



FEDERAL ELECTION COMMISSION
WASHINGTON, D C 20463

THIS IS THE BEGINNING OF MUR # 3009

DATE FILMED 4/13/90 CAMERA NO. 4

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REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

DATE: 1 September 1989

ANALYST: David J. Blakeley

I. COMMITTEE: Rhode Island Democratic State Committee
(C00136200)
William J. Piccerelli, Treasurer
1991 Smith Street
North Providence, RI 02911

II. RELEVANT STATUTE: 2 U.S.C. §441a(d)(3)(A) and 441a(f)

III. BACKGROUND:

Apparent Excessive Coordinated Expenditures made on Behalf
of a Federal Candidate

The Rhode Island Democratic State Committee (the "State Committee") disclosed coordinated expenditures totalling \$15,339.77 on behalf of Senate candidate Richard Licht in calendar year 1988 (see chart and Attachments). The Democratic Senatorial Campaign Committee (the "National Committee") disclosed coordinated expenditures totalling \$87,610.10 also on behalf of Senate candidate Richard Licht (see chart and Attachments). The total coordinated expenditures spent on behalf of Richard Licht, \$102,949.87, exceeds the combined limit, \$92,200, by \$10,749.87.

On June 21, 1989, the Reports Analysis Division ("RAD") analyst sent a Request for Additional Information ("RFAI") questioning whether the State Committee designated a portion or all of its coordinated expenditure limit to the National Committee, and explaining that between the two committees they had exceeded the combined limit of \$92,200 for the 1988 general election (Attachment 3).

A Second Notice was sent on July 13, 1989 for failure to respond (Attachment 4).

On July 17, 1989, Ms. Susann Della Rosa, a representative of the State Committee, called the RAD analyst for clarification of the RFAI. The analyst explained the coordinated expenditure limits and the process of designating a portion or all of a committee's limit. The analyst also explained that the committee found to have exceeded its limit (either the State or National Committee) must seek a refund from the Licht '88 Committee (Attachment 5).

RHODE ISLAND DEMOCRATIC STATE COMMITTEE
REPORTS ANALYSIS OGC REFERRAL
PAGE 2

On July 24, 1989, the State Committee acknowledged in writing the receipt of the Commission letters, and stated that the coordinated expenditures were being looked into (Attachment 6).

On August 2, 1989, Ms. Della Rosa called and explained that the State Committee had designated its entire coordinated expenditure limit to the National Committee, then the State Committee staff had unwittingly made additional coordinated expenditures.*/ Ms. Della Rosa further stated that they intended to contact the Licht '88 Committee and reattribute \$5,000 of the \$10,749.87 excessive as an in-kind contribution for the general election, then seek a refund for the balance (Attachment 7).

On August 15, 1989, Ms. Della Rosa called and said they were contacting the National Committee to request that the unused portion of the coordinated expenditure limit that they had designated to the National Committee, be redesignated back to them. They also stated they were still in the process of seeking to attribute \$5,000 of the \$10,749.87 excessive as an in-kind contribution to Richard Licht, and obtain a refund for the balance. The State Committee said they would report their activity as soon as possible (Attachment 9).

On August 22, 1989, Ms. Della Rosa called and said the candidate committee was in debt and could not reimburse the State Committee at this time. She was told by the RAD analyst to disclose the debt on the State Committee report until it is paid. She again stated they were seeking to attribute \$5,000 as an in-kind contribution and to obtain the unused portion of the coordinated expenditure limit from the National Committee (Attachment 10).

On August 31, 1989, the State Committee submitted a written response which stated that they were in the process of obtaining the unused portion of the coordinated expenditure limit from the National Committee. The response also noted that \$5,000 of the State Committee's excessive expenditure would be allocated as an in-kind contribution to

*In response to an RFAI, the National Committee submitted copies of the written agreement with the State Committee. The agreement authorized the National Committee to use the State Committee's 2 U.S.C. §441a(d) limit (Attachment 8).

RHODE ISLAND DEMOCRATIC STATE COMMITTEE
REPORTS ANALYSIS OGC REFERRAL
PAGE 3

the Licht '88 committee and included a copy of a letter sent to Richard Licht requesting a reimbursement for \$5,749.87 (Attachment 11).

As of this date, no further response has been received from the State Committee.

IV. OTHER PENDING MATTERS INITIATED BY RAD:

None.

00040733963

COORDINATED EXPENDITURES MADE ON BEHALF OF RICHARD LIGHT

BY: THE RHODE ISLAND DEMOCRATIC STATE COMMITTEE

VENDOR	PURPOSE	DATE	AMOUNT
NATIONAL MANAGEMENT ASSOCIATION	VOTER IDENTIFICATION	7/1-7/12/88	\$8750.00
MORRIS NOVELTY	BALLOONS, HATS FOR RALLY	10/13/88	\$587.50
OCEAN STATE RENTAL CORP.	CHAIR RENTAL FOR RALLY	10/25/88	\$79.50
NRC POSTER CORP.	POSTERS FOR RALLY	10/25/88	\$644.48
PROFESSIONAL MUSIC AUDIO	SOUND SYSTEM FOR RALLY	10/25/88	\$2020.00
PROVIDENCE JOURNAL BULLETIN	POLITICAL ADVERTISEMENT	11/02/88	\$947.24
SANDY FEIT	GRAPHIC DESIGN, RALLY POSTERS	11/23/88	\$181.00
ADMAKER SERACE, INC.	ARTWORK FOR ADVERTISEMENT	11/23/88	\$31.31
WESTERLY HIGH SCHOOL BAND	MUSIC FOR RALLY	12/09/88	\$200.00
CITY OF PROVIDENCE	POLICE AND PUBLIC WORKS EXPENCES FOR RALLY	12/15/88	\$1410.04
PROVIDENCE CIVIC CENTER	RENTAL OF EQUIPMENT FOR RALLY	12/15/88	\$149.50
MORRIS NOVELTY	HELIUM FOR RALLY	12/31/88	\$339.20
			TOTAL: \$15,339.77
BY: THE DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE			
DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE (DSCC)	COMPUTER DATABASE	10/22/87	\$1300.00
DSCC	CONFERENCE FEE	5/20/88	\$400.00
DSCC	VIDEO	6/01/88	\$100.00
PETER MART RESEARCH ASSC.	POLLING	9/16/88	\$15,000.00
T-BELL TRAVEL	TRAVEL	10/11/88	\$324.00
GREER & ASSOCIATES, INC.	MEDIA	10/17/88	\$70,000.00
TRIPPI, JOE	TRAVEL	10/19/88	\$160.60
T-BELL TRAVEL	TRAVEL	12/08/88	\$325.50
			TOTAL: \$87,610.10
			GRAND TOTAL: \$102,949.87
C O S T S O F R A L L Y			

FEDERAL ELECTION COMMISSION
1987-1988
COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

Attachment 1
(1 of 2)

DATE 25AUG89

PAGE 1

COMMITTEE	DOCUMENT	RECEIPTS	DISBURSEMENTS	COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
						TYPE OF FILER
RHODE ISLAND DEMOCRATIC STATE COMMITTEE				IC #000126200	PARTY QUALIFIED	
CONNECTED ORGANIZATION:						
1987	MID-YEAR REPORT	3,478	4,130	1JAN87 -30JUN87	8	87FEC/480/0747
	YEAR-END	8,230	535	1JUL87 -31DEC87	7	88FEC/507/2340
1988	APRIL QUARTERLY	3,179	614	1JAN88 -30MAR88	6	88FEC/513/5084
	JULY QUARTERLY	31,237	3,278	1APR88 -30JUN88	11	88FEC/537/1854
	1 ST LETTER INFORMATIONAL NOTICE			1APR88 -30JUN88	2	88FEC/541/3427
	OCTOBER QUARTERLY	38,245	45,322	1JUL88 -30SEP88	15	88FEC/551/5041
	REQUEST FOR ADDITIONAL INFORMATION			1JUL88 -30SEP88	14	88FEC/558/1213
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1JUL88 -30SEP88	15	88FEC/600/0654
	PRE-GENERAL	2,776	17,348	1OCT88 -19OCT88	12	88FEC/562/5238
	REQUEST FOR ADDITIONAL INFORMATION			1OCT88 -19OCT88	14	88FEC/598/1199
	REQUEST FOR ADDITIONAL INFORMATION 2ND			1OCT88 -19OCT88	7	88FEC/600/0651
	POST-GENERAL	98,726	77,657	20OCT88 -28NOV88	31	88FEC/573/2037
	POST-GENERAL - AMENDMENT			20OCT88 -28NOV88	2	88FEC/577/3404
	POST-GENERAL - AMENDMENT	98,726	77,657	20OCT88 -28NOV88	6	88FEC/581/3467
	POST-GENERAL - AMENDMENT			20OCT88 -28NOV88	2	88FEC/585/5039
	REQUEST FOR ADDITIONAL INFORMATION			20OCT88 -28NOV88	2	88FEC/576/0816
	REQUEST FOR ADDITIONAL INFORMATION 2ND			20OCT88 -28NOV88	1	88FEC/579/4898
	REQUEST FOR ADDITIONAL INFORMATION			20OCT88 -28NOV88	14	88FEC/598/1185
	REQUEST FOR ADDITIONAL INFORMATION 2ND			20OCT88 -28NOV88	7	88FEC/600/0648
	YEAR-END	2,088	5,642	29NOV88 -31DEC88	9	88FEC/581/3472
	REQUEST FOR ADDITIONAL INFORMATION			29NOV88 -31DEC88	14	88FEC/598/1171
	REQUEST FOR ADDITIONAL INFORMATION 2ND			29NOV88 -31DEC88	7	88FEC/600/0645
88	MISCELLANEOUS REPORT TO FEC			24JUL89	2	88FEC/602/2604
TOTAL		184,979	0	154,826	0	396 TOTAL PAGES

All reports referenced above have been reviewed

Ending cash on hand as of December 31, 1988: \$31,408.64

Outstanding debts owed by the committee: \$0

Outstanding debts owed to the committee: \$0

These 1000s are used for the purpose of reporting expenditures for the purpose of the election.				
Full Name, Mailing Address and ZIP Code of Each Person				
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
National management Association 312 Pennsylvania Ave, Washington, DC 20003	Richard Light Rhode Island Senate	Voter Identification	7/1-7/12	\$750.00 NED
Aggregate General Election Expenditure for this Candidate - \$				
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditure for this Candidate - \$				
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditure for this Candidate - \$				
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditure for this Candidate - \$				
SUBTOTAL of Expenditures This Page (add line)				
TOTAL This Period (add page this line number on)				8750.00

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B13

SCHEDULE F

**EXPENSES OF CANDIDATES FOR FEDERAL OFFICE
POLITICAL PARTY COMMITTEES AND CANDIDATES
ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE
U.S.C. (26 USC 527)**

(2 of 12)

Page 1 of 1
Last Name

(To be used only by candidates in the General Election)

Name of Political Committee (in Full) RHODE ISLAND DEMOCRATIC STATE COMMITTEE				
Has your Committee been designated to make coordinated expenditures by a political party committee? ☐ YES ☒ NO If YES, name the designating committee:				
Full Name, Mailing Address and ZIP Code of Subordinate Committee				
Full Name, Mailing Address and ZIP Code of Each Payor	Name of Federal Candidate Supported (State, District & Office Sought)	Purpose of Expenditure	Date (month, day, year)	Amount
MORRIS ANVELTY 201 PINE ST. PAWTHUCKET, RI	RICHARD LIGHT RI, U.S. SENATE	Balloons, hats for Rally	11/13/87	587.50
Aggregate General Election Expenditure for this Candidate - \$ 9337.50				
Full Name, Mailing Address and ZIP Code of Each Payor	Name of Federal Candidate Supported (State, District & Office Sought)	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditure for this Candidate - \$				
Full Name, Mailing Address and ZIP Code of Each Payor	Name of Federal Candidate Supported (State, District & Office Sought)	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditure for this Candidate - \$				
Full Name, Mailing Address and ZIP Code of Each Payor	Name of Federal Candidate Supported (State, District & Office Sought)	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditure for this Candidate - \$				
SUBTOTAL of Expenditures This Page (include)				
TOTAL for this Page (set page this line number to 0)				

9 3 0 24 30 376

013

SCHEDULE F

FINANCIAL DISCLOSURE STATEMENT FOR CANDIDATES FOR POLITICAL OFFICE
AND CANDIDATES FOR POLITICAL OFFICE
AND CANDIDATES FOR POLITICAL OFFICE

