

FEDERAL ELECTION COMMISSION

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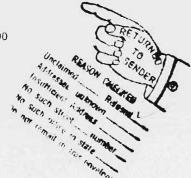
FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

POSTAGE AND FEES PAID



Official Business

Penalty for Private Use \$300



Mr. Douglas L. Heinsohn Norton Creek Road Route Gatlinsburg, Tennessee

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MUR 1141

Merro to File Deto: april 15,1 Fron: Gay Johansen The letter to Mr. Heinsohn notifying Deto: april 15, 1981 him that the Commission had determined to take no further action in this matter was regused by Mr. Heinoohn. As the file is being closed and as Mr. Heinsohn consistently reguses our letters, there is no need to resend this letter to him.



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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

March 30, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinsburg, Tennessee

Re: MUR 1141

Dear Mr. Heinsohn:

On October 23, 1978, the Commission found reason to believe that your committee had violated 2 U.S.C. § 434(b) (12), a provision of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above-referenced MUR. On November 26, 1980, the Commission also found reason to believe that you had violated 2 U.S.C. § 434. However, after considering the circumstances of this matter, the Commission has determined to take no further action and close its file. Should you wish to submit any materials to appear on the public record, please do so within 10 days.

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If you have any questions, please direct them to Gary L. Johansen at (202) 523-4143.

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General Counsel



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Sincerely,

3-27-81

Charles N. Steele General Counsel



FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20463

March 30, 1981

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

J. Robert Noell Heinsohn for Senate 5912 Pinelawn Drive Chattanooga, Tennessee 37421

Re: MUR 1141

Dear Mr. Noell:

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Charles N. Steele General Counsel

Complete trees 1 2, and 1 Add your address in the "icl FURN TO" space on towerse. 1. The following rervice is requested teliebe one.) [1] Show to whom and date definered....... 1.1 Clow to cham, date and a Ugas or delivery..... C) FIGURE CLOPETIVERY Ma with of om an I date delivered....... TO RESERVE FED L'EDVENY. Show to whom, date, and address of delivery.\$ _ (COMMULT POSTMAS PREPRIETES) 2. ABTICLE ADDRESSOR TO: J. Robert Noell Heinsohn for Senate 5912 Pinelawn Drive Chattanooga, Tennessee 37421 --BEGISTERED NO. | CENTIFIED NO. INSURED NO. (Always obtain supporture of addressee or agent) Harro received the article described above, SIGNATURE Unddiessee []Authorized agent 1611/2 SURED AND CERTIFIED DATE OF DELIVERY 5. ADDITES (Complete only if requested) INITIALS ☆GPO: 1979-288-848



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

CERTIFIED MAIL
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J. Robert Noell
Heinsohn for Senate
5912 Pinelawn Drive
Chattanooga, Tennessee 37421

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Sincerely,

Charles N. Steele General Counsel

H8 3-27-81

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Heinsohn for Senate)
Douglas Heinsohn)

MUR 1141

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission's Executive Session on March 24, 1981, do hereby certify that the Commission decided by a vote of 5-1 to take the following actions in MUR 1141:

- Take no further action against Mr. Heinsohn for his failure to file adequate reports with the Commission as required by 2 U.S.C. §434,
- Take no further action against Heinsohn for Senate for its failure to continously report debts as required by 2 U.S.C. §434(b)(12),
- Approve and authorize the sending of the letters to Mr. Heinsohn and to Mr. Noell, treasurer of Heinsohn for Senate, as submitted with the General Counsel's March 12, 1981 report;
- 4. CLOSE THE FILE.

Commissioners Aikens, Harris, McGarry, Reiche, and Thomson voted affirmatively for the decision; Commissioner Tiernan dissented.

Attest:

Date

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/ Marjorie W. Emmons

Secretary of the Commission

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FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM:

MARJORIE W. EMMONS/JODY CUSTER

DATE:

MARCH 17, 1981

SUBJECT:

OBJECTION - MUR 1141, General Counsel's Report,

dated 3-12-81; Received in OCS, 3-12-81, 5:00

The above-named document was circulated on a 48 hour vote basis at 2:00, March 13, 1981.

Commissioner Tiernan submitted an objection at 5:38, March 16, 1981.

This matter will be placed on the Executive Session Agenda for Tuesday, March 24, 1981. A copy of the vote sheet with comments is attached.

Vote Sheet Attachment:



FEDERAL FLECTION COMMISSION P 5: 38

BOX STREET SIA MASHINGTON D.C. 20463

Date and Time Transmitted: FRIDAY, 3-13-81 2:00
Commissioner McGARRY, AIKENS TERNAN, THOMSON, REICHE, HARRIS
RETURN TO OFFICE OF COMMISSION SECRETARY BY: TUESDAY, MARCH 17, 198
MUR No. 1141 - General Counsel's Report dated 3-12-81
() I approve the recommendation
(2) I object to the recommendation
comments: Dec Abi Heinschin's letter convert
with commet is reported ign of shebs?

A DEFINITE VOTE IS REQUIRED AND ALL SHEETS SIGNED AND DATED. PLEASE RETURN ONLY THE VOTE SHEETS TO THE OFFICE OF THE

Date: 3/16/1981 Signature: Koled O. Onoc

PLEASE RETURN ONLY THE VOTE SHEETS TO THE OFFICE OF THE COMMISSION SECRETARY NO LATER THAN THE DATE AND TIME SHOWN ABOVE.



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March 12, 1981

MEMBRANDUM TO: Marjorie W. Emmons

FROM: Blissa T. Garr

SUBJECT: MUR 1141

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Please have the attached General Counsel's Report distributed to the Commission on a 48 hour tally basis. Thank you.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
Heinsohn for Senate)	MUR 1141
Douglas Heinsohn	1	

GENERAL COUNSEL'S REPORT

I. Background and Legal Analysis

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In the primary election in 1978, Mr. Heinsohn was a democratic candidate for Senate in Tennessee. Mr. Heinsohn's principal campaign committee was the Heinsohn for Senate Committee. Because Mr. Heinsohn did not file a waiver with the Commission, pursuant to 2 U.S.C. § 436(b) and 11 C.F.R. § 101.3, both he and his committee were required to file disclosure reports. 1/ Mr. Heinsohn filed three letters with the Commission, one dated July 5, 1978, one dated July 24, 1978, and one dated September 1, 1978, listing his "personal expenses." The committee filed a July 10 Quarterly Report, a 10 Day Pre-Primary Report, and a "termination report" dated September 19, 1978.

On November 27, 1978, the Reports Analysis Division referred both Mr. Heinsohn and his committee to the Office of General Counsel for their failure to file 30 Day Post-Primary Reports. The Commission voted on January 8, 1979, to take no further action against Mr. Heinsohn or his committee for their failure to file these reports.

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^{1/} All citations refer to the Act and Regulations before the Act was amended on January 8, 1980.

MUR 1141 Page (2) On January 24, 1979, the Reports Analysis Division sent requests for additional information (RFAI) to the committee, including, among other things, a statement indicating that 11 C.F.R. §104.8(a) requires that debts be reported continuously until they are extinguished and that a committee may not terminate its reporting requirements until all debts are extinguished. The committee, however, did not respond to the RFAI. On January 10, 1980, the Reports Analysis Division referred the Committee, Heinsohn for Senate, to the Office of General Counsel for its failure to continuously report debts and obligations as required by 2 U.S.C. §434(b)(12). On March 25, 1980, the Commission directed the Office of General Counsel to send a letter to Mr. Heinsohn and Heinsohn for Senate, notifying them that further information is needed to clarify their reporting of debts and obligations. Letters and interrogatories were sent to both Mr. Heinsohn and J. Robert Noell, treasurer of Heinsohn for Senate. Mr. Heinsohn responded in a letter dated July 2, 1980, by stating that he had paid off the outstanding debts of Heinsohn for Senate and that "all monies received and spent were handled as outlined in various reports" sent to the Commission.

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take no further action against Mr. Heinsohn for his failure to file adequate reports with the Commission in violation of 2 U.S.C. §434 and take no further action against Heinsohn for Senate for its failure to continuously report debts as required by 2 U.S.C. §434(b)(12).

II. Recommendation

It is recommended by the Office of General Counsel that the Commission:

- Take no further action against Mr. Heinsohn for his failure to file adequate reports with the Commission as required by 2 U.S.C. §434,
- 2) Take no further action against Heinsohn for Senate for its failure to continously report debts as required by 2 U.S.C. §434(b)(12);
- 3) Approve and authorize the sending of the attached letters to Mr. Heinsohn and to Mr. Noell, treasurer of Heinsohn for Senate; and,
 - 4) Close the file

ATTACHMENTS:

- I. Letter from Mr. Heinsohn dated December 12, 1980.
- II. Letter to Mr. Heinsohn.

III. Letter to Mr. Noell, treasurer of feinsohp for Senate.

12 March 1981

General Counsel

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SECRETARY OF THE SENATE millet, pit a seed co.

Norton Creek Road, Rt. 1

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Dec 12, 1980

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Attachment

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Federal Election Commission hashingto, DC. 20463

Chairperson Friedersdorf:

At 7:00 Am this morning Deput, Sheriff Sam Stalcup, In. shattered the privacy of my home by bringing me another mountain of forms and pages from your bureau cracy, with an intimidating cover letter signed by you. The print on the forms is too small for me to read, when partially read to me by someone who can see it I don't understand it. I am not a bookheeger, and there is a constitutional areadment prohibiting involuntary servitude, which would be the case if you attempted to obligate me to fill out your Jones with all I their frumy little ofrares and lines.

So, we neturn again to the basics. I have complied with the law as passed by

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· Congress and intend to do no more. But I have a certain amount of patience, gity, and compass, in In one who has nothing better to do with his life than accept the taxpayers dole as do you, so I will briefly answer your questions. As to owearing to my answers, there is no need to worry, Unlike so many government officials, I do not lie 1 all debts and funds held by the 1 Committee were transferred to me at the end of the cargaign for me to personally 1-6 4. 1 ---(2) I settled and gaid all debts. Y 3) The following list of checho paid these delsts: -Q 2,045 25 1- The mountain gress #103 = - Lavidge + assoc 31,210 #104 - Crescent Printing -4,788 H105 0 _ Ogles 116 #106 - al Smith chev. 849 4107 Scott Htw, + Air Cond. 271 # 108 wonder Gillette A.C. # 109 A.B. Dick 153 #110 -Sevier Dusiners Products 84 #111-94 Sevia County Elec. # 112 -

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(7) -3 -12785 77 # 117 # 118 # 119 - Sov. Central Dell - Dradson + Bradford - Leo Ely 250 °° 70 78 Sept 7,78 #122 - Sevier County Elec 107 64 Now, in the final garagraph of upon letter you mention confidentiality - I am a sunshine man' and believe public businers should be open to all - you may take this to be a written agreal for full public disclosure. 1.6 you peoble have bugged me repeatedly since the campaign. If the above infor. do cont satisfy you, accuse me ? something, bring your langers and core on down to Terminee and let's have at it! 3 I think we'll laugh you out of court. \mathbf{Q}_{v} Seriously, it is my fervent hope and prayer that the incoming administration and new Congress will relieve us targayers of the bunden of facini, your inflated solding and make it financially obligatory for you and your stoff to seek productive employment in the private sector, if you can find a job. Louglas L. Heinsohn



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

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Mr. Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinsburg, Tennessee

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Fre MUR MUR 1141 To: Borry 1/20/80 From fert Here is the original and all agrees from, the files on the Heimschn letter.

J S2603/TN DEM CH65 SECRETARY OF THE SENATE

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Norton Creek Road, Rt. 1

Gatlinburg, HANDSOFTWERED UUDEC22 A9:08 615/436-5477 Dec 12, 1980

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Douglas L. Heinschn Lathenburg, IN. 37738 Chairperson Friedersdorf Federal Election Commission Washington, D.C. 20463 J. S. KIMMITT SICHETARY

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OFFICE OF THE SECRETARY WASHINGTON, D.C. 20510

NOTICE

THE POSTMARK ON THE PRECEDING ENVELOPE IS ALE. 15, 1980.

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In S. Fleinselm lindung (10. 37738 Chairouron Friedensdorf Federal Election Commission Washington, D.C. 20463

J. S. KIMMITT Abiles States Senute challe or the successive visions area, or a some MOTICE THE POSTMARK ON THE PRECEDING ENVELOPE IS DIC. 15, 1980 REGISTERED CERTIFIED 8 1 7 7 1 5 4 5 10 8

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615/436-5477 DE022 A9:08 Dec 12, 1980 "the heart of the matter Federal Election Commission washingto, DC. 20463 Chairperson Friedersdorf: At 7:00 AM this morning Deput, Sheriff Sam Stalcup, In. Snattered the privace of my home by bringing me another mountain of Johns and pagers from your bureaucracy, with an intimidating course letter signed by you. The print on the Johns is too small for me 7 To read, when partially read to me by someone who can see it I don't understand it. I am not a bookheeger, and there is a constitutional aroundment prolibiting involuntary servitude, which would be the case if you attempted to obligate me to fill out your forms with all I their furning little oquares and lines. So, us return again to the basics. I have complied with the have as passed by

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las L. Fleinsohn inlung, (N. 37738 Chairperson Friedersdorf Federal Election Commission Washington, D.C. 20463

J. S. KINNIGHT Mailed States Senate Commente to the total state Washington, D.C. 20510 NOTICE THE POSTMARK ON THE PRECEDING ENVELOPE IS Dic. 15, 1980 CERTIFIED REGISTERED

A CONTRACTOR OF CONTRACTOR Dac 12, 1980 Federal Election Commission Washingto, DC. 20463 Chairperson Friedensdorf: At 7:00 AM this morning Deputy Sharely Sam Staleup, In shattened the private of my home by bringing me another mountain of forms and pages from your bureaucracy, with an intimidativily cover letter signed by you. The grains on the forms is too small for ma I real, when goldrielly read to me by some on who can see it I don't understand it. I am not a bookheeger, and there is a constitutional armed ment prolititing involuntary servitude, which would be the case if you attempted to obligate ous to fill out you forms with all I their furny little ofnassaid lines. E, us neturn again to the besites, & Trave

I find the de morning. But I confossion for one who has nothing better In So with this left than accept the taxpagers dole as de you so duill briefly answer your questions. As to one owing to my answers, there is not need to worry, unlike so many government Micials, I do not lie (1) all dobts and funds hold by the consiste were transferred to me It the and I the cargaign for me to personally C 7 (2) à sittled and gaid all debts. **C** 3) The following list of checks paid these debts 90 2,045 - The Mountain green 华103 - Lavidge + anoe 31,240 #104 - Crescast Printing -02 4,788 H 105 do - Ogles - al Smith chev. 116 4 #106 849 4.107 - Scott Htw, + Air Cond. - Wondell Gillette A.C. 00 #108 271 56 42 # 109 N A.B. Dick 24 152 告110 -Sevia Dusiners Products 50 84 士化1. T 53 Sevia Country Elec. 94 # 112 -53 1.B.M. Term. Press Service 世 13 -122 # 114 -100 Cla County Name 11 115 -

127 85 - H.M. - Ses. Cod. 1 Boll. - Dodson & Bredford - Les Ely 44.8 250 000 2 7 7 119 平白型 - Source County Elac Sat 7,78 #122 167 64 Now, in the final garagraph of your letter you mention confidentiality - D'an a sunstine man' and believe public business should be open to all - You may take this to be a written aggest for full public dés dorme you peoble have bugged me repeatedly since the compaign. If the above info. do cont satisfy you, accuse me of something, bring your lawyers and come on down to Terminee and let's howe at it C I think well laugh you out of court. Sociously, it is my fervent hope and grayer that the incoming administration and new Congers will relieve us taylayers of the bunder of facing your inflated solding for and make it timan cially obligatory for you and your stoff to seek productive employment in the private sector, if you ca find a job. doubal Agingha

of Heinsohn ilung, (N. 37738 Chairperson Friedensdorf Federal Election Commission Washington, D.C. 20463 5150735

. Dan J. Harry . fr.	having been duly authorized to prake
ervice of the Letter from the Federal	
n the above entitled case, hereby depose and say:	
That my age and date of birth are as follows:	22. 3-24-58
That my residential address is: 103 Hugh	LES RA, GATLENBURY, TN. 37738
That my business address is: 121 Bence	St. Seuraeville, In 37862
That I am not a party to or otherwise interested	I in this suit.
hat at 10:25 o'clock a.p./p.m. on the	r. Douglas L. Heinsohn X Dow & Wen
9 80 I served the within named dis fest all and Market M	r. Douglas L. Heinsohn X Do Va (Ven
- The street the within thanks defendent	Č
ersonally by leaving a copy of the <u>Letter fro</u>	m the Federal Election Commission
t his – her usual place of employment, of abode at	The IST. NATIONAL BANK OF
GATIFA GURG. PARKWAY, GAT	The IST. NATIONAL BANK OF
ACCURATE LEGAL SERVICES CO. INC. 306 H ST. N.E. • WASHINGTON, DC 20002	The IST. NATIONAL BANK OF
ACCURATE LEGAL SERVICES CO. INC. 306 H ST. N.E. • WASHINGTON, DC 20002 EST. 1974 James Patrick Smith	The IST. NATIONAL BANK OF
ACCURATE LEGAL SERVICES CO. INC. 306 H ST. N.E. • WASHINGTON, DC 20002 EST. 1974	The IST. NATIONAL BANK OF
ACCURATE LEGAL SERVICES CO. INC. 306 H ST. N.E. • WASHINGTON. DC 20002 EST. 1974 James Patrick Smith PROCESS SERVING LEGAL PHOTOGRAPHY COURT FILING SERVICE INVESTIGATING & SKIP TRACING (202) 330ALSCO	The IST. NATIONAL BANK OF
ACCURATE LEGAL SERVICES CO. INC. 306 H ST. N.E. • WASHINGTON, DC 20002 EST. 1974 James Patrick Smith PROCESS BERVING LEGAL PHOTOGRAPHY COURT FILING SERVICE DIAL 392-5726	The Ist. NATIONAL BANK OF LINBURY, TN. San S. Salappi.
ACCURATE LEGAL SERVICES CO. INC. 306 H ST. N.E. • WASHINGTON, DC 20002 EST. 1974 James Patrick Smith PROCESS SERVING LEGAL PHOTOGRAPHY COURT FILING SERVICE INVESTIGATING & SKIP TRACING 1202) 33•ALSCO	The Ist. NATIONAL BANK OF LINBURY, TN. San S. Salappi.



