



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 1529

Date Filmed 5/10/84 Camera No. --- 2

Cameraman JRL

FEDERAL ELECTION COMMISSION

Conciliation Correspondence
Routing Slips

The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

- | | |
|--|---|
| ☐ (1) Classified Information | ☐ (6) Personal privacy |
| ☒ (2) Internal rules and practices | ☐ (7) Investigatory files |
| ☒ (3) Exempted by other statute | ☐ (8) Banking Information |
| ☐ (4) Trade secrets and commercial or financial information | ☐ (9) Well Information (geographic or geophysical) |
| ☐ (5) Internal Documents | |

Signed J. Theodor

date May 2, 1984

FEC 9-21-77

USG 5-489

84040454403

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
Mondragon for Congress
Richard Lucero

MUR 1529

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on April 26, 1984, the Commission decided by a vote of 6-0 to take the following actions in MUR 1529:

1. Accept the conciliation agreements as submitted with the General Counsel's Report signed April 23, 1984.
2. Close the file.

Commissioners Aikens, Elliott, Harris, McDonald, McGarry and Reiche voted affirmatively in this matter.

Attest:

4-26-84

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour tally basis:

4-23-84, 4:07
4-24-84, 11:00

84040454404



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 27, 1984

Roberto Mondragon
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501

Re: MUR 1529
(Mondragon for Congress)

Dear Mr. Mondragon:

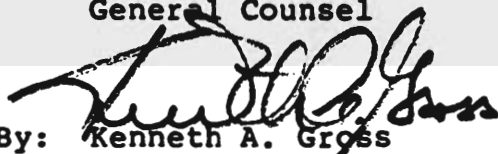
On April 26, 1984, the Commission accepted the conciliation agreement signed by you and partial payment of the civil penalty in settlement of a violation of 2 U.S.C. § 441a(f) and § 434(a)(2), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter and it will become a part of the public record within thirty days. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing.

Please note that the balance of the civil penalty (\$400) is due within 90 days from the date the Associate General Counsel has signed and dated the agreement.

Enclosed you will find a fully executed copy of the final conciliation agreement for your file.

Sincerely,

Charles N. Steele
General Counsel

By: 
Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

84040454405



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Roberto Mondragon
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501

Re: MUR 1529
(Mondragon for Congress)

Dear Mr. Mondragon:

On , 1984, the Commission accepted the conciliation agreement signed by you and partial payment of the civil penalty in settlement of a violation of 2 U.S.C. § 441a(f) and § 434(a)(2), provisions of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter and it will become a part of the public record within thirty days. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing.

Please note that the balance of the civil penalty (\$400) is due within 90 days from the date the Associate General Counsel has signed and dated the agreement.

Enclosed you will find a fully executed copy of the final conciliation agreement for your file.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

[Handwritten signature]
4/24/84

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED AT THE FEC
GCL #2169
84 APR 18 PM 2:48

In the Matter of
Mondragon for Congress

MUR 1529

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. Reason to believe has been found that Mondragon for Congress ("Respondent") violated 2 U.S.C. § 434(a) and § 441a(f).

NOW, THEREFORE, the Commission and Respondent, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(A)(i) do hereby agree as follows:

I. The Commission has jurisdiction over the Respondent, and the subject matter of proceeding, and this agreement has the effect of an agreement entered into pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent, Mondragon for Congress, was the principal campaign committee of candidate Roberto Mondragon for the 1982 democratic primary in New Mexico.

84040454407

2. Respondent, on March 18, 1982, accepted a \$250 contribution from Richard Lucero, the treasurer for the primary election.

3. Respondent, on May 24, 1982, accepted a loan from Richard Lucero in the amount of \$10,000 for the primary election.

4. Respondent has repaid Richard Lucero \$10,000.

5. Pursuant to 2 U.S.C. § 431(8)(A), the term contribution includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election to Federal office.

6. Pursuant to 2 U.S.C. § 441a(1)(A), no person shall make contributions to any candidate or his authorized political committee with respect to any election for Federal office which in the aggregate exceeds \$1,000.

7. 2 U.S.C. § 441a(f) states that no candidate or political committee shall knowingly accept any contribution in violation of the provisions of this section.

8. Respondent filed a comprehensive report covering July 15, 1982 through December 31, 1982 and a 1983 April Quarterly Report covering January 1, 1983 through March 31, 1983 on May 2, 1983.

9. Pursuant to 2 U.S.C. § 434(a)(2), Mondragon for Congress was required to file a 1982 October Quarterly Report due October 20, 1982, and a 1982 Year-End Report due January 31, 1983.

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V. Respondent violated 2 U.S.C. § 441a(f) by accepting contributions totalling \$10,250 from Richard Lucero for the primary election.

VI. Respondent contends that the 441a(f) violation was not knowingly and willfully committed.

VII. Respondent violated 2 U.S.C. § 434(a)(2) by failing to file the 1982 October Quarterly and 1982 Year-End Report in a timely fashion.

VIII. Respondent will pay civil penalty to the Treasurer of the United States in the amount of five hundred dollars (\$500), pursuant to 2 U.S.C. § 437g(a)(5)(A).

IX. Respondent agrees that it shall not undertake any activity which is in violation of the Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, et seq.

X. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

XI. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

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XII. Respondent shall have no more than ninety (90) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

Charles N. Steele
General Counsel

April 27, 1984
Date

BY:

Kenneth A. Gross
Associate General Counsel

3/27/84
Date

Mondragon for Congress

BY:

ITS: _____

84040454410



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 27, 1984

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 97501

Re: MUR 1529
(Richard Lucero)

Dear Mr. Lucero:

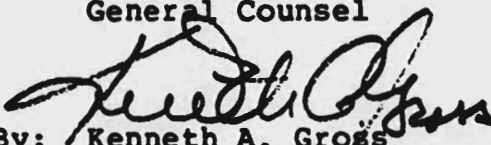
On April 26, 1984, the Commission accepted the conciliation agreement signed by you and partial payment of the civil penalty in settlement of a violation of 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter and it will become a part of the public record within thirty days. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing.

Please note that the balance of the civil penalty (\$400) is due within 90 days from the date the Associate General Counsel has signed and dated the agreement.

Enclosed you will find a fully executed copy of the final conciliation agreement for your file.

Sincerely,

Charles N. Steele
General Counsel


By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

84040454411



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 97501

Re: MUR 1529
(Richard Lucero)

Dear Mr. Lucero:

On , 1984, the Commission accepted the conciliation agreement signed by you and partial payment of the civil penalty in settlement of a violation of 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended. Accordingly, the file has been closed in this matter and it will become a part of the public record within thirty days. However, 2 U.S.C. § 437g(a)(4)(B) prohibits any information derived in connection with any conciliation attempt from becoming public without the written consent of the respondent and the Commission. Should you wish any such information to become part of the public record, please advise us in writing.

Please note that the balance of the civil penalty (\$400) is due within 90 days from the date the Associate General Counsel has signed and dated the agreement.

Enclosed you will find a fully executed copy of the final conciliation agreement for your file.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

OK 4/26/84

RECEIVED AT THE FEC
6011 2179
834 APR 15 PM 12:34
BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

Richard Lucero

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)
MUR 1529

MUR 1529
Thedford

CONCILIATION AGREEMENT

This matter was initiated by the Federal Election Commission (hereinafter "the Commission"), pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. Reason to believe has been found that Richard Lucero ("Respondent") violated 2 U.S.C. § 441a(a)(1)(A).

NOW, THEREFORE, the Commission and Respondent, having duly entered into conciliation pursuant to 2 U.S.C. § 437g(a)(4)(A)(i) do hereby agree as follows:

I. The Commission has jurisdiction over the Respondent, and the subject matter of proceeding, and this agreement has the effect of an agreement entered into pursuant to 2 U.S.C. § 437g(a)(4)(A)(i).

II. Respondent has had a reasonable opportunity to demonstrate that no action should be taken in this matter.

III. Respondent enters voluntarily into this Agreement with the Commission.

IV. The pertinent facts in this matter are as follows:

1. Respondent, Richard Lucero, is treasurer of the Mondragon for Congress committee.

2. Mondragon for Congress was the principal campaign committee of candidate Roberto Mondragon for the 1982 democratic primary in New Mexico.

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3. On or around March 18, 1982, Respondent made a \$250 contribution to Mondragon for Congress for the primary election.

4. On or around May 24, 1982, Respondent made a \$10,000 loan to Mondragon for Congress for the primary election.

5. Pursuant to 2 U.S.C. § 431(8)(A), the term contribution includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election to Federal office.

6. 2 U.S.C. § 441a(a)(1)(A) states that no person shall make contributions to any candidate or his authorized political committee with respect to any election for Federal office which in the aggregate exceeds \$1,000.

V. Respondent violated 2 U.S.C. § 441a(a)(1)(A) by making contributions totalling \$10,250 to Mondragon for Congress for the primary election.

VI. Respondent contends that the violation was not knowingly and willfully committed.

VII. Respondent will pay civil penalty to the Treasurer of the United States in the amount of five hundred dollars (\$500), pursuant to 2 U.S.C. § 437g(a)(5)(A).

VIII. Respondent agrees that he shall not undertake any activity which is in violation of the Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, et seq.

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IX. The Commission, on request of anyone filing a complaint under 2 U.S.C. § 437g(a)(1) concerning the matters at issue herein or on its own motion, may review compliance with this agreement. If the Commission believes that this agreement or any requirement thereof has been violated, it may institute a civil action for relief in the United States District Court for the District of Columbia.

X. This agreement shall become effective as of the date that all parties hereto have executed same and the Commission has approved the entire agreement.

XI. Respondent shall have no more than ninety (90) days from the date this agreement becomes effective to comply with and implement the requirements contained in this agreement and to so notify the Commission.

Charles N. Steele
General Counsel

April 27, 1984
Date

BY:

Kenneth A. Gross
Associate General Counsel

14 apr 84
Date

Richard Lucero
Richard Lucero

84040454415



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: Office of the Commission Secretary
FROM: Office of General Counsel *CJ*
DATE: April 23, 1984
SUBJECT: MUR 1529 - General Counsel's Report

The attached is submitted as an Agenda document
for the Commission Meeting of _____

Open Session _____

Closed Session _____

CIRCULATIONS

48 Hour Tally Vote [X]
Sensitive [X]
Non-Sensitive []
24 Hour No Objection []
Sensitive []
Non-Sensitive []
Information []
Sensitive []
Non-Sensitive []

Other []

DISTRIBUTION

Compliance [X]
Audit Matters []
Litigation []
Closed MUR Letters []
Status Sheets []
Advisory Opinions []
Other (see distribution below) []

84040454416

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

In the Matter of)

Mondragon for Congress)
Richard Lucero)

MUR 1529

84 APR 23 P 4: 07

GENERAL COUNSEL'S REPORT

BACKGROUND

SENSITIVE

Attached are two conciliation agreements, one of which has been signed by Roberto Mondragon on behalf of his committee, Mondragon for Congress, and the other which has been signed by Richard Lucero.

Both the Committee and Mr. Lucero submitted \$100 checks in partial payment of the civil penalties.

RECOMMENDATION

The Office of General Counsel recommends the acceptance of the attached agreements and the closing of the file.

Charles N. Steele
General Counsel

April 23, 1984
Date

By:

Kenneth A. Gross
Kenneth A. Gross
Associate General Counsel

Attachments
Agreements
Copies of checks
Letters

84040454417

1940454118



ROBERTO OR MARIA YSABEL MONDRAGON

ROUTE 5, BOX 343-A 455-7402

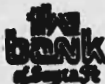
SANTA FE, N.M. 87501

3498

2/16 84

95-238
1070

Federal Elections Comm
One Hundred and No/100 *100.00*
DOLLARS



341 Washington St. Plaza
de Pinar
505-553-3374
Santa Fe, N.M. 87501

BALANCE 400.00

Roberto Mondragon

107002383 3498 0440

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9

COUNTRY FARM SUPPLY

230 RIVERSIDE DRIVE 753-4500
ESPANOLA, N.M. 87532

1588

PAY
TO THE
ORDER OF

Federal Elections Comm

3- Mar - 1986

95-46
1070

\$ *100.00*

100 DOLS 00 CT.

DOLLARS



**VALLEY
NATIONAL BANK**

FOR

Balance 400.00 RE: MUR 1529

Richard L. Lerner

⑈001588⑈ ⑆107000466⑆ ⑈08032017⑈01

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Roberto Mondragon
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501

Re: MUR 1529
(Mondragon for Congress)

Dear Mr. Mondragon:

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Please note that the balance of the civil penalty (\$400) is due within 90 days from the date the Associate General Counsel has signed and dated the agreement.

Enclosed you will find a fully executed copy of the final conciliation agreement for your file.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 97501

Re: MUR 1529
(Richard Lucero)

Dear Mr. Lucero:

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Please note that the balance of the civil penalty (\$400) is due within 90 days from the date the Associate General Counsel has signed and dated the agreement.

Enclosed you will find a fully executed copy of the final conciliation agreement for your file.

Sincerely,

Charles N. Steele
General Counsel

By: Kenneth A. Gross
Associate General Counsel

Enclosure
Conciliation Agreement

11

September 16, 1983

Federal Election Commission
Washington, D. C. 20463

Re: In the Matter of Mondragon for Congress
MUR 1529

To The Honorable Commission:

In regard to the above referenced matter, there were certain extenuating circumstances that precipitated the problems that have been addressed by your General Counsel, Charles M. Steele. First of all, there was simply a misunderstanding as to the distinction regarding loans and contributions made by two U.S.C. Section 431 (8)(A) and (B). The individuals involved simply viewed the loan as a loan within its ordinary and standard definition (money lent at interest - Websters Seventh New Collegiate Dictionary, 1969 Edition) and not as a contribution as defined at 2 U.S.C. Section 431 (8)(A)(i) which defines a loan as a contribution. The "loan" taken by the Committee was viewed as loan, was certainly in good faith and in no way was there ever any intention of violating 2 U.S.C. Section 441 (a)(5). As I indicated in my letter of August 23, 1983, the loan made from Mr. Richard Lucero has been paid off and there is no outstanding amount due Mr. Lucero.

Secondly, as regards to the late filing of reports pursuant to 2 U.S.C. Section 434 (a)(2), Ms. Rebecca Bustamante stated in her letter of April 25, 1983, some of the problems they had encountered regarding the timely filing of said reports. The primary problem being the unfortunate demise of Mr. Louis Branch in late September of 1982, who we had relied on quite heavily to fulfill the reporting obligations.

In summary, my clients have been making every attempt possible to comply with Federal Election requirements and the problems that have arisen were simply unintentional oversights.

Their efforts have certainly been in good faith

Your consideration in this matter will be greatly appreciated.

Sincerely,

Daniel A. Sanchez
Daniel A. Sanchez
Attorney at Law

cc: Charles N. Steele, General Counsel
Mondragon for Congress Committee
Judy Thedford, Federal Elections Commission

84040454423

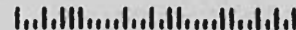
DANIEL A. SANCHEZ

ATTORNEY AT LAW
ROUTE 8, BOX 317-CC
SANTA FE, NEW MEXICO 87501



Federal Election Commission
Washington, D.C. 20463

840404544





FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 1, 1983

Daniel A. Sanchez, Esquire
Route 8, Box 317-CC
Santa Fe, New Mexico 87501

Re: MUR 1529

Dear Mr. Sanchez:

This is in reference to your letter dated August 23, 1983, requesting an extension of twenty days to respond to the General Counsel's Brief recommending probable cause to believe that Mondragon for Congress violated 2 U.S.C. §441a(f).