(4 of 12)

Rep 208
 Line Number 23

(To be used only by Political Committee in the General Election)

Name of Political Committee (in Full)				
Rhode Island Democratic State Committee				
Has your Committee been designated to make coordinated expenditures by a political party committee? ☐ YES ☒ NO				
Full Name, Mailing Address and ZIP Code of Subordinate Committee				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
SANDY FEIT 465 WAYLAND AVE PROVIDENCE, RI 02906	RICHARD LIGHT RHODE ISLAND U.S. SENATE	GRAPHIC DESIGN RALLY POSTERS	4/23/88	181.00
Aggregate General Election Expenditure for this Candidate - \$ 1,792.47				
PROVIDENCE JOURNAL BULLETIN 75 FOUNTAIN ST. PROVIDENCE, RI 02902	RICHARD LIGHT RHODE ISLAND U.S. SENATE	POLITICAL ADVERTISEMENT	11/2/88	947.24
Aggregate General Election Expenditure for this Candidate - \$ 947.24				
See above	FERDINAND J. STGERMAIN RHODE ISLAND 1ST CD - CONGRESS	POLITICAL ADVERTISEMENT	11/2/88	947.24
Aggregate General Election Expenditure for this Candidate - \$ 947.24				
See above	RUTH MORGENTHAU RHODE ISLAND 2ND DISTRICT CONGRESS	POLITICAL ADVERTISEMENT	11/2/88	947.24
Aggregate General Election Expenditure for this Candidate - \$ 947.24				
SUBTOTAL of Expenditures This Page (optional)				3022.72
TOTAL This Period (last page this line number only)				

SCHEDULE F

THIS SCHEDULE IS TO BE COMPLETED BY
POLITICAL CANDIDATES WHO ARE REGISTERED
IN THE STATE OF RHODE ISLAND

2010
2011

Use for use only for Political Candidates in the General Election

Name of Political Committee (in Full)				
RHODE ISLAND DEMOCRATIC STATE COMMITTEE				
Has your Committee been designated to make expenditures for a political party committee? YES NO				
If YES, name the designating committee:				
Full Name, Mailing Address and ZIP Code of Subordinate Committee				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
ADMAKER SERAFIN, JR. 1886 SMITH ST. NO. PROVIDENCE, RI 02911	RICHARD LANT. RHODE ISLAND U.S. SENATE	RETWORK FOR ADVERTISEMENT	11/23/88	31.31
Aggregate General Election Expenditure for this Candidate—\$ 80,791.03				
SEE ABOVE	FERNAND STGERMAN RHODE ISLAND 1ST DISTRICT CONGRESS	ARTWORK FOR ADVERTISEMENT	11/23/88	31.31
Aggregate General Election Expenditure for this Candidate—\$ 978.55				
SEE ABOVE	RUTH MIRGENTHAU RHODE ISLAND AND DISTRICT CONGRESS	ARTWORK FOR ADVERTISEMENT	11/23/80	31.30
Aggregate General Election Expenditure for this Candidate—\$ 978.55				
Aggregate General Election Expenditure for this Candidate—\$				
SUBTOTAL of Expenditures This Page (optional)				93.92
TOTAL This Period (last page this line number only)				13,390.62

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818

SCHEDULE F

FINANCIAL STATEMENT OF EXPENDITURES MADE BY
POLITICAL PARTY COMMITTEE OR POLITICAL AGENT(S)
ON BEHALF OF CANDIDATE FOR FEDERAL OFFICE
(U.S.C. (49102))

Page 1 of 1
Line Number 23

(To be used only by Political Committee in the General Election)

Name of Political Committee (in Full)				
Rhode Island Democratic State Committee				
Has your Committee been designated to make coordinated expenditures by a political party committee? ☒ YES ☐ NO				
If YES, name the designating committee:				
Full Name, Mailing Address and ZIP Code of Subordinate Committee				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Westerly High School Band Westerly, RI 02891	Richard Licht Rhode Island U.S. Senate	Music for rally	12/9/88	200.00
Aggregate General Election Expenditure for this Candidate - \$ 13,441.03				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
City of Providence Providence, RI 02903	see above	Police and public works expenses for rally	12/15/88	1410.04
Aggregate General Election Expenditure for this Candidate - \$ 14,851.07				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Providence Civic Center LaSalle square Providence, RI 02903	see above	Rental of equipment for rally	12/15/88	149.50
Aggregate General Election Expenditure for this Candidate - \$15,000.57				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Morris novelty 200 Main Street Pawtucket, RI 02860	see above	Helium for rally	12/31/88	339.20
Aggregate General Election Expenditure for this Candidate - \$15,339.77				
SUBTOTAL of Expenditures This Page (optional)				
TOTAL This Period (last page this line number only)				2098.74

0040703479

2098.74

SCHEDULE F

**ITEMIZED COORDINATED EXPENDITURES MADE BY
POLITICAL PARTY COMMITTEES OR DESIGNATED AGENTS
ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE
(2 U.S.C. §441a(d))**

(7 of 12)

Page 1 of 1
LINE NUMBER 2

(To be used only by Political Committees in the General Election)

Name of Political Committee (in Part)				
Democratic Senatorial Campaign Committee				
Has your Committee been designated to make coordinated expenditures by a political party committee? ☒ YES ☐ NO				
If YES, name the designating committee:				
Full Name, Mailing Address and ZIP Code of Submitter: Committee				
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Democratic Senatorial Campaign Committee 430 South Capitol St. SE Washington, DC 20003	Pat Moynihan New York Senate	Computer database	10/27/87	1,300.00 (IN-KIND)
	Aggregate General Election Expenditures for this Candidate—\$ 1,300.00			
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Democratic Senatorial Campaign Committee 430 South Capitol St. SE Washington, DC 20003	Richard Licht Rhode Island Senate	Computer database	10/22/87	1,300.00 (IN-KIND)
	Aggregate General Election Expenditures for this Candidate—\$ 1,300.00			
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditures for this Candidate—\$				
Full Name, Mailing Address and ZIP Code of Each Person	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditures for this Candidate—\$				
TOTAL OF EXPENDITURES THIS PAGE (attach if more than one page)				30
TOTAL OF EXPENDITURES THIS PAGE (attach if more than one page)				30

71B

SENATE

**POLITICAL PARTY COMMITTEE REPORT
ON BEHALF OF CANDIDATES FOR SENATE OFFICE
(2 U.S.C. §44301)**

Page 2 of 2
Form Number 2
(9 of 12)

(To be used only by Political Committees in the General Election)

Name of Political Committee (in Full)
Democratic Senatorial Campaign Committee

Has your Committee been designated to make coordinated expenditures by a political party committee? **YES** ☒ **NO** ☐
If YES, name the designating committee: **Democratic National Committee & appropriate state party committees**

Full Name, Mailing Address and ZIP Code of Subordinate Committee

Full Name, Mailing Address and ZIP Code of Sub-Committee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Democratic Senatorial Campaign Committee 430 S. Capitol St., SE Washington, D.C. 20003	Richard L. Loe Nevada U.S. Senate	Video Tape	08/01/80	300.00
Aggregate General Election Expenditures for this Candidate—\$ 300.00				
Democratic Senatorial Campaign Committee 430 S. Capitol St., SE Washington, D.C. 20003	Robert C. Byrd West Virginia U.S. Senate	Video Tape	08/01/80	100.00
Aggregate General Election Expenditures for this Candidate—\$ 100.00				
Full Name, Mailing Address and ZIP Code of Sub-Committee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditures for this Candidate—\$				
Full Name, Mailing Address and ZIP Code of Sub-Committee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Aggregate General Election Expenditures for this Candidate—\$				
SUBTOTAL of Expenditures This Page (entered)				300.00
TOTAL This Period (Run page this line number only)				\$10,872.22

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SCHEDULE F

**POLITICAL PARTY COMMITTEES (IN DESIGNATED STATES)
(ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE
(2 U.S.C. §441a(h)))**

(10 of 12)

(To be used only by Political Committees in the General Election)

Name of Political Committee (in full)

DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE

Has your Committee been designated to make coordinated expenditures by a political party committee? ☒ YES (fill in)

If YES, name the designating committee **Democratic National Committee and appropriate state parties**

Full Name, Mailing Address and ZIP Code of Subordinate Committee

Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Ken Richards 804-B Early Street Santa Fe, NM 87501	Jeff Bingaman New Mexico US Senate	Consulting Fees	09/27/88	4,000.00
Aggregate General Election Expenditure for this Candidate - \$ 45,527.04				
Barbara Silby 10812 Pleasant Hill Dr. Albuquerque, NM 87103	Jeff Bingaman New Mexico US Senate	Consulting Fees	09/27/88	2,100.00
Aggregate General Election Expenditure for this Candidate - \$ 45,527.04				
Democratic Senatorial Campaign Committee 430 S. Capitol St., SE Washington, DC 20003	Jeff Bingaman New Mexico US Senate	Polling	09/01/88	1,950.00
Aggregate General Election Expenditure for this Candidate - \$ 45,527.04				
Peter Hart Research Assc. 1724 Connecticut Ave., NW Washington, DC 20009	Richard Licht Rhode Island US Senate	Polling	09/16/88	15,000.00
Aggregate General Election Expenditure for this Candidate - \$ 16,800.00				
SUBTOTAL of Expenditures This Page (optional)				23,050.00
TOTAL This Period (last page this line number only)				

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SCHEDULE F

**ITEMIZED COORDINATED EXPENDITURES MADE BY
POLITICAL PARTY COMMITTEES OR DESIGNATED AGENT(S)
ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE
(2 U.S.C. §441a(d))**

Page 9 of 12
LINE NUMBER

(11 of 12)

(To be used only by Political Committees in the General Election)

Name of Political Committee (in Full)				
DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE				
Has your Committee been designated to make coordinated expenditures by a political party committee? ☒ YES ☐ NO				
If YES, name the designating committee: Democratic National Committee & appropriate state party committee				
Full Name, Mailing Address and ZIP Code of Subordinate Committee				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Greer & Associates, Inc. 2626 Pennsylvania Ave. NW Washington, DC 20037	Richard Licht Rhode Island U.S. Senate	Media	10/17/88	70000.00
Aggregate General Election Expenditure for this Candidate—\$ 87,284.60				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
T-Bell Travel 412 1st Street, SE Washington, DC 20003	Richard Licht Rhode Island U.S. Senate	Travel	10/11/88	324.00
Aggregate General Election Expenditure for this Candidate—\$ 87,284.60				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
Trippi, Joe 1312 Washington Drive Annapolis, MD 21403	Richard Licht Rhode Island U.S. Senate	Travel	10/19/88	160.60
Aggregate General Election Expenditure for this Candidate—\$ 87,284.60				
Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
'88 Texas, Inc. 824 Heights Boulevard Houston, TX 77007	Lloyd Bentsen Texas U.S. Senate	Professional Fees	10/05/88	100000.00
Aggregate General Election Expenditure for this Candidate—\$ 201,300.00				
SUBTOTAL of Expenditures This Page (attach all)				170484.60
TOTAL This Period (last page this line number only)				

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SCHEDULE F

**ITEMIZED COORDINATED EXPENDITURES MADE BY
POLITICAL PARTY COMMITTEES OR DESIGNATED AGENTS
ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE
(2 U.S.C. § 441a(d))**

(12 of 12)

(To be used only by Political Committees in the General Election)

Name of Political Committee				
DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE				
Has your Committee been designated by the Federal Election Commission as a political committee?				
If YES name the designated committee: <u>Democratic National Committee & appropriate state party</u>				
Full Name, Mailing Address and ZIP Code of each donor (print name)				
Full Name, Mailing Address and ZIP Code of Each Payer	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount
T-Bell Travel 412 First Street, SE Washington, DC 20003	Mike Lowry Washington U.S. Senate	Travel	12/08/86	495.50
			12/08/86	1237.50
Aggregate General Election Expenditure for this Candidate - \$ 1,733.00				
Reid Wilson 623 C Street, NE Washington, DC 20002	Mike Lowry Washington U.S. Senate	Travel	12/05/86	273.11
Aggregate General Election Expenditure for this Candidate - \$ 2050.65				
T-Bell Travel 412 First Street, SE Washington, DC 20003	John Vinich Wyoming U.S. Senate	Travel	12/08/86	424.00
			12/08/86	387.00
Aggregate General Election Expenditure for this Candidate - \$ 811.00				
T-Bell Travel 412 First Street, SE Washington, DC 20003	Richard Licht Rhode Island U.S. Senate	Travel	12/08/86	325.50
Aggregate General Election Expenditure for this Candidate - \$ 871.00				
SUBTOTAL of Expenditures This Period				3142.11
TOTAL This Period (Subtotal + \$ 546.29)				8565.20

03

RQ-2



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20461

JAN 21 1989

William J. Piccerelli, Treasurer
Rhode Island Democratic State
Committee
1911 Smith Street
Providence, RI 02911

Identification Number: C00136200

Reference: October Quarterly (7/1/88-9/30/88), 12
Day Pre-General (10/1/88-10/19/88), 30 Day Post-
General (10/20/88-11/28/88) and Year End (11/29/88-
12/31/88) Reports

Dear Mr. Piccerelli:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-The limitation on making coordinated expenditures on behalf of Senate candidates running in Rhode Island for the 1988 general election was \$92,200 (\$46,100 for the national party committee and \$46,100 for the state party committee). Your reports and those filed by the Democratic Senatorial Campaign Committee, disclose coordinated expenditures made on behalf of Richard Licht totalling \$102,949.87. These expenditures appear to exceed the limitations under 2 U.S.C. §441a(d) (pertinent portions attached).