WASHINGTON, D.C. 20463

December 3, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinburg, Tennessee 37738

Re: MUR 1141

Dear Mr. Heinsohn:

23

The Federal Election Commission notified you in a surface violation letter dated October 23, 1978, that you may have violated certain sections of the Federal Election Campaign Act of 1971, as amended ("the Act).

Upon further review of the information available to the Commission and information supplied by you, the Commission determined, on November 26,1980, that there is reason to believe that you have violated 2 U.S.C. § 434. A report on the Commission's finding is attached for your information. You may submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter.

Your response to the Commission's initial notification of the possible violation did not provide complete information regarding the matters in question. Please submit answers to the enclosed questions within 10 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

- 2 -This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you have any questions, please contact Michele D. Brown, the staff member assigned to this matter at 202/523-4175. Sincerely, Triedwodoy sdorf Enclosure Notification of Reason to Believe Finding Interrogatories Report Forms ು

PEDERAL ELECTION COMMISSION

NOTIFICATION OF REASON TO BELIEVE FINDING

DATE December 3, 1980

MUR NO. 1141
STAFF MEMBER(S) & TEL. NO.

Michele Brown 202/523-4175

RESPONDENT Douglas L. Heinsohn

SOURCE OF MUR: IN TERNALLY GENERATED

BACKGROUND

Douglas L. Heinsohn was a candidate for Senator from the

Douglas L. Heinsohn was a candidate for Senator from the State of Tennessee in 1978. Mr. Heinsohn did not waive his personal reporting obligations, and therefore, was required to file disclosure reports with the Commission.

FACTUAL BASIS AND LEGAL ANALYSIS

Mr. Heinsohn filed three letters with the Commission. The first letter listed debts and obligations totalling \$43,625.80. Mr. Heinsohn's second letter listed debts of \$3,890.75. The third letter filed stated that all of the campaign debts and obligations had been repaid; however, the letter did not list the specific vendors, amounts, or dates of repayment. It is not clear from Mr. Heinsohn's letters whether his activities were totally separate from those of his Committee or whether they overlap. Also, the specifics of any repayments were not reported nor were the circumstances and conditions of the extinguishment.

Based on the foregoing analysis, the Federal Election Commission has found reason to believe that Douglas L. Heinsohn violated 2 U.S.C. § 434.

Interrogatories - Heinsohn

Report Date	Recei	pts	Expenditure	S	Debts	
July 5, 1978 signed by Mr. Heinsohn July 10 Quarterly Report	Itemized	7980.00	Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone Newspaper ads	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80 21,429.76
signed by Mr. Noell	Mr. Heinsohn Unitemized	5000.00 5068.50 18,048.50			Flyers Steve Harrison- air travel- private plane	2045.25 1249.60 24,724.61
10 Day Pre-Primary Report signed by Mr. Noell	Itemized Unitemized	$\begin{array}{r} 1200.00 \\ \underline{3232.00} \\ 4432.00 \end{array}$	Unitemized	$\frac{11.62}{11.62}$		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
July 24 signed by Mr. Heinsohn			Telephone Postage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain the .
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal payment of campaign debt Unitemized	4525.18 1249.60 21,204.44 25.66 27,004.88		
	Year-to-date	27,016.50	Year-to-date	27,016.50		

. 0

DATE		2. FEC Identification Num	nber		
ro: Douglas Heinscher		3. Is this Report an Amen	dment?		
FROM:	previously re		L NO		
REMARKS:	REPORT				
Although these reporting forms are for an authorized committee, you should report your personal receipts, expenditures and debts and obligations.	Twelfth o	lay report preceding —	(Type of Election)		
for an authorized committee, you should	election o	onin	the State of		
court your decount receipts, expendi-	Thirtieth	day report following th	e General Election		
three: and debts and obligations.	on	in the	State of		
0	Terminat	ion Report			
	General E	lection D Special E	lection - Runoff Election		
		Column A This Period	Column B Calendar Year-to-Date		
		•			
	· · · · · · ·	\$	s		
(b) Total Contribution Refunds (from Line 20d)		\$	\$		
(c) Net Contributions (other than loans) (Subtract Line 6b fro	om 6a)	\$	\$		
7. Net Operating Expenditures:					
(a) Total Operating Expenditures (from Line 17)		\$	\$		
(b) Total Offsets to Operating Expenditures (from Line 14)		\$	\$		
(c) Net Operating Expenditures (Subtract Line 7b from 7a)		\$	\$		
8. Cash on Hand at Close of Reporting Period (from Line 27)		\$	100 mg		
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C or Schedule D)		\$			
D. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C or Schedule D)		\$			
I certify that I have examined this Report and to the best of my knowledge it is true, correct and complete.	and belief		er information, contact:		
		Toll F	ree 800-424-9530 202-523-4068		
Type or Print Name of Treasurer					
SIGNATURE OF TREASURER	Date				
NOTE: Submission of false, erroneous, or incomplete information may	subject the D	erson signing this Report	to the penalties of 2 U.S.C. 843		

All previous versions of FEC FORM 3 and FEC FORM 3a are obsolete and should no longer be used.

FEC FORM 3 (3/80)

ND DISBURSENCITS d Committee

Page)

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR 1141
Henisohn for Senate)
Douglas Heinsohn)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal Election Commission, do hereby certify that on November 26, 1980, the Commission decided by a vote of 5-1 to take the following actions regarding MUR 1141:

- 1. Find REASON TO BELIEVE that Douglas L. Heinsohn violated 2 U.S.C. § 434.
- 2. Approve the letter, interrogatories, and reason to believe notification as submitted with the General Counsel's November 21, 1980 report.
- 3. Authorize the hiring of a special process server to serve Mr. Heinsohn.

Commissioners Friedersdorf, Harris, McGarry, Reiche, and Tiernan voted affirmatively. Commissioner Aikens cast the dissenting vote.

Attest:

11-26-50

Date

// Marjorie W. Emmons

Secretary to the Commission

Margere El Commons

Received in Office of the Commission Secretary: 11-24-80, 12:17 Circulated on 48 hour vote basis: 11-24-80, 4:00



WASHINGTON D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM:

mue MARJORIE W. EMMONS/MARGARET CHANEY

DATE:

C

NOVEMBER 26, 1980

SUBJECT:

COMMENTS REGARDING VOTE - MUR 1141 -

General Counsel's Report dated 11-21-80

Attached is a copy of Commissioner Aikens'

vote sheet with comments regarding her dissenting vote on MUR 1141.

ATTACHMENT:

Copy of Vote Sheet



80 NOV 25 P1: 52 FEDERAL ELECTION COMMISSION

1325 K STREET NW WASHINGTON D.C. 20463

> Date and Time Transmitted: MONDAY, 11-24-80 4:00

Commissioner FRIEDERSDORF, AIKENS, TIERNAN, MCGARRY, REICHE, HAPRIS

RETURN TO OFFICE OF COMMISSION SECRETARY BY: WEDNESDAY, NOVEMBER 26, 1980 4:00

<u> </u>	1-	<u>. </u>	Agrin
COM	MEN	VTS	: "DO VOTE" - NOT TO BE PLACED ON
()	I	object to the recommendation
()	I	approve the recommendation
1,0,,		•	
MHR	No	١.	1141 - General Counsel's Report dated 11-21-80

Date: ///25/80 Signature:

A DEFINITE VOTE IS REQUIRED AND ALL SHEETS SIGNED AND DATED. PLEASE RETURN ONLY THE VOTE STEETS TO THE OFFICE OF THE COMMISSION SECRETARY NO LATTE THAN THE DATE AND TIME SHOWN ABOVI.



November 24, 1980 MEMORANDUM TO: Marjorie W. Emmons Elissa T. Garr FROM: MUR 1141 SUBJECT: 1 œ Please have the attached General Counsel's Report C distributed to the Commission on a 48 hour tally basis. L Thank you. V C. 8

BEFORE THE FEDERAL ELECTION COMMISSION

80 NOV 24 PI2: 17

In the Matter of
Heinsohn for Senate
Douglas Heinsohn

GENERAL COUNSEL'S REPORT

I. Background

~ **)**

On January 10, 1980, the Reports Analysis Division referred to the Office of General Counsel the Heinsohn for Senate Committee for its failure to continuously report debts and obligations as required by 2 U.S.C. § 434(b)(12). Commission, on March 25, 1980, directed the Office of General Counsel to prepare letters to the candidate and the campaign committee informing them that the Commission would not allow the public record to stand as it is in this matter and indicating what steps the candidate and Committee must take to clear the public record. Because the Committee had not responded and the candidate's responses had not adequately addressed the requests for additional information or the reason to believe letter sent by RAD, the Office of General Counsel recommended that the Commission issue orders to the candidate and Committee along with the cover letters and questions. The Commission approved this recommendation; however, the letters were returned. Mr. Heinsohn, the candidate, refused his letter and no explanation was given

for the return of the letter addressed to Mr. Noell, the Treasurer. Additional attempts have been made to serve these letters. Mr. Heinsohn has always refused the Commission's letters and Mr. Noell moved without leaving a forwarding address.

When the Office of General Counsel had the U.S. Marshal serve the two men, the Marshal served Mr. Heinsohn with the Order and the letter addressed to Mr. Noell and vice versa. Mr. Heinsohn returned the letter and stated in a letter that: "all outstanding debts were transferred to, and paid by me personally upon termination of the Senate primary campaign in September 1978. There are no outstanding debts, all receipts and cancelled checks are in order, and all monies received and spent were handled as outlined in the various reports you were sent. I have complied with the law as passed by Congress, and will do no more just to placate your personal bureaucratic arrogance!"

II. Legal Analysis

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Although the Reports Analysis Division referred only the Committee for failing to continuously report its debts, the candidate also failed to file reports with the Commission which adequately disclosed his financial activities.

Mr. Heinsohn did not waive his reporting obligations pursuant to 2 U.S.C. § 436(b) and 11 C.F.R. § 101.3. 1/

^{1/} These citations refer to the Act and Regulations before the Act was amended on January 8, 1980.

III. Recommendation

The Office of General Counsel recommends that the Commission:

- 1) find reason to believe that Douglas L. Heinsohn violated 2 U.S.C. § 434;
- 2) approve the attached letter, interrogatories, and reason to believe notification; and,
- 3) authorize the hiring of a special process server to serve Mr. Heinsohn.

11/21/80

In Steele by KAR,

General Counsel

Attachments

I. Letter

II. Notification of Reason to Believe Finding

III. Interrogatories

IV. Chart



WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinburg, Tennessee 37738

Re: MUR 1141

Dear Mr. Heinsohn:

The Federal Election Commission notified you in a surface violation letter dated October 23, 1978, that you may have violated certain sections of the Federal Election Campaign Act of 1971, as amended ("the Act).

Upon further review of the information available to the Commission and information supplied by you, the Commission determined, on , 1980, that there is reason to believe that you have violated 2 U.S.C. § 434. A report on the Commission's finding is attached for your information. You may submit any factual or legal materials which you believe are relevant to the Commission's analysis of this matter.

Your response to the Commission's initial notification of the possible violation did not provide complete information regarding the matters in question. Please submit answers to the enclosed questions within 10 days of your receipt of this letter. Where appropriate, statements should be submitted under oath.

In the absence of any additional information which demonstrates that no further action should be taken against you, the Commission may find probable cause to believe that a violation has occurred, and proceed with formal conciliation. Of course, this does not preclude the settlement of this matter through informal conciliation prior to a finding of probable cause to believe if you so desire.

- 2 -This matter will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) unless you notify the Commission in writing that you wish the matter to be made public. If you have any questions, please contact Michele D. Brown, the staff member assigned to this matter at 202/523-4175. Sincerely, Enclosure Notification of Reason to Believe Finding Interrogatories Chart Report Forms \mathbf{c} 03

NOTIFICATION OF REASON TO BELIEVE FINDING

DATE	

MUR NO. 1141

STAFF MEMBER(S) & TEL. NO.

Michele Brown 202/523-4175

RESPONDENT Douglas L. Heinsohn

SOURCE OF MUR:

C

INTERNALLY GENERATED

BACKGROUND

Douglas L. Heinsohn was a candidate for Senator from the State of Tennessee in 1978. Mr. Heinsohn did not waive his personal reporting obligations, and therefore, was required to file disclosure reports with the Commission.

FACTUAL BASIS AND LEGAL ANALYSIS

Mr. Heinsohn filed three letters with the Commission. The first letter listed debts and obligations totalling \$43,625.80. Mr. Heinsohn's second letter listed debts of \$3,890.75. The third letter filed stated that all of the campaign debts and obligations had been repaid; however, the letter did not list the specific vendors, amounts, or dates of repayment. It is not clear from Mr. Heinsohn's letters whether his activities were totally separate from those of his Committee or whether they overlap. Also, the specifics of any repayments were not reported nor were the circumstances and conditions of the extinguishment.

Based on the foregoing analysis, the Federal Election Commission has found reason to believe that Douglas L. Heinsohn violated 2 U.S.C. § 434.

Interrogatories - Heinsohn FEDERAL ELECTION COMMISSION Douglas L. Heinsohn Interrogatories MUR 1141 Both you and your Committee list debts on the reports filed 1. with the Commission. Are any of the debts reported twice, that is, is any debt reported on your reports and also reported on the Committee's reports? If so, please indicate which debts are C reported twice and indicate whether the debt is owed by you or owed by the Committee. 2a. Have you paid the debts listed on your reports or which you owed? b. Has the Committee paid the debts listed on its reports or which it owed? Please provide the name of vendor; purpose of expenditure; 3. amount owed; date on which the debt was incurred; amount paid, if any; dates of payment, if applicable; and any terms of repayment or loan agreements, if any. Please provide this information on report forms. Forms are included for your assistance.

	Report Date	Receipt	s	Expenditure	s ,	Debts	
July 5	1978 signed by nsohn			Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80
July 1 signed	Quarterly Report by Mr. Noell	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
10 Day	Pre-Primary Report by Mr. Noell	Itemized Unitemized Year-to-date Cash on hand	1200.00 3232.00 4432.00 22,480.50 22,468.88	Unitemized Year-to-date	$\frac{11.62}{11.62}$ 11.62		
July 2 Heinso		707		Telephone Postage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstanding same"	g remain the
	er 19 "Termination signed by Mr. Noell	Itemized Unitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal payment of campaign debt Unitemized	4525.18 1249.60 21,204.44 25.66 27,004.88		
	To the state of th	Year-to-date	27,016.50	Year-to-date	27,016.50		

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WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM:

MARJORIE W. EMMONS/MARGARET CHANEY

DATE:

C C OCTOBER 16, 1980

SUBJECT:

MUR 1141 - Interim Investigative Report #4

signed 10-14-80, received in OCS 10-14-80,

5:01

The above-named document was circulated to the Commission on a no-objection basis at 11:00 a.m. on 10-15-80.

There were no objections to the Interim Investigative Report at the time of the deadline; however, Commissioner Reiche submitted a comment. A copy of his vote sheet is attached.

ATTACHMENT:

Copy of Vote Sheet



1325 K STREET NW WASHINGTON.DC 20463

DATE AND TIME OF TRANSMITTAL October 15, 1980 - 11:

Commissioner FRIEDERSDOFF, AIKENS, TIERNAN, McCARRY, REICHE, HAPRIS RETURN TO THE OFFICE OF COMMISSION SECRETARY BY: October 16, 1980 21:00 MUR No. 1141, Interim Investigative Report #4 () I object to the recommendation in the attached report.