The Office of General Counsel has reviewed your request and grants you the additional time. Therefore, your response is due Monday, September 19, 1983.

If you have any questions, please contact Judy Thedford at (202) 523-4529.

Sincerely,

Charles N. Steele
General Counsel

By: Gary J. Johansen
Assistant General Counsel

84040454425

August 23, 1983

Federal Election Commission
Washington, D.C. 20463

Re: In the Matter of Mondragon for Congress MUR 1529
To the Honorable Commission:

I have been retained by the Mondragon for Congress Committee in the above-referenced matter. My clients have informed me that they received the correspondence relating to this matter on approximately August 12th, 1983, signifying a response was due by August 27th, 1983.

While it appears that the facts as stated by Charles N. Steele, General Counsel, are fairly accurate, my clients would like to request an extension of 20 days in which to respond as there were extenuating circumstances which we would like to present for the Commission's consideration.

It is also my understanding that the loan from Richard Lucero has been paid off and there is no outstanding amount due Mr. Lucero.

Thank you for your consideration.

Sincerely,

Daniel A. Sanchez

Daniel A. Sanchez
Attorney at Law

cc Charles N. Steele, Gen. Counsel
Mondragon for Congress Committee

84040454426

DANIEL A. SANCHEZ

ATTORNEY AT LAW

ROUTE 8, BOX 317-CC

TA FE, NEW MEXICO 87601



Federal Election Commission

Washington, D.C. 20463

8404045442

DANIEL A. SANCHEZ

ATTORNEY AT LAW

ROUTE 2, BOX 317 CC

SANTA FE, NEW MEXICO 87501

PHONE (505) 471-1988

August 23, 1983

Federal Election Commission
Washington, D.C. 20463

Re: In the Matter of Mondragon for Congress MUR 1529

To the Honorable Commission:

I have been retained by the Mondragon for Congress Committee in the above-referenced matter. My clients have informed me that they received the correspondence relating to this matter on approximately August 12th, 1983, signifying a response was due by August 27th, 1983.

While it appears that the facts as stated by Charles N. Steele, General Counsel, are fairly accurate, my clients would like to request an extension of 20 days in which to respond as there were extenuating circumstances which we would like to present for the Commission's consideration.

It is also my understanding that the loan from Richard Lucero has been paid off and there is no outstanding amount due Mr. Lucero.

Thank you for your consideration.

Sincerely,

Daniel A. Sanchez

Daniel A. Sanchez
Attorney at Law

cc Charles N. Steele, Gen. Counsel
Mondragon for Congress Committee

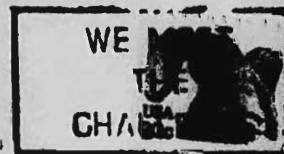
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8 4 0 4 0 4 5 4 9
DANIEL A. SANCHEZ

ATTORNEY AT LAW

ROUTE 8, BOX 317- CC

SANTA FE, NEW MEXICO 87501



Charles N. Steele, Esq.
Office of the General Counsel
Federal Election Commission
Washington, D.C. 20463



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: Office of the Commission Secretary
FROM: Office of General Counsel
DATE: August 1, 1983
SUBJECT: MUR 1529 - Letters & Briefs

The attached is submitted as an Agenda document
for the Commission Meeting of _____

Open Session _____

Closed Session _____

CIRCULATIONS

48 Hour Tally Vote []
Sensitive []
Non-Sensitive []

24 Hour No Objection []
Sensitive []
Non-Sensitive []

Information ~~[]~~
Sensitive ~~[]~~
Non-Sensitive []

Other []

DISTRIBUTION

Compliance []

Audit Matters []

Litigation []

Closed MUR Letters []

Status Sheets []

Advisory Opinions []

Other (see distribution below) []

84040454430



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

83 AUG 1 P5:14

August 1, 1983

MEMORANDUM TO: The Commission

FROM: Charles N. Steele
General Counsel

SUBJECT: MUR 1529

Attached for the Commission's review are two briefs stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. Copies of these briefs and the letters notifying the respondents of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe were mailed on August 1, 1983. Following receipt of the Respondents' replies to these notices, this Office will make a further report to the Commission.

Attachments

1. Briefs
2. Letters to Respondents

34040454431

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
Mondragon for Congress

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)
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MUR 1529

GENERAL COUNSEL'S BRIEF

I. BACKGROUND

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A Reports Analysis Division review of reports filed by Mondragon for Congress ("the Committee") noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250. The Committee's 1982 July Quarterly amended report further disclosed "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding was \$6,250. In addition, the Committee failed to file reports subsequent to the 1982 July Quarterly amended report.

Based on the above evidence, the Commission, on April 14, 1983, found reason to believe Mondragon for Congress violated 2 U.S.C. §§ 441a(f) and 434(a).

On May 2, 1983, the Commission received two reports from Rebecca Bustamante, the Committee's bookkeeper.^{*/} The first report marked as a termination report covers July 15, 1982 through December 31, 1983; the second report also marked a termination report covers January 1, 1983 through March 31, 1983. The two reports show loan repayments to Richard Lucero as follows:

01/08/83 - \$1,000

01/15/83 - \$1,000

01/22/83 - \$1,000

Therefore, as of March 31, 1983, the amount of the remaining excessive loan from Mr. Lucero is \$3,250.

II. FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a) (1) (A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). Pursuant to 2 U.S.C. § 431(8) (A) (i) a loan is a contribution. Thus, the Committee is in violation of 2 U.S.C. § 441a(f), for accepting an excessive contribution.

^{*/} The reports received by the Commission on May 2, 1983, were not forwarded to the Office of General Counsel. Therefore, the respondents were sent letters by the Office of General Counsel requesting a response on May 25, 1983.

84040454433

2 U.S.C. § 434(a)(2) prescribes the reports to be filed by principal campaign committees of candidates for the House of Representative. Mondragon for Congress failed to file the 1982 October Quarterly Report, due October 15, 1982, and the 1982 Year End Report, due January 31, 1983, in a timely fashion. One comprehensive report covering the reporting periods of the October Quarterly and Year-End Reports was filed by Mondragon for Congress on May 2, 1983. The Office of General Counsel recommends finding probable cause to believe Mondragon for Congress violated 2 U.S.C. § 434(a).

III. RECOMMENDATION

Find probable cause to believe Mondragon for Congress violated 2 U.S.C. §§ 441a(f) and 434(a).

1 August 1983
Date



Charles N. Steele
General Counsel

84040454434

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
Richard Lucero

)
)
)

MUR 1529

GENERAL COUNSEL'S BRIEF

I. BACKGROUND

A Reports Analysis Division review of reports filed by Mondragon for Congress ("the Committee") noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250. The Committee's 1982 July Quarterly amended report further disclosed "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding was \$6,250.

Based on the above evidence, the Commission, on April 14, 1983, found reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).

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On May 2, 1983, the Commission received two reports from Rebecca Bustamente, the Committee's bookkeeper.^{*/} The first report marked as a termination report covers July 15, 1982 through December 31, 1983; the second report also marked a termination report covers January 1, 1983 through March 31, 1983. The two reports show loan repayments to Richard Lucero as follows:

01/08/83 - \$1,000

01/15/83 - \$1,000

01/22/83 - \$1,000

Therefore, as of March 31, 1983, the amount of the remaining excessive loan from Mr. Lucero is \$3,250.

II. FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election. Pursuant to 2 U.S.C. § 431(8)(A)(i) a loan is a contribution. Thus, Mr. Lucero is in violation of 2 U.S.C. § 441a(a)(1)(A) for making an excessive contribution to Mondragon for Congress.

^{*/} The reports received by the Commission on May 2, 1983, were not forwarded to the Office of General Counsel. Therefore, the respondents were sent letters by the Office of General Counsel requesting a response on May 25, 1983.

The Office of General Counsel recommends finding probable cause to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).

III. RECOMMENDATION

Find probable cause to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).

1 August 1903
Date



Charles N. Steele
General Counsel

84040454437



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

August 1, 1983

Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501

MUR 1529

Dear Mr. Lucero:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on April 14, 1983, found reason to believe that Mondragon for Congress had violated sections of the U.S. Code and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request to the Commission for an extension of time in which to file a brief. The Commission will not grant an extension beyond 20 days.

84040454438

Richard Lucero, Treasurer
Page 2

A finding a probable cause to believe requires that the Office of General Counsel attempt for a period for not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement.

Should you have any question, please contact Judy Thedford at (202) 523-4529.

Sincerely,



Charles N. Steele
General Counsel

Enclosure
Brief

84040454439



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

August 1, 1983

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 87501

MUR 1529

Dear Mr. Lucero:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on April 14, 1983, found reason to believe that you had violated a section of the U.S. Code and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request to the Commission for an extension of time in which to file a brief. The Commission will not grant an extension beyond 20 days.

84040454440

Richard Lucero
Page 2

A finding a probable cause to believe requires that the Office of General Counsel attempt for a period for not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement.

Should you have any question, please contact Judy Thedford at (202) 523-4529.

Sincerely,



Charles N. Steele
General Counsel

Enclosure
Brief

84040454441



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

OCC Docket
#1

SENSITIVE

MEMORANDUM TO: THE COMMISSION
FROM: MARJORIE W. EMMONS/JODY C. RANSOM *JCR*
DATE: AUGUST 2, 1983
SUBJECT: GENERAL COUNSEL'S BRIEF - MUR 1529

The attached documents are circulated for your
information.

Attachments:
Memo, Brief and Letter

8404045442



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

83 AUG 1 P 5: 14

August 1, 1983

MEMORANDUM TO: The Commission

FROM: Charles N. Steele
General Counsel

SUBJECT: MUR 1529

Attached for the Commission's review are two briefs stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. Copies of these briefs and the letters notifying the respondents of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe were mailed on August 1, 1983. Following receipt of the Respondents' replies to these notices, this Office will make a further report to the Commission.

Attachments

1. Briefs
2. Letters to Respondents

8404045443

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
Mondragon for Congress

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)
MUR 1529

GENERAL COUNSEL'S BRIEF

I. BACKGROUND

8404045444
A Reports Analysis Division review of reports filed by Mondragon for Congress ("the Committee") noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250. The Committee's 1982 July Quarterly amended report further disclosed "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding was \$6,250. In addition, the Committee failed to file reports subsequent to the 1982 July Quarterly amended report.

Based on the above evidence, the Commission, on April 14, 1983, found reason to believe Mondragon for Congress violated 2 U.S.C. §§ 441a(f) and 434(a).

On May 2, 1983, the Commission received two reports from Rebecca Bustamante, the Committee's bookkeeper.^{*/} The first report marked as a termination report covers July 15, 1982 through December 31, 1983; the second report also marked a termination report covers January 1, 1983 through March 31, 1983. The two reports show loan repayments to Richard Lucero as follows:

01/08/83 - \$1,000

01/15/83 - \$1,000

01/22/83 - \$1,000

Therefore, as of March 31, 1983, the amount of the remaining excessive loan from Mr. Lucero is \$3,250.

II. FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). Pursuant to 2 U.S.C. § 431(8)(A)(i) a loan is a contribution. Thus, the Committee is in violation of 2 U.S.C. § 441a(f), for accepting an excessive contribution.

^{*/} The reports received by the Commission on May 2, 1983, were not forwarded to the Office of General Counsel. Therefore, the respondents were sent letters by the Office of General Counsel requesting a response on May 25, 1983.

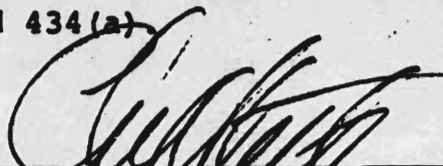
8104045445

2 U.S.C. § 434(a)(2) prescribes the reports to be filed by principal campaign committees of candidates for the House of Representative. Mondragon for Congress failed to file the 1982 October Quarterly Report, due October 15, 1982, and the 1982 Year End Report, due January 31, 1983, in a timely fashion. One comprehensive report covering the reporting periods of the October Quarterly and Year-End Reports was filed by Mondragon for Congress on May 2, 1983. The Office of General Counsel recommends finding probable cause to believe Mondragon for Congress violated 2 U.S.C. § 434(a).

III. RECOMMENDATION

Find probable cause to believe Mondragon for Congress violated 2 U.S.C. §§ 441a(f) and 434(a).

1 August 1983
Date



Charles N. Steele
General Counsel

8104045446

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
Richard Lucero

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)
)

MUR 1529

GENERAL COUNSEL'S BRIEF

I. BACKGROUND

84040454447
A Reports Analysis Division review of reports filed by Mondragon for Congress ("the Committee") noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250. The Committee's 1982 July Quarterly amended report further disclosed "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding was \$6,250.

Based on the above evidence, the Commission, on April 14, 1983, found reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).

On May 2, 1983, the Commission received two reports from Rebecca Bustamente, the Committee's bookkeeper.*/ The first report marked as a termination report covers July 15, 1982 through December 31, 1983; the second report also marked a termination report covers January 1, 1983 through March 31, 1983. The two reports show loan repayments to Richard Lucero as follows:

01/08/83 - \$1,000

01/15/83 - \$1,000

01/22/83 - \$1,000

Therefore, as of March 31, 1983, the amount of the remaining excessive loan from Mr. Lucero is \$3,250.

II. FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election. Pursuant to 2 U.S.C. § 431(8)(A)(i) a loan is a contribution. Thus, Mr. Lucero is in violation of 2 U.S.C. § 441a(a)(1)(A) for making an excessive contribution to Mondragon for Congress.

*/ The reports received by the Commission on May 2, 1983, were not forwarded to the Office of General Counsel. Therefore, the respondents were sent letters by the Office of General Counsel requesting a response on May 25, 1983.

84040454448

The Office of General Counsel recommends finding probable cause to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).

III. RECOMMENDATION

Find probable cause to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).

1 August 1983
Date



Charles N. Storti
General Counsel

84040454449



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

August 1, 1983

**Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501**

MUR 1529

Dear Mr. Lucero:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on April 14, 1983, found reason to believe that Mondragon for Congress had violated sections of the U.S. Code and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request to the Commission for an extension of time in which to file a brief. The Commission will not grant an extension beyond 20 days.

8404045450

Richard Lucero, Treasurer
Page 2

A finding a probable cause to believe requires that the Office of General Counsel attempt for a period for not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement.

Should you have any question, please contact Judy Thedford at (202) 523-4529.

Sincerely,


Charles N. Steele
General Counsel

Enclosure
Brief

84040454451



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

August 1, 1983

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 87501

MUR 1529

Dear Mr. Lucero:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on April 14, 1983, found reason to believe that you had violated a section of the U.S. Code and instituted an investigation in this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies if possible) stating your position on the issues and replying to the brief of the General Counsel. (Three copies of such brief should also be forwarded to the Office of General Counsel, if possible.) The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

If you are unable to file a responsive brief within 15 days, you may submit a written request to the Commission for an extension of time in which to file a brief. The Commission will not grant an extension beyond 20 days.