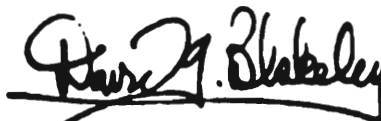
National and state party committees may designate a portion or all of their coordinated expenditure limits to each other or local party committees. Your committee disclosed coordinated expenditures on behalf of Richard Licht totalling \$15,339.77. Please clarify if your committee designated a portion of your expenditure limit to the Democratic National Committee. If so, please clarify how much of your limit was designated.

If the coordinated expenditures in question were incorrectly reported and/or you have additional information, you may wish to submit documentation for the public record.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the

date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 376-2480.

Sincerely,

A handwritten signature in dark ink, appearing to read "David J. Blakeley". The signature is written in a cursive style with a horizontal line underneath the name.

David J. Blakeley
Reports Analyst
Reports Analysis Division

9 0 0 7 6 3 9 8 2



FEDERAL ELECTION COMMISSION

WASHINGTON DC 20461

RQ-3

July 13, 1989

William J. Piccerelli, Treasurer
Rhode Island Democratic State
Committee
1991 Smith Street
North Providence, RI 02911

Identification Number: C00136200

Reference: October Quarterly (7/1/88-9/30/88), 12 Day Pre-General (10/1/88-10/19/88), 30 Day Post-General (10/20/88-11/28/88) and Year End (11/29/88-12/31/88) Reports

Dear Mr. Piccerelli:

This letter is to inform you that as of July 12, 1989, the Commission has not received your response to our request for additional information, dated June 21, 1989. That notice requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact David Blakeley on our toll-free number (800) 424-9530 or our local number (202) 376-2480.

Sincerely,

A handwritten signature in black ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

ANALYST: DAVID J. BLAKELEY

CONVERSATION WITH: SUSANN G. DELLA ROSA, CUSTODIAN OF RECORDS

COMMITTEE: RHODE ISLAND DEMOCRATIC STATE COMMITTEE (C00136200)

DATE: 7/17/89

SUBJECT(S): EXCESSIVE COORDINATED EXPENDITURES

Ms. Susann G. Della Rosa of the Rhode Island Democratic State Committee (the "Committee") called to ask for clarification of the Commission letter they had received concerning excessive coordinated expenditures. I explained the limitations on making coordinated expenditures; and explained that between the Committee and the Democratic Senatorial Campaign Committee, they had exceeded the expenditure limit by \$10,749.87 on behalf of Senate candidate Richard Licht. I further explained how the State and National Committees may designate a portion or all of their expenditure limits to each other; and that the Committee must determine if and how much of their limit was designated, and which committee exceeded their limit, and thus must seek a refund.

Ms. Della Rosa responded that she now understood the problem and would seek the required information.

20 JUL 84 0945 01

1881 Smith Street
North Providence, RI 02911
Tel (401) 232-3800

Major Barbara Morgan
Chairman
Barbara Cantel
Vice-Chairman
John & Mary E.
Secretary
William J. Pappas
Treasurer

Mr. David J. Blakely
Reports Analyst
Federal Election Commission
Washington, D.C. 20463

This will acknowledge receipt of your letter Identification No. C00136200 regarding coordinated party expenditures for the 1988 election cycle.

Very truly yours,

Luciano G Della Rosa

Susann G. Della Rosa
Custodian of the records
for
William J. Piccerelli
Treasurer

ANALYST: DAVID J. BLAKELEY
CONVERSATION WITH: SUSANN G. DELLA ROSA, CUSTODIAN OF RECORDS
COMMITTEE: RHODE ISLAND DEMOCRATIC STATE COMMITTEE
DATE: 8/2/89
SUBJECT(S): EXCESSIVE COORDINATED EXPENDITURES

Ms. Susann G. Della Rosa of the Rhode Island Democratic State Committee (the "Committee") called to inform me that she had determined that the Committee designated its full coordinated expenditure limit to the Democratic Senatorial Campaign Committee, then in error made additional coordinated expenditures. Ms. Della Rosa said it appears their Chairman designated the Committee's limit without informing the staff, thus leading to the excessives.

Ms. Della Rosa stated that since the Committee did not make any contributions to Richard Licht during the election, the Committee would seek to reattribute \$5,000 of the \$10,749.87 excessive as an in-kind contribution to Richard Licht, and seek a refund for the balance. I asked Ms. Della Rosa to respond in writing as soon as possible.



Democratic Senatorial Campaign Committee

430 South Capitol Street, S.E., Washington, D.C. 20003 • (202) 224-2447

August 4, 1988

Chairman:

John Kerry, MA

Co-Chairman:

Alan Cranston, CA

Regional Chairmen:

John Breaux, LA

Thomas A. Daschle, SD

Ex Officio:

Robert C. Byrd, WV

Daniel K. Inouye, HI

Full Committee:

Max Baucus, MT

Lloyd Bentsen, TX

Bill Bradley, NJ

Lawton Chiles, FL

Christopher J. Dodd, CT

Wyche Fowler, GA

Albert Gore, Jr., TN

Bob Graham, FL

J. Bennett Johnston, LA

Frank Lautenberg, NJ

Carl Levin, MI

Barbara Mikulski, MD

John D. Rockefeller IV, WV

Terry Sanford, NC

James Sasser, TN

Paul Simon, IL

National Finance Council:

Jerome C. Berlin

Chairman

Wesley E. Finch

National Coordinating Chairman

Steven D. Wilson

Vice Chairman

Lynn A. Schenk

G. Wayne Smith

Staff:

Robert A. Chlopak

Executive Director

Page S. Gardner

Political Director

Noel Gould

Finance Director

Robert F. Bauer

General Counsel

Mr. Salvatore Mancini

Chairman

Rhode Island Democratic Party

North Providence Town Hall

2000 Smith Street

North Providence, RI 02911

Re: Proposed Agreement with respect to 2
U.S.C. 441a(d) Spending Authority in the
1988 United States Senate Election in
Rhode Island.

Dear Mr. Mancini,

This letter sets forth in full the proposed agreement between the Democratic Senatorial Campaign Committee (DSCC) and the Rhode Island Democratic Party concerning 441a(d) spending authority in connection with the Rhode Island 1988 United States Senate election.

Please review this agreement and, if it is acceptable, initial your agreement on behalf of the Rhode Island party.

1. The Rhode Island Democratic Party hereby designates the DSCC as the agent of the Rhode Island Democratic Party for the exclusive purpose of making expenditures pursuant to 2 U.S.C. 441a(d) on behalf of the Democratic nominee in the 1988 United States Senate general election in Rhode Island up to the full amount allowed by law. The DSCC hereby accepts such agency.

2. In exercising its authority pursuant to this agreement, the DSCC will comply with the applicable dollar limitation of \$46,100, reporting the other requirements of the Federal Election Campaign Act, as amended, and the regulation promulgated thereunder.

3. Notwithstanding Paragraph 1, in the event that the Rhode Island Democratic Party wishes to make any 441a(d) expenditures on behalf of its United States Senate nominee in the general election, the Rhode Island Democratic Party may, by written notice to the DSCC, reassume portions of the

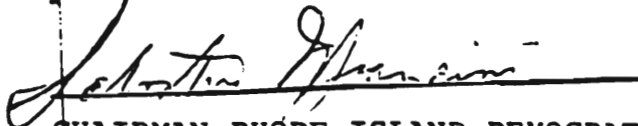
Mr. Salvatore Mancini
August 4, 1988
Page 2

authority delegated to the DSCC under this agreement,
to the extent that the DSCC shall not then have made,
or planned in coordination with any candidate to
make, such expenditures itself.


Robert A. Chlopak
Executive Director

Agreed by RHODE ISLAND DEMOCRATIC PARTY:

By:


Title: CHAIRMAN RHODE ISLAND DEMOCRATIC STATE COMMITTEE

Date:

AUGUST 31, 1988

cc: Robert F. Bauer
The Honorable Richard Licht

00040703335

ANALYST: DAVID J. BLAKELEY

CONVERSATION WITH: SUSANN G. DELLA ROSA, CUSTODIAN OF RECORDS

COMMITTEE: RHODE ISLAND DEMOCRATIC STATE COMMITTEE

DATE: 8/15/89

SUBJECT(S): EXCESSIVE COORDINATED EXPENDITURES

Ms. Susann G. Della Rosa of the Rhode Island Democratic State Committee (the "Committee") called to say that the Committee was trying to get a letter from the Democratic Senatorial Campaign Committee, redesignating the unused balance of their coordinated expenditure limit back to them. The Committee is also in the process of contacting the Richard Licht Committee, seeking to reattribute \$5,000 of the \$10,749.87 excessive as an in-kind contribution, and obtain a refund for the balance, but it appears the Licht Committee is out of money, and she is having trouble contacting the right person at that committee.

Again, I stressed the importance of responding in writing as soon as possible so this can go on the public record.

004070398

ANALYST: Jimeson

CONVERSATION WITH: Susann G. Della Rosa, Custodian of Records

COMMITTEE: Rhode Island Democratic State Committee(C00136200)

DATE: 8/22/89

SUBJECT(S): Excessive Coordinated Expenditures

Ms. Della Rosa stated the Candidate Committee was in debt and could not reimburse the State Committee at this time. I told her they should disclose the debt owed to them, by the Candidate Committee, on their reports until it is paid.

She again stated the Committee was redesignating \$5,000 as an in kind contribution and seeking to get the unused portion of the coordinated expenditure limit from the DSCC.

9004076399



Rhode Island Democratic State Committee

1991 Smith Street
North Providence, RI 02911
Tel. (401) 232-3800

Attachment 11 (1 of 2)

RECEIVED
FEDERAL ELECTION COMMISSION
MASSACHUSETTS

89 AUG 31 AM 10:22

Mayor Salvatore Mancini
Chairman
Hon. Kathleen Connell
First Vice Chairman
Mark S. Weiner, Sr.
Second Vice Chairman
Hon. Elaine Coderre
Third Vice Chairman
Caroline Cornwell
Secretary
Rose Ellen Reynolds
Recording Secretary
Barbara Gomes
Corresponding Secretary
William J. Picorelli
Treasurer
Biagio Maggiasomo
Assistant Treasurer

August 25, 1989

Mr. David Blakely
Federal Election Commission
Washington, D.C. 20463

Dear Mr. Blakely:

This will acknowledge receipt of your letter regarding the overexpenditure of coordinated party expenditures by the Rhode Island Democratic Party on behalf of United States Senate candidate Richard Licht.

The State Chairman did authorize the transfer of the State Party's allocation to the Democratic Senatorial Campaign Committee, however the staff was not notified.

Please be advised that the following steps are being taken to rectify this matter:

1. Since the DSCC did not spend the full amount authorized, a letter of reduction will be sent in the amount of \$4589.90,
2. Of the \$10,749.87 overspent by the State Party, \$5000.00 will be allocated as an in-kind contribution to the Licht 88 campaign,
3. Notification to the Licht 88 campaign that a total of \$5749.87 must be returned to the state party (copy attached)

You will be kept informed as to further developments.