OBJECTIONS, SIGNED AND DATED, MUST BE RECEIVED IN THE COMMISSION SECRETARY'S OFFICE NO LATER THAN THE DATE AND TIME SHOWN ABOVE OR MITHE MATTER WILL BE DEEMED APPROVED. PLEASE RETURN ALL PAPERS TO THE OFFICE OF THE SECRETARY TO THE COMMISSION.



October 14, 1980 MEMORANDUM TO: Marjorie W. Emmons Elissa T. Garr FROM: MUR 1141 SUBJECT: Pleasehhave the attached Interim Invest Report 8.7 distributed to the Commission. Thank you. 0 C 10 7 C C C 00

BEFORE THE FEDERAL ELECTION COMMISSION In the Matter of MUR 1141 Heinsohn for Senate Interim Investigative Report #4

The Office of General Counsel sent copies of its brief to Mr. J. Robert Noell, Treasurer of Heinsohn for Senate, and to Mr. Douglas L. Heinsohn, the candidate, for his information. Mr. Noell has moved and did not leave a forwarding address. Mr. Heinsohn refused his copy and the Postal Service returned it to the Commission. This staff is presently preparing a report to the Commission.

General Counsel



WASHINGTON, D.C. 20463

17 September 1980

ME.AORANDUA

TO:

The File

FROM:

Michele Brown ph

The probable cause briefs sent to J. Robert Noell, Treasurer of the Heinsohn for Senate Committee, and to Douglas L. Heinsohn have been returned to the Commission. There still is not a forwarding address for Mr. Noell, and Mr. Heinsohn is still refusing to accept mail from the FEC. These letters and copies of briefs should be filed.

L ELECTION COMMISSION NGTON,D.C. 20463 to the transfer that the transfer to J. Robert Noell, Treasurer Heinsohn for Senate 717 Broad Street Chattanooga, Tennessee 37402 CERTIFIED. 946070

LETURN TO" space	quested (check one.) ate delivered. and address of delivery. Ite delivered. TERY and address of delivery.\$	the mod	1~374C	we.	POSTMARK		CLERKS	
Complete items 1, 2, and 3. Add your address in the "RI reverse.	and de sand de	CONSULT POSTMASTER FOR	CALLETTONO.	synatu article d	VEHY	Ches only if requested)	DELIVER BECAUSE:	
SENDEK: CO	The following service Show to whom as Show to whom, Show to whom, Show to whom as	CONSUL 117 Bus	Clattan	LA: ways obtain	DATE OF DELL	ADORESS ICOM	UNABLE TO DE	

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FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

Mossie Douglas L. Heinsohn Norton Creek Road Rolte 1 Gatlinburg, TN 377

POSTAGE AND FEES PAID



WASHINGTON, D.C. 20463

August 29, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

J. Robert Noell, Treasurer Heinsohn for Senate 717 Broad Street Chattanooga, Tennessee 37402

Re: MUR 1141

Dear Mr. Noell:

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Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on April 30, 1979, found reason to believe that your committee has violated §434(b)(12) of the Federal Election Campaign Act of 1971, as amended, and instituted an investigation of this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. Three copies of such brief should also be forwarded to the Office of General Counsel. The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

-2-A finding of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire. Should you have any questions, please contact Michele D. Brown at 202-523-4175. Sincerel General Counsel Enclosure Brief Douglas L. Heinsohn cc: Norton Creek Road Route 1 Gatlinburg, Tennessee 37738

August 29, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM:

EliesColgrove

SUBJECT:

MUR 1141

Please have the attached Memo and Brief distributed to the Commission on an informational basis. Thank you.

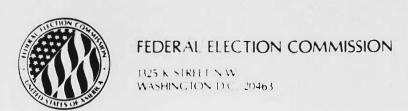
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Han Copy,

MEMORANDUM TO :

THE COMMISSION

FROM:

MARJORIE W. EMMONS/MARGARET CHANEY

DATE:

AUGUST 29, 1980

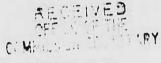
SUBJECT:

Memorandum to the Commission dated 8-29-80 with General Counsel's Brief - MUR 1141

The attached documents are circulated for your information.

ATTIACHMENTS:

1) Memo; 2) Brief; 3) Letter





WASHINGTON DE 2040 80 AUG 29 AIO: 29

August 29, 1980

MEMORANDUM TO: The Commission

FROM:

Charles N. Steel

SUBJECT:

MUR #1141

Attached for the Commission's review is a brief stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. A copy of this brief and a letter notifying the respondent of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe was mailed on August 29, 1980. Following receipt of the respondent's reply to this notice, this office will make a further report to the Commission.

Attachments

- Brief 1.
- 2. Letter to Respondent

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR 1141 Heinsohn for Senate)

GENERAL COUNSEL'S BRIEF

I. Statement of the Case

On January 10, 1980, the Reports Analysis Division referred to the Office of General Counsel the Heinsohn for Senate Committee for its failure to continuously report debts and obligations as required by 2 U.S.C. §434(b)(12). Mr. Douglas L. Heinsohn was a candidate for Senate from Tennessee in the primary election of 1978, and Heinsohn for Senate was his principal campaign committee. Because Mr. Heinsohn did not request a personal waiver of the reporting requirements, both Mr.Heinsohn and his committee were required to file disclosure reports with the Commission. Mr. Heinsohn filed three letters with the Commission: one dated July 5, 1978; one dated July 24, 1978; and one dated September 1, 1978. These reports listed his "personal expenses."

Heinsohn for Senate filed a July 10 Quarterly Report, a 10 Day Pre-Primary Report and a "termination report" dated September 19, 1978. The July 10 Report lists debts of \$24,724.61 owed by the committee. Neither the 10 Day Pre-Primary nor the "termination report" disclose the debts.

On November 27, 1978, the Reports Analysis Division referred to the Office of General Counsel both Mr. Heinsohn and Heinsohn for Senate for their failure to file 30 Day Post-Primary Reports.

-2-On January 8, 1979, the Commission voted to take no further action at that time against Mr. Heinsohn or his committee. The Reports Analysis Division sent Requests for Additional Information (RFAI) to the committee on January 24, 1979. These requests included, among other things, a statement that 11 CFR §104.8(a) required that debts be reported continuously until they C are extinguished and that a committee could not terminate its reporting requirements until the debts are extinguished. The . .committee did not respond to the RFAI. 1 In a letter dated September 1, 1978, Mr. Heinsohn stated that \$26,952.88 was received by the committee from contributors, that \$35,617.98 was expended by him personally and that his expenditures added to those of the committee totaled \$62,570.86. He stated further that all of the primary debts had been retired. -The figures on the committee's and candidate's reports do not total the amount Mr. Heinsohn cites in his September 1st letter, and on March 25, 1980, the Commission determined that a letter should be sent to the candidate and the committee requesting that the reports be clarified. The Commission had previously found on April 30, 1979 that there was reason to believe a violation of 2 U.S.C. §434 had been committed by Heinsohn for Senate. On April 21, 1980, the Commission approved and authorized the issuance of orders, cover letters, and interrogatories to Mr. Heinsohn and Mr. J. Robert Noell, treasurer of Heinsohn for Senate. These items were mailed

on April 24, 1980. Mr. Heinsohn refused his package and Mr. Noell did not claim his.

On June 2, 1980, the Office of General Counsel sent a letter and Marshal Service Forms to the U.S. Marshal in Tennessee requesting that a marshal serve the letters, orders, interrogatories and disclosure report forms on both Mr. Heinsohn and Mr. Noell. Apparently the marshal served the items for Mr. Heinsohn on Mr. Noell and the items for Mr. Noell on Mr. Heinsohn because Mr. Heinsohn wrote to the Commission on July 2, 1980 enclosing the letter, order, and interrogatories addressed to Mr. Noell. Mr. Heinsohn states that "all outstanding debts were transferred to, and paid by me personally upon termination of the Senate primary campaign in September 1978. There are no outstanding debts, all receipts and cancelled checks are in order, and all monies received and spent were handled as outlined in the various reports you were sent." Because neither Mr. Heinsohn nor Mr. Noell received the items intended for him and because the wrong disclosure report forms were included, the letters, orders, interrogatories and forms were re-sent to both Mr. Heinsohn and Mr. Noell on July 7, 1980, by both certified and regular mail.

Both of the letters to Mr. Heinsohn and both of the letters to Mr. Noell were returned to the Commission. Mr. Heinsohn refused to accept his letters. According to the Post Office, Mr. Noell has moved but did not leave a forwarding address.

II. Legal Analysis

The reports filed by Heinsohn for Senate, and the letters filed by Mr. Heinsohn do not clearly represent the financial activities of the committee or the candidate. As illustrated by the attached chart (Attachment 1), it is not evident that Mr. Heinsohn has paid off the debts owed by the committee or even if he paid off the debts he reported in the letters he filed on July 5 and July 24, 1978; also, it is impossible to tell if some transactions are reported by both Mr. Heinsohn and the committee. In his responses to Commission inquiries, Mr. Heinsohn has stated that he paid off all debts, however, he has not supplied disclosure reports which show the identification of each lender to whom money is owed, the amount owed and the amount paid, the date of payment and the purpose of the expenditure. The treasurer, Mr. Noell, has not responded to any of the letters the Commission has sent him.

III. General Counsel's Recommendation

The Office of General Counsel recommends that the Commission find probable cause to believe that Heinsohn for Senate violated 2 U.S.C. § 434(b)(12) by failing to continuously report debts and obligations.

GENERAL COUNSEL

Attachment Chart

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in the party	Recei	pts	Expendi ture	S	. Debts	
	•		Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1029.28 1714.81 131.19 3006.90 1214.86 1900.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4596.00 43,625.80
	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
O Day Pr#-Frimary Report coned by Mr. Moe'll	fremized Unitemized	$\begin{array}{c} 1200.00 \\ 3232.00 \\ 4432.00 \end{array}$	Unitemized	11.62 11.62		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
			Telephone Fostage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain the
September 49 "Termination Report" Etypod by Mr. Bogli	Hemized Pnitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal payment of campaign debt	4525.18 1249.60 21,204.44		
	iv u to-date	27,016.50	Year-to-date	27,004.88 27,016.50		
		Duly 10 charterly Report Signed by Mr. Noell Mr. Heinschn Unitemized O Day Practituary Report Council by Mr. Noell Unitemized Year-to-date vish on hand Outy 21 signed by Mr. Sernation Report Transcent	Transport Tran	Duly 10 Charterly Report liquid b, Mr. Noell litemized 7980.00 Mr. Heinsohn 5000.00 Follow being by Mr. Noell litemized 7980.00 The liquid b, Mr. Noell litemized 5068.50 The liquid by Mr. Noell litemized 5068.50 The liquid by Mr. Noell litemized 5068.50 The liquid by Mr. Noell litemized 5068.50 The liquid liq	Postage 1714.81 131.19 Food-campaign workers 3006.90 Travel & Campaign workers 1214.86 Campaign Workers 1200.00 Miscellaneous 313.25 E592.70	Postage Telephone & Utilities 131.19 Food-campaign workers 3006.90 Travel Acu rental 1214.86 Campaign Workers 1000.00 Miscellaneous 1592.70 Tought O constelly Report depend by Mr. Roell O toy Pro-Primary Report Campaign Workers 1000.00 Unitemized 5000.00 Unitemized 5000.00 Unitemized 1200.00 Unitemized 11.62 Food-campaign workers 1000.00 Miscellaneous 1000.00 Unitemized 5592.70 Rewspaper ads Flyers Steve Harrisonari travel-private plane air travel-private plane O toy Pro-Primary Report 1000.00 Unitemized 11.62 Vari-to-date 22,480.50 Vari-to-date 11.62 Va

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WASHINGTON, D.C. 20463

August 29, 1980

CERTIFIED MAIL RETURN RECEIPT REQUESTED

J. Robert Noell, Treasurer Heinsohn for Senate 717 Broad Street Chattanooga, Tennessee 37402

Re: MUR 1141

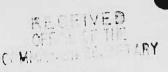
Dear Mr. Noell:

Based on information ascertained in the normal course of carrying out its supervisory responsibilities, the Federal Election Commission, on April 30, 1979, found reason to believe that your committee has violated §434(b)(12) of the Federal Election Campaign Act of 1971, as amended, and instituted an investigation of this matter.

After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. Three copies of such brief should also be forwarded to the Office of General Counsel. The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

-2-A finding of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire. Should you have any questions, please contact Michele D. Brown at 202-523-4175. Sincere rles General Counsel Enclosure Brief Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinburg, Tennessee 37738 C





WASHINGTON D.C. 20463 80 AUG 29 AIO: 29

August 29, 1980

MEMORANDUM TO: The Commission

FROM:

Charles N. Steel

SUBJECT:

MUR #1141

Attached for the Commission's review is a brief stating the position of the General Counsel on the legal and factual issues of the above-captioned matter. A copy of this brief and a letter notifying the respondent of the General Counsel's intent to recommend to the Commission a finding of probable cause to believe was mailed on August 29, 1980. Following receipt of the respondent's reply to this notice, this office will make a further report to the Commission.

Attachments

- 1. Brief
- Letter to Respondent

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR 1141 Heinsohn for Senate)

GENERAL COUNSEL'S BRIEF

Statement of the Case

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Heinsohn for Senate filed a July 10 Quarterly Report, a 10 Day Pre-Primary Report and a "termination report" dated September 19, 1978. The July 10 Report lists debts of \$24,724.61 owed by the committee. Neither the 10 Day Pre-Primary nor the "termination report" disclose the debts.

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III. General Counsel's Recommendation

The Office of General Counsel recommends that the Commission find probable cause to believe that Heinsohn for Senate violated 2 U.S.C. § 434(b)(12) by failing to continuously report debts and obligations.

26 August (CDO)

CHARLES N. STEELE GENERAL COUNSEL

Attachment Chart

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Report Date	Receipts		Expenditure	Debts		
July 5, 1978 signed by Mr. Heinsohn			Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80
July 10 Quarterly Report signed by Mr. Noell	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
10 Day Pre-Primary Report signed by Mr. Noell	Itemized Unitemized	$\begin{array}{r} 1200.00 \\ \underline{3232.00} \\ 4432.00 \end{array}$	Unitemized	$\frac{11.62}{11.62}$		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
July 24 signed by Mr. Heinsohn	. Es		Telephone Postage Office supp lies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain th
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal	4525.18 1249.60	652	
			payment of campaign debt Unitemized	21,204.44 25.66 27,004.88		
	Year-to-date	27,016.50	Year-to-date	27,016.50		



WASHINGTON, D.C. 20463

August 29, 1980

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Re: MUR 1141

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After considering all the evidence available to the Commission, the Office of the General Counsel is prepared to recommend that the Commission find probable cause to believe that a violation has occurred.

Submitted for your review is a brief stating the position of the General Counsel on the legal and factual issues of the case. Within fifteen days of your receipt of this notice, you may file with the Secretary of the Commission a brief (10 copies) stating your position on the issues and replying to the brief of the General Counsel. Three copies of such brief should also be forwarded to the Office of General Counsel. The General Counsel's brief and any brief which you may submit will be considered by the Commission before proceeding to a vote of probable cause to believe a violation has occurred.

-2-A finding of probable cause to believe requires that the Office of General Counsel attempt for a period of not less than thirty, but not more than ninety days to settle this matter through a conciliation agreement. This does not preclude settlement of this matter through informal conciliation prior to a finding of probable cause to believe, if you so desire. Should you have any questions, please contact Michele D. Brown at 202-523-4175. Sincerely Charles N. Steele General Counsel Enclosure Brief Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinburg, Tennessee 37738 دی

7/25/80

Hemorandum

To:

Thefile

From:

Michele Brown

Re:

MUR 1141

We recently requested that the U.S. Marshal serve Mr. Douglas L. Heinsohn and Mr. J. Robert Noell, treasurer of the Heinsohn for Senake Committee, because Mr. Heinsohn had refused his artified letter and Mr. Noell had not claimed his. The marshall served Mr. Noell's letter on Mr. Heinsohn and Mr. Heinsohn's letter on Mr. Noell. Mr. Heinsohn sent the letter (Mr. Noell's) back to the Commission. We re-mailed the correct letters by certified and regular mail to Mr. Hemsohn and Mr. Noell. Mr. Heinsohn has refused both letters and Mr. Noell's letters have been returned marked "moved, left no address". None of the letters have to be resent.