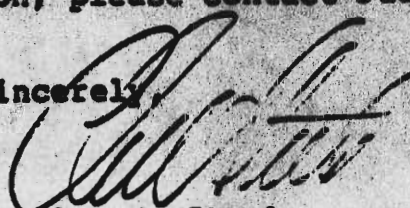
8404045452

Richard Lucero
Page 2

A finding a probable cause to believe requires that the Office of General Counsel attempt for a period for not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement.

Should you have any question, please contact Judy Thedford at (202) 523-4529.

Sincerely,


Charles N. Steele
General Counsel

Enclosure
Brief

84040454453

June 6, 1983

Charles N. Steele
General Counsel
Federal Election Commission
Washington, D.C. 20463

Re: MUR 1529

Dear Mr. Steele:

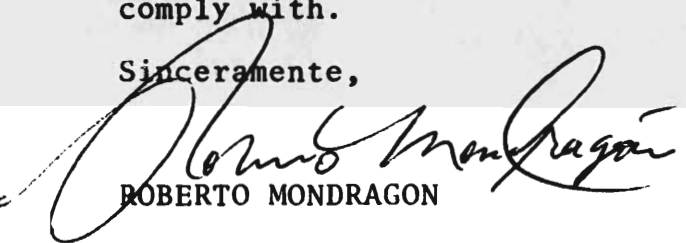
The Mondragon for Congress Committee has received your letter of May 25, 1983 in which you tell us that you have received no response from the Committee to your letter of April 15, 1983.

On April 25, 1983 Richard Lucero, Treasurer of the Mondragon for Congress Committee, mailed you the reports which were due on December 31, 1982 and March 31, 1983. The reports were sent by registered mail. We received a card from the FEC shortly thereafter letting us know that your office had received both of these reports. Enclosed you will find copies of these reports.

Please note that the loan made by Mr. Richard Lucero is still listed as a loan as it should be. As the report will show, the loan made by Lucero, was paid an amount of \$3,000 during the month of January, 1983. In addition a candidate loan which I had made to the Committee was paid back \$2,000 during the month of January and February, 1983. As of March 31, 1983, the only outstanding loan to the Mondragon for Congress Committee is the amount of \$4,000 which the Committee is in the process of repaying.

Please accept our apologies for not having submitted the above two reports on time. The Mondragon for Congress Committee will continue to submit quarterly reports as required by the FEC. Please let us know if there is anything we have failed to comply with.

Sinceramente,


ROBERTO MONDRAGON

JUN 10 9:06

GENERAL COUNSEL

84040454454

800#311
10 P4:52

(Summary Page)

ALIGN AREA 1. Name of Committee (in Full) MONDRAGON FOR CONGRESS Address (Number and Street) P O Box 5732 City, State and Zip Code Santa Fe, NM 87501	ALIGN AREA 2. FEC Identification Number C00152116 3. Is this Report an Amendment? ☐ YES ☒ NO ☐ Check if address is different than previously reported.
--	--

4. TYPE OF REPORT

- | | |
|---|---|
| ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only) | ☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____
☐ Thirtieth day report following the General Election on _____ in the State of _____
☒ Termination Report |
|---|---|

 This report contains activity for - ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

5. Covering Period <u>July 15, 1982</u> through <u>Dec. 31, 1982</u>	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (From Line 11 (a))	1 066	38 533
(b) Total Contribution Refunds (from Line 20 (d))		
(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))	1 066	38 533
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	715	46 366
(b) Total Offsets to Operating Expenditures (from Line 14)		
(c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))	715	46 366
8. Cash on Hand at Close of Reporting Period (from Line 27)	1 121	
9. Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)	-0-	
10. Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	9 000	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

 For further information, contact:
 Federal Election Commission
 Toll Free 800 424-9530
 Local 202 523-4068

Richard Lucero

Type or Print Name of Treasurer

SIGNATURE OF TREASURER

4/25/83

Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (3/80)

81040454455

Name of Committee (In Full)
MONDRAGON FOR CONGRESS

Report Covering the Period:
From: **July 15, '82** To: **Dec. 31, 1982**

I. RECEIPTS

11. CONTRIBUTIONS (other than loans) FROM:

- (a) Individuals/Persons Other Than Political Committees
(Memo Entry Unitarized \$)
(b) Political Party Committees
(c) Other Political Committees
(d) The Candidate
(e) TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c)
and 11(d)).

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.

13. LOANS:

- (a) Made or Guaranteed by the Candidate
(b) All Other Loans
(c) TOTAL LOANS (add 13 (a) and 13 (b)).

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

15. OTHER RECEIPTS (Dividends, Interest, etc.)

16. TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)

II. DISBURSEMENTS

17. OPERATING EXPENDITURES

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

- (a) Of Loans Made or Guaranteed by the Candidate
(b) Of All Other Loans
(c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b)).

20. REFUNDS OF CONTRIBUTIONS TO:

- (a) Individuals/Persons Other Than Political Committees
(b) Political Party Committees
(c) Other Political Committees
(d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))

21. OTHER DISBURSEMENTS.

22. TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD \$ 806 23
24. TOTAL RECEIPTS THIS PERIOD (From Line 16) \$ 1 066 24
25. SUBTOTAL (Add Line 23 and Line 24) \$ 1 872 25
26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22) \$ 715 26
27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25) \$ 1 057 27

	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
	744	744	11 (a)
			11 (b)
			11 (c)
			11 (d)
	744	744	11 (e)
			12
			13 (a)
			13 (b)
			13 (c)
	322	322	14
			15
	1 066	38 533	16
			17
	715	46 376	18
			19 (a)
			19 (b)
			19 (c)
			20 (a)
			20 (b)
			20 (c)
			20 (d)
			21
	715	46 376	22

SCHEDULE A

ITEMIZED RECEIPTS

THIS REPORT IS REQUIRED FOR ALL
Category of the Detailed
Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
	Occupation		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$	
SUBTOTAL of Receipts This Page (optional)			- 0 - *
TOTAL This Period (last page this line number only)			- 0 - *

All donations have been small and none have resulted in any one individual contributing more than \$200.00.

84040454457

SCHEDULE B

ITEMIZED DISBURSEMENTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Richard Lucero P O Box 5732 Santa Fe, NM 87501	Pay interest on loan renegotiate loan Disbursement for: ☒ Primary ☐ General ☐ Other (specify):		\$715.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) \$715.00

TOTAL This Period (last page this line number only) \$715.00

84040454458

84040454459

Name of Committee (in Full) MONDRAGON FOR CONGRESS				
A. Full Name, Mailing Address and ZIP Code of Loan Source Richard Lucero P O Box 5732 Santa Fe, NM 87501		Original Amount of Loan \$10 000	Cumulative Payment To Date \$3 000	Balance Outstanding at Close of This Period \$7 000
Election: ☒ Primary ☐ General ☐ Other (specify):		Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr)		☐ Secured
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source ROBERTO MONDRAGON Rt. 5, Box 343-A Santa Fe, NM 87501		Original Amount of Loan \$5 000	Cumulative Payment To Date \$3 000	Balance Outstanding at Close of This Period \$2 000
Election: ☐ Primary ☐ General ☐ Other (specify):		Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr)		☐ Secured
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (last page in this line only)				\$9 000
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

Name of Committee (in Full) MONDRAGON FOR CONGRESS	Outstanding Balance Beginning This Period	Amount Incurred This Period	Payment This Period	Outstanding Balance at Close of This Period
A. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
B. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
C. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
D. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
E. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
F. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
1) SUBTOTALS This Period This Page (optional)				
2) TOTAL This Period (last page this line only)				- 0 -
3) TOTAL OUTSTANDING LOANS from Schedule C (last page only)				
4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only)				

84040454460

(Summary Page)

ALIGN AREA 1. Name of Committee (in Full) MONDRAGON FOR CONGRESS Address (Number and Street) P O Box 5732 City, State and Zip Code Santa Fe, NM	ALIGN AREA 2. FEC Identification Number C00152116 3. Is this Report an Amendment? ☐ YES ☒ NO
--	--

☐ Check if address is different than previously reported.

4. TYPE OF REPORT

☐ April 15 Quarterly Report ☐ July 15 Quarterly Report ☐ October 15 Quarterly Report ☐ January 31 Year End Report ☐ July 31 Mid Year Report (Non-election Year Only)	☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____ ☐ Thirtieth day report following the General Election on _____ in the State of _____ ☒ Termination Report
---	---

This report contains activity for - ☒ Primary Election ☐ General Election ☐ Special Election ☐ Runoff Election

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>1/1/83</u> through <u>3/31/83</u>		
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (From Line 11 (e))	7 155	7 155
(b) Total Contribution Refunds (from Line 20 (d)).		
(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a)) . . .	7 155	7 155
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17).	6 815	6 815
(b) Total Offsets to Operating Expenditures (from Line 14).		
(c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))	6 815	6 815
8. Cash on Hand at Close of Reporting Period (from Line 27)	340	
9. Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)		
10. Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)	4 000	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:
 Federal Election Commission
 Toll Free 800-424-9530
 Local 202-523-4068

RICHARD LUCERO
 Type or Print Name of Treasurer

 SIGNATURE OF TREASURER

4/25/83

Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3/80)

81040454461

**DETAILED SUMMARY STATEMENT
of Receipts and Disbursements
(Page 2, FEC FORM 3)**

Name of Committee (In Full)

MONDRAGON FOR CONGRESS

Report Covering the Period:

From: **1/1/83**

To: **3/31/83**

**COLUMN A
Total This Period**

**COLUMN B
Calendar Year-to-Date**

I. RECEIPTS

11. CONTRIBUTIONS (other than loans) FROM:

- (a) Individuals/Persons Other Than Political Committees
- (b) Political Party Committees
- (c) Other Political Committees
- (d) The Candidate
- (e) TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c) and 11(d)).

7 155

7 155

11 (a)

11 (b)

11 (c)

11 (d)

11 (e)

7 155

7 155

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES

12

13. LOANS:

- (a) Made or Guaranteed by the Candidate
- (b) All Other Loans
- (c) TOTAL LOANS (add 13 (a) and 13 (b)).

- 0 -

- 0 -

13 (a)

13 (b)

13 (c)

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

14

15. OTHER RECEIPTS (Dividends, Interest, etc.)

15

16. TOTAL RECEIPTS (add 11 (e), 12, 13 (c), 14 and 15)

7 155

7 155

16

II. DISBURSEMENTS

17. OPERATING EXPENDITURES

1 815

1 815

17

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES

18

19. LOAN REPAYMENTS:

- (a) Of Loans Made or Guaranteed by the Candidate
- (b) Of All Other Loans
- (c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b)).

2 000

2 000

19 (a)

3 000

3 000

19 (b)

5 000

5 000

19 (c)

20. REFUNDS OF CONTRIBUTIONS TO:

- (a) Individuals/Persons Other Than Political Committees
- (b) Political Party Committees
- (c) Other Political Committees
- (d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))

20 (a)

20 (b)

20 (c)

20 (d)

21. OTHER DISBURSEMENTS

21

22. TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

6 815

6 815

22

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD \$ 1 057

23

24. TOTAL RECEIPTS THIS PERIOD (From Line 16) \$ 7 155

24

25. SUBTOTAL (Add Line 23 and Line 24) \$ 8 212

25

26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22) \$ 6 815

26

27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25) \$ 1 397

27

2
6
4
4
5
4
0
4
0
4
0
8

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

A. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
B. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
C. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
D. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
E. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
F. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
G. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation		
		Aggregate Year-to-Date—\$		
SUBTOTAL of Receipts This Page (optional)				- 0 -
TOTAL This Period (last page this line number only)				- 0 - *

All donations have been small and none have resulted in any one individual contributing more than \$200.00.

84040454463

SCHEDULE B

ITEMIZED DISBURSEMENTS

category of the Detailed
Summary Page)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Roberto Mondragon Rt. 5, Box 343-A Santa Fe, New Mexico 87501	Reimbursement for postage purchased Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	1/11/83	\$400.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Sam's Print Shop 1020 Santa Clara Santa Fe, NM 87501	Printing Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	1/11/83	\$240.00
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Rosemary Velarde Rt. 1, Box 278 San Juan, NM	Clerical Help Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	1/31/83	\$375.00
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Roberto Mondragon Rt. 5, Box 343-A Santa Fe, New Mexico 87501	Candidate Loan Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	1/22/83 2/4/83	\$1 000 \$1 000
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Richard Lucero P O Box 5732 Santa Fe, NM 87501	Payment on Loan Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	1/8/83 1/15/83 1/22/83	\$1 000 \$1 000 \$1 000
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Cuyamungue Records Rt. 5, Box 343-A Santa Fe, NM 87501	Purchase records for fundraising Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	1/22/83	\$ 800
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
SUBTOTAL of Disbursements This Page (optional)			\$6 815
TOTAL This Period (last page this line number only)			\$6 815

84040454464

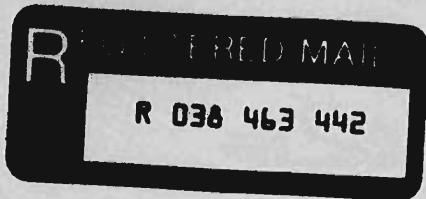
84040454465

Name of Committee (in Full) MONDRAGON FOR CONGRESS				
A. Full Name, Mailing Address and ZIP Code of Loan Source Roberto Mondragon Rt. 5, Box 343-A Santa Fe, New Mexico 87501 Election: ☐ Primary ☐ General ☐ Other (specify):		Original Amount of Loan \$5 000	Cumulative Payment To Date \$5 000	Balance Outstanding at Close of This Period - 0 -
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr) ☐ Secured				
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
2. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
3. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
B. Full Name, Mailing Address and ZIP Code of Loan Source Richard Lucero P.O. Box 5732 Santa Fe, NM 87501 Election: ☐ Primary ☐ General ☐ Other (specify):		Original Amount of Loan \$10 000	Cumulative Payment To Date \$6 000	Balance Outstanding at Close of This Period \$4 000
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (apr) ☐ Secured				
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
2. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
3. Full Name, Mailing Address and ZIP Code	Name of Employer			
	Occupation			
	Amount Guaranteed Outstanding: \$			
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (last page in this line only)				\$4 000
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

Roberto Mondragon
P.O. Box 5732
Santa Fe, New Mexico 87501

8404045446

RETURN RECEIPT
REQUESTED



Charles N. Steele
General Counsel
Federal Election Commission
Washington, D.C. 20463



03 JUN 88 P4:52

RECEIVED AT THE FEC
83 JUN 9 4:50

June 6, 1983

Charles N. Steele
General Counsel
Federal Election Commission
Washington, D.C. 20463

Re: MUR 1529

Dear Mr. Steele:

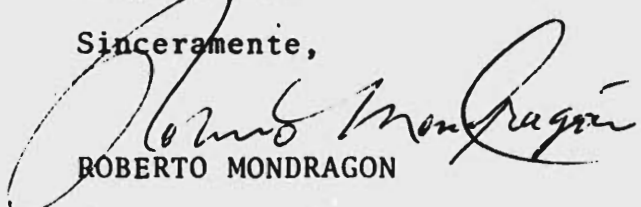
The Mondragon for Congress Committee has received your letter of May 25, 1983 in which you tell us that you have received no response from the Committee to your letter of April 15, 1983.

