period	\$	
	(c) Total Receipts (from Line 18)	\$	\$
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7	Total Disbursements (from Line 28)	\$	\$
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete			
Type or Print Name of Treasurer Anthony Daddino			
Signature of Treasurer <i>A. J. Daddino</i>			Date <i>April 17 1986</i>

NOTE Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X  
(revised 4-87)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

210406

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Committee for Tim Wirth, Inc.	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/29/86	(\$5,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional) .....	(\$5,000)
TOTAL This Period (last page this line number only) .....	(\$5,000)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For One Than An Authorized (Summary Page)

ATTACHMENT 9  
(Page 12 of 26)

90 APR 17 12:15:01

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report 1986  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

### Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	\$
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony Daddino

Signature of Treasurer

*Anthony Daddino*

Date

April 16, 1986

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 437g

**FEC FORM 3X**  
(revised 4-87)



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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Riegle for Senate Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/17/86	(\$1,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional) .....	(\$1,000)
TOTAL This Period (last page this line number only) .....	(\$1,000)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Use by Candidates for Federal Office  
(Summary Page)

ATTACHMENT 9  
(Page 14 of 26)

90 APR 17 12:10:01

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		
		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report 1988  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report
- Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____		\$ _____
(b) Cash on Hand at Beginning of Reporting Period	\$ _____	
(c) Total Receipts (from Line 18)	\$ _____	\$ _____
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ _____	\$ _____
7. Total Disbursements (from Line 28)	\$ _____	\$ _____
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ _____	\$ _____
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and or Schedule D)	\$ _____	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and or Schedule D)	\$ _____	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

*April 16, 1988*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

(revised 4-87)

1040361306

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Primary)	Date (month, day, year)	Amount of Each Disbursement This Period
Riegler for Senate Committee	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/25/88	(\$2,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....	(\$2,000)
TOTAL This Period (last page this line number only) .....	(\$2,000)

2104036130

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Use by Candidates for Federal Office  
(Summary Page)

ATTACHMENT 9  
(Page 16 of 26)

90 APR 17 AM 10:01

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		
3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)		

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report 1986

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

Twelfth day report preceding \_\_\_\_\_ (Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19_____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

*April 16, 1986*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X  
(revised 4-87)



Detailed Summary Page

FOR LINE NUMBER

21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

7  
1  
0  
3  
6  
4  
0  
3  
6

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
Cranston for Senate '86		1/20/86	(\$2,500)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) ..... (\$2,500)

TOTAL This Period (last page this line number only) ..... (\$2,500)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Use Only by an Authorized Contributor  
(Summary Page)

ATTACHMENT 9  
Page 18 of 26

90 APR 17 AM 10:01

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1 NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2 FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only) 1987  
 Termination Report
- Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5 Covering Period _____ through _____		
6 (a) Cash on Hand January 1, 19_____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7 Total Disbursements (from Line 28)	\$	\$
8 Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9 Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10 Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

April 16 1987

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X  
(revised 4-87)

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (reported by DLJ for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
Moynihan Committee 800 Third Avenue New York, NY	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/16/87	(\$2,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
Riegle for Senate Committee	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/28/87	(\$1,000)
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Lloyd Bensten Election Committee	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/87	(\$1,500)*
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
*refunded 11/21/89	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (opt: one)	(\$4,500)
TOTAL This Period (last page this line number only)	(\$4,500)

2104036

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Use by the Candidate or Than An Authorized Agent  
(Summary Page)

ATTACHMENT 9  
(Page 20 of 26)

90 APR 17 11:10:01

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report 1985  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report
- Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

April 16, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

(revised 4-87)



Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman St. Germaine Re-Election Committee	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/05/85	(\$5,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....	(\$5,000)
TOTAL This Period (last page this line number only) .....	(\$5,000)

3  
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**REPORT OF RECEIPTS AND DISBURSEMENTS**  
For Other Than An Authorized  
(Summary Page)

ATTACHMENT 9  
(Page 22 of 26)

90 APR 17 AM 10:01

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005		

**4. TYPE OF REPORT**

(a)  April 15 Quarterly Report  
 July 15 Quarterly Report 1988  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20  June 20  October 20  
 March 20  July 20  November 20  
 April 20  August 20  December 20  
 May 20  September 20  January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period _____ through _____		
6	(a) Cash on Hand January 1, 19____		\$
	(b) Cash on Hand at Beginning of Reporting Period	\$	
	(c) Total Receipts (from Line 18)	\$	\$
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7	Total Disbursements (from Line 28)	\$	\$
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

*April 16, 1980*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X  
(revised 4/87)

Detailed Summary Page

FOR LINE NUMBER

21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Rangel for Congress	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/31/88	(\$250) *
*refunded 11/15/89	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional) .....	(\$250)
TOTAL This Period (last page this line number only) .....	(\$250)

MODULE A

ITEMIZED RECEIPTS

Use separate schedule for each category of the Detailed Summary Page  
 PAGE OF  
 1  
 1  
 11

Information reported from such Reports and Statements may not be sold or used by any person for the purpose of collecting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such contributors.

NAME OF COMMITTEE (as Filed):  
 Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify): <u>Stock Payment Issued</u>	Occupation	09/22/89	500
Aggregate Year-to-Date > \$ 500			
B. Full Name, Mailing Address and ZIP Code Brad Dye Campaign Fund PO Box 22848 Jackson, Mississippi 39225-2848 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify): <u>Stock Payment Issued</u>	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation	10/17/89	2,000
Aggregate Year-to-Date > \$ 2,000			
C. Full Name, Mailing Address and ZIP Code Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify): <u>Stock Payment Issued</u>	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation	10/17/89	2,500
Aggregate Year-to-Date > \$ 2,500			
D. Full Name, Mailing Address and ZIP Code Charles B. Pangel PO Box 5577, Manhattanville Station 15 West 125th. St. New York, NY 10027 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify): <u>Contribution Refund</u>	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation	11/15/89	250
Aggregate Year-to-Date > \$ 250			
E. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third St., NE Washington, DC 20002 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify): <u>Contribution Refund</u>	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation	11/15/89	500
Aggregate Year-to-Date > \$ 500			
F. Full Name, Mailing Address and ZIP Code Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (Specify): <u>Contribution Refund</u>	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation	11/21/89	1,500
Aggregate Year-to-Date > \$ 1,500			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation		
Aggregate Year-to-Date > \$			
SUBTOTAL of Receipts This Period (optional)			7,250
TOTAL This Period (all receipts this period only)			7,250

TR



MODULE A

ITEMIZED RECEIPTS

Use separate checkboxes for each category of the Standard Summary Page	PAGE 1	OF 1
	1	1
	FORM LHM 10-1988 16	

1. The material received from such Reports and Statements may not be sold or used by any person for the purpose of obtaining money but one or for commercial purposes other than using the name and address of any political committee to solicit other contributions from such committee

NAME OF COMMITTEE (or Full Name of Employer) **Donaldson, Lufkin & Jenrette, Inc. Better Government Fund** **1989 YEAR END**

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003		09/22/89	500
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Stop Payment Issued	Occupation	Aggregate Year-to-Date > \$ 500	
B. Full Name, Mailing Address and ZIP Code Brad Dye Campaign Fund PO Box 2284R Jackson, Mississippi 39225-2848		10/17/89	2,000
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Stop Payment Issued	Occupation	Aggregate Year-to-Date > \$ 2,000	
C. Full Name, Mailing Address and ZIP Code Friends of Robert Janiszewski 2 Box 655 North Bergen, NJ 07047		10/17/89	2,500
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Stop Payment Issued	Occupation	Aggregate Year-to-Date > \$ 2,500	
D. Full Name, Mailing Address and ZIP Code Charles B. Rangel Box 5577, Manhattanville Station 165 West 125th. St. New York, NY 10027		11/15/89	250
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Contribution Refund	Occupation	Aggregate Year-to-Date > \$ 250	
E. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third St., NE Washington, DC 20002		11/15/89	500
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Contribution Refund	Occupation	Aggregate Year-to-Date > \$ 500	
F. Full Name, Mailing Address and ZIP Code Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208		11/21/89	1,500
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Contribution Refund	Occupation	Aggregate Year-to-Date > \$ 1,500	
G. Full Name, Mailing Address and ZIP Code			
Receipt For <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Occupation	Aggregate Year-to-Date > \$	
SUBTOTAL of Receipt This Page (optional)			7,250
TOTAL This Period (last page this line number only)			7,250

TR

TABLE A ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page	PAGE	OF
	1	1
	FOR LINE NUMBER	
		16

Information reported from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions, or for commercial or other than using the name and address of any political committee to solicit contributions from such committee.

OF COMMITTEES (in Full)  
Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003		09/22/89	500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <b>Stop Payment Issued</b>	Occupation	Aggregate Year-to-Date > \$ 500	
B. Full Name, Mailing Address and ZIP Code Brad Dye Campaign Fund PO Box 22848 Jackson, Mississippi 39225-2848		10/17/89	2,000
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <b>Stop Payment Issued</b>	Occupation	Aggregate Year-to-Date > \$ 2,000	
C. Full Name, Mailing Address and ZIP Code Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047		10/17/89	2,500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <b>Stop Payment Issued</b>	Occupation	Aggregate Year-to-Date > \$ 2,500	
D. Full Name, Mailing Address and ZIP Code Charles B. Rangel PO Box 5577, Manhattanville Station 65 West 125th. St. New York, NY 10027		11/15/89	250
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <b>Contribution Refund</b>	Occupation	Aggregate Year-to-Date > \$ 250	
E. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third St., NE Washington, DC 20002		11/15/89	500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <b>Contribution Refund</b>	Occupation	Aggregate Year-to-Date > \$ 500	
F. Full Name, Mailing Address and ZIP Code Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208		11/21/89	1,500
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <b>Contribution Refund</b>	Occupation	Aggregate Year-to-Date > \$ 1,500	
G. Full Name, Mailing Address and ZIP Code			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
<b>SUBTOTAL of Receipts This Reporting Period</b>			7,250
<b>TOTAL This Period (Last page this line number only)</b>			7,250



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20541

EQ-2

Anthony F. Daddino, Treasurer  
Donaldson, Lufkin, & Jenrette, Inc.  
Better Government Fund  
140 Broadway  
New York, NY 10005

NY 9 1990

Identification Number: C00103325

Reference: Amended 1983 30 Day Post-General (10/10/84-11/26/84)  
Amended 1985 July Quarterly (4/1/85-6/30/85)  
Amended 1986 April Quarterly (1/1/86-3/31/86)  
Amended 1986 July Quarterly (4/1/86-6/30/86)  
Amended 1986 October Quarterly (7/1/86-9/30/86)  
Amended 1987 Mid-Year (1/1/87-6/30/87)  
Amended 1988 July Quarterly (4/1/88-6/30/88) and  
Amended 1989 Year End (7/1/89-12/31/89) Reports  
dated April 16, 1990

Dear Mr. Daddino:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your letter states that you have taken actions to correct certain items. You responded that "...none [of the contributions to eight other candidates] were designated as such in writing...". Please note, 11 CFR §110.2(b)(2)(ii) states that a contribution which is not designated in writing by the contributor for a particular election shall be attributed to the next election for that Federal office after the contribution is made. This means that the recipient committee must apply an undesignated contribution to the next election for that Federal office and can not choose the election for which the recipient committee would like the contribution to apply. Hence, the election designation box on your Schedule B does not actually denote an election designation in itself. 11 CFR §110.2(b)(4) explains when a contribution is considered to be designated for an election. 11 CFR §110.2(b)(5) explains when and how a contribution may be redesignated for a different election. Also, a contribution is not considered to be redesignated if a report is simply amended.

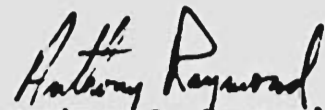
7 9 3 7 3 0 6 3 5 6 9 1 2 3 3 1 7 \*

Please clarify for the Public Record, those contributions, questioned in the Commission's Request For Additional Information dated March 28, 1990, which were designated pursuant to 11 CFR §110.2(b)(4) and those which were not. Please submit a separate list for each category.

In addition, those contributions for which you submitted amended schedules must not be reported as negative amounts but should be reported in accordance with 11 CFR §104.8(d)(2)(ii).

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,



Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division

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FEDERAL ELECTION COMMISSION  
WASHINGTON, DC 20541

80-2

... e m

George A. Williams, Treasurer  
Equitable Financial Services  
Political Action Committee  
(EQUI-PAC)  
135 West 50th Street - 3rd Floor  
New York, NY 10020

Identification Number: C00161901

Reference: Amended 1984 Year End (10/17/84-12/31/84)  
Amended 1985 Mid-Year (1/1/85-6/30/85)  
Amended 1986 April Quarterly (1/1/86-3/31/86)  
Amended 1986 July Quarterly (4/1/86-6/30/86)  
Amended 1986 30 Day Post-General (10/1/86-11/24/86)  
Amended 1986 Year End (11/25/86-12/31/86)  
Amended 1987 Mid-Year (1/1/87-6/30/87)  
Amended 1987 Year End (7/1/87-12/31/87)  
Amended 1988 April Quarterly (1/1/88-3/31/88)  
Amended 1988 July Quarterly (4/1/88-6/30/88) and  
Amended 1988 July Quarterly (1/1/89-6/30/89) Reports  
dated April 16, 1990

Dear Mr. Williams:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your letter states that you have taken actions to correct certain items. Please note, 11 CFR §110.2(b)(2)(ii) states that a contribution which is not designated in writing by the contributor for a particular election shall be attributed to the next election for that Federal office after the contribution is made. This means that the recipient committee must apply an undesignated contribution to the next election for that Federal office and can not choose the election for which the recipient committee would like the contribution to apply. Hence, the election designation box on your Schedule B does not actually denote an election designation in itself. 11 CFR §110.2(b)(4) explains when a contribution is considered to be designated for an election. 11 CFR §110.2(b)(5) explains when and how a contribution may be redesignated

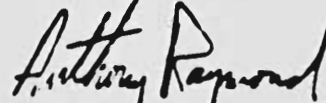
91 1074306 6 9 1 3 2 7

for a different election. Also, a contribution is not considered to be redesignated if a report is simply amended.

Please clarify for the Public Record, those contributions, questioned earlier by the Commission's Request For Additional Information dated March 28, 1990, which were designated pursuant to 11 CFR §110.2(b)(4) and those which were not. Please submit a separate list for each category.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,



Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division

1 of 2 - A:0; 2; 5; 4 2



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543

EQ-3

May 31, 1990

George A. Williams, Treasurer  
Equitable Financial Services  
Political Action Committee  
(EQUI-PAC)  
135 West 50th Street - 3rd Floor  
New York, NY 10020

Identification Number: C00161901

Reference: Amended 1984 Year End (10/17/84-12/31/84), Amended  
1985 Mid-Year (1/1/85-6/30/85) Amended 1986 April  
Quarterly (1/1/86-3/31/86) Amended July Quarterly  
(4/1/86-6/30/86) Amended 1986 30 Day Post-General  
(10/1/86-11/24/86) Amended 1986 Year End  
(11/25/86-12/31/86) Amended 1987 Mid-Year  
(1/1/87-6/30/87) Amended 1987 Year End  
(7/1/87-12/31/87) 1988 April Quarterly  
(1/1/88-3/31/88) 1988 Amended July Quarterly  
(4/1/88-6/30/88) and Amended July Quarterly  
(1/1/89-6/30/89) Reports dated April 16, 1990

Dear Mr. Williams:

This letter is to inform you that as of May 30, 1990, the Commission has not received your response to our request for additional information, dated May 9, 1990. That notice requested information essential to full public disclosure of your federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Anthony D. Raymond on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosure

912430649459



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20541

RO-3

May 31, 1990

Anthony F. Daddino, Treasurer  
Donaldson, Luikin, & Jenrette, Inc.  
Better Government Fund  
140 Broadway  
New York, NY 10005

Identification Number: C00103325

Reference: Amended 1983 30 Day Post-General (10/18/84-11/26/84),  
Amended 1985 July Quarterly (4/1/85-6/30/85),  
Amended 1986 April Quarterly (1/1/86-3/31/86),  
Amended 1986 July Quarterly (4/1/86-6/30/86),  
Amended 1986 October Quarterly (7/1/86-9/30/86),  
Amended 1987 Mid-Year (1/1/87-6/30/87),  
Amended 1988 July Quarterly (4/1/88-6/30/88),  
and Amended 1989 Year End (7/1/89-12/31/89) Reports  
dated April 16, 1990

Dear Mr. Daddino:

This letter is to inform you that as of May 30, 1990, the Commission has not received your response to our request for additional information, dated May 9, 1990. That notice requested information essential to full public disclosure of your federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Anthony D. Raymond on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosure

9 Y A 306361 14 34 23 4



TELECON

ANALYST : Tony Raymond

CONVERSATION WITH : KATHARINE BOYCE

COMMITTEE : EQUI-PAC; DLJ Better Government Fund

DATE : 6/5/90

SUBJECT(S) : Undisclosed Affiliation -  
Designation/Redesignation.

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Ms. Boyce, counsel for the committees, called concerning the RFAIs. I explained that the committees had apparently relied on designations disclosed by candidate committees in their reports filed with the FEC over the years and that her client committees had simply amended reports changing the election designation on their Schedule B's where apparent excessive contributions had occurred. I state that although the reports could be amended, the redesignations were not in accordance with 11 CFR 110.2(b)(4) and as such, the contributions were not really "redesignated." I suggested that the committees go back through past election cycles, review the dates of contributions as compared to the date of election for each candidate, and determine whether any excessive contributions had been made.

Ms. Boyce said that she would act upon the suggestion.

**DONALDSON, LUFKIN & JENRETTE**  
**BETTER GOVERNMENT FUND**

100 Broadway, New York, New York 10004

90 JUN -7 AM 10:31  
JUN 7 1990

June 6, 1990

John S. Hynes, Chairman  
Arthur J. Dackiw, Treasurer  
Michael A. Bond, Secretary

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, DC 20463

Re: Identification Number: C00103325

Dear Mr. Raymond:

This letter is in response to your letters of May 9 and 31, 1990 and follows up on your recent telephone conversations with our counsel in Washington, Kate Boyce. I understand from Ms. Boyce that you orally granted us an extension of time to respond as long as we forwarded our reply to you this week of June 4, 1990.

In response to your first question, Donaldson, Lufkin & Jenrette Inc. Better Government Fund ("DLJBGF") did not designate any of its contributions either for the primary or general election campaigns of any of the candidates in question.

As to your second inquiry, I understand that you were aware of the meaning of DLJBGF's previously filed amended reports but requested that we revise the amendments by deleting the parentheses so that the public record would be clear that the amounts reported are not negative amounts. The attached amended Schedule B forms now accurately reflect these amounts as memo entries.

In your conversations with Ms. Boyce, I understand you advised that, while DLJBGF was diligent in trying to rectify any reporting errors by amending DLJBGF's Schedule B forms to conform with FEC regulations, and requesting refunds where appropriate, a few potential problems remained. Specifically, you referred to DLJBGF's reliance on the candidate committees' reports as having properly reported DLJBGF's campaign contributions in accordance with 11 CFR § 110.2(b)(4). That is, you questioned whether, in all cases, the PACs' undesignated

73040361326

Mr. Anthony Raymond  
June 6, 1990  
Page 2

contributions received before the primary were actually used and reported for the primary and not the general election for that federal office. At your suggestion, we re-checked the dates of all DLJBCF and The Equitable Political Action Committee ("Equi-Pac") contributions to the candidates listed in your March 28, 1990 letter and compared those dates with the dates of the candidates' respective primary elections to ensure that our contributions were correctly reported to the Commission.

Evidently, there were several instances where contributions to a candidate reached the \$5,000 primary contribution limit, and the candidate's committee applied the remaining pre-primary undesignated contribution to the general election without asking the PACs to redesignate some or all of the contribution to the general election. Specifically, DLJBCF found four instances where the candidate's committee reported undesignated contributions, given before the primary, as general election contributions. 1/ These four instances are as follows:

1. DLJBCF alone contributed to Senator Lieberman two undesignated contributions of \$2,500 on 1/20/88 and \$5,000 on 3/14/88. As DLJBCF has no record of a Lieberman request to redesignate \$2,500 as a general election contribution, DLJBCF has requested a refund of \$2,500 (see attached letter to the candidate's committee along with the amended Schedule B). DLJBCF will report the refund in its next scheduled report.

2. Both DLJBCF and Equi-Pac contributed to Congressman St. Germain's reelection efforts. DLJBCF made two undesignated contributions of \$5,000 on 4/05/85 and \$1,000 on 4/29/86. Equi-Pac contributed \$1,000 on 5/1/86. As DLJBCF received no request to redesignate its \$1,000 contribution for the general election, DLJBCF has requested a refund of \$1,000 (see attached letter to the candidate along with the amended Schedule B). DLJBCF will report the refund in its next scheduled report.

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1\_/ DLJBCF is not addressing contributions given to Senators Cochran, Chafee, Pryor and Packwood. Equi-Pac alone contributed to these candidates and thus Equi-Pac will address these issues under separate cover.

Mr. Anthony Raymond  
June 6, 1990  
Page 3

3. DLJBCF already has received and reported a \$500 contribution refund from Senator Baucus. An additional \$3,500 was contributed to Baucus by Equi-Pac before the primary (which Baucus reported as a general election contribution). Since the 60-day period for requesting use of the funds for the general election has passed, it is my understanding that Equi-Pac must seek a \$3,500 refund.

4. As to Senator Moynihan, DLJBCF and Equi-Pac already have requested and received a \$3,000 refund from his committee (based on our previous review). Further review shows that a total of \$3,000 from DLJBCF and \$6,000 from Equi-Pac were contributed prior to the primary in 1988, although the candidate's committee reported \$1,000 of the \$9,000 as a general election contribution. It is my understanding that Equi-Pac will request refund of a \$1,000 contribution made prior to the primary election in 1988.

DLJBCF hopes that in this further review, according to your specific instructions, we have identified all reporting errors and provide herein properly amended reports.

As DLJBCF has informed you, the PAC has acted with great diligence to identify and correct any errors so as to be in full compliance with all Commission requirements.

Should you have any further questions, please do not hesitate to contact me.

Sincerely,

*Anthony Daddino*  
Anthony Daddino  
Treasurer

Encl.

cc: George Williams  
Treasurer, Equi-Pac



**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

100 Broadway, New York, New York 10005

June 5, 1990

John Charles Chabot  
Anthony J. Daddino, Jr.  
Mr. Paul A. Camilleri

The Honorable Fernand St. Germain  
21 Harbor View Drive  
Newport, RI 02840

Dear Congressman St. Germain:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election.

DLJBGF did not designate its \$5,000 contribution to your committee on April 5, 1986. Your committee reported that contribution for the 1986 primary election. Subsequently, DLJBGF contributed \$1,000 on April 29, 1986 and its affiliate Equi-Pac contributed \$1,000 on May 1, 1986. According to FEC records your committee reported both \$1,000 contributions after the primary election as general election contributions. DLJBGF did not receive a request for re-designation within the required 60 day limit and therefore we regret we must request a \$1,000 refund so that our primary election contributions to your committee will not be in excess of the \$5,000 limit.

I understand that you have agreed to refund DLJBGF's April 29, 1986 contribution. I would appreciate receiving the requested refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address. Equi-Pac will also have to request a refund of its May 1, 1986 contribution. They will be contacting you under separate cover.

100-440-3-6-1-3-2-9

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

90 JUN -7 AM 10:32

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (in full)  
 Davidson, Hoffman & Tenrette, Inc.  
 Political (Government) Fund  
 ADDRESS (number and street)  Check if different than previously reported  
 140 Broadway  
 CITY, STATE and ZIP CODE  
 New York, New York 10005

2. FEC IDENTIFICATION NUMBER:  
 (YY)163325  
 3.  This committee qualified as a multi-state committee DURING THIS Reporting Period on \_\_\_\_\_

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report 1986  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non election Year Only)  
 Termination Report
- Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

### SUMMARY

5. Covering Period _____ through _____	COLUMN A This Period	COLUMN B Calendar Year to Date
6. (a) Cash on Hand January 1, 1986 _____	\$ _____	\$ _____
(b) Cash on Hand at Beginning of Reporting Period	\$ _____	\$ _____
(c) Total Receipts (from Line 18)	\$ _____	\$ _____
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ _____	\$ _____
7. Total Disbursements (from Line 28)	\$ _____	\$ _____
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ _____	\$ _____
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and or Schedule D)	\$ _____	For further information contact: Federal Election Commission 600 F Street, N.W. Washington, DC 20463 Toll Free 800-424-9530 Local 202-375-3127
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and or Schedule D)	\$ _____	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony G. DiIulio  
 Signature of Treasurer

Date \_\_\_\_\_

NOTE: Submission of false, misleading, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 9507g

FEC FORM 3X

7104036

SCHEDULE B ITEMIZED DISBURSEMENTS

Use these instructions for each category of the Detailed Summary Page

Any information derived from such Receipts and Statements may not be used by any person for the purpose of soliciting contributions or to induce any person to accept or refrain from accepting the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)  
 )

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman [Name] Re-Election Committee	Campaign Contribution (and request for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	\$120	
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL \$1,000

TOTAL \$1,000

1040334331

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (in full)  
Donaldson, Lutkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street) Check if different than previously reported  
140 Broadway  
CITY STATE and ZIP CODE  
New York, New York 10005

90 JUN -7 AM 10:32

FEC IDENTIFICATION NUMBER  
000103325  
This committee qualified as a national political committee DURING THIS Reporting Period on

## 4. TYPE OF REPORT

- (a) April 15 Quarterly Report Monthly Report (month)  
 July 15 Quarterly Report 1985 February 20 June 20 October 20  
 October 15 Quarterly Report March 20 July 20 November 20  
 January 15 Year-End Report April 20 August 20 December 20  
 July 31 Mid-Year Report (Non-election Year Only) May 20 September 20 January 20  
 Termination Report Twelfth day report preceding election on in the State of  
 Termination Report Thirtieth day report following the General Election in the State of

b. Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
1. Covering Period through		
2. a. Cash on Hand January 1, 1985	\$	\$
b. Cash on Hand at Beginning of Reporting Period	\$	
c. Total Receipts (from Line 18)	\$	\$
d. Subtotal (add Lines 6 b. and 6 c. for Column A and Lines 6 a. and 6 c. for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d.)	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800 424 9633 Local 202 376 3100
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Title or Print Name of Treasurer:

Anthony Daddino

Signature of Treasurer:

*Anthony Daddino*

Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to criminal penalties.

FEC FORM 3X

7040361502



SCHEDULE B ITEMIZED DISBURSEMENTS

Use separate schedule of  
for each category of the  
Detailed Summary Page

PAGE 111  
1  
104 LINE NUMBER  
21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Oxaldson, Lufkin & Jenrette Retiree Government Fund

21040361333

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman St. Germain Re-Election Committee	Campaign Contribution (Not reported for Jan) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/05/85	MEMO \$5,000
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
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	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL (Include entries This Page and on

\$5,000

TOTAL THIS PAGE (Include entries on this page)

\$5,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1 NAME OF COMMITTEE (Full)  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street) Check if different than previously reported  
140 Broadway  
CITY STATE and ZIP CODE  
New York, New York 10005

90 JUN -7 PM 10:32

2 FEDERAL IDENTIFICATION NUMBER  
COM103325  
3 The committee qualified as a multi-candidate committee DURING THIS Reporting Period on \_\_\_\_\_ date \_\_\_\_\_

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report 1988  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year-End Report  
 July 31 Mid-Year Report (Non-election Year Only)  
 Termination Report
- Monthly Report Due On  
 February 20      June 20      October 20  
 March 20      July 20      November 20  
 April 20      August 20      December 20  
 May 20      September 20      January 31
- Twelfth day report preceding \_\_\_\_\_  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Thirtieth day report following the General Election \_\_\_\_\_  
 in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

5	Covering Period _____ through _____	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6	(a) Cash on Hand January 1, 19 _____		\$ _____
	(b) Cash on Hand at Beginning of Reporting Period	\$ _____	\$ _____
	(c) Total Receipts (from Line 18)	\$ _____	\$ _____
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ _____	\$ _____
7	Total Disbursements (from Line 28)	\$ _____	\$ _____
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d.)	\$ _____	\$ _____
9	Debts and Obligations Owed TO the Committee (itemize all on Schedule C and or Schedule D)	\$ _____	For further information contact: Federal Election Commission 999 E Street NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10	Debts and Obligations Owed BY the Committee (itemize all on Schedule C and or Schedule D)	\$ _____	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Diddione

Signature of Treasurer

*Anthony Diddione*

Date

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437c

FEC FORM 3X

40364334

MODULE B

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Form FOR FORM NUMBER 21

Information on copies from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions, but one or for other purposes, other than using the name and address of any donor to solicit contributions from such donor.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Joe Lieberman for Senate	Campaign Contribution (D/L reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	11/20/88	MEMO \$2,500
Joe Lieberman for Senate	Campaign Contribution (D/L reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)	3/14/88	MEMO \$5,000
*refund of \$2,500 requested 6/5/90	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		
	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		
	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		
	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		
	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		
	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		
	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General (Other specify)		

SUBTOTAL FOR THIS PERIOD	\$7,500
TOTAL FOR THIS PERIOD	\$7,500

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

FEB 1990

90 JUN -7 AM 10:32

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (in full)  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street)  Check if different than previously reported  
140 Broadway  
CITY STATE and ZIP CODE  
New York, New York 10005

2. FEC IDENTIFICATION NUMBER  
C00103325  
3. This committee qualified as a multicandidate committee DURING THIS Reporting Period on \_\_\_\_\_ (date)

### 4. TYPE OF REPORT

- (a) April 15 Quarterly Report  Monthly Report Due On \_\_\_\_\_  
 July 15 Quarterly Report  February 20 June 20 October 20  
 March 20 July 20 November 20  
 April 20 August 20 December 20  
 May 20 September 20 January 31  
 X October 15 Quarterly Report 1986  
 January 31 Year End Report  Twelfth day report preceding \_\_\_\_\_  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 July 31 Mid Year Report (Non election Year Only)  Twelfth day report following the General Election on \_\_\_\_\_  
 in the State of \_\_\_\_\_  
 Termination Report  \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19____	\$ _____	\$ _____
(b) Cash on Hand at Beginning of Reporting Period	\$ _____	\$ _____
(c) Total Receipts (from Line 18)	\$ _____	\$ _____
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ _____	\$ _____
7. Total Disbursements (from Line 28)	\$ _____	\$ _____
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d.)	\$ _____	\$ _____
9. Debts and Obligations Owed TO the Committee (itemize as on Schedule C and or Schedule D)	\$ _____	
10. Debts and Obligations Owed BY the Committee (itemize as on Schedule C and or Schedule D)	\$ _____	

For further information contact:  
Federal Election Commission  
999 E Street NW  
Washington DC 20463  
Toll Free 800 424 9530  
Local 202 376 3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino  
Signature of Treasurer  
*A. J. Daddino*

Date  
June 6, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

NY 340364336



SCHEDULE B ITEMIZED DISBURSEMENTS

For separate schedule for each category of the Disbursement Period

Any information on contributions in Maine and State to the mass of the state is sent by any person for the purpose of soliciting contributions to the committee. Information on the name and address of a contributor, a committee to solicit contributions from such information.