AL ELECTION COMMISSION

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WASHINGTON, D.C. 20463

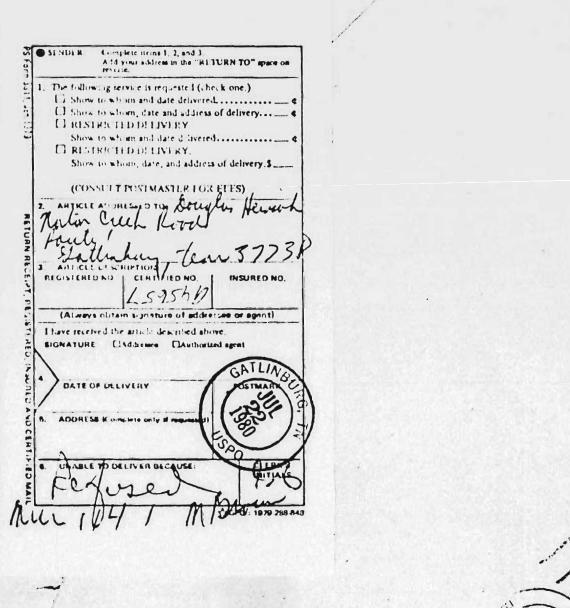
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PERMIT NO. G-31

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AL ELECTION COMMISSION

WASHINGTON, D.C. 20463

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 Broad Street
 Chattanooga, Tennessee 37402

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WASHINGTON, D.C. 20463

MEMORANDUM TO: CHARLES STEELE

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FROM:

MARJORIE W. EMMONS/MARGARET CHANEY

DATE:

JULY 24, 1980

SUBJECT:

MUR 1141 - Interim Investigation Report #3

dated 7-21-80: Received in OCS 7-22-80,

5:51

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 11:00, July 23, 1980.

There were no objections to the Interim Investigation Report at the time of the deadline.

July 22, 1980 MEMORANDUM TO: Marjorie W. Emmas FROM: Elissa T. Garr SUBJECT: MUR 1141 Please have the attached Interim Invest Report distributed to the Commission. Thankyou. 00

BEFORE THE FEDERAL ELECTION COMMISSION July 21, 1980 In the Matter of) Heinsohn for Senate)

INTERIM INVESTIGATION REPORT #3

MUR 1141

Because Mr. Heinsohn had refused to accept his letter containing a Commission Order, chart, interrogatories, and disclosure report forms from the Commission and Mr. Noell, Treasurer of Heinsohn for Senate, had not claimed his letter, these items were sent to the U.S. Marshal in Tennessee for service. It appears that the Marshal served Mr. Noell's letter on Mr. Heinsohn and Mr. Heinsohn's letter on Mr. Noell. Mr. Heinsohn pointed this out in a letter dated July 2, 1980. He did not, however, clarify the transactions made by him or his committee. A letter has been prepared for Mr. Heinsohn and for Mr. Noell enclosing the proper letter, order, chart, interrogatories, and report forms for each. We are in the process of preparing a further report for the Commission's consideration.

21 July 1980

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CHARLES N. STEELE GENERAL COUNSEL



WASHINGTON, D.C. 20463

July 17, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, Tennessee 37402

Re: MUR 1141

Dear Mr. Noell:

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We are in receipt of a letter dated July 2, 1980, from Mr. Heinsohn in which he stated that he received a letter from the Commission addressed to you. Possibly you recieved a letter addressed to Mr. Heinsohn. We apologize for any mix-up in the delivery of the letters.

In Mr. Heinsohn's letter he states that he paid off the outstanding debts of the Heinsohn for Senate Committee and that "all monies received and spent were handled as outlined in various reports" sent to the Commission. However, as explained in our letter of April 24, 1980, to you, the reports which you and Mr. Heinsohn filed are unclear. It appears that the committee and Mr. Heinsohn may have reported the same activity. It is impossible to determine what expenditures were made by the committee rather than by Mr. Heinsohn, what debts Mr. Heinsohn paid for the committee, and the amount Mr. Heinsohn paid to reduce the committee's If you will review the committee's reports and debts. Mr. Heinsohn's reports you will see that the information provided regarding the financial activity of Mr. Heinsolhn's campaign is incomplete. The Commission requires and expects that candidates and committees comply with the law and file reports which are accurate and which can be understood.

J. Robert Noell Page Two Enclosed are the additional disclosure report forms. Please fill them out, answer the enclosed interrogatories and return them within ten days of your receipt of this letter. Absent an adequate response, the Commission may find probable cause to believe a violation of 2 U.S.C. § 434 has occurred and proceed with conciliation. Sinceret General Counsel Enclosures Letter of April 24, 1980 Commission Order Chart of Financial Activity Interrogatories Report Forms 0



WASHINGTON, D.C. 20463

July 17, 1980

Douglas L. Heinsohn Norton Creek Road Route 1 Gatlinburg, Tennessee 37738

Re: MUR 1141

Dear Mr. Heinsoln:

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We are in receipt of your letter dated July 2, 1980. We apologize for the mix-up in the delivery of the letters and for including the incorrect forms.

In your letter you state once again that you paid off the outstanding debts of your campaign committee and that "all monies received and spent were handled as outlined in various reports you were sent." However, as explained in our letter of April 24, 1980, the reports which you and your committee filed are unclear. It appears that you and your committee may have reported the same activity. It is impossible to determine what expenditures were made by you rather than by your committee, what debts you paid for your committee, and the amount you paid to reduce your committee's debts. If you will review your reports and your committee's reports you will see that the information provided regarding the financial activity of your campaign is incomplete. The Commission requires and expects that candidates who run for federal office will comply with the law and file reports which are accurate and which can be understood.

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WASHINGTON, D.C. 20463

July 15, 1980

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TO:

The File

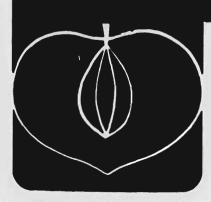
FROM:

Michele Brown

RE:

Marshal Service of Letters to Respondents in MUR 1141

Letters, charts, orders, disclosure report forms, and interrogatories to Douglas Heinsohn, the candidate, and J. Robert Noell, the committee treasurer of Heinsohn for Senate, were returned to the Commission because Mr. Heinsohn had refused his letter and Mr. Noell had not claimed his. I removed the letters, charts, orders, report forms and interrogatories from the envelopes and mailed them to the U.S. Marshal in Tennessee along with a letter to the marshal and Marshal Service Forms. Mr. Heinsohn has written to us enclosing the letter to Mr. Noell which he (Mr. Heinsohn) had been served. The marshal apparently served Mr. Heinsohn with Mr. Noell's letter and Mr. Noell with Mr. Heinsohn's letter. Because I had enclosed the wrong disclosure report forms (presidential instead of authorized committee forms) and because Mr. Heinsohn's letter offers no clarification of either his or his committee's transactions, letters have been prepared to be sent to both Mr. Heinsohn and Mr. Noell enclosing our previous letter, chart, order, interrogatories, and the proper report forms and requesting that Mr. Heinsohn and Mr. Noell provide information to the Commission.



millet, pit a seed co.

Norton Creek Road, Rt. 1 Gatlinburg, Tennessee 37738 615/436-5477

July 2, 1980

the heart of the matter

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Chairperson Tiernan:

908964

Upon my return from a lengthly visit outside the country of find the U.S. Marshall in Knoxville, Tennessee waiting to serve papers on me that emanate from your recently (1971) created bureau crace. Gads, I wonder how many people you him to hamass, bluff, and intimidate the taxpay as that gay your salaries. How much, for inchance, do you carn? How can we fire you?

anyway, more to the point - your communication of april 24, 1980 is directed to J. Robert Wiell. I am returning it to you so you can re mail it to the designated recipient.

Just In the hell of it, or so you bureau enatice parasites will out of my back - all outstanding debts were transferred to, and paid by me personally upon

termination of the Senate grunary comparison in September 1978. There are no outstanding debts, all receipts and concelled checks I are in order, and all monits received and spent were broundled as outlined in the various reports you were sent. I have complied with the law as gassed by Congress and will do not more just to placate your phosonal bureaucratic amogare.

Furthermore, the uncomprehensible mountain of forms you sent me to fell out clearly states:
"This report is to be used by a condidate --seeking nomination or election to the Office of president or Vice President of the United States"

Obviously, you over paid bureau was can meither aget your communications to the people you address them to, nor can you even endose the proper forms.

Douglas (. Heinsohn

Since when do you goons pretend to be able to issue an "Order" as if it came from a bone fido Court?



FEDERAL LLECTION COMMISSION

WASHINGTON D.C. 20463

April 24, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Re: MUR 1141

Dear Mr. Noell:

20

On April 30, 1979, the Commission found reason to believe that the Heinsohn for Senate Committee, violated 2 U.S.C. § 434(b)(12) by its failure to continuously report debts and obligations until they are extinguished. Although Mr. Heinsohn states in his letter of September 1, 1978, that "all my Primary Campaign Debts have been retired," the Commission does not find this readily apparent from the reports filed by the candidate or the committee. Even though the election is over, the Commission will not allow the public record to stand as it is in this matter. The Supreme Court in Buckley v. Valeo indicated the purpose of requiring disclosure and reporting:

...[D] isclosure provides the electorate with information "as to where political campaign money comes from and how it is spent by the candidate" in order to aid the voters in evaluating those who seek federal office. 1/

Enclosed is a schedule of the financial activity of both the candidate and the committee taken entirely from the reports filed with the Commission. Both Mr. Heinsohn and the Heinsohn for Senate Committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether the candidate's activities are totally separate from those of the committee or whether they actually overlap, that is, whether Mr. Heinsohn and the committee list the same transactions.

Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to J. Robert Noell Page 2. Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that the committee and the candidate have repaid all the debts, the committee and the candidate can then request to terminate their respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10. While the Commission acknowledges Mr. Heinsohn's responses written on its previous letters to him, these are not sufficient in clarifying the financial activity of the candidate or the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervissing compliance with the Federal Election Campaign Act of 1971, as amended. You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order. If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175. Sincerely, ာ ROBERT O. TIERNAN Chairman Enclosures Order Chart of Financial Activity Ouestions Report Forms

: 610302451402 UNITED STATES OF AMERICA FEDERAL ELECTION COMMISSION In the Matter of Re: MUR 1141 Heinsohn for Senate ORDER TO: Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

PURSUANT to the authority set forth in Section 437d(a)(1) of Title 2, United States Code, and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby orders you to submit written answers to the attached interrogatories. The answers must be submitted under oath, within ten (10) days of your receipt of this Order, to the Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463, Attention: Office of the General Counsel.

WHEREAS, the Chairman of the Federal Election Commission has hereunto set his hand at Washington, D.C. on this, the 32 day of Opril , 1980.

ATTEST:

Marjorie W. Emmons Secretary Secretary to the Commission

Report Date	Recei	ipts	Expenditure	8	Debts	
July 5, 1978 signed by Mr. Heinsohn			Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80
oly 10 Quarterly Report signed by Mr. Noell	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
10 Day Pre-Primary Report signed by Mr. Noell	Itemized Unitemized	$\frac{1200.00}{3232.00}$ $\frac{4432.00}$	Unitemized	$\frac{11.62}{11.62}$		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
July 24 signed by Mr. Heinsohn			Telephone Postage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain the
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal payment of campaign debt Unitemized	4525.18 1249.60 21,204.44 25.66 27,004.88		
	Year-to-date	27,016.50	Year-to-date	27,016.50		

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Interrogatories - Noell

FEDERAL ELECTION COMMISSION

J. Robert Noell Interrogatories MUR 1141

1. Both Mr. Heinsohn and the Heinsohn for Senate Committee list debts on the reports filed with the Commission. Are any of the debts reported twice, that is, is any debt reported on the candidate's reports also reported on the committee's reports? If so, please indicate which debts are reported twice and indicate whether

the debt is owed by the candidate or owed by the committee.

2a. Has the candidate paid the debts listed on his reports or which he owed?

b. Has the committee paid the debts listed on its reports or which it owed?

3. Please provide the name of vendor; purpose of expenditure; amount owed; date on which the debt was incurred; amount paid, if any; dates of payment, if applicable; and any terms of repayment or loan agreements, if any.

Please provide this information on report forms. Forms are included for your assistance.

FEC Form 3P September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

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REPORT OF RECEIPTS AND EXPENDITURES

By a Candidate or Authorized Committee of a Candidate Seeking Nomination or Election to the Office of President or Vice President of the United States

1 (a) NAME OF CANDIDATE OR COMMITTEE IN FULL Check if name or address is changed	2 IDENTIFICATION NUMBER			
	2 1. 1.			
(b) ADDRESS (Number and Street)	3 is this report of red	3. Is this report of receipts and expenditures for:		
(c) CITY, STATE AND ZIP CODE	GENERAL			
4 TYPE OF REPORT (Check appropriate box and complete, if applicable)	- 70 - 70 - 70 - 10 - 10 - 10 - 10 - 10			
		preceding Election in the State of		
) [] Thirtieth day rep	port following Election in the State of		
CANDIDATE OR COMMITTEE SUMMARY OF RECEIPTS AN	D EXPENDITURE	S		
5 COVERING PERIOD: FROM THROUGH				
Section A — Cash Balance Summary	Column A This Period	Column B Calendar Year-to-Date		
6 Cash on Hand January 1, 19		\$		
7 Cash on Hand at Beginning of Reporting Period	\$			
8 Total Receipts (from Line 23)	\$	\$		
(a) Subtotal (Add Lines 7 and 8)	\$	\$		
9 Total Expenditures (from Line 28)	\$	\$		
10 Cash on Hand at Close of Reporting Period (Subtract Line 9 from Line 8a)	\$	\$		
11 Contributed Items on Hand to be Liquidated (Attach Itemized List) \$				
DEBTS AND OBLIGATIONS				
12 Debts and Obligations Owed TO the Committee (Itemize all on Schedule C-P)	\$			
13 Debts and Obligations Owed BY the Committee (Itemize all on Schedule C-P)	\$			
Section B — Summary of Expenditures Subject to Limitation				
14 Expenditure Total (Add Lines 24c and 26b)	\$	\$		
15 Refunds and Rebates (from Line 21c)	\$	\$		
(a) Expenditures Subject to Limitation (Subtract Line 15 from Line 14)	\$	\$		
(b) Expenditures from Prior Years Subject to Limitation		\$		
(c) Total Expenditures Subject to Limitation (Add Lines 15a and 15b)		\$		
I certify that I have examined this Report, and to the best of my knowledge and belief it is true, co	prrect and complete.			
(Typed Name of Treasurer or Candidate) (Signature of Treasurer or Candidate)	e)	(Date)		
NOTE: Submission of false, erroneous, or incomplete information may subject the pers 2 U.S.C. §437g, §441j; and 26 U.S.C. §9012, §9042.	on signing this Repo	ort to the penalties of		
For further information 1325 K Street, N.W. Any information reported he person for purposes of solicit or Call 800/424-9530				

	me of Candidate or Committee	REPORT COVERIN	G THE PERIOD:
		FROM:	TO:
	RECEIPTS	COLUMN A This Period	COLUMN B Calendar Year-to-Date
16	FEDERAL FUNDS (Itemize on Schedule A-P)	\$	s
17	Contributions from Individuals (including contributions in-kind):		
	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitemized	\$	
	(c) Sales and Collections Included Above:		
	List by Event on Memo Schedule D-P (\$		
	(d) Subtotal of Contributions from Individuals (including contributions in-kind)	\$. \$
18	Transfers In from Political Committees (including contributions in-kind).		
	(a) From Affiliated/Authorized Committee (Itemize on Schedule A-P		
	Regardless of Amount)	s	
	(b) From Other Committees (Itemize on Schedule A-P Regardless of Amount)	\$	
	(c) Subtotal of Transfers In from Political Committees (including contributions in-kind)	\$	\$
19	Other Income:	9	
13			
	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitemized	\$	
00	(c) Subtotal of Other Income	\$	\$
20	Loans and Loan Repayments Received:		
	(a) Itemized (use Schedule A-P)	\$	1
	(b) Unitemized	\$	
	(c) Subtotal of Loans and Loan Repayments Received	\$	\$
21	Refunds, Rebates, Returns of Deposits:		
	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitermized	\$	
	(c) Subtotal of Refunds, Rebates, Returns of Deposits	\$	\$
22	Refunds and Rebates Relating to Exempt Fundraising, Legal and Accounting Fees:		
	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitemized	\$	
	(c) Subtotal	\$	S
23	TOTAL RECEIPTS	S	\$
	EXPENDITURES		
24	EXPENDITURES Outcoming Expenditures		
24	Operating Expenditures:		
24	Operating Expenditures: (a) Itemized (use Schedule B-P)	\$	
24	Operating Expenditures: (a) Itemized (use Schedule B-P)	\$	
	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures		s
	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures:	\$	s
	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P)	\$s	s
	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized.	\$ \$ \$	
25	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures	\$s	\$
25	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out:	\$ \$ \$	
25	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures	\$ \$ \$	
25	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out:	\$	
25	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount)	\$	
25 26	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount)	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	
25 26	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	
25 26	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made:	\$	
25 26	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P)	\$	
25 26 27	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized	\$	\$
25 26 27	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds TOTAL EXPENDITURES	\$	\$ \$
25 26 27	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds.	\$	\$ \$
25 26 27	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds TOTAL EXPENDITURES RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$
25 26 27 28	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized. (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures. Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds TOTAL EXPENDITURES RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$
25 26 27 28 29 30	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized. (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures. Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount). (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount). (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds. TOTAL EXPENDITURES TOTAL EXPENDITURES TOTAL RECEIPTS (from Line 23) TRANSFERS IN (from Line 18a)	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$
25 26 27 28 29 30 31	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized. (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures. Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds. TOTAL EXPENDITURES RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES TOTAL RECEIPTS (from Line 23) TRANSFERS IN (from Line 18a) NET RECEIPTS (Subtract Line 30 from Line 29)	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$
25 26 27 28 29 30 31 32	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds TOTAL EXPENDITURES RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES TOTAL RECEIPTS (from Line 23) TRANSFERS IN (from Line 18a) NET RECEIPTS (Subtract Line 30 from Line 29) TOTAL EXPENDITURES (from Line 28)	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$
25 26 27 28 29 30 31	Operating Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Operating Expenditures Exempt Fundraising, Legal and Accounting Expenditures: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures Transfers Out: (a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount) (b) To Other Committees (Itemize on Schedule B-P Regardless of Amount) (c) Subtotal of Transfers Out Loans, Loan Repayments, and Contribution Refunds Made: (a) Itemized (use Schedule B-P) (b) Unitemized (c) Subtotal of Loans, Loan Repayments, and Contribution Refunds TOTAL EXPENDITURES RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES TOTAL RECEIPTS (from Line 23) TRANSFERS IN (from Line 18a) NET RECEIPTS (Subtract Line 30 from Line 29) TOTAL EXPENDITURES (from Line 28) TRANSFERS OUT (from Line 26a)	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$