Very truly yours,

Susann G. Della Rosa
Custodian of the Records

cc: Mayor Mancini
Licht 88 Committee
DSCC



Rhode Island Democratic State Committee

(2 of 2)

1991 Smith Street
North Providence, RI 02911
Tel. (401) 232-3800

Mayor Salvatore Mancini
Chairman
Hon. Kathleen Connell
First Vice Chairman
Mark S. Weiner Sr.
Second Vice Chairman
Hon. Elaine Codrino
Third Vice Chairman
Caroline Cornwell
Secretary
Rose Ellen Reynolds
Recording Secretary
Barbara Gomes
Corresponding Secretary
William J. Picozzelli
Treasurer
Biagio Maggicomo
Assistant Treasurer

August 25, 1989

Richard Licht, Esq.
Licht 88 Committee
350 Cole Avenue
Providence, RI 02906

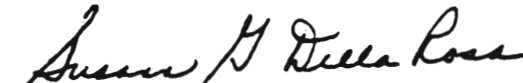
Dear Richard:

It has been brought to my attention by the Federal Election Commission that the Rhode Island Democratic State Committee overspent its allocation for coordinated party expenditures on your behalf for the Senate race of 1988.

As a result of this, the Licht 88 Committee must reimburse the State Party's Federal account in the amount of \$5749.87.

If you have any question, please do not hesitate to contact me.

Very truly yours,


Susann G. Della Rosa
Custodian of the Records

cc: Mayor Mancini
Federal election Commission ✓

89 OCT 31 AM 11:18

FEDERAL ELECTION COMMISSION
999 E Street, N.W.
Washington, D.C. 20463

SENSITIVE

FIRST GENERAL COUNSEL'S REPORT

RAD Referral # 89L-34
Staff Member: Xavier McDonnell

SOURCE of MUR: Internally Generated

RESPONDENTS: Rhode Island
Democratic State Committee and
William J. Piccerelli, as treasurer

RELEVANT STATUTES: 2 U.S.C. § 441a(d)(3)(A)
2 U.S.C. § 441a(f)
11 C.F.R. § 110.7(b)(1)(2)

I. GENERATION OF MATTER

This matter was generated by the Reports Analysis Division ("RAD"). The RAD referral indicated that the Rhode Island Democratic State Committee ("RIDSC") made excessive coordinated expenditures on behalf of Rhode Island Senate Candidate Richard Licht in connection with the 1988 election. By written agreement, the RIDSC designated the Democratic Senatorial Campaign Committee ("DSCC") its agent for the purpose of making expenditures pursuant to 2 U.S.C. § 441a(d) on behalf the Rhode Island Democratic nominee for U.S. Senate. Although the RIDC designated its full spending limit to the DSCC pursuant to the written agreement, the RIDSC spent \$15,339.77 on behalf of the Senate candidate.

II. ANALYSIS

The Federal Election Campaign Act of 1971, as amended (the "Act") sets limitations on expenditures by the national and state political party committees in connection with a general election of a federal candidate. 2 U.S.C. § 441a(d)(3). The Act further

provides that no political committee, or officer of a political committee, shall knowingly make any expenditure in violation of any provisions imposed under this section. 2 U.S.C. § 441a(f). The 1988 coordinated expenditure limit for the state and national party committees in the Rhode Island general election was \$46,100 each, for a combined limit of \$92,200. 2 U.S.C. § 441a(d)(3)(A), (i) and (ii).

By written agreement, the RIDSC designated the DSCC to act as its agent for the purpose of making all expenditures on behalf of the 1988 Rhode Island Democratic Senatorial candidate. The agency agreement, dated August 31, 1988, specifically provided that the DSCC would limit spending on behalf of the RIDSC to \$46,100, and that the DSCC would comply with the reporting requirements of the Act. Under the terms of the agreement, the RIDSC could, upon written notice, reassume portions of the spending authority delegated to the DSCC, to the extent the funds were not already allocated. Although the RIDSC allocated all of its spending authority to the DSCC, the RIDSC spent \$15,339.77 on behalf of the Rhode Island Senate Candidate.¹ There is nothing to indicate that the RIDSC gave the DSCC written notice that it was reassuming its spending authority. The only explanation offered by the RIDSC is that the Committee's staff was not informed of the agency agreement with the DSCC, and therefore made the excessive expenditures "unwittingly."

1. The DSCC disclosed coordinated expenditures totaling \$87,610.10 on behalf of Richard Licht. This amount added to the \$15,339.77 spent by RIDSC totals \$102,959.87, which exceeds the \$92,200.00 statutory limit.

The RIDSC has informed RAD that in order to reduce the amount of excess expenditures, the Committee will reattribute \$5,000 of its expenditures as an in-kind contribution.² In addition, the Committee is currently seeking a letter of reduction from the DSCC in the amount of \$4,589.90. Even if these amounts were deducted from RIDSC's expenditures, the remainder still would exceed the statutory ceiling on coordinated party expenditures. Accordingly, it appears the RIDSC exceeded the coordinated party expenditure limit for a 1988 Senate candidate in Rhode Island, and therefore there is reason to believe that the RIDSC, and its treasurer, William J. Piccerelli, violated 2 U.S.C. § 441a(f).

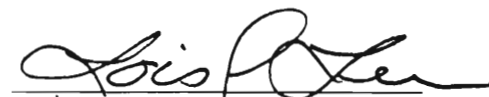
III. RECOMMENDATIONS

- 1) Open a MUR.
- 2) Find reason to believe that the Rhode Island Democratic State Committee and William J. Piccerelli, treasurer, violated 2 U.S.C. § 441a(f).
- 3) Approve the attached Interrogatories and Request for Production of Documents
4. Approve the attached Letter, and Factual and Legal Analysis.

Lawrence M. Noble
General Counsel

10-30-89
Date

BY:


Lois G. Lerner
Associate General Counsel

Attachments

1. Referral Materials
2. Proposed Letter, Factual and Legal Analysis
3. Interrogatories and Request for Production of Documents

2. The RIDSC did not make a contribution to the Candidate, and therefore this \$5,000 reattribution will not violate the contribution limit of 2 U.S.C. § 441a(a).

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)

Rhode Island Democratic State)
Committee and William J.)
Piccerelli, as treasurer)

RAD REFERRAL 89L-34

(MUR
3009)

CERTIFICATION

I, Marjorie W. Emmons, recording secretary for the
Federal Election Commission executive session on November 14,
1989, do hereby certify that the Commission decided by a vote
of 6-0 to take the following actions with respect to
RAD Referral 89L-34:

1. Open a Matter Under Review (MUR).
2. Find reason to believe that the Rhode Island
Democratic State Committee and William J.
Piccerelli, treasurer, violated 2 U.S.C.
§ 441a(f).
3. Approve the Interrogatories and Request
for Production of Documents as recommended
in the General Counsel's report dated
October 30, 1989, subject to the amendments
discussed in the meeting.

(continued)

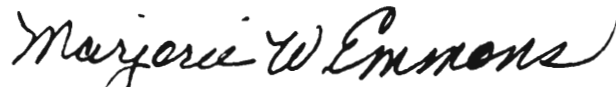
Federal Election Commission
Certification for RAD Referral 89L-34
November 14, 1989

Page 2

4. Approve the Letter, and Factual and Legal Analysis, as recommended in the General Counsel's report dated October 30, 1989, subject to amendment as agreed during the meeting discussion.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry, and Thomas voted affirmatively for the decision.

Attest:



Marjorie W. Emmons
Secretary of the Commission

November 17, 1989

93040700001



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

November 22, 1989

William J. Piccerelli, Treasurer
Rhode Island Democratic State Committee
1991 Smith Street
North Providence, R.I. 02911

RE: MUR 3009
Rhode Island Democratic
State Committee and
William J. Piccerelli,
as treasurer

Dear Mr. Piccerelli:

On November 14, 1989, the Federal Election Commission found that there is reason to believe the Rhode Island Democratic State Committee, ("Committee") and you, as treasurer, violated 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"). The Factual and Legal Analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against the Committee and you, as treasurer. You may submit any factual or legal materials that you believe are relevant to the Commission's consideration of this matter. Please submit such materials to the General Counsel's Office, along with answers to the enclosed questions, within 15 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information demonstrating that no further action should be taken against the Committee and you, as treasurer, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation.

If you are interested in pursuing pre-probable cause conciliation, you should so request in writing. See 11 C.F.R. § 111.18(d). Upon receipt of the request, the Office of the General Counsel will make recommendations to the Commission either proposing an agreement in settlement of the matter or recommending

William J. Piccerelli, Treasurer
Page 2

declining that pre-probable cause conciliation be pursued. The Office of the General Counsel may recommend that pre-probable cause conciliation not be entered into at this time so that it may complete its investigation of the matter. Further, the Commission will not entertain requests for pre-probable cause conciliation after briefs on probable cause have been mailed to the respondent.

Requests for extensions of time will not be routinely granted. Requests must be made in writing at least five days prior to the due date of the response and specific good cause must be demonstrated. In addition, the Office of the General Counsel ordinarily will not give extensions beyond 20 days.

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address, and telephone number of such counsel, and authorizing such counsel to receive any notifications and other communications from the Commission.

This matter will remain confidential in accordance with 2 U.S.C. §§ 437g(a)(4)(B) and 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Xavier K. McDonnell, the staff member assigned to this matter, at (202) 376-8200.

Sincerely,

Danny L. McDonald
Chairman

Enclosures

1. Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Form
4. Interrogatories And Request for
Production of Documents

066 4951



Rhode Island Democratic State Committee

1991 Smith Street
North Providence, RI 02911
Tel (401) 232-3800

RECEIVED
FEDERAL ELECTION COMMISSION
SECRETARIAT
89 DEC 22 AM 11:17

Mayor Salvatore Mancini
Chairman
Hon. Kathleen Connell
First Vice Chairman
Mark S. Weiner, Sr.
Second Vice Chairman
Hon. Elaine Coderre
Third Vice Chairman
Caroline Cornwell
Secretary
Rose Ellen Reynolds
Recording Secretary
Barbara Gomes
Corresponding Secretary
William J. Piccerelli
Treasurer
Bagio Maggacomo
Assistant Treasurer

December 11, 1989

Mr. Danny L. McDonald
Chairman
Federal Election Commission
Room 659
999 E Street, N.W.
Washington, D.C. 20463

Dear Chairman McDonald:

In reference to MUR 3009, enclosed please find answers to interrogatories. In addition, please find copy of a deposit to the Rhode Island Democratic State Committee from the Licht 88 Campaign, a partial payment for the amount owed.

Please be advised that the committee is interested in pursuing pre-probable cause conciliation.

If you need any further information, please do not hesitate to contact me.

Very truly yours,

Susann G. Della Rosa

Susann G. Della Rosa
Custodian of the Records

for

William J. Piccerelli
Treasurer

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF THE SECRETARY
89 DEC 22 PM 12:45

RHODE ISLAND STATE EMPLOYEES CR UN

160 FRANCIS ST

SER # 00000000815

PROVIDENCE, RI. 02903

(401) 751-7440

DON'T LINE UP-SIGN UP FOR DIRECT DEPOSIT

R I DEMOCRATIC FED ACCT

TRN #	ACCOUNT NUMBER	TRAN CODE	ID	SEQ NO	CURRENT DATE	EFF DATE	I APPROVE THE ENTRIES SHOWN
2	900000205-86	CSP	EMC	36971	09/20/89		X _____

TRN #	DESCRIPTION	PRIOR BALANCE	TRANSACTION AMT	NEW BALANCE	INTEREST/ADJUST	PRINCIPAL	NEXT DUE DATE
+	DEPOSIT	\$1281.40	\$500.00	\$1781.40			

NAME R.I. DEMOCRATIC STATE COM
 ACCOUNT NO. FEDERAL ACC
90000205-06

DATE 9. 20 1989



Providence, Rhode Island 02903

CASH →		500	00
TOTAL FROM OTHER SIDE		500	00
TOTAL		500	00

USE OTHER SIDE FOR ADDITIONAL LISTING. ENTER TOTAL HERE. BE SURE EACH ITEM IS PROPERLY ENDORSED.

57-9049/2115

1:2115904931: 20 20582871:

CHICKS AND OTHER ITEMS ARE RECEIVED FOR THE PURPOSES OF THE PROVISIONS OF THE EMPLOYEE COMPLAINT ACT FOR ANY APPLICANT COLLECTION ACTING IN

LIGHT "88" COMMITTEE
 P.O. BOX 547
 PROVIDENCE, RI 02901

REMITTANCE ADVISE

1182

57-12
115

PAY

Five hundred Dollars & no

DOLLARS

DATE	TO THE ORDER OF	CHECK NO.	DESCRIPTION	CHECK AMOUNT
9/17/89	R.I. Democratic State Comm.	1182	Repayment	500.00

1991 South Street

Providence, RI 02911

CITIZENS BANK
 CITIZENS TRUST COMPANY - PROVIDENCE, R.I.