NAME OF COMMITTEE (in Full)  
Donaldson, Lukin & Jenrette Better Government Fund

71340354337

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Riegle for Senate Committee	Campaign Contribution (D/E reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	7/17/86	\$1,000
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General		

SUBTOTAL (Do not include contributions) \$1,000

TOTAL This Period (do not include contributions) \$1,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MARKING LABEL  
OR  
TYPE OR PRINT

1 NAME OF COMMITTEE (in full)  
Donaldson, Tutkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street)  Check if different than previously reported  
140 Broadway  
CITY, STATE and ZIP CODE  
New York, New York 10005

90 JUN -7 AM 10:32

2 FEC IDENTIFICATION NUMBER  
C00103325  
3 This committee qualified as a multi-and/or  
committee DURING THIS Reporting Period  
on \_\_\_\_\_ date \_\_\_\_\_

## 4. TYPE OF REPORT

- (a) April 15 Quarterly Report Monthly Report Due On  
 July 15 Quarterly Report 1986 February 20    June 20    October 20  
 October 15 Quarterly Report March 20    July 20    November 20  
 January 31 Year End Report April 20    August 20    December 20  
 July 31 Mid Year Report (Non election Year Only) May 20    September 20    January 31  
 Termination Report Twelfth day report preceding \_\_\_\_\_  
election on \_\_\_\_\_ in the State of \_\_\_\_\_  
Thirteenth day report following the General Election \_\_\_\_\_  
in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

5	Covering Period _____ through _____	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6	(a) Cash on Hand January 1, 19____		\$
	(b) Cash on Hand at Beginning of Reporting Period	\$	
	(c) Total Receipts (from Line 18)	\$	\$
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7	Total Disbursements (from Line 28)	\$	\$
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9	Debts and Obligations Owed TO the Committee (Itemize a) on Schedule C and/or Schedule D)	\$	For further information contact Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800 424 9530 Local 202 376 3120
10	Debts and Obligations Owed BY the Committee (Itemize a) on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino  
Signature of Treasurer

Date

*Anthony Daddino*

NOTE: Submission of false, erroneous or incomplete information may subject the person signing this Report to the penalties of 18 U.S.C. § 497c.

FEC FORM 3X

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 115  
FOR LINE NUMBER 21

Any information copied from such Reports and Statements may not be so used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
Donaldson, Tufkin & Jenrette Retter Government Fund

40361339

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DEI reported for Gen) (Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify))	Date (month, day, year)	Amount of Each Disbursement This Period M \$
Committee for Tim Wirth, Inc.		4/29/Ab	\$5,000
B Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL (include only the disbursements)	\$5,000
TOTAL This Period (all disbursements, no number only)	\$5,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

90 JUN -7 AM 10:31

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full)  
Donaldson, Lutkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street) Check if different than previously reported  
140 Broadway  
CITY STATE and ZIP CODE  
New York, New York 10005

2. FEC IDENTIFICATION NUMBER  
C00103325  
3. This committee qualified as a multicandidate committee DURING THIS Reporting Period on \_\_\_\_\_ date \_\_\_\_\_

## 4. TYPE OF REPORT

- (a) April 15 Quarterly Report Monthly Report Due On  
 July 15 Quarterly Report 1989 February 20      June 20      October 20  
March 20      July 20      November 20  
April 20      August 20      December 20  
May 20      September 20      January 31  
 October 15 Quarterly Report  
 January 31 Year End Report Twelfth day report preceding  
 July 31 Mid Year Report (Non-election Year Only) Type of Election  
 Termination Report in the State of \_\_\_\_\_  
Thirtieth day report following the General Election of \_\_\_\_\_  
in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6 (a) Cash on Hand January 1, 19____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	\$
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact Federal Election Commission 900 F Street NW Washington, DC 20461 Toll Free 800 424 9511 Local 202 376 3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Date

*Anthony Daddino*

Who furnishes or disseminates erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 4312g



SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 01  
FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Iufkin & Jenrette Better Government Fund

A Full Name Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period (MEMO)
Friends of Max Baucus	Campaign Contribution (Not reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/01/89	\$1,000
* \$500 of this contribution refunded	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/15/89	
1	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
2	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
3	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
4	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
5	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
6	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
7	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
SUBTOTAL			\$1,000
TOTAL			\$1,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

90 JUN -7 AM 10:31

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full):  
Donaldson, Luffin & Jenrette, Inc.  
Better Government Fund

ADDRESS (number and street): Check if different than previously reported  
140 Broadway

CITY, STATE and ZIP CODE  
New York, New York 10005

2. FEC IDENTIFICATION NUMBER:  
C00103325

3. This committee qualified as a political party committee DURING THIS Reporting Period on \_\_\_\_\_

## 4. TYPE OF REPORT

- (a) April 15 Quarterly Report Monthly Report During:
- July 15 Quarterly Report February 20      June 20      October 20
- X October 15 Quarterly Report 1988 March 20      July 20      November 20
- January 31 Year End Report April 20      August 20      December 20
- July 31 Mid Year Report (Non election Year Only) May 20      September 20      January 31
- Termination Report Twelfth day report preceding \_\_\_\_\_
- Thirteenth day report following the General Election \_\_\_\_\_
- in the State of \_\_\_\_\_

b. Is this Report an Amendment  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a. Cash on Hand January 1, 19____	\$ _____	\$ _____
b. Cash on Hand at Beginning of Reporting Period	\$ _____	\$ _____
c. Total Receipts (from Line 18)	\$ _____	\$ _____
d. Subtotal (add Lines 6-b) and 6-c) for Column A and Lines 6-a) and 6-c) for Column B)	\$ _____	\$ _____
7. Total Disbursements (from Line 28)	\$ _____	\$ _____
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6-d)	\$ _____	\$ _____
9. Debts and Obligations Owed TO the Committee (itemize on Schedule C and or Schedule D)	\$ _____	
10. Debts and Obligations Owed BY the Committee (itemize on Schedule C and or Schedule D)	\$ _____	

For further information contact:  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
Toll Free 800 424 9530  
Local 202 376 3100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer:  
Anthony Daddino  
Signature of Treasurer: \_\_\_\_\_

Date: \_\_\_\_\_

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Report FOR LINE 4764

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions from such committee subjects other than using the name and address of any such committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A Full Name Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Kieple for Senate Committee	Campaign Contribution (Did reported for Primary) Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (Specify)	7/25/88	MEMO \$2,000
B Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (Specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL (Do not include this figure on line 4764) \$2,000

TOTAL This Period (Do not include this figure on line 4764) \$2,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

90 JUN -7 4 10 31

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1 NAME OF COMMITTEE (in full)  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street)  Check if different than previously reported  
140 Broadway  
CITY STATE and ZIP CODE  
New York, New York 10005

2 FEC IDENTIFICATION NUMBER  
C00103325  
3 This committee is a Federal Election Commission  
committee DURING THIS Reporting Period

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report 1986  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non election Year Only)  
 Termination Report
- Monthly Report Due On  
 February 20 June 20 October 20  
 March 20 July 20 November 20  
 April 20 August 20 December 20  
 May 20 September 20 January 31
- Twelfth day report preceding  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Thirtieth day report following the General Election  
 in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5 Covering Period _____ through _____		
6 (a) Cash on Hand January 1, 19 _____		\$ _____
(b) Cash on Hand at Beginning of Reporting Period	\$ _____	\$ _____
(c) Total Receipts (from Line 18)	\$ _____	\$ _____
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ _____	\$ _____
7 Total Disbursements (from Line 28)	\$ _____	\$ _____
8 Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ _____	\$ _____
9 Debts and Obligations Owed TO the Committee (itemize a. on Schedule C and/or Schedule D)	\$ _____	
10 Debts and Obligations Owed BY the Committee (itemize a. on Schedule C and/or Schedule D)	\$ _____	

For further information  
contact  
Federal Election Commission  
999 E Street NW  
Washington DC 20463  
Tel: Free 877 424 9530  
Local 202 376 3125

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer  
Anthony Daddino  
Signature of Treasurer

*A. J. Badalino*

Date \_\_\_\_\_

NOTE: Submission of false, erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

910A0361344  
91735.00335



SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LIST NUMBER 21

Any information collected from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for similar purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

20040316 1345

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Cranston for Senate '86	Campaign Contribution (D.I. reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	1/20/86	MEMO \$2,500
B Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL (Disbursements This Page only)

\$2,500

TOTAL This Page (Disbursements This Page only)

\$2,500

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1 NAME OF COMMITTEE (include  
Donaldson, Lutkin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street) Check if different than previously reported  
140 Broadway  
CITY STATE and ZIP CODE  
New York, New York 10005

90 JUN -7 AM 10:31

2 FEC IDENTIFICATION NUMBER  
C00103325  
3 This committee qualified as a non-party  
committee DURING THIS Reporting Period

## 4. TYPE OF REPORT

- (a) April 15 Quarterly Report Monthly Report Due On  
 July 15 Quarterly Report February 20 June 20 October 20  
 October 15 Quarterly Report March 20 July 20 November 20  
 January 31 Year End Report April 20 August 20 December 20  
 May 20 September 20 January 20  
 Twelfth day report preceding election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- X July 31 Mid Year Report (Non election Year Only) 1987
- Termination Report

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5 Covering Period _____ through _____		
6 (a) Cash on Hand January 1, 19 _____		\$ _____
(b) Cash on Hand at Beginning of Reporting Period	\$ _____	\$ _____
(c) Total Receipts (from Line 18)	\$ _____	\$ _____
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(b) and 6(c) for Column B)	\$ _____	\$ _____
7 Total Disbursements (from Line 28)	\$ _____	\$ _____
8 Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6-d)	\$ _____	\$ _____
9 Debts and Obligations Owed TO the Committee (itemize all on Schedule C and or Schedule D)	\$ _____	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20542 To: Free 800 424 9515 Local 202 376 3122
10 Debts and Obligations Owed BY the Committee (itemize all on Schedule C and or Schedule D)	\$ _____	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino  
Signature of Treasurer \_\_\_\_\_ Date \_\_\_\_\_

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 18 U.S.C. 1001.

FEC FOR

1040361546  
90030333

SCHEDULE B ITEMIZED DISBURSEMENTS

Use separate schedule for each category of the Disbursed Funds Part 1  
FORM NUMBER 21

Any information copied from such Reports and Statements may not be so copied by any person for the purpose of soliciting contributions for similar purposes other than using the name and address of any individual member to solicit from but one from such committee

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Moshman Committee 800 Third Avenue New York, NY	Campaign Contribution (reported by DLI for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	4/16/87	MEMO \$1,000
Riegle for Senate Committee	Campaign Contribution (DLI REPORTED FOR Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	1/28/87	MEMO \$1,000
Senator Lloyd Bensten Election Committee	Campaign Contribution (DLI reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other specify:	5/05/87	MEMO \$1,500*
*refunded 11/21/89	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other specify:		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other specify:		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other specify:		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other specify:		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other specify:		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General Other specify:		

SUBTOTAL

\$4,500

TOTAL

\$4,500

3104036447

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1 NAME OF COMMITTEE (in full):  
Donaldson, Lukin & Jenrette, Inc.  
Better Government Fund  
ADDRESS (number and street)  Check if different than previously reported  
140 Broadway  
CITY, STATE and ZIP CODE  
New York, New York 10005

90 JUN -7 AM 10:36

2. FEC IDENTIFICATION NUMBER  
C00103325  
3. This committee qualified as a multi-member committee DURING THIS REPORTING PERIOD

## 4. TYPE OF REPORT

- (a) April 15 Quarterly Report Monthly Report Due On  
 July 15 Quarterly Report 1988 February 20    June 20    October 20  
 October 15 Quarterly Report March 20    July 20    November 20  
 January 31 Year End Report April 20    August 20    December 20  
 July 31 Mid Year Report (non election Year Only) May 20    September 20    January 31  
 Termination Report Twelfth day report preceding election on \_\_\_\_\_ in the State of \_\_\_\_\_  
Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

## SUMMARY

5	Covering Period _____ through _____	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6	(a) Cash on Hand January 1, 1988 _____		\$ _____
	(b) Cash on Hand at Beginning of Reporting Period	\$ _____	
	(c) Total Receipts (from Line 1B)	\$ _____	\$ _____
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ _____	\$ _____
7	Total Disbursements (from Line 2B)	\$ _____	\$ _____
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ _____	\$ _____
9	Debts and Obligations Owed TO the Committee (itemize a) on Schedule C and or Schedule D)	\$ _____	
10	Debts and Obligations Owed BY the Committee (itemize a) on Schedule C and or Schedule D)	\$ _____	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Diddio

Signature of Treasurer  
*Anthony Diddio*

For further information contact:  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
Tel: Free 800 424 9530  
Local 202 376 3120

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g



SCHEDULE B ITEMIZED DISBURSEMENTS

See instructions on Schedule B  
Form 7060, Campaign of the  
State and Summary Part of the Report to the  
State

Any information copied from such Reports and Statements shall be used for and by any person in the discharge of public duty, but shall not be used for any other purpose without the consent of the person from whom such information was copied.

NAME OF COMMITTEE (in Full)  
Donaldson, Lufkin & Jennette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement (This Period)
Rangel for Congress	Campaign Contribution (Not reported for General Election)	5/31/89	\$2500
*refunded 11/15/89	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL		\$250
TOTAL		\$250

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

100 Broadway, New York, New York 10005

June 5, 1990

John S. Huber, Chairman  
Anthony F. Daddino, Treasurer  
Michael S. Blum, Secretary

Lieberman '94 Committee  
P.O. Box 31294  
Old State House Square  
Hartford, CT 06103

Attn: Ms. Laura Cahill

Dear Ms. Cahill:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBCF").

DLJBCF has reviewed its contributions to ensure they did not exceed the \$5,000 per candidate per primary and/or general election limit. DLJBCF did not designate its March 14, 1988 contribution of \$5,000 as either a primary or general election contribution. Your committee reported the \$5,000 contribution as a general election contribution even though it was made prior to the primary. DLJBCF did not receive a request for re-designation within the required 60 day limit and therefore it must request a refund of \$2,500 of the \$5,000 contribution so that our primary election contribution to your committee will not be in excess of the limit.

It is my understanding that you have agreed to refund \$2,500 to the DLJBCF. I would appreciate receiving the refund as soon as possible so that DLJBCF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address.

If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. J. Daddino*

Anthony F. Daddino  
Treasurer

212 403 51300



FEDERAL ELECTION COMMISSION  
NATIONAL POLITICAL ACTION COMMITTEE

RECEIVED  
FEDERAL ELECTION COMMISSION

90 JUN 14 AM 10:26

June 13, 1990

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Dear Mr. Raymond:

Re: Identification Number C00161901

This letter is in response to your letters of May 9 and 31, 1990 and follows up on your recent telephone conversations with Ms. Kate Boyce, Washington Counsel for the Donaldson, Lufkin & Jenrette Inc. Better Government Fund which is affiliated with Equi-Pac.

Please note that your letters to me have been addressed to 135 West 50th Street and as such have been delayed in getting to me. My correct mailing address is 787 Seventh Avenue, Area 41-C, New York, NY 10019.

In response to your question, EQUI-PAC did not designate any of its contributions either for the primary or general election campaigns of any of the candidates in question.

In your conversations with Ms. Boyce, I understand you advised that, while we were diligent in trying to rectify any reporting errors by amending EQUI-PAC's Schedule B forms to conform with FEC regulations and requesting refunds where appropriate, a few potential problems remained. Specifically, you referred to reliance on the candidate committees' as having properly reported campaign contributions in accordance with 11 CFR Section 110.2(b)(4). That is, you questioned whether in all cases, the PACs' undesignated contributions received before the primary were actually used and reported for the primary and not the general campaign election. At your suggestion, we re-checked the dates of all DLJBGF and EQUI-PAC contributions to the candidates listed in your March 28, 1990 letter and compared those dates with the dates of the candidates' respective primary elections to ensure that the candidates reported our contributions correctly to the commission.

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Mr. Anthony Raymond  
June 13, 1990  
Page 2

Evidently, there were several instances where contributions to a candidate exceeded the \$5,000 primary contribution limit, and the candidate's Committee applied the remaining pre-primary undesignated contribution to the general election without asking the PACs to redesignate all or some of the contribution to the general election. Specifically, EQUI-PAC found six instances where the candidate reported undesignated contributions given before the primary as general election contributions. These six instances are as follows:

1. EQUI-PAC alone contributed to Senator Pryor three undesignated contributions of \$1,000 on 5/2/88, \$1,000 on 5/5/89 and \$4,000 on 6/23/89. Apparently, the Pryor Committee split the \$4,000 contribution and used \$1,000 of it for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$1,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund in its next scheduled report.
2. EQUI-PAC alone contributed to Senator Chafee three undesignated contributions of \$2,000 on 5/30/86, \$2,000 on 11/30/86 and \$5,000 on 5/22/87. Apparently, the Chafee Committee split the \$5,000 contribution and used \$4,000 for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$4,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund in its next scheduled report.
3. EQUI-PAC alone contributed to Senator Packwood three undesignated contributions of \$1,000 on 12/31/84, \$1,000 on 1/16/85 and \$4,000 on 1/31/85. Apparently, the Packwood Committee split the \$4,000 contribution and used \$1,000 for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$1,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund on its next scheduled report.
4. Both EQUI-PAC and the DLJBGF contributed to Senator Moynihan. Previously, DLJBGF requested a \$3,000 refund. Further review indicates that EQUI-PAC made five contributions totaling \$6,000. The five included

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Mr. Anthony Raymond  
June 13, 1990  
Page 3

\$1,000 on 1/15/86, \$1,000 on 11/20/86, \$1,000 on 12/8/86, \$1,000 on 10/7/87 and \$2,000 on 11/6/87. Apparently, the Moynihan Committee split the \$2,000 contribution and used \$1,000 for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$1,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund on its next scheduled report.

5. Both EQUI-PAC and DLJBGF contributed to Senator Baucus. Previously, DLJBGF requested a \$500 refund. Further review indicates that EQUI-PAC made four contributions totaling \$8,000. These four included \$500 on 6/24/87, \$2,000 on 3/2/88, \$2,000 on 5/2/88 and \$3,500 on 5/5/89. Apparently, the Baucus Committee credited the entire \$3,500 pre-primary contribution to the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of the \$3,500 (see attached letter to the candidate). EQUI-PAC will report the refund on its next scheduled report.
6. Both DLJBGF and EQUI-PAC contributed to Congressman St. Germain. EQUI-PAC contributed \$1,000 on 5/1/86. Apparently, this contribution check was not deposited until 10/30/86 and was therefore credited to the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of the \$1,000 (see attached letter to the former Congressman). EQUI-PAC will report the refund on its next scheduled report.

EQUI-PAC hopes that in this further review and the review conducted by DLJ, according to your specific instructions, we have identified all reporting errors and have corrected them.

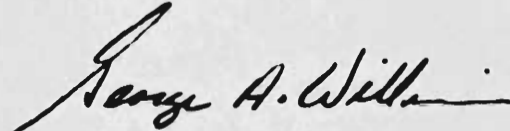
As EQUI-PAC has informed you, the PAC has acted with great diligence to identify and correct any errors so as to be in full compliance with all FEC regulations. In addition, EQUI-PAC has revised its procedures to ensure that all contribution checks are specifically designated for the primary or general election and procedures have been implemented to monitor against the \$5,000 limitation.

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Mr. Anthony Raymond  
June 13, 1990  
Page 4

Should you have any further questions, please do not  
hesitate to contact me.

Sincerely,



George A. Williams  
Treasurer

cc: Anthony Daddino, Treasurer  
DLJ Better Government Fund

0502a/95

7104,0,3,6,1,3,0,4



June 13, 1990

Senator David Pryor  
Att: Ann Pride  
P.O. Box 17261  
Alexandria, VA 22302

Dear Ms. Pride:

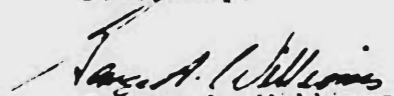
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the candidate's next election. EQUI-PAC contributed \$4,000 to Senator Pryor on June 23, 1989. Our review indicates that your campaign credited \$3,000 of this to the primary campaign and \$1,000 to the general campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited toward the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



June 13, 1990

Senator John Chafee  
Att: Gavid Griswold  
U.S. Senate  
167 SDOB  
Washington, D.C. 20510

Dear Mr. Griswold:

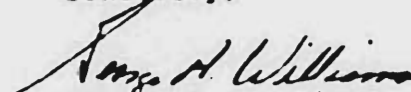
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the candidate's next election. EQUI-PAC contributed \$5,000 to Senator Chafee on May 22, 1987. Our review indicates that your campaign credited \$1,000 of this to the primary campaign and \$4,000 to the general campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$4,000 credited to the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/acs





June 13, 1990

Senator Bob Packwood  
Att: Elaine Franklin  
259 SROB  
Washington, D.C. 20510

Dear Ms. Franklin:

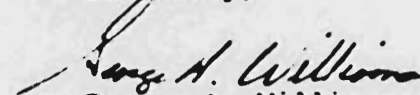
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the candidate's next election. EQUI-PAC contributed \$4,000 to Senator Packwood on January 31, 1985. Our review indicates that your campaign credited \$3,000 to the primary campaign and \$1,000 to the general campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited to the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



June 13, 1990

Senator Patrick Moynihan  
Att: Sue Davis  
405 Lexington Avenue  
New York, New York 10174

Dear Ms. Davis:

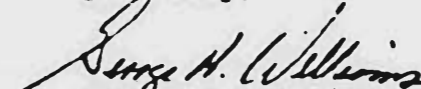
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. At the same time, an affiliated PAC - The Donaldson Lufkin & Jenrette Better Government Fund has reviewed its campaign contributions. (Together, EQUI-PAC and DLJ cannot exceed the limitations.) Since all of the EQUI-PAC and DLJ checks were "undesigned" they could only be credited to the candidate's next election. Previously, DLJ requested a \$3,000 refund. However, our recent review indicates that your campaign credited \$1,000 of EQUI-PAC's November 6, 1987 contribution of \$2,000 to the general election campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited toward the general election.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



June 13, 1990

Friends of Max Baucus  
Att: Sue Brodsky  
313 3rd Street, NE  
Washington, D.C. 20002

Dear Ms. Brodsky:

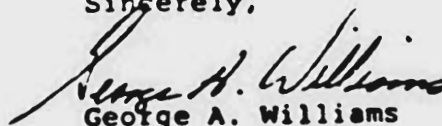
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. At the same time, an affiliated PAC - The Donaldson Lufkin & Jenrette Better Government Fund has reviewed it's campaign contributions. (Together, EQUI-PAC and DLJ cannot exceed the limitations.) Since all of the EQUI-PAC and DLJ checks were "undesigned" they could only be credited to the candidate's next election. Our review indicates that your campaign credited EQUI-PAC's pre-primary \$3,500 contribution of May 5, 1989 to the general election. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the full \$3,500 credited to the general election.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



June 13, 1990

The Honorable Fernand S. Germain  
21 Harbor View Drive  
Newport, RI. 02840

Dear Mr. Germain:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$1,000 to your campaign on May 1, 1986. Our review indicates that on 10/30/86 your campaign credited the \$1,000 pre-primary contribution to the general campaign because the primary election was over. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited toward the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

00040

GAW/aos





FEDERAL ELECTION COMMISSION  
WASHINGTON D.C. 20463

RQ-2

AUG 29 1990

Anthony F. Daddino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund  
140 Broadway  
New York, NY 10005

Identification Number: C00103325

- Reference: 1985 July Quarterly Report (4/1/85-6/30/85)
- 1986 July Quarterly Report (4/1/86-6/30/86)
- 1986 October Quarterly Report (7/1/86-9/30/86)
- 1987 Mid-Year Report (1/1/87-6/30/87)
- 1987 Year End Report (7/1/87-12/31/87)
- 1988 April Quarterly Report (1/1/88-3/31/88)
- 1988 July Quarterly Report (4/1/88-6/30/88)
- 1988 October Quarterly Report (7/1/90-9/30/88)
- 1989 Mid-Year Report (1/1/89-6/30/89)
- 1989 Year End Report (7/1/89-12/31/89)
- 1990 July Quarterly Report (4/1/90-6/30/90)

Dear Mr. Daddino:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

In your letter of June 6, 1990, you stated all contributions to campaigns were not designated for any particular election. Hence, in accordance with 11 CFR §110.1(b)(2)(ii) the following list of contributions made by your committee and your affiliated committee (Equitable Political Action Committee), may have resulted in excessive contributions in violation of 2 U.S.C. §441a(a). Please review the enclosed chart. Should any of this information have been incompletely or incorrectly disclosed, you should amend your report(s) with clarifying information. If you have made an excessive contribution to any campaign, you should notify the recipient and request a refund of the amount in excess (if you have not already done so).

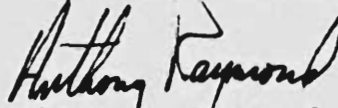
[Please note: The chart and attachments include information based on your committee's and your affiliated committee's reports. The election dates have been provided as a reference].

1040361361

The Commission notes your requests for refunds from some of the candidate committees. However, in responding to this letter, you are urged to disclose outstanding balances owed to your committee from each candidate committee. These balances should be disclosed on Schedule D supporting Line 9 of the Detailed Summary Page. In addition, letters requesting refunds should be included (in those cases where you have not already submitted them).

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,



Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division

Attachments

21040364362

ACTIVITY

D=Donaldson, Lufkin & Jenrette  
Better Government Fund  
E=Equitable Political Action  
Committee

<u>CANDIDATE</u>	<u>DATE OF ELECTION</u>	<u>ACTIVITY</u>	<u>AMOUNT</u>	<u>STATUS</u>	<u>APPARENT DISPOSITION</u>
MAX BAUCUS	Primary 6/5/90	E - 6/24/87	\$500	\$9,000 contributed toward 6/5/90 Primary - apparent \$4,000 excessive in contributions	Partial refund of \$500 received 11/15/89 — \$3,500 outstanding
	General 11/6/90	E - 3/2/88	\$2,000		
		E - 5/2/88	\$2,000		
		D - 5/1/89	\$1,000		
		E - 5/5/89	\$3,500		
		D - 11/15/89	-\$500		
LLOYD BENTSEN	Primary 3/8/88	E - 3/17/87	\$5,000	\$11,500 contributed toward 3/8/88 - apparent \$6,500 excessive in contributions	Partial refund of \$1,500 received 11/21/89 — \$5,000 outstanding
	Runoff 4/12/88	D - 5/5/87	\$1,500		
	General 11/8/88	E - 6/24/87	\$5,000		
		D - 11/21/89	-\$1,500		
JOSEPH LIKIERMAN	Primary 9/14/88	D - 1/20/88	\$2,500	\$7,500 contributed toward 9/14/88 Primary - apparent \$2,500 excessive in contributions	No refund received — \$2,500 outstanding
	General 11/8/88	D - 3/24/88	\$5,000		
LL ATRICK MOTHELAN	Primary 9/13/88	E - 1/15/86	\$1,000	\$9,000 contributed toward 9/13/88 Primary - apparent \$4,000 excessive in contributions	\$3,000 partial refund received 4/23/90 — \$1,000 outstanding
	General 11/8/88	E - 11/20/86	\$1,000		
		E - 12/8/86	\$1,000		
		D - 4/16/87	\$2,000		
		E - 10/7/87	\$1,000		
		E - 11/6/87	\$2,000		
		D - 7/25/88	\$1,000		
		D - 4/23/90	-\$3,000		
DON RIEGLE	Primary 8/2/88	E - 4/1/85	\$1,000	\$9,000 contributed toward 8/2/88 Primary - apparent \$4,000 excessive in contributions	\$2,000 partial refund received 5/7/90 — \$2,000 outstanding
	11/8/88	D - 7/17/86	\$1,000		
		D - 1/28/87	\$1,000		
		E - 2/25/87	\$2,000		
		E - 5/22/87	\$1,000		
		E - 5/2/88	\$1,000		
		D - 7/25/88	\$2,000		
		D - 5/7/90	-\$2,000		
CHARLES RANGEL	General 11/4/86	E - 11/20/86	\$1,500	\$6,750 contributed toward 9/13/88 Primary - apparent \$1,750 excessive in contributions	\$250 partial refund received on 11/15/89 — \$1,500 outstanding
	Primary 9/13/88	E - 11/6/87	\$5,000		
	General 11/8/88	D - 5/31/88	\$250		
		D - 11/15/89	-\$250		
FERNAND ST. GERMAIN	Primary 9/9/86	D - 4/5/85	\$5,000	\$7,000 contributed toward 9/9/86 Primary - apparent \$1,000 excessive in contributions	\$2,000 outstanding
	General 11/4/86	D - 4/29/86	\$1,000		
		E - 5/1/86	\$1,000		

71040361363

ACTIVITY

<u>CANDIDATE</u>	<u>DATE OF ELECTION</u>	<u>D=Donaldson, Lufkin &amp; Jenrette</u> <u>Better Government Fund</u> <u>E=Equitable Political Action</u> <u>Committee</u>	<u>STATUS</u>	<u>APPARENT DISPOSITION</u>	
.....					
DAVID FROE	Primary 5/29/90	E - 5/2/88	\$1,000	\$6,000 contributed	\$1,000 outstanding
	Runoff 6/12/90	E - 5/5/89	\$1,000	toward 5/29/90 Primary -	
	General 11/6/90	E - 6/23/89	\$4,000	apparent \$1,000 excessive in contributions	
THAD COCHRAN	Primary 6/5/90	E - 12/31/84	\$1,000	\$6,000 contributed toward	\$1,000 outstanding
	Runoff 6/26/90	E - 6/23/89	\$3,000	6/5/90 Primary - apparent	
	General 11/6/90	E - 5/25/90	\$2,000	\$1,000 excessive in contributions	
JOHN CHAFEE	Primary 9/14/88	E - 5/30/86	\$2,000	\$9,000 contributed toward	\$4,000 outstanding
	General 11/8/88	E - 11/20/86	\$2,000	9/14/88 Primary - apparent	
		E - 5/22/87	\$5,000	\$4,000 excessive in contributions	
ROBERT PACKWOOD	Primary 5/20/86	E - 12/31/84	\$1,000	\$6,000 contributed toward	\$1,000 outstanding
	General 11/4/86	E - 1/16/85	\$1,000	5/20/86 Primary - apparent	
		E - 1/31/85	\$4,000	\$1,000 excessive in contributions	
VRY JOHN HEINZ	Primary 4/26/88	E - 2/25/87	\$1,000	\$6,000 contributed toward	\$1,000 outstanding
	General 11/8/88	E - 8/4/87	\$1,000	4/26/88 Primary - apparent	
		D - 2/22/88	\$1,000	\$1,000 excessive in contributions	
		E - 3/2/88	\$3,000		
		E - 6/7/88	\$2,000		
	E - 6/7/88	\$1,000			
CHARLES SCHUMER	Primary 9/11/90	D - 2/3/89	\$5,000	\$7,000 contributed toward	\$2,000 outstanding
	General 11/6/90	E - 11/30/89	\$2,000	8/21/90 Primary - apparent	
				\$2,000 excessive in contributions	
BILL BRADLEY	Primary 6/5/90	D - 9/26/86	\$1,000	\$10,000 contributed	\$5,000 outstanding
	General 11/6/90	E - 11/18/87	\$500	toward 6/5/90 Primary -	
		E - 6/24/87	\$600	apparent \$5,000 excessive	
		D - 9/15/87	-\$1,000	in contributions	
		E - 5/5/89	\$1,000		
		D - 10/3/89	\$2,000		
		D - 11/30/89	\$1,000		
		E - 4/23/90	\$1,900		
	E - 4/23/90	\$3,000			
JOHN D. ROCKWELLER	Primary 5/8/90	E - 5/5/89	\$5,000	\$10,000 contributed	\$5,000 outstanding
	General 11/6/90	E - 3/20/90	\$5,000	toward 5/8/90 Primary -	
		D - 5/18/90	\$1,000	apparent \$5,000 excessive in contributions	

40361304





FEDERAL ELECTION COMMISSION  
WASHINGTON DC 20463

RQ-2

George Williams, Treasurer  
Equitable Financial Services Political  
Action Committee (EQUI-PAC)  
787 Seventh Avenue, Area 41-C  
New York, NY 10019

AUG 29 1990

Identification Number: C00161901

- Reference: 1984 Year End Report (10/17/84-12/31/84)
- 1985 Mid-Year Report (1/1/85-6/30/85)
- 1986 April Quarterly Report (1/1/86-3/31/86)
- 1986 July Quarterly Report (4/1/86-6/30/86)
- 1986 30 Day Post-General Report (10/1/86-11/24/86)
- 1986 Year End Report (11/25/86-12/31/86)
- 1987 Mid-Year Report (1/1/87-6/30/87)
- 1987 Year End Report (7/1/87-12/31/87)
- 1988 April Quarterly Report (1/1/88-3/31/88)
- 1988 July Quarterly Report (4/1/88-6/30/88)
- 1989 July Quarterly Report (4/1/89-6/30/89)
- 1989 Year End Report (7/1/89-12/31/89)
- 1990 April Quarterly Report (1/1/90-3/31/90)
- 1990 July Quarterly Report (4/1/90-6/30/90)

Dear Mr. Williams:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

In your letter of June 13, 1990, you stated all contributions to campaigns were not designated for any particular election. Hence, in accordance with 11 CFR §110.1(b)(2)(ii) the following list of contributions made by your committee and your affiliated committee (Donaldson, Lufkin & Jenrette Better Government Fund), may have resulted in excessive contributions in violation of 2 U.S.C. §441a(a). Please review the enclosed chart. Should any of this information have been incompletely or incorrectly disclosed, you should amend your report(s) with clarifying information. If you have made an excessive contribution to any campaign, you should notify the recipient and request a refund of the amount in excess (if you have not already done so).