On April 25, 1983 Richard Lucero, Treasurer of the Mondragon for Congress Committee, mailed you the reports which were due on December 31, 1982 and March 31, 1983. The reports were sent by registered mail. We received a card from the FEC shortly thereafter letting us know that your office had received both of these reports. Enclosed you will find copies of these reports.

Please note that the loan made by Mr. Richard Lucero is still listed as a loan as it should be. As the report will show, the loan made by Lucero, was paid an amount of \$3,000 during the month of January, 1983. In addition a candidate loan which I had made to the Committee was paid back \$2,000 during the month of January and February, 1983. As of March 31, 1983, the only outstanding loan to the Mondragon for Congress Committee is the amount of \$4,000 which the Committee is in the process of repaying.

Please accept our apologies for not having submitted the above two reports on time. The Mondragon for Congress Committee will continue to submit quarterly reports as required by the FEC. Please let us know if there is anything we have failed to comply with.

Sincerely,


ROBERTO MONDRAGON

84040454467

Roberto Mondragon
P.O. Box 5732
Santa Fe, NM 87532

**RETURN RECEIPT
REQUESTED**

R REGISTERED MAIL

R 038 463 444

Judy Thedford
Federal Election Commission
Washington, D. C. 20463



EA 16

83 JUN 9 P 4: 52

June 6, 1983

Charles N. Steele
General Counsel
Federal Election Commission
Washington, D.C. 20463

Re: MUR 1529

Dear Mr. Steele:

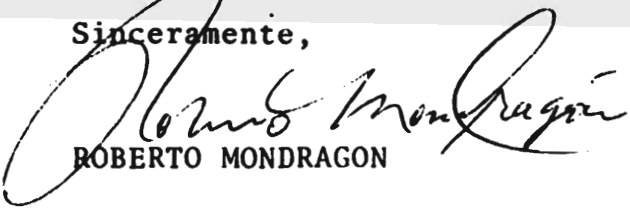
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Please note that the loan made by Mr. Richard Lucero is still listed as a loan as it should be. As the report will show, the loan made by Lucero, was paid an amount of \$3,000 during the month of January, 1983. In addition a candidate loan which I had made to the Committee was paid back \$2,000 during the month of January and February, 1983. As of March 31, 1983, the only outstanding loan to the Mondragon for Congress Committee is the amount of \$4,000 which the Committee is in the process of repaying.

Please accept our apologies for not having submitted the above two reports on time. The Mondragon for Congress Committee will continue to submit quarterly reports as required by the FEC. Please let us know if there is anything we have failed to comply with.

Sincerely,


ROBERTO MONDRAGON

(Summary Page)

ALIGN AREA

ALIGN AREA

1. Name of Committee (in Full)

MONDRAGON FOR CONGRESS

Address (Number and Street)

P O Box 5732

City, State and Zip Code

Santa Fe, NM 87501

2. FEC Identification Number

C00152116

3. Is this Report an Amendment?

☐ YES☒ NO☐ Check if address is different than previously reported.

4.

TYPE OF REPORT

☐

April 15 Quarterly Report

☐

Twelfth day report preceding

(Type of Election)

☐

July 15 Quarterly Report

election on _____ in the State of _____

☐

October 15 Quarterly Report

☐

Thirtieth day report following the General Election on

☐

January 31 Year End Report

_____ in the State of _____

☐

July 31 Mid Year Report (Non-election Year Only)

☒

Termination Report

This report contains activity for -

☒

Primary Election

☐

General Election

☐

Special Election

☐

Runoff Election

5. Covering Period July 15, 1982 through Dec. 31, 1982COLUMN A
This PeriodCOLUMN B
Calendar Year-to-Date

6. Net Contributions (other than loans)

(a) Total Contributions (other than loans) (From Line 11 (e))

1 066

38 533

(b) Total Contribution Refunds (from Line 20 (d)).

(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))

1 066

38 533

7. Net Operating Expenditures

(a) Total Operating Expenditures (from Line 17).

715

46 366

(b) Total Offsets to Operating Expenditures (from Line 14).

(c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a)).

715

46 366

8. Cash on Hand at Close of Reporting Period (from Line 27)

1 121

9. Debts and Obligations Owed TO The Committee
(Itemize all on Schedule C or Schedule D)

-0-

10. Debts and Obligations Owed BY The Committee
(Itemize all on Schedule C or Schedule D)

9 000

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:

Federal Election Commission

Toll Free 800 424-9530

Local 202 523-4068

Richard Lucero

Type or Print Name of Treasurer

SIGNATURE OF TREASURER

4/25/83

Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (3/80)

84040454470

of Receipts and Disbursements
(Page 2, FEC FORM 3)

Name of Committee (in Full)
MONDRAGON FOR CONGRESS

Report Covering the Period:
From: **July 15, '82** To: **Dec. 31, 1982**

I. RECEIPTS

11. CONTRIBUTIONS (other than loans) FROM:

- (a) Individuals/Persons Other Than Political Committees
(Memo Entry Unitized \$)
(b) Political Party Committees
(c) Other Political Committees
(d) The Candidate
(e) TOTAL CONTRIBUTIONS (other than loans (add 11(a), 11(b), 11(c)
and 11(d)).

12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES.

13. LOANS:

- (a) Made or Guaranteed by the Candidate
(b) All Other Loans
(c) TOTAL LOANS (add 13 (a) and 13 (b)).

14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)

15. OTHER RECEIPTS (Dividends, Interest, etc.)

16. TOTAL RECEIPTS (add 11 (a), 12, 13 (c), 14 and 15)

II. DISBURSEMENTS

17. OPERATING EXPENDITURES

18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES

19. LOAN REPAYMENTS:

- (a) Of Loans Made or Guaranteed by the Candidate
(b) Of All Other Loans
(c) TOTAL LOAN REPAYMENTS (add 19 (a) and 19 (b)).

20. REFUNDS OF CONTRIBUTIONS TO:

- (a) Individuals/Persons Other Than Political Committees
(b) Political Party Committees
(c) Other Political Committees
(d) TOTAL CONTRIBUTION REFUNDS (add 20 (a), 20 (b), and 20 (c))

21. OTHER DISBURSEMENTS.

22. TOTAL DISBURSEMENTS (add 17, 18, 19 (c), 20 (d) and 21)

III. CASH SUMMARY

- 23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD** \$ **806** **23**
- 24. TOTAL RECEIPTS THIS PERIOD (From Line 16)** \$ **1 066** **24**
- 25. SUBTOTAL (Add Line 23 and Line 24)** \$ **1 872** **25**
- 26. TOTAL DISBURSEMENTS THIS PERIOD (From Line 22)** \$ **715** **26**
- 27. CASH ON HAND AT CLOSE OF THE REPORTING PERIOD (Subtract Line 26 from 25)** \$ **1 057** **27**

84040454471

COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
744	744	11 (a)
		11 (b)
		11 (c)
		11 (d)
744	744	11 (e)
		12
		13 (a)
		13 (b)
		13 (c)
322	322	14
		15
1 066	38 533	16
715	46 376	17
		18
		19 (a)
		19 (b)
		19 (c)
		20 (a)
		20 (b)
		20 (c)
		20 (d)
		21
715	46 376	22

SCHEDULE A

ITEMIZED RECEIPTS

(Use separate schedules for each category of the Detailed Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt this Period
B. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt This Period
C. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt This Period
D. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt This Period
E. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt This Period
F. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt This Period
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Name of Employer Occupation Aggregate Year-to-Date-\$	Date (month, day, year) Amount of Each Receipt This Period
SUBTOTAL of Receipts This Page (optional)			- 0 - *
TOTAL This Period (last page this line number only)			- 0 - *

All donations have been small and none have resulted in any one individual contributing more than \$200.00.

84040454472

SCHEDULE B

ITEMIZED DISBURSEMENTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code Richard Lucero P O Box 5732 Santa Fe, NM 87501	Purpose of Disbursement Pay interest on loan renegotiate loan Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period \$715.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			\$715.00
TOTAL This Period (last page this line number only)			\$715.00

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84040454474

Name of Committee (in Full) MONDRAGON FOR CONGRESS				
A. Full Name, Mailing Address and ZIP Code of Loan Source Richard Lucero P O Box 5732 Santa Fe, NM 87501 Election: ☐ Primary ☐ General ☐ Other (specify):		Original Amount of Loan \$10 000	Cumulative Payment To Date \$3 000	Balance Outstanding at Close of This Period \$7 000
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ %(apr)		☐ Secured		
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source ROBERTO MONDRAGON Rt. 5, Box 343-A Santa Fe, NM 87501 Election: ☐ Primary ☐ General ☐ Other (specify):		Original Amount of Loan \$5 000	Cumulative Payment To Date \$3 000	Balance Outstanding at Close of This Period \$2 000
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ %(apr)		☐ Secured		
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (last page in this line only)				\$9 000
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

Name of Committee (in Full) MONDRAGON FOR CONGRESS	Outstanding Balance Beginning This Period	Amount Insured This Period	Payment This Period	Outstanding Balance at Close of This Period
A. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
B. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
C. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
D. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
E. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
F. Full Name, Mailing Address and Zip Code of Debtor or Creditor				
Nature of Debt (Purpose):				
1) SUBTOTALS This Period This Page (optional)				
2) TOTAL This Period (last page this line only)				- 0 -
3) TOTAL OUTSTANDING LOANS from Schedule C (last page only)				
4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only)				

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(Summary Page)

ALIGN AREA ALIGN AREA 1. Name of Committee (in Full) MONDRAGON FOR CONGRESS Address (Number and Street) P O Box 5732 City, State and Zip Code Santa Fe, NM	2. FEC Identification Number C00152116 3. Is this Report an Amendment? ☐ YES ☒ NO ☐ Check if address is different than previously reported.
--	--

4. TYPE OF REPORT

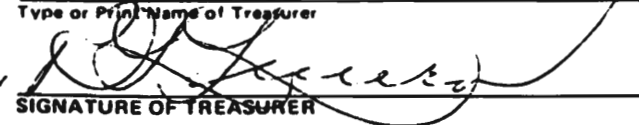
☐ April 15 Quarterly Report ☐ July 15 Quarterly Report ☐ October 15 Quarterly Report ☐ January 31 Year End Report ☐ July 31 Mid Year Report (Non-election Year Only)	☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____ ☐ Thirtieth day report following the General Election on _____ in the State of _____ ☒ Termination Report
---	--

This report contains activity for — ☒ Primary Election ☐ General Election ☐ Special Election ☐ Recall Election

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	<u>1/1/83</u> through <u>3/31/83</u>		
6. Net Contributions (other than loans)			
(a) Total Contributions (other than loans) (From Line 11 (a))		7 155	7 155
(b) Total Contribution Refunds (from Line 20 (d))			
(c) Net Contributions (other than loans) (subtract Line 6 (b) from 6 (a))	...	7 155	7 155
7. Net Operating Expenditures			
(a) Total Operating Expenditures (from Line 17)		6 815	6 815
(b) Total Offsets to Operating Expenditures (from Line 14)			
(c) Net Operating Expenditures (Subtract Line 7 (b) from 7 (a))		6 815	6 815
8. Cash on Hand at Close of Reporting Period (from Line 27)		340	
9. Debts and Obligations Owed TO The Committee (Itemize all on Schedule C or Schedule D)			
10. Debts and Obligations Owed BY The Committee (Itemize all on Schedule C or Schedule D)		4 000	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

For further information, contact:
 Federal Election Commission
 Toll Free 800-424-9530
 Local 202-523-4068

RICHARD LUCERO
 Type or Print Name of Treasurer
 y 
 SIGNATURE OF TREASURER

4/25/83
 Date

NOTE: Submission of false erroneous or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

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FEC FORM 3 (3/80)

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27

SCHEDULE A

ITEMIZED RECEIPTS

(Use separate schedule(s) for each category of the Detailed Summary Page)

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

A. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
B. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
C. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
D. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
E. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
F. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
G. Full Name, Mailing Address and ZIP Code		Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):		Occupation	Aggregate Year-to-Date—\$	
SUBTOTAL of Receipts This Page (optional)				- 0 -
TOTAL This Period (last page this line number only)				- 0 - *

All donations have been small and none have resulted in any one individual contributing more than \$200.00.

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

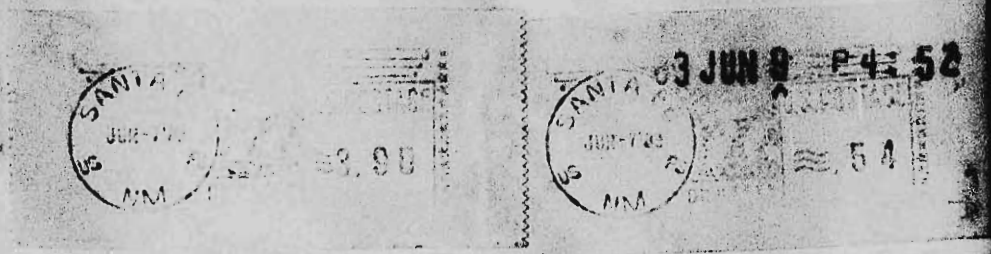
A. Full Name, Mailing Address and ZIP Code Roberto Mondragon Rt. 5, Box 343-A Santa Fe, New Mexico 87501	Purpose of Disbursement <u>Reimbursement for postage purchased</u> Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 1/11/83	Amount of Each Disbursement This Period \$400.00
B. Full Name, Mailing Address and ZIP Code Sam's Print Shop 1020 Santa Clara Santa Fe, NM 87501	Purpose of Disbursement <u>Printing</u> Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 1/11/83	Amount of Each Disbursement This Period \$240.00
C. Full Name, Mailing Address and ZIP Code Rosemary Velarde Rt. 1, Box 278 San Juan, NM	Purpose of Disbursement <u>Clerical Help</u> Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 1/31/83	Amount of Each Disbursement This Period \$375.00
D. Full Name, Mailing Address and ZIP Code Roberto Mondragon Rt. 5, Box 343-A Santa Fe, New Mexico 87501	Purpose of Disbursement <u>Candidate Loan</u> Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 1/22/83 2/4/83	Amount of Each Disbursement This Period \$1 000 \$1 000
E. Full Name, Mailing Address and ZIP Code Richard Lucero P O Box 5732 Santa Fe, NM 87501	Purpose of Disbursement <u>Payment on Loan</u> Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 1/8/83 1/15/83 1/22/83	Amount of Each Disbursement This Period \$1 000 \$1 000 \$1 000
F. Full Name, Mailing Address and ZIP Code Cuyamungue Records Rt. 5, Box 343-A Santa Fe, NM 87501	Purpose of Disbursement <u>Purchase records for fundraising</u> Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 1/22/83	Amount of Each Disbursement This Period \$ 800
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			\$6 815
TOTAL This Period (last page this line number only)			\$6 815

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Name of Committee (in Full) MONDRAGON FOR CONGRESS				
A. Full Name, Mailing Address and ZIP Code of Loan Source Roberto Mondragon Rt. 5, Box 343-A Santa Fe, New Mexico 87501		Original Amount of Loan \$5 000	Cumulative Payment To Date \$5 000	
Election: ☒ Primary ☐ General ☐ Other (specify):		Balance Outstanding at Close of This Period - 0 -		
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ %(apr) ☐ Secured				
List All Endorsers or Guarantors (if any) to Item A				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source Richard Lucero P.O. Box 5732 Santa Fe, NM 87501		Original Amount of Loan \$10 000		Cumulative Payment To Date \$6 000
Election: ☐ Primary ☐ General ☐ Other (specify):		Balance Outstanding at Close of This Period \$4 000		
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ %(apr) ☐ Secured				
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding: \$		
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (last page in this line only)		\$4 000		
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

Robert Mondragon
P.O. Box 5732
Santa Fe, NM 87501



**RETURN RECEIPT
REQUESTED**

R REGISTERED MAIL

R 038 463 443

Kenneth A. Gross
Associate General
Federal Election Commission
Washington, D.C. 20463

8404045481

APR 29 1983
SPECIAL DELIVERY

April 25, 1983

Danny L. McDonald
Chairman
Federal Election Commission
Washington, D.C. 20463

Attention: Judy Thedford

Dear Mr. McDonald:

Enclosed please find two reports on behalf of the Mondragon
for Congress Committee.

