



FEDERAL ELECTION COMMISSION

1125 K STREET N.W.
WASHINGTON, D.C. 20461

THIS IS THE END OF MUR # 1511

Date Filmed 2/15/83 Camera No. --- 2

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FEDERAL ELECTION COMMISSION

RAD Critique

Routing Slip

Objection Comment

The above-described material was removed from this file pursuant to the following exemption provided in the Freedom of Information Act, 5 U.S.C. Section 552(b):

- | | |
|--|---|
| ☐ (1) Classified Information | ☐ (6) Personal privacy |
| ☐ (2) Internal rules and practices | ☐ (7) Investigatory files |
| ☐ (3) Exempted by other statute | ☐ (8) Banking Information |
| ☐ (4) Trade secrets and commercial or financial information | ☐ (9) Well Information (geographic or geophysical) |
| ☒ (5) Internal Documents | |

Signed

date

Lizanne M. Hall
7/17/83

FEC 9-21-77

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RECEIVED AT THE FEC
6004 9389
83 JAN 24 12:20

GRANT SHIMIZU
ATTORNEY AT LAW
724 NORTH FIRST STREET
SAN JOSE, CALIFORNIA 95112
TELEPHONE 297-2088

January 19, 1983

Federal Election Commission
Washington, D. C. 20463

Attention: Suzanne Callahan

RE: MINETA FOR CONGRESS COMMITTEE
ID #C00014613; HR033626
MUR 1511

Dear Ms. Callahan:

We have received the Commission's Factual and Legal Analysis in connection with a loan made to Mineta for Congress Committee.

To avoid any misunderstanding that the transaction might have been viewed by the Commission solely as a means of generating funds for the campaign rather than as a decision on the part of the committee to increase the effectiveness of the campaign by leasing a word processor, it was believed that a further explanation would be beneficial.

Upon review of my file and recent interview with TKO, it appears that TKO ordered a word processor in June after a preliminary discussion with us on a program of a lease agreement. A copy of the order is enclosed (Exhibit "A"). The machine was delivered to us in July of 1981, but TKO was advised to delay payment because of start-up problems which could not be resolved. During this period, final discussion took place between the committee and TKO on the agreement subject to an agreement to be prepared and executed between the parties. In September, the machine became operable, and the seller, Microsystems Limited, requested payment. Since no agreement had yet been signed, TKO was reluctant to make the purchase, as a result of which, the committee paid for the machine with a view to selling the machine to TKO and then leasing it back. Meanwhile, we took back \$8,000 as a loan so that a lease agreement would eventually be accomplished as originally agreed between TKO and the committee. Perhaps, due to the exigencies of the campaign or other matters, the final portion of the contemplated transaction was never completed.

It should be noted, however, that the committee was not without

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Federal Election Commission
January 19, 1983
Page 2

without resources for the 1982 campaign and an outright purchase of a word processor and that the advantages of a lease arrangement far outweighed an outright purchase, especially in view of the fact of the rapid changes in word processing technology and in the software.

I trust the above may further clarify our explanation of the loan and the oversight on my part in not pursuing the original course as the committee had intended.

Copies of my letter to the Commission dated, June 25, 1982 (Exhibit "B") and cancelled note (Exhibit "C") are hereby submitted with this letter for filing.

Thank you for your attention.

Yours very truly,


Grant Shimizu
Treasurer, Mineta for
Congress Committee

GS:to

Enclosures: Exhibits A, B, C.

3304067427

Microsystems Limited
15495 Los Gatos Blv Suite #1
Los Gatos Ca. 95030
Phone (408) 356-1123

INVOICE

INVOICE NO.

RECEIVED 810105 REC

03 JAN 24 P 2:28
Jee
988-6447

Gerry Niece
DATA

342-
727-4109
730-2101

SOLD TO
TKO Company
280-2266
Control Data

744-
5000
Bus Product Group

SHIP TO
Norman Mineta For Congress
c/o Grant Shimizu
724 North First Street
San Jose, CA 95113

CUSTOMER'S ORDER		SALESMAN	DATE SHIPPED	TERMS	SHIPPED VIA	F.O.B.	DATE
Quote #5760 6/25		MSO		Lease/CIA	Delivered	-----	6/25/81
1	Vector System BQ 1*Vector MZ* 1Sprint III Printer 1Mindless Terminal - 2600 Cp unit 1Memorite III (software)						9445 00
Quote # 5760							
Subtotal						9445	00
Tax						613	93
Total						10058	93
CPU SERIAL # MZB-2117							
CRT SERIAL # MT-2633							

83040374275

June 25, 1982

Federal Election Commission
Washington, D.C. 20463

Attention: Roberto Garcia
Reports Analyst

Identification Number: C00014613

RE: Mineta for Congress Committee
Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Garcia:

In response to your letter of June 22, 1982, relative to the loan, we immediately took steps to rectify the situation and have refunded completely the loan. I may have mentioned the fact that an amendment would be filed shortly; however, it appears to be inappropriate and the return of the loan will be shown on the next quarterly report ending June 30, 1982.

The loan arose out of an arrangement with TKO which was to purchase and lease to the Committee a word processor for a period of four years. TKO ordered the word processor, but for some unexplained reason, the transaction was reversed with the Committee paying for the processor and TKO loaning the money to it.

Full responsibility is accepted by me for the oversight due to an unintentional lapse on my part of the synonymy of loans and contributions as defined in 11 CFR 114.1(a)(1).

Yours very truly,

Grant Shimizu
Treasurer, Mineta for
Congress Committee

GS:to

EXHIBIT "B"

83040374276

\$8,000.00

San Jose, California, October 1, 1981

8 3 0 4 0 3 7 4 2 7 7

In installments, and at times hereinafter stated, for value received, the undersigned promises to pay to TKO COMPANY or order, at 724 North First Street, San Jose, CA 95112, the principal sum of EIGHT THOUSAND AND 00/100 DOLLARS (\$8,000.00) with interest from October 1, 1981 on the amounts of principal sum remaining from time to time unpaid, until said principal sum is paid, at the rate of 20% per annum. Principal and interest payable in monthly installments of \$200.00 or more each on the 1st day of each and every month, beginning November 1, 1981. Each payment shall be credited first, to the the interest then due; and the remainder on the principal sum; and interest shall thereupon cease upon the amount so paid on said principal sum. And it agrees that in case of default in the payment of any installments when due, then the whole of said principal sum then remaining unpaid, together with the interest that shall have accrued thereon, shall forthwith become due and payable at the election of the holder of this note, without notice. Principal and interest payable in lawful money of the United States. If action is commenced to enforce payment of this note, it agrees to pay such sums as the Court may fix as attorney's fees.

MINETA FOR CONGRESS COMMITTEE

By

Grant Shimizu
Grant Shimizu, Treasurer

*Added
6/25/82*

EXHIBIT "C"

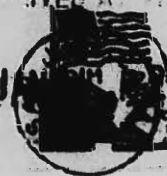
GRANT SHIMIEU

ATTORNEY AT LAW

724 NORTH FIRST STREET

SAN JOSE, CALIFORNIA 95112

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Federal Election Commission
Washington, D.C. 20463

Attention: Ms. Suzanne Callahan



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

January 14, 1983

Grant Shimizu, Treasurer
Mineta for Congress Committee
724 North First Street
San Jose, California 95112

RE: MUR 1511

Dear Mr. Shimizu:

On January 11, 1983, the Commission found reason to believe that your committee has violated 2 U.S.C. § 441a, a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"), in connection with the above referenced MUR. However, after considering the circumstances of this matter, the Commission has determined to take no further action and close its file. The file will be made part of the public record within 30 days. Should you wish to submit any materials to appear on the public record, please do so within 10 days.

The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

If you have any questions, please direct them to Suzanne Callahan at (202) 523-4529.

Sincerely,

DANNY L. McDONALD
Chairman

Enclosures

General Counsel's Factual and Legal Analysis

33040374279

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE January 11, 1983

MUR NO. 1511

RESPONDENT Mineta for Congress

STAFF MEMBER & TEL. NO.
Suzanne Callahan
(202) 523-4529

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

This matter was referred to the Office of General Counsel by the Reports Analysis Division on November 5, 1982. On December 10, 1982, the Commission approved the General Counsel's recommendation to open a MUR in this matter.

FACTUAL AND LEGAL ANALYSIS

The respondent committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co., designated for the primary election. The report disclosed a \$400 "principal payment on loan" to TKO, Co., a \$1,600 "prepayment of interest" to TKO, Co. and an interest rate of twenty (20) percent. A Request for Additional Information was sent on March 30, 1982, requesting clarification of the due date or amortization schedule of the loan, whether or not the loan was secured and clarification as to the type of institution which provided the loan.

An amended Year End 1981 Report was received on April 20, 1982, which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual.

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A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation. In a letter dated May 18, 1982, the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report."

The Office of the Secretary of State for the State of California lists a company by the name of T.K.O. Inc. as a corporation; its address is listed as being in Beaumont, California. Beaumont is a small community located southeast of Los Angeles and approximately 400 miles south of San Jose, California. Current telephone information lists no company such as T.K.O. Inc. in Beaumont, California. However, it is highly unlikely that T.K.O. Inc. and TKO, Co. are one in the same entity and, therefore, it is our conclusion that TKO, Co. is not a corporation but rather a trade name and style.