[Please note: The chart and attachments include information based on your committee's and your affiliated committee's reports. The election dates have been provided as a reference].

1040361300

The Commission notes your requests for refunds from some of the candidate committees. However, in responding to this letter, you are urged to disclose outstanding balances owed to your committee from each candidate committee. These balances should be disclosed on Schedule D supporting Line 9 of the Detailed Summary Page. In addition, letters requesting refunds should be included (in those cases where you have not already submitted them).

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

*Anthony D. Raymond*  
Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division

Attachments

21040361306

TELECON

ANALYST : Tony Raymond

CONVERSATION WITH : Katherine Boyce

COMMITTEE : EQUI-PAC; DLF BETTER GOVERNMENT FUND;

DATE : 9/11/90

SUBJECT(S) : Response to RFAI

Ms. Boyce called to request additional time in responding to my 8/29/90 RFAIs to the committees. I stated that the committees could anticipate another letter concerning the matter should we not receive a response. I said the Second Notice would give the committees an additional 15 days to respond.

71040364367

PATTON, BOGGS & BLOW  
2550 M STREET, N.W.  
WASHINGTON, D.C. 20037  
(202) 457-6000

SEP 13 11:52:29

NEW TELE. OFFICE  
WASHINGTON 20743

WRITER'S DIRECT DIAL  
(202) 457-6096

September 12, 1990

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports and Analysis Division  
Federal Election Commission  
999 E Street N.W.  
Washington, D.C. 20463

Re: Identification Numbers: CO0103325 & CO0161901

Dear Mr. Raymond:

This letter is to confirm our conversation September 11 during which I requested an additional 15 days from that date for response to your letter dated August 29, 1990 by the two above-referenced political committees, Donaldson, Lufkin & Jenrette, Inc.-Better Government Fund and Equitable Political Action Committee, respectively.

You advised that the Commission automatically will grant an additional 15 days in which to file a response if the respondent committees have not replied to the first Commission within the first 15 days. As the PACs received your letter of August 29 on or about August 31, the PACs' responses would be due on or about September 15. We understand that the PACs automatically receive a second letter from the Commission allowing an additional 15 days from date of receipt in which to respond. On behalf of the two PACs, I have been asked to inform you that both intend to respond to your letter within the additional 15-day time period.

If you have any questions, please do not hesitate to give me a call at the above-referenced number.

Sincerely,

*Katharine R. Boyce*

Katharine R. Boyce  
Counsel to Donaldson, Lufkin & Jenrette

KRB smg

cc: Anthony F. Dabbino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund

27 11 00346 01 5 6 4 8 6 8





FEDERAL ELECTION COMMISSION  
WASHINGTON DC 20463

RQ-3

September 20, 1990

George Williams, Treasurer  
Equitable Financial Services  
Political Action Committee  
(EQUI-PAC)  
787 Seventh Avenue, Area 41-C  
New York, NY 10019

Identification Number: C00161901

Reference: 1984 Year End (10/17/84-12/31/84), 1985 Mid-Year (1/1/85-6/30/85), 1986 April Quarterly (1/1/86-3/31/86), 1986 July Quarterly (4/1/86-6/30/86), 1986 30 Day Post-General (10/1/86-11/24/86), 1986 Year End (11/25/86-12/31/86), 1987 Mid-Year (1/1/87-6/30/87), 1987 Year End (7/1/87-12/31/87), 1988 April Quarterly (1/1/88-3/31/88), 1988 July Quarterly (4/1/88-6/30/88), 1989 July Quarterly (4/1/89-6/30/89), 1989 Year End (7/1/89-12/31/89), 1990 April Quarterly (1/1/90-3/31/90) and 1990 July Quarterly (4/1/90-6/30/90) Reports

Dear Mr. Williams:

On August 29, 1990 you were notified that a review of the above-referenced report(s) raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act.

The Commission is in receipt of your letter dated September 12, 1990 that indicates your intent to respond to our Request for Additional Information. Please be advised that if the information requested by the Commission is not received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Anthony Raymond on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

21040361359



## FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20463

RQ-3

September 20, 1990

Anthony F. Daddino, Treasurer  
 Donaldson, Lufkin & Jenrette, Inc.  
 Better Government Fund  
 140 Broadway  
 New York, NY 10005

Identification Number: C00103325

Reference: 1985 July Quarterly (4/1/85-6/30/85), 1986 July Quarterly (4/1/86-6/30/86), 1986 October Quarterly (7/1/86-9/30/86), 1987 Mid-Year (1/1/87-6/30/87), 1987 Year End (7/1/87-12/31/87), 1988 April Quarterly (1/1/88-3/31/88), 1988 July Quarterly (4/1/88-6/30/88), 1988 October Quarterly (7/1/88-9/30/88), 1989 Mid-Year (1/1/89-6/30/89), 1989 Year End (7/1/89-12/31/89) and 1990 July Quarterly (4/1/90-6/30/90) Reports

Dear Mr. Daddino:

On August 29, 1990 you were notified that a review of the above-referenced report(s) raised questions as to specific contributions and/or expenditures, and the reporting of certain information required by the Federal Election Campaign Act.

The Commission is in receipt of your letter dated September 12, 1990 that indicates your intent to respond to our Request for Additional Information. Please be advised that if the information requested by the Commission is not received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Anthony Raymond on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

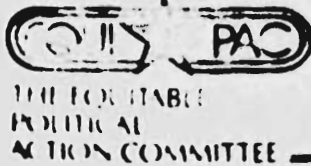
Sincerely,

John D. Gibson  
 Assistant Staff Director  
 Reports Analysis Division

210405643/0

HAND DELIVERED

90 OCT -5 AM 11:30



October 4, 1990

Mr. Anthony Raymond  
Federal Election Commission  
999 E Street, N.W.  
Washington, D. C. 20463

Dear Mr. Raymond:

Re: Identification Number C00161901

This letter is in response to your letter of August 29, 1990.

I have reviewed your letter, chart and attachments thereto. I have organized this response in the order of your chart. Certain of the issues raised by your letter have been addressed by our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund ("DLJ BGF") and will be explained in their separate response letter to you.

In my letter of June 13, 1990, I stated that all contributions to campaigns were not designated for any particular election. However, this statement was with regard to campaign contributions prior to 1990. During 1990 we adopted the practice of specifically designating on the face of the check whether the contribution was for the primary or general campaign. I believe you will see below that this change of practice resolves some of your questions.

David Pryor - Equi-Pac has received the \$1,000 refund requested from the Pryor Campaign. (See letter attached.) This refund will be reported on Equi-Pac's quarterly filing due on October 15, 1990.

Thad Cochran - Equi-Pac has requested a \$1,000 refund from the Cochran Campaign. (See copy of refund request attached.)

John Chaffee - On June 13, 1990 Equi-Pac requested a \$4,000 refund from the Chaffee Committee. To date, this refund has not been received by Equi-Pac.

-2-

Robert Packwood - On June 13, 1990 Equi-Pac requested a \$1,000 refund from the Packwood Committee. To date, this refund has not been received by Equi-Pac.

Henry John Heinz - Equi-Pac has requested a \$1,000 refund from the Heinz Campaign. (See copy of refund request attached.)

Charles Schumer - Equi-Pac has requested a refund of \$2,000 from the Schumer Campaign. (See copy of refund request attached.)

Bill Bradley - As indicated above, Equi-Pac now designates contributions on the face of the check. As such, Equi-Pac's April 23, 1990 contribution of \$3,000 was specifically designated for the general campaign and it was reported as such on Equi-Pac's 1990 July Quarterly Report. In addition, \$600 of the DLJ/BGF \$1,000 contribution made on November 30, 1989 was also designated toward the general election on the face of the check. Therefore, the excessive contribution is \$1,400 for which the DLJ/BGF has requested a refund. (See the DLJ/BGF separate response letter.)

John D. Rockefeller - Equi-Pac's \$5,000 contribution of March 20, 1990 was designated on the face of the check and on Equi-Pac's April 1990 Quarterly FEC filing as a general campaign contribution. (See copy of check attached.) As such, there remains a \$1,000 excessive contribution for the general campaign for which the DLJ/BGF has requested a refund. (See the DLJ/BGF separate response letter.)

Max Baucus - Equi-Pac has received the \$3,500 refund requested from the Baucus campaign. (See copy of letter and check attached.) This refund will be reported on Equi-Pac's quarterly filing due on October 15, 1990.

Lloyd Bentsen - Equi-Pac has requested a \$5,000 refund from the Bentsen Campaign. (See copy of refund request attached.)

Joseph Lieberman - See the DLJ/BGF separate response letter to the FEC.

Daniel Patrick Moynihan - Equi-Pac has received the \$1,000 refund requested from the Moynihan Campaign. (See letter attached.) This refund will be reported on Equi-Pac's quarterly filing due on October 15, 1990.

Don Riegle - Equi-Pac has requested a \$2,000 refund from the Riegle Campaign. (See copy of refund request attached.)

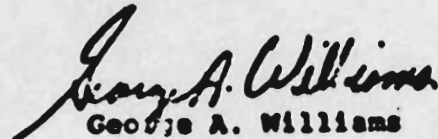
210403164372



Charles Rangle - Equi-Pac has requested a refund of \$1,500 from the Rangle Campaign. (See copy of refund request attached.)

Fernand St. Germain - On June 13, 1990 Equi-Pac requested a \$1,000 refund from Congressman St. Germain. To date, this refund has not been received by Equi-Pac.

Should you have any further questions, please do not hesitate to contact me.

  
George A. Williams  
Treasurer

1103450, 376, 4251 1/3

cc: Anthony Daddino, Treasurer  
DLJ Better Government Fund

att.

GAW/aos

01550

CATLETT, STUBBLEFIELD, BONDS & FLEMING

LAWERS

THE TOWER BUILDING, EIGHTEENTH FLOOR  
LITTLE ROCK, ARKANSAS 72201

• D. STUBBLEFIELD  
• G. STUBBLEFIELD  
• G. STUBBLEFIELD  
• G. STUBBLEFIELD  
• G. STUBBLEFIELD

AREA CODE 501  
TELEPHONE 575-6221  
TELECOPIER 501-575-6222

September 6, 1990

G. A. WILLIAMS  
ATTORNEY AT LAW

EQUI Pac  
George A. Williams  
787 Seventh Avenue  
New York, New York 10019

Dear Mr. Williams:

In reference to your letter dated June 13th, 1990, please find enclosed a refund check in the amount of \$1,000 from The David Pryor for U.S. Senate Committee which was credited to the General Election.

If you have any further questions regarding this matter, please feel free to contact me.

Sincerely,

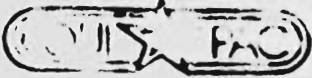
DAVID PRYOR FOR U.S. SENATE COMMITTEE

S. Graham Catlett  
Treasurer

SGC/bkm  
enc: refund check

7104036194

DAVID PRYOR FOR US SENATE COMMITTEE P O BOX 3334 LITTLE ROCK ARKANSAS 72203-3334		005740
PAY TO THE ORDER OF	EQUI PAC	9.3.90
	One thousand + 00/100	\$ 1,000.00
		DOLLARS
WORTHEN BANK		
Refund Cont.		
⑆005740⑆ ⑆082000073⑆ 8902-664-9⑆		



October 4, 1990

Citizens for Cochran Election Committee  
P.O. Box 22761  
Jackson, Mississippi 39225-2761

Dear Senator Cochran:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$1,000 to your June 5, 1990 primary campaign on December 31, 1984; \$3,000 on June 23, 1989 and \$2,000 on May 25, 1990. As such, the \$5,000 limitation was exceeded by \$1,000. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$1,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/aos

11340364375



EQUITABLE  
POLITICAL ACTION  
COMMITTEE

October 4, 1990

People for Henry John Heinz  
122 C Street N.W., Suite 650  
Washington, D.C. 20001

Dear Senator Heinz:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$3,000 to your campaign on March 2, 1988. When combined with the contributions of our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund, the \$5,000 limitation for your April 26, 1988 primary campaign was exceeded by \$1,000. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$1,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550  
CAW/aos

40361376





EQUITABLE  
POLITICAL  
ACTION COMMITTEE

October 4, 1990

Re-elect Congressman Charles Schumer  
1551 East 23rd Street  
Brooklyn, New York 11210

Dear Congressman Schumer:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$2,000 to your campaign on November 30, 1989. When combined with the \$5,000 contribution of February 3, 1989 by our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund, the \$5,000 limitation for your August 21, 1990 primary campaign was exceeded by \$2,000. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$2,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/acs

712430 316.133.117

EQUITABLE LIFE ASSURANCE SOCIETY  
POLITICAL ACTION COMMITTEE  
A/K/A (EQU-PAC)



April 17, 1990

PAY TO THE ORDER OF Bill Bradley for U.S. Senate  
Senator Bill Bradley (D-NJ)

⑆01592⑆ ⑆021000021⑆ 037 8 096918⑆ 4,900.00

Four Thousand Nine Hundred Dollars 00/100



The Chase Manhattan Bank, N.A.  
110 Broad Street, Avenue of the Americas  
New York, NY 10018

FOR Primary Contribution \$1,900  
General Election \$3,000

50170 *Ray A. Gilliam*

*Robert A. Jones*

⑆001592⑆ ⑆021000021⑆ 037 8 096918⑆ ⑆000049000⑆

40,36,43,18

EQUITABLE LIFE ASSURANCE SOCIETY  
POLITICAL ACTION COMMITTEE  
A/K/A (SQU-PAC)

1519

March 20, 1990

1:37

PAY  
TO THE  
ORDER OF

Senator John Rockefeller (D-WV)

Friends of Senator Rockefeller 0128 06 (000024)

103004213 03-02-90 918 00 5,000.00

Five Thousand-----00/100 DOLLARS

40123 04



The Chase Manhattan Bank, N.A.  
110 67th Street at Avenue of the Americas  
New York, NY 10019

*George H. Williams*  
*Robert A. Jones*

FOR General

⑆001568⑆ ⑆021000021⑆ 037 8 096918⑆ ⑆000050000⑆

11,346 0 7 8 4 3 7 9

FRIENDS OF MAX BAUCUS

313 Third Street, NE • Washington DC 20002 • (202) 543-2069

G. A. WILLIAMS  
SENIOR VICE PRESIDENT & DIRECTOR

JUL 20 1990

July 2, 1990

Mr. George A. Williams  
EQUI-PAC  
787 Seventh Avenue #41C  
New York, NY 10019

Dear Mr. Williams:


As you requested in you letter dated June 13, 1990, I am refunding to EQUI-PAC their contribution of \$3500.00.

If I can be of further assistance, please do not hesitate to call.

Sincerely,

*Susan Brodsky*  
Susan Brodsky

034007610340

FRIENDS OF MAX BAUCUS 607 588 HELENA MT 59624		1888
June 28, 1990		
EQUI-PAC		\$ 3,500.00
Three thousand five hundred and 00/100		
	First Bank Helena, MT P.O. Box 38 Helena, MT 59624	<i>Susan Brodsky</i>
⑆092000296⑆ 378-863⑆ 1888		

Enclosure.





EQUI-PAC  
FEDERAL ELECTION COMMISSION

October 4, 1990

Senator Bentsen Election Committee  
P. O. Box 61202  
Houston, Texas 77208

Dear Senator Bentsen:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesigned" they could only be credited to the next election. EQUI-PAC contributed \$5,000 to your campaign on June 24, 1987. Our review indicates that on June 30, 1987 your campaign credited the \$5,000 pre-primary contribution to the general campaign because the \$5,000 primary campaign limitation had been reached. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$5,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 31C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/aos

41040 1/6 10.541

THE MOYNIHAN COMMITTEE, INC.  
P. O. Box 1988  
Grand Central Station  
New York, NY 10163

June 28, 1990

G. A. WILLIAMS  
SENIOR VICE PRESIDENT & MANAGER

JUL 2 1990

Mr. George A. Williams  
The Equitable PAC  
787 Seventh Avenue, 41C  
New York, NY 10019

Dear Mr. Williams:

Enclosed, as requested by you in your letter of June 13, is  
a refund check of The Moynihan Committee, Inc. in the amount  
of \$1,000.

Sincerely,

*Sue Davis*  
Sue Davis

Enclosure

01040361332

THE MOYNIHAN COMMITTEE INC.

3861

PAID TO THE ORDER OF

*The Esposito PAC*  
*Therese Esposito*

*June 20 2008*

*1-12*  
*310*

*\$ 1000.00*

CHEMICAL BANK  
MEMBER FDIC

⑆003861⑆ ⑆021000128⑆ ⑆64093847⑆



EQUITABLE  
WASHINGTON  
AFFAIRS OFFICE

October 4, 1990

Riegle for Senate Committee  
P.O. Box 2434  
Washington, D.C. 20013-2431

Dear Senator Riegle:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$1,000 to your campaign on May 22, 1987 and \$1,000 on May 2, 1988. When combined with the contributions of our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund, the \$5,000 limitation for your August 2, 1988 primary campaign was exceeded by \$4,000. The DLJ Better Government Fund previously requested a \$2,000 refund. However, it is necessary for Equi-Pac to request an additional \$2,000 refund at this time.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

CAW/acs





EQUITABLE  
POLITICAL  
ACTION COMMITTEE

October 4, 1990

Congressman Charles Rangel  
P. O. Box 5577  
Manhattanville Station  
365 West 125th Street  
New York, NY 10027

Dear Congressman Rangel:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. At the same time, an affiliated PAC - The Donaldson Lufkin & Jenrette Better Government Fund has reviewed its campaign contributions. (Together, EQUI-PAC and DLJ cannot exceed the limitations.) Since all of the EQUI-PAC and DLJ checks were "undesignated" they could only be credited to the candidate's next election. Previously, DLJ requested a \$250 refund. However, our recent review indicates that EQUI-PAC's \$1,500 contribution on November 20, 1986 and its \$5,000 contribution on November 6, 1987 were counted toward the September 13, 1988 primary because they were undesignated. As such, it is necessary for Equi-Pac to request a \$1,500 refund at this time.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/aus

1040361333

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

June 5, 1990

John S. Chales, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Bond, Secretary

Lieberman '94 Committee  
P.O. Box 31294  
Old State House Square  
Hartford, CT 06103

Attn: Ms. Laura Cahill

Dear Ms. Cahill:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBCF").

DLJBCF has reviewed its contributions to ensure they did not exceed the \$5,000 per candidate per primary and/or general election limit. DLJBCF did not designate its March 14, 1988 contribution of \$5,000 as either a primary or general election contribution. Your committee reported the \$5,000 contribution as a general election contribution even though it was made prior to the primary. DLJBCF did not receive a request for re-designation within the required 60 day limit and therefore it must request a refund of \$2,500 of the \$5,000 contribution so that our primary election contribution to your committee will not be in excess of the limit.

It is my understanding that you have agreed to refund \$2,500 to the DLJBCF. I would appreciate receiving the refund as soon as possible so that DLJBCF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address.

If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. F. Daddino*

Anthony F. Daddino  
Treasurer

50403347



SCHEDULE D  
(Revised 3-80)

DEBTS AND OBLIGATIONS  
Excluding Loans

Page 1 of 1  
Line Number  
(Use separate schedules for each number of lines)

Name of Committee (in Full)	Outstanding Balance Beginning This Period	Amount Incurred This Period	Payment This Period	Outstanding Balance at Close of This Period
Donaldson, Lufkin & Jenrette, Inc. Better Government Fund				
A. Full Name, Mailing Address and Zip Code of Debtor or Creditor The Honorable Fernand St. Germain 21 Harbor View Drive Newport, RI 02840	\$1,000	-	-	\$1,000
Name of Debt (Purpose) Refund requested See attached letter				
B. Full Name, Mailing Address and Zip Code of Debtor or Creditor Friends of Senator Rockefeller 313 3rd. Street, NE Washington, DC 20002	\$1,000	-	-	\$1,000
Name of Debt (Purpose) Refund requested- See attached letter				
C. Full Name, Mailing Address and Zip Code of Debtor or Creditor Bill Bradley for U.S. Senate PO Box 1990 Woodbridge, New Jersey 07095	\$1,400	-	-	\$1,400
Name of Debt (Purpose) Refund requested- See attached letter				
D. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Name of Debt (Purpose)				
E. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Name of Debt (Purpose)				
F. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Name of Debt (Purpose)				
Total (Carry Over from This Page (optional))				\$3,400
Total (Carry Over from Last Page (if any))				
TOTAL OUTSTANDING DEBTS FROM SCHEDULE C (Last page of report)				

18403161338



RULE A

ITEMIZED RECEIPTS  
REFUNDS - LINE 16

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE	OF
3	3
FOR LINE NUMBER	
11 (a)	

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial or other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR DANIEL MOYNIHAN (D-NY) 500 FIFTH AVENUE NEW YORK, N.Y. 10022	REFUND OF 11/6/87 CONTRIBUTION	7/17/90	\$1,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR MAX BAUCUS (D-MT) FRIENDS OF MAX BAUCUS P.O. BOX 2891 WASHINGTON, D.C. 20013	REFUND OF 4/20/89 CONTRIBUTION	7/17/90	\$3,500.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
SENATOR DAVID PRYOR DAVID PRYOR FOR U.S. SENATE COMM. P.O. BOX 3334 LITTLE ROCK, AR 72203-3334	REFUND OF 4/20/89 CONTRIBUTION	9/25/90	\$1,000.00
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Occupation	Aggregate Year-to-Date > \$	

\$5,500.00

\$5,500.00

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P. E.

**SCHEDULE D**  
(Revised 3/80)

**DEBTS AND OBLIGATIONS**  
Excluding Loans

Page \_\_\_\_ of \_\_\_\_ for  
LINE NUMBER \_\_\_\_  
Use separate schedules  
for each numbered line

Name of Committee (in Full)	Outstanding Balance Beginning This Period	Amount Incurred This Period	Payment This Period	Outstanding Balance at Close of This Period
<b>Equitable Financial Services Political Action Committee</b>				
A. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Senator John Chafee U.S. Senate 567 SDOB Washington, D.C. 20510	\$4,000	-	-	\$4,000
Nature of Debt (Purpose):				
Refund of Contribution				
B. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Senator Robert Packwood 259 SROB Washington, D.C. 20510	\$1,000	-	-	\$1,000
Nature of Debt (Purpose):				
Refund of Contribution				
C. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
The Honorable Fernand St. Germain 21 Harbor View Drive Newport, RI 02840	\$1,000	-	-	\$1,000
Nature of Debt (Purpose):				
Refund of Contribution				
D. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
E. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
F. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
1. SUBTOTALS This Period This Page (optional)				\$6,000
2. TOTAL This Period (last page only)				\$6,000
3. TOTAL OUTSTANDING LOANS from Schedule C (last page only)				

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**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

90 OCT -5 AM 11:31

**HAND DELIVERED**

October 4, 1990

John S. Chabot, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Bond, Secretary

Mr. Anthony D. Raymond  
Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

**BY FEDERAL EXPRESS**

RE: Identification Number 000103325

Dear Mr. Raymond:

This letter is in response to your letter of August 29, 1990, in conjunction with the Federal Election Commission's ongoing review of campaign contributions made by Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, the Equitable Life Assurance Society of the United States Political Action Committee ("EQUI-PAC").

1. In its June 6, 1990 letter to the Commission, DLJBGF stated that it did not designate any of its contributions either for the primary or general election campaigns of any of the candidates who had been referenced in Commission correspondence prior to that date. Your letter of August 29, 1990 for the first time raised questions regarding contributions made to candidates John Heinz, Charles Schumer, Bill Bradley and John Rockefeller. DLJBGF's statement in its June 6 letter did not apply to these candidates. DLJBGF noted on several of the checks payable to these candidates that the contributions were for the candidates' primary or general election. (See discussion below regarding the disposition of any excessive contributions made by DLJBGF to any of the four (4) above-mentioned candidates.)

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Mr. Anthony D. Raymond  
Federal Election Commission  
Page 2

October 4, 1990

2. You requested that DLJBGF review your chart for accuracy and to assure that DLJBGF's previous filings and contributions complied with Commission regulations<sup>(1)</sup>. DLJBGF discovered reporting and/or contribution errors in the following cases:

a. John Heinz

In its 1988 April Quarterly Report, DLJBGF reported giving \$1,000 toward Senator Heinz's general election. However, since DLJBGF made no such designation on its check executed before the primary, this contribution should have been reported as a primary election contribution according to 11 C.F.R. 110.1(b)(2)(iii). Attached please find an amended Schedule B correctly reporting this contribution<sup>(2)</sup>. (See Attachment 1)

b. Charles Schumer

In its 1989 Mid-Year Report, DLJBGF reported giving \$5,000 toward Congressman Schumer's general election. However, since DLJBGF made no such designation on its check written before the primary, this contribution should have been reported as a primary election contribution. Attached please find an amended Schedule B correctly reporting this information<sup>(3)</sup>. (See Attachment 2)

c. Bill Bradley

In its 1989 Year-End Report, DLJBGF reported giving a total of \$3,000 to Senator Bradley's reelection campaign. DLJBGF did not designate the use of its October 3, 1989 contribution of \$2,000 on its check, nor did it report the contribution as made for either the primary or general election. DLJBGF did designate the use of its November 30, 1989 \$1,000 contribution on its check, but did not report the contribution to reflect the

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(1) DLJBGF is not addressing contributions given to Senators Proxmire, Chafee and Packwood. EQU PAC gives contributions to these candidates and thus EQU PAC will address these candidates under separate cover. In addition, DLJBGF is not addressing any outstanding refunds to EQU PAC from Senators Harkin, Bennett, Minchin and Riegle or Congressmen Rangel, St. Germain and Schumer. These matters will also be addressed to EQU PAC under separate cover. DLJBGF has received and reported requests for refunds from Senators Harkin, Bennett, Riegle, Minchin and Congressman Rangel.

(2) EQU PAC will address under separate cover this contribution to the candidate.

(3) EQU PAC will address under separate cover this contribution to the candidate.



Mr. Anthony D. Raymond  
Federal Election Commission  
Page 3

October 4, 1990

designated use. The attached amended Schedule B accurately reports both of these contributions. (See Attachment 3.)

In further reviewing the records, DLJBGIF discovered that the two PACs' contributions, when combined, were in excess of the primary contribution limit. As noted above, DLJBGIF designated its November 30, 1989 contribution check of \$1,000, that \$400 was for the primary and \$600 was for the general election. EQUI-PAC designated on its April 23, 1990 contribution check of \$3,000 that it was for the general election. None of the other contributions by either PAC to this candidate were designated. Therefore, DLJBGIF and EQUI-PAC gave \$1,400 for the primary and \$3,600 for the general election. DLJBGIF has contacted Senator Bradley's committee to request a refund of the \$1,400 over the primary limit. (See Attachment 4) DLJBGIF will report this outstanding obligation on Schedule D of its October Quarterly report, due October 15, 1990.

d. John Rockefeller

EQUI-PAC made two \$5,000 contributions to Senator Rockefeller's reelection campaign. The May 15, 1989, contribution check for \$5,000 was not designated and therefore, under 11 C.F.R. 110.1(b)(2)(ii), it was applied toward the primary election held May 5, 1990. EQUI-PAC's contribution for \$5,000 dated March 20, 1990 carried a designation for general election use on the check. DLJBGIF's May 18, 1990 contribution check of \$1,000 also noted a designation for the general election<sup>(4)</sup>. As DLJBGIF's later contribution resulted in the two PACs' aggregate contributions exceeding the general election limit by \$1,000, DLJBGIF has contacted Senator Rockefeller's campaign committee to request a \$1,000 refund and will report this amount as an outstanding obligation on Schedule D of its October 1990 Quarterly Report. (See Attachment 5)

3. You requested that DLJBGIF provide you with an update on the status of refunds requested by the PAC in June but not received by the time of the filing of the PAC's July 1990 Quarterly Report, due July 15, 1990.

(4) DLJBGIF accurately reported this contribution. Therefore, an amended Schedule B is not required in this case.

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Mr. Anthony D. Raymond  
Federal Election Commission  
Page 4

October 4, 1990

a. Joseph Lieberman

DLJBGF received the requested \$2,500 refund shortly after the July 1990 Quarterly Report was filed. DLJBGF will report the receipt of this refund on Schedule A of its October 1990 Quarterly Report.

b. Fernand St. Germain

Both DLJBGF and EQUI-PAC contacted St. Germain in June, each requesting a \$1,000 refund. (See Attachment 6) St. Germain has not yet sent either PAC a refund but has promised to send the required refunds by October 5, 1990. In the meantime, DLJBGF will report this amount as an outstanding obligation owed to the committee on Schedule D of its October 1990 Quarterly Report.

DLJBGF hopes that, in this further review according to your specific instructions, we have identified all reporting errors.

Should you have any further questions, please do not hesitate to contact me.

Sincerely,

*Anthony Daddino*

Anthony Daddino  
Treasurer

cc: George Williams  
EQUI-PAC Treasurer

Attachments

- Amended Schedule Bs for contributions to:
- Senator Heinz (Attachment 1)
- Congressman Schumer (Attachment 2)
- Senator Bradley (Attachment 3)
- Letter to Senator Bradley (Attachment 4)
- Letter to Senator Rockefeller (Attachment 5)
- Letter to Former Congressman St. Germain (Attachment 6)

ADMIN:

CHEDULE B

ITEMIZED DISBURSEMENTS

(Use separate schedules) for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER 21

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

313345015

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
People for John Heinz 122 C Street, NW Suite 650 Washington, D.C. 20001		2/23/88	MEMO \$1,000
B Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

S. TOTAL (Add amounts This Page only)	\$1,000
TOTAL (Add all page numbers)	\$1,000

SCHEDULE B ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary Page  
 PAGE 1  
 FORM LINE NUMBER 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
 Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
Re-elect Congressman Chuck Schumer 1551 East 23rd Street Brooklyn, NY 11210	Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/03/89	MEMO \$5,000
B Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL Disbursements This Page \$5,000

TOTAL Disbursements All Pages This Schedule \$5,000

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SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule for each calendar year. Date of Summary Page

PAGE 2  
LUFKIN MEMO

All information from such Reports and Statements may not be so divulged by any person for the purpose of soliciting contributions to the committee or for other than using the name and address of any donor for the purpose of soliciting contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

1040364371

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bill Bradley for U.S. Senate c/o Levy, Pazanti & Assoc. 3450 Wilshire Blvd. #3450 Los Angeles, CA 90010	Campaign Contribution (not specified previously) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	10/03/89	MEMO \$2,000
Bill Bradley for U.S. Senate James R. Zazzali, Esq. Gateway 1 Newark, NJ 07102	Campaign Contribution (incompletely reported) Disbursement for <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General Other (specify):	11/30/89	MEMO \$400
Bill Bradley for U.S. Senate James R. Zazzali, Esq. Gateway 1 Newark, NJ 07102	Campaign Contribution (incompletely reported) Disbursement for <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify):	11/30/89	MEMO \$600
*This contribution had been reported as a total of \$1,000.			
However, the use of this contribution was designated as such on DLJ's check.			
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period

\$3,000

\$3,000

TOTAL

ATTACHMENT 04

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

John S. Chales, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

September 28, 1990

James Carl Romanelli  
Bill Bradley for U.S. Senate  
P.O. Box 1990  
Woodbridge, New Jersey 07095

Dear Mr. Romanelli:

This is to confirm your recent telephone conversation with Julie Strandlie of the law firm Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Life Assurance Society of the United States ("EQUIPAC").

DLJBGF and EQUIPAC have reviewed their contributions to ensure that together they did not exceed the \$5,000 per candidate per election limit. It appears that the PACs inadvertently donated an aggregate of \$6,400 to Senator Bradley's 1990 primary election campaign.