John Vogel

10011821 0115001201 119 387 21

7000020506

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

)
) MUR 3009
)

RESPONDENT'S ANSWERS AND RESPONSE TO
INTERROGATORIES AND REQUEST
FOR PRODUCTION OF DOCUMENTS

1. Attached is the documentation you have requested:
- a) Check Numbers 102, 112, 113, 114, 117, 120, 121, 122, 211, and 240, all of which have accompanying invoices
 - b) Check Numbers 108, 110, 111, 115, 119, and 234, all of which have no accompanying documentation.
2. Attached is the agency agreement.
- a) None.
 - b) None.
3. a) Yes, attached is copy of written notice.
- b) No one from the Democratic State Committee contacted the Democratic Senatorial Campaign Committee before making expenditures on behalf of Richard Licht.

Susann G. Della Rosa

Susann G. Della Rosa
Custodian of the Records

STATE OF RHODE ISLAND
COUNTY OF PROVIDENCE

Sworn and subscribed to before me on the eleventh day of
December, 1989.

Ralph J. Della Rosa
Notary Public
My Commission Expires: June 30, 1991

91040764004

211

R.I. DEMOCRATIC STATE COMMITTEE
FEDERAL ACCOUNT

PAY TO THE ORDER OF *National Independent Association* \$ *7500.00*

Seventy-five hundred dollars and 00/100 DOLLARS

RI STATE EMPLOYEES
FEC
Providence, Rhode Island 02903

JUL 18 88

CREDIT UNION
PROVIDENCE, RI

MEMO

⑆211590493⑆ 20 20582870 0211 ⑆0000750000⑆

102

R. I. DEMOCRATIC STATE COMMITTEE 05-88

"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

PAY TO THE ORDER OF *National Independent Association* \$ *7500.00*

Seventy-five hundred dollars and 00/100 DOLLARS

RI STATE EMPLOYEES
FEC
Providence, Rhode Island 02903

CREDIT UNION
PROVIDENCE, RI

MEMO

⑆211590493⑆ 20 209846 0102 ⑆0000750000⑆

CONTRACT PRICE

\$15,000.00

PAYMENT PLAN

June 24, 1988

\$ 7,500.00 *paid*

July 11, 1988

\$ 7,500.00 *paid*

CANCELLATION

The Rhode Island Democratic State Party may cancel this Part One contract at any time without cause upon payment of all monies due to NMA up to and including the date that official notice of cancellation is received in writing at NMA headquarters in Washington, D.C.

Monies due NMA upon cancellation would include the following:

1. All office and telephone charges due or obligated on date of cancellation.
2. All caller and clerical costs due and obligated to and including date of cancellation based upon hours worked by each caller and clerical person.
3. All assistant supervisory salaries due and obligated to and including date of cancellation based upon weeks worked by each assistant supervisor.
4. NMA Project Director salary to the end of the week in which the cancellation is made.
5. NMA Project Director expenses due or obligated to and including date of cancellation including apartment rental and auto rental.
6. NMA Management fee and travel expenses of \$5,000.00.

R. I. DEMOCRATIC - 06-88
STATE COMMITTEE
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

112
October 25, 1988
57-9049/2115

PAY TO THE ORDER OF *Ocean State Dental Corp* \$ *79.50*
Seventy nine and 50/100 DOLLARS

RI STATE EMPLOYMENT 752-04 11-03-88 01100001
NOV 03 88

MEMO *See #6520* CREDIT *Sal Mancini*
⑆211590493⑆ 20 2098418⑆ 0112 ⑈0000007950⑈

OCEAN STATE DENTAL CORP.
45 SOCKANOSSETT CROSSROAD
CRANSTON, RHODE ISLAND 02920
(401) 477-7577

INVOICE

6520

LIGHT FOR CONGRESS CAMPAIGN
P.O. BOX 547
RTWELLS AVENUE
PROVIDENCE, 02901

INVOICE DATE
OCTOBER 18, 1988

OUR ORDER NO.

YOUR ORDER NO.
MIKE 751 86477

TERMS F.O.B.
PAIDABLE UPON RECEIPT

SALESPERSON

SHIPPED VIA
OUR DELIVERY

SHIPPED TO *DEPAQUALE SQ TO CUOMO RALLY PPD OR COLL

QUANTITY	DESCRIPTION	PRICE	AMOUNT
100	CHAIRS	\$.75 ea	\$75.00
		TAX	4.50
			\$79.50
CUSTOMER ASSUMES ALL RESPONSIBILITY FOR ANY LOST OR DAMAGED CHAIRS. REPLACEMENT COST WILL BE \$15.00 PER CHAIR.			
DEL: TUESDAY, OCTOBER-18, 1988 - * 1:00 P.M.			
PU: TUESDAY, OCTOBER 18, 1988 - ** 7:00 P.M.			

R.I. DEMOCRATIC STATE COMMITTEE
 VICTORY '88 FEDERAL ACCOUNT
 1001 SMITH ST.
 NORTH PROVIDENCE, RI 02862

October 25 1988
 57-9040/2115

PAY TO THE ORDER OF *NEEDS* **PROCESSED**
 \$ 644.48
 DOLLARS

RI STATE EMPLOYEE 1700
 PROVIDENCE, Rhode Island 02862

MEMO: 211590493: 20 2098416 0113 "0000064448"



NRC POSTER CORP.

131 CLAY STREET
 CENTRAL FALLS, R. I. 02863
 (401) 723-8972

QUALITY SILK SCREEN PROCESS PRINTING

10-5055

R.I. STATE DEMOCRATIC
 VICTORY 88
 PO BOX 312
 PAWTUCKET, RI 02862

SHIPPED TO

113

724-1988

OUR ORDER NO.	YOUR ORDER NO.	SALESMAN	DATE SHIPPED	SHIPPED VIA	TERMS	P.O. #
9-28-88		SFD		PU	COD	10-5-88
DESCRIPTION						
100		22x28 CARDS, 1 COLOR LITCH BLUE REVERSE				\$608.00
		DESIGN: LITCH			R.I. SALES TAX	36.48
						644.48

R. I. DEMOCRATIC 05-88
STATE COMMITTEE 344210137
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

114

October 25, 1988

57-9049/2115

PAY TO THE
ORDER OF

Professional Music Books

\$ 2020.00

Two thousand twenty dollars and 00/100 — DOLLARS



37 04 11-03-88 01100001
NOV 03 88

Al Francini

MEMO

⑆211590493⑆ 20 2098416 0114 ⑆0000202000⑆

00040704008

2600 Commercial Drive
N. PROVIDENCE, RHODE ISLAND 02904
(401) 353-6221

Mr. ~~Propose~~ hereby to furnish material and labor — complete in accordance with specifications below, for the sum of: _____ dollars (\$2020.00)

Payment to be made as follows

Total cost includes labor and transportation. A 50 percent deposit is to be received

prior to event, balance is due day of event.

All material is guaranteed to be as specified. All work to be completed in a workmanlike manner according to standard practices. Any alteration or deviation from specifications below involving extra costs will be executed only upon written orders, and will become an extra charge over and above the estimate. All agreements contingent upon strikes, accidents or delays beyond our control. Owner to carry fire, tornado and other necessary insurance. Our workers are fully covered by Workmen's Compensation Insurance.

Authorized
Signature _____

Note: This proposal may be _____
by us if not accepted within _____ days.

We hereby submit specifications and estimates for

EVENT: Personal Appearance of Mario Cuomo

DATE: October 18, 1988

VENUE: DiPasquale Square

PMA shall provide an adequate sound system for 3-5000 people.

SOUND SYSTEM TO INCLUDE:

- 1 - 12 output multi-feed
- 2 - Sound wings
- 2 - 12kw light trees with dimmer and control
- 1 - 45kw generator with power distribution with all labor and transportation to setup and operate.

FMA REQUIREMENTS:

Access with large vehicles to DiPasquale Square from Spruce Street, Atwells Avenue, and Trainor Street, all day on October 18, 1988.

Traffic and personnel barriers as needed to secure equipment.

NOTE: Alternate location - Rhodes on the Pawtuxet.

Please sign proposal, and present to PMA employees upon arrival at job site, on October 18.

Thank you.

Kevin M. Delaney
Rental Manager

Acceptance of Proposal — The above prices, specifications and conditions are satisfactory and are hereby accepted. You are authorized to do the work as specified. Payment will be made as outlined above.

Date of Acceptance _____

Signature _____

Signature _____

0000070000

ASC

R. I. DEMOCRATIC STATE COMMITTEE 05-88
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

120

December 15, 1988 57-9049/2115

PAY TO THE ORDER OF City of Providence \$ 1410.04

052002129 04 12-27-88 041130001

Fourteen hundred ten dollars and 100/100 DOLLARS

RI STATE EMPLOYEES
EECH
Providence, Rhode Island 02903

MEMO

211590493: 20 2098 0120 "0000141004"

57-9049 57-9049

DEC

R. I. DEMOCRATIC STATE COMMITTEE 05-88
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

121

December 15, 1988 57-9049/2115

PAY TO THE ORDER OF Providence \$ 149.50

052002129 04 12-27-88 01130001

One hundred forty nine dollars and 50/100 DOLLARS

RI STATE EMPLOYEES
EECH
Providence, Rhode Island 02903

MEMO

211590493: 20 2098 0120 "0000014950"

57-9049 57-9049

PROCESSED
RHODE ISLAND STATE EMPLOYEE

CREDIT UNION
PROVIDENCE, RI



Executive Office, City of Providence, Rhode Island

JOSEPH R. PAOLINO, JR.
MAYOR

M E M O R A N D U M

TO: Michael Veit
FROM: Lynne M. Lombardi *Lynne*
DATE: November 9, 1988
RE: Mario Cuomo Rally

Attached are invoices from the City of Providence for services, rental of equipment and personnel for the Mario Cuomo rally which was held on Tuesday, October 18, 1988 at DePasquale Plaza.

The invoices from the Department of Public Works, Traffic Engineering and the Providence Police Dept. may be made payable to the City of Providence for an amount totalling: \$1410.04

The Civic Center invoice must be paid separately. The amount due made payable to the Providence Civic Center is \$149.50.

Please send the checks directly to me so that I can process them to the appropriate City Departments.

Thank you for your cooperation and assistance in this matter.

Attachments

PROVIDENCE POLICE DEPARTMENT

HEADQUARTERS

209 FOUNTAIN ST PROVIDENCE, RI 02903

Colonel Walter J. Claria
CHIEF OF POLICE

PAYMENT MUST BE MADE WITHIN 72 HOURS AFTER RECEIPT OF BILL

DATE: October 26, 1988.

COMPANY: State Democratic Committee (Mario Cuomo Rally)

<u>DATE</u>	<u>NAME</u>	<u>HOURS WORKED</u>	<u>AMOUNT</u>
10/18/88	Lt. Wm. Devine	4 hours	102.00
	Sgt. J. Glancy	"	93.00
	Sgt. N. Zahlany	"	93.00
	Ptln G. Nevins	"	84.50
	Ptln P. McCormick	"	84.50
	Ptln D. DePalma	"	84.50
	Ptln D. Fanning	"	84.50
	Ptln P. Armstrong	"	84.50
	Ptln R. McKenna	"	84.50
	Ptln B. Gordon	"	84.50

80.00

Please make all checks payable to

THE COMMANDING OFFICER

Providence Police Department
Detail Office
209 Fountain St.
Providence, R.I. 02903

Invoice 44-402

GrayLine SNAP-A-WAY FORM

PROVIDENCE CIVIC CENTER
ONE LA SALLE SQUARE
PROVIDENCE, R. I. 02903

Invoice

No. 1788

No.

Date October 17, 1988

Shipped to

ATTN: Ms. Maureen Mairret
Sold To LICHT '88 CAMPAIGN
Victory Fund 88/ Democratic State Committee
751 East Avenue
Providence, R.I. 02903

Your Order No.		Our Order No.		Salesman			
Date Shipped		Shipped VIA		F.O.B.		Terms	
Quantity Ordered	Quantity Shipped	Stock Number/Description			Price	Per	Amount
		One Day Rental: 100 ft. of Crowd Control					
		Barriers (13 Units)			11 50	U	\$149 50

Wilson Jones
GRAYLINE FORM 44-402 4-PART
© 1975 - PRINTED IN U.S.A.

Original / Invoice

JOB ORDER
DEPARTMENT OF PUBLIC WORKS
PROVIDENCE, R. I.