A Request for Additional Information in reference to the amended report, dated May 18, 1982, was sent on June 22, 1982. The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The treasurer explained the circumstances of this transaction by stating that TKO was to

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purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000. The July Quarterly Report disclosed the full repayment of the loan on June 25, 1982, and receipt of a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

Since TKO, Co. is an individual as the committee has stated, then an excessive contribution was made by TKO in violation of 2 U.S.C. § 441a and an excessive contribution was received by the committee in violation of 2 U.S.C. § 441a(f).

However, since the loan transaction has been repaid, and the reports amended accordingly, we recommend that the Commission find reason to believe against the respondents for violations of 2 U.S.C. § 441a and close the file.

83040674232



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463.

January 14, 1983

President
TKO Company
969 Lankeshire Court
San Jose, California 95126

RE: MUR 1511

Dear Sir or Madam:

On January 11, 1983, the Commission found reason to believe that you have violated 2 U.S.C. § 441a, a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"), in connection with the above referenced MUR. However, after considering the circumstances of this matter, the Commission has determined to take no further action and close its file. The file will be made part of the public record within 30 days. Should you wish to submit any materials to appear on the public record, please do so within 10 days.

The General Counsel's Factual and Legal analysis which formed a basis for the Commission's finding is attached for your information.

If you have any questions, please direct them to Suzanne Callahan at (202) 523-4529.

Sincerely,

A handwritten signature in cursive script, reading "Danny L. McDonald", is written over the typed name.

DANNY L. McDONALD
Chairman

Enclosures

General Counsel's Factual and Legal Analysis

83040674233

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE January 11, 1983

MUR NO. 1511

RESPONDENT TKO Company

STAFF MEMBER & TEL. NO.
Suzanne Callahan
(202) 523-4529

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

This matter was referred to the Office of General Counsel by the Reports Analysis Division on November 5, 1982. On December 10, 1982, the Commission approved the General Counsel's recommendation to open a MUR in this matter.

FACTUAL AND LEGAL ANALYSIS

The respondent committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co., designated for the primary election. The report disclosed a \$400 "principal payment on loan" to TKO, Co., a \$1,600 "prepayment of interest" to TKO, Co. and an interest rate of twenty (20) percent. A Request for Additional Information was sent on March 30, 1982, requesting clarification of the due date or amortization schedule of the loan, whether or not the loan was secured and clarification as to the type of institution which provided the loan.

An amended Year End 1981 Report was received on April 20, 1982, which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual.

83040374284

A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation. In a letter dated May 18, 1982, the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report."

The Office of the Secretary of State for the State of California lists a company by the name of T.K.O. Inc. as a corporation; its address is listed as being in Beaumont, California. Beaumont is a small community located southeast of Los Angeles and approximately 400 miles south of San Jose, California. Current telephone information lists no company such as T.K.O. Inc. in Beaumont, California. However, it is highly unlikely that T.K.O. Inc. and TKO, Co. are one in the same entity and, therefore, it is our conclusion that TKO, Co. is not a corporation but rather a trade name and style.

A Request for Additional Information in reference to the amended report, dated May 18, 1982, was sent on June 22, 1982. The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The treasurer explained the circumstances of this transaction by stating that TKO was to

83040374235

purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000. The July Quarterly Report disclosed the full repayment of the loan on June 25, 1982, and receipt of a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

Since TKO, Co. is an individual as the committee has stated, then an excessive contribution was made by TKO in violation of 2 U.S.C. § 441a and an excessive contribution was received by the committee in violation of 2 U.S.C. § 441a(f).

However, since the loan transaction has been repaid, and the reports amended accordingly, we recommend that the Commission find reason to believe against the respondents for violations of 2 U.S.C. § 441a and close the file.

83040374236

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
Mineta for Congress Committee) MUR 1511
TKO, Co.)

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission Executive Session on January 11, 1983, do hereby certify that the Commission decided by a vote of 5-1 to take the following actions in MUR 1511:

1. Find reason to believe the Mineta for Congress Committee violated 2 U.S.C. §441a and close the file;
2. Find reason to believe that TKO, Co. violated 2 U.S.C. §441a and close the file; and
3. Request the Office of General Counsel to amend and send the letters attached to the General Counsel's report dated December 28, 1982.

Commissioners Aikens, Elliott, Harris, McDonald, and McGarry voted affirmatively for the decision; Commissioner Reiche dissented.

Attest:

Jan. 11, 1983
Date

Marjorie W. Emmons
Marjorie W. Emmons
Secretary of the Commission

33040374207



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES N. STEELE
GENERAL COUNSEL *MCE*

FROM: MARJORIE W. EMMONS/ JAN SAVAGE *JS*

DATE: JANUARY 3, 1983

SUBJECT: OBJECTION - MUR 1511 First General
Counsel's Report dated December 28, 1982

The above-named document was circulated to the
Commission on Wednesday, December 29, 1982 at 11:00.

Commissioner Elliott submitted an objection on
January 3, 1983 at 3:35.

This matter will be placed on the agenda for the
executive session of Tuesday, January 11, 1983.

83040374208

December 28, 1982

MEMORANDUM TO: Marjorie W. Emmons
FROM: Phyllis A. Kayson
SUBJECT: MUR 1511

Please have the attached First General Counsel's
Report distributed to the Commission on a 48 hour tally
basis as a sensitive matter. Thank you...

Attachment

cc: Callahan

83040374239

SENSITIVE

FEDERAL ELECTION COMMISSION
1325 K Street, N.W.
Washington, D.C. 20463

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITTAL
BY OGC TO THE COMMISSION 12-28-82 MUR NO. 1511
STAFF MEMBER
Suzanne Callahan

82 DEC 28 P 3: 51

RECEIVED
OFFICE OF THE
COMMISSIONARY

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D
RESPONDENT'S NAME: Mineta for Congress Committee
TKO, Co.
RELEVANT STATUTE: 2 U.S.C. § 441a, § 441b
INTERNAL REPORTS CHECKED: Respondent Reports
FEDERAL AGENCIES CHECKED: None

GENERATION OF MATTER

This matter was referred to the Office of General Counsel by the Reports Analysis Division on November 5, 1982. On December 10, 1982, the Commission approved the General Counsel's recommendation to open a MUR in this matter.

SUMMARY OF ALLEGATIONS

The respondent committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co., designated for the primary election. The report disclosed a \$400 "principal payment on loan" to TKO, Co., a \$1,600 "prepayment of interest" to TKO, Co. and an interest rate of twenty (20) percent. A Request for Additional Information was sent on March 30, 1982, requesting clarification of the due date or amortization schedule of the loan, whether or not the loan was secured and clarification as to the type of institution which provided the loan.

33040374290

An amended Year End 1981 Report was received on April 20, 1982, which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual.

An inquiry made of the Secretary of the State of California indicated that the T.K.O. Inc., is incorporated.

A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation. In a letter dated May 18, 1982, the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report." (sic)

A Request for Additional Information in reference to the amended report, dated May 18, 1982, was sent on June 22, 1982. The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The treasurer explained the circumstances of this transaction by stating that TKO was to purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000. The July Quarterly Report disclosed the full repayment

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of the loan on June 25, 1982, and receipt of a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

If TKO, Co. is an individual as the committee has stated, then an excessive contribution was made by TKO in violation of 2 U.S.C. § 441a and an excessive contribution was received by the committee in violation of 2 U.S.C. § 441a(f).

Even though the subject transaction has been repaid, the above stated violations have been committed and we therefore recommend that the Commission find reason to believe against the respondents for violations of 2 U.S.C. § 441a. 1/

RECOMMENDATIONS

It is recommended that the Commission find:

1. Reason to believe the Mineta for Congress Committee violated 2 U.S.C. § 441a(f);
2. Reason to believe that TKO, Co. violated 2 U.S.C. § 441a; and
3. Send attached notification letters.

Charles N. Steele
General Counsel

December 28, 1982
Date

BY:

Kenneth A. Gross
Associate General Counsel

Attachments

RAD Referral
Notification letters
Reason to believe notifications
(36 total pages attached)

1/ It is not clear from the various statements made by or reports filed by the respondent committee whether TKO Co. and T.K.O., Inc. are one in the same. If they are, the respondent committee has accepted a corporate contribution in violation of 2 U.S.C. § 441b(a). We will seek clarification of this issue in the course of our investigation.

83040374292



FEDERAL ELECTION COMMISSION
WASHINGTON DC 20463

5 November 1982

MEMORANDUM

TO : CHARLES N. STEELE
GENERAL COUNSEL

THROUGH : B. ALLEN CLUTTER *[Signature]*
STAFF DIRECTOR

FROM : JOHN D. GIBSON *[Signature]*
ASSISTANT STAFF DIRECTOR

SUBJECT : REFERRAL OF THE MINETA FOR CONGRESS COMMITTEE

Please find the attached referral of the Mineta for Congress Committee (C00014613). The committee is being referred in accordance with the Review and Referral Procedures. The committee may have received a corporate contribution in the form of a loan, which has been repaid (Chart #4). If this contribution is not from a corporation, the committee may have received an excessive contribution (Chart #3).

If you have any questions, please contact Roberto Garcia at 523-4048.

ATTACHMENT

cc: Commissioners

83040374293

REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

Date: 5 November 1982Analyst: Roberto Garcia

- I. COMMITTEE: Mineta for Congress (C00014613)
Grant Shimizu, Treasurer
724 North First Street
San Jose, CA 95112
- II. RELEVANT STATUTE: 2 U.S.C. 441b(a) or 441a(f)
- III. BACKGROUND:

The committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co. on Schedule A designated for the primary election, a \$400 "principal payment on loan" to TKO, Co., and a \$1,600 "prepayment of interest" to TKO, Co. on Schedule B (Attachment #2). Schedule C disclosed an interest rate of twenty (20) percent. A Request for Additional Information (RAI) was sent on March 30, 1982 requesting clarification of the due date or amortization schedule of the loan and whether or not the loan was secured (Attachment #3). The Request for Additional Information also requested clarification as to the type of institution which provided the loan.