To avoid your committee's receipt of contributions that may be excessive, I would appreciate receiving the requested \$1,400 refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. In the meantime, DLJBGF will report the \$1,400 as an outstanding balance due on Schedule D of the PAC's October Quarterly Report.

The refund should be sent to the attention of Paul Camilleri, Donaldson, Lufkin & Jenrette, Inc., 140 Broadway, New York, New York 10005. If you have any further questions, please call me or Paul Camilleri at (212) 504-4475.

Sincerely,

*A. F. Daddino*

Anthony F. Daddino

ATTACHMENT 05

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005  
September 28, 1990

John S. Chales (Chairman)  
Anthony F. Daddino (Treasurer)  
Michael A. Boyd (Secretary)

Cheryl Bruner  
Friends of Senator Rockefeller  
313 3rd. Street, NE  
Washington, DC 20002

Dear Ms. Bruner:

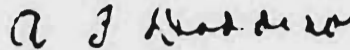
This is to confirm your recent telephone conversation with Julie Strandlie of the law firm Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Life Assurance Society of the United States ("EQUIPAC").

DLJBGF and EQUIPAC have reviewed their contributions to ensure that together they did not exceed the \$5,000 per candidate per election limit. It appears that the PACs inadvertently donated an aggregate of \$6,000 to Senator Rockefeller's 1990 general election campaign.

To avoid your committee's receipt of contributions that may be excessive, I would appreciate receiving the requested \$1,000 refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. In the meantime, DLJBGF will report the \$1,000 as an outstanding balance due on Schedule D of the PAC's October Quarterly Report.

The refund should be sent to the attention of Paul Camilleri, Donaldson, Lufkin & Jenrette, Inc., 140 Broadway, New York, New York 10005. If you have any further questions, please call me or Paul Camilleri at (212) 504-4475.

Sincerely,



Anthony F. Daddino

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ATTACHMENT 06

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

100 Broadway New York, New York 10005

June 5, 1990

John S. Chelley, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

The Honorable Fernand St. Germain  
21 Harbor View Drive  
Newport, RI 02840

Dear Congressman St. Germain:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBCF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBCF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election.

DLJBCF did not designate its \$5,000 contribution to your committee on April 5, 1986. Your committee reported that contribution for the 1986 primary election. Subsequently, DLJBCF contributed \$1,000 on April 29, 1986 and its affiliate Equi-Pac contributed \$1,000 on May 1, 1986. According to FEC records your committee reported both \$1,000 contributions after the primary election as general election contributions. DLJBCF did not receive a request for re-designation within the required 60 day limit and therefore we regret we must request a \$1,000 refund so that our primary election contributions to your committee will not be in excess of the \$5,000 limit.

I understand that you have agreed to refund DLJBCF's April 29, 1986 contribution. I would appreciate receiving the requested refund as soon as possible so that DLJBCF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address. Equi-Pac will also have to request a refund of its May 1, 1986 contribution. They will be contacting you under separate cover.

0210540389400



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FEDERAL ELECTION COMMISSION  
999 E Street, N.W.  
Washington, D.C. 20463

FIRST GENERAL COUNSEL'S REPORT

RAD Referral #91L-2  
STAFF MEMBER: Phillip L. Wise

SOURCE: INTERNALLY GENERATED

RESPONDENTS: Equitable Financial Services  
Political Action Committee and George A.  
Williams, as treasurer

Donaldson, Lufkin & Jenrette, Inc. - Better  
Government Fund and Anthony F. Daddino, as  
treasurer

RELEVANT STATUTES: 2 U.S.C. § 441a(a)(2)(A)  
2 U.S.C. § 441a(a)(5)  
2 U.S.C. § 433(c)  
11 C.F.R. § 100.5(g)(2)  
11 C.F.R. § 100.5(g)(3)(i)  
11 C.F.R. § 110.3(a)(3)(ii)

INTERNAL REPORTS  
CHECKED: Disclosure Reports

FEDERAL AGENCIES  
CHECKED: NONE

I. GENERATION OF MATTER

The Equitable Financial Services Political Action Committee ("EQUI-PAC") and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and Anthony F. Daddino, as treasurer, two affiliated multi-candidate committees, were referred to the Office of the General Counsel by the Reports Analysis Division ("RAD") for making a total of \$23,750 in apparent excessive contributions to seven (7) federal candidates during the 1987-88 election cycle; and a total of \$9,400 in apparent excessive contributions to five (5) federal candidates during the 1989-90

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election cycle. (Attachment 1).

**II. FACTUAL AND LEGAL ANALYSIS**

Pursuant to 2 U.S.C. § 441a(a)(2)(A) no multicandidate political committee shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed \$5,000.

Pursuant to 2 U.S.C. § 441a(a)(5) all contributions made by political committees established or financed or maintained or controlled by any corporation, labor organization, or any other person, including any parent, subsidiary, branch, division, department, or local unit of such corporation, labor organization, or any other person, or by any group of such persons, shall be considered to have been made by a single political committee. See also 11 C.F.R. § 110.3(a)(3)(ii).

Pursuant to 11 C.F.R. § 100.5(g)(2) all committees, including a separate segregated fund, established, financed, maintained or controlled by the same corporation, labor organization, person, or group of persons, including any parent, subsidiary, branch, division, department, or local unit thereof, are affiliated. Affiliated committees sharing a single contribution limitation include all committees established, financed, maintained or controlled by a single corporation and/or its subsidiaries. 11 C.F.R. § 100.5(g)(3)(i).

Any change in information previously submitted in a statement of organization shall be reported no later than 10 days after the date of the change. 2 U.S.C. § 433(c).

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On December 21, 1989, representatives of EQUI-PAC and the Fund informed RAD that Equitable Financial Services had acquired Donaldson, Lufkin & Jenrette, Inc. ("DLJ") several years before but had not realized the ramifications of this acquisition on the two PACs. On December 27, 1989, the committees filed amended Statements of Organization reflecting the affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.). According to the treasurers of the committees in a letter received at the Commission on February 26, 1990:

The Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of the Equitable officials. Accordingly, Equitable and DLJ do not share operational identities. Each has its own internal management, office location, business plans, and, as is relevant to this issue, political action goals and objectives.

A review of the records shows that at the time of the merger, neither PAC gave any consideration to a need for affiliation. This is consistent with the philosophy of the agreement between DLJ and Equitable; no other staff function or operational area was combined or merged. Both PACs proceeded to operate independently.

The treasurers asserted that in 1987 the PACs started an informal procedure of notifying each other of its intended contributions to federal candidates. "Currently, we have strengthened the coordination between the PACs such that DLJ's

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planned contributions are formally reported to the Treasurer of EQUI-PAC before the DLJ contribution is made."

On March 28, 1990, RAD sent a Request for Additional Information ("RFAI") to the committees concerning several possible excessive contributions to several federal candidate committees over four (4) election cycles. RAD also informed the treasurers that contributions from affiliated multicandidate committees to federal candidate committees could total no more than \$5,000 per election. RAD informed the committees that they should request a refund of the excessive portions of the contributions. As of the date of the RAD Referral the committees had received refunds of \$27,150 and had requested refunds of the remaining \$6,000 in apparent excessive contributions.

The affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.) also resulted in the two committees sharing a single contribution limit of no more than \$5,000 per election. See Advisory Opinion 1985-27. Reports filed by the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, show that they jointly contributed more than \$5,000 per election in violation of 2 U.S.C. § 441a(a)(2)(A).

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In addition the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, failed to amend their statement of organization to show their affiliation no later than 10 days after the date of the change in violation of 2 U.S.C. § 433(c).<sup>2</sup>

**III. RECOMMENDATIONS**

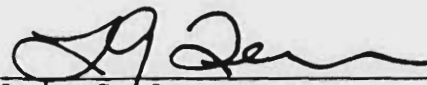
1. Open a MUR.

2. Find reason to believe that The Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c).

3. Approve the Appropriate Letters and Factual and Legal Analyses.

Lawrence M. Noble  
General Counsel

3/15/91  
Date

BY:   
Lois G. Lerner  
Associate General Counsel

Attachments:

- 1. Referral Materials
- 2. Factual and Legal Analyses (2)

<sup>2</sup> Political committees established by a single parent corporation are required to disclose the names and addresses of all political committees established by any subsidiary, while political committees established by subsidiaries are only required to disclose the name and address of each political committee established by their parent. 11 C.F.R. § 102.2(b)(1)(ii).

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BEFORE THE FEDERAL ELECTION COMMISSION

( MUR 3240 )

In the Matter of )  
 )  
Equitable Financial Services )  
Political Action Committee and )  
George A. Williams, as treasurer; )  
Donaldson, Lufkin & Jenrette, Inc. - )  
Better Government Fund and )  
Anthony F. Daddino, as treasurer. )

RAD Referral #91L-2

CORRECTED CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on March 19, 1991, the Commission decided by a vote of 5-0 to take the following actions in RAD Referral #91L-2:

1. Open a MUR.
2. Find reason to believe that The Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, violated 2 U.S.C. 441a(a)(2)(A) and 433(c).

(Continued)

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3. Approve the appropriate Letters and Factual and Legal Analyses, as recommended in the General Counsel's Report dated March 14, 1991.

Commissioners Aikens, Elliott, Josefiak, McDonald, and McGarry voted affirmatively for the decision; Commissioner Thomas did not cast a vote.

Attest:

April 1 1991  
Date

Marjorie W. Emmons  
for Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Fri., Mar. 15, 1991 10:46 a.m.  
Circulated to the Commission: Fri., Mar. 15, 1991 2:00 p.m.  
Deadline for vote: Tues., Mar. 19, 1991 4:00 p.m.

dr

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

March 25, 1991

Anthony F. Daddino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc.- Better  
Government Fund  
140 Broadway, New York, New York 10005

RE: MUR 3240  
Donaldson, Lufkin &  
Jenrette, Inc.- Better  
Government Fund and  
Anthony F. Daddino,  
as, treasurer

Dear Mr. Daddino:

On March 19, 1991, the Federal Election Commission found that there is reason to believe the Donaldson, Lufkin & Jenrette, Inc.- Better Government Fund ("Committee") and you, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, the Commission will not entertain requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent.

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Anthony F. Daddino, Treasurer  
Page 2.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Phillip L. Wise, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,



John Warren McGarry  
Chairman

Enclosures  
Factual and Legal Analysis  
Procedures  
Designation of Counsel Form

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FEDERAL ELECTION COMMISSION

FACTUAL AND LEGAL ANALYSIS

RESPONDENTS: Donaldson, Lufkin & Jenrette, Inc. MUR 3240  
Better Government Fund ("the Fund")  
and Anthony F. Daddino, as treasurer

Pursuant to 2 U.S.C. § 441a(a)(2)(A) no multicandidate political committee shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed \$5,000.

Pursuant to 2 U.S.C. § 441a(a)(5) all contributions made by political committees established or financed or maintained or controlled by any corporation, labor organization, or any other person, including any parent, subsidiary, branch, division, department, or local unit of such corporation, labor organization, or any other person, or by any group of such persons, shall be considered to have been made by a single political committee. See also 11 C.F.R. § 110.3(a)(3)(ii)

Pursuant to 11 C.F.R. § 100.5(g)(2) all committees, including a separate segregated fund, established, financed, maintained or controlled by the same corporation, labor organization, person, or group of persons, including any parent, subsidiary, branch, division, department, or local unit thereof, are affiliated. Affiliated committees sharing a single contribution limitation include all committees established, financed, maintained or controlled by a single corporation and or its subsidiaries. 11 C.F.R. § 100.5(g)(3)(i)

Any change in information previously submitted in a

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statement of organization shall be reported no later than 10 days after the date of the change. 2 U.S.C. § 433(c).

On December 21, 1989, representatives of The Equitable Financial Services Political Action Committee ("EQUI-PAC") and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and Anthony F. Daddino, as treasurer, two affiliated informed the Reports Analysis Division ("RAD") that Equitable Financial Services had acquired Donaldson, Lufkin & Jenrette, Inc. ("DLJ") several years before but had not realized the ramifications of this acquisition on the two PACs. On December 27, 1989, the committees filed amended Statements of Organization reflecting the affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.). According to the treasurers of the committees in a letter received at the Commission on February 26, 1990:

The Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of the Equitable officials. Accordingly, Equitable and DLJ do not share operational identities. Each has its own internal management, office location, business plans, and, as is relevant to this issue, political action goals and objectives.

A review of the records shows that at the time of the merger, neither PAC gave any consideration to a need for affiliation. This is consistent with the philosophy of the agreement between DLJ and Equitable; no other staff function or

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operational area was combined or merged.  
Both PACs proceeded to operate  
independently.

The treasurers asserted that in 1987 the PACs started an informal procedure of notifying each other of its intended contributions to federal candidates. "Currently, we have strengthened the coordination between the PACs such that DLJ's planned contributions are formally reported to the Treasurer of EQUI-PAC before the DLJ contribution is made."

On March 28, 1990, RAD sent a Request for Additional Information ("RFAI") to the committees concerning several possible excessive contributions to several federal candidate committees over four (4) election cycles. RAD also informed the treasurers that contributions from affiliated multicandidate committees to federal candidate committees could total no more than \$5,000 per election. RAD informed the committees that they should request a refund of the excessive portions of the contributions. As of the date of the RAD Referral the committees had received refunds of \$27,150 and had requested refunds of the remaining \$6,000 in apparent excessive contributions.

The affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.) also resulted in the two committees sharing a single contribution limit of no more than \$5,000 per election. See Advisory Opinion 1985-27. Reports filed by the Equitable Financial Services Political Action Committee and George A.



Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, show that they jointly contributed more than \$5,000 per election in violation of 2 U.S.C. § 441a(a)(2)(A).

In addition Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, failed to amend it's statement of organization to show it's affiliation no later than 10 days after the date of the change in violation of 2 U.S.C. § 433(c).<sup>1</sup>

Therefore, there is reason to believe that Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c).

1. Political committees established by a single parent corporation are required to disclose the names and addresses of all political committees established by any subsidiary, while political committees established by subsidiaries are only required to disclose the name and address of each political committee established by their parent. 11 C.F.R. § 102.2(b)(1)(ii).

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

March 25, 1991

George A. Williams, Treasurer  
Equitable Financial Services  
Political Action Committee  
787 Seventh Avenue - 41C  
New York, New York 10019

RE: MUR 3240  
Equitable Financial  
Services Political Action  
Committee and George A.  
Williams as, treasurer

Dear Mr. Williams:

On March 19, 1991, the Federal Election Commission found that there is reason to believe the Equitable Financial Services Political Action Committee ("Committee") and you, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, the Commission will not entertain requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent.

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George A. Williams, Treasurer  
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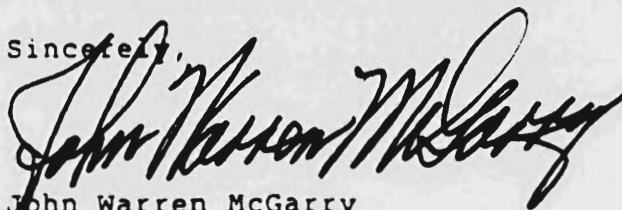
Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Phillip L. Wise, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,



John Warren McGarry  
Chairman

Enclosures  
Factual and Legal Analysis  
Procedures  
Designation of Counsel Form

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**FEDERAL ELECTION COMMISSION**

**FACTUAL AND LEGAL ANALYSIS**

RESPONDENTS: Equitable Financial Services      MUR 3240  
Political Action Committee  
and George A. Williams, as treasurer

Pursuant to 2 U.S.C. § 441a(a)(2)(A) no multicandidate political committee shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed \$5,000.

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Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, show that they jointly contributed more than \$5,000 per election in violation of 2 U.S.C. § 441a(a)(2)(A).

In addition the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, failed to amend it's statement of organization to show it's affiliation no later than 10 days after the date of the change in violation of 2 U.S.C. § 433(c).<sup>1</sup>

Therefore, there is reason to believe that The Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c).

1. Political committees established by a single parent corporation are required to disclose the names and addresses of all political committees established by any subsidiary, while political committees established by subsidiaries are only required to disclose the name and address of each political committee established by their parent. 11 C.F.R. § 102.2(b)(1)(ii).

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PATTON, BOGGS & BLOW  
2550 M STREET, N.W.  
WASHINGTON, D.C. 20037  
(202) 457-6000

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WRITER'S DIRECT DIAL

(202) 457-6094

April 5, 1991

Phillip L. Wise, Esq.  
Office of General Counsel  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Re: MUR 3240

91 APR -8 AHID:42

Dear Mr. Wise:

As you will see from the attached statements, I have been designated as counsel to the Donaldson, Lufkin & Jenrette, Inc., Better Government Fund and the Equitable Financial Services Political Action Committee, and their respective Treasurers, to assist in preparation of a response to the Federal Election Commission inquiry of March 25, 1991 on the referenced matter.

As my clients and I have only just had an opportunity to meet in New York to discuss this MUR, I hereby request an extension of one week to respond to the Commission's inquiry. This additional time will be necessary to enable us to review the two Committees' records and prepare a complete response. As you indicated in our telephone conversation today that the Commission most likely will agree to this extension, I intend to file Respondents' response on or before April 19, 1990.

If you have any questions, please contact me at the above number.

Sincerely,

Katharine R. Boyce  
Counsel to Respondents

KRB:usa

Enclosures

cc: Anthony F. Daddino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund

George A. Williams, Treasurer  
Equitable Financial Services Political  
Action Committee

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**STATEMENT OF DESIGNATION OF COUNSEL**

MUR 3240

**NAME OF COUNSEL:** Katharine R. Boyce

**ADDRESS:** Patton, Boggs & Blow  
2550 M Street, N.W.

Washington, D.C. 20037

**TELEPHONE:** (202 457-6094

The above-named individual is hereby designated as my  
counsel and is authorized to receive any notifications and other  
communications from the Commission and to act on my behalf before  
the Commission.

April 3, 1991  
Date

*A. F. Daddino*  
Signature

**RESPONDENT'S NAME:** Anthony F. Daddino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc.  
Better Government Fund

**ADDRESS:** 140 Broadway

New York, NY 10005

**HOME PHONE:** \_\_\_\_\_

**BUSINESS PHONE:** (212) 504-3000

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STATEMENT OF DESIGNATION OF COUNSEL

MUR 3240

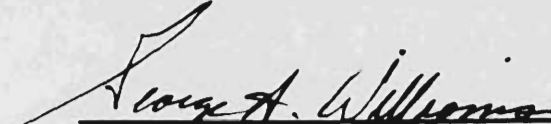
NAME OF COUNSEL: Katharine R. Boyce

ADDRESS: Patton, Boggs & Blow  
2550 M Street, N.W.  
Washington, D.C. 20037

TELEPHONE: (202) 457-6094

The above-named individual is hereby designated as my  
counsel and is authorized to receive any notifications and other  
communications from the Commission and to act on my behalf before  
the Commission.

April 3, 1991  
Date

  
Signature

RESPONDENT'S NAME: George A. Williams, Treasurer  
Equitable Financial Services  
ADDRESS: Political Action Committee

787 Seventh Avenue, 41-C  
New York, NY 10019

HOME PHONE: \_\_\_\_\_

BUSINESS PHONE: (212) 554-4104

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

April 9, 1991

Katharine R. Boyce  
Patton, Boggs & Blow  
2550 M Street, N.W.  
Washington, D.C. 20037

RE: MUR 3240  
Donaldson, Lufkin &  
Jenrette, Inc.- Better  
Government Fund and  
Anthony F. Daddino,  
as, treasurer

Equitable Financial  
Services Political Action  
Committee and George A.  
Williams as, treasurer

Dear Ms Boyce:

This is in response to your letter dated April 5, 1991, which we received on April 8, 1991, requesting an extension until April 19, 1991 to respond to the Commission's reason to believe notifications to your clients. After considering the circumstances presented in your letter, I have granted the requested extension. Accordingly, your response is due by the close of business on April 19, 1991.

If you have any questions, please contact Phillip L. Wise, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble  
General Counsel

BY: George F. Rishel  
Assistant General Counsel

OGC 0831

FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20037

**PATTON, BOGGS & BLOW**

2550 M STREET, N.W.  
WASHINGTON, D.C. 20037  
(202) 457-6000

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TELECOPIER 457-6315

WRITER'S DIRECT DIAL

(202) 457-6094

April 19, 1991

91 APR 22 PM 3:30

Lawrence M. Noble, Esquire  
General Counsel  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Re: MUR 3240

Dear Mr. Noble:

This response to the referenced matter is submitted on behalf of both the Donaldson, Lufkin & Jenrette, Inc. -- Better Government Fund ("DLJ-BGF") and the Equitable Financial Services Political Action Committee ("EQUI-PAC"), and their respective treasurers.

We wish to highlight at the outset that DLJ-BGF and EQUI-PAC, themselves, brought to the attention of the Federal Election Commission ("Commission") the circumstances which have led to the Commission's reason to believe that violations of 2 U.S.C. §§ 441a(a)(2)(A) and 433(c) may have occurred. As described in detail below, DLJ-BGF and EQUI-PAC discovered their inadvertent mistakes, undertook substantial good faith efforts to correct the errors, and made full disclosure to the Commission's Reports Analysis Division ("RAD"). Upon review, I believe you will agree that DLJ-BGF and EQUI-PAC have completely resolved all previous problems and that the Commission should close this matter without penalizing these two committees. To do otherwise may encourage deceit, but certainly will discourage others in the future from fully disclosing their errors and cooperating with the Commission to correct the record.

As you know, the genesis of this matter was a corporate merger which, under Commission regulations and opinions, caused DLJ-BGF and EQUI-PAC to become affiliated. As affiliates, the Commission reasoned, the committees may have made some contributions which, when aggregated, exceeded the contribution limits imposed by 2 U.S.C. §§ 441a(a)(2)(A). The Commission further reasoned that, because both DLJ-BGF and EQUI-PAC failed to file

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PATTON, BOGGS & BLOW

Mr. Lawrence M. Noble, Esq.  
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Amended Statements of Organization within ten days after their affiliation, they may have violated 2 U.S.C. § 433(c). DLJ-BGF and EQUI-PAC admit that their actions were inadvertent violations, but not knowing or willful violations. Furthermore, both committees took all steps necessary to remedy the problems, incurred substantial costs in doing so, and should be penalized no further.

I. BACKGROUND

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EQUI-PAC was formed as the separate segregated fund of The Equitable Life Assurance Society ("The Equitable"). DLJ-BGF was formed as a separate segregated fund of Donaldson, Lufkin & Jenrette, Inc. ("DLJ") in 1978. There was no connection between the PACs at that time. In December, 1984, The Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock. Although it is now clear to both EQUI-PAC and DLJ-BGF that this merger caused the two committees to become affiliated, neither knew that fact at the time. The principle reason they did not focus on the affiliation question was that their connected organizations continued to act independently. DLJ operated as a free-standing and completely independent subsidiary of The Equitable, without any day-to-day involvement of any Equitable officials. Each committee continued with its own employees, management, office location, and political goals and agendas.

Sometime later, an outside consultant to EQUI-PAC informed EQUI-PAC personnel that, due to the merger of The Equitable and DLJ, EQUI-PAC and DLJ-BGF were deemed "affiliated" under Commission regulations and therefore had to aggregate their contributions toward the same contribution limitations. EQUI-PAC personnel passed this information along to DLJ-BGF. Immediately, the committees implemented an internal procedure requiring each to share contribution information with the other so as to avoid excessive contributions. Neither committee realized at that time that, in addition to safeguarding against excessive contributions, an Amended Statement of Organization should be filed.

As part of this new internal safeguarding process, DLJ-BGF reviewed its records to ensure it had made no excessive contributions in the past. During this review, DLJ-BGF discovered it had made a \$1500 contribution to the campaign of

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Senator Lloyd Bentsen after EQUI-PAC had made a \$5000 contribution to that same campaign. DLJ-BGF notified the Bentsen campaign committee twice about the problem, each time asking for a refund of \$1500. Apparently, the Bentsen committee mailed one refund check to Donaldson, Lufkin & Jenrette, Inc. without adequate specification, and consequently that check was never received or cashed. After a second request in mid-1989, the Bentsen campaign committee informed DLJ-BGF that it had not issued an additional check because, upon conducting its own independent review of Commission records, it noted that EQUI-PAC and DLJ-BGF were not registered as affiliated committees. The Bentsen campaign, therefore, simply had applied the \$1500 to the next election without notifying DLJ-BGF, and so reflected that on its 1989 mid-year report.

By this time it became clear to DLJ-BGF and EQUI-PAC that Commission records did not properly reflect the relationship between the committees. DLJ-BGF reiterated its request to the Bentsen committee to refund the \$1500. The Bentsen committee complied, sending another \$1500 refund check with a letter passing along a suggestion from FEC staff that DLJ-BGF and EQUI-PAC update their Statements of Organization to reflect their affiliation.

DLJ-BGF and EQUI-PAC then took immediate steps to correct the record. First, counsel was hired to review thoroughly all of the contribution records of both committees since the merger of their connected organizations. Second, the PACs began to request refunds. (See Attach. A: letters to Max Baucus and Charles Rangle). Third, the PACs prepared Amended Statements of Organization which were filed within weeks. (See Attach. B)

Fourth, and most important, DLJ-BGF and EQUI-PAC representatives initiated contact with the Reports Analysis Division of the Commission ("RAD") on December 21, 1989 to request a meeting. The committees' representatives travelled to Washington to meet with the Reports Analyst on January 18, 1990. Their purpose was to disclose completely the situation to the Commission, outline the steps already taken to remedy problems, and seek advice on how to best address any additional difficulties that might have been created by the inadvertent failure to recognize the consequences of the merger of the committees' connected organizations.

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The Reports Analyst requested that EQUI-PAC and DLJ-BGF provide further information to the Commission in writing. On February 22, 1990 the committees responded with background information and explanations of new formal administrative procedures implemented to prevent the making of any excessive contributions by either committee. (See Attach. C) On March 28, 1990, the Reports Analyst sent follow-up letters to DLJ-BGF and EQUI-PAC stating that, as the committees had already advised the Commission, some excessive contributions had resulted from the committees' affiliation. The RAD requested additional amended reports and evidence that the committees had notified all recipients of excessive contribution and requested refunds of any amounts in excess of the applicable limits.

On April 16, 1990, EQUI-PAC and DLJ-BGF submitted separate responses to the RAD letter of March 28, 1990. The EQUI-PAC letter addressed each of the candidate situations raised in the RAD letter and described in detail the steps taken to rectify each situation. (See Attach. D) In addition, EQUI-PAC voluntarily disclosed to the Commission that an additional contribution (to Pete Dawkins) had been discovered as excessive, although the RAD letter failed to note that particular contribution. DLJ-BGF's response of April 16, 1990 also addressed the specific excessive contributions noted in the RAD letter of March 28. (See Attach. E). DLJ-BGF already had requested and received the necessary refunds and filed Amended Schedule B forms with its April 16 response to the Commission. DLJ-BGF also pointed out that two additional excessive contributions noted in the RAD letter were being resolved by refund requests made by EQUI-PAC.

In addition, the April 16, 1990 letters from both committees advised that, prior to 1990, no designation of elections ever were made on their contribution checks and all contributions were reported by the committees as general election contributions. Consequently, there were discrepancies between the elections for which the contributions were reported by the committees and by the recipient candidate committees. As the RAD letter had noted, the reporting discrepancies resulted in what appeared to be excessive contributions. Therefore, both DLJ-BGF and EQUI-PAC, at great expense, reviewed the microfilmed reports of each candidate committee to whom they had in the past made contributions. Each committee then amended its Schedule B forms to conform these reports to reflect the designations reported by the candidate committees. At the conclusion of this tedious

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undertaking, both DLJ-BGF and EQUI-PAC believed that, by amending their reports so as to match the recipients' actual use of the monies and by requesting refunds for any amounts that still remained excessive, they had reached a good faith and accurate resolution of all problems.

As it turned out, the good faith reliance by EQUI-PAC and DLJ-BGF on the reports of candidate committees was misplaced. A subsequent RAD letter of May 9, 1990 informed the committees that their Schedule B amendments were inadequate because the candidate committees themselves may not have applied the contributions in accordance with Commission requirements to apply undesignated contributions toward the next election.

Accordingly, for a third time, both DLJ-BGF and EQUI-PAC had to review all the reports of the candidates in question, compare their reported use of DLJ-BGF and EQUI-PAC contributions against the various primary election dates, and determine whether the candidate reports reflected the election for which the contributions properly should have been reported. Then, based on what would have been proper reporting, the committees calculated which contributions might be excessive. In a subsequent phone call with DLJ-BGF's counsel, the Reports Analyst indicated that he knew of several candidate committees that had received contributions from the committees but had not applied and reported the contributions toward the proper election. When asked to identify those candidate committees so that DLJ-BGF could promptly amend its reports and seek any necessary refunds, the Reports Analyst declined. Therefore, DLJ-BGF and EQUI-PAC were forced again to engage in the very time-consuming and costly exercise of re-reviewing all contribution records, candidate reports, and primary election dates in order to resolve any remaining problems.

After another arduous search, DLJ-BGF responded to RAD on June 6, 1990 (See Attach. F) stating that it had rechecked the dates of all DLJ-BGF and EQUI-PAC contributions to the candidates listed in the RAD letter of March 28, and compared those dates with the dates of the candidates' respective primary elections to ensure that the contributions had been reported correctly. Four instances were discovered wherein candidates had split contributions between elections without notifying DLJ-BGF or EQUI-PAC. The DLJ-BGF letter advised that refunds already had been or were about to be requested. EQUI-PAC submitted a similar response on June 13. (See Attach. G).



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On August 29, 1990, RAD sent letters to DLJ-BGF and EQUI-PAC essentially restating the findings both committees already had reached based on their own investigations. The RAD letter included a list of contributions that appeared excessive in light of the committees' affiliation and mistaken reporting, and noted the committees' cooperation in securing refunds. On October 4, 1990, both EQUI-PAC and DLJ-BGF responded to the RAD letter. (See Attach H) Both informed RAD of their new practice of indicating election designations on their contribution checks to avoid any future complications. Both EQUI-PAC and DLJ-BGF also specifically addressed each allegedly problematic contribution, indicating either that (1) a refund had been received, (2) a refund had been requested, (3) the other committee was seeking a refund, or (4) RAD information was incorrect (in such cases, supporting information was enclosed). The committees' letters enclosed copies of amended reports, refund requests, and refund checks.

In short, each and every one of the Commission's concerns was fully addressed and rectified through repeated best efforts of the committees. Therefore, the committees' treasurers were disheartened when, notwithstanding their frank, voluntary disclosure and good faith cooperation with the Commission, they received the Commission's March 25, 1991 MUR letters.

II. Good Faith Efforts and Compliance

As the foregoing description of events demonstrates, there were no knowing and willful violations by any party to this MUR. While neither DLJ-BGF nor EQUI-PAC filed an Amended Statement of Organization within ten days of learning of their affiliation, any delay was due only to an ignorance of Commission requirements and not because of any attempt to circumvent the Commission's rules. Based on their history as completely independent committees, after the merger of their connected organizations, neither EQUI-PAC nor DLJ-BGF operated any differently than in the past. Therefore, it never occurred to the committees, prior to their encounters with the Bentsen refund checks described above, that they should have amended their Statements of Organization. With this revelation, the committees filed Amended Statements of Organization and then voluntarily disclosed their affiliation and consequent instances of inadvertent excessive contributions.



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Furthermore, DLJ-BGF and EQUI-PAC voluntarily -- without prompting from the Commission -- conducted an internal review of their contribution histories and discovered excessive contributions resulting from their affiliation. This information was fully disclosed to the Commission and guidance was sought as to how to best clarify the situation. Refund checks were requested, received, and reported. Unfortunately, reliance placed on candidate committees' reports -- to determine proper application of designations or past contributions and their permissibility -- proved misplaced. Consequently DLJ-BGF and EQUI-PAC had to undertake further extensive research of records and corrective actions. Refunds were diligently sought for every penny of excessive refunds. To date nearly all refunds have been received and reported.