FEC Form 3P September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

REPORT OF RECEIPTS AND EXPENDITURES

By a Candidate or Authorized Committee of a Candidate Seeking Nomination or Election to the Office of President or Vice President of the United States

1 (a) NAME OF CANDIDATE OR COMMITTEE IN FULL [3] Check if name or address is changed	2 IDENTIFICATION	NUMBER
	3 Is this report of rece	ipts and expenditures fo
(b) ADDRESS (Number and Street)	□ PRIMARY	
(c) CITY, STATE AND ZIP CODE		
	[] GENERAL	
4 TYPE OF REPORT (Check appropriate box and complete, if applicable)		
	g) 🖾 Termination Repo h) 🖾 Tenth day report p	
(b) ☐ April 10 Quarterly Report (f) ☐ Monthly Report (state month) (on in	the State of
(c) (a) Sury to Quarterly Report (State month)		the State of
CANDIDATE OR COMMITTEE SUMMARY OF RECEIPTS A	ND EXPENDITURES	
5 COVERING PERIOD: FROM THROUGH		
Section A — Cash Balance Summary	Column A This Period	Column B Calendar Year-to-Date
6 Cash on Hand January 1, 19		\$
7 Cash on Hand at Beginning of Reporting Period	\$	
8 Total Receipts (from Line 23)	\$	\$
(a) Subtotal (Add Lines 7 and 8)	\$	\$
9 Total Expenditures (from Line 28)	\$	\$
10 Cash on Hand at Close of Reporting Period (Subtract Line 9 from Line 8a)	\$	\$
11 Contributed Items on Hand to be Liquidated (Attach Itemized List) \$		
DEBTS AND OBLIGATIONS		
12 Debts and Obligations Owed TO the Committee (Itemize all on Schedule C-P)	\$	
13 Debts and Obligations Owed BY the Committee (Itemize all on Schedule C-P)	\$	
13 Debts and Obligations Owed B4 the Committee (Hernize and on Schedule C47)	•	
Section B — Summary of Expenditures Subject to Limitation		
14 Expenditure Total (Add Lines 24c and 26b)	\$	\$
15 Refunds and Rebates (from Line 21c)	\$	s
(a) Expenditures Subject to Limitation (Subtract Line 15 from Line 14)	\$	\$
(b) Expenditures from Prior Years Subject to Limitation		s
(c) Total Expenditures Subject to Limitation (Add Lines 15a and 15b)	3	s
I certify that I have examined this Report, and to the best of my knowledge and belief it is true, c	orrect and complete.	
The trial trial to the bost of my knowledge and bottle it is trace, or	orrect and complete.	
(Typed Name of Treasurer or Candidate) (Signature of Treasurer or Candidate)	te)	(Date)
NOTE: Submission of false, erroneous, or incomplete information may subject the per 2 U.S.C. §437g, §441j; and 26 U.S.C. §9012, §9042.	son signing this Repor	t to the penalties of
For further Federal Election Commission information 1325 K Street, N.W. Any information reported h	erein may not be copied f	or sale or use by any

or Call 800/424-9530

DETAILES SUMMARY OF RECEIPTS AND EXPENSITURES (Page 2, FEC Form 3P)

Nan	ne of Candidate or Committee	REPORT COVERIN	G THE PERIOD:
FROM:		TO	
	RECEIPTS	COLUMN A This Period	COLUMN B Calendar Year to Date
16	FEDERAL FUNDS (Itemize on Schedule A-P)	\$	\$
17	Contributions from Individuals (including contributions in-kind):	and the state of t	
	(a) Itemized (use Schedule A-P)	\$	İ
	(b) Unitemized	\$	
	(c) Sales and Collections Included Above.		i
	List by Event on Memo Schedule D-P (\$)		
	(d) Subtotal of Contributions from Individuals (including contributions in-kind)	\$	\$
18	Transfers In from Political Committees (including contributions in-kind):		
	(a) From Affiliated/Authorized Committee (Itemize on Schedule A.P.	1	;
	Regardless of Amount)	\$	54
	(b) From Other Committees (Itemize on Schedule A-P Regardless of Amount)	\$	
	(c) Subtotal of Transfers In from Political Committees (including contributions in-kind)	\$	\$
19	Other Income:		
	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitemized	\$	*
20	(c) Subtotal of Other Income	9	\$
20	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitemized	\$	
	(c) Subtotal of Loans and Loan Repayments Received	\$	5
21	Refunds, Rebates, Returns of Deposits:		1
۲'	(a) Itemized (use Schedule A-P)	\$	
	(b) Unitemized	\$	
	(c) Subtotal of Refunds, Rebates, Returns of Deposits	\$	5
22	Refunds and Rebates Relating to Exempt Fundraising, Legal and Accounting Fees:		
	(a) Itemized (use Schedule A-P)	\$	
	(b) Uniternized	\$	CARRELL STREET
	(c) Subtotal	\$	\$
23	TOTAL RECEIPTS	\$	\$
	EXPENDITURES		
24	Operating Expenditures:		
	(a) Itemized (use Schedule B-P)	\$	
	(b) Unitemized	\$	
	(c) Subtotal of Operating Expenditures	\$	S
25	Exempt Fundraising, Legal and Accounting Expenditures:		
	(a) Itemized (use Schedule B-P)	\$	
	(b) Unitemized	\$	
	(c) Subtotal of Exempt Fundraising, Legal and Accounting Expenditures	\$	· \$
26	Transfers Out:	•	
	(a) To Affiliated Committees (Itemize on Schedule B-P Regardless of Amount)	\$	
	(b) To Other Committees (Itemize on Schedule B-P Regardless of Amount)	\$	
^-	(c) Subtotal of Transfers Out	\$	\$
27	Loans, Loan Repayments, and Contribution Refunds Made:	•	
	(a) Itemized (use Schedule B-P)	\$	
	(b) Unitemized	\$! S
20	(c) Subtotal of Loans, Loan Repayments, and Contribution Refunds	\$	S
20	TOTAL EXPENDITIONES	4	-
	RECEIPTS AND EXPENDITURES, NET OF TRANSFERS TO AND FROM AFFILIATED COMMITTEES		
	TOTAL RECEIPTS (from Line 23)	\$	
20		\$	The Part Contin
	TRANSFERS IN (from Line 18a)	May be a second	
30	TRANSFERS IN (from Line 18a)	\$	
30 31	NET RECEIPTS (Subtract Line 30 from Line 29)	\$	
30 31 32	NET RECEIPTS (Subtract Line 30 from Line 29)	\$ \$	
30 31 32 33	NET RECEIPTS (Subtract Line 30 from Line 29)	\$	

C.,

SCHEDULE A.P. September 1978 Federal Election Commission 1325 K Street, N.W.

ITEMIZED RECEIPTS (Contributions, Transfers, Contributions In-Kind, Federal Funds, Other Income, Loans, Refunds) Washington, D.C. 20463

Page ... of ... for LINE NUMBER

(Use separate schedule(s) for each numbered line)

Supporting Lines 16, 17a, 18a, 18b, 19a, 20a, 21a and 22a of FEC Form 3P

NOTE: Any information reported herein may no purpose.	t be copied for sale or use by any person for purposes of so	oliciting contributions	or for any commercial
Name of Candidate or Committee in Full			
A. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
B. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
C. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date ▶ \$		
D. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
E. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
F. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
SUBTOTAL of Receipts This Page (optional)			\$
TOTAL This Period (last page this line number or			\$

SCHEDULE A-P

30

September 1978
Federal Election Commission
1325 K Street, N.W.
Washington, D.C. 20463

ITEMIZED RECEIPTS

(Contributions, Transfers, Contributions In-Kind, Federal Funds, Other Income, Loans, Refunds)

Supporting Lines 16, 17a, 18a, 18b, 19a, 20a, 21a and 22a of FEC Form 3P

Page	of	for
LINE	NUMBER	

Name of Candidate or Committee in Full			
A. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
B. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
C. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date ▶ \$		
D. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
E. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date ▶ \$		
F. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		

SCHEDULE A.P. September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

ITEMIZED RECEIPTS (Contributions, Transfers, Contributions In-Kind, Federal Funds, Other Income, Loans, Refunds)

(Use separate schedule(s) for each numbered line)

_ of ____ for

Page ____ of ___ LINE NUMBER ___

Supporting Lines 16, 17a, 18a, 18b, 19a, 20a, 21a and 22a of FEC Form 3P

NOTE: Any information reported herein may no purpose.	ot be copied for sale or use by any person for purposes of sa	oliciting contributions	or for any commercial
Name of Candidate or Committee in Full			
A. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date ▶ \$		
B. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		V_
	Aggregate Year-To-Date > \$		
C. 1 off Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
D. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date > \$		
E. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date ▶ \$		
F. Full Name, Mailing Address and ZIP Code		Date (month, day, year)	Amount of each receipt this period
Principal Place of Business	Occupation		
	Aggregate Year-To-Date	Land	
SUBTOTAL of Receipts This Page (optional)			\$
	nly)		\$

SCHEDULE B-P September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

00

ITEMIZED EXPENDITURES

(Operating, Exempt Fundraising, Legal and Accounting, Transfers Out, Contributions In-Kind, Loans, Loan Repayments and Refunds Made)

Supporting Lines 24a, 25a, 26a, 26b, and 27a of FEC Form 3P

Page	of	for
LINE	NUMBER	

NOTE: Any information reported herein may not be copied for sale or use by any person for purposes of soliciting contributions or for any commercial purpose.			
Name of Candidate or Committee in Full			
A. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
B. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
C. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
D. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
E. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
F. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period
G. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
H. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period
SUBTOTAL of Expenditures This Page (optional)			s
TOTAL This Period (last page this line number only)			\$

SCHEDULE B-P September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

ITEMIZED EXPENDITURES

(Operating, Exempt Fundraising, Legal and Accounting, Transfers Out, Contributions In-Kind, Loans, Loan Repayments and Refunds Made)

Supporting Lines 24a, 25a, 26a, 26b, and 27a of FEC Form 3P

Page_	of	for
LINE	NUMBER	

Name of Candidate or Committee in Full			
A. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period
B. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
C. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
D. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period
E. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
F. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
G. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
H. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
SUBTOTAL of Expenditures This Page (optional)			\$
TOTAL This Period (last page this line number only)			\$

SCHEDULE B.P.

September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

ITEMIZED EXPENDITURES

(Operating, Exempt Fundraising, Legal and Accounting, Transfers Out, Contributions In-Kind, Loans, Loan Repayments and Refunds Made)

Supporting Lines 24a, 25a, 26a, 26b, and 27a of FEC Form 3P

Page	of	for
LINE NUMI	BER	

Name of Candidate or Committee in Full			
A. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
3. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
C. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
). Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
F. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
3. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period
1. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period

SCHEDULE CP

121

C

September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC Form 3P

Page of	or
Line Number	
(Use Separate Schedules each numbered line)	for

Name of Candidate and Committee in Full				
A. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
NATURE OF OBLIGATION (Details of Debt):		\$	s	\$
B. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
		\$	s	s
NATURE OF ORLIGATION (Details of Debt)				+
NATURE OF OBLIGATION (Details of Debt):				
NATURE OF OBLIGATION (Details of Debt): C. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Belance at Close of This Period
C. Full Name, Mailing Address and ZIP Code of Debtor or Creditor		Debt, Contract, Agreement or	Payment	at Close of
		Debt, Contract, Agreement or Promise	Payment To Date	at Close of This Period
C. Full Name, Mailing Address and ZIP Code of Debtor or Creditor		Debt, Contract, Agreement or Promise	Payment To Date	at Close of This Period
C. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	day, year)	Debt, Contract, Agreement or Promise	Payment To Date	at Close of This Period

SCHEDULE C.P.

C

(3

September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

DEBTS AND OBLIGATIONS

Supporting Line Numbers 12 and 13 of FEC Form 3P

Pege of fo	or
Line Number	
(Use Separate Schedules f	or

Name of Candidate and Committee in Full				
A. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulativa Payment To Date	Outstanding Balanc at Close of This Period
		s	\$	s
NATURE OF OBLIGATION (Details of Debt):				
B. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
	1 2 A		4 18 × 1.5	
NATURE OF OBLIGATION (Details of Debt):		\$	\$	\$
	Date (month, day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	
		Amount of Original Debt, Contract, Agreement or	Cumulative Payment	Outstanding Balance at Close of
NATURE OF OBLIGATION (Details of Debt): C. Full Name, Mailing Address and ZIP Code of Debtor or Creditor NATURE OF OBLIGATION (Details of Debt):		Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
C. Full Name, Mailing Address and ZIP Code of Debtor or Creditor	day, year)	Amount of Original Debt, Contract, Agreement or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period

SCHEDULE D-P September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

ITEMIZED RECEIPTS SALES AND COLLECTIONS FOR LINE NUMBER 17c ONLY OF FEC FORM 3P

(See Instructions on Back)

Page ____ of ___ for

LINE NUMBER 17c

Name of Candidate or Committee	n Full			
Total Proceeds During the Reporting 1. Subtotal Receipts from the Sale	ng Period: of Tickets (list by event below and enter t	from subtotal Column A)	·	\$
2. Subtotal Receipts from Mass Co	ollections (list by event below and enter fro	om subtotal Column B)**		s
	of Items (not listed below)			
LIST OF SALES AND COLLECT				
LOCATION (Site, City	and State) AND TYPE OF EVENT	Date of Event (month, day, year)	COLUMN A Amount from Sale of Tickets this Period*	COLUMN B Amount from Mass Collections this Period**
			\$	s
(2008)				9
SUBTOTAL this period	***********		\$*	\$**

SCHEDULE G-P September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

LIQUIDATION OF ADVANCES

(Optional)

Page	of	

Name of Candidate or Committee in Full						
Full Name, Mailing Address and ZIP Code of Advance Recipie	Full Name, Mailing Address and ZIP Code of Advance Recipient					
1. Unliquidated Advance Beginning of Period			\$			
A. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period			
B. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period			
C. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period			
D. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period			
E. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period			
F. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each ex- penditure this period			
G. Full Name, Mailing Address and ZIP Code	Particulars of Expenditure	Date (month, day, year)	Amount of each expenditure this period			
6. SUBTOTAL of Expenditures This Page (Optional)			\$			
7. TOTAL of Uniterrized Expenditures			s			
8. TOTAL Liquidated This Period (Add Lines 6 and 7)			s			

FEC Form 3Pb September 1978 Federal Electron Commission 1325 K Street, N.W. Washington, D.C. 20463

CONSOLIDATION REPORT OF RECEIPTS AND EXPENDITURES

(To Be Used By A Principal Campaign Committee)