An amended Year End 1981 Report was received on April 20, 1982 which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual (Attachment #4). Additional loan repayments were disclosed on the April Quarterly and 12 Day Pre-Primary Reports totalling \$1,000 (Attachments #5 & #6).

An inquiry made of the Secretary of the State of California indicated that the T.K.O. Inc., was incorporated on December 16, 1979, at 38756 Cherry Valley, Beaumont, California 92123.

A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation (Attachment #7). In a letter dated May 18, 1982 the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report" (sic) (Attachment #8).

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A Request for Additional Information in reference to the amended report, dated May 18, 1982 was sent on June 22, 1982 (Attachment #9). The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

The treasurer, Mr. Grant Shimizu, contacted the Commission by telephone on June 25, 1982. Mr. Shimizu noted that a letter was being sent to the Commission verifying the error and the circumstances of the loan. The treasurer also stated that the repayment of the outstanding loan was going to be shown in the July Quarterly Report (Attachment #10). In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The Treasurer also noted that TKO was to purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000 (Attachment #11). The July Quarterly Report disclosed the full repayment of the loan on June 25, 1982 (Attachment #12). The committee also disclosed receiving a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

IV. OTHER PENDING ACTION INITIATED BY RAD:

None

* It is not clear whether the TKO Co., and T.K.O. Inc., are one in the same. If they are a single entity, the committee may have violated 2 U.S.C. 441b(a). If on the other hand they are separate entities, then the committee may have violated 2 U.S.C. 441a(f).

3

Attachment #1

FEDERAL ELECTION COMMISSION
1981-1982

DATE 200102

CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (C)

PAGE 1

4

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
WINETA, NORMAN Y		HOUSE 13 DEMOCRATIC PARTY			CALIFORNIA		1981 ELECTION	04	H2CA13118
1. STATEMENT OF CANDIDATE									
1981 STATEMENT OF CANDIDATE							JANUARY	2	BHSE/200/3854
1982 STATEMENT OF CANDIDATE		- ANNUAL REPORT					MARCH	1	BHSE/201/1362
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
WINETA FOR CONGRESS									
1981 MID-YEAR REPORT			35,579		22,444		1981 000014613 HOUSE		
YEAR-END			91,516		53,130		1981 01 - 31 0001	25	BHSE/203/2105 *
YEAR-END		- ANNUAL REPORT	91,535		53,130		1981 01 - 31 0001	48	BHSE/209/0190 *
YEAR-END		- ANNUAL REPORT					1981 01 - 31 0001	8	BHSE/214/3291 *
YEAR-END		- ANNUAL REPORT					1981 01 - 31 0001	1	BHSE/216/1820 *
YEAR-END		- ANNUAL REPORT					1981 01 - 31 0001	1	BHSE/218/0645 *
REQUEST FOR ADDITIONAL INFORMATION							1981 01 - 31 0001	2	BHSE/225/5147
REQUEST FOR ADDITIONAL INFORMATION							1981 01 - 31 0001	2	BHSE/230/3119
REQUEST FOR ADDITIONAL INFORMATION							1981 01 - 31 0001	2	BHSE/233/4604
1982 STATEMENT OF ORGANIZATION		- ANNUAL REPORT					000001	1	BHSE/234/1351
40 HOUR CONTRIBUTION NOTICE							200001	1	BHSE/237/1703
40 HOUR CONTRIBUTION NOTICE							1981 01 - 31 0001	1	BHSE/238/1790
40 HOUR CONTRIBUTION NOTICE							1981 01 - 31 0001	1	BHSE/239/2270
40 HOUR CONTRIBUTION NOTICE							220010	1	BHSE/239/2270
APRIL QUARTERLY			9,065		26,114		1981 01 - 31 0001	1	BHSE/244/3270 *
PRI-PRIMARY			64,700		30,791		1981 01 - 31 0001	44	BHSE/246/3178 *
PRI-PRIMARY		- ANNUAL REPORT					1981 01 - 31 0001	22	BHSE/253/4922 *
REQUEST FOR ADDITIONAL INFORMATION							1981 01 - 31 0001	1	BHSE/240/0197
JULY QUARTERLY				17,704	25,491	200001	1981 01 - 31 0001	20	BHSE/221/0420 *
JULY QUARTERLY		- ANNUAL REPORT					200001	3	BHSE/223/4944 *
OCTOBER QUARTERLY		- ANNUAL REPORT		50,707	55,638	1981 01 - 31 0001	1981 01 - 31 0001	39	BHSE/230/0873
PRI-GENERAL				30,704	39,710	1981 01 - 31 0001	1981 01 - 31 0001	25	BHSE/232/2106
TOTAL			201,629	107,410	132,429	120,839		222	TOTAL PAGES
4. AUTHORIZED COMMITTEES									
TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES									

* Indicates reports that have been reviewed as of this date.

Beginning Cash-On-Hand (1/1/81) = \$62,465

Ending Cash-On-Hand (as of 10/13/82) = \$117,836

Debts owed to the committee \$ 0

Debts owed by the committee \$ 0

8 3 0 4 0 3 7 4 2 9 6

Attachment #2 (page 2)

Any information obtained from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for campaign purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

Year End 1981

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
TNO CO. 969 Lakeshire Ct San Jose, CA 95126	loan receipt	10/01/81	8,000.00
Receipt For: ☒ Primary ☐ General ☐ Other (specify): LOAN RECEIPT	Occupation	Aggregate Year-to-Date - \$ 8,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date - \$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date - \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date - \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date - \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date - \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date - \$	
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (last page this line number only)			8,000.00

8 13 23 04 10 13 17 11 02 22 27 8

SCHEDULE B

ITEMIZED DISBURSEMENTS

Page 6 of 6 for
LINE NUMBER 30
(Use separate schedule(s) for each
category of the Detailed
Summary Page)

Attachment #2

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINIHA FOR CONGRESS COMMITTEE

Year End 1981 or

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TRO Co. 809 Lakeshire Ct San Jose, CA 95126	prepayment of interest Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	12/31/81	1,600.00
B. Full Name, Mailing Address and ZIP Code Globe Printing P. O. Box 5899 San Jose, CA 95150	offset printing of mail-out Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	12/08/81 12/31/81	1,860.45 269.57
C. Full Name, Mailing Address and ZIP Code TRO CO. 809 Lakeshire Ct San Jose, CA 95126	principal payment on loan Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	12/09/81	400.00
D. Full Name, Mailing Address and ZIP Code California First Bank 990 North Tenth St San Jose, CA 95112	dinner meeting re fundraiser Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	8/31/81	204.90
E. Full Name, Mailing Address and ZIP Code Mirassou Vineyards 3000 Aborn Road San Jose, CA 95121 *Ed Mirassou, \$300; M. Mirassou, \$300	In kind dinner expense 11/14/81 Disbursement for: ☒ Primary ☐ General ☐ Other (specify)	11/14/81	600.00*
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			7,124.92
TOTAL This Period (last page this line number only)			49,842.81

81,320,410,207,002,218-1

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Attachment #12 (page 3)

Form of Committee (in Full)

MINUTA FOR CONGRESS COMMITTEE

YEAR End 1981

A. Lender Name, Mailing Address and ZIP Code of Loan Source
TRC CO.
900 Lakeshire Ct
San Jose, CA 95120

Original Amount
of Loan
\$8,000.00

Cumulative Payment
To Date
400.00

Balance Outstanding at
Close of This Period
7,600.00

Extension Primary General Other (Specify)
Date Incurred 10/01/81 Date Due

Interest Rate 20 % (april)

Secured

B. Lender's Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

C. Lender Name, Mailing Address and ZIP Code of Loan Source

Original Amount
of Loan

Cumulative Payment
To Date

Balance Outstanding at
Close of This Period

Extension Primary General Other (Specify)

Terms Date Incurred

Date Due

Interest Rate % (april)

Secured

D. A. Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

SUBTOTALS This Period This Page (optional)

TOTALS This Period (last page in this line only)

7,600.00

Carry outstanding balances only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

30 March 1982

Mr. Grant Shimizu, Treasurer
Mineta for Congress
724 North First Street
San Jose, CA 95112

MC-2

Identification Number: C00014613

Reference: Year End Report (7/1/81-12/31/81) YE

Dear Mr. Shimizu:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-On Schedule C of your report you have failed to include information required by Commission regulations. You must provide the date the loan is due or the amortization schedule (if there is no due date or amortization schedule, enter "None" on the appropriate line). Please check the box if this loan has been secured (if it is not a secured loan, please clarify the terms of the loan). If there are any endorsers or guarantors, their mailing address along with the name of their employer and occupation must be disclosed. Spell out and clarify the type of institution providing the loan.

Note: A loan of money by a State bank, a federally chartered depository institution (including a national bank) or a depository institution whose deposits and accounts are insured by the Federal Deposit Insurance Corporation, the Federal Savings and Loan Insurance Corporation, or the National Credit Union Administration is not a contribution by the lending institution if the loan is made in accordance with applicable banking laws and regulations and is made in the ordinary course of business. A loan will be deemed to be made in the ordinary course of business if it: bears the usual and customary interest rate of the lending institution for the category of loan involved; is made on a basis that insures repayment; is evidenced by a written instrument; and is subject to a due date or amortization schedule.