III. Conclusion

There is no evidence that any excessive contribution was made by EQUI-PAC or DLJ-BGF in a knowing or willful fashion. Upon learning of its obligation to do so, both EQUI-PAC and DLJ-BGF filed Amended Statements of Organization. Both DLJ-BGF and EQUI-PAC have incurred substantial legal and other costs in painstakingly reviewing their own records and Commission records, and in seeking guidance from the Commission, and requesting refunds from candidates. All of this was done with sincere and thorough efforts to satisfy the requirements of the Commission and to correct the public record.

Therefore, both DLJ-BGF and EQUI-PAC believe that, based on these extensive efforts and significant burdens and costs, this matter should be closed without any further action by the Commission and without the imposition of a civil penalty. At the

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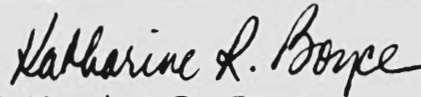
As of this date, only three refunds remain unpaid. Requests for refunds of contributions have been squarely put to Senators Chafee, Packwood and Riegle. As indicated by the enclosed letters EQUI-PAC has demonstrated that "best efforts" have been used to obtain these refunds, and therefore should be considerate in compliance with the Act. See 2 U.S.C. § 432i, 11 C.F.R. § 104.7a.

PATTON, BOGGS & BLOW

Mr. Lawrence M. Noble, Esq.  
April 19, 1991  
Page 8

least, DLJ-BGF and EQUI-PAC believe that a prompt and fair pre-probable cause conciliation should be reached with the Commission.

Respectfully submitted,



Katharine R. Boyce  
Counsel for EQUI-PAC and DLJ-BGF

KRB:scs

Enclosures

cc: Mr. Anthony F. Daddino, Treasurer  
Donaldson, Lufkin & Jenrette, Inc. --  
Better Government Fund

Mr. George A. Williams, Treasurer  
Equitable Financial Services  
Political Action Committee

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**DONALDSON, LUFKIN & JENRETTE**  
**BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

CC: MAB  
PC ✓

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

November 2, 1989

Richard Brown  
Rangel for Congress Committee  
2090 Allan Place, N.W.  
Washington, D.C. 20009

Dear Mr. Brown:

This is to confirm your recent telephone conversation with Julie Strandlie of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Life Assurance Society of the United States ("EQUIPAC").

DLJBGF and EQUIPAC have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election. It appears that the PACs inadvertently donated an aggregate of \$5,250 to Congressman Rangel's 1988 primary election campaign. (See attached FEC contribution records).

To avoid your committee's receipt of contributions that may be excessive, I would appreciate receiving the requested refund of \$250 as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Ms. Julia Strandlie, Patton, Boggs & Blow, 2550 M Street N.W., Washington, D.C. 20037.

If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. J. Daddino*

Anthony F. Daddino

1040361433

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

BCC: MAB  
PC

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

November 2, 1989

Ms. Susan Brodsky  
Friends of Max Baucus  
313 Third Street, N.E.  
Washington, D.C. 20002

Dear Ms. Brodsky:

This is to confirm your recent telephone conversation with Julie Strandlie of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Life Assurance Society of the United States ("EQUIPAC").

DLJBGF and EQUIPAC have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election. It appears that the PACs inadvertently donated an aggregate of \$5,500 to Senator Baucus' 1990 primary election campaign. (See attached FEC contribution records).

To avoid your committee's receipt of contributions that may be excessive, I would appreciate receiving the requested refund of \$500 as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Ms. Julia Strandlie, Patton, Boggs & Blow, 2550 M Street N.W., Washington, D.C. 20037.

If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. F. Daddino*

Anthony F. Daddino

104035404



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THE EQUITABLE  
POLITICAL  
ACTION COMMITTEE

---

December 21, 1989

Mr. Anthony Raymond  
Federal Election Commission  
999 E Street, NW  
Washington, D.C. 20463

Dear Mr. Raymond:

As Paul Camilleri and I discussed with you this morning, attached are amended Statements of Organization to reflect the affiliation of the Equitable Financial Services Companies Equi-Pac and the Donaldson Lufkin & Jenrette, Inc. Better Government Fund.

We look forward to meeting with you on January 18th.

Thank you for your consideration.

Sincerely,

George A. Williams  
Treasurer

1040561436

# STATEMENT OF ORGANIZATION

(See reverse side for instructions)

1. (a) NAME OF COMMITTEE IN FULL  (Check if name is changed) **EQUITABLE** 2. DATE **12/21/89**  
**FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)**  
 (b) Number and Street Address  (Check if address is changed) 3. FEC IDENTIFICATION NUMBER  
**787 Seventh Avenue - 41C** **C00161901**  
 (c) City, State and ZIP Code 4. IS THIS STATEMENT AN AMENDMENT  
**New York, NY 10019**  YES  NO

5. TYPE OF COMMITTEE (Check one)

(a) This committee is a principal campaign committee. (Complete the candidate information below.)

(b) This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate	Candidate Party Affiliation	Office Sought	State/District

(c) This committee supports/opposes only one candidate \_\_\_\_\_ and is NOT an authorized committee.  
 (name of candidate)

(d) This committee is a \_\_\_\_\_ committee of the \_\_\_\_\_ Party.  
 (National, State or subordinate) (Democratic, Republican, etc.)

(e) This committee is a separate segregated fund.

(f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee.

6. Name of Any Connected Organization or Affiliated Committee	Mailing Address and ZIP Code	Relationship
The Equitable Life Assurance Society of the United States	787 Seventh Avenue - 41C New York, NY 10019	Connected
Donaldson, Lufkin & Jenrette, Inc. (DLJ)	140 Broadway New York, NY 10005	Affiliated
DLJ Better Government Fund		

Type of Connected Organization  
 Corporation  Corporation w/o Capital Stock  Labor Organization  Membership Organization  Trade Association  Cooperative

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name	Mailing Address	Title or Position
Treasurer		

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee, and the name and address of any designated agent (e.g., assistant treasurer).

Full Name	Mailing Address	Title or Position
George A. Williams	787 Seventh Avenue 41C New York, NY 10019	Treasurer

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.	Mailing Address and ZIP Code
Chase Manhattan Bank	Avenue of the Americas at 52nd Street New York, NY 10019

I hereby certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

TYPE OR PRINT NAME OF TREASURER	SIGNATURE OF TREASURER	DATE
George A. Williams	<i>George A. Williams</i>	12/21/89

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. 5301. ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

71040361457

AMENDED

# STATEMENT OF ORGANIZATION

(See reverse side for instructions)

1 (a) NAME OF COMMITTEE IN FULL  (Check if name is changed)  
 Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

2. DATE  
12/21/89

(b) Number and Street Address  (Check if address is changed)  
140 Broadway

3. FEC IDENTIFICATION NUMBER  
C00103325

(c) City, State and ZIP Code  
New York, New York 10005

4. IS THIS STATEMENT AN AMENDMENT?  
 YES  NO

5. TYPE OF COMMITTEE (Check one)

- (a) This committee is a principal campaign committee. (Complete the candidate information below.)
  - (b) This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)
- | Name of Candidate | Candidate Party Affiliation | Office Sought | State/District |
|-------------------|-----------------------------|---------------|----------------|
|                   |                             |               |                |
- (c) This committee supports/opposes only one candidate \_\_\_\_\_ and is NOT an authorized committee (name of candidate)
  - (d) This committee is a \_\_\_\_\_ committee of the \_\_\_\_\_ Party (National, State or subordinate) (Democratic, Republican, etc.)
  - (e) This committee is a separate segregated fund.
  - (f) This committee supports/opposes more than one Federal candidate and is NOT a separate segregated fund or a party committee

6. Name of Any Connected Organization or Affiliated Committee	Mailing Address and ZIP Code	Relationship
Donaldson, Lufkin & Jenrette, Inc. (DLJ)	140 Broadway New York, New York 10005	Connected
The Equitable Life Assurance Society of the United States EQUI-PAC	787 Seventh Avenue, #41C New York, New York 10019	Parent Corp. of DLJ

Type of Connected Organization

Corporation  Corporation w/o Capital Stock  Labor Organization  Membership Organization  Trade Association  Cooperative

7. Custodian of Records: Identify by name, address (phone number - optional) and position of the person in possession of committee books and records

Full Name	Mailing Address	Title or Position
Treasurer		

8. Treasurer: List the name and address (phone number - optional) of the treasurer of the committee, and the name and address of any designee agent (e.g., assistant treasurer)

Full Name	Mailing Address	Title or Position
Anthony F. Daddino	140 Broadway New York, NY 10005	Treasurer
Charles J. Hendrickson	140 Broadway New York, NY 10005	Asst. Treasurer

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds

Name of Bank, Depository, etc.	Mailing Address and ZIP Code
Chemical Bank	52 Broadway, New York, NY 10004
Alliance Capital Reserve	P.O. Box 1520, Secaucus, NJ 07094

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete

TYPE OR PRINT NAME OF TREASURER Anthony F. Daddino	SIGNATURE OF TREASURER <i>A. F. Daddino</i>	DATE 12/21/89
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NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. 5301. ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS

For further information contact  
Federal Election Commission  
Toll-free 800-424-9530  
Local 202-376-3120

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**The EQUITABLE**  
Financial Companies

**George A. Williams, CPA**  
Vice President  
and Deputy Auditor

February 22, 1990

Mr. Anthony Raymond  
Federal Election Commission  
999 "E" Street N.W.  
Washington, D. C.

Dear Mr. Raymond:

This letter responds to your request that we provide you with the background in connection with EQUI-PAC and DLJ Better Government Fund and their managements in light of the purchase of Donaldson, Lufkin and Jenrette by Equitable.

The Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of Equitable officials. Accordingly, Equitable and DLJ do not share operational identities. Each has its own internal management, office locations, business plans, and, as is relevant to this issue, political action goals and objectives.

A review of the records shows that at the time of the merger, neither PAC gave any consideration to a need for affiliation. This is consistent with the philosophy of the agreement between DLJ and Equitable; no other staff function or operational area was combined or merged. Both PACs proceeded to operate independently.

In 1987, an informal procedure was started for the DLJ PAC treasurer to notify The Equitable office of its intended contributions to federal candidates. At the same time, it became apparent that DLJ's \$1,500 contribution to the Bentsen Campaign exceeded the combined limitation and a refund request was made. Correspondence between DLJ personnel and Bentsen Campaign personnel as to the reason for the refund ultimately brought to light, in 1989, that the affiliation of the DLJ and Equitable PACs had not been reported to the FEC. In late 1989, DLJ and Equitable together notified the FEC that they wished to amend the Statements of Organization.

**The Equitable Life Assurance Society**  
787 Seventh Avenue  
New York, N. Y. 10019

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Mr. Anthony Raymond  
February 22, 1990  
Page 2

Currently, we have strengthened the coordination between the PACs such that DLJ's planned contributions are formally reported to the Treasurer of EQUI-PAC before the DLJ contribution is made. Administrative systems have been reviewed to capture and monitor contributions from both PACs to avoid the recurrence of this situation.

We appreciate the help and consideration of the FEC with respect to this matter and would be pleased to supply any additional information you may need.

Very truly yours,

*A. F. Daddino*  
Anthony F. Daddino  
Treasurer  
DLJ Better Government Fund

*George A. Williams*  
George A. Williams  
Treasurer  
EQUI-PAC

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THE EQUITABLE  
POLITICAL  
ACTION COMMITTEE

April 16, 1990

Mr. Anthony Raymond  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Dear Mr. Raymond:

Re: Identification Number C00161901

This letter is in response to your letter to me of March 28, 1990. In that letter, you indicated a number of candidates to whom excessive campaign contributions were made by The Equitable and/or Donaldson, Lufkin & Jenrette (DLJ) Political Action Committees. This letter describes the actions taken by Equi-Pac to correct certain items and includes all documentation such as amendments to prior filings and correspondence with candidate committees. I am aware that a separate letter has been prepared to describe the actions taken by the DLJ Pac. We believe that, together, these letters describe the actions taken to resolve each of the questions you raised.

Following is a summary of the actions we have taken:

- Max Baucus - Our research indicates that Equi-Pac contributed undesignated contributions to the Max Baucus campaign. The campaign committee correctly applied the funds to the next upcoming election and did not exceed the \$5,000 limitation. However, the Equi-Pac June 30, 1989 FEC filing incorrectly reported a \$3,500 contribution as a primary campaign contribution. As such, we have prepared an amendment (attached) to that filing to correctly report that contribution for the general campaign. (Additionally, in May of 1989, DLJ requested and received a \$500 refund from the Baucus campaign due to an apparent excessive contribution. This has been separately reported to the FEC by DLJ.)
- Pete Dawkins - While this candidate was not identified in your letter of March 28th, our records indicate a situation similar to the Baucus situation described above. As such, Equi-Pac has prepared an amendment (attached) to the December 31, 1987 FEC filing to correctly report the October 7, 1987 (\$1,000) contribution as a primary campaign contribution.

Mr. Anthony Raymond  
April 16, 1990  
Page 2

David Pryor - This is also similar to the Baucus situation described above. As such, Equi-Pac has prepared an amendment (attached) to the June 30, 1989 FEC filing to correctly report the June 23, 1989 (\$1,000) contribution as a general campaign contribution.

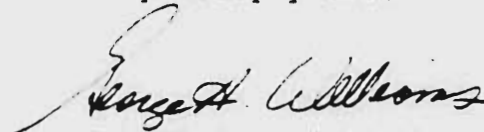
Thad Cochran - We have contacted the Cochran Committee in our research of the apparent excessive contribution. They confirmed that our contributions, in fact, went to two separate committees maintained by Cochran. One is a political action committee for Thad Cochran; the other is the Citizens for Cochran Campaign. These are separate organizations and have been reported separately by the Cochran Committees and Equi-Pac. Attached is a letter received from the Cochran Campaign Committee as well as copies of the respective FEC filings made by that committee.

John Chafee - This is also similar to the Baucus situation described above. As such, Equi-Pac has prepared amendments (attached) to the June 30, 1986, November 24, 1986 and June 30, 1987 FEC filings to correctly report the May 30, 1986 (\$2,000), November 20, 1986 (\$2,000) and May 22, 1987 (\$1,000) contributions as primary campaign contributions.

Bob Packwood - This is similar to the Baucus situation described above. As such, Equi-Pac has prepared amendments (attached) to the December 31, 1984 and June 30, 1985 FEC filings to correctly report the November 12, 1984 (\$1,000), January 6, 1985 (\$1,000) and January 31, 1985 (\$3,000) contributions as primary campaign contributions.

Mr. Raymond, we hope that we have now corrected all situations identified by your review and have provided satisfactory documentation to you.

Very truly yours,



George A. Williams  
Treasurer, Equi-Pac

Attachments

cc: Mr. A. F. Daddino, Treasurer  
DLJ Better Government Fund

4 4 4 4 6 4 0 4 4 4



For Other Than An Authorized Committee  
Summary Page

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (in full) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>787 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

4. TYPE OF REPORT

a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20  June 20  October 20  
 March 20  July 20  November 20  
 April 20  August 20  December 20  
 May 20  September 20  January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>1/1/89</u> through <u>6/30/89</u>		
6. a) Cash on Hand January 1, 19__		\$
b) Cash on Hand at Beginning of Reporting Period	\$	
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3122
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
**GEORGE A. WILLIAMS**

Signature of Treasurer *George A. Williams* Date **4/12/90**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. § 4373.

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for campaign purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This
Senator Max Baucus (D-MT) Friends of Max Baucus P.O. Box 2891 Washington, D.C. 20013	Contribution previously reported as Primary. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/5/89	\$3,500.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This
Senator David Pryor (D-AR) David Pryor for U.S. Senator P.O. Box 3334 Little Rock, AR 72203	Contribution previously reported as Primary. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	\$1,000.00 (of \$4,000)
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

11040061446

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period last page this line number only .....

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Summary Page:

USE FOR MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (Full) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported  <b>787 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER  <b>C00161901</b>
CITY, STATE and ZIP CODE  <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multicard date <input type="checkbox"/> committee DURING THIS Reporting Period on _____ date

4. TYPE OF REPORT

a.  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election: \_\_\_\_\_  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
\_\_\_\_\_ in the State of \_\_\_\_\_

b. Is this Report an Amendment?  YES  NO

SUMMARY

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<u>1/1/87</u> through <u>12/31/87</u>		
6. a.	Cash on Hand January 1, 1987		\$
b.	Cash on Hand at Beginning of Reporting Period	\$	
c.	Total Receipts from Line 18	\$	\$
d.	Subtotal (add Lines 6 b) and 6 c) for Column A and Lines 6 a) and 6 c) for Column B	\$	\$
7.	Total Disbursements from Line 28	\$	\$
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d))	\$	\$
9.	Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	
10.	Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer:

**George A. Williams**

Signature of Treasurer:

*George A. Williams*

Date:

**4/10/90**

If this information is false, incomplete, or otherwise incorrect, the person filing this Report to the penalties of 2 U.S.C. 1901.

FEC FORM 10X

SCHEDULE B  
Amendment

ITEMIZED DISBURSEMENTS

Use separate schedules for each category of the Detailed Summary page FOR LINE NUMBER PAGE OF

Any information copied from such Reports and statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Pete Dawkins (R-NJ) Pete Dawkins for U.S. Senate P.O. Box 432 Sea Girt NJ 08750	Contribution previously reported as General. Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/07/87	\$1,000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

2104036 + 445

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

SUBTOTAL Disbursements This Page (cents)

TOTAL Disbursements (by number of)

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
Summary Page

USE FIC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (Initial) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>		2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>787 Seventh Avenue - 41C</b>		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ date
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>		

4. TYPE OF REPORT

a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_  
Type of Election

election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>1/1/89</u> through <u>6/30/89</u>		
6. a) Cash on Hand January 1, '89 _____		\$
b) Cash on Hand at Beginning of Reporting Period	\$	
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6.b) and 6.c) for Column A and Lines 6.a) and 6.c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6.d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

For further information contact:  
Federal Election Commission  
999 E Street, N.W.  
Washington, DC 20463  
Toll Free 800-424-9500  
Local 202-375-3100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
**GEORGE A. WILLIAMS**  
Signature of Treasurer *George A. Williams* Date 4/12/89

NOTE: Submission of false, erroneous, or incomplete information may subject the person filing this Report to the penalties of 2 U.S.C. 1970c.



Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Max Baucus (D-MT) Friends of Max Baucus P.O. Box 1891 Washington, D.C. 20013	Contribution previously reported as Primary. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/5/89	\$3,500.00
B. Full Name, Mailing Address and ZIP Code Senator David Pryor (D-AR) David Pryor for U.S. Senator P.O. Box 3334 Little Rock, AR 72203	Contribution previously reported as Primary. Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	6/23/89	\$1,000.00 (of \$4,000)
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

7 1 0 4 0 3 6 4 3 0

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

SUBTOTAL of Disbursements This Page (opt. only) .....

TOTAL This Period (last page this line number only) .....

STATEMENT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
Summary Page 1

USE FIG. MAIL (REG. LABEL)  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (Include) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>		2. FED. IDENTIFICATION NUMBER  <b>000161901</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported  <b>137 Seventh Avenue - 41C</b>		
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ date _____

4. TYPE OF REPORT

a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election \_\_\_\_\_  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election \_\_\_\_\_  
in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Covering Period <u>4/1/86</u> through <u>6/30/86</u>		
a) Cash on Hand January 1, 1986		\$
b) Cash on Hand at Beginning of Reporting Period	\$	
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6 b) and 6 c) for Column A and Lines 6 a) and 6 c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (itemize on Schedule C and/or Schedule D)	\$	

For further information contact:  
Federal Election Commission  
999 E Street, N.W.  
Washington, DC 20545  
Toll Free 800-424-9500  
Local 202-576-3100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer:

GEORGE H. WILLIAMS

Signature of Treasurer:

*George H. Williams*

Date:

4-12-90

NOTE: Submission of false or incomplete information may subject the person signing this Report to the penalties of 18 U.S.C. 1001.

FED 5024 (8-87)

1 4 0 3 6 + 4 5 1

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement previously reported as General.	Contribution Date (month, day, year)	Amount of Each Disbursement This Period
Senator John Chaffee Re-elect John Chaffee Committee P.O. Box 623 Providence RI 02901	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	5/30/86	\$2000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	Date (month, day, year)	Amount of Each Disbursement This Period

104061402

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

(Other (specify))

SUBTOTAL of Disbursements This Page (column 4)

TOTAL This Period (all disbursements) (column 4)

USE FOR MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (Full) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>137 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ Total _____

4. TYPE OF REPORT

a.  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election: \_\_\_\_\_  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
\_\_\_\_\_ in the State of \_\_\_\_\_

b. Is this Report an Amendment?  YES  NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>10/1/86</u> through <u>11/24/86</u>		
6. a. Cash on Hand January 1, 19____		\$
b. Cash on Hand at Beginning of Reporting Period	\$	
c. Total Receipts (from Line 18)	\$	\$
d. Subtotal (add Lines 6 b. and 6 c. for Column A and Lines 6 a. and 6 c. for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d.)	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (itemize on Schedule C and/or Schedule D)	\$	

For further information contact:  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20460  
Tel. Free 800-424-9530  
Local 202-375-3100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer

**George A. Williams**

Signature of Treasurer

*George A. Williams*

Date

**4/12/90**

NOTE: Submission of this report does not constitute an admission of liability. Information may be subject to the person signing this Report to the penalties of 2 U.S.C. 1970c-4.

Information reported from such Reports and statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than for the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Previously reported as General.	Date (month, day, year)	Amount of Each Disbursement This Period
Senator John Chaffee Re-elect John Chaffee Committee P.O. Box 623 Providence RI 02901	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/20/86	\$2000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

TOTAL Disbursements This Reporting Period

TOTAL Disbursements This Reporting Period



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
Summary Page 1

USE PLG MAILING LABEL OR TYPE ON PRINT

NAME OF COMMITTEE (Print) <b>EQUITABLE FINANCIAL SERVICES                  POLITICAL ACTION COMMITTEE (Equi-Pac)</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>137 Seventh Avenue - 41C</b>	2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>	3. <input type="checkbox"/> This committee qualified as a multi-candidate committee DURING THIS Reporting Period on _____ date.

### 4. TYPE OF REPORT

April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
 in the State of \_\_\_\_\_

5. Is this Report an Amendment?     YES     NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Covering Period <u>1/1/87</u> through <u>6/30/87</u>		
a. Cash on hand January 1, 19__		\$
b. Cash on hand at Beginning of Reporting Period	\$	
c. Total Receipts (from Line 18)	\$	\$
d. Subtotal (add Lines 6 b, and 6 c for Column A and Lines 6 a, and 6 c for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on hand at Close of Reporting Period (subtract Line 7 from Line 6 d)	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (itemize on Schedule C and/or Schedule D)	\$	

For further information contact:  
 Federal Election Commission  
 999 E Street, NW  
 Washington, DC 20463  
 Toll Free 800-424-9530  
 Local 202-376-3100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Name of Print Name of Treasurer:  
**George A. Williams**

Signature of Treasurer:  
*George A. Williams*

Date:  
**4/12/90**

FEC Form 278 is to be prepared on computer. Information may supplement the person filing this Report to the benefit of a U.S. Candidate.

710405455

No limitation applied from such Reports and statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
**Equitable Financial Services Political Action Committee (EQUI-PAC)**

6  
4  
3  
6  
4  
0  
3  
6  
1  
0  
4  
0  
3  
6

A Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
Senator John Chaffee Re-elect John Chaffee Committee P.O. Box 623 Providence, RI 02901	previously reported as General. Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))	5/22/87	\$1000.00 (of \$5000.00)
B Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))		
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))		
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))		
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))		
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General (Other (specify))		

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

(Other (specify))

SUBTOTAL of Disbursements This Page (dollars)

TOTAL of Disbursements This Page (dollars)

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Summary Page:

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (Include EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac))	2. FEC IDENTIFICATION NUMBER C00161901
ADDRESS (number and street) (Check if different than previously reported) 737 Seventh Avenue - 410	3. This committee qualified as a multi-candidate committee DURING THIS Reporting Period on _____ date.
CITY, STATE and ZIP CODE New York, New York 10019	

4. TYPE OF REPORT

a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election: \_\_\_\_\_  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
\_\_\_\_\_ in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>12/17/64</u> through <u>12/31/64</u>		
6. a) Cash on Hand January 1, 19 <u>65</u>		\$
b) Cash on Hand at Beginning of Reporting Period	\$	
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6 b) and 6 c) for Column A and Lines 6 a) and 6 c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6 d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize on Schedule C and/or Schedule D)	\$	
10. Debts and Obligations Owed BY the Committee (itemize on Schedule C and/or Schedule D)	\$	

For further information contact:  
Federal Election Commission  
999 E Street, N.W.  
Washington, DC 20463  
Toll Free 800-424-9520  
Local 202-376-3100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Name or Print Name of Treasurer:  
GEORGE A WILLIAMS

Signature of Treasurer:  
George A. Williams

Date:  
4/12/90

WARNING: Submission of this report without complete information may subject the person signing this Report to the penalties under 52 USC 30410.

SCHEDULE B  
Amendment

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page PAGE 1 FOR LINE NUMBER

Information supplied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement previously reported as General.	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Bob Packwood Re-elect Packwood Committee Benjamin Franklin Station P.O. Box 14086 Washington DC 20044	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/12/84	\$1000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

71040561403

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify) \_\_\_\_\_

TOTAL

TOTAL

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

NAME OF COMMITTEE (Include) <b>EQUITABLE FINANCIAL SERVICES POLITICAL ACTION COMMITTEE (Equi-Pac)</b>		2. FEC IDENTIFICATION NUMBER <b>C00161901</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>137 Seventh Avenue - 41C</b>		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ date _____
CITY, STATE and ZIP CODE <b>New York, New York 10019</b>		

4. TYPE OF REPORT

a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ Type of Election: \_\_\_\_\_  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
 in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>1/1/85</u> through <u>6/30/85</u>		
6. a) Cash on Hand January 1, '85 _____		\$ _____
b) Cash on Hand at Beginning of Reporting Period _____	\$ _____	
c) Total Receipts (from Line 18) _____	\$ _____	\$ _____
d) Subtotal (add Lines 6.b) and 6.c) for Column A and Lines 6.a) and 6.c) for Column B) _____	\$ _____	\$ _____
7. Total Disbursements (from Line 28) _____	\$ _____	\$ _____
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6.d)) _____	\$ _____	\$ _____
9. Debts and Obligations Owed TO the Committee (itemize on Schedule C and/or Schedule D) _____	\$ _____	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20460 Toll Free 800-424-9500 Local 202-375-3120
10. Debts and Obligations Owed BY the Committee (itemize on Schedule C and/or Schedule D) _____	\$ _____	

I, \_\_\_\_\_, have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: GEORGE H. WILLIAMS Date: 4/12/90

Signature of Treasurer: George H. Williams

\* To obtain copies of this pamphlet or complete information may subject the person doing this Report to the penalties of 2 U.S.C. § 4377.

71040051409



SCHEDULE B  
Amendment

ITEMIZED DISBURSEMENTS

Separate schedules for each category of the Detailed Summary Page FOR LINE NUMBER

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for comparable purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
Equitable Financial Services Political Action Committee (EQUI-PAC)

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as <u>General.</u>	Date (month, day, year)	Amount of Each Disbursement This Period
Senator Bob Packwood Re-elect Packwood Committee Benjamin Franklin Station P.O. Box 14086 Washington DC 20044	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/16/85	\$1000.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Contribution previously reported as <u>general</u>	Date (month, day, year)	Amount of Each Disbursement This Period
" " " " " "	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/31/85	\$3000.00 (of 4000.00)
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
" " " " " "	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
" " " " " "	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
" " " " " "	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
" " " " " "	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

Schedule B Amendment:

This amendment is to correct an FEC reporting error on Schedule B. The error involved an incorrect designation of a candidate contribution which resulted in a total designated amount in excess of the \$5,000.00 limitation. However, the actual contribution to the candidate was undesignated.

Our research indicates that the candidate's committee appropriately applied the contribution to the next upcoming election without exceeding the \$5,000.00 limitation.

Other (specify)

STATE OF OREGON

DATE OF AMENDMENT

# The Senate Victory Fund

Post Office Box 1331, Jackson, Mississippi 39225-1331

Thad Cochran  
U. S. Senator  
Honorary Chairman

James Y. Secker  
Chairman

B. Keith Hill, CPA  
Treasurer

## MEMORANDUM

TO: Mr. George Williams, Treasurer  
Equitable Life Assurance Society PAC

FROM: Keith Hill, Treasurer *KH*  
Citizens for Cochran (Authorized Committee for  
Senator Thad Cochran)  
The Senate Victory Fund, PAC

DATE: 4.10.90

RE: Contributions

-----

As per a telephone conversation with Ms Kim Kynoch, I am forwarding to you the Schedule A that relates to the contributions in question.

Your contribution for \$3,000 dated March 4, 1988 was received by The Senate Victory Fund, PAC March 31, 1988 and designated for the Primary election.

Your contribution for \$3,000 dated June 23, 1989 was received by Citizens for Cochran July 26, 1989 and designated for the Primary election.

Should you need any additional information, please feel free to contact me at 601/969-0100.

cc: Citizens for Cochran  
FEC File

2104061451

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Citizens for Cochran

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	AMOUNT OF RECEIPT:
Dun & Bradstreet PAC Dun & Bradstreet 800 Maryland Avenue, SW, 02 Washington, DC 20024 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 2,025.00	DATE: 10/10/89 1,000.00
FULL NAME AND MAILING ADDRESS: Equitable Life Assurance Society PAC-EQUI-PA 1700 Pennsylvania Avenue, NW Suite 525 Washington, DC 20008 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 3,000.00	DATE: 7/28/89 2,000.00
FULL NAME AND MAILING ADDRESS: Federal Express PAC 2009 Corporate Avenue Memphis, TN 38138 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 0,000.00	DATE: 10/19/89 4,000.00
FULL NAME AND MAILING ADDRESS: Fort PAC-The Fertilizer Institute 101 Second Street, NE Washington, DC 20002 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 500.00	DATE: 12/04/89 500.00
FULL NAME AND MAILING ADDRESS: Fisheries PAC 2000 M Street, NW Suite 583 Washington, DC 20036 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 1,000.00	DATE: 11/08/89 1,000.00
FULL NAME AND MAILING ADDRESS: Floor Public Affairs Committee 3333 Nicholson Drive Irvine, CA 92730 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 1,525.00	DATE: 12/27/89 1,000.00
FULL NAME AND MAILING ADDRESS: FMC Corporation Good Gov't. Program 200 East Randolph Drive Chicago, IL 60601 RECEIPT FOR: Primary	N/A OCCUPATION: N/A AGGREGATE YEAR-TO-DATE: 3,000.00	DATE: 10/03/89 1,000.00
SUBTOTAL of Receipts This Page		11,500.00

21040351402

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information carried from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

The Senate Victory Fund, PAC

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Credit Union Legislative Action Council 805 Fifteenth Street, NW Suite 300 Washington, D. C. 20005-2207	N/A	02/26/88	2,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 2,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
National Council of Farmer Cooperatives Co-op/PAC 50 F Street, NW, Suite 900 Washington, D.C. 20001	N/A	03/08/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Conagra Good Government Association One Central Park Plaza Omaha, NE 68102	N/A	03/08/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Minn-Dak Farmers Cooperative Political Action Committee (MDFPAC) Box 10 Wahpeton, ND 58075	N/A	03/16/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Equitable Life Assurance Society Political Action Committee (EQUI-PAC) 1700 Pennsylvania Ave, Suite 525 NW Washington, D.C. 20006	N/A	03/31/88	3,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 3,000.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Masters, Mates and Pilots Political Contribution Fund 700 Maritime Blvd. Linthicum Heights, MD 21090	N/A	03/31/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	

SUBTOTAL of Receipts This Page (optional)	9,000.00
TOTAL This Period (last page this line number only)	36,750.00

21040361433

# The Senate Victory Fund

Post Office Box 1331, Jackson, Mississippi 39225-1331

Thad Cochran  
U. S. Senator  
Honorary Chairman

James Y. Becker  
Chairman  
B. Keith Hill, CPA  
Treasurer

## MEMORANDUM

TO: Mr. George Williams, Treasurer  
Equitable Life Assurance Society PAC

FROM: Keith Hill, Treasurer *KH*  
Citizens for Cochran (Authorized Committee for  
Senator Thad Cochran)  
The Senate Victory Fund, PAC

DATE: 4.10.90

RE: Contributions

-----

As per a telephone conversation with Ms Kim Kynoch, I am forwarding to you the Schedule A that relates to the contributions in question.