Nar	ne of Principal Campaig	gn Committee				Haport Covering	Period:	
						FROM	TO	
				(a)	(b)	(c)	(d)	(e)
		COMMITTEE NAME		Line No. 7 Cash on hand at beginning of period	Line No. 17 Total contributions from individuals	Cine No. 18(a) Total transfers from affibated/ authorized committees	Line No. 18(b) Total transfers from other political committees	Line No. 19 Total other income
А								
В								
С								
D								
Ε.	•							
F								
G								
Н								
1								
K	Column Total This P	Page						
L	1	Page Only						
	(1)	(g)	(h)	(1)	(0)	(k)	(1)	(m)
	Line No. 20 Total loans and loan repayments received	Line No. 21 Total refunds, rebates, returns of deposits received	Line No. 22 Refunds and rebates relating to exempt fundraising, and leg. and acct. fees	Line No. 23 Total receipts (total columns (h) through (h))	Line No. 24 Total operating expenditures	Line No. 25 Exempt fundraising, and legal and accounting expenditures	Line No. 26(a) Total transfers out to affiliated/ authorized committees	Line No. 26(b) Total transfers out to other committees
Α			LUCIAL					
В								
С								
0			1					
ŧ								
F								
G	(-1							
++								
,								
K								
1								
	(n)	(0)	(p)	(a)	(1)			
	Line No. 27 Loans, loan repayments and contribution	Line No. 28 Total expenditures (total columns (j)	Line No. 10 Cash on hand at end of period	Line No. 12 Debts and obligations owed TO the committee	Line No. 13 Debts and obliga- tions owed BY the committee		Receipts and Expenditur (last page only) umn (i)). \$ strom Line No. 16	es of all Committees
	refunds made	through (n))					iign Committee) \$	
A						3 Total Receipts	s	
B C						4 Less total transfers		
D							\$	NU.14
E							n column (o)) \$_	
F						7. Less total transfers		
н						- (0)		
1						8. Net Expenditures		
1						Expenditures subject : 9. Operating expendit		
K L			1-4-01-0			(0)	\$ \$	
						10. Add transfers out (f		
						12. Less total refunds a	and rebates (from	TO THE SERVICE SERVICE
						13. Total expenditures	subject to	

:0

FEC Form 3Pc September 1978 Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

Allocation of Primary Expenditures by State for a Presidential Candidate

(TO BE USED ONLY IN CAMPAIGNS RECEIVING FEDERAL FUNDS)

1(a) Name of Principal Car	2 Identification Num	2 Identification Number			
(b) Committee Address		3 Name of Candidate			
(c) City, State and ZIP co	ode				
		ALLOCATIO	ON BY STATE		
STATE	ALLOCATION THIS PERIOD	YEAR TO DATE ALLOCATION	STATE	ALLOCATION THIS PERIOD	YEAR TO DATE ALLOCATION
Alabama			Nebraska		
Alaska			Nevada		
Arizona			New Hampshire		
Arkansas		Canada de Canada	New Jersey		
California			New Mexico		
Colorado			New York		
Connecticut			North Carolina		
Delaware			North Dakota		
District of Columbia		*** * * * * * * * * * * * * * * * * * *	Ohio		
Florida	and the second s		Oklahoma		
Georgia			Oregon		
Hawaii	-,	80/2 11 10 11 15	Pennsylvania		
daho			Rhode Island		
Illinois			South Carolina		
ndiana			South Dakota		
lowa			Tennessee		
Cansas		THE PARTY OF THE P	Texas		
Kentucky			Utah		
Louisiana			Vermont		
Maine			Virginia		
Maryland			Washington		
Massachusetts			West Virginia		
Michigan			Wisconsin		
Minnesota			Wyoming		
Mississippi			Puerto Rico	Z.1. 14 S. Z.1. 11 S. Z.	
Missouri			Guam		
Montana			Virgin Islands		
Column Totals		The second secon	Column Totals		
4 Total Allocated Expendit	tures			s	\$
5 Total Non-allocated Expe			1 N 200 M	s	s
6 Total Campaign Expendit	tures (Lines 4 & 5)				\$

Doug HEINSOHN
Route 1
Gatlinburg, TN. 37776

UNITED STATES MARSHAL

OFFICIAL BUSINESS



RETURN RECEIPT REQUESTED

3

Chairperson Robert O. Tiernan Federal Election Commission WASHINGTON, D.C.

20463



3.69

6-13 DB 1850

U.S. MARSHALS SERVICE PROCESS RECEIPT and RETURN

INSTRUCTIONS: See "INSTRUCTIONS FOR SERVICE OF PROCESS BY THE U.S. MARSHAL" on the reverse of the last (No.5) copy of this form. Please type or print lend tilly insuring readability of all copies. Do not detech any correspondent

PR	OCESS RECEIPT and RETURN	(No 5) copy of this readability of all cor		be or print legt by insuring a ach any copies	
PLAINTIF F				COURT CALL NUMBER	1111
	Federal Election Com	mission		MUK	1141
DEFENDANT					er, Order,
	Heinsohn for Senate			and Interroga	THE STREET AND ADDRESS OF THE PARTY AND ADDRES
SERVE	NAME OF INDIVIDUAL, COMPANY, CORPORA	TION, ETC., TO SERVE OR L	DESCRIPTION	OF PROPERTY TO SEIZE C	OR CONDI MM
	Douglas L. Heinsohn				
^	NDDRESS Street or RFD, Apartment No. City. St	ate and ZIP Code)			
AT	Route 1	07700			
	Gatlinburg, Tennessee				
SEND NOTICE (OF SERVICE COPY TO REQUESTER AT NA	ME AND ADDRESS BELOV		er of process to be	
	AND CONTRACTOR OF THE PROPERTY		servec	with this Form 285	1
1	Gary L. Johansen	100 A -01	Numbe	er of parties folder	
	Assistant General Cou			In this case) ,
	Federal Election Comm	nission			λ^2
HU	1325 K Street, N.W.			for Service)
	Washington, D.C. 204	OF ALTERNATION AND ADDRESS OF THE REAL PROPERTY.	on U.S	1	
	UCTIONS OR OTHER INFORMATION THAT WIL	L ASSIST IN EXPEDITING : I	RVICE (Includ	e Busine is and Alternate Ad	ddrevsen. Ail Leas, non
Numbers, and E Fold	istimated Times Available For Service:				T _C .
		0		D.1	
	Plaintiff is Federal	Government ag	ency.	Please do not	
	bill for service.				
Tacknowledgere total rumber of p (Sign ord), for a Ur than one Ur M. 28	rocesh Ndicated CONTRACT Miles thin in Street Contract 65 (a submitted Contract L. On the Contract Con	MARSHAL ONLY — District to Serve	DANI 202	M Deputy of Car	30, 1980 LINE - 13 80
the resp, comments as	nd return that it is nave per smally carveld. It is			e eterta i jiho while. He marke Etual Company — rpo rate	
	50		1/2 14	ver (See remarks to low)	
	<u></u>		W 3		
			XIVE	A person of suita	
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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

June 2, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. William J. Evins
U.S. Marshal
Room 866
U.S. Courthouse
Nashville, Tennessee

NOT MITH DISTRICT
MAILED TO EITH DISTRICT
BY Registerd Mail on 4/12/80

37203

RE: MUR 1141

Dear Mr. Evins:

Attached are a Marshal Service form, a letter, a Federal Election Commission Order, and Interrogatories for Mr. Douglas L. Heinsohn and a Marshal Service form, a letter, a Federal Election Commission Order, and Interrogatories for Mr. J. Robert Noell. We request that a marshal serve both men. If you have any questions or require further information, please contact Gary L. Johansen at 202/523-4143.

Sincere.

Charles N. Steele General Counsel

Attachments

C.

Marshal Service Form, Letter, Order, and Interrogatories for Douglas L. Heinsohn
Marshal Service Form, Letter, Order, and Interrogatories for J. Robert Noell

U.S. MARSHALS SERVICE PROCESS RECEIPT and REJURN

INSTRUCTIONS: See "INSTRUCTIONS FOR SERVICE OF PROCESS BY THE U.S. MARSHAL" on the reverse of the last (No.5) copy of this form. Please typical readability of all copies. Do not declarately copies.

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REMARKS

U.S. MARSHALS SERVICE PROCESS RECEIPT and RETURN

INSTRUCTIONS: See "INSTRUCTIONS FOR SERVICE OF PROCESS BY THE U.S. N. HAL" on the reverse of the last (No.5) copy of this form. Place type or print legibly, insuring readability of all copies. Do not detach any copies.

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REMARKS

DEPARTMENT OF JUSTICE
EASTERN DISTRICT OF TENNESSEE
RETURN IN FIVE DAYS TO
OFFICE OF
UNITED STATES MARSHAL
KNOXVILLE, TENNESSEE 37901

OFFICIAL BUSINESS
PENALTY FOR PRIVATE USE, \$300

POSTAGE AND FEES PAID
U.S. DEPARTMENT OF JUSTICE
JUS-431



Gary L. Johansen Assistant General Counsel Federal Election Commission 1325 K Street, NW Washington, D. C. 20463



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FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS/MARGARET CHANEY

DATE: JUNE 10, 1980

SUBJECT: MUR 1141 - Interim Investigative Report #2

dated 6-6-80; Received in OCS 6-6-80,

4:41

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 11:00, June 9, 1980.

There were no objections to the Interim Investigative Report at the time of the deadline.

June 6, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM:

LO

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Elissa T. Garr

SUBJECT:

MUR 1141

Please have the attached Interim Invest Report distributed to the Commission. Thank you.

BEFORE THE FEDERAL ELECTION COMMISSION

INTERIM INVESTIGATIVE REPORT #2

The Office of General Counsel has sent a letter to the U.S. Marshal in Tennessee enclosing Marshal Service Forms, and Letters, Commission Orders, Questions, and Report Forms which had been mailed to Mr. Heinsohn and Mr. Noell but which were refused. We have requested that the Marshal serve these materials on Mr. Heinsohn and on Mr. Noell. Respondents will have ten days from their receipt of the letters in which to respond.

6 5 1980 Date

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General Counsel



FEDERAL ELECTION COMMISSION

WASHINGTON D.C. 20463

June 2, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. William J. Evins
U.S. Marshal
Room 866
U.S. Courthouse
Nashville, Tennessee 37203

RE: MUR 1141

Dear Mr. Evins:

Attached are a Marshal Service form, a letter, a Federal Election Commission Order, and Interrogatories for Mr. Douglas L. Heinsohn and a Marshal Service form, a letter, a Federal Election Commission Order, and Interrogatories for Mr. J. Robert Noell. We request that a marshal serve both men. If you have any questions or require further information, please contact Gary L. Johansen at 202/523-4143.

Sincerel

Charles N. Steele General Counsel

Attachments

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Marshal Service Form, Letter, Order, and Interrogatories for Douglas L. Heinsohn Marshal Service Form, Letter, Order, and Interrogatories for J. Robert Noell Government Printing Office 1978-253 756

U.S. MARSHALS SERVICE PROCESS RECEIPT and RETURN

INSTRUCTIONS: See INSTRUCTIONS FOR SERVICE OF PROCESS BY THE U.S. MARSHAL for the reverse of the last (No. 5) copy of this form. Please type or print legibly, insuring readability of all copies. Do not detrich any copies.

Federal Election Commission Heinsohn for Senate SERVE NAME OF INDIVIDUAL COMPANY, COMPORATION, ETC. TO SERVE OR DESCRIPTION OF PROPERTY TO SEIZE OR CO. J. Robert Noell. Treasurer, Heinsohn for Senate	Order
Heinsohn for Senate and Interrogato	
SERVE NAME OF INDIVIDUAL, COMPANY, CORPORATION, ETC., TO SERVE OR DESCRIPTION OF PROPERTY TO SEIZE OR CO	
J. Robert Noell. Treasurer Heinschn for Senate	NDEMN
ADDRESS (Street or RFD, Apartment No., City, State and ZIP Code)	
AT 717 Broad Street Chattanooga, Tennessee 37402	
SEND NOTICE OF SERVICE COPY TO REQUESTER AT NAME AND ADDRESS BELOW Number of process to be served with this Form 285	
	1
Gary L. Johansen Number of parties to be	
Assistant General Counsel served in this case	
Federal Election Commission	2
1325 K Street, N.W. Checkfor service	
washington, b.c. 20403	
SPECIAL INSTRUCTIONS OR OTHER INFORMATION THAT WILL ASSIST IN EXPEDITING SERVICE (Include Business and Alternate Address Numbers, and Estimated Times Available For Service):	
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U.S. MARSHALS SERVICE PROCESS RECEIPT and RETURN

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Federal Election Commission

DEFENDANT

Heinsohn for Senate

intormoci. Letter, Order, and Interrogatories

SERVE

NAME OF INDIVIDUAL COMPANY, CORPORATION, ETC., TO SERVE OR DESCRIPTION OF PROPERTY TO SELLE OR CONDEMN

Douglas L. Heinsohn

ADDRI SS (Street or RED, Apartment No., City, State and ZIP Code)

Route 1

Gatlinburg, Tennessee 37738

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Gary L. Johansen Assistant General Counsel Federal Election Commission 1325 K Street, N.W. Washington, D.C. 20463

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Check for service

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Numbers, and Estimated Times Available For Service)

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

April 24, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Douglas L. Heinsohn Route 1 Gatlinburg, TN 37738

Re: MUR 1141

Dear Mr. Heinsohn:

On April 30, 1979, the Commission found reason to believe that your committee, Heinsohn for Senate, violated 2 U.S.C. § 434(b)(12) by its failure to continuously report debts and obligations until they are extinguished. Although you state in your letter of September 1, 1978, that "all my Primary Campaign Debts have been retired," the Commission does not find this readily apparent from the reports filed by you and your committee. Even though the election is over, the Commission will not allow the public record to stand as it is in this matter. The Supreme Court in Buckley v. Valeo indicated the purpose of requiring disclosure and reporting:

...[D] isclosure provides the electorate with information "as to where political campaign money comes from and how it is spent by the candidate" in order to aid the voters in evaluating those who seek federal office. 1/

Enclosed is a schedule of the financial activity of both you and your committee taken entirely from the reports filed with the Commission. Both you and your committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether your activities are totally separate from those of the committee or whether they actually overlap, that is, whether both you and the committee list the same transactions.

^{1/} Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to Douglas Heinsohn Page 2. Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that you and your committee have repaid all the debts, you and your committee can then request to terminate your respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10. While the Commission acknowledges your responses written on its previous letters to you, these are not sufficient in clarifying the financial activity of you and the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervising compliance with the Federal Election Campaign Act of 1971, as amended. You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order. If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175. Sincerely, 40 Chairman Enclosures Order Chart of Financial Activity Questions Report Forms

1031945174 UNITED STATES OF AMERICA

FEDERAL ELECTION COMMISSION

In the Matter of

Heinsohn for Senate

Re: MUR 1141

ORDER

TO: Mr. Douglas L. Heinsohn

Route 1

Gatlinburg, TN 37738

PURSUANT to the authority set forth in Section 437d(a)(1) or Title 2, United States Code, and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby orders you to submit written answers to the attached interrogatories. The answers must be submitted under oath, within ten (10) days of your receipt of this Order, to the Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463, Attention: Office of the General Counsel.

WHEREAS, the Chairman of the Federal Election Commission has hereunto set his hand at Washington, D.C. on this, the 🔁 of april , 1980.

ATTEST:

Secretary to the Commission

Report Date	Receipts		Expenditures		Debts	
July 5, 1978 signed by Mr. Heinsohn			Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80
July 10 Quarterly Report signed by Mr. Noell	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
10 Day Pre-Primary Report signed by Mr. Noell	Itemized Unitemized	$\begin{array}{r} 1200.00 \\ 3232.00 \\ \hline 4432.00 \end{array}$	Unitemized	$\frac{11.62}{11.62}$		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
July 24 signed by Mr. Heinsohn	1000 No.		Telephone Postage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain th
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	$\begin{array}{r} 1600.00 \\ 2936.00 \\ \hline 4536.00 \end{array}$	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal	4525.18 1249.60		
			payment of campaign debt Unitemized	$ \begin{array}{r} 21,204.44 \\ \underline{25.66} \\ 27,004.88 \end{array} $		
	Year-to-date	27,016.50	Year-to-date	27,016.50		

8107014.

Interrogatories - Heinsohn

FEDERAL ELECTION COMMISSION

Douglas L. Heinsohn Interrogatories MUR 1141

- 1. Both you and your committee list debts on the reports filed with the Commission. Are any of the debts reported twice, that is, is any debt reported on your reports and also reported on the committee's reports? If so, please indicate which debts are reported twice and indicate whether the debt is owed by you or owed by the committee.
- 2a. Have you paid the debts listed on your reports or which you owed?
- b. Has the committee paid the debts listed on its reports or which it owed?
- Please provide the name of vendor; purpose of expenditure; amount owed; date on which the debt was incurred; amount paid, if any; dates of payment, if applicable; and any terms of repayment or loan agreements, if any.

Please provide this information on report forms. Forms are included for your assistance.



WASHINGTON, D.C. 20463.

April 24, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Re: MUR 1141

Dear Mr. Noell:

On April 30, 1979, the Commission found reason to believe that the Heinsohn for Senate Committee, violated 2 U.S.C. § 434(b)(12) by its failure to continuously report debts and obligations until they are extinguished. Although Mr. Heinsohn states in his letter of September 1, 1978, that "all my Primary Campaign Debts have been retired," the Commission does not find this readily apparent from the reports filed by the candidate or the committee. Even though the election is over, the Commission will not allow the public record to stand as it is in this matter. The Supreme Court in Buckley v. Valeo indicated the purpose of requiring disclosure and reporting:

...[D]isclosure provides the electorate with information "as to where political campaign money comes from and how it is spent by the candidate" in order to aid the voters in evaluating those who seek federal office. 1/

Enclosed is a schedule of the financial activity of both the candidate and the committee taken entirely from the reports filed with the Commission. Both Mr. Heinsohn and the Heinsohn for Senate Committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether the candidate's activities are totally separate from those of the committee or whether they actually overlap, that is, whether Mr. Heinsohn and the committee list the same transactions.

Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to J. Robert Noell Page 2. Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that the committee and the candidate have repaid all the debts, the committee and the candidate can then request to terminate their respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10. While the Commission acknowledges Mr. Heinsohn's responses written on its previous letters to him, these are not sufficient in clarifying the financial activity of the candidate or the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervising compliance with the Federal Election Campaign Act of 1971, as amended. You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order. If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175. Sincerely, ROBERT O. TIERNAN Chairman Enclosures Chart of Financial Activity Ouestions Report Forms

810319451 UNITED STATES OF AMERICA FEDERAL ELECTION COMMISSION In the Matter of Re: MUR 1141 Heinsohn for Senate ORDER TO: Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402 PURSUANT to the authority set forth in Section 437d(a)(1) of Title 2, United States Code, and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby orders you to submit written answers to the attached interrogatories. The answers must be submitted under oath, within ten (10) days of your receipt of this Order, to the Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463, Attention: Office of the General Counsel. WHEREAS, the Chairman of the Federal Election Commission has hereunto set his hand at Washington, D.C. on this, the 32 day , 1980. ATTEST: Marjorie W. Emmons Secretary to the Commission

Report Date	Receipts		Expenditures		Debts	
July 5, 1978 signed by Mr. Heinsohn			Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80
July 10 Quarterly Report gned by Mr. Noell	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
10 Day Pre-Primary Report signed by Mr. Noell	Itemized Unitemized	$\begin{array}{c} 1200.00 \\ 3232.00 \\ \hline 4432.00 \end{array}$	Unitemized	$\frac{11.62}{11.62}$		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
July 24 signed by Mr. Heinsohn			Telephone Postage Office supplies Pravel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstanding same"	ng remain the
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal payment of campaign debt	4525.18 1249.60 21,204.44		
			Unitemized	25.66 27,004.88		
	Year-to-date	27,016.50	Year-to-date	27,016.50		

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8 1 1 3 1 2 4 3 6 8 3 Interrogatories - Noell FEDERAL ELECTION COMMISSION Interrogatories MUR 1141 J. Robert Noell 1. Both Mr. Heinsohn and the Heinsohn for Senate Committee list debts on the reports filed with the Commission. Are any of the debts reported twice, that is, is any debt reported on the candidate's reports also reported on the committee's reports? If so, please indicate which debts are reported twice and indicate whether the debt is owed by the candidate or owed by the committee. 2a. Has the candidate paid the debts listed on his reports or which he owed? b. Has the committee paid the debts listed on its reports or which it owed? Please provide the name of vendor; purpose of expenditure; amount owed; date on which the debt was incurred; amount paid, if any; dates of payment, if applicable; and any terms of repayment or loan agreements, if any. Please provide this information on report forms. Forms are included for your assistance.



WASHINGTON D.C. 20463

MEMORANDUM TO: CHARLES STEELE

FROM:

MARJORIE W. EMMONS/MARGARET CHANEY

DATE:

10

MAY 13, 1980

SUBJECT:

MUR 1141 - Interim Investigative Report #1, dated 5-9-80. Received in OCS

5-9-80, 4:20

The above-named document was circulated to the Commission on a 24 hour no-objection basis at 11:00, Monday, May 12, 1980.

There were no objections to the Interim Investigative Report at the time of the deadline.

May 9, 1980 MEMORANDUM TO: Marjorie W. Emmons Elissa T. Garr FROM: MUR 1141 SUBJECT: 1.25 Please have the attached Interim Invest Report 00 distributed to the Commission. Thank you. 10 7 C. C -C 8

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR 1141) Heinsohn for Senate)

INTERIM INVESTIGATIVE REPORT #1

On March 25, 1980, the Commission directed the Office of General Counsel to send letters to Mr. Heinsohn and Mr. Noell, the treasurer of Heinsohn for Senate, regarding the committee's failure to continuously report its debts and obligations until extinguished. The Commission directed the Office of General Counsel to state in the letters that the Commission would not allow the public record, consisting of unclear reports, to remain as it was and to outline the steps the candidate and his treasurer should take to clarify this matter. On April 21, 1980, the Commission approved the letters and authorized the issuance of orders to answer interrogatories. The Office of General Counsel mailed the letters on April 24, 1980. Both letters have been returned to the Commission. Mr. Heinsohn's letter was marked "refused"; no explanation was given on the letter to Mr. Noell.

The Office of General Counsel is in the process of contacting the respondents to determine if the addresses are correct and, if so, will have the U.S. Marshal serve the letters.

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Charles N. Steel

General Counsel



WASHINGTON D.C. 20463

April 24, 1980

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Douglas L. Heinsohn Route 1 Gatlinburg, TN 37738

Re: MUR 1141

Dear Mr. Heinsohn:

On April 30, 1979, the Commission found reason to believe that your committee, Heinsohn for Senate, violated 2 U.S.C. § 434(b)(12) by its failure to continuously report debts and obligations until they are extinguished. Although you state in your letter of September 1, 1978, that "all my Primary Campaign Debts have been retired," the Commission does not find this readily apparent from the reports filed by you and your committee. Even though the election is over, the Commission will not allow the public record to stand as it is in this matter. The Supreme Court in Buckley v. Valeo indicated the purpose of requiring disclosure and reporting:

...[D] is closure provides the electorate with information "as to where political campaign money comes from and how it is spent by the candidate" in order to aid the voters in evaluating those who seek federal office. 1/

Enclosed is a schedule of the financial activity of both you and your committee taken entirely from the reports filed with the Commission. Both you and your committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether your activities are totally separate from those of the committee or whether they actually overlap, that is, whether both you and the committee list the same transactions.

^{1/} Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to Douglas Heinsohn Page 2. Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that you and your committee have repaid all the debts, you and your committee can then request to terminate your respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10. While the Commission acknowledges your responses written on its previous letters to you, these are not sufficient in clarifying the financial activity of you and the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervising compliance with the Federal Election Campaign Act of 1971, as amended. You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order. If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175. Sincerely, ROBERT O. TIERNAN Chairman Enclosures Order Chart of Financial Activity Ouestions Report Forms

8 1 0 3 0 2 4 5 1 8 9 UNITED STATES OF AMERICA FEDERAL ELECTION COMMISSION In the Matter of Re: MUR 1141 Heinsohn for Senate ORDER TO: Mr. Douglas L. Heinsohn Route 1 Gatlinburg, TN 37738 PURSUANT to the authority set forth in Section 437d(a)(1) of Title 2, United States Code, and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby orders you to submit written answers to the attached interrogatories. The answers must be submitted under oath, within ten (10) days of your receipt of this Order, to the Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463, Attention: Office of the General Counsel. WHEREAS, the Chairman of the Federal Election Commission has hereunto set his hand at Washington, D.C. on this, the 22 day of april , 1980. ATTEST:

Secretary to the Commission

Tule: 5 1070 - i 1 1						
July 5, 1978 signed by Mr. Heinsohn			Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	$ \begin{array}{c} 1020.28 \\ 1714.81 \\ 131.19 \\ 3006.90 \\ 1214.86 \\ 1000.00 \\ \underline{313.25} \\ 8592.70 \end{array} $	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80
signed by Mr. Noell	Itemized Mr. Heinsohn Unitemized	7980.00 5000.00 5068.50 18,048.50			Newspaper ads Flyers Steve Harrison- air travel- private plane	21,429.76 2045.25 1249.60 24,724.61
	Itemized Unitemized	$\frac{1200.00}{3232.00}$ $\frac{4432.00}$	Unitemized	$\frac{11.62}{11.62}$		
	Year-to-date Cash on hand	22,480.50 22,468.88	Year-to-date	11.62		
July 24 signed by Mr. Heinsohn			Telephone Postage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain the
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	$\frac{1600.00}{2936.00}$ $\overline{4536.00}$	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal	4525.18 1249.60		
			payment of campaign debt Unitemized	$\begin{array}{r} 21,204.44 \\ \underline{25.66} \\ 27,004.88 \end{array}$		
	Year-to-date	27,016.50	Year-to-date	27,016.50		

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Interrogatories - Heinsohn

FEDERAL ELECTION COMMISSION

Douglas L. Heinsohn Interrogatories MUR 1141

1. Both you and your committee list debts on the reports

- 1. Both you and your committee list debts on the reports filed with the Commission. Are any of the debts reported twice, that is, is any debt reported on your reports and also reported on the committee's reports? If so, please indicate which debts are reported twice and indicate whether the debt is owed by you or owed by the committee.
- 2a. Have you paid the debts listed on your reports or which you owed?
- b. Has the committee paid the debts listed on its reports or which it owed?
- 3. Please provide the name of vendor; purpose of expenditure; amount owed; date on which the debt was incurred; amount paid, if any; dates of payment, if applicable; and any terms of repayment or loan agreements, if any.

Please provide this information on report forms. Forms are included for your assistance.



WASHINGTON, D.C. 20463

April 24, 1980

CERTIFIED MAIL RETURN RECEIPT REQUESTED

Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Re: MUR 1141

Dear Mr. Noell:

On April 30, 1979, the Commission found reason to believe that the Heinsohn for Senate Committee, violated 2 U.S.C. § 434(b)(12) by its failure to continuously report debts and obligations until they are extinguished. Although Mr. Heinsohn states in his letter of September 1, 1978, that "all my Primary Campaign Debts have been retired," the Commission does not find this readily apparent from the reports filed by the candidate or the committee. Even though the election is over, the Commission will not allow the public record to stand as it is in this matter. The Supreme Court in <u>Buckley v. Valeo</u> indicated the purpose of requiring disclosure and reporting:

...[D] isclosure provides the electorate with information "as to where political campaign money comes from and how it is spent by the candidate" in order to aid the voters in evaluating those who seek federal office. 1/

Enclosed is a schedule of the financial activity of both the candidate and the committee taken entirely from the reports filed with the Commission. Both Mr. Heinsohn and the Heinsohn for Senate Committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether the candidate's activities are totally separate from those of the committee or whether they actually overlap, that is, whether Mr. Heinsohn and the committee list the same transactions.

Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to J. Robert Noell Page 2.

Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that the committee and the candidate have repaid all the debts, the committee and the candidate can then request to terminate their respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10.

While the Commission acknowledges Mr. Heinsohn's responses written on its previous letters to him, these are not sufficient in clarifying the financial activity of the candidate or the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervising compliance with the Federal Election Campaign Act of 1971, as amended.

You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order.

If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175.

Sincerely,

ROBERT O. TIERNAN

Chairman

Enclosures

Order Chart of Financial Activity Questions Report Forms UNITED STATES OF AMERICA

FEDERAL ELECTION COMMISSION

In the Matter of Heinsohn for Senate

Re: MUR 1141

ORDER

TO: Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

PURSUANT to the authority set forth in Section 437d(a)(1) of Title 2, United States Code, and in furtherance of its investigation in the above-captioned matter, the Federal Election Commission hereby orders you to submit written answers to the attached interrogatories. The answers must be submitted under oath, within ten (10) days of your receipt of this Order, to the Federal Election Commission, 1325 K Street, N.W., Washington, D.C. 20463, Attention: Office of the General Counsel.

WHEREAS, the Chairman of the Federal Election Commission has hereunto set his hand at Washington, D.C. on this, the day, of Ophil , 1980.

obert O. Tiernan

Chairman

ATTEST:

Marjorie W. Emmons

Secretary to the Commission

Report Date	Receip	ts	Expenditure	5	Debts	
July 5, 1978 signed by Mr. Heinsohn Taly 10 Quarterly Report	Itemized	7980.00	Motels and meals Postage Telephone & Utilities Food-campaign workers Travel & car rental Campaign Workers Miscellaneous	1020.28 1714.81 131.19 3006.90 1214.86 1000.00 313.25 8592.70	Printing Air conditioner repair Advertising Travel-private plane Office supplies Telephone Newspaper ads	4919.26 47.56 32550.00 1500.00 102.98 4506.00 43,625.80 21,429.76
sed by Mr. Noell	Mr. Heinsohn Unitemized	5000.00 5068.50 18,048.50			Flyers Steve Harrison- air travel- private plane	2045.25 1249.60 24,724.61
fo Day Pre-Primary Report signed by Mr. Noell	Itemized Unitemized Year-to-date	$ \begin{array}{r} 1200.00 \\ 3232.00 \\ \hline 4432.00 \end{array} $ 22,480.50	Unitemized Year-to-date	$\frac{11.62}{11.62}$ 11.62		
-	Cash on hand	22,468.88	lear-co-date	11.02	E206	
July 24 signed by Mr. Heinsohn			Telephone Postage Office supplies Travel	251.87 1797.95 189.80 1651.13 3890.75	"Debts outstandin same"	g remain the
September 19 "Termination Report" signed by Mr. Noell	Itemized Unitemized	1600.00 2936.00 4536.00	Watsline, yardsigns Steve Harrison-air travel-private plane Mr. Heinsohn-personal payment of campaign debt Unitemized	4525.18 1249.60 21.204.44 25.66 27,004.88		
	Year-to-date	27,016.50	Year-to-date	27,016.50		

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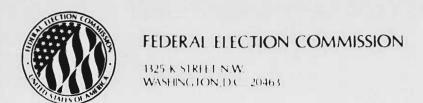
Interrogatories - Noell

FEDERAL ELECTION COMMISSION

J. Robert Noell Interrogatories MUR 1141

- Both Mr. Heinsohn and the Heinsohn for Senate Committee list debts on the reports filed with the Commission. Are any of the debts reported twice, that is, is any debt reported on the candidate's reports also reported on the committee's reports? If so, please indicate which debts are reported twice and indicate whether the debt is owed by the candidate or owed by the committee.
- 2a. Has the candidate paid the debts listed on his reports or which he owed?
- b. Has the committee paid the debts listed on its reports or which it owed?
- 3. Please provide the name of vendor; purpose of expenditure; amount owed; date on which the debt was incurred; amount paid, if any; dates of payment, if applicable; and any terms of repayment or loan agreements, if any.

Please provide this information on report forms. Forms are included for your assistance.



MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS/MARGARET CHANEY

DATE: APRIL 22, 1980

SUBJECT: ORDERS IN RELATION TO MUR 1141

The attached orders, approved by a vote of 4-1 on April 21, 1980, have been signed and sealed this date.

ATTACHMENTS:

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1) Heinsohn: 2) Noell

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of) MUR 1141 Weinsohn for Senate)

CERTIFICATION

I, Marjorie W. Emmons, Secretary to the Federal
Election Commission, do hereby certify that on April 21,
1980, the Commission decided by a vote of 4-1 to approve
and authorize the issuance of the orders, cover letters
and questions, as attached to the Memorandum to the
Commission dated April 16, 1980, to Douglas L. Heinsohn
and J. Robert Noell, treasurer of the Heinsohn for Senate
Committee.

Commissioners Friedersdorf, Harris, Reiche, and Tiernan voted affirmatively. Commissioner Aikens dissented.

Attest:

4-21-80

Date

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/ Marjorie W. Emmons Secretary to the Commission



WASHINGTON D.C. 20463

MRMORANDUM TO: CHARLES STEELE

FROM:

MANJOICE W. EMMONS AMADAN SE CHARLEY ME

DACE: APRIL 21, 1980

SUBJECT: COMMENTS REGARDING MUR 1141

Attached by a convol Commissioner Aikens'

whose which which has been med within her vote on the above-named MUP.

ATTACHMENT: Copy of Vote Sheat



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MASSIMETON DE 2046)

DATE AND TIME TRANSMITTED: THURSDAY, 4-17-8:

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COMMISSIONER AIKINS, FRIEDERSDORF, HARRIS, McCARRY, REICHE, TIEPNAN
RETURN TO OFFICE OF COMMISSION SECRETARY BY: MONDAY, APRIL 21, 1980
MUR NO. 1141 - Memorandum to the Commission dated 4-16-80, "Authorization to Issue Orders in Connection with MUR 1141"
() I approve the recommendation and issuance of subposed/orders.
() I object to the recommendation and issuance of subposess/orders.
COMMENTS: 10 mg "15" Hor to to the treed on according
Date: + 17) Signature: 1/320 Signature

THE OFFICE OF GENERAL COUNSEL WILL TAKE NO ACTION IN THIS MATTER UNTIL THE APPROVAL OF FOUR COMMISSIONERS IS RECEIVED. PLEASE RETURN ALL PAPERS NO LATER THAN THE DATE AND TIME SHOWN ABOVE TO THE OFFICE OF COMMISSION SECRETARY. ONE OBJECTION PLACES THE ITEM ON THE EXECUTIVE SESSION AGENDA.

April 16, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM: Elissa T. Garr

SUBJECT: MUR 1141

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Please have the attached Memo distributed to the Commission on a 48 hour tally basis. Thank you.