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of

88303205743070

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Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,

Robert Grant

Roberto Garcia
Reports Analyst
Reports Analysis Division

[illegible]

Attachment #4

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

Amended Year End 1981

A. Full Name, Mailing Address and ZIP Code of Loan Source
TLO CO.
969 Lakeshire Ct.
San Jose, CA 95126

Original Amount
of Loan
8,000.00

Cumulative Payment
To Date
400.00

Balance Outstanding at
Close of This Period
7,600.00

Election: ☐ Primary ☐ General ☐ Other (specify)

Terms: Date Incurred 10/01/81 Date Due 9/30/85 Interest Rate 20 % (per) ☐ Secured

List A: Endorsers or Guarantors (if any) to Item A NONE

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Amortization Schedule: \$200 per month

Type of Institution: Individual

Occupation

Amount Guaranteed Outstanding

\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

B. Full Name, Mailing Address and ZIP Code of Loan Source

Original Amount
of Loan

Cumulative Payment
To Date

Balance Outstanding at
Close of This Period

Election: ☐ Primary ☐ General ☐ Other (specify)

Terms: Date Incurred Date Due Interest Rate % (per) ☐ Secured

List A: Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

SUBTOTALS This Period This Page (optional)

TOTALS This Period (last page in this line only)

7,600.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

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Attachment # 5

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINUTA FOR CONGRESS COMMITTEE

April Quarterly

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TKO Co. 969 Lakeshire Ct San Jose, CA 95126	payment of loan	1/07/82	200.00
	Disbursement for: ☐ Primary ☐ General	2/01/82	200.00
	☐ Other (specify):	3/02/82	200.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
	☐ Other (specify):		
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			600.00

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Attachment # 6

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

12 Day Pre-Primary

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TKO CO. 969 Lakeshire Ct San Jose, CA 95126	payment of loan	4/06/82	200.00
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	5/03/82	200.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			400.00

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FEDERAL ELECTION COMMISSION
WASHINGTON DC 20543

11 May 1982

Grant Shimizu, Treasurer
Mineta for Congress
724 North First Street
San Jose, CA 95112

Identification Number: C00014613

Reference: Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Shimizu:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your report discloses an apparent contribution(s) from a corporation(s) (pertinent portion attached). You are advised that a contribution from a corporation is prohibited by the Act, unless they are made from a separate segregated fund established by the corporation. If you have received a corporate contribution(s), the Commission recommends that you refund the full amount to the donor(s). The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) of the Detailed Summary Page of your next report. (2 U.S.C. 441b(a))

If you find the contribution(s) in question was disclosed incompletely or incorrectly, please amend your original report with the clarifying information.

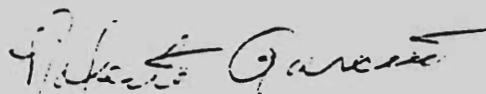
Although the Commission may take further legal steps concerning the acceptance of a prohibited contribution, prompt action by you to refund the full amount will be taken into consideration by the Commission.

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515, within fifteen (15) days of the date of

AD
5/14 5/17/82

this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,



Roberto Garcia
Reports Analyst
Reports Analysis Division

320403783260

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16

33 32 31 30 29 28 27 26 25 24 23 22 21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1



FEDERAL ELECTION COMMISSION

WASHINGTON, DC 20463

22 June 1982

Grant Shimizu, Treasurer
Mineta for Congress
724 North First Street
San Jose, CA 95112

Identification Number: C00014613

Reference: Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Shimizu:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your amendment, received May 20, 1982, states that TKO Co. is an individual and not a corporation. If this is correct and the institution has no relationship with the T.K.O. Inc., incorporated on December 26, 1979, then the committee may have accepted a contribution which exceeds the limitation set forth on the Federal Election Campaign Act of 1979, as amended (pertinent portion attached). An individual or a political committee, other than a multicandidate committee may not make contributions to a candidate for Federal office in excess of \$1,000 per election. If you have received a contribution(s) which exceeds the limits, the Commission recommends that you refund to the donor(s) the amount in excess of \$1,000. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) of the Detailed Summary Page of your next report. (2 U.S.C. 441a(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

If you find the contribution(s) in question was disclosed incompletely or incorrectly, please amend your original report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of an excessive contribution(s), prompt action by you to refund the excessive amount will be taken into consideration by the Commission.

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,

Roberto Garcia

Roberto Garcia
Reports Analyst
Reports Analysis Division

83040374310

Name of Committee (in Full) MINETA FOR CONGRESS COMMITTEE			
A Full Name, Mailing Address and ZIP Code of Loan Source TKO (X), 969 Lakeshire Ct San Jose, CA 95126 Election: ☒ Primary ☐ General ☐ Other (specify) _____ Terms: Date Incurred <u>10/1/81</u> Date Due <u>9/30/82</u> Interest Rate <u>20</u> % (cap) _____ Secured _____ List All Endorsers or Guarantors (if any) to Item A NONE	Original Amount of Loan 8,000.00	Completions Payments To Date 1,000.00	Balance Outstanding at Close of This Period 7,000.00
1 Full Name, Mailing Address and ZIP Code _____ Name of Employer _____ Amortization Schedule: \$200 per month Type of Institution: Individual _____ Occupation _____ Amount Guaranteed Outstanding \$ _____ 2 Full Name, Mailing Address and ZIP Code _____ Name of Employer _____ Occupation _____ Amount Guaranteed Outstanding \$ _____ 3 Full Name, Mailing Address and ZIP Code _____ Name of Employer _____ Occupation _____ Amount Guaranteed Outstanding \$ _____			
B Full Name, Mailing Address and ZIP Code of Loan Source Election: ☐ Primary ☐ General ☐ Other (specify) _____ Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (cap) _____ Secured _____ List All Endorsers or Guarantors (if any) to Item B			
1 Full Name, Mailing Address and ZIP Code _____ Name of Employer _____ Occupation _____ Amount Guaranteed Outstanding \$ _____ 2 Full Name, Mailing Address and ZIP Code _____ Name of Employer _____ Occupation _____ Amount Guaranteed Outstanding \$ _____ 3 Full Name, Mailing Address and ZIP Code _____ Name of Employer _____ Occupation _____ Amount Guaranteed Outstanding \$ _____			
SUBTOTALS This Period This Page (optional) _____			TOTALS This Period (last page in this line only) 7,000.00
Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary			

33040374311

TELECON

DATE: 6/25/82

Time: 5:20 pm

COMMITTEE CONTACT: Mr. Grant Shimizu

Tele-Number: (408) 297-2088

COMMITTEE NAME: Mineta for Congress

ANALYST: Roberto Garcia

SUBJECT: Excessive contribution through loans.

Mr. Shimizu contacted the Commission and clarified that he was to blame for the error of the committee. The definition of contribution had not been recognized until the Commission questioned the loan and the source of the funds.

Mr. Shimizu informed the Commission that the outstanding debt of the loan was to be repaid as soon as possible, and that the full repayment of the loan was to be reported in the July Quarterly Report, to be delivered in the upcoming month. In addition, he would send a letter explaining how the loan was created.

33040374312

Attachment #11

JUN 25 1982

Fed. Elec. Comm.

12 JUN 23 P 1: 04

GRANT SHIMIZU
ATTORNEY AT LAW
704 NORTH FIRST STREET
SAN JOSE, CALIFORNIA 95131

TELEPHONE 297-8088
June 25, 1982

6/29/82
3412

RECEIVED
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
1982 JUN 29 PM 12:15

033626

Federal Election Commission
Washington, D.C. 20463

Attention: Roberto Garcia
Reports Analyst

Identification Number: C00014613

3-A-YE (P)

RE: Mineta for Congress Committee
Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Garcia:

In response to your letter of June 22, 1982, relative to the loan, we immediately took steps to rectify the situation and have refunded completely the loan. I may have mentioned the fact that an amendment would be filed shortly; however, it appears to be inappropriate and the return of the loan will be shown on the next quarterly report ending June 30, 1982.

The loan arose out of an arrangement with TKO which was to purchase and lease to the Committee a word processor for a period of four years. TKO ordered the word processor, but for some unexplained reason, the transaction was reversed with the Committee paying for the processor and TKO loaning the money to it.

Full responsibility is accepted by me for the oversight due to an unintentional lapse on my part of the synonymity of loans and contributions as defined in 11 CFR 114.1(a)(1).

Yours very truly,

Grant Shimizu
Grant Shimizu
Treasurer, Mineta for
Congress Committee

GS:to

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MS
6/30
7/1/82
7/2

21

SCHEDULE B

ITEMIZED DISBURSEMENTS

Page 1 of 1
LINE NUMBER 19 (5)
(Use separate schedule for each category of the Detailed Summary Page)

Attachment #12

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINEDA FOR CONGRESS COMMITTEE

Q2 - July Quarterly

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TED CO. 969 Lakeshire Ct San Jose, CA 95126	REPAYMENT OF LOAN FOR WORD PROCESSOR Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	5/28/82 6/25/82	200.00 6400.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			6600.00

8201227434

22

Name of Committee (in Full)

NOTES FOR CONGRESS

0-2 - July Quarterly

A. Full Name, Mailing Address and ZIP Code of Loan Source TBO CO. 969 Lakeside Ct San Jose, CA 95126		Original Amount of Loan 8,000.00	Installment Payments To Date 8,000.00	Balance Outstanding at Close of This Period -0-
Election: ☐ Primary ☐ General ☒ Other (Specify): Repayment of Loan for Equipment				
Terms: Date Incurred <u>10/01/81</u> Date Due <u>9/30/85</u> Interest Rate <u>20</u> % (per) ☐ Secured				
List All Endorsers or Guarantors (if any) to Item A NONE				
1. Full Name, Mailing Address and ZIP Code Fertilization Schedules \$200 per month Type of Institutions: Individuals		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Installment Payments To Date	Balance Outstanding at Close of This Period
Election: ☐ Primary ☐ General ☐ Other (Specify):				
Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (per) ☐ Secured				
List All Endorsers or Guarantors (if any) to Item B				
1. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
2. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
3. Full Name, Mailing Address and ZIP Code		Name of Employer		
		Occupation		
		Amount Guaranteed Outstanding \$		
SUBTOTALS This Period This Page (optional)				
TOTALS This Period (see page in this line only)				-0-
Carry outstanding balance only to LINE 2, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.				