Date 10-12-88 19

Job No. 3350

Division M. Vincent Section _____ Shop _____

WORK DESIRED

(DESCRIPTION AND LOCATION)

Oct. 17, 1988 erect TWO bandstands ON

De Pasquale Plaza 16' x 24' - stairs & railings

across from Plaza

small stage (two sections)

Invoice to Victory Fund 88

Democratic State Committee

C/O Licht Committee

Work Requested By _____ Date _____

Approved By _____ Date _____

Disapproved By _____ Date _____

PERSONAL SERVICES

EMPLOYEE	NUMBER	HOURS	RATE	AMOUNT
JOHN JOHNSON		6	10.15	60.90
MONT ARMSTRONG		6	9.888	59.39
WALTER SLADE		6	9.8110	58.87
IRVING FONTES		6	9.8110	58.87
JOE PRINCIPLE		6	11.59	69.54
PAT MANOCCHIO		4	10.1508	40.60
TOTALS		34	XX	348.17

B. JAMES SUZMAN
TRAFFIC ENGINEER
IRENE J. TESTA
DIRECTOR



JOSEPH R. PAOLINO, JR.
MAYOR

Department of Traffic Engineering
"Building Pride In Providence"

October 28., 1988

TO: VICTORY FUND "88"
Democratic State C
C/O Licht
P.O. Box 547
Providence, Rhode Island 02901

RE: SERVICES RENDERED on Tuesday, October 18, 1988, for rally on
Atwells Avenue at DePasquale Avenue.

LABOR

1 - Traffic Sign Maintenance Man -	5 hours overtime	\$ 84.49
	1½ hours reg. time	16.90
1 - Laborer	5 hours overtime	63.23
	1½ hours reg. time	12.65
TOTAL LABOR		\$177.27

TRUCK USAGE

23 miles at \$.23 per mile	4.60
TOTAL LABOR AND TRUCK	\$181.87

Labor consisted of posting Emergency NPAT Tow Zone signs on both sides of Atwells Avenue at 5 A.M., loading up truck with DO NOT ENTER bases and closing of Atwells Avenue to through traffic at 5PM.



PAYMENT MUST BE MADE WITHIN 72 HOURS AFTER RECEIPT OF BILL

DATE: 11/15/88

COMPANY: 88 Committee for Licht, Po. Box 547, Providence, RI

<u>DATE</u>	<u>NAME</u>	<u>HOURS WORKED</u>	<u>AMOUNT</u>
-------------	-------------	---------------------	---------------

11/8	One Officer detailed to 436 Atwells Ave.	8 hrs.	\$ 169.12
------	--	--------	-----------

Please make all checks payable to

THE COMMANDING OFFICER

Providence Police Department
Detail Office
209 Fountain St.
Providence, R.I. 02903

Form 314

00040704011

R. I. DEMOCRATIC STATE COMMITTEE 05-88
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02901

122
December 31, 1988. 57-9049/2115

PAY TO THE ORDER OF Morris N. [unclear] \$ 339.20
Three hundred thirty nine and 20/100 DOLLARS

PROCESSED
RI STATE EMPLOYEES
JAN 13 89
Providence, Rhode Island 02903

MEMO: CREDIT UNION PROVIDENCE, RI
2115904931 2072098476 22 00000033920

+3692

MORRIS NOVELTY CO.

WHOLESALE and RETAIL
201 PINE ST.
PAWTUCKET, R.I. 02860
1-401-728-3810
(exit 27 of 95)

DIRECTIONS TO PAWTUCKET:

95 NORTH TO EXIT 27 TO 2nd LIGHT MAKE LEFT 1000ft. UP ON RIGHT.
95 SOUTH TO EXIT 27 TO 2nd LIGHT MAKE RIGHT 800ft. ON RIGHT.

No. 23639

TO LIGHT CAMPAIGN

INVOICE DATE <u>10/17/88</u>	SALESPERSON
SHIP TO	

YOUR ORDER NO.	DATE SHIPPED	SHIPPED VIA	FOB	TERMS	
QUANTITY	DESCRIPTION			UNIT PRICE	TOTAL
8	MED Adjuvant Equivalent to 5740				320
1	Large Adjuvant				
	Aluminum tanks				

339.20
CHARGE

A FIFTY PERCENT DEPOSIT IS REQUIRED ON ALL ORDERED MERCHANDISE-BALANCE DUE UPON RECEIPT OF GOODS.

TERMS ARE NET 10 DAYS - SEE REVERSE FOR EXPLANATION OF TERMS AND CONDITIONS.

I PERSONALLY GUARANTEE PAYMENT OF THIS INVOICE AND AGREE TO PAY ALL INTEREST AND/OR ATTORNEY FEES IF NECESSARY.

MDSE RECEIVED BY Ch. Wilson DATE _____

CHECK # _____ LICENSE # _____

SUB-TOTAL	320
SALES TAX	19.20
TOTAL	339.20
DEPOSIT	
BALANCE	

" SINCE 1939 "
SOUTHERN NEW ENGLANDS OLDEST AND LARGEST NOVELTY CO.
OVER 1,000,000 ITEMS IN STOCK!

Thank You

240

R.I. DEMOCRATIC STATE COMMITTEE
FEDERAL ACCOUNT

November 23, 1988 57-9049/2115

PAY TO THE ORDER OF Admaker Service Inc. \$ 93.92

Ninety-three dollars and 92/100 DOLLARS

R.I. STATE EMPLOYER 115 01 12-01-88 01150033
EEH
Providence, Rhode Island 02903

MEMO: _____

DEC 01 1988
Admaker Service Inc.

⑆211590493⑆ 20 2058287⑈ 0240 ⑈0000009392⑈

AdMAKER SERVICE INC. advertising artists
(401) 231-1406

Democratic State Committee
1991 Smith St
North Providence, RI

paid

05 November 1988

1886 SMITH STREET, NORTH PROVIDENCE, RHODE ISLAND 02911

Full page ad for Providence Journal: "Voters of Rhode Island"

sk-fin art	200.00		
type-statts	<u>68.50</u>		
		RIST	<u>268.50</u>
			<u>16.11</u>

\$284.61

93.92 Federal
190.69 State
284.61

... TERMS NET

117

R. I. DEMOCRATIC STATE COMMITTEE 05-88
 "VICTORY '88" FEDERAL ACCOUNT
 1991 SMITH ST.
 NORTH PROVIDENCE, RI 02911

November 23, 1988
 57-9049/2115

PAY TO THE ORDER OF Sally G. Pitt \$ 181.00

One hundred eighty-one dollars and 00/100 - DOLLARS

RI STATE EMPLOYMENT 73 04 12-01-88 01150033

 Providence, Rhode Island 02903

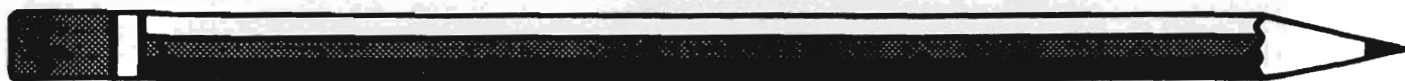
MEMO _____

Sally G. Pitt

⑆211590493⑆ 20 2098418⑆ 0117 ⑆0000018100⑆

0 0 1 4 0 7 0 0 0 0

Graphic Design



Sandy Feit
455 Wayland Avenue
Providence, RI 02906
401-272-8711

November 18, 1988

Bill to: RI State Democratic Committee
For: Artwork

(Duplicate of previously sent bills)

Layout for Rally Brochure (Cuomo),
time change sheet, Flyer _____ \$115.⁰⁰

Calligraphy signs (place cards)
for Cuomo Rally _____ 66.⁰⁰

TOTAL (past due) . . . \$181.⁰⁰

This bill was sent on 10/14 and is now past due.

*David
Freed*

Thank you for your prompt handling of this matter.
Sandy Feit

Please make check payable to: Sandy Feit
Terms: Net due 30 days. Finance charge 1 1/2% per month (18% per annum) on all unpaid balances over 30 days.

108

R. I. DEMOCRATIC STATE COMMITTEE
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

August 28, 1988
57-9049/2115

PAY TO THE ORDER OF National Providence Credit Union

\$ 3750.00

SEP 0 1 88

RI STATE EMPLOYEES
GEH
Providence, Rhode Island 02903

CREDIT UNION
PROVIDENCE, RI

MEMO. 3750.00

⑆211590493⑆ 20 2098456 0108 ⑈0000375000⑈

110

R. I. DEMOCRATIC STATE COMMITTEE
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

October 1, 1988
57-9049/2115

PAY TO THE ORDER OF Morris Novelly

\$ 3550.00

OCT 06 88

RI STATE EMPLOYEES
GEH
Providence, Rhode Island 02903

CREDIT UNION
PROVIDENCE, RI

MEMO. 3550.00

⑆211590493⑆ 20 2098456 0110 ⑈0000355000⑈

111

R. I. DEMOCRATIC STATE COMMITTEE
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

October 13, 1988
57-9049/2115

PAY TO THE ORDER OF Morris Novelly

\$ 587.50

OCT 14 88

RI STATE EMPLOYEES
GEH
Providence, Rhode Island 02903

CREDIT UNION
PROVIDENCE, RI

MEMO. 587.50

⑆211590493⑆ 20 2098456 0111 ⑈000058750⑈

0 1 1 4 0 7 0 4 0 2 2

115

R. I. DEMOCRATIC STATE COMMITTEE
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

November 19, 1988

57-9049/2115

PAY TO THE ORDER OF *Providence Journal* \$ 7530.00

PROCESSED

RI STATE EMPLOYEES
NOV 07 1988

011000001

MEMO: *Providence Journal*

0115

0000753000

PROVIDENCE, RI

119

R. I. DEMOCRATIC STATE COMMITTEE
"VICTORY '88" FEDERAL ACCOUNT
1991 SMITH ST.
NORTH PROVIDENCE, RI 02911

December 9, 1988

57-9049/2115

PAY TO THE ORDER OF *Westerly* \$ 100.00

PROCESSED

RI STATE EMPLOYEES
JAN 06 89

011000001

MEMO: *Westerly*

0115

0000020000

PROVIDENCE, RI

44656 DEM 11/88 234

R.I. DEMOCRATIC STATE COMMITTEE
FEDERAL ACCOUNT

November 2, 1988

57-9049/2115

PAY TO THE ORDER OF *Providence Journal* \$ 2841.72

PROCESSED

RI STATE EMPLOYEES
NOV 09 88

011000001

MEMO: *Providence Journal*

0115

0000284172

PROVIDENCE, RI



Democratic Senatorial Campaign Committee

430 South Capitol Street, S.E., Washington, D.C. 20003 • (202) 224-2447

August 4, 1988

Chairman:

John Kerry, MA

Co-Chairman:

Alan Cranston, CA

Regional Chairmen:

John Breaux, LA

Thomas A. Daschle, SD

Ex Officio:

Robert C. Byrd, WV

Daniel K. Inouye, HI

Full Committee:

Max Baucus, MT

Lloyd Bentsen, TX

Bill Bradley, NJ

Lawton Chiles, FL

Christopher J. Dodd, CT

Wyche Fowler, GA

Albert Gore, Jr., TN

Bob Graham, FL

J. Bennett Johnston, LA

Frank Lautenberg, NJ

Carl Levin, MI

Barbara Mikulski, MD

John D. Rockefeller IV, WV

Terry Sanford, NC

James Sasser, TN

Paul Simon, IL

National Finance Council:

Jerome C. Berlin

Chairman

Wesley E. Finch

National Coordinating Chairman

Steven D. Wilson

Vice Chairman

Lynn A. Schenk

G. Wayne Smith

Staff:

Robert A. Calogian

Executive Director

Page S. Gardner

Political Director

Noel Gould

Finance Director

Robert F. Bauer

General Counsel

Mr. Salvatore Mancini

Chairman

Rhode Island Democratic Party

North Providence Town Hall

2000 Smith Street

North Providence, RI 02911

Re: Proposed Agreement with respect to 2
U.S.C. 441a(d) Spending Authority in the
1988 United States Senate Election in
Rhode Island.

Dear Mr. Mancini,

This letter sets forth in full the proposed agreement between the Democratic Senatorial Campaign Committee (DSCC) and the Rhode Island Democratic Party concerning 441a(d) spending authority in connection with the Rhode Island 1988 United States Senate election.

Please review this agreement and, if it is acceptable, initial your agreement on behalf of the Rhode Island party.