Your contribution for \$3,000 dated March 4, 1988 was received by The Senate Victory Fund, PAC March 31, 1988 and designated for the Primary election.

Your contribution for \$3,000 dated June 23, 1989 was received by Citizens for Cochran July 26, 1989 and designated for the Primary election.

Should you need any additional information, please feel free to contact me at 601/969-0100.

cc: Citizens for Cochran  
FEC File

406044



Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Citizens for Cochran

71040561460

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
Dun & Bradstreet PAC Dun & Bradstreet 800 Maryland Avenue, SW #2 Washington, DC 20024	N/A OCCUPATION: N/A	10/18/89	1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	2,825.00	

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
Equitable Life Assurance Society PAC-EQUI-PA 1700 Pennsylvania Avenue, NW Suite 525 Washington, DC 20008	N/A OCCUPATION: N/A	7/28/89	2,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	3,000.00	

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
Federal Express PAC 2005 Corporate Avenue Memphis, TN 38138	N/A OCCUPATION: N/A	10/19/89	4,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	6,000.00	

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
Fert PAC-The Fertilizer Institute 131 Second Street, NE Washington, DC 20002	N/A OCCUPATION: N/A	12/06/89	100.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	100.00	

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
Fisheries PAC 2000 M Street, NW Suite 500 Washington, DC 20036	N/A OCCUPATION: N/A	11/08/89	1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	1,000.00	

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
Fluor Public Affairs Committee 3333 Michelson Drive Irvine, CA 92730	N/A OCCUPATION: N/A	12/27/89	1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	1,825.00	

FULL NAME AND MAILING ADDRESS:	NAME OF EMPLOYER:	DATE:	AMOUNT OF RECEIPT:
FMC Corporation Good Gov't. Program 200 East Randolph Drive Chicago, IL 60601	N/A OCCUPATION: N/A	10/03/89	1,000.00
RECEIPT FOR: Primary	AGGREGATE YEAR-TO-DATE:	3,000.00	

SUBTOTAL of Receipts This Page ..... 11,500.00

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 4 OF 4  
FOR LINE NUMBER 11C

Any information entered from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for campaign purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

The Senate Victory Fund, PAC

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Credit Union Legislative Action Council 805 Fifteenth Street, NW Suite 300 Washington, D. C. 20005-2207	N/A	02/26/88	2,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 2,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
National Council of Farmer Cooperatives Co-op/PAC 50 F Street, NW, Suite 900 Washington, D.C. 20001	N/A	03/08/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Conagra Good Government Association One Central Park Plaza Omaha, NE 68102	N/A	03/08/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Minn-Dak Farmers Cooperative Political Action Committee (MDFPAC) Box 10 Wabaton, ND 58075	N/A	03/16/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Equitable Life Assurance Society Political Action Committee (EQUI-PAC) 1700 Pennsylvania Ave. Suite 525 NW Washington, D.C. 20006	N/A	03/31/88	3,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 3,000.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Masters, Mates and Pilots Political Contribution Fund 700 Maritime Blvd. Linthicum Heights, MD 21090	N/A	03/31/88	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$	

SUBTOTAL of Receipts This Page (optional) ..... 9,000.00  
TOTAL This Period (last page this line number only) ..... 36,750.00

2104056+406

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E

**DONALDSON, LUFKIN & JENRETTE**  
**BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

April 16, 1990

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Identification Number: C00103325

Dear Mr. Raymond:

This letter is in response to your correspondence of March 28, 1990 regarding the Commission's preliminary review of Donaldson, Lufkin & Jenrette Better Government Fund ("DLJ") and Equitable Financial Services ("Equi-Pac") reports.

The PACs understand that the Commission's preliminary review focuses on several reports which disclose contributions appearing to exceed the limitation on two affiliated political action committees from together making contributions to candidates for federal office in excess of \$5,000 per election. 2 U.S.C. Sec. 441a(a)(5), 11 C.F.R. Sec. 110.3.

Last fall, DLJ thoroughly reviewed DLJ and Equi-Pac reports dating back to the DLJ/Equitable merger to ensure that the combined contributions made by the two PACs met Commission requirements. DLJ discovered five instances where either DLJ or Equi-Pac should seek refunds and amend various previously filed reports. DLJ immediately requested, received and reported refunds from candidates Bentsen, Baucus and Rangel (see attached Schedule A reports previously filed with DLJ's 1989 Year-End Report). Although DLJ reported these refunds, it did not file amendments to its originally filed Schedule B forms to reflect correctly the election for which DLJ contribution was made to Bentsen, Baucus and Rangel, respectively. Attached herewith are the corrected Schedule B forms with Summary Pages indicating the particular reports being amended.

As to the two remaining candidates, Moynihan and Riegle, DLJ and Equi-Pac agreed that Equi-Pac would contact those campaign

110403325

Mr. Anthony D. Raymond  
April 16, 1990  
Page 2

committees to request appropriate refunds. When Equi-Pac contacted the two committees each suggested that it would be simplest for it to refund to DLJ the contribution made by DLJ. Therefore, DLJ has sent the attached letter confirming the refund requests. When DLJ receives the contribution refunds, it will report the refunds in its next scheduled quarterly report. Attached to this letter are amendments to the original Schedule B forms to reflect correctly the election for which each contribution is made.

7 1 0 4 0 0 6 + 4 0 9

The Commission's letter also inquired about contributions made by the PACs to eight other candidates.<sup>1</sup> While it may appear from PAC reports that these candidates received excessive contributions from the two PACs, in fact these contributions were just reported incorrectly by the PAC. While both PACs reported most of their contributions as given for the general election, none were designated as such in writing as encouraged in 11 C.F.R. Sec. 110.2(b)(4). As such undesignated contributions were to be applied toward the next election after the contributions were made, 11 C.F.R. Sec. 110.2(b)(ii), DLJ reviewed the candidates reports to see if the candidate committees had reported these contributions for the proper elections. Discrepancies existed where contributions used and reported by candidate committees for primary elections were reported by DLJ and Equi-Pac as general election contributions (see attached chart). The facts are that all contributions made by DLJ and Equi-Pac to these candidates were lawfully given by the PACs and lawfully reported by the candidates; DLJ and Equi-Pac simply incorrectly reported the contributions. With submission of the enclosed Schedule B amendments correctly reporting these contributions, DLJ should be in compliance with Commission's requirements.<sup>2</sup>

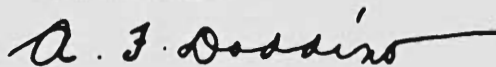
- 
- 1 St. Germaine, Pryor, Cochran, Chafee, Cranston, Packwood, Wirth, Lieberman. DLJ is only addressing instances where both committees made contributions to the candidates. As Equi-Pac alone contributed to Pryor, Cochran, Chafee and Packwood, it will address these contributions in a separate letter. (See enclosed.)
  - 2 Equi-Pac will file an amended Schedule B. (See enclosed.)



Mr. Anthony D. Raymond  
April 16, 1990  
Page 3

To ensure full compliance in the future, DLJ will designate the use of contributions on its contribution checks and reports, as encouraged by the Commission's regulations. Should you have any further questions, please do not hesitate to contact me. We will address any concerns promptly.

Sincerely,



Anthony F. Daddino  
Treasurer

AFD:bdm  
Attachments

cc: George Williams, w/Attachments  
Treasurer, Equi-Pac

1154036440

MODULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 1 OF 1  
FORM 1042-88  
11

All information received from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for any other purpose other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (or Fund)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

A Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other Use For: <b>Stip Payment Issued</b>	Occupation: Aggregate Year-to-Date: <b>\$ 500</b>	09/22/89	500
Brad Dye Campaign Fund PO Box 22845 Jackson, Mississippi 39225-2848 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other Use For: <b>Stip Payment Issued</b>	Occupation: Aggregate Year-to-Date: <b>\$ 2,000</b>	10/17/89	2,000
Friends of Robert Janiszewski PO Box 655 North Bergen, NJ 07047 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other Use For: <b>Stip Payment Issued</b>	Occupation: Aggregate Year-to-Date: <b>\$ 2,500</b>	10/17/89	2,500
Charles B. Poppel PO Box 5577, Manhattanville Station 65 West 125th. St. New York, NY 10027 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other Use For: <b>Contribution Refund</b>	Occupation: Aggregate Year-to-Date: <b>\$ 250</b>	11/15/89	250
Friends of Max Baucus 313 Third St., NE Washington, DC 20002 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other Use For: <b>Contribution Refund</b>	Occupation: Aggregate Year-to-Date: <b>\$ 500</b>	11/15/89	500
Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208 Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other Use For: <b>Contribution Refund</b>	Occupation: Aggregate Year-to-Date: <b>\$ 1,500</b>	11/21/89	1,500
G Full Name, Mailing Address and ZIP Code Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other Use For:	Name of Employer: Occupation: Aggregate Year-to-Date: <b>\$</b>	Date (month, day, year):	Amount of Cash Received This Period:
<b>SUBTOTAL of Receipts This Period (optional)</b>			<b>7,250</b>
<b>TOTAL This Period (fill in page and line number only)</b>			<b>7,250</b>

1042-88-1047

TR

All information reported from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE in Full

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

12/11/76  
 10  
 9  
 8  
 7  
 6  
 5  
 4  
 3  
 2  
 1

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Bill Wampler 412 1st Street SE Suite 40 Washington, DC 20003 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Stop Payment Issued	Occupation	09/22/89	500
		Aggregate Year-to-Date > \$ 500	
B. Full Name, Mailing Address and ZIP Code Brad Bye Campaign Fund PO Box 22848 Jackson, Mississippi 39225-2848 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Stop Payment Issued	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
		10/17/89	2,000
		Aggregate Year-to-Date > \$ 2,000	
C. Full Name, Mailing Address and ZIP Code Friends of Robert Janiszewski O Box 655 North Bergen, NJ 07047 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Stop Payment Issued	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
		10/17/89	2,500
		Aggregate Year-to-Date > \$ 2,500	
D. Full Name, Mailing Address and ZIP Code Charles B. Rangel O Box 5577, Manhattanville Station 65 West 125th. St. New York, NY 10027 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Contribution Refund	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
		1/15/89	250
		Aggregate Year-to-Date > \$ 250	
E. Full Name, Mailing Address and ZIP Code Friends of Max Baucus 313 Third St., NE Washington, DC 20002 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Contribution Refund	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
		1/15/89	500
		Aggregate Year-to-Date > \$ 500	
F. Full Name, Mailing Address and ZIP Code Sen. Bentsen Election Committee PO Box 61202 Houston, Texas 77208 Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Contribution Refund	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
		1/21/89	1,500
		Aggregate Year-to-Date > \$ 1,500	
G. Full Name, Mailing Address and ZIP Code  Receipt For <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
		Aggregate Year-to-Date > \$	
SUBTOTAL of Receipts This Page (optional)			7,250
TOTAL This Period (last page this line number only)			7,250

TR

RULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1 FOR LINE NUMBER 16

Information reported from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions, or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (in Full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund 1989 YEAR END

Table with 4 columns: Name of Employer, Date (month, day, year), Amount of Each Receipt this Period, and Receipt For. Rows include Bill Wampler, Brad Dye Campaign Fund, Friends of Robert Janiszewski, Charles B. Rangel, Friends of Max Baucus, and Sen. Bentsen Election Committee.

SUBTOTAL of Receipts This Period (optional) 7,250
TOTAL This Period (last page this line number only) 7,250

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

(a)  April 15 Quarterly Report 1988

- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

Monthly Report Due On:

- February 20
- June 20
- October 20
- March 20
- July 20
- November 20
- April 20
- August 20
- December 20
- May 20
- September 20
- January 31

- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	\$
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony Daddino

Signature of Treasurer

Date  
 April 1, 1988

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

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FEC FORM 3X

(revised 4/87)

210403614/4



SCHEDULE B

ITEMIZED DISBURSEMENTS

Separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

110406470

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Joe Lieberman for Senate	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/20/88	(\$2,500)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	(\$2,500)
TOTAL This Period (last page this line number only)	(\$2,500)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

(a)  April 15 Quarterly Report

July 15 Quarterly Report 1989

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

February 20     June 20     October 20

March 20     July 20     November 20

April 20     August 20     December 20

May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony Daddino

Signature of Treasurer

Date  
 April 16, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

7 1 0 4 0 5 6 + 4 / 6

SCHEDULE B

ITEMIZED DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Max Baucus	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/01/89	(\$1,000) *
*\$500 of this contribution	refunded 11/15/89 Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

71040561477

SUBTOTAL of Disbursements This Page (optional)	(\$1,000)
TOTAL This Period (last page this line number only)	(\$1,000)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) <b>Donaldson, Lufkin &amp; Jenrette, Inc. Better Government Fund</b>	2. FEC IDENTIFICATION NUMBER <b>C00103325</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>140 Broadway</b>	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE <b>New York, New York 10005</b>	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1986
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**Anthony Daddino**

Signature of Treasurer

*Anthony Daddino*

Date

*April 16, 1986*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

SCHEDULE B

ITEMIZED DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

210406147

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Per
Committee for Tim Wirth, Inc.	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/29/86	(\$5,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Pe
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Pe
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Pe
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Pe
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Pe
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Pe

SUBTOTAL of Disbursements This Page (optional) .....	(\$5,000)
TOTAL This Period (last page this line number only) .....	(\$5,000)



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE New York, New York 10005	

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report 1986
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?     YES     NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	\$
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony Daddino

Signature of Treasurer

*Anthony Daddino*

Date

April 16, 1986

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

SCHEDULE B

ITEMIZED DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

71040361431

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Riegle for Senate Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/17/86	(\$1,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional) .....	(\$1,000)
TOTAL This Period (last page this line number only) .....	(\$1,000)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report 1988
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?     YES     NO

## SUMMARY

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5.	Covering Period _____ through _____		
6.	(a) Cash on Hand January 1, 19____		\$
	(b) Cash on Hand at Beginning of Reporting Period	\$	
	(c) Total Receipts (from Line 18)	\$	\$
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7.	Total Disbursements (from Line 28)	\$	\$
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

April 16, 1988

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

(revised 4/87)

11040361432

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Per
Riegle for Senate Committee	Campaign Contribution (DLJ reported for Primary) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/25/88	(\$2,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Per

21040364433

SUBTOTAL of Disbursements This Page (optional) ..... (\$2,000)

TOTAL This Period (last page this line number only) ..... (\$2,000)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report 1986

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

February 20     June 20     October 20

March 20     July 20     November 20

April 20     August 20     December 20

May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?     YES     NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a) Cash on Hand January 1, 19____		\$
b) Cash on Hand at Beginning of Reporting Period	\$	
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

*April 16, 1986*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4-87)

7 1 0 4 0 3 6 4 4



SCHEDULE B

ITEMIZED DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Cranston for Senate '86	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/20/86	(\$2,500)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) ..... (\$2,500)

TOTAL This Period (last page this line number only) ..... (\$2,500)

71040361430

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

(a)  April 15 Quarterly Report

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only) 1987

Termination Report

Monthly Report Due On:

<input type="checkbox"/> February 20	<input type="checkbox"/> June 20	<input type="checkbox"/> October 20
<input type="checkbox"/> March 20	<input type="checkbox"/> July 20	<input type="checkbox"/> November 20
<input type="checkbox"/> April 20	<input type="checkbox"/> August 20	<input type="checkbox"/> December 20
<input type="checkbox"/> May 20	<input type="checkbox"/> September 20	<input type="checkbox"/> January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a) Cash on Hand January 1, '89 _____	\$	\$
b) Cash on Hand at Beginning of Reporting Period _____	\$	\$
c) Total Receipts (from Line 18) _____	\$	\$
d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) _____	\$	\$
7. Total Disbursements (from Line 28) _____	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) _____	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) _____	\$	\$
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) _____	\$	\$

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*Anthony Daddino*

Date

April 16, 1989

For further information contact:  
 Federal Election Commission  
 999 E Street, NW  
 Washington, DC 20463  
 Toll Free 800-424-9530  
 Local 202-376-3120

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4/87)

7104006436

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

71040061437

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Moynihan Committee 800 Third Avenue New York, NY	Campaign Contribution (reported by DLJ for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/16/87	(\$2,000)
Riegle for Senate Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/28/87	(\$1,000)
Senator Lloyd Bensten Election Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/87	(\$1,500)*
*refunded 11/21/89	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional)	(\$4,500)
TOTAL This Period (last page this line number only)	(\$4,500)

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1985
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?     YES     NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, '89 _____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
 Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

*April 16, 1990*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4/87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (in Full)  
 Donaldson, Lufkin & Jenrette Better Government Fund

71040351439

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman St. Germaine Re-Election Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/05/85	(\$5,000)
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....	(\$5,000)
TOTAL This Period (last page this line number only) .....	(\$5,000)



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1988
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.		
Type or Print Name of Treasurer Anthony Daddino		
Signature of Treasurer 		Date April 16, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

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SCHEDULE B

ITEMIZED DISBURSEMENTS

See separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for comm purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
Rangel for Congress	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/31/88	(\$250) *
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
*refunded 11/15/89	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This P
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

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SUBTOTAL of Disbursements This Page (optional)	(\$250)
TOTAL This Period (last page this line number only)	(\$250)

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F

**DONALDSON, LUFKIN & JENRETTE**  
**BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

June 6, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, DC 20463

Re: Identification Number: C00103325

Dear Mr. Raymond:

This letter is in response to your letters of May 9 and 31, 1990 and follows up on your recent telephone conversations with our counsel in Washington, Kate Boyce. I understand from Ms. Boyce that you orally granted us an extension of time to respond as long as we forwarded our reply to you this week of June 4, 1990.

In response to your first question, Donaldson, Lufkin & Jenrette Inc. Better Government Fund ("DLJBGF") did not designate any of its contributions either for the primary or general election campaigns of any of the candidates in question.

As to your second inquiry, I understand that you were aware of the meaning of DLJBGF's previously filed amended reports but requested that we revise the amendments by deleting the parentheses so that the public record would be clear that the amounts reported are not negative amounts. The attached amended Schedule B forms now accurately reflect these amounts as memo entries.

In your conversations with Ms. Boyce, I understand you advised that, while DLJBGF was diligent in trying to rectify any reporting errors by amending DLJBGF's Schedule B forms to conform with FEC regulations, and requesting refunds where appropriate, a few potential problems remained. Specifically, you referred to DLJBGF's reliance on the candidate committees' reports as having properly reported DLJBGF's campaign contributions in accordance with 11 CFR S 110.2(b)(4). That is, you questioned whether, in all cases, the PACs' undesignated

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Mr. Anthony Raymond  
June 6, 1990  
Page 2

contributions received before the primary were actually used and reported for the primary and not the general election for that federal office. At your suggestion, we re-checked the dates of all DLJBGF and The Equitable Political Action Committee ("Equi-Pac") contributions to the candidates listed in your March 28, 1990 letter and compared those dates with the dates of the candidates' respective primary elections to ensure that our contributions were correctly reported to the Commission.

Evidently, there were several instances where contributions to a candidate reached the \$5,000 primary contribution limit, and the candidate's committee applied the remaining pre-primary undesignated contribution to the general election without asking the PACs to redesignate some or all of the contribution to the general election. Specifically, DLJBGF found four instances where the candidate's committee reported undesignated contributions, given before the primary, as general election contributions. 1/ These four instances are as follows:

1. DLJBGF alone contributed to Senator Lieberman two undesignated contributions of \$2,500 on 1/20/88 and \$5,000 on 3/14/88. As DLJBGF has no record of a Lieberman request to redesignate \$2,500 as a general election contribution, DLJBGF has requested a refund of \$2,500 (see attached letter to the candidate's committee along with the amended Schedule B). DLJBGF will report the refund in its next scheduled report.

2. Both DLJBGF and Equi-Pac contributed to Congressman St. Germain's reelection efforts. DLJBGF made two undesignated contributions of \$5,000 on 4/05/85 and \$1,000 on 4/29/86. Equi-Pac contributed \$1,000 on 5/1/86. As DLJBGF received no request to redesignate its \$1,000 contribution for the general election, DLJBGF has requested a refund of \$1,000 (see attached letter to the candidate along with the amended Schedule B). DLJBGF will report the refund in its next scheduled report.

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1/ DLJBGF is not addressing contributions given to Senators Cochran, Chafee, Pryor and Packwood. Equi-Pac alone contributed to these candidates and thus Equi-Pac will address these issues under separate cover.

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Mr. Anthony Raymond  
June 6, 1990  
Page 3

3. DLJBGF already has received and reported a \$500 contribution refund from Senator Baucus. An additional \$3,500 was contributed to Baucus by Equi-Pac before the primary (which Baucus reported as a general election contribution). Since the 60-day period for requesting use of the funds for the general election has passed, it is my understanding that Equi-Pac must seek a \$3,500 refund.

4. As to Senator Moynihan, DLJBGF and Equi-Pac already have requested and received a \$3,000 refund from his committee (based on our previous review). Further review shows that a total of \$3,000 from DLJBGF and \$6,000 from Equi-Pac were contributed prior to the primary in 1988, although the candidate's committee reported \$1,000 of the \$9,000 as a general election contribution. It is my understanding that Equi-Pac will request refund of a \$1,000 contribution made prior to the primary election in 1988.

DLJBGF hopes that in this further review, according to your specific instructions, we have identified all reporting errors and provide herein properly amended reports.

As DLJBGF has informed you, the PAC has acted with great diligence to identify and correct any errors so as to be in full compliance with all Commission requirements.

Should you have any further questions, please do not hesitate to contact me.

Sincerely,

*A. J. Daddino*

Anthony Daddino  
Treasurer

Encl.

cc: George Williams  
Treasurer, Equi-Pac

21040364475

**DONALDSON, LUFKIN & JENRETTE**  
**BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

June 5, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

Lieberman '94 Committee  
P.O. Box 31294  
Old State House Square  
Hartford, CT 06103

Attn: Ms. Laura Cahill

Dear Ms. Cahill:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF").

DLJBGF has reviewed its contributions to ensure they did not exceed the \$5,000 per candidate per primary and/or general election limit. DLJBGF did not designate its March 14, 1988 contribution of \$5,000 as either a primary or general election contribution. Your committee reported the \$5,000 contribution as a general election contribution even though it was made prior to the primary. DLJBGF did not receive a request for re-designation within the required 60 day limit and therefore it must request a refund of \$2,500 of the \$5,000 contribution so that our primary election contribution to your committee will not be in excess of the limit.

It is my understanding that you have agreed to refund \$2,500 to the DLJBGF. I would appreciate receiving the refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address.

If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. F. Daddino*

Anthony F. Daddino  
Treasurer

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**ON, LUFKIN & JENRETTE  
GOVERNMENT FUND**

New York, New York, New York 10005

June 5, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

Honorable Fernand St. Germain  
Harbor View Drive  
Newport, RI 02840

Dear Congressman St. Germain:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election.

DLJBGF did not designate its \$5,000 contribution to your committee on April 5, 1985. Your committee reported that contribution for the 1986 primary election. Subsequently, DLJBGF contributed \$1,000 on April 29, 1986 and its affiliate Equi-Pac contributed \$1,000 on May 1, 1986. According to FEC records your committee reported both \$1,000 contributions after the primary election as general election contributions. DLJBGF did not receive a request for re-designation within the required 60 day limit and therefore we regret we must request a \$1,000 refund so that our primary election contributions to your committee will not be in excess of the \$5,000 limit.

I understand that you have agreed to refund DLJBGF's April 29, 1986 contribution. I would appreciate receiving the requested refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address. Equi-Pac will also have to request a refund of its May 1, 1986 contribution. They will be contacting you under separate cover.

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

110 Broadway, New York, New York 10005

June 5, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

The Honorable Fernand St. Germain  
21 Harbor View Drive  
Newport, RI 02840

Dear Congressman St. Germain:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election.

DLJBGF did not designate its \$5,000 contribution to your committee on April 5, 1985. Your committee reported that contribution for the 1986 primary election. Subsequently, DLJBGF contributed \$1,000 on April 29, 1986 and its affiliate Equi-Pac contributed \$1,000 on May 1, 1986. According to FEC records your committee reported both \$1,000 contributions after the primary election as general election contributions. DLJBGF did not receive a request for re-designation within the required 60 day limit and therefore we regret we must request a \$1,000 refund so that our primary election contributions to your committee will not be in excess of the \$5,000 limit.

I understand that you have agreed to refund DLJBGF's April 29, 1986 contribution. I would appreciate receiving the requested refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address. Equi-Pac will also have to request a refund of its May 1, 1986 contribution. They will be contacting you under separate cover.

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## BETTER GOVERNMENT FUND

140 Broadway, New York, New York 10005

June 5, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

The Honorable Fernand St. Germain  
21 Harbor View Drive  
Newport, RI 02840

Dear Congressman St. Germain:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election.

DLJBGF did not designate its \$5,000 contribution to your committee on April 5, 1985. Your committee reported that contribution for the 1986 primary election. Subsequently, DLJBGF contributed \$1,000 on April 29, 1986 and its affiliate Equi-Pac contributed \$1,000 on May 1, 1986. According to FEC records your committee reported both \$1,000 contributions after the primary election as general election contributions. DLJBGF did not receive a request for re-designation within the required 60 day limit and therefore we regret we must request a \$1,000 refund so that our primary election contributions to your committee will not be in excess of the \$5,000 limit.

I understand that you have agreed to refund DLJBGF's April 29, 1986 contribution. I would appreciate receiving the requested refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address. Equi-Pac will also have to request a refund of its May 1, 1986 contribution. They will be contacting you under separate cover.

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If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. J. Daddino*

Anthony F. Daddino  
Treasurer

9104 086 4498

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE New York, New York 10005	

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report

July 15 Quarterly Report 1988

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

February 20     June 20     October 20

March 20     July 20     November 20

April 20     August 20     December 20

May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?     YES     NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a. Cash on Hand January 1, 19____	\$	\$
b. Cash on Hand at Beginning of Reporting Period	\$	
c. Total Receipts (from Line 18)	\$	\$
d. Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.		
Type or Print Name of Treasurer Anthony Daddino		Date June 6, 1990
Signature of Treasurer 		

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**FEC FORM 3X**

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code Rangel for Congress	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 5/31/88	Amount of Each Disbursement This Period MEMO \$250*
B Full Name, Mailing Address and ZIP Code *refunded 11/15/89	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$250

TOTAL This Period (last page this line number only)

\$250

0104061500

# REPORT OF RECEIPTS AND DISBURSEMENTS

or Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

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ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only) 1987
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- b) Is this Report an Amendment?  YES  NO

### SUMMARY

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10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

June 6, 1992

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Moynihan Committee 800 Third Avenue New York, NY	Campaign Contribution (reported by DLJ for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/16/87	MEMO \$2,000
B. Full Name, Mailing Address and ZIP Code Riegle for Senate Committee	Campaign Contribution (DLJ REPORTED FOR Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/28/87	MEMO \$1,000
C. Full Name, Mailing Address and ZIP Code Senator Lloyd Bensten Election Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	5/05/87	MEMO \$1,500*
D. Full Name, Mailing Address and ZIP Code  *refunded 11/21/89	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement  Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$4,500

TOTAL This Period (last page this line number only)

\$4,500

104061002



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

(a)  April 15 Quarterly Report 1986

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a) Cash on Hand January 1, 19____	\$	\$
b) Cash on Hand at Beginning of Reporting Period	\$	
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-375-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. F. Daddino*

Date

June 6, 1976

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

revised 4/87

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Cranston for Senate '86	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/20/86	MEMO \$2,500
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	\$2,500
TOTAL This Period (last page this line number only)	\$2,500

2114061004

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report 1988
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ Type of Election: \_\_\_\_\_  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_  
 in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. F. Daddino*

Date

*June 3, 1990*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Primary)	Date (month, day, year)	Amount of Each Disbursement This Period
Riegler for Senate Committee	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/25/88	MEMO \$2,000
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period

01040351500

SUBTOTAL of Disbursements This Page (optional)	\$2,000
TOTAL This Period (last page this line number only)	\$2,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1989
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- b) Is this Report an Amendment?     YES     NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a. Cash on Hand January 1, 19____	\$	\$
b. Cash on Hand at Beginning of Reporting Period	\$	\$
c. Total Receipts (from Line 18)	\$	\$
d. Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

June 6, 1992

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4/87)



SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Max Baucus	Campaign Contribution (DLJ reported for Gen)	5/01/89	MEMO \$1,000*
	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
*\$500 of this contribution refunded	11/15/89		
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional)	\$1,000
TOTAL This Period (last page this line number only)	\$1,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1986
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?     YES     NO

## SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a) Cash on Hand January 1, 19____	\$	\$
b) Cash on Hand at Beginning of Reporting Period	\$	\$
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d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
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8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

June 6, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

0104061510

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period MEMO \$5,000
Committee for Tim Wirth, Inc.	4/29/86		
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$5,000

TOTAL This Period (last page this line number only)

\$5,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report 1986
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?     YES     NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a) Cash on Hand January 1, 19____	\$	\$
b) Cash on Hand at Beginning of Reporting Period	\$	\$
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
Anthony Daddino

Signature of Treasurer  
*A. J. Daddino*

Date  
*June 6, 1990*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER 21

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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Riegle for Senate Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/17/86	MEMO \$1,000
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$1,000

TOTAL This Period (last page this line number only)

\$1,000

104035101



# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

(a)  April 15 Quarterly Report 1988

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

Twelfth day report preceding \_\_\_\_\_  
(Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_

\_\_\_\_\_ in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, '89 _____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*Anthony Daddino*

Date

June 6, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

214061014

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Joe Lieberman for Senate	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/20/88	MEMO \$2,500
B. Full Name, Mailing Address and ZIP Code	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/14/88	MEMO \$5,000*
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
*refund of \$2,500 requested 6/5/90			
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	\$7,500
TOTAL This Period (last page this line number only)	\$7,500

# REPORT OF RECEIPTS AND DISBURSEMENTS

Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1985
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. a) Cash on Hand January 1, 19____	\$	\$
b) Cash on Hand at Beginning of Reporting Period	\$	\$
c) Total Receipts (from Line 18)	\$	\$
d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony Daddino

Signature of Treasurer

*A. J. Daddino*

Date

June 6, 1990

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

**FEC FORM 3X**

(revised 4.87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

2104061516

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman St. Germain Re-Election Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/05/85	MEMO \$5,000
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	\$5,000
TOTAL This Period (last page this line number only)	\$5,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER 000103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report 1986
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- February 20     June 20     October 20
- March 20     July 20     November 20
- April 20     August 20     December 20
- May 20     September 20     January 31
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- b) Is this Report an Amendment?     YES     NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19 _____	\$	\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$	\$
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$	\$

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information contact:  
 Federal Election Commission  
 999 E Street, NW  
 Washington, DC 20463  
 Toll Free 800-424-9530  
 Local 202-376-3120

Type or Print Name of Treasurer

Anthony F. Daddino

Signature of Treasurer

*Anthony F. Daddino*

Date

June 6, 1986

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g



SCHEDULE B

ITEMIZED DISBURSEMENTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

61090711

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Congressman St. Germain Re-Election Committee	Campaign Contribution (DLJ reported for Gen) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	4/29/86	MEMO \$1,000*
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
*\$1,000 refund to DLJ Fund requested on 6/5/90	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)		

SUBTOTAL of Disbursements This Page (optional)	\$1,000
TOTAL This Period (last page this line number only)	\$1,000

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EQUITABLE  
FUND  
COMMITTEE

June 13, 1990

Mr. Anthony D. Raymond  
Senior Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Dear Mr. Raymond:

Re: Identification Number C00161901

This letter is in response to your letters of May 9 and 31, 1990 and follows up on your recent telephone conversations with Ms. Kate Boyce, Washington Counsel for the Donaldson, Lufkin & Jenrette Inc. Better Government Fund which is affiliated with Equi-Pac.

Please note that your letters to me have been addressed to 135 West 50th Street and as such have been delayed in getting to me. My correct mailing address is 787 Seventh Avenue, Area 41-C, New York, NY 10019.

In response to your question, EQUI-PAC did not designate any of its contributions either for the primary or general election campaigns of any of the candidates in question.