SCHEDULE A

ITEMIZED RECEIPTS

Use this schedule to report each receipt of money or property for which a receipt is required.

Any information received from such Reports. Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes other than using the name and address of any political committee to solicit contributions from such committee.

Name of Donor(s) (Full)

MINOTA FOR CONGRESS OTTOMINE

Q2 - July Quarterly

A. Full Name, Mailing Address and ZIP Code TIO CO. 969 Lakeshire Court San Jose, CA 95126	Name of Employer REFUND OF INTEREST	Date (month, day, year) 6/25/82	Amount of Each Receipt This Period 400.00
Receipt For: ☐ Primary ☐ General ☒ Other (Specify): refund of interest	Occupation	Approximate Year-to-Date-\$ 400.00	
B. Full Name, Mailing Address and ZIP Code Windlake Inn 500 Leisure Lane Sacramento, CA 95815	Name of Employer REFUND for Asian Pacific Democratic Pkg - accumulation	Date (month, day, year) 6/23/82	Amount of Each Receipt This Period 35.28
Receipt For: ☐ Primary ☐ General ☐ Other (Specify):	Occupation	Approximate Year-to-Date-\$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (Specify):	Occupation	Approximate Year-to-Date-\$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (Specify):	Occupation	Approximate Year-to-Date-\$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (Specify):	Occupation	Approximate Year-to-Date-\$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (Specify):	Occupation	Approximate Year-to-Date-\$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (Specify):	Occupation	Approximate Year-to-Date-\$	
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (next page and line number only)			435.28

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

Giant Shimizu, Treasurer
Mineta for Congress Committee
724 North First Street
San Jose, California 95112

RE: MUR 1511

Dear Mr. Shimizu:

On . . . , 1983, the Federal Election Commission determined that there is reason to believe that your committee violated 2 U.S.C. § 441a, a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"), by accepting \$13,000 in excess of an individual's contribution limitation. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter.

In the absence of any additional information which demonstrates that no further action should be taken against your committee, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

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Letter to Giant Shimizu
MUR 1511
Page 2

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Suzanne Callahan, the staff member assigned to this matter, at (202) 523-4529.

Sincerely,

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

cc: Norman Mineta

83040374318

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

President
TKO Company
969 Lankeshire Court
San Jose, California 95126

RE: MUR 1511

Dear Sir or Madam:

On . . . , 1983, the Federal Election Commission determined that there is reason to believe that your company, TKO Co., violated 2 U.S.C. § 441a, a provision of the Federal Election Campaign Act of 1971, as amended ("the Act"), by contributing \$13,000 in excess of an individual's contribution limitation to the Mineta for Congress Committee. The General Counsel's factual and legal analysis, which formed a basis for the Commission's finding, is attached for your information.

Under the Act, you have an opportunity to demonstrate that no action should be taken against you. Please submit any factual or legal materials which you believe are relevant to the Commission's consideration of this matter. Additionally, please submit a statement as to the relationship between TKO Co. and TKO Inc., which was incorporated on December 26, 1979, at 38756 Cherry Valley, Beaumont, California, within ten days of your receipt of this letter. Additionally, state the name of the individual which TKO Co. represents. Be specific in your response. Statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred and proceed with conciliation. Of course, this does not preclude the settlement of this matter through conciliation prior to a finding of probable cause to believe if you so desire. See 11 C.F.R. § 111.18(d).

If you intend to be represented by counsel in this matter, please advise the Commission by completing the enclosed form stating the name, address and telephone number of such counsel, and a statement authorizing such counsel to receive any notifications and other communications from the Commission.

Letter to President, TKO Company
MUR 1511
Page 2

The investigation now being conducted will be confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and § 437g(a)(12)(A), unless you notify the Commission in writing that you wish the investigation to be made public.

For your information, we have attached a brief description of the Commission's procedures for handling possible violations of the Act. If you have any questions, please contact Suzanne Callahan, the staff member assigned to this matter, at (202) 523-4529.

Sincerely,

Enclosures

General Counsel's Factual and Legal Analysis
Procedures
Designation of Counsel Statement

83040374320.

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FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE _____

MUR NO. 1511

RESPONDENT TKO Company

STAFF MEMBER & TEL. NO.
Suzanne Callahan
(202) 523-4529

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

This matter was referred to the Office of General Counsel by the Reports Analysis Division on November 5, 1982. On December 10, 1982, the Commission approved the General Counsel's recommendation to open a MUR in this matter.

FACTUAL AND LEGAL ANALYSIS

The respondent committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co., designated for the primary election. The report disclosed a \$400 "principal payment on loan" to TKO, Co., a \$1,600 "prepayment of interest" to TKO, Co. and an interest rate of twenty (20) percent. A Request for Additional Information was sent on March 30, 1982, requesting clarification of the due date or amortization schedule of the loan, whether or not the loan was secured and clarification as to the type of institution which provided the loan.

An amended Year End 1981 Report was received on April 20, 1982, which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual.

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An inquiry made of the Secretary of the State of California indicated that the T.K.O. Inc., is incorporated.

A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation. In a letter dated May 18, 1982, the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report.". (sic)

A Request for Additional Information in reference to the amended report, dated May 18, 1982, was sent on June 22, 1982. The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The treasurer explained the circumstances of this transaction by stating that TKO was to purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000. The July Quarterly Report disclosed the full repayment of the loan on June 25, 1982, and receipt of a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

30

If TKO, Co. is an individual as the committee has stated, then an excessive contribution was made by TKO in violation of 2 U.S.C. § 441a and an excessive contribution was received by the committee in violation of 2 U.S.C. § 441a(f).

Even though the subject transaction has been repaid, the above stated violations have been committed and we therefore recommend that the Commission find reason to believe that the TKO Company has violated 2 U.S.C. § 441a. 1/

RECOMMENDATIONS

It is recommended that the Commission find:

1. Reason to believe the TKO Company violated 2 U.S.C. § 441a(f).

1/ It is not clear from the various statements made by or reports filed by the respondent committee whether TKO Co. and T.K.O., Inc. are one in the same. If they are, the respondent has made a corporate contribution in violation of 2 U.S.C. § 441b.

STATEMENT OF DESIGNATION OF COUNSEL

NAME OF COUNSEL:

ADDRESS:

TELEPHONE:

The above-named individual is hereby designated as my
counsel and is authorized to receive any notifications and other
communications from the Commission and to act on my behalf before
the Commission.

Date

Signature

NAME:

ADDRESS:

HOME PHONE:

BUSINESS PHONE:

FEDERAL ELECTION COMMISSION

GENERAL COUNSEL'S FACTUAL AND LEGAL ANALYSIS

DATE _____

MUR NO. 1511

RESPONDENT Mineta for Congress

STAFF MEMBER & TEL. NO.
Suzanne Callahan
(202) 523-4529

SOURCE OF MUR: I N T E R N A L L Y G E N E R A T E D

SUMMARY OF ALLEGATIONS

This matter was referred to the Office of General Counsel by the Reports Analysis Division on November 5, 1982. On December 10, 1982, the Commission approved the General Counsel's recommendation to open a MUR in this matter.

FACTUAL AND LEGAL ANALYSIS

The respondent committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co., designated for the primary election. The report disclosed a \$400 "principal payment on loan" to TKO, Co., a \$1,600 "prepayment of interest" to TKO, Co. and an interest rate of twenty (20) percent. A Request for Additional Information was sent on March 30, 1982, requesting clarification of the due date or amortization schedule of the loan, whether or not the loan was secured and clarification as to the type of institution which provided the loan.

An amended Year End 1981 Report was received on April 20, 1982, which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual.

An inquiry made of the Secretary of the State of California indicated that the T.K.O. Inc., is incorporated.

A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation. In a letter dated May 18, 1982, the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report." (sic)

A Request for Additional Information in reference to the amended report, dated May 18, 1982, was sent on June 22, 1982. The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The treasurer explained the circumstances of this transaction by stating that TKO was to purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000. The July Quarterly Report disclosed the full repayment of the loan on June 25, 1982, and receipt of a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

If TKO, Co. is an individual as the committee has stated, then an excessive contribution was made by TKO in violation of 2 U.S.C. § 441a and an excessive contribution was received by the committee in violation of 2 U.S.C. § 441a(f).

Even though the subject transaction has been repaid, the above stated violations have been committed and we therefore recommend that the Commission find reason to believe that the Mineta for Congress Committee has violated 2 U.S.C. § 441a. 1/

RECOMMENDATIONS

It is recommended that the Commission find:

1. Reason to believe the Mineta for Congress Committee violated 2 U.S.C. § 441a(f).

1/ It is not clear from the various statements made by or reports filed by the respondent committee whether TKO Co. and T.K.O., Inc. are one in the same. If they are, the respondent committee has accepted a corporate contribution in violation of 2 U.S.C. § 441b(a).