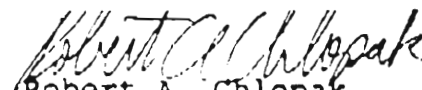
1. The Rhode Island Democratic Party hereby designates the DSCC as the agent of the Rhode Island Democratic Party for the exclusive purpose of making expenditures pursuant to 2 U.S.C. 441a(d) on behalf of the Democratic nominee in the 1988 United States Senate general election in Rhode Island up to the full amount allowed by law. The DSCC hereby accepts such agency.

2. In exercising its authority pursuant to this agreement, the DSCC will comply with the applicable dollar limitation of \$46,100, reporting the other requirements of the Federal Election Campaign Act, as amended, and the regulation promulgated thereunder.

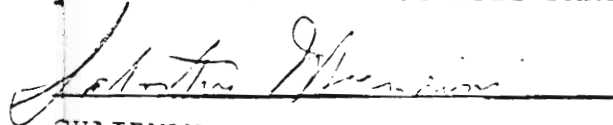
3. Notwithstanding Paragraph 1, in the event that the Rhode Island Democratic Party wishes to make any 441a(d) expenditures on behalf of its United States Senate nominee in the general election, the Rhode Island Democratic Party may, by written notice to the DSCC, reassume portions of the

Mr. Salvatore Mancini
August 4, 1988
Page 2

authority delegated to the DSCC under this agreement,
to the extent that the DSCC shall not then have made,
or planned in coordination with any candidate to
make, such expenditures itself.


Robert A. Chlopak
Executive Director

Agreed by RHODE ISLAND DEMOCRATIC PARTY:

By: 

Title: CHAIRMAN RHODE ISLAND DEMOCRATIC STATE COMMITTEE

Date: AUGUST 31, 1988

cc: Robert F. Bauer
The Honorable Richard Licht

00040704022

Salvatore Mancini
Chairman

90 FEB -5 PM 4:11

BEFORE THE FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S REPORT

SENSITIVE

In the Matter of)
Rhode Island Democratic) MUR: 3009
State Committee, and)
William J. Piccerelli, as treasurer)

I. BACKGROUND

This matter was generated by the Reports Analysis Division ("RAD"). The RAD referral indicated that the Rhode Island Democratic State Committee ("RIDSC" or the "Committee") and William J. Piccerelli, as treasurer ("Respondents") made excessive coordinated expenditures on behalf of Rhode Island Senate candidate Richard Licht (the "candidate"). On November 14, 1989, the Commission found reason to believe that the RIDSC violated 2 U.S.C. § 441a(f), and issued discovery requests to the Committee. The Respondents have requested that the Commission enter into pre-probable cause conciliation.

II. ANALYSIS

In response to the Commission's discovery requests, the RIDSC has produced copies of checks for all the non-exempt, coordinated expenditures it made on behalf of the candidate, and a copy of its agency agreement with the Democratic Senatorial Campaign Committee (the "DSCC"). These documents indicate that the RIDSC staff, who were allegedly unaware of the spending agreement with the DSCC, made \$15,339,77 in expenditures on behalf of the candidate. Pursuant to the terms of the agency agreement, the RIDSC authorized the DSCC to spend the Committee's entire

Section § 441a(d)(3)(A) allotment.¹

The Committee has stated that it intends to redesignate \$5,000 of the excessive expenditures as an in-kind contribution to the Licht '88 Committee ("Licht Committee"). Commission regulations provide that the limitations for coordinated party expenditures shall not include contributions made to the candidate. 11 C.F.R. § 110.7(b)(3). The RIDSC has not yet made a contribution to the Licht Committee, and therefore this redesignation should be permitted. The Committee has also obtained a \$500 refund from the Licht Committee, and has indicated that it intends to obtain a refund from the Licht Committee for the remainder of the excessive expenditures. Therefore, it appears that the total expenditures made on behalf of the candidate exceeded the 1988 expenditure limit by \$5,749.87, in apparent violation of 2 U.S.C. § 441a(f).

III. DISCUSSION OF CONCILIATION AGREEMENT AND CIVIL PENALTY

1. The RIDSC was permitted to spend \$46,100 on behalf of the Senate Candidate, and the Committee authorized the DSCC to spend the entire amount. However, the DSCC did not spend \$4,589.90 of the authorized amount. The RIDSC notified the DSCC that it had reassumed its spending authority for the unspent portion in a letter dated November 14, 1989. See Attachment 1 at page 7.

1. Enter into pre-probable cause conciliation with Respondents.
2. Approve the attached proposed conciliation agreement and letter.

Date 2/2/90

BY:


Lois G. Lerner
Associate General Counsel

Attachments

1. Respondents Response and Request
for pre-probable cause conciliation
2. Proposed conciliation agreement and letter

Staff assigned: Xavier McDonnell

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Rhode Island Democratic) MUR 3009
State Committee, and)
William J. Piccerelli,)
as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 8, 1990, the Commission decided by a vote of 6-0 to take the following actions in MUR 3009:

1. Enter into pre-probable cause conciliation with Respondents, as recommended in the General Counsel's report dated February 2, 1990.
2. Approve the proposed conciliation agreement and letter, as recommended in the General Counsel's report dated February 2, 1990.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry and Thomas voted affirmatively for the decision.

Attest:

2-9-90
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Monday, Feb. 5, 1990 4:11 p.m.
Circulated to the Commission: Tuesday, Feb. 6, 1990 11:00 a.m.
Deadline for vote: Thursday, Feb. 8, 1990 11:00 a.m.



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

February 12, 1990

William J. Piccerelli
 Rhode Island Democratic State Committee
 1991 Smith Street
 North Providence, R.I. 02911

RE: MUR 3009
 Rhode Island Democratic
 State Committee, and
 William J. Piccerelli, as treasurer

Dear Mr. Piccerelli:

At your request, on February 8, 1990, the Commission determined to enter into negotiations directed towards reaching a conciliation agreement in settlement of this matter prior to a finding of probable cause to believe.

Enclosed is a conciliation agreement that the Commission has approved in settlement of this matter. If you agree with the provisions of the enclosed agreement, please sign and return it, along with the civil penalty, to the Commission. In light of the fact that conciliation negotiations, prior to a finding of probable cause to believe, are limited to a maximum of 30 days, you should respond to this notification as soon as possible.

If you have any questions or suggestions for changes in the agreement, or if you wish to arrange a meeting in connection with a mutually satisfactory conciliation agreement, please contact Xavier K. McDonnell, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,

Lawrence M. Noble
 General Counsel

BY: 
 Lois G. Lerner
 Associate General Counsel

Enclosure
 Conciliation Agreement

00004031



Rhode Island Democratic State Committee

1991 Smith Street
North Providence, RI 02911
Tel. (401) 232-3800

06C5744
RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM

90 MAR 21 AM 11:05

Mayor Salvatore Mancini
Chairman

Hon. Kathleen Connell
First Vice Chairman

Mark S. Weiner, Sr.
Second Vice Chairman

Hon. Elaine Coderre
Third Vice Chairman

Caroline Cornwell
Secretary

Rose Ellen Reynolds
Recording Secretary

Barbara Gomes
Corresponding Secretary

William J. Piccerelli
Treasurer

Biagio Maggiano
Assistant Treasurer

March 16, 1990

Mr. Lawrence M. Noble
General Counsel
Federal Election Commission
Washington, D.C. 20463

In Reference: MUR 3009 Rhode Island Democratic State
Committee and William J. Piccerelli, as Treasurer

Dear Mr. Noble:

Enclosed please find the signed conciliation
agreement.

Please advise once the Commission has reviewed
it in order that the Rhode Island Democratic State
Committee may comply with regards to the penalty and
amended report.

Very truly yours,

Susann G. Della Rosa
Custodian of the Records

Enclosure

90 MAR 21 PM 12:25

FEDERAL ELECTION COMMISSION
RECEIVED

90 MAR 26 AM 11:06

BEFORE THE FEDERAL ELECTION COMMISSION

SENSITIVE

In the Matter of)
Rhode Island Democratic)
State Committee and William J.)
Piccerelli, as treasurer) MUR 3009

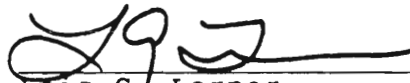
GENERAL COUNSEL'S REPORT

On November 14, 1989, the Commission found reason to believe that the Rhode Island Democratic State Committee, and William J. Piccerelli, as treasurer ("Respondents") violated 2 U.S.C. § 441a(f). On February 12, 1990, the Respondents were notified that the Commission agreed to enter into pre-probable cause conciliation. Based on contacts with Committee it appears that the matter can be resolved through conciliation. Accordingly, this Office will continue conciliation for another 15 days.

Lawrence M. Noble
General Counsel

Date 3/23/90

By


Lois G. Lerner
Associate General Counsel

Staff assigned: Xavier K. McDonnell

0004076403

RECEIVED
FEDERAL ELECTION COMMISSION
GENERAL COUNSEL

90 MAR 23 AM 11:21

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Rhode Island Democratic)
State Committee, and)
William J. Piccerelli, as treasurer)

MUR 3009

SENSITIVE

GENERAL COUNSEL'S REPORT

I. BACKGROUND

On November 14, 1989, the Commission found reason to believe that the Rhode Island Democratic State Committee, and William J. Piccerelli, as treasurer ("Respondents") violated 2 U.S.C. § 441a(f). On February 8, 1990, the Commission entered into pre-probable cause conciliation with Respondents.

Attached is a conciliation agreement which has been signed by Susann G. Della Rosa, custodian of records for the Rhode Island Democratic State Committee.

. Therefore,
the Office of General Counsel recommends its acceptance by the Commission.

IV. RECOMMENDATIONS

1. Accept the attached conciliation agreement with the Rhode Island Democratic State Committee, and William J. Piccerelli, as treasurer.
2. Close the file.
3. Approve the attached letter.

Lawrence M. Noble
General Counsel

3/27/90
Date

By:


Lois G. Lerner
Associate General Counsel

Attachments:

1. Conciliation Agreement
2. Letter to Respondents

Staff assigned: Xavier K. McDonnell

0004070000

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Rhode Island Democratic) MUR 3009
State Committee, and)
William J. Piccerelli, as treasurer)

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on March 30, 1990, the Commission decided by a vote of 6-0 to take the following actions in MUR 3009:

1. Accept the conciliation agreement with the Rhode Island Democratic State Committee, and William J. Piccerelli, as treasurer, as recommended in the General Counsel's report dated March 27, 1990.
2. Close the file.
3. Approve the letter, as recommended in the General Counsel's report dated March 27, 1990.

Commissioners Aikens, Elliott, Josefiak, McDonald, McGarry and Thomas voted affirmatively for the decision.

Attest:

3-30-90

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in the Secretariat: Wed., March 28, 1990 11:21 a.m.
Circulated to the Commission: Wed., March 28, 1990 4:00 p.m.
Deadline for vote: Fri., March 30, 1990 4:00 p.m.

CLOSED



FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20463

April 4, 1990

Ms. Susann G. Della Rosa
c/o Rhode Island Democratic
State Committee and
William J. Piccerelli, as treasurer
1991 Smith Street
North Providence, RI 02911

RE: MUR 3009
Rhode Island Democratic
State Committee and
William J. Piccerelli, as treasurer

Dear Ms. Della Rosa:

On March 30, 1990, the Federal Election Commission accepted the signed conciliation agreement submitted on behalf of the Rhode Island Democratic State Committee and William J. Piccerelli, as treasurer ("Respondents") in settlement of a violation of 2 U.S.C. § 441a(f), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter.

This matter will become a part of the public record within 30 days. If you wish to submit any factual or legal materials to appear on the public record, please do so within ten days. Such materials should be sent to the Office of the General Counsel. Please be advised that information derived in connection with any conciliation attempt will not become public without the written consent of the Respondents and the Commission. See 2 U.S.C. § 437g(a)(4)(B). The enclosed conciliation agreement, however, will become a part of the public record.

Enclosed you will find a copy of the fully executed conciliation agreement for your files. If you have any questions, please contact Xavier K. McDonnell, the attorney assigned to this matter, at (202) 376-5690.

Sincerely,

Lawrence M. Noble
General Counsel

BY: 
Lois G. Lerner
Associate General Counsel

Enclosure
Conciliation Agreement

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Rhode Island Democratic State) MUR 3009
Committee, and William J. Piccerelli,)
as treasurer)

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission ("Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. The Commission found reason to believe that the Rhode Island Democratic State Committee ("RIDSC"), and William J. Piccerelli, as treasurer, ("Respondents") violated 2 U.S.C. § 441a(f).