In later September, the bookkeeper, Louie Branch, died
unexpectedly and as a result I have tried to assemble the
paper work involved in filing the attached reports.

I am sorry we are late in filing the reports. Mr. Branch
had served as the treasurer in a previous unsuccessful
campaign and was quite knowledgeable in filling out the
form and assisting the current treasurer, Richard Lucero.
I along with Mr. Lucero have filled out the attached reports
and submit them to you.

Currently, there is an outstanding loan of \$4000 owed to
Mr. Lucero and fundraising attempts are being made to payoff
this debt entirely. Mr. Lucero has not made a campaign
contribution in excess of the amount allowed.

I was unable to figure out exactly what we needed to give you
in regard to the last report submitted. In reviewing the
report, I see that Schedule D was filled out and should not
have been since it says "Outstanding Debt - (Excluding Loans)."
This may be the result of the excess amount.

I would appreciate your calling me if you need more information
and request that you call me at home (505) 753-6393 between
8:00 a.m. and 9:30 a.m. your time.

I hope this will clear up the matter in question and submit
to you under oath that the above are true and correct to the
best of our knowledge.

Sincerely,

Richard Lucero
RICHARD LUCERO

100056

OFFICE OF THE ATTORNEY GENERAL
U.S. DEPARTMENT OF JUSTICE
APR 29 1983

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Under oath, I hereby state that the information stated reports submitted are true and correct and that I have contributed an excess amount to the campaign. An amount of \$4,000 is still outstanding and efforts are being made to pay this amount owed.

x Richard Lucero
Richard Lucero
Treasurer

THE FOREGOING INSTRUMENT WAS SIGNED AND DATED BEFORE ME THIS
26th DAY OF APRIL, 1983.

Dolores Garcia
NOTARY PUBLIC

MY COMMISSION EXPIRES:
3/28/87

RECEIVED
FBI - NEW YORK
APR 27 1983
69

83012454331

81040454183

Under oath, I hereby swear to you that the information submitted in the attached reports and true and correct to the best of my knowledge.

Rebecca Bustamante
Rebecca Bustamante
Bookkeeper

NOTARY PUBLIC
STATE OF CALIFORNIA

803 MAY -4 PM 2:51

THE FOREGOING INSTRUMENT WAS SIGNED AND DATED BEFORE ME THIS
26th Day of April, 1983.

Dolores Garcia
Notary

My Commission Expires:

3/28/87

81040454434

83012454332



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO:

CHARLES N. STEELE
GENERAL COUNSEL

FROM:

MARJORIE W. EMMONS/JODY C. RANSOM *JCR*

DATE:

MAY 26, 1983

SUBJECT:

**MUR 1529 - Comprehensive Investigative
Report #1 signed May 24, 1983**

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 4:00, May 25, 1983.

There were no objections to the Comprehensive Investigative Report at the time of the deadline.

84040454495



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: Office of the Commission Secretary
FROM: Office of General Counsel *cat*
DATE: May 25, 1983
SUBJECT: MUR 1529 - Comp Investigative Rpt #1

The attached is submitted as an Agenda document
for the Commission Meeting of _____

Open Session _____

Closed Session _____

CIRCULATIONS

48 Hour Tally Vote []
Sensitive []
Non-Sensitive []
24 Hour No Objection [X]
Sensitive [X]
Non-Sensitive []
Information []
Sensitive []
Non-Sensitive []

DISTRIBUTION

Compliance [X]
Audit Matters []
Litigation []
Closed MUR Letters []
Status Sheets []
Advisory Opinions []

Other []

Other (see distribution below) []

84040454496

SENSITIVE

BEFORE THE FEDERAL ELECTION COMMISSION

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

In the Matter of
Richard Lucero
Mondragon for Congress

83 MAY 25 A 9: 47
MUR 1529

COMPREHENSIVE INVESTIGATIVE REPORT #1

On April 14, 1983, the Commission found reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A) and reason to believe Mondragon for Congress violated 2 U.S.C. §§ 441a(f) and 434(a). Letters were sent to Mr. Lucero and the Committee notifying them of the Commission's findings on April 15, 1983.

Ms. Becky Bustamente phoned in response to the reason to believe findings. She stated that the loan had been repaid and that reports would follow. Ms. Bustamente phoned again, however, attempts to return her call have failed.

The Office of General Counsel has prepared letters to be sent to Mr. Lucero and the Mondragon for Congress notifying them of their failure to respond in writing to the reason to believe findings and our intention to decide whether to take further action based on the information available from other sources.

The Office of General Counsel intends to proceed to the briefing stage in the matter if Mr. Lucero and Mondragon for Congress fail to respond in writing within ten days of their receipt of our letter.

Charles N. Steele
General Counsel

May 24, 1983
Date

BY:

Kenneth A. Gross
Associate General Counsel



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 25, 1983

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 87501

Re: MUR 1529

Dear Mr. Lucero:

On April 15, 1983, you were sent notification that the Commission had found reason to believe that you violated 2 U.S.C. § 441a(a)(1)(A), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act").

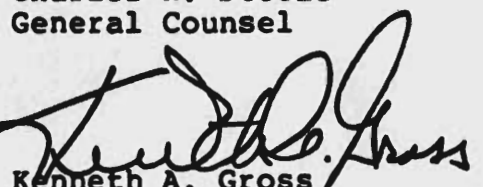
As of this date, we have received no response from you. The Commission intends to conclude its investigation shortly. In the absence of any materials from you, the Commission will have to decide whether to take any further action solely on the basis of information available from other sources. If you want to supply any information, please do so within ten days of your receipt of this notification.

If you have any questions regarding this matter, please call Judy Thedford at (202)523-4529.

Sincerely,

Charles N. Steele
General Counsel

BY:


Kenneth A. Gross
Associate General Counsel

84040454488



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

May 25, 1983

Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501

Re: MUR 1529

Dear Mr. Lucero:

On April 15, 1983, you were sent notification that the Commission had found reason to believe that Mondragon For Congress Committee ("the Committee") had violated 2 U.S.C. § 441a(f) and 434(a), provisions of the Federal Election Campaign Act of 1971, as amended ("the Act").

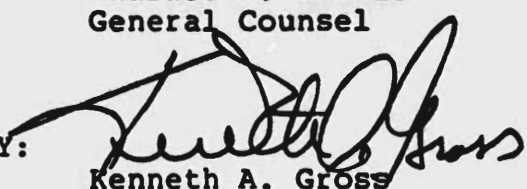
While we acknowledge phone calls made by Ms. Bustamente to our staff, as of this date we have received no written response. The Commission intends to conclude its investigation shortly. In the absence of any materials from you, the Commission will have to decide whether to take further action solely on the basis of information available from other sources. If you want to supply any information, please do so within ten days of your receipt of this notification.

If you have any questions regarding this matter, please call Judy Thedford, at (202)523-4529.

Sincerely,

Charles N. Steele
General Counsel

BY:


Kenneth A. Gross
Associate General Counsel

84040454489



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 15, 1983

**Mondragon for Congress
Richard Lucero, Treasurer
P.O. Box 5832
Santa Fe, New Mexico 87501**

Re: MUR 1529

Dear Mr. Lucero:

On April 14, 1983, the Federal Election Commission determined that there is reason to believe that the Mondragon for Congress Committee violated 2 U.S.C. §§ 441a(f) and 434(a) by accepting \$6,250 in excessive contributions from treasurer Richard Lucero and by failing to file reports required by the Act. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against Mondragon for Congress. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against Mondragon for Congress, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

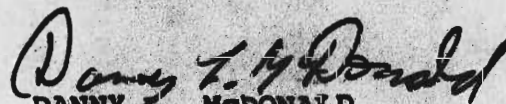
84040454490

Mondragon for Congress
Richard Lucero, Treasurer
Page Two

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Judy Thedford at (202)523-4529.

Sincerely,


DANNY L. McDONALD
Chairman

Enclosures

1. General Counsel's Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Statement

cc: Roberto Mondragon

81040454491



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Mondragon for Congress
Richard Lucero, Treasurer
P.O. Box 5832
Santa Fe, New Mexico 87501

Re: MUR 1529

Dear Mr. Lucero:

On April 14, 1983, the Federal Election Commission determined that there is reason to believe that the Mondragon for Congress Committee violated 2 U.S.C. §§ 441a(f) and 434(a) by accepting \$6,250 in excessive contributions from treasurer Richard Lucero and by failing to file reports required by the Act. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against Mondragon for Congress. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against Mondragon for Congress, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

94040454492

Mondragon for Congress
Richard Lucero, Treasurer
Page Two

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Judy Thedford at (202)523-4529.

Sincerely,

Enclosures

1. General Counsel's Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Statement

cc: Roberto Mondragon

84040454493
J 4/14/83

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

**MUR 1529
STAFF MEMBER & TEL. NO.
Judy Thedford (523-4529)**

RESPONDENT: Richard Lucero, Mondragon for Congress

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

8 4 0 4 4 5 4 4 9 4
A Reports Analysis Division review of reports filed by Mondragon for Congress noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250.

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RAFI), sent September 14, 1982, and a Second Notice was sent October 8, 1982. Both the RAFI and the Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the

Second Notice: In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

An attempt was made by the analyst to contact the Committee, but it was unsuccessful. Ms. Debbie Bustamente, the Committee's accountant, phoned the Commission on December 22, 1982, to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports. To date, no amended reports have been filed.

FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). 2 U.S.C. § 431(8)(A)(i) defines a loan as a contribution. Thus, the treasurer */ and the Committee are in violation of 2 U.S.C.

*/ The telecon forwarded to the Office of General Counsel by the Reports Analysis Division indicates that the Committee's accountant stated the bookkeeper had died. It is unclear if the person referred to in the telecon as the bookkeeper is the treasurer, Richard Lucero. The Office of General Counsel attempted to reach the Committee to clarify the identity of the bookkeeper, however, all attempts were unsuccessful.

84040454495

§§ 441a(a) (1) (A) and 441a(f) respectively, for the making and accepting of the \$6,250 excessive contribution.

Additionally, the Committee has also failed to file reports required by 2 U.S.C. § 434(a). The last report filed by the Committee was the 1981 July Quarterly Amended report.

Therefore, Office of General Counsel recommends that the Commission find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a) (1) (A), and Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).

RECOMMENDATIONS

1. Find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a) (1) (A).
2. Find reason to believe Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).
3. Send the attached letters.

84040454496



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 87501

RE: MUR 1529

Dear Mr. Lucero:

On April 17, 1983, the Federal Election Commission determined that there is reason to believe that you violated 2 U.S.C. § 441a(a)(1)(A) by making \$6,250 in excessive contributions to Mondragon for Congress. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

84040454497

Richard Lucero
Page Two

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Judy Thedford at (202)523-4529.

Sincerely,

Enclosures

1. General Counsel's Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Statement

84040454498

[Handwritten signature]
2/14/83

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

**MUR 1529
STAFF MEMBER & TEL. NO.
Judy Thedford (523-4529)**

RESPONDENT: Richard Lucero, Mondragon for Congress

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

8 4 0 4 0 4 5 4 4 9 9
A Reports Analysis Division review of reports filed by Mondragon for Congress noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250.

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RAFI), sent September 14, 1982, and a Second Notice was sent October 8, 1982. Both the RAFI and the Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the

Second Notice: In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

An attempt was made by the analyst to contact the Committee, but it was unsuccessful. Ms. Debbie Bustamente, the Committee's accountant, phoned the Commission on December 22, 1982, to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports. To date, no amended reports have been filed.

FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). 2 U.S.C. § 431(8)(A)(i) defines a loan as a contribution. Thus, the treasurer */ and the Committee are in violation of 2 U.S.C.

*/ The telecon forwarded to the Office of General Counsel by the Reports Analysis Division indicates that the Committee's accountant stated the bookkeeper had died. It is unclear if the person referred to in the telecon as the bookkeeper is the treasurer, Richard Lucero. The Office of General Counsel attempted to reach the Committee to clarify the identity of the bookkeeper, however, all attempts were unsuccessful.

84040454500

§§ 441a(a)(1)(A) and 441a(f) respectively, for the making and accepting of the \$6,250 excessive contribution.

Additionally, the Committee has also failed to file reports required by 2 U.S.C. § 434(a). The last report filed by the Committee was the 1981 July Quarterly Amended report.

Therefore, Office of General Counsel recommends that the Commission find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A), and Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).

RECOMMENDATIONS

1. Find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).
2. Find reason to believe Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).
3. Send the attached letters.

84040454501

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of

Richard Lucero
Mondragon for Congress

MUR 1529

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on April 14, 1983, the Commission decided by a vote of 5-0 to take the following actions in MUR 1529:

1. Find reason to believe Richard Lucero violated 2 U.S.C. § 441a (a) (1) (A).
2. Find reason to believe Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).
3. Send the letters as attached to the First General Counsel's Report signed April 8, 1983.

Commissioners Aikens, Elliott, Harris, McDonald and McGarry voted affirmatively in this matter; Commissioner Reiche did not cast a vote.

Attest:

4-14-83

Date *

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour tally basis:

4-11-83, 2:06
4-12-83, 11:00

84040454502



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM

TO: Office of the Commission Secretary
FROM: Office of General Counsel *OK*
DATE: April 11, 1983
SUBJECT: MUR 1529 - 1st GC Rpt

The attached is submitted as an Agenda document
for the Commission Meeting of _____

Open Session _____

Closed Session _____

CIRCULATIONS

48 Hour Tally Vote	☒
Sensitive	☒
Non-Sensitive	☐
24 Hour No Objection	☐
Sensitive	☐
Non-Sensitive	☐
Information	☐
Sensitive	☐
Non-Sensitive	☐
Other	☐

DISTRIBUTION

Compliance	☒
Audit Matters	☐
Litigation	☐
Closed MUR Letters	☐
Status Sheets	☐
Advisory Opinions	☐
Other (see distribution below)	☐

84040454503

SENSITIVE

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

FEDERAL ELECTION COMMISSION

1325 K Street, NW
Washington, D.C. 20460

83 APR 11 P 2: 06

FIRST GENERAL COUNSEL'S REPORT

**DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION:**

**MUR 1529
STAFF MEMBER: Judy Thedford**

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

RESPONDENTS' NAMES: Richard Lucero, Mondragon for Congress

RELEVANT STATUTE: 2 U.S.C. § 441a(a) (1) (A), 441a(f), 434(a)

INTERNAL REPORTS CHECKED: Mondragon for Congress

FEDERAL AGENCIES CHECKED: N/A

GENERATION OF MATTER

A Reports Analysis Division review of reports filed by Mondragon for Congress noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250.