83040674327

STATEMENT OF DESIGNATION OF COUNSEL

NAME OF COUNSEL:

ADDRESS:

TELEPHONE:

The above-named individual is hereby designated as my
counsel and is authorized to receive any notifications and other
communications from the Commission and to act on my behalf before
the Commission.

Date

Signature

NAME:

ADDRESS:

HOME PHONE:

BUSINESS PHONE:

83040574328

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

5 November 1982

MEMORANDUM

TO : CHARLES N. STEELE
GENERAL COUNSEL

THROUGH : B. ALLEN CLUTTER *BA*
STAFF DIRECTOR

FROM : JOHN D. GIBSON *JG*
ASSISTANT STAFF DIRECTOR

SUBJECT : REFERRAL OF THE MINETA FOR CONGRESS COMMITTEE

Please find the attached referral of the Mineta for Congress Committee (C00014613). The committee is being referred in accordance with the Review and Referral Procedures. The committee may have received a corporate contribution in the form of a loan, which has been repaid (Chart #4). If this contribution is not from a corporation, the committee may have received an excessive contribution (Chart #3).

If you have any questions, please contact Roberto Garcia at 523-4048.

ATTACHMENT

cc: Commissioners

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REPORTS ANALYSIS REFERRAL

TO

OFFICE OF GENERAL COUNSEL

Date: 5 November 1982Analyst: Roberto Garcia

- I. COMMITTEE: Mineta for Congress (C00014613)
Grant Shimizu, Treasurer
724 North First Street
San Jose, CA 95112
- II. RELEVANT STATUTE: 2 U.S.C. 441b(a) or 441a(f)
- III. BACKGROUND:

The committee's Year End 1981 Report disclosed the receipt of an \$8,000 loan from TKO, Co. on Schedule A designated for the primary election, a \$400 "principal payment on loan" to TKO, Co., and a \$1,600 "prepayment of interest" to TKO, Co. on Schedule B (Attachment #2). Schedule C disclosed an interest rate of twenty (20) percent. A Request for Additional Information (RAI) was sent on March 30, 1982 requesting clarification of the due date or amortization schedule of the loan and whether or not the loan was secured (Attachment #3). The Request for Additional Information also requested clarification as to the type of institution which provided the loan.

An amended Year End 1981 Report was received on April 20, 1982 which disclosed a due date of September 30, 1985, an amortization schedule of \$200 per month and that the lender was an individual (Attachment #4). Additional loan repayments were disclosed on the April Quarterly and 12 Day Pre-Primary Reports totalling \$1,000 (Attachments #5 & #6).

An inquiry made of the Secretary of the State of California indicated that the T.K.O. Inc., was incorporated on December 26, 1979, at 38756 Cherry Valley, Beaumont, California 92223.

A Request for Additional Information was sent on May 11, 1982, concerning the possibility of a contribution from a corporation (Attachment #7). In a letter dated May 18, 1982 the committee responded to the request by stating that the "TKO Co. is not a corporation but an individual as indicated on the loans schedule of the said report" (sic) (Attachment #8).

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A Request for Additional Information in reference to the amended report, dated May 18, 1982 was sent on June 22, 1982 (Attachment #9). The request noted that if TKO Co. was an individual unrelated to T.K.O. Inc., then the committee may have accepted a contribution in excess of the limitations set forth by the FECA of 1979, as amended.

The treasurer, Mr. Grant Shimizu, contacted the Commission by telephone on June 25, 1982. Mr. Shimizu noted that a letter was being sent to the Commission verifying the error and the circumstances of the loan. The treasurer also stated that the repayment of the outstanding loan was going to be shown in the July Quarterly Report (Attachment #10). In a letter received on June 28, 1982, the treasurer indicated that the loan from TKO, Co. had been repaid and would appear on the July Quarterly Report. The Treasurer also noted that TKO was to purchase and lease to the committee a word processor, but "for some unexplained reason", the transaction was reversed with the committee paying for the processor and TKO loaning the committee \$8,000 (Attachment #11). The July Quarterly Report disclosed the full repayment of the loan on June 25, 1982 (Attachment #12).* The committee also disclosed receiving a "refund of interest" for \$400 of the original \$1,600 which had been prepaid.

IV. OTHER PENDING ACTION INITIATED BY RAD:

None

* It is not clear whether the TKO Co., and T.K.O. Inc., are one in the same. If they are a single entity, the committee may have violated 2 U.S.C. 441b(a). If on the other hand they are separate entities, then the committee may have violated 2 U.S.C. 441a(f).

Attachment #1

FEDERAL ELECTION COMMISSION

1981-1982

DATE 28OCT82

CANDIDATE INDEX OF SUPPORTING DOCUMENTS - (E)

PAGE 1

CANDIDATE/COMMITTEE/DOCUMENT	OFFICE SOUGHT/	PARTY	RECEIPTS		EXPENDITURES		COVERAGE DATES	# OF PAGES TYPE OF FILER	MICROFILM LOCATION
			PRIMARY	GENERAL	PRIMARY	GENERAL			
MINETA, NORMAN Y	HOUSE 13	DEMOCRATIC PARTY			CALIFORNIA		1982 ELECTION	ID# H6CA13116	
1. STATEMENT OF CANDIDATE									
1981 STATEMENT OF CANDIDATE							26MAR81		2 81HSE/200/3854
1982 STATEMENT OF CANDIDATE - AMENDMENT							8MAR82		1 82HSE/211/1362
2. CANDIDATE REPORTS OF RECEIPTS & EXPENDITURES									
3. PRINCIPAL CAMPAIGN COMMITTEE									
MINETA FOR CONGRESS							ID# C00014613	HOUSE	
1981 MID-YEAR REPORT			35,529		22,444		1JAN81 -30JUN81		25 81HSE/203/2105 *
YEAR-END			91,516		53,130		1JUL81 -31DEC81		48 82HSE/209/0198 *
YEAR-END - AMENDMENT			91,535		53,130		1JUL81 -31DEC81		8 82HSE/214/3291 *
YEAR-END - AMENDMENT			-		-		1JUL81 -31DEC81		1 82HSE/216/1828 *
YEAR-END - AMENDMENT			-		-		1JUL81 -31DEC81		1 82HSE/218/0645 *
REQUEST FOR ADDITIONAL INFORMATION							1JUL81 -31DEC81		2 82FEC/225/5147
REQUEST FOR ADDITIONAL INFORMATION							1JUL81 -31DEC81		3 82FEC/230/3119
REQUEST FOR ADDITIONAL INFORMATION							1JUL81 -31DEC81		3 82FEC/233/4604
1982 STATEMENT OF ORGANIZATION - AMENDMENT							8MAR82		1 82HSE/211/1361
48 HOUR CONTRIBUTION NOTICE							2JUN82		1 82HSE/217/1783
48 HOUR CONTRIBUTION NOTICE							15OCT82		1 82HSE/220/1790
48 HOUR CONTRIBUTION NOTICE							19OCT82		1 82HSE/231/2278
48 HOUR CONTRIBUTION NOTICE							22OCT82		1 82HSE/234/2961
APRIL QUARTERLY			9,865		26,114		1JAN82 -31MAR82		21 82HSE/214/3270 *
PRE-PRIMARY			64,700		30,791		1APR82 -19MAY82		44 82HSE/216/3178 *
PRE-PRIMARY - AMENDMENT			-		-		1APR82 -19MAY82		22 82HSE/223/4922 *
REQUEST FOR ADDITIONAL INFORMATION							1APR82 -19MAY82		1 82FEC/240/0197
JULY QUARTERLY				17,704		25,491	20MAY82 -30JUN82		21 82HSE/221/0420 *
JULY QUARTERLY - AMENDMENT				-		-	20MAY82 -30JUN82		3 82HSE/223/4944 *
OCTOBER QUARTERLY - AMENDMENT				50,572		55,638	1JUL82 -30SEP82		39 82HSE/230/0873
PRE-GENERAL				38,784		39,710	1OCT82 -13OCT82		25 82HSE/232/2106
TOTAL			201,629	107,060	132,479	120,839			272 TOTAL PAGES
4. AUTHORIZED COMMITTEES									
4B. TRANSFERS IN FROM JOINT FUNDRAISING COMMITTEES									

* Indicates reports that have been reviewed as of this date.