In your conversations with Ms. Boyce, I understand you advised that, while we were diligent in trying to rectify any reporting errors by amending EQUI-PAC's Schedule B forms to conform with FEC regulations and requesting refunds where appropriate, a few potential problems remained. Specifically, you referred to reliance on the candidate committees' as having properly reported campaign contributions in accordance with 11 CFR Section 110.2(b)(4). That is, you questioned whether in all cases, the PACs' undesignated contributions received before the primary were actually used and reported for the primary and not the general campaign election. At your suggestion, we re-checked the dates of all DLJBGF and EQUI-PAC contributions to the candidates listed in your March 28, 1990 letter and compared those dates with the dates of the candidates' respective primary elections to ensure that the candidates reported our contributions correctly to the commission.

Evidently, there were several instances where contributions to a candidate exceeded the \$5,000 primary contribution limit, and the candidate's Committee applied the remaining pre-primary undesignated contribution to the general election without asking the PACs to redesignate all or some of the contribution to the general election. Specifically, EQUI-PAC found six instances where the candidate reported undesignated contributions given before the primary as general election contributions. These six instances are as follows:

1. EQUI-PAC alone contributed to Senator Pryor three undesignated contributions of \$1,000 on 5/2/88, \$1,000 on 5/5/89 and \$4,000 on 6/23/89. Apparently, the Pryor Committee split the \$4,000 contribution and used \$1,000 of it for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$1,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund in its next scheduled report.
2. EQUI-PAC alone contributed to Senator Chafee three undesignated contributions of \$2,000 on 5/30/86, \$2,000 on 11/30/86 and \$5,000 on 5/22/87. Apparently, the Chafee Committee split the \$5,000 contribution and used \$4,000 for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$4,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund in its next scheduled report.
3. EQUI-PAC alone contributed to Senator Packwood three undesignated contributions of \$1,000 on 12/31/84, \$1,000 on 1/16/85 and \$4,000 on 1/31/85. Apparently, the Packwood Committee split the \$4,000 contribution and used \$1,000 for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$1,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund on its next scheduled report.
4. Both EQUI-PAC and the DLJBGF contributed to Senator Moynihan. Previously, DLJBGF requested a \$3,000 refund. Further review indicates that EQUI-PAC made five contributions totaling \$6,000. The five included

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\$1,000 on 1/15/86, \$1,000 on 11/20/86, \$1,000 on 12/8/86, \$1,000 on 10/7/87 and \$2,000 on 11/6/87. Apparently, the Moynihan Committee split the \$2,000 contribution and used \$1,000 for the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of \$1,000 (see attached letter to the candidate's Committee). EQUI-PAC will report the refund on its next scheduled report.

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5. Both EQUI-PAC and DLJBGF contributed to Senator Baucus. Previously, DLJBGF requested a \$500 refund. Further review indicates that EQUI-PAC made four contributions totaling \$8,000. These four included \$500 on 6/24/87, \$2,000 on 3/2/88, \$2,000 on 5/2/88 and \$3,500 on 5/5/89. Apparently, the Baucus Committee credited the entire \$3,500 pre-primary contribution to the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of the \$3,500 (see attached letter to the candidate). EQUI-PAC will report the refund on its next scheduled report.
  6. Both DLJBGF and EQUI-PAC contributed to Congressman St. Germain. EQUI-PAC contributed \$1,000 on 5/1/86. Apparently, this contribution check was not deposited until 10/30/86 and was therefore credited to the general election. As EQUI-PAC has no record of a request to redesignate this amount, EQUI-PAC has requested a refund of the \$1,000 (see attached letter to the former Congressman). EQUI-PAC will report the refund on its next scheduled report.

EQUI-PAC hopes that in this further review and the review conducted by DLJ, according to your specific instructions, we have identified all reporting errors and have corrected them.

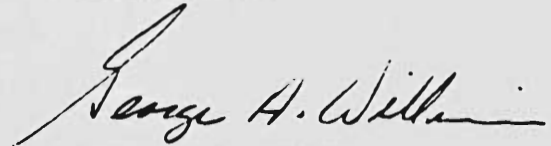
As EQUI-PAC has informed you, the PAC has acted with great diligence to identify and correct any errors so as to be in full compliance with all FEC regulations. In addition, EQUI-PAC has revised its procedures to ensure that all contribution checks are specifically designated for the primary or general election and procedures have been implemented to monitor against the \$5,000 limitation.



Mr. Anthony Raym  
June 13, 1990  
Page 4

Should you have any further questions, please do not  
hesitate to contact me.

Sincerely,



George A. Williams  
Treasurer

cc: Anthony Daddino, Treasurer  
DLJ Better Government Fund

0502a/95

1140061543



THE EQUITABLE  
POLITICAL  
ACTION COMMITTEE

June 13, 1990

Senator David Pryor  
Att: Ann Pride  
P.O. Box 17261  
Alexandria, VA 22302

Dear Ms. Pride:

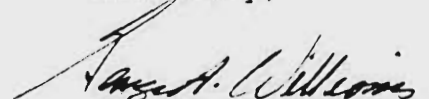
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the candidate's next election. EQUI-PAC contributed \$4,000 to Senator Pryor on June 23, 1989. Our review indicates that your campaign credited \$3,000 of this to the primary campaign and \$1,000 to the general campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited toward the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

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GAW/gos

40361524



EQUITABLE  
POLITICAL  
ACTION COMMITTEE

June 13, 1990

Senator John Chafee  
Att: Gavid Griswold  
U.S. Senate  
567 SDOB  
Washington, D.C. 20510

Dear Mr. Griswold:

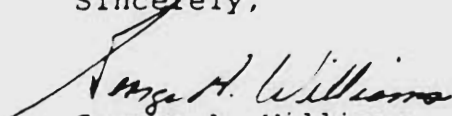
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the candidate's next election. EQUI-PAC contributed \$5,000 to Senator Chafee on May 22, 1987. Our review indicates that your campaign credited \$1,000 of this to the primary campaign and \$4,000 to the general campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$4,000 credited to the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



THE EQUITABLE  
POLITICAL  
ACTION COMMITTEE

June 13, 1990

Senator Bob Packwood  
Att: Elaine Franklin  
259 SROB  
Washington, D.C. 20510

Dear Ms. Franklin:

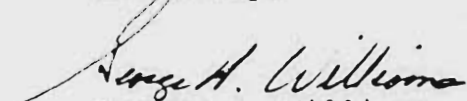
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the candidate's next election. EQUI-PAC contributed \$4,000 to Senator Packwood on January 31, 1985. Our review indicates that your campaign credited \$3,000 to the primary campaign and \$1,000 to the general campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited to the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



EQUITABLE

WASHINGTON AFFAIRS  
COMMITTEE

June 13, 1990

Friends of Max Baucus  
Att: Sue Brodsky  
313 3rd Street, NE  
Washington, D.C. 20002

Dear Ms. Brodsky:

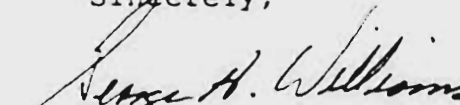
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. At the same time, an affiliated PAC - The Donaldson Lufkin & Jenrette Better Government Fund has reviewed it's campaign contributions. (Together, EQUI-PAC and DLJ cannot exceed the limitations.) Since all of the EQUI-PAC and DLJ checks were "undesignated" they could only be credited to the candidate's next election. Our review indicates that your campaign credited EQUI-PAC's pre-primary \$3,500 contribution of May 5, 1989 to the general election. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the full \$3,500 credited to the general election.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos





EQUITABLE  
POLITICAL  
ACTION COMMITTEE

June 13, 1990

The Honorable Fernand S. Germain  
21 Harbor View Drive  
Newport, RI. 02840

Dear Mr. Germain:

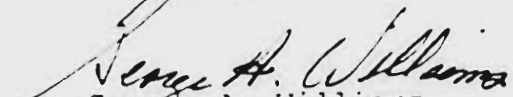
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesigned" they could only be credited to the next election. EQUI-PAC contributed \$1,000 to your campaign on May 1, 1986. Our review indicates that on 10/30/86 your campaign credited the \$1,000 pre-primary contribution to the general campaign because the primary election was over. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited toward the general campaign.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos



BLE

COMMITTEE

June 13, 1990

Senator Patrick Moynihan  
Att: Sue Davis  
405 Lexington Avenue  
New York, New York 10174

Dear Ms. Davis:

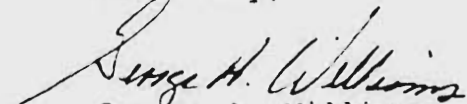
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and you, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. At the same time, an affiliated PAC - The Donaldson Lufkin & Jenrette Better Government Fund has reviewed its campaign contributions. (Together, EQUI-PAC and DLJ cannot exceed the limitations.) Since all of the EQUI-PAC and DLJ checks were "undesignated" they could only be credited to the candidate's next election. Previously, DLJ requested a \$3,000 refund. However, our recent review indicates that your campaign credited \$1,000 of EQUI-PAC's November 6, 1987 contribution of \$2,000 to the general election campaign because the \$5,000 limit had been reached. Since this was done without your requesting EQUI-PAC to redesignate this excess amount for a future campaign and because the 60-day timeframe for such redesignations has now been passed, it is necessary that we request a refund of the \$1,000 credited toward the general election.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

00040

GAW/aos

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EQUITABLE  
POLITICAL  
ACTION COMMITTEE

October 4, 1990

Mr. Anthony Raymond  
Federal Election Commission  
999 E Street, N.W.  
Washington, D. C. 20463

Dear Mr. Raymond:

Re: Identification Number C00161901

This letter is in response to your letter of August 29, 1990.

I have reviewed your letter, chart and attachments thereto. I have organized this response in the order of your chart. Certain of the issues raised by your letter have been addressed by our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund ("DLJ BGF") and will be explained in their separate response letter to you.

In my letter of June 13, 1990, I stated that all contributions to campaigns were not designated for any particular election. However, this statement was with regard to campaign contributions prior to 1990. During 1990 we adopted the practice of specifically designating on the face of the check whether the contribution was for the primary or general campaign. I believe you will see below that this change of practice resolves some of your questions.

David Pryor - Equi-Pac has received the \$1,000 refund requested from the Pryor Campaign. (See letter attached.) This refund will be reported on Equi-Pac's quarterly filing due on October 15, 1990.

Thad Cochran - Equi-Pac has requested a \$1,000 refund from the Cochran Campaign. (See copy of refund request attached.)

John Chaffee - On June 13, 1990 Equi-Pac requested a \$4,000 refund from the Chaffee Committee. To date, this refund has not been received by Equi-Pac.

Robert Packwood - On June 13, 1990 Equi-Pac requested a \$1,000 refund from the Packwood Committee. To date, this refund has not been received by Equi-Pac.

Henry John Heinz - Equi-Pac has requested a \$1,000 refund from the Heinz Campaign. (See copy of refund request attached.)

Charles Schumer - Equi-Pac has requested a refund of \$2,000 from the Schumer Campaign. (See copy of refund request attached.)

Bill Bradley - As indicated above, Equi-Pac now designates contributions on the face of the check. As such, Equi-Pac's April 23, 1990 contribution of \$3,000 was specifically designated for the general campaign and it was reported as such on Equi-Pac's 1990 July Quarterly Report. In addition, \$600 of the DLJ/BGF \$1,000 contribution made on November 30, 1989 was also designated toward the general election on the face of the check. Therefore, the excessive contribution is \$1,400 for which the DLJ/BGF has requested a refund. (See the DLJ/BGF separate response letter.)

John D. Rockefeller - Equi-Pac's \$5,000 contribution of March 20, 1990 was designated on the face of the check and on Equi-Pac's April 1990 Quarterly FEC filing as a general campaign contribution. (See copy of check attached.) As such, there remains a \$1,000 excessive contribution for the general campaign for which the DLJ/BGF has requested a refund. (See the DLJ/BGF separate response letter.)

Max Baucus - Equi-Pac has received the \$3,500 refund requested from the Baucus campaign. (See copy of letter and check attached.) This refund will be reported on Equi-Pac's quarterly filing due on October 15, 1990.

Lloyd Bentsen - Equi-Pac has requested a \$5,000 refund from the Bentsen Campaign. (See copy of refund request attached.)

Joseph Lieberman - See the DLJ/BGF separate response letter to the FEC.

Daniel Patrick Moynihan - Equi-Pac has received the \$1,000 refund requested from the Moynihan Campaign. (See letter attached.) This refund will be reported on Equi-Pac's quarterly filing due on October 15, 1990.

Don Riegle - Equi-Pac has requested a \$2,000 refund from the Riegle Campaign. (See copy of refund request attached.)

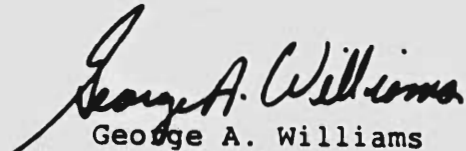
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Charles Rangle - Equi-Pac has requested a refund of \$1,500 from the Rangle Campaign. (See copy of refund request attached.)

Fernand St. Germain - On June 13, 1990 Equi-Pac requested a \$1,000 refund from Congressman St. Germain. To date, this refund has not been received by Equi-Pac.

Should you have any further questions, please do not hesitate to contact me.

  
George A. Williams  
Treasurer

01540361533

cc: Anthony Daddino, Treasurer  
DLJ Better Government Fund

att.

SAW/aos

01550

CATLETT STUBBLEFIELD, BONDS & FLEMING

LAWYERS

THE TOWER BUILDING, EIGHTEENTH FLOOR  
LITTLE ROCK, ARKANSAS 72201

C. B. STUBBLEFIELD  
S. GRAMAM CATLETT  
BARBARA F. BONDS  
VICTOR A. FLEMING

AREA CODE 501  
TELEPHONE 372-6181  
TELECOPIER 501-372-6666

September 6, 1990

GEORGE A. WILLIAMS

EQUI Pac  
George A. Williams  
787 Seventh Avenue  
New York, New York 10019

Dear Mr. Williams:

In reference to your letter dated June 13th, 1990, please find enclosed a refund check in the amount of \$1,000 from The David Pryor for U.S. Senate Committee which was credited to the General Election.

If you have any further questions regarding this matter, please feel free to contact me.

Sincerely,

DAVID PRYOR FOR U.S. SENATE COMMITTEE

S. Gramam Catlett  
Treasurer

SGC/bkm  
enc: refund check

91040361034

DAVID PRYOR FOR US SENATE COMMITTEE

P. O. BOX 3334  
LITTLE ROCK, ARKANSAS 72203-3334

005740

517 800

9.3 1990

PAY TO THE ORDER OF EQUI PAC \$ 1,000.00  
One thousand & 00/100 DOLLARS

WORTHEN BANK  
A WORTHEN BANK & TRUST COMPANY N.A.  
LITTLE ROCK, AR. MEMBER FDIC

⑈005740⑈ ⑆082000073⑆ 890200664⑈9⑈



EQUITABLE  
POLITICAL ACTION COMMITTEE

October 4, 1990

Citizens for Cochran Election Committee  
P.O. Box 22761  
Jackson, Mississippi 39225-2761

Dear Senator Cochran:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$1,000 to your June 5, 1990 primary campaign on December 31, 1984; \$3,000 on June 23, 1989 and \$2,000 on May 25, 1990. As such, the \$5,000 limitation was exceeded by \$1,000. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$1,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

01550

GAW/aos



EQUITABLE  
POLITICAL ACTION COMMITTEE

October 4, 1990

People for Henry John Heinz  
122 C Street N.W., Suite 650  
Washington, D.C. 20001

Dear Senator Heinz:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$3,000 to your campaign on March 2, 1988. When combined with the contributions of our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund, the \$5,000 limitation for your April 26, 1988 primary campaign was exceeded by \$1,000. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$1,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

SAW/aes

2104006.506



EQUITABLE  
POLITICAL  
ACTION COMMITTEE

October 4, 1990

Re-elect Congressman Charles Schumer  
1551 East 23rd Street  
Brooklyn, New York 11210

Dear Congressman Schumer:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$2,000 to your campaign on November 30, 1989. When combined with the \$5,000 contribution of February 3, 1989 by our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund, the \$5,000 limitation for your August 21, 1990 primary campaign was exceeded by \$2,000. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$2,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/aos

91040364557



EQUITABLE LIFE ASSURANCE SOCIETY  
POLITICAL ACTION COMMITTEE

A/K/A (EQUI-PAC)

03571

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April 17, 19 90

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21637

PAY TO THE ORDER OF Bill Bradley for U.S. Senate  
Senator Bill Bradley (D-NJ) \$ 4,900.00

Four Thousand Nine Hundred Dollars 00/100 DOLLARS



The Chase Manhattan Bank, N.A.  
110 52nd Street at Avenue of the Americas  
New York, NY 10019

Primary Contribution \$1,900  
General Election \$3,000

FOR

*Ray A. Williams*  
*Robert A. Jones*

⑈001592⑈ ⑆021000021⑆ 037 1 096918⑈ ⑈0000490000⑈

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EQUITABLE LIFE ASSURANCE SOCIETY  
POLITICAL ACTION COMMITTEE

A/K/A (EQUI-PAC)

1568

March 20, 19 90

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PAY Senator John Rockefeller (D-WV)

TO THE Friends of Senator Rockefeller

ORDER OF 103004213 03-02-90 913 08 05,990.00 \$

Five Thousand

90123 0-

00/100 DOLLARS



The Chase Manhattan Bank, N.A.  
110 52nd Street at Avenue of the Americas  
New York, NY 10019

FOR General

*George A. Williams*  
*Robert A. Joseph*

⑈001568⑈ ⑆021000021⑆ 03? 1 096918⑈ ⑈0000500000⑈

7104061559



FRIENDS OF MAX BAUCUS

313 Third Street, NE • Washington DC 20002 • (202) 543-2959

G. A. WILLIAMS  
SENIOR VICE PRESIDENT & ATTORNEY

JUL 20 1990

July 2, 1990

Mr. George A. Williams  
EQUI-PAC  
787 Seventh Avenue #41C  
New York, NY 10019

Dear Mr. Williams:

As you requested in you letter dated June 13, 1990, I am refunding to EQUI-PAC their contribution of \$3500.00.

If I can be of further assistance, please do not hesitate to call.

Sincerely,

*Susan Brodsky*  
Susan Brodsky

2104061040

FRIENDS OF MAX BAUCUS		1888
BOX 586		
HELENA, MT 59624		
		June 28, 1990
PAY TO THE ORDER OF	EQUI-PAC	\$ 3,500.00
Three thousand five hundred and 00/100		
	Northwest Bank Helena, N.A. P.O. Box 597 Helena, MT 59624	
MEMO	<i>[Signature]</i>	
⑆092000296⑆ 378⑈863⑈ 1888		

Enclosure.



THE EQUITABLE  
POLITICAL  
ACTION COMMITTEE

October 4, 1990

Senator Bentsen Election Committee  
P. O. Box 61202  
Houston, Texas 77208

Dear Senator Bentsen:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$5,000 to your campaign on June 24, 1987. Our review indicates that on June 30, 1987 your campaign credited the \$5,000 pre-primary contribution to the general campaign because the \$5,000 primary campaign limitation had been reached. As the 60-day timeframe for redesignations has now been passed, it is necessary that we request a refund of \$5,000.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/aos

21540361541

THE MOYNIHAN COMMITTEE, INC.  
P. O. Box 1988  
Grand Central Station  
New York, NY 10163

June 28, 1990

Mr. George A. Williams  
The Equitable PAC  
737 Seventh Avenue, 41C  
New York, NY 10019

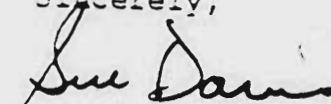
G. A. WILLIAMS  
SENIOR VICE PRESIDENT & MANAGER

JUL 2 1990

Dear Mr. Williams:

Enclosed, as requested by you in your letter of June 13, is a refund check of The Moynihan Committee, Inc. in the amount of \$1,000.

Sincerely,

  
Sue Davis

Enclosure

2104061042



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THE MOYNIHAN COMMITTEE INC.

3861

$\frac{1.12}{210}$

June 20 1992

The Cantabile PAC

\$1000<sup>00</sup>

Missouri PAC

**CHEMICAL BANK**  
120 WALL AVENUE NEW YORK, N.Y. 10017

*[Handwritten signature]*

⑆00388⑆ ⑆10310001281⑆ ⑆4000347⑆



EQUITABLE  
POLITICAL ACTION COMMITTEE

October 4, 1990

Riegle for Senate Committee  
P.O. Box 2434  
Washington, D.C. 20013-2431

Dear Senator Riegle:

This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. Since all of the EQUI-PAC checks were "undesignated" they could only be credited to the next election. EQUI-PAC contributed \$1,000 to your campaign on May 22, 1987 and \$1,000 on May 2, 1988. When combined with the contributions of our affiliate, the Donaldson Lufkin and Jenrette Better Government Fund, the \$5,000 limitation for your August 2, 1988 primary campaign was exceeded by \$4,000. The DLJ Better Government Fund previously requested a \$2,000 refund. However, it is necessary for Equi-Pac to request an additional \$2,000 refund at this time.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

*George A. Williams*  
George A. Williams

01550

GAW/aos

44440061044



EQUITABLE  
POLITICAL  
ACTION COMMITTEE

October 4, 1990

Congressman Charles Rangel  
P. O. Box 5577  
Manhattanville Station  
365 West 125th Street  
New York, NY 10027

Dear Congressman Rangel:

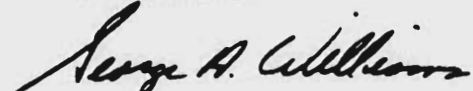
This is to confirm a recent telephone conversation between Kim Kynoch of The Equitable's Washington Affairs Office and your office, regarding contributions your committee received from The Equitable Political Action Committee ("EQUI-PAC").

At the request of the Federal Election Commission EQUI-PAC has reviewed its contributions to ensure that they did not exceed \$5,000 per candidate for either the primary or general election. At the same time, an affiliated PAC - The Donaldson Lufkin & Jenrette Better Government Fund has reviewed its campaign contributions. (Together, EQUI-PAC and DLJ cannot exceed the limitations.) Since all of the EQUI-PAC and DLJ checks were "undesignated" they could only be credited to the candidate's next election. Previously, DLJ requested a \$250 refund. However, our recent review indicates that EQUI-PAC's \$1,500 contribution on November 20, 1986 and its \$5,000 contribution on November 6, 1987 were counted toward the September 13, 1988 primary because they were undesignated. As such, it is necessary for Equi-Pac to request a \$1,500 refund at this time.

Your refund check should be sent directly to me at, 787 Seventh Avenue, 41C, New York, New York 10019.

If you have any further questions, please call me at (212)554-4104. Thank you in advance for assisting us with this request from the FEC.

Sincerely,

  
George A. Williams

01550

GAW/aos

910405645

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

*bcc: P. Camilleri  
A. Boyle  
K. Boyce*

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

October 4, 1990

Mr. Anthony D. Raymond  
Reports Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

**BY FEDERAL EXPRESS**

RE: Identification Number C00103325

Dear Mr. Raymond:

This letter is in response to your letter of August 29, 1990, in conjunction with the Federal Election Commission's ongoing review of campaign contributions made by Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, the Equitable Life Assurance Society of the United States Political Action Committee ("EQUI-PAC").

1. In its June 6, 1990 letter to the Commission, DLJBGF stated that it did not designate any of its contributions either for the primary or general election campaigns of any of the candidates who had been referenced in Commission correspondence prior to that date. Your letter of August 29, 1990 for the first time raised questions regarding contributions made to candidates John Heinz, Charles Schumer, Bill Bradley and John Rockefeller. DLJBGF's statement in its June 6 letter did not apply to these candidates. DLJBGF noted on several of the checks payable to these candidates that the contributions were for the candidates' primary or general election. (See discussion below regarding the disposition of any excessive contributions made by DLJBGF to any of the four (4) above-mentioned candidates.)

October 4, 1990

2. You requested that DLJBGF review your chart for accuracy and to assure that DLJBGF's previous filings and contributions complied with Commission regulations<sup>(1)</sup>. DLJBGF discovered reporting and/or contribution errors in the following cases:

a. John Heinz

In its 1988 April Quarterly Report, DLJBGF reported giving \$1,000 toward Senator Heinz's general election. However, since DLJBGF made no such designation on its check executed before the primary, this contribution should have been reported as a primary election contribution according to 11 C.F.R. 110.1(b)(2)(ii). Attached please find an amended Schedule B correctly reporting this contribution<sup>(2)</sup>. (See Attachment 1)

b. Charles Schumer

In its 1989 Mid-Year Report, DLJBGF reported giving \$5,000 toward Congressman Schumer's general election. However, since DLJBGF made no such designation on its check written before the primary, this contribution should have been reported as a primary election contribution. Attached please find an amended Schedule B correctly reporting this information<sup>(3)</sup>. (See Attachment 2)

c. Bill Bradley

In its 1989 Year-End Report, DLJBGF reported giving a total of \$3,000 to Senator Bradley's reelection campaign. DLJBGF did not designate the use of its October 3, 1989 contribution of \$2,000 on its check, nor did it report the contribution as made for either the primary or general election. DLJBGF did designate the use of its November 30, 1989 \$1,000 contribution on its check, but did not report the contribution to reflect the

---

(1) DLJBGF is not addressing contributions given to Senators Pryor, Cochran, Chafee and Packwood. EQUI-PAC alone contributed to these candidates and thus EQUI-PAC will address these candidates under separate cover. In addition, DLJBGF is not addressing any outstanding refunds to EQUI-PAC from Senators Baucus, Bensten, Moynihan, and Riegle, or Congressman Rangel, St. Germain and Schumer. These issues will also be addressed by EQUI-PAC under separate cover. DLJBGF has received and reported required refunds from Senators Baucus, Bentsen, Riegle, Moynihan and Congressman Rangel.

(2) EQUI-PAC will address, under separate cover, its contributions to this candidate.

(3) EQUI-PAC will address, under separate cover, its contribution to this candidate.



October 4, 1990

designated use. The attached amended Schedule B accurately reports both of these contributions. (See Attachment 3.)

In further reviewing the records, DLJBGF discovered that the two PACs' contributions, when combined, were in excess of the primary contribution limit. As noted above, DLJBGF designated its November 30, 1989 contribution check of \$1,000, that \$400 was for the primary and \$600 was for the general election. EQUI-PAC designated on its April 23, 1990 contribution check of \$3,000 that it was for the general election. None of the other contributions by either PAC to this candidate were designated. Therefore, DLJBGF and EQUI-PAC gave \$6,400 for the primary and \$3,600 for the general election. DLJBGF has contacted Senator Bradley's committee to request a refund of the \$1,400 over the primary limit. (See Attachment 4) DLJBGF will report this outstanding obligation on Schedule D of its October Quarterly report, due October 15, 1990.

d. John Rockefeller

EQUI-PAC made two \$5,000 contributions to Senator Rockefeller's reelection campaign. The May 15, 1989, contribution check for \$5,000 was not designated and therefore, under 11 C.F.R. 110.1(b)(2)(ii), it was applied toward the primary election held May 5, 1990. EQUI-PAC's contribution for \$5,000 dated March 20, 1989 carried a designation for general election use on the check. DLJBGF's May 18, 1990 contribution check of \$1,000 also noted a designation for the general election.<sup>(4)</sup> As DLJBGF's later contribution resulted in the two PACs' aggregate contributions exceeding the general election limit by \$1,000, DLJBGF has contacted Senator Rockefeller's campaign committee to request a \$1,000 refund and will report this amount as an outstanding obligation on Schedule D of its October 1990 Quarterly Report. (See Attachment 5)

3. You requested that DLJBGF provide you with an update on the status of refunds requested by the PAC in June but not received by the time of the filing of the PAC's July 1990 Quarterly Report, due July 15, 1990.

(4) DLJBGF accurately reported this contribution. Therefore, an amended Schedule B is not required in this case.

October 4, 1990

a. Joseph Lieberman

DLJBGF received the requested \$2,500 refund shortly after the July 1990 Quarterly Report was filed. DLJBGF will report the receipt of this refund on Schedule A of its October 1990 Quarterly Report.

b. Fernand St. Germain

Both DLJBGF and EQUI-PAC contacted St. Germain in June, each requesting a \$1,000 refund. (See Attachment 6) St. Germain has not yet sent either PAC a refund but has promised to send the required refunds by October 5, 1990. In the meantime, DLJBGF will report this amount as an outstanding obligation owed to the committee on Schedule D of its October 1990 Quarterly Report.

DLJBGF hopes that, in this further review according to your specific instructions, we have identified all reporting errors.

Should you have any further questions, please do not hesitate to contact me.

Sincerely,

*Anthony Daddino*

Anthony Daddino  
Treasurer

cc: George Williams  
EQUI-PAC Treasurer

Attachments:

- Amended Schedule Bs for contributions to:
  - Senator Heinz (Attachment 1)
  - Congressman Schumer (Attachment 2)
  - Senator Bradley (Attachment 3)
  - Letter to Senator Bradley (Attachment 4)
  - Letter to Senator Rockefeller (Attachment 5)
  - Letter to Former Congressman St. Germain (Attachment 6)

*BCC P. CAMILLERI  
K. BOYES*

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund		2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway		
CITY, STATE and ZIP CODE New York, New York 10005		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date)

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report 1988

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

b) Is this Report an Amendment?  YES  NO

## SUMMARY

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5	Covering Period _____ through _____		
6	a) Cash on Hand January 1, 19 _____		\$
	b) Cash on Hand at Beginning of Reporting Period	\$	
	c) Total Receipts (from Line 18)	\$	\$
	d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7	Total Disbursements (from Line 28)	\$	\$
8	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9	Debts and Obligations Owed TO the Committee (itemize all on Schedule C and or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10	Debts and Obligations Owed BY the Committee (itemize all on Schedule C and or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer

Anthony F. Daddino

Signature of Treasurer

*A. F. Daddino*

Date

10/4/90

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

FEC FORM 3X

(revised 4-87)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette Better Government Fund

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
People for John Heinz 122 C Street, NW Suite 650 Washington, D.C. 20001	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/23/88	MEMO \$1,000
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	\$1,000
TOTAL This Period (last page this line number only)	\$1,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2. FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3. <input type="checkbox"/> This committee qualified as a multicandidate committee <b>DURING THIS</b> Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)  
1989
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

### SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period _____ through _____		
6. (a) Cash on Hand January 1, 19____		\$
(b) Cash on Hand at Beginning of Reporting Period	\$	
(c) Total Receipts (from Line 18)	\$	\$
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7. Total Disbursements (from Line 28)	\$	\$
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	\$	<b>For further information contact:</b> Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Anthony F. Daddino

Signature of Treasurer

*A. F. Daddino*

Date

10/4/90

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g



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NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

2154061003

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Campaign Contribution (DLJ reported for Gen)	Date (month, day, year)	Amount of Each Disbursement This Period
Re-elect Congressman Chuck Schumer 1551 East 23rd Street Brooklyn, NY 11210	Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/03/89	MEMO \$5,000
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	\$5,000
TOTAL This Period (last page this line number only)	\$5,000

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1 NAME OF COMMITTEE (in full) Donaldson, Lufkin & Jenrette, Inc. Better Government Fund	2 FEC IDENTIFICATION NUMBER C00103325
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 140 Broadway	3 <input type="checkbox"/> This committee qualified as a multicandidate committee <b>DURING THIS</b> Reporting Period on _____ (date)
CITY, STATE and ZIP CODE New York, New York 10005	

### 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report 1989
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (Type of Election) election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election of \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment?  YES  NO

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5 Covering Period _____ through _____		
6 (a) Cash on Hand January 1, 19 _____	\$	\$
6 (b) Cash on Hand at Beginning of Reporting Period	\$	\$
6 (c) Total Receipts (from Line 18)	\$	\$
6 (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$	\$
7 Total Disbursements (from Line 28)	\$	\$
8 Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$	\$
9 Debts and Obligations Owed <b>TO</b> the Committee (itemize on Schedule C and/or Schedule D)	\$	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800 424 9535 Local 202 376 3120
10 Debts and Obligations Owed <b>BY</b> the Committee (itemize on Schedule C and/or Schedule D)	\$	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete

Type or Print Name of Treasurer

Anthony F. Daddino

Signature of Treasurer

*A. F. Daddino*

Date

10/1/90

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §4375

11040061504

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Donaldson, Lufkin & Jenrette, Inc. Better Government Fund

10406430

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bill Bradley for U.S. Senate c/o Levy, Pazanti & Assoc. 3450 Wilshire Blvd. #3450 Los Angeles, CA 90010	Campaign Contribution (not specified previously) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/03/89	MEMO \$2,000
B. Full Name, Mailing Address and ZIP Code Bill Bradley for U.S. Senate James R. Zazzali, Esq. Gateway 1 Newark, NJ 07102	Campaign Contribution (incompletely reported) Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/30/89	MEMO \$400
C. Full Name, Mailing Address and ZIP Code Bill Bradley for U.S. Senate James R. Zazzali, Esq. Gateway 1 Newark, NJ 07102	Campaign Contribution (incompletely reported) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/30/89	MEMO \$600
D. Full Name, Mailing Address and ZIP Code  *This contribution had been reported as a total of \$1,000.	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code However, the use of this contribution was designated as such on DLJ's check.	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	\$3,000
TOTAL This Period (last page this line number only)	\$3,000

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

September 28, 1990

James Carl Romanelli  
Bill Bradley for U.S. Senate  
P.O. Box 1990  
Woodbridge, New Jersey 07095

Dear Mr. Romanelli:

9 1 0 4 0 5 6 1 5 5 6  
This is to confirm your recent telephone conversation with Julie Strandlie of the law firm Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Life Assurance Society of the United States ("EQUIPAC").