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding is \$6,250.

84040454504

The Committee failed to respond to the Request for Additional Information (RFAI), sent September 14, 1982, and a Second Notice was sent October 8, 1982. Both the RFAI and the Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the Second Notice. In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

An attempt was made by the analyst to contact the Committee, but it was unsuccessful. Ms. Debbie Bustamante, the Committee's accountant, phoned the Commission on December 22, 1982, to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports. To date, no amended reports have been filed.

FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). 2 U.S.C. § 431(8)(A)(i) defines a loan as a contribution.

84040454505

Thus, the treasurer ^{*}/ and the Committee are in violation of 2 U.S.C. §§ 441a(a)(1)(A) and 441a(f) respectively, for the making and accepting of the \$6,250 excessive contribution.

Additionally, the Committee has also failed to file reports required by 2 U.S.C. § 434(a). The last report filed by the Committee was the 1981 July Quarterly Amended report.

The Office of General Counsel recommends finding reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A), and Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).

RECOMMENDATIONS

1. Find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).
2. Find reason to believe Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).
3. Send the attached letters.

Charles N. Steele
General Counsel

April 8, 1983
Date

BY:

Kenneth A. Gross
Kenneth A. Gross
Associate General Counsel

^{*}/ The telecon forwarded to the Office of General Counsel by the Reports Analysis Division indicates that the Committee's accountant stated the bookkeeper had died. It is unclear if the person referred to in the telecon as the bookkeeper is the treasurer, Richard Lucero. The Office of General Counsel attempted to reach the Committee to clarify the identity of the bookkeeper, however, all attempts were unsuccessful.

84040454506



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 29, 1982

MEMORANDUM

TO: CHARLES N. STEELE
GENERAL COUNSEL

THROUGH: B. ALLEN CLUTTER
STAFF DIRECTOR *for and in the absence of*

FROM: JOHN D. GIBSON
ASSISTANT STAFF DIRECTOR, RAD

SUBJECT: REFERRAL OF MONDRAGON FOR CONGRESS

84040454507

Please find the attached referral of the Mondragon For Congress committee ("Committee"). The Committee has accepted an excessive contribution, in the form of a loan, from an individual. According to the Review and Referral Policy for authorized committees (Chart #3), this matter requires additional examination by your office.

If you have any questions, please contact Gregory Swanson at 523-4048.

Attachment

cc: The Commissioners

①

82146
DATE: December 29, 1982

ANALYST: Gregory Swanson

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

I. COMMITTEE: Mondragon For Congress (C00152116)
Richard Lucero, Treasurer
P.O. Box 5732
Santa Fe, NM 87501

II. RELEVANT STATUTE: 2 U.S.C. 441a(f); 11 CFR 110.9(a)

III. BACKGROUND

Receipt of Excessive Contribution From a Person --
2 U.S.C. 441a(f); 11 CFR 110.9(a)

The Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from an individual 1/ (Attachment #3). The loan was in addition to a \$250 primary contribution received on March 18, 1982, thus creating an excessive contribution of \$9,250 (Attachment #2).

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982 (Attachment #4). The excessive amount still outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RFAI), sent September 14, 1982, and a Second Notice was sent October 8, 1982 (Attachment #5 and Attachment #6, respectively). Both the RFAI and Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the Second Notice. In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

1/ This same information appeared in the original 1982 July Quarterly report.

840404508

2

Reports Analysis Referral
Mondragon For Congress Committee
Page 2

An attempt was made by the analyst to contact the Committee, but it was unsuccessful (Attachment #7). Ms. Debbie Bustamente, the Committee's accountant, phoned the Commission December 22, 1982 to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports (Attachment #8).

IV. OTHER PENDING ACTIONS INITIATED BY RAD:

None.

84040454509

CANDIDATE/COMMITTEE/DOCUMENT OFFICE SUGHT/ PARTY PRIMARY GENERAL RECEIPTS EXPENDITURES 1 OF MICROFILM
TYPE OF FILER

DRAGON, ROBERTO A HOUSE 03 DEMOCRATIC PARTY NEW MEXICO 1982 ELECTION 101 H2NH02041

1. STATEMENT OF CANDIDATE
1982 STATEMENT OF CANDIDATE 17MAR82 1 02HCE/211/2189

2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES
3. PRINCIPAL CAMPAIGN COMMITTEE
MEMORANDUM FOR CONGRESS

1982 STATEMENT OF ORGANIZATION

APRIL QUARTERLY	5,411	2,937	1 JAN82 - 31MAR82	1 02HCE/211/2191
APRIL QUARTERLY AMENDMENT	5,411	2,937	1 JAN82 - 31MAR82	4 02HCE/215/0325
REQUEST FOR ADDITIONAL INFORMATION			1 JAN82 - 31MAR82	7 02HCE/223/3430
REQUEST FOR ADDITIONAL INFORMATION 2ND			1 JAN82 - 31MAR82	2 02FEC/233/4623
PRE-PRIMARY	15,593	15,051	1 JAN82 - 31MAR82	3 02FEC/237/2175
REQUEST FOR ADDITIONAL INFORMATION			1 APR82 - 14MAY82	7 02HCE/216/1001
REQUEST FOR ADDITIONAL INFORMATION 2ND			1 APR82 - 14MAY82	2 02FEC/242/4349
JULY QUARTERLY	31,463	32,073	1 APR82 - 14MAY82	3 02FEC/245/0496
JULY QUARTERLY - AMENDMENT	31,463	32,073	14MAY82 - 15JUN82	14 02HCE/222/4230
REQUEST FOR ADDITIONAL INFORMATION			14MAY82 - 15JUN82	14 02HCE/223/1523
REQUEST FOR ADDITIONAL INFORMATION 2ND			14MAY82 - 15JUN82	4 02FEC/243/1510
			14MAY82 - 15JUN82	5 02FEC/245/0291
TOTAL	52,467	51,661		48 TOTAL PAGES

4. AUTHORIZED COMMITTEES
18. TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES

All reports have been reviewed

Cash on hand as of July 15, 1982 - \$806

Debts and obligations owed to the committee - 0

Debts and obligations owed by the committee - \$9,000

SCHEDULE A

ITL 2121 11/21/78

File this form with the IRS, and with the State or local agency, if required.
Do not file this form with the IRS if you are a partner in a partnership that has filed a partnership return.

Any information supplied from such Reports or Statements may not be sold or used by any person for the purpose of influencing contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Louis Branch 717 Los Lovatos Road Santa Fe, New Mexico 87501	State of New Mexico Occupation Admin. Aide	2/8/82	\$500
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 500		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
D. J. Michaelson 1503 Central N.W. Albuquerque, NM 87104	Self-Employed owns Jewelry Business Occupation Owner-Businessman	3/10/82	\$500
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 500		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Richard Lucero 2641 1/2 Cerrillos Road Santa Fe, NM 87501	Store Owner - (Feed) Occupation Owner	3/18/82	\$250
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 250		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Francisco Urrea 3009 Charleston NE Albuquerque, New Mexico	Insurance Occupation Agent	3/19/82	\$300
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 300		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Frank Macaluso 1809 Chilton Court Farmington, NM 87501	Texico - Occupation Distributer	3/23/82	\$250
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 250		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
George Ellis Rt. 3, Box 102 Santa Fe, New Mexico	Federal Government Occupation Director of Program	3/23/82	\$250
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 250		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Eloy Valdez 2227 Cerrillos Road Santa Fe, NM 87501	Motel Owner Occupation Owner	In-Kind	\$200 (Let's us 1 room for C. Ellis.)
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregates Year-to-Date—\$ 200		
TOTAL of Receipts This Page (optional).....			\$2 250
TOTAL This Period (do not fill two number only).....			\$2 250

832101420243541

PROS

5

SCHEDULE A

ITEMIZED RECEIPTS

Log of the campaign

From July Quarterly Amend.
Summary Page

Any information supplied from such Reports or Statements may not be used or cited by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any business association to solicit contributions from such companies.

Name of Contributor (in Full)

Mondragon for Congress

A. Full Name, Mailing Address and ZIP Code Roberto Mondragon Rt. 5, Box 343 A Santa Fe, N.M. 87501	Name of Employer New Mexico State Government	Date (month, day, year) 5/24/82	Amount of Cash Received This Period \$1,200.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Lieutenant Governor		
	Aggregate Year-to-Date—\$ 5,000		
B. Full Name, Mailing Address and ZIP Code Richard Lucero P.O. Box 5732 Santa Fe, N.M. 87501	Name of Employer Espanola Country Feed Store	Date (month, day, year) 5/24/82	Amount of Cash Received This Period \$10,000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Self-employed		
	Aggregate Year-to-Date—\$ 10,000		
C. Full Name, Mailing Address and ZIP Code 	Name of Employer 	Date (month, day, year) 	Amount of Cash Received This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation 		
	Aggregate Year-to-Date—\$		
D. Full Name, Mailing Address and ZIP Code 	Name of Employer 	Date (month, day, year) 	Amount of Cash Received This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation 		
	Aggregate Year-to-Date—\$		
E. Full Name, Mailing Address and ZIP Code 	Name of Employer 	Date (month, day, year) 	Amount of Cash Received This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation 		
	Aggregate Year-to-Date—\$		
F. Full Name, Mailing Address and ZIP Code 	Name of Employer 	Date (month, day, year) 	Amount of Cash Received This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation 		
	Aggregate Year-to-Date—\$		
G. Full Name, Mailing Address and ZIP Code 	Name of Employer 	Date (month, day, year) 	Amount of Cash Received This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation 		
	Aggregate Year-to-Date—\$		
STOTAL of Receipts This Page (optional).....			\$11236.00
TOTAL This Period (use page sub line number only)			\$21238.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Attachment #4
Page 10 of 14
Last Release
This document contains information from the
records of the District
Clerk's Office
From July Quarterly Amend.

Any information obtained from such records and documents may not be used in any manner for the purpose of obtaining compensation or for commercial purposes, other than using the name and address of any person mentioned in such documents to obtain correspondence from such person.

Name of Candidate on Ballot

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date month, day, year	Amount of Each Disbursement This Period
MOB Martin Santa Fe Aviation Santa Fe, NM	Airplane Expense Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	3/2/82	\$192.00
B. Full Name, Mailing Address and ZIP Code Richard Lucero P.O. Box 5732 Santa Fe, NM 87501	Payment on loan Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/6/82	\$3000.00
C. Full Name, Mailing Address and ZIP Code Roberto Mondragon M	Payment on loan Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/2/82	\$3000.00
D. Full Name, Mailing Address and ZIP Code Arroyo Seco Builder Supply P.O. Box 66 Espasola, NM 87532	Building Material for signs Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/14/82	\$301.02
E. Full Name, Mailing Address and ZIP Code Roberto Mondragon O	Candidate Campaign Expense Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/2/82	\$110.51
F. Full Name, Mailing Address and ZIP Code KEIT Radio C Taos, NM	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/21/82	\$69.63
G. Full Name, Mailing Address and ZIP Code KVM Radio Las Vegas, NM	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/21/82	\$172.43
H. Full Name, Mailing Address and ZIP Code Mountain Bell	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/1/82	\$206.67
I. Full Name, Mailing Address and ZIP Code EDGE Radio Espasola, NM	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	5/27/82	\$104.50
SUBTOTAL of Disbursements This Page (include):			\$7156.76
TOTAL This Period (add page two and previous page):			\$34236.16

88

7



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

14 September 1982

Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, NM 87501

Identification Number: C00152116

Reference: July Quarterly Report (5/14/82-7/15/82) Amendment

Dear Mr. Lucero:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-On Schedule A of this report, you have not itemized all of the necessary contributor information. You must provide the aggregate year-to-date total for each itemized receipt.

-On Schedule B of this report, you have reported disbursements for which you have failed to include complete addresses. Please amend your report to include the missing information for each of these entries. (11 C.F.R. 104.2(b)(4))

-When a committee reports receiving a loan from the candidate, it is necessary to clarify whether or not the candidate used his/her personal funds or borrowed the money from a lending institution or other source. If the candidate borrowed funds from a lending institution or any other source, the amount of the loan, the date incurred, the date due, the interest rate, whether or not the loan was secured, and all endorser's or guarantor's names, addresses, occupations, employers and the amount guaranteed by each, if applicable, should be itemized. If the loan(s) was from personal funds, please acknowledge that fact in an amendment to this report.

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Further, it is important to note that "personal funds" is strictly defined by Commission regulations and may be found in 11 C.F.R. 110.10. (11 C.F.R. 100.7(a)(1) and 104.3(d))

-On Schedule C of your report, you have failed to include information required by Commission regulations. You must still provide the original terms of the loan including date incurred and date due. Further, if there are any endorsers or guarantors, their mailing address along with the name of their employer and occupation must be disclosed. Please amend your report to include this information. (11 C.F.R. 100.7(a)(1) and 104.3(d))

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee, other than a multicandidate committee may not make contributions to a candidate for Federal office in excess of \$1,000 per election. If you have received a contribution(s) which exceeds the limits, the Commission recommends that you refund to the donor(s) the amount in excess of \$1,000. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) of the Detailed Summary Page of your next report. (2 U.S.C. 441a(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

If you find the contribution(s) in question was disclosed incompletely or incorrectly, please amend your original report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of an excessive contribution(s), prompt action by you to refund the excessive amount will be taken into consideration by the Commission.

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington,

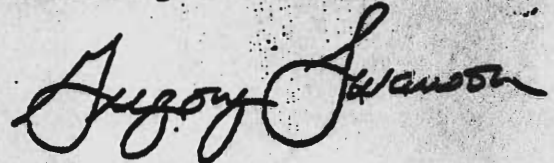
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DO 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,



Gregory Swanson
Reports Analyst
Reports Analysis Division

84040454516
82032431512

Look to the campaign

RECEIVED BY THE
THE LEGISLATIVE BRANCH OF THE
LEGISLATURE OF THE UNITED STATES
FOR THE YEAR 1962

Any information about these gifts should be furnished to the Committee on Ethics of the House of Representatives or the Committee on Ethics of the Senate, as appropriate, by the donor or the recipient, or by the donor's or recipient's representative, to ensure proper disclosure of the source of the gift.