NOW, THEREFORE, the Commission and the Respondents, having participated in informal methods of conciliation, prior to a finding of probable cause to believe, do agree as follows:

I. The Commission has jurisdiction over the Respondents and the subject matter of this proceeding, and this agreement has the effect of an agreement entered pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondents have had a reasonable opportunity to demonstrate that no action should be taken in this matter.

II. Respondents enter voluntarily into this agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. The Rhode Island Democratic State Committee is a political committee within the meaning of 2 U.S.C. § 431(4), and a multicandidate political committee within the meaning of

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2 U.S.C. § 441a(a)(4).

2. William J. Piccerelli is the treasurer of the Rhode Island Democratic State Committee.

3. Pursuant to 2 U.S.C. § 441a(f), political committees are prohibited from making any expenditures on behalf of a candidate in violation of 2 U.S.C. § 441a(d)(3).

4. Pursuant to 2 U.S.C. § 441a(d)(3)(A), national and state committees of a political party may make certain limited "coordinated party" expenditures in connection with the general election campaign of a candidate for Federal office who is affiliated with that party.

5. Pursuant to 11 C.F.R. § 110.7(b)(3), coordinated party expenditures may be made in addition to contributions permissible under 2 U.S.C. § 441a(a)(2)(A).

6. Pursuant to 2 U.S.C. § 441a(a)(2)(A), a multicandidate political committee may make a contribution of up to \$5,000 per election to any candidate for Federal office.

7. The 1988 expenditure limit for the state and national party committees for the Rhode Island Senate general election was \$46,100.00 each, for a combined limit of \$92,200.00.

8. By written agreement, the RIDSC gave the Democratic Senatorial Campaign Committee ("DSCC") the authority to act as its agent for the purpose of making expenditures on behalf of the 1988 Rhode Island Democratic Senatorial Candidate.

9. The DSCC, acting as the designated spending agent for both the state and national committees, spent a total of \$87,610.10 on behalf of the 1988 Rhode Island Democratic Senate

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Candidate.

10. Although it allocated its spending authority to the DSCC, the RIDSC spent \$15,339.77 on behalf of the Senate Candidate, and exceeded the 1988 coordinated party expenditure limit of \$92,200.00 by \$5,749.87.

V. Respondents violated 2 U.S.C. § 441a(f) by making expenditures in excess of the limitations set pursuant to 2 U.S.C. § 441a(d)(3).

VI. Respondents contend that the violation was not knowing and willful.

VII. Respondents will pay a civil penalty to the Federal Election Commission in the amount of Twelve Hundred dollars (\$1,200) pursuant to 2 U.S.C. § 437g(a)(5)(A).

VIII. Respondents will amend their Committee Reports to indicate that \$5,000 of the excessive expenditures has been redesignated as an in-kind contribution to the Licht '88 Committee.

IX. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

X. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

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XI. Respondents shall have no more than 30 days from the date this agreement becomes effective to comply with and implement the requirement contained in this agreement and to so notify the Commission.

XII. This Conciliation Agreement constitutes the entire agreement between the parties on the matters raised herein, and no other statement, promise, or agreement, either written or oral, made by either party or by agents of either party, that is not contained in this written agreement shall be enforceable.

FOR THE COMMISSION:

Lawrence M. Noble
General Counsel

BY:


Lois G. Lerner
Associate General Counsel

4/3/90
Date

FOR THE RESPONDENTS:


Susann G. Della Rosa
Custodian of the Records

March 16, 1990
Date

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 3009

DATE FILMED 4/13/90 CAMERA NO. 4

CAMERAMAN AS

0004070000



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THE FOLLOWING DOCUMENTATION IS ADDED TO
THE PUBLIC RECORD IN CLOSED MUR 3009.

90040791355



Rhode Island Democratic State Committee

1991 Smith Street
North Providence, RI 02911
Tel. (401) 232-3800

DGL 6125
RECEIVED
FEDERAL ELECTION COMMISSION
MAIL ROOM

90 MAY -3 AM 11:50

CLOSED

April 27, 1990

Mayor Salvatore Mancini
Chairman
Hon. Kathleen Connell
First Vice Chairman
Mark S. Weiner, Sr.
Second Vice Chairman
Hon. Elaine Coderre
Third Vice Chairman
Caroline Cornwell
Secretary
Rose Ellen Reynolds
Recording Secretary
Barbara Gomes
Corresponding Secretary
William J. Piccerelli
Treasurer
Biagio Maggiasomo
Assistant Treasurer

Mr. Lawrence M. Noble
General Counsel
Federal Election Commission
Washington, D.C. 20463

RE: MUR 3009 Rhode Island Democratic State Committee

Dear Mr. Noble:

As per the conciliation agreement in the above stated matter, enclosed please find amended committee reports. In addition, a check in the amount of twelve hundred dollars (\$1200.00) made payable to the Federal Election Commission is enclosed.

May I take this opportunity to extend my deepest appreciation to Xavier K. McDonnell of your office who was of great assistance to me in resolving this problem.

Sincerely,

Susann G. Della Rosa
Custodian of the Records
for

William J. Piccerelli
Treasurer

Enclosures
Two Amended reports
Check

RECEIVED
FEDERAL ELECTION COMMISSION
OFFICE OF GENERAL COUNSEL
90 MAY -4 PM 3:27

900040791356



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

May 3, 1990

TWO WAY MEMORANDUM

TO: Fabrae Brunson
OGC, Docket

FROM: Richard Pullen
Accounting Officer

SUBJECT: Account Determination for Funds Received

We recently received a check from Democratic State Committee (R.I.), check number 3707, dated April 27, 1990, and in the amount of \$ 1,200.00. Attached is a copy of the check and any correspondence that was forwarded. Please indicate below the account into which it should be deposited, and the MUR number and name.

TO: Richard Pullen
Accounting Officer

FROM: Fabrae Brunson
OGC, Docket

In reference to the above check in the amount of \$ 1,200, the MUR number is 3009 and in the name of Rhode Island Democratic State Committee. The account into which it should be deposited is indicated below:
(McDonnell)

☐ Budget Clearing Account (OGC), 95F3875.16

☒ Civil Penalties Account, 95-1099.160

☐ Other: _____

Fabrae Brunson
Signature

5/4/90
Date

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00040791358

DEMOCRATIC STATE COMMITTEE
1991 SMITH STREET
NO PROVIDENCE, RHODE ISLAND 02911

3707

57-152
115

DOLLARS

PAY *Spence fund for election* *5-18*

TO THE ORDER OF	DATE	CHECK NUMBER	DISCOUNT	AMOUNT
<i>Spence Election Committee</i>	<i>4/27/90</i>	<i>3707</i>		<i>1211.00</i>

DEMOCRATIC STATE COMMITTEE

John D. Spence

GREATER PROVIDENCE DEPOSIT CORPORATION - CRANSTON, R.I.

⑆011501527⑆ 07 5795737⑈

REPORT OF RECEIPTS AND DISBURSEMENTS

Other Than An Authorized Committee
(Summary Page)

FEDERAL ELECTION COMMISSION

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) Rhode Island Democratic State Committee		90 MAY -3 AM 11:50
ADDRESS (number and street) ☐ Check if different than previously reported 1991 Smith Street		2. FEC IDENTIFICATION NUMBER C00136200
CITY, STATE and ZIP CODE No. Providence, RI 02911		3. ☐ This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

4. TYPE OF REPORT

- (a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

- ☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election)

election on _____ in the State of _____

☒ Thirtieth day report following the General Election on

November 8 in the State of RI

(b) Is this Report an Amendment? ☒ YES ☐ NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<u>10/20/88</u> through <u>11/28/88</u>		
6. (a) Cash on Hand January 1, 1988			\$ 8299.37
(b) Cash on Hand at Beginning of Reporting Period		\$ 13,894.02	
(c) Total Receipts (from Line 18)		\$ 98,726.18	\$ 171184.37
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ 112,620.20	\$ 179484.26
7. Total Disbursements (from Line 28)		\$ 77,657.10	\$ 144521.16
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ 34,963.10	\$ 34963.10
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 0	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		\$ 0	
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.			
Type or Print Name of Treasurer <u>William J. Piccerelli</u>			
Signature of Treasurer <i>William J. Piccerelli, Treasurer</i>			Date <u>4/30/90</u>

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 4/87)

00040791359

DETAILED SUMMARY PAGE

of Receipts and Disbursements
(Page 2, FEC FORM 3X)

Name of Committee (in full) Rhode Island Democratic State Committee		Report Covering the Period From: 10/20/88 To: 11/28/88	
I. RECEIPTS		COLUMN A Total This Period	COLUMN B Calendar Year-To-Date
11. CONTRIBUTIONS (other than loans) FROM:			
(a) Individuals/Persons Other Than Political Committees			
(i) Itemized (use Schedule A)	59,500.00	69,000.00	11(a)
(ii) Unitemized	14,572.00	18,663.00	11(a)
(iii) Total of contributions from individuals	74,072.00	87,663.00	11(a)
(b) Political Party Committees	0	0	11(b)
(c) Other Political Committees (such as PACs)	11,500.00	53,500.00	11(c)
(d) TOTAL CONTRIBUTIONS (add 11(a)(iii), (b), and (c))	85,572.00	141,163.00	11(d)
12. TRANSFERS FROM AFFILIATED/OTHER PARTY COMMITTEES	13,052.38	27,229.43	12
13. ALL LOANS RECEIVED	0	0	13
14. LOAN REPAYMENTS RECEIVED	0	0	14
15. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)	0	2,149.67	15
16. REFUNDS OF CONTRIBUTIONS MADE TO FEDERAL CANDIDATES AND OTHER POLITICAL COMMITTEES	0	0	16
17. OTHER RECEIPTS (Dividends, Interest, etc.)	101.80	642.79	17
18. TOTAL RECEIPTS (add 11(d), 12, 13, 14, 15, 16 and 17)	98,726.18	171,184.89	18
II. DISBURSEMENTS			
19. OPERATING EXPENDITURES	7,296.14	56,816.00	19
20. TRANSFERS TO AFFILIATED/OTHER PARTY COMMITTEES	50,000.00	50,000.00	20
21. CONTRIBUTIONS TO FEDERAL CANDIDATES AND OTHER POLITICAL COMMITTEES	2,901.26	2,901.26	21
22. INDEPENDENT EXPENDITURES (use Schedule E)	0	0	22
23. COORDINATED EXPENDITURES MADE BY PARTY COMMITTEES (2 U.S.C. 441a(d)) (use Schedule F)	2,959.36	12,296.86	23
24. LOAN REPAYMENTS MADE	0	0	24
25. LOANS MADE	0	0	25
26. REFUNDS OF CONTRIBUTIONS TO:			
(a) Individuals/Persons Other Than Political Committees	0	0	26(a)
(b) Political Party Committees	0	0	26(b)
(c) Other Political Committees (such as PACs)	0	0	26(c)
(d) TOTAL CONTRIBUTION REFUNDS (add 26(a), (b), and (c))	0	0	26(d)
27. OTHER DISBURSEMENTS	14,500.34	22,506.54	27
28. TOTAL DISBURSEMENTS (add 19, 20, 21, 22, 23, 24, 25, 26(d) and 27)	77,657.10	144,521.16	28
III. NET CONTRIBUTIONS/OPERATING EXPENDITURES			
29. TOTAL CONTRIBUTIONS (other than loans) (from Line 11(d))	85,572.00	141,163.00	29
30. TOTAL CONTRIBUTION REFUNDS (from Line 26(d))	0	0	30
31. NET CONTRIBUTIONS (other than loans) (subtract Line 30 from 29)	85,572.00	141,163.00	31
32. TOTAL OPERATING EXPENDITURES (from Line 19)	7,296.14	56,816.50	32
33. OFFSETS TO OPERATING EXPENDITURES (from Line 15)			33

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SCHEDULE B

ITEMIZED DISBURSEMENTS
Contributions to Federal Candidates

Use separate schedule(s)
 for each category of the
 Detailed Summary Page

PAGE 1 OF 1
 FOR LINE NUMBER 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Rhode Island Democratic State Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Professional Music Audio 2600 Commercial Drive No. Providence, RI	In-kind contribution to U.S. Senate Candidate Richard Linn Disbursement for: ☐ Primary ☒ General ☐ Other (specify)	10/25/88	2020.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Providence Journal Co. 75 Fountain Street Providence, RI 02902	see above Disbursement for: ☐ Primary ☒ General ☐ Other (specify)	11/2/88	881.26
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify)		

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

2901.26

00040791361