Beginning Cash-On-Hand (1/1/81) = \$62,465

Ending Cash-On-Hand (as of 10/13/82) = \$117,836

Debts owed to the committee = \$ 0

Debts owed by the committee = \$ 0

2 8 2 4 7 9 0 7 0 2 8

Attachment #2 (page 2)

Any information used from such Reports or Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

Year End 1986

A. Full Name, Mailing Address and ZIP Code

TKO CO.
969 Lakeshire Ct
San Jose, CA 95126

Name of Employer

loan receipt

Date (month, day, year)
10/01/81

Amount of Each Receipt This Period
8,000.00

Receipt For

☒ Other (specify)

LOAN RECEIPT

☐ General

Occupation

Aggregate Year-to-Date - \$ 8,000.00

Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt This Period

Occupation

Aggregate Year-to-Date - \$

Receipt For

☐ Primary

☐ General

☐ Other (specify)

C. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt This Period

Occupation

Aggregate Year-to-Date - \$

Receipt For

☐ Primary

☐ General

☐ Other (specify)

D. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt This Period

Occupation

Aggregate Year-to-Date - \$

Receipt For

☐ Primary

☐ General

☐ Other (specify)

E. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt This Period

Occupation

Aggregate Year-to-Date - \$

Receipt For

☐ Primary

☐ General

☐ Other (specify)

F. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt This Period

Occupation

Aggregate Year-to-Date - \$

Receipt For

☐ Primary

☐ General

☐ Other (specify)

G. Full Name, Mailing Address and ZIP Code

Name of Employer

Date (month, day, year)

Amount of Each Receipt This Period

Occupation

Aggregate Year-to-Date - \$

Receipt For

☐ Primary

☐ General

☐ Other (specify)

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

8,000.00

16

08 30 41 02 37 43 33

SCHEDULE B

ITEMIZED DISBURSEMENTS

Page 6 of 6 for
LINE NUMBER 30
(Use separate schedule(s) for each
category of the Detailed
Summary Page)

Attachment #2

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)
MINETA FOR CONGRESS COMMITTEE

Year End 1981 or

A. Full Name, Mailing Address and ZIP Code TKO Co. 969 Lakeshire Ct San Jose, CA 95126	Purpose of Disbursement prepayment of interest Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/31/81	Amount of Each Disbursement This Period 1,600.00
B. Full Name, Mailing Address and ZIP Code Globe Printing P. O. Box 5399 San Jose, CA 95150	Purpose of Disbursement offset printing of mail-out Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/08/81 12/31/81	Amount of Each Disbursement This Period 1,860.45 289.57
C. Full Name, Mailing Address and ZIP Code TKO CO. 969 Lakeshire Ct San Jose, CA 95126	Purpose of Disbursement principal payment on loan Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 12/09/81	Amount of Each Disbursement This Period 400.00
D. Full Name, Mailing Address and ZIP Code California First Bank 990 North Tenth St San Jose, CA 95112	Purpose of Disbursement dinner meeting re fundraiser Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 8/31/81	Amount of Each Disbursement This Period 204.90
E. Full Name, Mailing Address and ZIP Code Mirassou Vineyards 3000 Aborn Road San Jose, CA 95121 *Ed Mirassou, \$300; M. Mirassou, \$300	Purpose of Disbursement In kind dinner expense 11/14/81 Disbursement for: ☒ Primary ☐ General ☐ Other (specify):	Date (month, day, year) 11/14/81	Amount of Each Disbursement This Period 600.00*
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			4124.92
TOTAL This Period (last page this line number only)			49,842.81

Attachment #12 (page B)

Name of Committee (in Full)

MINIJA FOR CONGRESS COMMITTEE

Year End 1981

A. Full Name, Mailing Address and ZIP Code of Loan Source

**TKO (D),
969 Lakeshire Ct
San Jose, CA 95126**

Original Amount
of Loan

8,000.00

Cumulative Payment
To Date

400.00

Balance Outstanding at
Close of This Period

7,600.00

Election Primary General Other (specify)

Terms Date Incurred **10/01/81** Date Due

Interest Rate **20** % (april)

Secured

List A: Endorsers or Guarantors (if any) to Item A

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

B. Full Name, Mailing Address and ZIP Code of Loan Source

Original Amount
of Loan

Cumulative Payment
To Date

Balance Outstanding at
Close of This Period

Election Primary General Other (specify)

Terms Date Incurred Date Due

Interest Rate % (april)

Secured

List A: Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

SUBTOTALS This Period This Page (optional)

TOTALS This Period (last page in this line only)

7,600.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

8230014200317043133



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

30 March 1982

Mr. Grant Shimizu, Treasurer
Mineta for Congress
724 North First Street
San Jose, CA 95112

RQ-2

Identification Number: C00014613

Reference: Year End Report (7/1/81-12/31/81) YE

Dear Mr. Shimizu:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-On Schedule C of your report you have failed to include information required by Commission regulations. You must provide the date the loan is due or the amortization schedule (if there is no due date or amortization schedule, enter "None" on the appropriate line). Please check the box if this loan has been secured (if it is not a secured loan, please clarify the terms of the loan). If there are any endorsers or guarantors, their mailing address along with the name of their employer and occupation must be disclosed. Spell out and clarify the type of institution providing the loan.

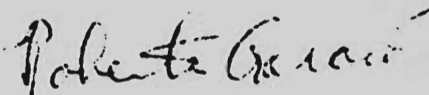
Note: A loan of money by a State bank, a federally chartered depository institution (including a national bank) or a depository institution whose deposits and accounts are insured by the Federal Deposit Insurance Corporation, the Federal Savings and Loan Insurance Corporation, or the National Credit Union Administration is not a contribution by the lending institution if the loan is made in accordance with applicable banking laws and regulations and is made in the ordinary course of business. A loan will be deemed to be made in the ordinary course of business if it: bears the usual and customary interest rate of the lending institution for the category of loan involved; is made on a basis that insures repayment; is evidenced by a written instrument; and is subject to a due date or amortization schedule.

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of

8823003420255754134176

Representatives, 1036 Longworth House Office Building, Washington,
DC 20515 within fifteen (15) days of the date of this letter. If
you need assistance, please feel free to contact me on our toll-
free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,



Roberto Garcia
Reports Analyst
Reports Analysis Division

820320541437

SCHEDULE C

(Rev. 10/3/80)

LOANS

Page 1 of 1
 LINE NUMBER 10
 Use separate schedules
 for each numbered line

Attachment #4

Name of Committee (in Full)

MITRETA FOR CONGRESS COMMITTEE

Amended Year End 1981

A Full Name, Mailing Address and ZIP Code of Loan Source
TLO CO.
969 Lakeshire Ct.
San Jose, CA 95126

Original Amount
 of Loan
8,000.00

Cumulative Payment
 To Date
400.00

Balance Outstanding at
 Close of This Period
7,600.00

Election: ☐ Primary ☐ General ☐ Other (specify):

Terms Date Incurred **10/01/81** Date Due **9/30/85** Interest Rate **20** % (Year) ☐ Secured

List All Endorsers or Guarantors (if any) to Item A **NONE**

1 Full Name, Mailing Address and ZIP Code
Amortization Schedule: \$200 per month
Type of Institution: Individual

Name of Employer

Occupation

Amount Guaranteed Outstanding:

\$

Name of Employer

Occupation

Amount Guaranteed Outstanding:

\$

Name of Employer

Occupation

Amount Guaranteed Outstanding:

\$

B Full Name, Mailing Address and ZIP Code of Loan Source

Original Amount
 of Loan

Cumulative Payment
 To Date

Balance Outstanding at
 Close of This Period

Election: ☐ Primary ☐ General ☐ Other (specify):

Terms Date Incurred Date Due Interest Rate % (Year) ☐ Secured

List All Endorsers or Guarantors (if any) to Item B

1 Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding:

\$

Name of Employer

Occupation

Amount Guaranteed Outstanding:

\$

Name of Employer

Occupation

Amount Guaranteed Outstanding:

\$

SUBTOTALS This Period This Page (optional)

TOTALS This Period (last page in this line only)

7,600.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

969 LAKESHIRE CT
 SAN JOSE CA 95126

SCHEDULE B

ITEMIZED DISBURSEMENTS

Page 1 of 1 for
LINE NUMBER 19b
(Use separate schedule for each
category of the Detailed
Summary Page)

Attachment # 5

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINFTA FOR CONGRESS COMMITTEE

April Quarterly

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	payment of loan		
TKO Co. 969 Lakeshire Ct San Jose, CA 95126	Disbursement for: ☐ Primary ☐ General	1/07/82	200.00
	☐ Other (specify):	2/01/82	200.00
		3/02/82	200.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General		

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

600.00

3020400743032

Attachment # 6

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

12 Day Pre-Primary

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
TKO CO. 969 Lakeshire Ct San Jose, CA 95126	payment of loan	4/06/82	200.00
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	5/03/82	200.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
	Disbursement for: ☐ Primary ☐ General ☐ Other (specify):		
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			400.00

89320441021763321109

Attachment #7

3412



FEDERAL ELECTION COMMISSION
WASHINGTON, DC 20463

11 May 1982

Grant Shimizu, Treasurer
Mineta for Congress
724 North First Street
San Jose, CA 95112

RA-2

Identification Number: C00014613

YE

Reference: Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Shimizu:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your report discloses an apparent contribution(s) from a corporation(s) (pertinent portion attached). You are advised that a contribution from a corporation is prohibited by the Act, unless they are made from a separate segregated fund established by the corporation. If you have received a corporate contribution(s), the Commission recommends that you refund the full amount to the donor(s). The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) of the Detailed Summary Page of your next report. (2 U.S.C. 441b(a))

If you find the contribution(s) in question was disclosed incompletely or incorrectly, please amend your original report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of a prohibited contribution, prompt action by you to refund the full amount will be taken into consideration by the Commission.