Name of Contributor (in full):

Woodruff for Congress

A. Full Name, Address and ZIP Code Roberto Woodruff Attn: S. Box 343 A Santa Fe, N.M. 87501 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer New Mexico State Government Occupation Lieutenant Governor Approximate Year(s) of Service: 5.00%	Date Received (M, Y, YR) 5/24/62	Amount of Gift (Please Print) \$1,200.00
B. Full Name, Address and ZIP Code MICHAEL LUGG P.O. Box 5732 Santa Fe, N.M. 87501 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer Expanding Country Feed Store Occupation Self-employed Approximate Year(s) of Service: 10.00%	Date Received (M, Y, YR) 5/24/62	Amount of Gift (Please Print) \$10,000.00
C. Full Name, Address and ZIP Code 1 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer 1 Occupation 1 Approximate Year(s) of Service: 10.00%	Date Received (M, Y, YR) 1	Amount of Gift (Please Print) 1
D. Full Name, Address and ZIP Code 2 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer 2 Occupation 2 Approximate Year(s) of Service: 10.00%	Date Received (M, Y, YR) 2	Amount of Gift (Please Print) 2
E. Full Name, Address and ZIP Code 3 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer 3 Occupation 3 Approximate Year(s) of Service: 10.00%	Date Received (M, Y, YR) 3	Amount of Gift (Please Print) 3
F. Full Name, Address and ZIP Code 4 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer 4 Occupation 4 Approximate Year(s) of Service: 10.00%	Date Received (M, Y, YR) 4	Amount of Gift (Please Print) 4
G. Full Name, Address and ZIP Code 5 Received For: ☐ Primary ☐ General ☐ Other (Specify):	Name of Employer 5 Occupation 5 Approximate Year(s) of Service: 10.00%	Date Received (M, Y, YR) 5	Amount of Gift (Please Print) 5
STATAL of Receipts This Page (Amount): TOTAL This Page (Less 17A less amount only):	\$11232. \$21235.		

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RQ-3



FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20541

October 8, 1982

Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, NM 87501

Identification Number: C00152116

Reference: July Quarterly Amendment Report (5/14/82-7/15/82)

Dear Mr. Lucero:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated September 14, 1982. That notice requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Gregory Swanson on our toll-free number (800) 424-9530 or our local number (202) 523-4046.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

08.4.0.A0455h9

RE: Non-response to RFAI's sent
DATE: 12/12/82
FROM: Swanson (Analyst)
TO: Mr. Richard Lucero, Treasurer
NAME OF COMMITTEE: Mondragon for Congress committee - C00152116 New Mexico/House

I tried to phone Mr. Lucero to gain clarification and information on the status of the outstanding excessive contribution owed by the committee to himself. The Information Operator for Santa Fe, New Mexico had neither a listing for Mondragon for Congress nor Mr. Richard Lucero.

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RE: RFAI's sent
DATE: 12/22/82
FROM: Debbie Bustamente (Committee's Accountant)
TO: Swanson (Analyst)
NAME OF COMMITTEE: Mondragon for Congress - C00152116 New Mexico/House

Ms. Bustamente phoned in order to notify the Commission that she had just received the RFAI's and said that she would be able to complete the amendments by the end of next week. She said the bookkeeper had died which left the committee somewhat disorganized. I questioned her as to the disposition of the outstanding excessive contribution (\$6,250). She said that the committee would not be able to repay that amount in this period but would provide some explanation as to what they intended to do about repaying it in a letter which would accompany the amended reports.

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 87501

RE: MUR 1529

Dear Mr. Lucero:

On April , 1983, the Federal Election Commission determined that there is reason to believe that you violated 2 U.S.C. § 441a(a)(1)(A) by making \$6,250 in excessive contributions to Mondragon for Congress. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

15

Richard Lucero
Page Two

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Judy Thedford at (202)523-4529.

Sincerely,

Enclosures

1. General Counsel's Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Statement

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(16)

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

MUR 1529
STAFF MEMBER & TEL. NO.
Judy Thedford (523-4529)

RESPONDENT: Richard Lucero, Mondragon for Congress

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

A Reports Analysis Division review of reports filed by Mondragon for Congress noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250.

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RFAI), sent September 14, 1982, and a Second Notice was sent October 8, 1982. Both the RFAI and the Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the

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Second Notice. In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

An attempt was made by the analyst to contact the Committee, but it was unsuccessful. Ms. Debbie Bustamente, the Committee's accountant, phoned the Commission on December 22, 1982, to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports. To date, no amended reports have been filed.

FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). 2 U.S.C. § 431(8)(A)(i) defines a loan as a contribution. Thus, the treasurer */ and the Committee are in violation of 2 U.S.C.

*/ The telecon forwarded to the Office of General Counsel by the Reports Analysis Division indicates that the Committee's accountant stated the bookkeeper had died. It is unclear if the person referred to in the telecon as the bookkeeper is the treasurer, Richard Lucero. The Office of General Counsel attempted to reach the Committee to clarify the identity of the bookkeeper, however, all attempts were unsuccessful.

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(18)

SS 441a(a)(1)(A) and 441a(f) respectively, for the making and accepting of the \$6,250 excessive contribution.

Additionally, the Committee has also failed to file reports required by 2 U.S.C. § 434(a). The last report filed by the Committee was the 1981 July Quarterly Amended report.

Therefore, Office of General Counsel recommends that the Commission find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A), and Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).

RECOMMENDATIONS

1. Find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a)(1)(A).
2. Find reason to believe Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).
3. Send the attached letters.

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Mondragon for Congress
Richard Lucero, Treasurer
P.O. Box 5832
Santa Fe, New Mexico 87501

Re: MUR 1529

Dear Mr. Lucero:

On April , 1983, the Federal Election Commission determined that there is reason to believe that the Mondragon for Congress Committee violated 2 U.S.C. §§ 441a(f) and 434(a) by accepting \$6,250 in excessive contributions from treasurer Richard Lucero and by failing to file reports required by the Act. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against Mondragon for Congress. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against Mondragon for Congress, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

20

Mondragon for Congress
Richard Lucero, Treasurer
Page Two

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Judy Thedford at (202)523-4529.

Sincerely,

Enclosures

1. General Counsel's Factual and Legal Analysis
2. Procedures
3. Designation of Counsel Statement

cc: Roberto Mondragon

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(21)

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

**MUR 1529
STAFF MEMBER & TEL. NO.
Judy Thedford (523-4529)**

RESPONDENT: Richard Lucero, Mondragon for Congress

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

8 4 0 4 0 4 5 4 5 2 8
A Reports Analysis Division review of reports filed by Mondragon for Congress noted that the Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from the treasurer, Richard Lucero. The loan was in addition to a \$250 primary contribution from him received on March 18, 1982, thus creating an excessive contribution of \$9,250.

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RAI), sent September 14, 1982, and a Second Notice was sent October 8, 1982. Both the RAI and the Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the



Second Notice. In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

An attempt was made by the analyst to contact the Committee, but it was unsuccessful. Ms. Debbie Bustamente, the Committee's accountant, phoned the Commission on December 22, 1982, to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports. To date, no amended reports have been filed.

FACTUAL AND LEGAL ANALYSIS

2 U.S.C. § 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting contributions in violation of the limits set forth in § 441a(a). 2 U.S.C. § 431(8)(A)(i) defines a loan as a contribution. Thus, the treasurer */ and the Committee are in violation of 2 U.S.C.

*/ The telecon forwarded to the Office of General Counsel by the Reports Analysis Division indicates that the Committee's accountant stated the bookkeeper had died. It is unclear if the person referred to in the telecon as the bookkeeper is the treasurer, Richard Lucero. The Office of General Counsel attempted to reach the Committee to clarify the identity of the bookkeeper, however, all attempts were unsuccessful.

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SS 441a(a) (1) (A) and 441a(f) respectively, for the making and accepting of the \$6,250 excessive contribution.

Additionally, the Committee has also failed to file reports required by 2 U.S.C. § 434(a). The last report filed by the Committee was the 1981 July Quarterly Amended report.

Therefore, Office of General Counsel recommends that the Commission find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a) (1) (A), and Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).

RECOMMENDATIONS

1. Find reason to believe Richard Lucero violated 2 U.S.C. § 441a(a) (1) (A).
2. Find reason to believe Mondragon for Congress violated 2 U.S.C. § 441a(f) and § 434(a).
3. Send the attached letters.

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(24)

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of
Mondragon for Congress

)
)
)
RAD Referral 82L-46

CERTIFICATION

I, Marjorie W. Emmons, Secretary of the Federal Election Commission, do hereby certify that on February 24, 1983, the Commission decided by a vote of 6-0 to open a MUR with respect to the above-captioned matter.

Commissioners Aikens, Elliott, Harris, McDonald, McGarry and Reiche voted affirmatively in this matter.

Attest:

2/24/83

Date

Marjorie W. Emmons

Marjorie W. Emmons
Secretary of the Commission

Received in Office of Commission Secretary:
Circulated on 48 hour tally basis:

2-18-82, 11:40
2-22-83, 11:00

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 18, 1983

MEMORANDUM TO: Marjorie W. Emmons

FROM: George Demougeot *GD*

SUBJECT: RAD Referral 82L- 46

Please have the attached Memo to the Commission distributed to the Commission on a 48 hour tally basis as a sensitive matter. Thank you.

Attachment

84040454532



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
OFFICE OF THE
COMMISSION SECRETARY

83 FEB 18 AM: 43

February 18, 1983

MEMORANDUM

SENSITIVE

TO: The Commission

FROM: Charles N. Steele
General Counsel

BY: Kenneth A. Gross
Associate General Counsel *[Signature]*

SUBJECT: RAD Referral 82L-46 - Mondragon for Congress

The Office of General Counsel has prepared for Commission review seventeen critiques of referrals from the Reports Analysis Division.

Attached to this referral is a critique prepared by this Office, a copy of the referral from the Reports Analysis Division, and a 48-hour tally vote sheet. The OGC critique summarizes the facts, presents an analysis of the legal issues involved, and makes a recommendation as to the disposition of the referrals. The copy of the RAD referral is attached for informational purposes; and the separate vote sheet provides for a vote on this referral.

Attachments
Critique and Referral

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RAD REFERRAL NUMBER: 82L-46
SUBJECT: Mondragon For Congress

BACKGROUND

1. Date of referral: 12/29/82
2. Financial background:
 - a. Total 1979-1980 receipts: N/A
 - b. Total 1979-1980 disbursements: N/A
 - c. Total 1981-1982 receipts: \$52,467
 - d. Total 1981-1982 disbursements: \$51,661
 - e. Cash on hand (07/15/82): \$806
 - f. Debts owed to the committee (07/15/82): \$0
 - g. Debts owed by the committee (07/15/82): \$9,000

3. Status of candidate

Roberto Mondragon lost the Democratic primary with 30.8% of the vote.

SUMMARY OF RAD ACTIONS

Referral category 3 receipt of excessive contribution from a person 2 U.S.C. § 441a(f); 11 C.F.R. § 110.9(a).

The Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from an individual. The loan was in addition to a \$250 primary contribution received on March 18, 1982, thus creating an excessive contribution of \$9,250.

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The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982. The excessive amount remaining outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RFAI), sent September 14, 1982, and a Second Notice was sent October 8, 1982. Both the RFAI and the Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the Second Notice. In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

An attempt was made by the analyst to contact the Committee, but it was unsuccessful. Ms. Debbie Bustamante, the Committee's accountant, phoned the Commission December 22, 1982 to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports.

OGC ANALYSIS:

2 U.S.C. 441a(a)(1)(A) prohibits any person from contributing in excess of \$1,000 to any candidate and his authorized committees with respect to any Federal election and 2 U.S.C. § 441a(f) prohibits a committee from accepting

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contributions in violation of the limits set forth in § 441a(a).
2 U.S.C. § 431(8)(a)(i) defines a loan as a contribution.

The treasurer of Mondragon for Congress, Richard Lucero, made a \$250 contribution to the Committee on March 18, 1982, and a \$10,000 loan to the Committee on May 24, 1982. Both of these contributions were designated for the primary election resulting in the Committee receiving an excessive contribution of \$9,250. Approximately two weeks after its receipt of the \$10,000 loan from the treasurer, the Committee made a loan repayment of \$3,000 to Mr. Lucero reducing the amount of the outstanding excessive contribution to \$6,250.

Phone calls and letters to the Committee by the Reports Analysis Division failed to produce further clarification or reimbursement of the \$6,250 excessive contribution.

RECOMMENDATION:

Open a MUR.

Attachment:

Referral

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 29, 1982

MEMORANDUM

TO: CHARLES N. STEELE
GENERAL COUNSEL

THROUGH: B. ALLEN CLUTTER *BAC*
STAFF DIRECTOR *for and in the absence of*

FROM: JOHN D. GIBSON *JG*
ASSISTANT STAFF DIRECTOR, RAD

SUBJECT: REFERRAL OF MONDRAGON FOR CONGRESS

Please find the attached referral of the Mondragon For Congress committee ("Committee"). The Committee has accepted an excessive contribution, in the form of a loan, from an individual. According to the Review and Referral Policy for authorized committees (Chart #3), this matter requires additional examination by your office.

If you have any questions, please contact Gregory Swanson at 523-4048.

Attachment

cc: The Commissioners

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DATE: December 29, 1982ANALYST: Gregory Swanson

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

I. COMMITTEE: Mondragon For Congress (C00152116)
Richard Lucero, Treasurer
P.O. Box 5732
Santa Fe, NM 87501

II. RELEVANT STATUTE: 2 U.S.C. 441a(f); 11 CFR 110.9(a)

III. BACKGROUND

Receipt of Excessive Contribution From a Person --
2 U.S.C. 441a(f); 11 CFR 110.9(a)

The Committee's 1982 July Quarterly amended report disclosed the receipt on May 24, 1982, of a \$10,000 loan, designated for the primary, from an individual 1/ (Attachment #3). The loan was in addition to a \$250 primary contribution received on March 18, 1982, thus creating an excessive contribution of \$9,250 (Attachment #2).

The Committee's 1982 July Quarterly amended report further disclosed, on Schedule B for Line 19(b), a "payment on loan" in the amount of \$3,000 on June 6, 1982 (Attachment #4). The excessive amount still outstanding is \$6,250.

The Committee failed to respond to the Request for Additional Information (RFAI), sent September 14, 1982, and a Second Notice was sent October 8, 1982 (Attachment #5 and Attachment #6, respectively). Both the RFAI and Second Notice pointed out the violation and the possibility of further Commission action regarding the acceptance of the excessive contribution. The Committee failed to respond to the Second Notice. In addition, the Committee has made no other filings subsequent to the July Quarterly Amended report.

1/ This same information appeared in the original 1982 July Quarterly report.

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Reports Analysis Referral
Mondragon For Congress Committee
Page 2

An attempt was made by the analyst to contact the Committee, but it was unsuccessful (Attachment #7). Ms. Debbie Bustamente, the Committee's accountant, phoned the Commission December 22, 1982 to indicate that the Committee's bookkeeper had died and that she would require another week to amend the reports in question. The analyst inquired about the disposition of the outstanding \$6,250 excessive contribution. She said the Committee would not be able to pay that amount but would provide a letter of explanation with the amended reports (Attachment #8).

IV. OTHER PENDING ACTIONS INITIATED BY RAD:

None.