DLJBGF and EQUIPAC have reviewed their contributions to ensure that together they did not exceed the \$5,000 per candidate per election limit. It appears that the PACs inadvertently donated an aggregate of \$6,400 to Senator Bradley's 1990 primary election campaign.

To avoid your committee's receipt of contributions that may be excessive, I would appreciate receiving the requested \$1,400 refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. In the meantime, DLJBGF will report the \$1,400 as an outstanding balance due on Schedule D of the PAC's October Quarterly Report.

The refund should be sent to the attention of Paul Camilleri, Donaldson, Lufkin & Jenrette, Inc., 140 Broadway, New York, New York 10005. If you have any further questions, please call me or Paul Camilleri at (212) 504-4475.

Sincerely,

*A. F. Daddino*

Anthony F. Daddino

**DONALDSON, LUFKIN & JENRETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005  
September 28, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

Cheryl Bruner  
Friends of Senator Rockefeller  
313 3rd. Street, NE  
Washington, DC 20002

Dear Ms. Bruner:

This is to confirm your recent telephone conversation with Julie Strandlie of the law firm Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Life Assurance Society of the United States ("EQUIPAC").

DLJBGF and EQUIPAC have reviewed their contributions to ensure that together they did not exceed the \$5,000 per candidate per election limit. It appears that the PACs inadvertently donated an aggregate of \$6,000 to Senator Rockefeller's 1990 general election campaign.

To avoid your committee's receipt of contributions that may be excessive, I would appreciate receiving the requested \$1,000 refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. In the meantime, DLJBGF will report the \$1,000 as an outstanding balance due on Schedule D of the PAC's October Quarterly Report.

The refund should be sent to the attention of Paul Camilleri, Donaldson, Lufkin & Jenrette, Inc., 140 Broadway, New York, New York 10005. If you have any further questions, please call me or Paul Camilleri at (212) 504-4475.

Sincerely,

*A. F. Daddino*  
Anthony F. Daddino

7104061007



**DONALDSON, LUFKIN & JENBETTE  
BETTER GOVERNMENT FUND**

140 Broadway, New York, New York 10005

June 5, 1990

John S. Chalsty, Chairman  
Anthony F. Daddino, Treasurer  
Michael A. Boyd, Secretary

The Honorable Fernand St. Germain  
21 Harbor View Drive  
Newport, RI 02840

Dear Congressman St. Germain:

This is to confirm your recent telephone conversation with Kate Boyce of the law firm of Patton, Boggs & Blow on my behalf regarding contributions your committee received from the Donaldson, Lufkin & Jenrette, Inc. Better Government Fund ("DLJBGF") and its affiliate, The Equitable Political Action Committee ("Equi-Pac").

DLJBGF and Equi-Pac have reviewed their contributions to ensure that together they did not exceed \$5,000 per candidate per primary and/or general election.

DLJBGF did not designate its \$5,000 contribution to your committee on April 5, 1985. Your committee reported that contribution for the 1986 primary election. Subsequently, DLJBGF contributed \$1,000 on April 29, 1986 and its affiliate Equi-Pac contributed \$1,000 on May 1, 1986. According to FEC records your committee reported both \$1,000 contributions after the primary election as general election contributions. DLJBGF did not receive a request for re-designation within the required 60 day limit and therefore we regret we must request a \$1,000 refund so that our primary election contributions to your committee will not be in excess of the \$5,000 limit.

I understand that you have agreed to refund DLJBGF's April 29, 1986 contribution. I would appreciate receiving the requested refund as soon as possible so that DLJBGF may file the appropriate amendments to its FEC reports. The refund should be sent to the attention of Mr. Paul Camilleri at the above address. Equi-Pac will also have to request a refund of its May 1, 1986 contribution. They will be contacting you under separate cover.

014061003

If you have any further questions, please call me or Paul Camilleri at (212) 504-4475. Thank you in advance for your assistance.

Sincerely,

*A. J. Daddino*

Anthony F. Daddino  
Treasurer

212 504 4475

91 JUN 26 AM 11:39

BEFORE THE FEDERAL ELECTION COMMISSION

**SENSITIVE**

In the Matter of	)	
	)	
Equitable Financial Services	)	MUR 3240
Political Action Committee and	)	
George A. Williams, as treasurer	)	
	)	
Donaldson, Lufkin & Jenrette, Inc.	)	
- Better Government Fund and Anthony F.	)	
Daddino, as treasurer	)	

GENERAL COUNSEL'S REPORT

I. BACKGROUND

The Equitable Financial Services Political Action Committee ("EQUI-PAC") and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and Anthony F. Daddino, as treasurer, two affiliated multicandidate committees, were referred to the Office of the General Counsel by the Reports Analysis Division ("RAD") for making a total of \$23,750 in apparent excessive contributions to seven (7) federal candidates during the 1987-88 election cycle; and a total of \$9,400 in apparent excessive contributions to five (5) federal candidates during the 1989-90 election cycle.

On March 19, 1991, the Commission found reason to believe that the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c). On April 22, 1991, the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and

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Anthony F. Daddino, as treasurer, responded to the Commission's reason to believe notification. (Attachment 1).

In this response respondents pointed out that they informed the Commission about the circumstances which resulted in the reason to believe finding. They noted that the PACs discovered the mistake which was the cause of the violations and, according to the respondents, "undertook substantial good faith efforts to correct the errors, and made full disclosure to the Commission's Reports Analysis Division." Respondents also expressed the belief that "this matter should be closed without any further action by the Commission and without the imposition of civil penalty." In the alternative respondents requested to enter into pre-probable cause conciliation. In this response it should be noted that respondents did not contest the facts set forth in the Commission's Factual and Legal Analysis. However, consistent with the Factual and Legal Analysis counsel on behalf of respondents stated, "DLJ-BGF and EQUI-PAC admit that their actions were inadvertent violations, but not (emphasis in original) knowing or willful violations."

II. ANALYSIS

Pursuant to 2 U.S.C. § 441a(a)(2)(A) no multicandidate political committee shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed \$5,000.

Pursuant to 2 U.S.C. § 441a(a)(5) all contributions made by political committees established or financed or maintained or

controlled by any corporation, labor organization, or any other person, including any parent, subsidiary, branch, division, department, or local unit of such corporation, labor organization, or any other person, or by any group of such persons, shall be considered to have been made by a single political committee. See also 11 C.F.R. § 110.3(a)(3)(ii).

Pursuant to 11 C.F.R. § 100.5(g)(2) all committees, including a separate segregated fund, established, financed, maintained or controlled by the same corporation, labor organization, person, or group of persons, including any parent, subsidiary, branch, division, department, or local unit thereof, are affiliated. Affiliated committees sharing a single contribution limitation include all committees established, financed, maintained or controlled by a single corporation and/or its subsidiaries. 11 C.F.R. § 100.5(g)(3)(i).

Any change in information previously submitted in a statement of organization shall be reported no later than 10 days after the date of the change. 2 U.S.C. § 433(c).

On December 21, 1989, representatives of The Equitable Financial Services Political Action Committee ("EQUI-PAC") and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and Anthony F. Daddino, as treasurer, two affiliated multicandidate committees informed the Reports Analysis Division ("RAD") that Equitable Financial Services had acquired Donaldson, Lufkin & Jenrette, Inc. ("DLJ") several years before but had not realized the ramifications of this acquisition on the two PACs. On

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December 27, 1989, the committees filed amended Statements of Organization reflecting the affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.). According to the treasurers of the committees in a letter received at the Commission on February 26, 1990:

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The Equitable acquired DLJ through a tender offer for the outstanding shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of the Equitable officials. Accordingly, Equitable and DLJ do not share operational identities. Each has its own internal management, office location, business plans, and, as is relevant to this issue, political action goals and objectives.

A review of the records shows that at the time of the merger, neither PAC gave any consideration to a need for affiliation. This is consistent with the philosophy of the agreement between DLJ and Equitable; no other staff function or operational area was combined or merged. Both PACs proceeded to operate independently.

The treasurers asserted that in 1987 the PACs started an informal procedure of notifying each other of its intended contributions to federal candidates. "Currently, we have strengthened the coordination between the PACs such that DLJ's planned contributions are formally reported to the Treasurer of EQUI-PAC before the DLJ contribution is made."

On March 28, 1990, RAD sent a Request for Additional Information ("RFAI") to the committees concerning several

possible excessive contributions to several federal candidate committees over four (4) election cycles. RAD also informed the treasurers that contributions from affiliated multicandidate committees to federal candidate committees could total no more than \$5,000 per election. RAD informed the committees that they should request a refund of the excessive portions of the contributions. As of the date of the RAD Referral the committees had received refunds of \$27,150 and had requested refunds of the remaining \$6,000 in apparent excessive contributions.

The affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.) also resulted in the two committees sharing a single contribution limit of no more than \$5,000 per election. See Advisory Opinion 1985-27. Reports filed by the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, show that they jointly contributed more than \$5,000 per election in violation of 2 U.S.C. § 441a(a)(2)(A).

In addition the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, failed to amend their statements of organization to show their affiliation no later than 10 days after the date of the change in violation of

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2 U.S.C. § 433(c).<sup>1</sup>

Based on the facts that the excessive contributions were substantial; and that for approximately five years these violations continued the General Counsel recommends that the Commission deny the respondents request that the Commission take no further action.

**III. DISCUSSION OF CONCILIATION PROVISIONS AND CIVIL PENALTY**

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1. Political committees established by a single parent corporation are required to disclose the names and addresses of all political committees established by any subsidiary, while political committees established by subsidiaries are only required to disclose the name and address of each political committee established by their parent. 11 C.F.R. § 102.2(b)(1)(ii).

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**IV. RECOMMENDATIONS**

1. Deny the request by the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, that the Commission take no further action.

2. Deny the request by the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, that the Commission take no further action.

3. Enter into conciliation with the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, prior to a finding of probable cause to believe.

4. Enter into conciliation the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, prior to a finding of probable cause to believe.

5. Approve the attached proposed conciliation agreements and the appropriate letters.

Lawrence M. Noble  
General Counsel

June 25, 1991  
Date

BY: *Lois G. Lerner*  
Lois G. Lerner  
Associate General Counsel

Attachments

1. Request for conciliation
2. Proposed Conciliation Agreements (2)

Staff assigned: Phillip L. Wise

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FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

MEMORANDUM

TO: LAWRENCE M. NOBLE  
GENERAL COUNSEL

FROM: MARJORIE W. EMMONS/DELORES HARRIS *DH*  
COMMISSION SECRETARY

DATE: JUNE 28, 1991

SUBJECT: MUR 3240 - GENERAL COUNSEL'S REPORT  
DATED JUNE 25, 1991

The above-captioned document was circulated to the Commission on Wednesday, June 26, 1991 at 4:00 p.m.

Objection(s) have been received from the Commissioner(s) as indicated by the name(s) checked below:

Commissioner Aikens	_____
Commissioner Elliott	_____XXX_____
Commissioner Josefiak	_____
Commissioner McDonald	_____
Commissioner McGarry	_____
Commissioner Thomas	_____XXX_____

This matter will be placed on the meeting agenda for JULY 9, 1991.

Please notify us who will represent your Division before the Commission on this matter.

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
 ) MUR 3240  
Equitable Financial Services )  
Political Action Committee and )  
George A. Williams, as treasurer; )  
 )  
Donaldson, Lufkin & Jenrette, Inc. )  
- Better Government Fund and )  
Anthony F. Daddino, as treasurer. )

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the Federal Election Commission executive session on July 9, 1991, do hereby certify that the Commission decided by a vote of 6-0 to take the following actions in MUR 3240:

1. Deny the request by the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, that the Commission take no further action.
2. Deny the request by the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, that the Commission take no further action.
3. Enter into conciliation with the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, prior to a finding of probable cause to believe.

(continued)

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Federal Election Commission  
Certification: MUR 3240  
July 9, 1991.

Page 2

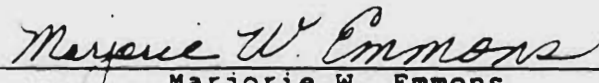
4. Enter into conciliation with the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, prior to a finding of probable cause to believe.
5. Approve the proposed conciliation agreements and the appropriate letters as recommended in the General Counsel's report dated June 25, 1991.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

7-10-91

Date

  
Marjorie W. Emmons  
Secretary of the Commission



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

July 17, 1991

Katharine R. Boyce, Esquire  
Patton, Boggs & Blow  
2550 M Street, N.W.  
Washington, D.C. 20037

RE: MUR 3240  
Equitable Financial  
Services Political Action  
Committee and George A.  
Williams, as treasurer

Dear Ms. Boyce:

On March 19, 1991, the Federal Election Commission found reason to believe that Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c). At your request, on July 9, 1991, the Commission determined to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. On that same date the Commission denied your clients' request that no further action be taken.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If your clients agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

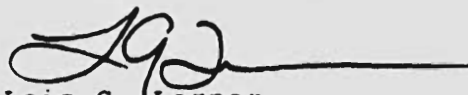
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Katharine R. Boyce, Esquire  
Page 2

If you have any questions or suggestions for changes in the agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Phillip L. Wise, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble  
General Counsel



BY: Lois G. Lerner  
Associate General Counsel

Enclosure  
Conciliation Agreement

210403645/2





FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20463

July 17, 1991

Katharine R. Boyce, Esquire  
Patton, Boggs & Blow  
2550 M Street, N.W.  
Washington, D.C. 20037

RE: MUR 3240  
Donaldson, Lufkin & Jenrette,  
Inc. - Better Government Fund  
and Anthony F. Daddino, as  
treasurer

Dear Ms. Boyce:

On March 19, 1991, the Federal Election Commission found reason to believe that Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c). At your request, on July 9, 1991, the Commission determined to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe. On that same date the Commission denied your clients' request that no further action be taken.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If your clients agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

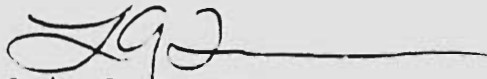
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Katharine R. Boyce, Esquire  
Page 2

If you have any questions or suggestions for changes in the agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Phillip L. Wise, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble  
General Counsel



BY: Lois G. Lerner  
Associate General Counsel

Enclosure  
Conciliation Agreement

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BEFORE THE FEDERAL ELECTION COMMISSION

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In the Matter of )  
 )  
Equitable Financial Services )  
Political Action Committee and )  
George A. Williams, as treasurer )  
 )  
Donaldson, Lufkin & Jenrette, Inc. )  
- Better Government Fund and Anthony F. )  
Daddino, as treasurer )

MUR 3240

**SENSITIVE**

GENERAL COUNSEL'S REPORT

I. BACKGROUND

Attached are conciliation agreements which has been signed by the attorney for Equitable Financial Services Political Action Committee ("EQUI-PAC") and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and Anthony F. Daddino, as treasurer. (Attachments 1 and 2, respectively). No civil penalty checks accompanied these signed agreements.

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II. RECOMMENDATIONS

1. Accept the attached conciliation agreements with Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer.

2. Close the file.
3. Approve the appropriate letter.

Lawrence M. Noble  
General Counsel

8-19-91  
Date

BY: *Lois G. Lerner*  
Lois G. Lerner  
Associate General Counsel

Attachments

1. Conciliation Agreement EQUI-PAC
2. Conciliation Agreement The Fund

Staff Assigned: Phillip L. Wise

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BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of )  
)  
Equitable Financial Services )  
Political Action Committee and )  
George A. Williams, as treasurer; ) MUR 3240  
Donaldson, Lufkin & Jenrette, Inc. - )  
Better Government Fund and )  
Anthony F. Daddino, as treasurer. )

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on August 22, 1991, the Commission decided by a vote of 6-0 to take the following actions in MUR 3240:

1. Accept the conciliation agreements with Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, as recommended in the General Counsel's Report dated August 19, 1991.
2. Close the file.

(Continued)

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3. Approve the appropriate letter, as recommended in the General Counsel's Report dated August 19, 1991.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:

8/22/91  
Date

Marjorie W. Emmons  
Marjorie W. Emmons  
Secretary of the Commission

Received in the Secretariat: Mon., August 19, 1991 4:08 p.m.  
Circulated to the Commission: Tues., August 20, 1991 11:00 a.m.  
Deadline for vote: Thurs., August 22, 1991 11:00 a.m.

dr

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FEDERAL ELECTION COMMISSION  
WASHINGTON D.C. 20463

**CLOSED**

August 27, 1991

Katharine R. Boyce, Esquire  
Patton, Boggs & Blow  
2550 M Street, N.W.  
Washington, D.C. 20037

RE: MUR 3240  
Donaldson, Lufkin & Jenrette,  
Inc. - Better Government Fund  
and Anthony F. Daddino, as  
treasurer; and Equitable  
Financial Services Political  
Action Committee and  
George A. Williams as,  
treasurer

Dear Ms. Boyce:

On August 22, 1991, the Federal Election Commission accepted the signed conciliation agreements submitted on your clients' behalf in settlement of a violation of 2 U.S.C. §§ 441a(a)(2)(A) and 433(c), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter.

This matter will become a part of the public record within 30 days. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel. Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the respondent and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreements, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreements for your files. I remind you that the civil penalties are due within 30 days of the conciliation

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Katharine R. Boyce, Esquire  
Page 2

agreements' effective date. If you have any questions, please contact Phillip L. Wise, the attorney assigned to this matter, at (202) 376-8200.

Sincerely,

Lawrence M. Noble  
General Counsel

*Lois G. Lerner*  
*lyg*

BY: Lois G. Lerner  
Associate General Counsel

Enclosure  
Conciliation Agreements

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RECEIVED  
FEDERAL ELECTION COMMISSION  
OFFICE OF LEGAL COUNSEL

91 AUG -6 PM 4:36

**BEFORE THE FEDERAL ELECTION COMMISSION**

In the Matter of	)	
	)	
Equitable Financial Services	)	MUR 3240
Political Action Committee and	)	
George A. Williams, as treasurer	)	

**CONCILIATION AGREEMENT**

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, ("Respondents") violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The Equitable Financial Services Political Action Committee is a political committee within the meaning of 2 U.S.C.

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§ 431(4).

2. George A. Williams is the treasurer of The Equitable Financial Services Political Action Committee.

3. Pursuant to 2 U.S.C. § 441a(a)(2)(A) no multicandidate political committee shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed \$5,000.

4. Pursuant to 2 U.S.C. § 441a(a)(5) all contributions made by political committees established or financed or maintained or controlled by any corporation, labor organization, or any other person, including any parent, subsidiary, branch, division, department, or local unit of such corporation, labor organization, or any other person, or by any group of such persons, shall be considered to have been made by a single political committee. See also 11 C.F.R. § 110.3(a)(3)(ii).

5. Pursuant to 11 C.F.R. § 100.5(g)(2) all committees, including a separate segregated fund, established, financed, maintained or controlled by the same corporation, labor organization, person, or group of persons, including any parent, subsidiary, branch, division, department, or local unit thereof, are affiliated. Affiliated committees sharing a single contribution limitation include all committees established, financed, maintained or controlled by a single corporation and/or its subsidiaries. 11 C.F.R. § 100.5(g)(3)(i).

6. Any change in information previously submitted in a statement of organization shall be reported no later than 10 days

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after the date of the change. 2 U.S.C. § 433(c).

7. On December 21, 1989, George A. Williams, the treasurer of The Equitable Financial Services Political Action Committee ("EQUI-PAC") and a representative of the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and its treasurer, Anthony F. Daddino, jointly called the Reports Analysts for their respective committees to inform them that Equitable Financial Services had acquired Donaldson, Lufkin & Jenrette, Inc. ("DLJ") several years before but had not realized the ramifications of this acquisition on the two PACs. EQUI-PAC and the Fund initiated this contact voluntarily and on their own initiative in a good faith effort to correct the situation and the underlying records. The EQUI-PAC treasurer and a Fund representative subsequently travelled to Washington, D.C. on January 18, 1990 in order to discuss the situation with their respective Reports Analysts and explain the actions taken to obtain refunds and to correct the public record.

8. On December 27, 1989, the committees filed amended Statements of Organization reflecting the affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.).

9. According to the treasurers of the committees, the Equitable acquired DLJ through a tender offer for the outstanding

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shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of the Equitable officials.

10. After the merger the two committees made contributions to federal candidates that, when aggregated, exceeded the \$5,000 per election contribution limitation by \$33,150.00, of which \$26,900.00 consisted of excessive contributions made by the Respondent.

V. 1. The Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, failed to amend its statement of organization to show its affiliation no later than 10 days after the date of the change in violation of 2 U.S.C. § 433(c).

2. The affiliation of EQUI-PAC and the Fund, pursuant to the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.), resulted in the two committees sharing a single contribution limit of no more than \$5,000 per election. Reports filed by the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, show that they jointly contributed more than \$5,000 per election in violation of 2 U.S.C. § 441a(a)(2)(A).

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3. When Respondents became aware of their obligations after the merger to file an amended Statement of Organization and take other corrective actions, they so advised the Reports Analysis Division ("RAD") and worked with RAD in mitigation efforts, including correcting errors, seeking contribution refunds where necessary, and filing amended reports.

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of One Thousand Five Hundred dollars (\$1,500.00), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

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X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble  
General Counsel

BY: *Lois G. Lerner*  
Lois G. Lerner  
Associate General Counsel

*8-27-91*  
Date

FOR THE RESPONDENTS:

*Katharine R. Boyce*  
Katharine R. Boyce  
Counsel to  
Equitable Financial Services  
Political Action Committee

*August 6, 1991*  
Date

11-40364537



RECEIVED  
FEDERAL ELECTION COMMISSION  
OFFICE OF GENERAL COUNSEL

91 AUG -6 PM 4:36

**BEFORE THE FEDERAL ELECTION COMMISSION**

In the Matter of	)	
	)	
Donaldson, Lufkin & Jenrette, Inc.-	)	MUR 3240
Better Government Fund and Anthony F.	)	
Daddino, as treasurer	)	

**CONCILIATION AGREEMENT**

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, ("Respondents") violated 2 U.S.C. §§ 441a(a)(2)(A) and 433(c).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do hereby agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund is a political committee within the meaning of

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2 U.S.C. § 431(4).

2. Anthony F. Daddino is the treasurer of the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund

3. Pursuant to 2 U.S.C. § 441a(a)(2)(A) no multicandidate political committee shall make contributions to any candidate and his authorized political committees with respect to any election for Federal office which, in the aggregate, exceed \$5,000.

4. Pursuant to 2 U.S.C. § 441a(a)(5) all contributions made by political committees established or financed or maintained or controlled by any corporation, labor organization, or any other person, including any parent, subsidiary, branch, division, department, or local unit of such corporation, labor organization, or any other person, or by any group of such persons, shall be considered to have been made by a single political committee. See also 11 C.F.R. § 110.3(a)(3)(ii).

5. Pursuant to 11 C.F.R. § 100.5(g)(2) all committees, including a separate segregated fund, established, financed, maintained or controlled by the same corporation, labor organization, person, or group of persons, including any parent, subsidiary, branch, division, department, or local unit thereof, are affiliated. Affiliated committees sharing a single contribution limitation include all committees established, financed, maintained or controlled by a single corporation and/or its subsidiaries. 11 C.F.R. § 100.5(g)(3)(i).

6. Any change in information previously submitted in a statement of organization shall be reported no later than 10 days

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after the date of the change. 2 U.S.C. § 433(c).

7. On December 21, 1989, George A. Williams, the treasurer of The Equitable Financial Services Political Action Committee ("EQUI-PAC") and a representative of the Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund ("the Fund") and its treasurer, Anthony F. Daddino, jointly called the Reports Analysts for their respective committees to inform them that Equitable Financial Services had acquired Donaldson, Lufkin & Jenrette, Inc. ("DLJ") several years before but had not realized the ramifications of this acquisition on the two PACs. EQUI-PAC and the Fund initiated this contact voluntarily and on their own initiative in a good faith effort to correct the situation and the underlying records. The EQUI-PAC treasurer and a Fund representative subsequently travelled to Washington, D.C. on January 18, 1990 in order to discuss the situation with their respective Reports Analysts and explain the actions taken to obtain refunds and to correct the public record.

8. On December 27, 1989, the committees filed amended Statements of Organization reflecting the affiliation of EQUI-PAC and the Fund, which resulted from the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.).

9. According to the treasurers of the committees, the Equitable acquired DLJ through a tender offer for the outstanding

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shares of DLJ common stock on December 13, 1984. The management approach was to allow DLJ to be a free-standing, independent subsidiary without day-to-day involvement of the Equitable officials.

10. After the merger the two committees made contributions to federal candidates that, when aggregated, exceeded the \$5,000 per election contribution limitation by \$33,150.00, of which \$6,250.00 consisted of excessive contributions made by the Respondent.

V. 1. The Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, failed to amend its statement of organization to show its affiliation no later than 10 days after the date of the change in violation of 2 U.S.C. § 433(c).

2. The affiliation of EQUI-PAC and the Fund, pursuant to the merger of the connected organizations of EQUI-PAC (Equitable Financial Services) and the Fund (Donaldson, Lufkin & Jenrette, Inc.) resulted in the two committees sharing a single contribution limit of no more than \$5,000 per election. Reports filed by the Equitable Financial Services Political Action Committee and George A. Williams, as treasurer, and Donaldson, Lufkin & Jenrette, Inc. - Better Government Fund and Anthony F. Daddino, as treasurer, show that they jointly contributed more than \$5,000 per election in violation of 2 U.S.C. § 441a(a)(2)(A).

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3. When Respondents became aware of their obligations after the merger to file an amended Statement of Organization and take other corrective actions, they so advised the Reports Analysis Division ("RAD") and worked with RAD in mitigation efforts, including correcting errors, seeking contribution refunds where necessary, and filing amended reports.

VI. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Seven Hundred Fifty dollars (\$750.00), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VII. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

VIII. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

IX. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

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X. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble  
General Counsel

BY: Lois G. Lerner  
Lois G. Lerner  
Associate General Counsel

8-27-91  
Date

FOR THE RESPONDENTS:

Katharine R. Boyce  
Katharine R. Boyce  
Counsel to  
Donaldson, Lufkin &  
Jenrette, Inc.

August 6, 1991  
Date

21040351523



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 3270

DATE FILMED 9/29/91 CAMERA NO. 4

CAMERAMAN AS

71040561574



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THE FOLLOWING DOCUMENTATION IS ADDED TO

THE PUBLIC RECORD IN CLOSED MUR 3240.

10/18/91

91040871730

OGC 2852

PATTON, BOGGS & BLOW  
2550 M STREET, N.W.  
WASHINGTON, D.C. 20037  
(202) 457-6000

TWT TELER: 197780  
TELECOPIER: 457-6315

WRITER'S DIRECT DIAL

(202) 457-6094

September 20, 1991

**CLOSED**

BY HAND

Phillip L. Wise, Esquire  
Office of General Counsel  
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

Re: MUR 3240

Dear Mr. Wise:

Enclosed you will find two checks in payment of the civil penalties assessed in MUR 3240. The first check is from the DLJ Better Government Fund in the amount of Seven Hundred Fifty Dollars (\$750.00) and the second check is from the Equitable Life Assurance Society Political Action Committee in the amount of Fifteen Hundred Dollars (\$1,500.00).

The respondents do not wish to submit any additional factual or legal materials for the public record and hereby request that information derived in negotiations over the conciliation agreements not become part of the public record.

If you have any questions, please feel free to give me a call at the above number.

Sincerely,



Katharine R. Boyce

KRB/vsa

Enclosures

91040371731

91 SEP 23 AM 11:36

RECEIVED  
FEDERAL ELECTION COMMISSION  
OFFICE OF GENERAL COUNSEL



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

Sept 23, 1991

TWO WAY MEMORANDUM

TO: Fabrae Brunson  
OGC, Docket

FROM: Philomena Brooks *PB*  
Accounting Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from DJL Better  
Gov Fund, check number 0944, dated  
Sept 6, 1991, and in the amount of \$ 750.00.  
Attached is a copy of the check and any correspondence that  
was forwarded. Please indicate below the account into which  
it should be deposited, and the MUR number and name.

*Hand Delivered*

TO: Philomena Brooks  
Accounting Technician

FROM: Fabrae Brunson  
OGC, Docket

In reference to the above check in the amount of  
\$ 750.00, the MUR number is 3240 and in the name of  
Donaldson Rubin & Jemette, Inc.. The account into  
which it should be deposited is indicated below:

- Budget Clearing Account (OGC), 95F3875.16
- Civil Penalties Account, 95-1099.160
- Other: \_\_\_\_\_

Fabrae Brunson  
Signature

Sept 23, 1991  
Date

91040371732



**DLJ BETTER GOVERNMENT FUND**

140 BROADWAY  
NEW YORK, NY 10005

0944

September 6 19 91

$\frac{1-12}{210}$

PAY TO THE  
ORDER OF Federal Election Commission

\$ 750.00

Seven Hundred and Fifty-----DOLLARS

**CHEMICAL BANK**

55 Water Street  
New York, N.Y. 10041

FOR MUR 3240 - Civil Penalty

⑈000944⑈ • ⑈021000128⑈ 066⑈123232⑈

*A. J. Daddino*



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

Sept 23, 1991

TWO WAY MEMORANDUM

TO: Fabrae Brunson  
OGC, Docket

FROM: Philomena Brooks *PB*  
Accounting Technician

SUBJECT: Account Determination for Funds Received

We recently received a check from Equitable Life Assurance Society Pk, check number 1754, dated Sept 13, 1991, and in the amount of \$ 1500.00. Attached is a copy of the check and any correspondence that was forwarded. Please indicate below the account into which it should be deposited, and the MUR number and name.

*Hand Delivered*

TO: Philomena Brooks  
Accounting Technician

FROM: Fabrae Brunson *FB*  
OGC, Docket

In reference to the above check in the amount of \$ 1500, the MUR number is 3240 and in the name of Equitable Financial Services. The account into which it should be deposited is indicated below:

- Budget Clearing Account (OGC), 95F3875.16
- Civil Penalties Account, 95-1099.160
- Other: \_\_\_\_\_

*Fabrae Brunson*  
Signature

Sept 23, 1991  
Date

91040371734

**EQUITABLE LIFE ASSURANCE SOCIETY  
POLITICAL ACTION COMMITTEE**  
A/K/A (EQUI-PAC)

1754

September 13 1991

1-297  
21037

PAY  
TO THE  
ORDER OF Federal Election Commission

\$ 1,500.00

One thousand five hundred ----- DOLLARS



The Chase Manhattan Bank, N.A.  
110 52nd Street at Avenue of the Americas  
New York, NY 10019

*Charles J. Stuenkel*

FOR Payment of Civil Penalty -MUR 3240

*Robert Jones*

⑈001754⑈ ⑆081000024⑆ ⑆037 ⑆ 096918⑈