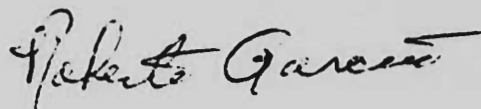
An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of

863204302370331419

1/2 5/14 5/17/82

this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,



Roberto Garcia
Reports Analyst
Reports Analysis Division

803206430233704301420

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code of Loan Source

TKO (X). (INC 12-26-79) Wm
969 Lakeshire Ct
San Jose, CA 95126

Original Amount
of Loan

8,000.00

Commutative Payments
To Date

1,000.00

Balance Outstanding at
Close of This Period

7,000.00

Election: ☒ Primary ☐ General ☐ Other (specify)

Terms: Date Incurred 10/1/81 Date Due 9/30/82 Interest Rate 20 % (per)

☐ Secured

List All Endorsers or Guarantors (if any) to Item A **NONE**

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Amortization Schedule: \$200 per month

Type of Institution: Individual

Occupation

Amount Guaranteed Outstanding

\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

B. Full Name, Mailing Address and ZIP Code of Loan Source

Original Amount
of Loan

Commutative Payments
To Date

Balance Outstanding at
Close of This Period

Election: ☐ Primary ☐ General ☐ Other (specify)

Terms: Date Incurred _____ Date Due _____ Interest Rate _____ % (per)

☐ Secured

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding

\$

SUBTOTALS This Period This Page (optional)

TOTALS This Period Next page in this line only)

7,000.00

Carry outstanding balance only to LINE 3, Schedule D, for this loan. If no Schedule D, carry forward to appropriate line of Summary

820393721

5/12

REGULAR MAIL

3-A-YE

ng C00014613

⑨

Dear Sir:

Yours very truly,

Grant Sherrin

Grant Shimizu
Treasurer, Mineta for
Congress Committee

1 2 3 4 5 6 7 8 9

20

5/24



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

22 June 1982

Grant Shimizu, Treasurer
Mineta for Congress
724 North First Street
San Jose, CA 95112

Identification Number: C00014613

Reference: Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Shimizu:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Your amendment, received May 20, 1982, states that TKO Co. is an individual and not a corporation. If this is correct and the institution has no relationship with the T.K.O. Inc., incorporated on December 26, 1979, then the committee may have accepted a contribution which exceeds the limitation set forth on the Federal Election Campaign Act of 1979, as amended (pertinent portion attached). An individual or a political committee, other than a multicandidate committee may not make contributions to a candidate for Federal office in excess of \$1,000 per election. If you have received a contribution(s) which exceeds the limits, the Commission recommends that you refund to the donor(s) the amount in excess of \$1,000. The Commission should be notified in writing if a refund is necessary. In addition, any refund should appear on Line 20(a) of the Detailed Summary Page of your next report. (2 U.S.C. 441a(a) and (f))

The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for Federal office.

If you find the contribution(s) in question was disclosed incompletely or incorrectly, please amend your original report with the clarifying information.

Although the Commission may take further legal steps concerning the acceptance of an excessive contribution(s), prompt action by you to refund the excessive amount will be taken into consideration by the Commission.

8304037434

An amendment to your original report(s) correcting the above problem(s) should be filed with the Clerk of the House of Representatives, 1036 Longworth House Office Building, Washington, DC 20515 within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 523-4048.

Sincerely,



Roberto Garcia
Reports Analyst
Reports Analysis Division

83040374316

SCHEDULE C
(Revised 3/80)

LOANS

Page 1 of 1
LHA Number
LHA Number
LHA Number

Name of Committee (in Full)

MINNIA FOR CONGRESS COMMITTEE

A. Full Name, Mailing Address and ZIP Code of Loan Source

**TKU (X),
969 Linkshire Ct
San Jose, CA 95126**

Original Amount
of Loan

8,000.00

Computation Payments
To Date

1,000.00

Balance Outstanding at
Close of This Period

7,000.00

Election: ☒ Primary ☐ General ☐ Other (specify)

Terms: Date Incurred **10/1/81**

Date Due **9/30/82**

Interest Rate **20** % (per)

☐ Secured

List All Endorsers or Guarantors (if any) to Item A **NONE**

1. Full Name, Mailing Address and ZIP Code

**Amortization Schedule: \$200 per month
Type of Institution: Individual**

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

B. Full Name, Mailing Address and ZIP Code of Loan Source

Original Amount
of Loan

Computation Payments
To Date

Balance Outstanding at
Close of This Period

Election: ☐ Primary ☐ General ☐ Other (specify)

Terms: Date Incurred

Date Due

Interest Rate % (per)

☐ Secured

List All Endorsers or Guarantors (if any) to Item B

1. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

2. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

3. Full Name, Mailing Address and ZIP Code

Name of Employer

Occupation

Amount Guaranteed Outstanding
\$

SUBTOTALS This Period This Page (optional)

TOTALS This Period (last page in this line only)

7,000.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary

83040674347

DATE: 6/25/82

Time: 5:20 pm

COMMITTEE CONTACT: Mr. Grant Shimizu

Tele-Number: (408) 297-2088

COMMITTEE NAME: Mineta for Congress

ANALYST: Roberto Garcia

SUBJECT: Excessive contribution through loans.

Mr. Shimizu contacted the Commission and clarified that he was to blame for the error of the committee. The definition of contribution had not been recognized until the Commission questioned the loan and the source of the funds.

Mr. Shimizu informed the Commission that the outstanding debt of the loan was to be repaid as soon as possible, and that the full repayment of the loan was to be reported in the July Quarterly Report, to be delivered in the upcoming month. In addition, he would send a letter explaining how the loan was created.

83040374318

RECEIVED MAIL
JUN 25 1982

Attachment #41

Fed. Elec. Comm.

12 JUN 23 P 1:04

GRANT SHIMIZU
ATTORNEY AT LAW
704 NORTH FIRST STREET
SAN JOSE, CALIFORNIA 95131
TELEPHONE 297-0000
June 25, 1982

3412

6/29/82

033626

Federal Election Commission
Washington, D.C. 20463

Attention: Roberto Garcia
Reports Analyst

Identification Number: C00014613

3-A-YE (P)

RE: Mineta for Congress Committee
Year-End Amendment Report (7/1/81 - 12/31/81)

Dear Mr. Garcia:

In response to your letter of June 22, 1982, relative to the loan, we immediately took steps to rectify the situation and have refunded completely the loan. I may have mentioned the fact that an amendment would be filed shortly; however, it appears to be inappropriate and the return of the loan will be shown on the next quarterly report ending June 30, 1982.

The loan arose out of an arrangement with TKO which was to purchase and lease to the Committee a word processor for a period of four years. TKO ordered the word processor, but for some unexplained reason, the transaction was reversed with the Committee paying for the processor and TKO loaning the money to it.

Full responsibility is accepted by me for the oversight due to an unintentional lapse on my part of the synonymy of loans and contributions as defined in 11 CFR 114.1(a)(1).

Yours very truly,

Grant Shimizu
Grant Shimizu
Treasurer, Mineta for
Congress Committee

GS:to

MLF
6/30

WO
7/1/82

LA
7/2

✓

SCHEDULE B

ITEMIZED DISBURSEMENTS

LINE NUMBER 125
(Use separate schedule for each category of the Detailed Summary Page)

Attachment #12

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions for the commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINER FOR CONGRESS COMMITTEE

Q2 - July Quarterly

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
END CD. 969 Lakeside Dr. San Jose, CA 95126	Payment of loan for word processor Disbursement for: ☐ Primary ☐ General ☒ Other (specify): REPAYMENT OF LOAN	3/24/82 6/25/82	200.00 6400.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify):	Date (month, day, year)	Amount of Each Disbursement This Period
SUBTOTAL of Disbursements This Page (optional)			
TOTAL This Period (last page this line number only)			6600.00

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Name of Corporation (in Full)			
NINJA FOR CONGRESS COMMITTEE			
A. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
TIO CO. 969 Lakeshire Ct San Jose, CA 95126		8,000.00	8,000.00
Station: ☐ Primary ☐ General ☐ Other (Specify): Payment of Loan for Equipment			
Terms: Date Issued 10/01/80 Date Due 9/30/85 Interest Rate 20 % ☐ Secured ☒ Unsecured			
List All Endorsers or Guarantors (if any) to item A			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
Amortization Schedule: \$200 per month		Occupation	
Type of Institution: Individual		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
B. Full Name, Mailing Address and ZIP Code of Loan Source		Original Amount of Loan	Balance Outstanding at Close of This Period
Station: ☐ Primary ☐ General ☐ Other (Specify):			
Terms: Date Issued _____ Date Due _____ Interest Rate _____ % ☐ Secured ☐ Unsecured			
List All Endorsers or Guarantors (if any) to item B			
1. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
2. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
3. Full Name, Mailing Address and ZIP Code		Name of Employer	
		Occupation	
		Amount Guaranteed Outstanding	
SUBTOTALS This Period This Page (optional)			
TOTALS This Period (see page in this line only)			-0-
Carry outstanding balance only to LINE 2, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.			

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SCHEDULE A

ITEMIZED RECEIPTS

LINE NUMBER
Use at least 2 characters for each
position of the 4-digit
line number

Any information copied from such Reports. Statements may not be sold or used by any person for the purpose of settling contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee (in Full)

MINETA FOR CONGRESS COMMITTEE

Q2 - July Quarterly

A. Full Name, Mailing Address and ZIP Code TKO CO. 969 Lakeshire Court San Jose, CA 95126	Name of Employer REFUND OF INTEREST	Date (month, day, year) 6/25/82	Amount of Each Receipt This Period 400.00
Receipt For: ☐ Primary ☐ General ☒ Other (specify): refund of interest	Occupation	Aggregate Year-to-Date-\$ 400.00	
B. Full Name, Mailing Address and ZIP Code Woodlake Inn 500 Leisure Lane Sacramento, CA 95815	Name of Employer REFUND for Asian Pacific Democratic Mag - account	Date (month, day, year) 6/29/82	Amount of Each Receipt This Period 35.28
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date-\$	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date-\$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date-\$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date-\$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date-\$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation	Aggregate Year-to-Date-\$	
SUBTOTAL of Receipts This Page (optional)			
TOTAL This Period (from page one line number only)			435.28

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

THIS IS THE BEGINNING OF MUR #

1511

83040374353



FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

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FEDERAL ELECTION COMMISSION

1325 K STREET N.W.
WASHINGTON, D.C. 20463

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