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FEDERAL ELECTION COMMISSION

DATE 13DEC82

8 1 0 4 0 1981-1982 5 4 5 4 0
CANDIDATE INDEX OF SUPPORTING DOCUMENTS 4 (1)

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	4 OF PAGES	MICROFILM LOCATION TYPE OF FILER
			PRIMARY	GENERAL	PRIMARY	GENERAL			
MONDAGON, ROBERTO A	HOUSE 03	DEMOCRATIC PARTY					1982 ELECTION	101	H2NH02041
1. STATEMENT OF CANDIDATE									
1982 STATEMENT OF CANDIDATE							17MAR82	1	02HSE/211/2189
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
MONDRAGON FOR CONGRESS									
1982 STATEMENT OF ORGANIZATION							191 C00152114	HOUSE	
APRIL QUARTERLY			5,411		2,937		17MAR82	1	02HSE/211/2191
APRIL QUARTERLY AMENDMENT			5,411		2,937		1JAN82 - 31MAR82	6	02HSE/215/0325
REQUEST FOR ADDITIONAL INFORMATION							1JAN82 - 31MAR82	7	02HSE/223/3438
REQUEST FOR ADDITIONAL INFORMATION 2ND							1JAN82 - 31MAR82	2	02FEC/233/4623
PRE-PRIMARY			15,593		15,851		1JAN82 - 31MAR82	3	02FEC/237/2175
REQUEST FOR ADDITIONAL INFORMATION							1APR82 - 14MAY82	7	02HSE/216/1801
REQUEST FOR ADDITIONAL INFORMATION 2ND							1APR82 - 14MAY82	2	02FEC/242/4349
JULY QUARTERLY			31,463		32,873		1APR82 - 14MAY82	3	02FEC/245/0494
JULY QUARTERLY - AMENDMENT			31,463		32,873		14MAY82 - 15JUN82	14	02HSE/222/4238
REQUEST FOR ADDITIONAL INFORMATION							14MAY82 - 15JUN82	14	02HSE/223/1523
REQUEST FOR ADDITIONAL INFORMATION 2ND							14MAY82 - 15JUN82	4	02FEC/243/1510
							14MAY82 - 15JUN82	5	02FEC/245/5291
TOTAL			52,467	0	51,661	0		48	TOTAL PAGES
4. AUTHORIZED COMMITTEES									
4B. TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES									

All reports have been reviewed

Cash on hand as of July 15, 1982 - \$806

Debts and obligations owed to the committee - 0

Debts and obligations owed by the committee - \$9,000

ATTACHMENT 11

SCHEDULE A

ITEMIZED RECEIPTS

State of New Mexico
Department of Finance
Receipts Section

Any information copied from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Louie Branch 717 Los Lovatos Road Santa Fe, New Mexico 87501	State of New Mexico Competition Admin. Aide	2/8/82	\$500
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date—\$ 500		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
D. J. Michaelson 1503 Central N.W. Albuquerque, NM 87104	Self-Employed owns Jewelry Business	3/10/82	\$500
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Owner-Businessman	Aggregate Year-to-Date—\$ 500	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Richard Lucero 2641 1/2 Cerrillos Road Santa Fe, NM 87501	Store Owner - (Feed)	3/18/82	\$250
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Owner	Aggregate Year-to-Date—\$ 250	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Francisco Urrea 3009 Charleston NE Albuquerque, New Mexico	Insurance	3/19/82	\$300
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Agent	Aggregate Year-to-Date—\$ 300	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Frank Macaluso 1809 Chilton Court Farmington, NM 87501	Texico	3/23/82	\$250
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Distributor	Aggregate Year-to-Date—\$ 250	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
George Ellis Rt. 3, Box 102 Santa Fe, New Mexico	Federal Government	3/23/82	\$250
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Director of Program	Aggregate Year-to-Date—\$ 250	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Eloy Valdez 2227 Cerrillos Road Santa Fe, NM 87501	Motel Owner	In-Kind	\$200 (Let's use room for C. Ederts.)
Receipt For: ☒ Primary ☐ General ☐ Other (specify):	Occupation Owner	Aggregate Year-to-Date—\$ 200	
SUBTOTAL of Receipts This Page (optional).....			\$2 250
TOTAL This Period (don't past this line number entry).....			\$2 250

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SCHEDULE A

ITEMIZED RECEIPTS
Loans to the campaign

Attachment #3
From July Quarterly Amend.
Summary Page

Any information obtained from such Records or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any person authorized to solicit contributions from such contributors.

Name of Contributor (in Full)

Mondragon for Congress

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
Roberto Mondragon Rt. 5, Box 343 A Santa Fe, N.M. 87501	New Mexico State Government Occupation: Lieutenant Governor Appropriates Year-to-Date—\$ 5,000	5/24/82	\$1,200.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):			
Richard Lucero P.O. Box 5732 Santa Fe, N.M. 87501	Espanola Country Feed Store Occupation: Self-employed Appropriates Year-to-Date—\$ 10,000	5/24/82	\$10,000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify):			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Appropriates Year-to-Date—\$		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Appropriates Year-to-Date—\$		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Appropriates Year-to-Date—\$		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Appropriates Year-to-Date—\$		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Cash Received This Period
	Occupation		
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Appropriates Year-to-Date—\$		
TOTAL of Receipts This Page (optional).....			\$11236.00
TOTAL This Period (last page this line number only)			\$21238.00

yes

SCHEDULE B

ITEMIZED DISBURSEMENTS

Attachment #4

Page 10 of 14

This schedule is attached to the
Summary Page

From July Quarterly Amend.

Any information received from such Records and Documents may not be used or cited by any person for the purpose of creating contributions or for commercial purposes, other than using the name and address of any person authorized to accept contributions from such sources.

Name of Contributor (in Full)
MONDRAGON FOR CONGRESS

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bob Martin Santa Fe Aviation Santa Fe, NM	Airplane Expense Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	3/2/82	\$192.00
Richard Lucero P.O. Box 5732 Santa Fe, NM 87501	Payment on loan Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/6/82	\$3000.00
Roberto Mondragon	Payment on loan Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/2/82	\$3000.00
Arroyo Seco Builder Supply P.O. Box 66 Española, NM 87532	Building Material for signs Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/14/82	\$301.02
Roberto Mondragon	Candidate Campaign Expense Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/2/82	\$110.51
KEIT Radio Taos, NM	 Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/21/82	\$69.63
KNMX Radio Las Vegas, NM	 Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/21/82	\$172.43
Mountain Bell	 Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	6/1/82	\$206.67
KDCE Radio Española, NM	 Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	5/27/82	\$104.50
SUBTOTAL of Disbursements This Page (attach to page 14)			\$7156.76
TOTAL This Period (last page this and number only)			\$34236.16



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20461

14 September 1982

Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, NM 87501

Identification Number: C00152116

Reference: July Quarterly Report (5/14/82-7/15/82) Amendment

Dear Mr. Lucero:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-On Schedule A of this report, you have not itemized all of the necessary contributor information. You must provide the aggregate year-to-date total for each itemized receipt.

-On Schedule B of this report, you have reported disbursements for which you have failed to include complete addresses. Please amend your report to include the missing information for each of these entries. (11 C.F.R. 104.3(b)(4))

-When a committee reports receiving a loan from the candidate, it is necessary to clarify whether or not the candidate used his/her personal funds or borrowed the money from a lending institution or other source. If the candidate borrowed funds from a lending institution or any other source, the amount of the loan, the date incurred, the date due, the interest rate, whether or not the loan was secured, and all endorsers' or guarantors' names, addresses, occupations, employers and the amount guaranteed by each, if applicable, should be itemized. If the loan(s) was from personal funds, please acknowledge that fact in an amendment to this report.

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Further, it is important to note that "personal funds" is strictly defined by Commission regulations and may be found in 11 C.F.R. 110.10. (11 C.F.R. 100.7(a)(1) and 104.3(d))

-On Schedule C of your report, you have failed to include information required by Commission regulations. You must still provide the original terms of the loan including date incurred and date due. Further, if there are any endorsers or guarantors, their mailing address along with the name of their employer and occupation must be disclosed. Please amend your report to include this information. (11 C.F.R. 100.7(a)(1) and 104.3(d))

-Schedule A of your report (pertinent portion attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. An individual or a political committee, other than a multicandidate committee may not make contributions to a candidate for Federal office in excess of \$1,000 per election. If you have received a contribution(s) which exceeds the limits, the Commission recommends that you refund to the donor(s) the amount in excess of \$1,000. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) of the Detailed Summary Page of your next report. (2 U.S.C. 441a(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

If you find the contribution(s) in question was disclosed incompletely or incorrectly, please amend your original report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of an excessive contribution(s), prompt action by you to refund the excessive amount will be taken into consideration by the Commission.

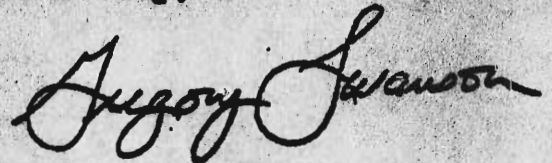
An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington,

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des

DC 20513 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,



Gregory Swanson
Reports Analyst
Reports Analysis Division

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FEDERAL ELECTION COMMISSION
WASHINGTON DC 20541

RQ-3

October 8, 1982

Richard Lucero, Treasurer
Mondragon for Congress
P.O. Box 5732
Santa Fe, NM 87501

Identification Number: C00152116

Reference: July Quarterly Amendment Report (5/14/82-7/15/82)

Dear Mr. Lucero:

This letter is to inform you that as of this date, the Commission has not received your response to our request for additional information, dated September 14, 1982. That notice requested information essential to full public disclosure of your Federal election financial activity and to ensure compliance with provisions of the Federal Election Campaign Act (the Act). A copy of our original request is enclosed.

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions related to this matter, please contact Gregory Swanson on our toll-free number (800) 424-9530 or our local number (202) 523-4048.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure

840404548
113191

RE: Non-response to RFAI's sent
DATE: 12/12/82
FROM: Swanson (Analyst)
TO: Mr. Richard Lucero, Treasurer
NAME OF COMMITTEE: Mondragon for Congress committee - C00152116 New Mexico/House

I tried to phone Mr. Lucero to gain clarification and information on the status of the outstanding excessive contribution owed by the committee to himself. The Information Operator for Santa Fe, New Mexico had neither a listing for Mondragon for Congress nor Mr. Richard Lucero.

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MEMORANDUM FOR FILES

RE: RFAI's sent
DATE: 12/22/82
FROM: Debbie Bustamente (Committee's Accountant)
TO: Swanson (Analyst)
NAME OF COMMITTEE: Mondragon for Congress - C00152116 New Mexico/House

Ms. Bustamente phoned in order to notify the Commission that she had just received the RFAI's and said that she would be able to complete the amendments by the end of next week. She said the bookkeeper had died which left the committee somewhat disorganized. I questioned her as to the disposition of the outstanding excessive contribution (\$6,250). She said that the committee would not be able to repay that amount in this period but would provide some explanation as to what they intended to do about repaying it in a letter which would accompany the amended reports.

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FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 1529

Date Filmed 5/10/84 Camera No. --- 2

Cameraman JBL



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

THE FOLLOWING MATERIAL IS BEING ADDED TO THE
PUBLIC FILE OF CLOSED MUR 1529

34040461345

Federal Election Commission
c/o Judy, The Fund
325 K Street
Washington, DC 20463

APR 28 1984

Judy -

Enclosed please find a check for \$400⁰⁰

Balance paid in full re our Agreement,

Dated & Signed on April 27, 1984.

Thank you for your help!

Sincerely,

Roberto Gonzalez

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32860

RECEIPT
FEDERAL ELECTION COMMISSION

1325 K Street, N.W.
Washington, D.C. 20463

7-10-84

Date

The Federal Election Commission has received \$ 400.00 in cash/check for the purchase of copies of documents on file at the Federal Election Commission, or for other materials made available by the Commission.

Joseph B. Neely
Federal Election Commission

Purchaser understands any information copied from reports and statements shall not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. 2 U.S.C. Section 438

U.S. GOVERNMENT PRINTING OFFICE: 1982-577-001

	ROBERTO OR MARIA YSABEL MONDRAGON	3613
	ROUTE 5, BOX 343-A 455-7402 SANTA FE, N.M. 87501	7/5/84 95-238 1070
<i>Federal Election Commission</i> <i>Four Hundred and No/100</i> <i>400.00</i>		
Bank of Santa Fe (505) 983-3374 Post Office Box 2027 Santa Fe, New Mexico 87501	<i>BAL. DUE 7/27/84</i> <i>Roberto Mondragon</i>	
⑆ 107002383⑆ 36130440⑆ 1⑆		

Mr. McWhorter
Box 5732
Ft. H. McK. 07501



84 JUN 84

840404613

Federal Communications Comm.

c/o Judy Tinsford

1515 K STREET

Washington, D.C. 20463



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

July 12, 1984

Richard Lucero
P.O. Box 5732
Santa Fe, New Mexico 97501

RE: MUR 1529
(Richard Lucero)

Dear Mr. Lucero:

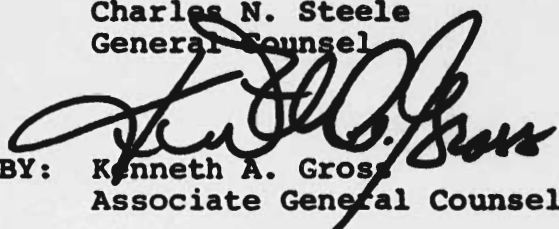
On April 27, 1984, the Associate General Counsel of the Federal Election Commission signed a conciliation agreement in settlement of the above referenced matter. A copy of the executed agreement was forwarded to you at that time. In accordance with paragraph XI of the agreement, you were given ninety days to comply with requirements stated in the agreement. Paragraph VII required the payment of a \$500 civil penalty. As of this date, \$100 of the \$500 has been received.

In order to achieve compliance with the agreement, the final payment (\$400) is due no later than July 27, 1984.

If You have any questions, please contact Judy Thedford at (202) 523-4000.

Sincerely,

Charles N. Steele
General Counsel


BY: Kenneth A. Gross
Associate General Counsel

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FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

END OF ADDITIONAL MATERIAL FOR CLOSED MUR 1529.

84040464350





FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THE FOLLOWING MATERIAL IS BEING ADDED TO THE
PUBLIC FILE OF CLOSED MUR 1529

84040471128



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE END OF MUR # 1529

Date Filmed 7/30/84 Camera No. --- 4

Cameraman JBL

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

July 27, 1984

Roberto Mondragon
Mondragon for Congress
P.O. Box 5732
Santa Fe, New Mexico 87501

RE: MUR 1529

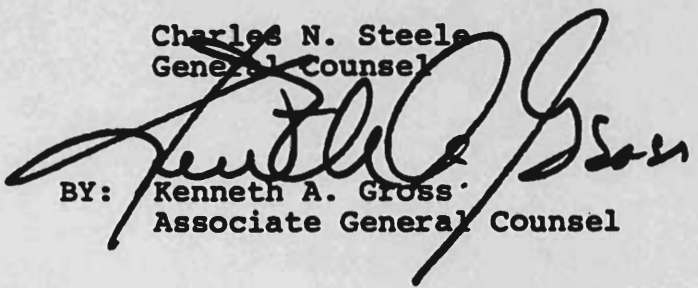
Dear Mr. Mondragon:

This letter is to acknowledge receipt of two \$400 checks submitted as payment in full of the civil penalties levied in MUR 1529. The payment of the civil penalties brings you and Mr. Lucero into full compliance with the executed agreements. No further action is necessary.

If you have any questions please contact Judy Thedford at (202) 523-4000.

Sincerely,

Charles N. Steele
General Counsel

BY: 
Kenneth A. Gross
Associate General Counsel

84040471130



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR # 1529

Date Filmed 7/30/84 Camera No. --- 2

Cameraman JRL

84040471131