FEDERAL ELECTION COMMISSION BUAPRIS P3: 31

MEMORANDUM

April 16, 1980

TO:

The Commission

FROM:

Charles N. Steele General Counsel

RE:

Authorization to Issue Orders in

Connection with MUR 1141

On Tuesday, March 25, 1980, the Commission directed the Office of General Counsel to prepare letters to Mr. Heinsohn, the candidate, and Heinsohn for Senate, his campaign committee, informing them that further information is needed in order to clarify this matter.

Attached are copies of letters and questions to the candidate and his committee. Because past responses from the candidate have not adequately addressed the requests for additional information or the reason to believe letter sent to the committee and because the committee itself has not responded, the Office of General Counsel recommends that the attached orders be issued to Mr. Heinsohn and his committee.

Recommendation

Approve and authorize the issuance of the attached orders, cover letters and questions to Douglas L. Heinsohn and J. Robert Noell, treasurer of the Heinsohn for Senate Committee.

Attachments

CL

- 1. Authorization form
- 2. Order to Mr. Heinsohn
- 3. Letter to Mr. Heinsohn
- 4. Questions to Mr. Heinsohn
- 5. Order to Mr. Noell
- 6. Letter to Mr. Noell
- 7. Questions to Mr. Noell
- 8. Chart of Financial Activity



WASHINGTON D.C. 20463

AUTHORIZATION TO ISSUE ORDERS

The Commission hereby authorizes the issuance of orders to the following persons in connection with MUR 1141(80):

Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Mr. Douglas L. Heinsohn Route 1 Gatlinburg, TN 37738

Robert O. Tiernan Chairman

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Thomas E. Harris Commissioner

Max L. Friedersdorf Vice Chairman John W. McGarry Commissioner

Joan D. Aikens Commissioner Frank P. Reiche Commissioner



WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Douglas L. Heinsohn Route 1 Gatlinburg, TN 37738

Re: MUR 1141

Dear Mr. Heinsohn:

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On April 30, 1979, the Commission found reason to believe that your committee, Heinsohn for Senate, violated 2 U.S.C. § 434(b)(12) by its failure to continuously report debts and obligations until they are extinguished. Although you state in your letter of September 1, 1978, that "all my Primary Campaign Debts have been retired," the Commission does not find this readily apparent from the reports filed by you and your committee. Even though the election is over, the Commission will not allow the public record to stand as it is in this matter. The Supreme Court in Buckley v. Valeo indicated the purpose of requiring disclosure and reporting:

...[D]isclosure provides the electorate with information "as to where political campaign money comes from and how it is spent by the candidate" in order to aid the voters in evaluating those who seek federal office. 1/

Enclosed is a schedule of the financial activity of both you and your committee taken entirely from the reports filed with the Commission. Both you and your committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether your activities are totally separate from those of the committee or whether they actually overlap, that is, whether both you and the committee list the same transactions.

Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to Douglas Heinsohn Page 2. Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that you and your committee have repaid all the debts, you and your committee can then request to terminate your respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10. While the Commission acknowledges your responses written on its previous letters to you, these are not sufficient in clarifying the financial activity of you and the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervising compliance with the Federal Election Campaign Act of 1971, as amended. You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order. If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175. Sincerely, 3 Enclosures Chart of Financial Activity Ouestions



WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Re: MUR 1141

Dear Mr. Noell:

CO

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Enclosed is a schedule of the financial activity of both the candidate and the committee taken entirely from the reports filed with the Commission. Both Mr. Heinsohn and the Heinsohn for Senate Committee report debts, however, very often these debts and expenditures are listed by the purpose of the expenditure rather than by the vendor involved. It is difficult to determine whether the candidate's activities are totally separate from those of the committee or whether they actually overlap, that is, whether Mr. Heinsohn and the committee list the same transactions.

^{1/} Buckley v. Valeo, 424 U.S. 1, 66 (1976), quoting H.R. Rep. No. 92-564, 92nd Cong., 1st Sess.4 (1971).

Letter to J. Robert Noell Page 2. Committees and candidates must report all debts and obligations until they are extinguished. If all of the debts have been repaid, then more specific information is required to clarify this. Also, if the reports are clarified and it is determined definitely that the committee and the candidate have repaid all the debts, the committee and the candidate can then request to terminate their respective reporting requirements. If there are still outstanding debts, you may wish to forward to the Commission a debt settlement request in accordance with 11 C.F.R. § 114.10. While the Commission acknowledges Mr. Heinsohn's responses written on its previous letters to him, these are not sufficient in clarifying the financial activity of the candidate or the committee. Consequently, the Federal Election Commission has issued the attached order which requires you to provide information which will assist it in carrying out its statutory duty of supervising compliance with the Federal Election Campaign Act of 1971, as amended. You may consult an attorney and have an attorney assist you in the preparation of your responses to this order. However, it is required that you submit the information under oath and that you do so within ten days of your receipt of this order. If you have any questions, please direct them to Michele Brown, the staff member handling this matter at (202) 523-4175. Sincerely, CC Enclosures Chart of Financial Activity Ouestions

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Heinsohn for Senate	

CERTIFICATION

I, Marjorie W. Emmons, Recording Secretary for the Federal Election Commission's Executive Session on March 25, 1980, do hereby certify that the Commission decided by a vote of 5-1 to direct the Office of the General Counsel to send a letter to the candidate and the campaign committee in MUR 1141 informing them that the Commission will not allow the public record to stand as it is in this matter, and what steps the candidate and Committee must take to clear the public record in this matter.

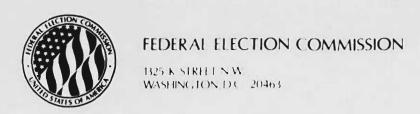
Commissioners Friedersdorf, Harris, McGarry, Reiche, and Tiernan voted affirmatively for the action; Commissioner Aikens dissented.

Attest:

V Marjorie W. Emmons Secretary to the Commission

nosjevie W. Emmone

MUR 1141



MEMORANDUM TO: CHARLES STEELE

FROM: MARJORIE W. EMMONS/MARGARET CHANEY

DATE: MARCH 20, 1980

SUBJECT: MUR 1141 - First General Counsel's Report

dated 3-14-80

Further discussion with Commission Aikens regarding her objection to the above-named MUR has resulted in the decision to place MUR 1141 on the Executive Session Agenda for March 25, 1980.

1325 K STREET N.W. WASHINGTON, D.C., 20463

MEMORANDUM TO:

CHARLES STEELE

FROM:

MARJORIE W. EMMONS/MARGARET CHANEY

DATE:

MARCH 19, 1980

SUBJECT:

OBJECTION - MUR 1141 - First General

Counsel's Report dated 3-14-80; Received

in OCS 3-14-80, 10:14

The above-named document was circulated on a 48 hour vote basis at 2:00, March 14, 1980.

Commissioner Aikens submitted an objection at 12:17, March 19, 1980. Contrary to normal procedures, Commissioner Aikens has requested that MUR 1141 not be placed on the executive session agenda and has offered comments regarding the proposed letter referred to in Recommendation No. 3 of the First General Counsel's Report. A copy of the vote sheet is attached for your information.

Since it is not possible to certify the First General Counsel's Report at this point, this office will await further instruction from you.

Also attached is a copy of Commissioner Reiche's vote sheet with his comments regarding MUR 1141.

ATTACHMENTS: Vote Sheets (2)



FEDERAL ELECTION COMMISSION

MISK STREET NW WASHINGTON D.C. 20463

Date and Time Transmitted: FRIDAY, 3-14-90

Commissioner FRIEDERSDORF, AIKENS, TIERNAN, MCGARRY, REICHE, HAPRIS

RETURN TO OFFICE OF COMMISSION SECRETARY BY: TUESDAY, MARCH 1980

MUR No. 1141 - First General Counsel's Report dated 3-14-80

I approve the recommendation

() I object to the recommendation

comments: Change the type in the proposed letter, to continue this matter would be close to parasament.

Date: 3/18/80 Signature: Jank J. Keiche

THE OFFICE OF GENERAL COUNSEL WILL TAKE NO ACTION IN THIS MATTER UNTIL THE APPROVAL OF FOUR COMMISSIONERS IS RECEIVED. PLEASE RETURN ALL PAPERS NO LATER THAN THE DATE AND TIME SHOWN ABOVE TO THE OFFICE OF COMMISSION SECRETARY. ONE OBJECTION PLACES THE ITEM ON THE EXECUTIVE SESSION AGENDA.





FEDERAL ELECTION COMMISSION

NICHELLONDE 20463

1 12:17

Date and Time Transmitted: FRIDAY, 3-14-90

Commissioner FRIEDERSDORF, AIKENS, TIERNAN, MCGARRY, REICHE, HAPRIS

RETURN TO OFFICE OF COMMISSION SECRETARY BY: TUESDAY, MARCH 19, 1980.

MUR No. 1141 - First General Counsel's Report dated 3-14-90

(I approve the recommendation

(1) I object to the recommendation - no need to place on afrida -

comments: regard paragraph of letter sixual be

Date: 3-17-80 Signature: ________

THE OFFICE OF GENERAL COUNSEL WILL TAKE NO ACTION IN THIS MATTER UNTIL THE APPROVAL OF FOUR COMMISSIONERS IS RECEIVED. PLEASE RETURN ALL PAPERS NO LATER THAN THE DATE AND TIME SHOWN ABOVE TO THE OFFICE OF COMMISSION SECRETARY. ONE OBJECTION PLACES THE ITEM ON THE EXECUTIVE SESSION AGENDA.

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March 14, 1980

MEMORANDUM TO: Marjorie W. Emmons

FROM:

Elisaa T. Garr

SUBJECT:

MUR 1141

Pleasehave the attached First GC Report on MUR 1141 distributed to the Commission on a 48 hour tally basis. Thank you.

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FEDERAL ELECTION COMMISSION 1325 K Street, N.W. Washington, D.C. 2046

FIRST GENERAL COUNSEL'S REPORT

DATE AND TIME OF TRANSMITT BY OGC TO THE COMMISSION	3-14-80	MUR # 1141 STAFF MEMBER(S) Michele Brown	
SOURCE OF MUR: I N T E	RNALLY GENERA	TED	
RESPONDENT'S NAME:	Heinsohn for Senate		6
RELEVANT STATUTE:	2 U.S.C. §434(b)(12)).r. (27	
INTERNAL REPORTS CHECKED:	Doug Heinsohn Heinsohn for Senate	.1.	
TEDERAL AGENCIES CHECKED:	None		
	SUMMARY OF ALLEGATIONS		

On January 10, 1980, the Reports Analysis Division referred the Committee, Heinsohn for Senate, for its failure to continuously report debts and

Tobligations as required by 2 U.S.C. §434(b)(12).

(2)

FACTUAL AND LEGAL ANALYSIS

In the primary election in 1978, Mr. Heinsohn was a democratic candidate for Senate in Tennessee. Mr. Heinsohn's principal campaign committee was the Heinsohn for Senate Committee. Because Mr. Heinsohn did not file a waiver with the Commission, both he and his committee were required to file disclosure reports. Mr. Heinsohn filed three letters with the Commission, one dated July 5, 1978, one dated July 24, 1978, and one dated September 1, 1978, listing his "personal expenses." The committee filed a July 10 Quarterly Report, a 10 Day Pre-Primary Report, and a

"termination report" dated September 19, 1978. The committee's July 10 Quarterly Report lists debts of \$24,724.61 owed by the committee. Neither the 10 Day Pre-Primary Report nor the "termination report" mentions the debts. However, one of the expenditures listed on the "termination report" (\$1,249.60 - Steve Harrison for air travel) had also been listed as a debt on the July 10 Quarterly Report. On November 27, 1978, the Reports Analysis Division referred both Mr. Heinsohn and his committee to the Office of General Counsel for their failure to file 30 Day Post-Primary Reports. The Commission voted on January 8, 1979, to take no further action against Mr. Heinsohn or his committee. On January 24, 1979, the Reports Analysis Division sent requests for additional information to the committee, including, among other things, a statement indicating that 11 C.F.R. §104.8(a) requires that debts be reported continuously until they are extinguished and that a committee may not terminate its reporting requirements until all debts are extinguished. The committee, however, did not respond to the RFAI. In a letter dated September 1, 1978 (Attachment 2), Mr. Heinsohn Cistates that \$26,952.88 was received by the committee from contributors, that \$35,617.98 was expended by him personally, and that his expenditures added to those of the committee totaled \$62,570.86. He further stated that all of the primary debts had been retired. Although the figures reported on the committee's reports and the candidate's reports do not total the exact amount Mr. Heinsohn cites in his September 1st letter, it appears that both he and the committee attempted to make proper disclosure. It is possible that some of the campaign expenditures were listed by both Mr. Heinsohn and the committee in their reports. As Mr. Heinsohn in his letter of September 1st states that all campaign debts have been retired, as he was a candidate only in the primary election -in 1978 and as the Commission had previously determined to take no further action against the candidate or committee for failure to file 30 Day Post-Primary Reports, the Office of General Counsel recommends that no further action be taken against the Heinsohn Committee for failure to continuously report debts as required by 2 U.S.C. §434(b)(12). RECOMMENDATION 1. Take no further action with regard to the Heinsohn for Senate Committee. 2. Close the file. 3. Approve and authorize the sending of the attached letter to the committee treasurer, Mr. Noell, and Mr. Heinsohn. ATTACHMENTS Referral Letter from Mr. Heinsohn Letter to Mr. Noell, Treasurer, and Mr. Heinsohn

RAD 395 गलित १ के कि 🌋 REFER TO INSTRUCTIONS ON REVERSE SIDE BEFORE COMPLETING

	/ REPO	RTS ANALYS	IS REFERRAL	SHEET;		
DATE	Kanuary 10	1980	ANALYST _	Anthony R		
TO: OGC		A	TEAM CHIEF			
THROUGH:	STAFF DIRECTOR	Mxi.	COMPLIANCE	REVIEW	Carroll Box	ven CB B
FROM:	ASSISTANT STAF	F DIRECTOR	FOR REPORTS	ANALYSIS	idel	
					V	
CANDIDATE/C TREASURER: ADDRESS:		Heinsohn Fo J. Robert N 717 Broad S Chattanooga	oell treet	00097519	MURII	<i>t</i>
AFFILIATE(S)	•					
	iled to provide of debts during	CITE:	2 U.S.C.43	, (4(b)(12)	ATTACH 2	IMENT(S)
g Non		INITIATED: Other:			ATTACH	MENT
□ Spec	cial Project:					
	reports within the diewed, see Attachmen		low have receive	ed initial bas	ic review. For	all reports
PERIOD COVE	RED FROM	5-4-73	Т	06-30-	-78	
TOTAL RECEI	PTS \$		TOTAL EXPE	NDITURES	s <u>-0-</u>	
CASH ON HAN	ID \$		DEBTS \$ _	24,724		
HISTORY:						
RESULTS OF I	REVIEW:				ATTACH	<i>IMENT</i>
RFAI sent for	r July 10, July 10	O Amended,				
RTB sent 4-	rimary and Termina 30-79.	ation Report	S;		3 4	
COMMUNICAT	TIONS WITH CANDIE	ATE/COMMIT	TTEE:		ATTACE	<i>IMENT</i>
Committee die	d not return calls	3.				
DEACON(S) E	OR REFERRAL:				ATTACE	IMENT
Debt discrepa	ancies meet Divisi	ion			ATTACL	1142.41
threshold for No committee						
OTHER PENDI	ING ACTIONS INITIA	ATED BY RAD):		ATTACI	HMENT
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pertinent to	the allegations. GC/AUDIT RF FERR		ND:			
Candidate rei	ferred to OGC for	non-filer o			ary '78 - 11	
		-73	Octobe	r 10 '78 -	5/30/79 (NF	
Committee rei	Ferr ed to OGC for	non-filer:		NO	FURTHER ACT	10N - 10/10/79

ATTACHMENT !

._ 30 Day Post Primary '78 - 11/28/78 (NF 153) NO FURTHER ACTION - 12/26/78 RAD Form 1 REVISED Oct. 1979

REFER TO INSTRUCTIONS ON REVERSE SIDE BEFORE CONTLETING REPORTS ANALYSIS REFERRAL SHEET Anthony Raymond ANALYST _ DATE TEAM CHIEF Suzanne Wilson Sw OCC TO: Carroll Bowen C STAFF DIRECTOR COMPLIANCE REVIEW _ THROUGH: ASSISTANT STAFF DIRECTOR FOR REPORTS ANALYSIS FROM: CANDIDATE/COMMITTEE: Heinsohn For Senate C00097519 TREASURER: MUR114 J. Robert Noell 717 Broad Street ADDRESS: Chattanooga, TN 37402 AFFILIATE(S): ALLEGATION(S): CITE: ATTACHMENT(S) 2 U.S.C.434(b)(12) Committee failed to provide the status of debts during the reporting cycle.
DATE INITIATED: 1-24-79 MANNER IN WHICH REVIEW WAS INITIATED: R Normal Review Other: ATTACHMENT Special Project: REPORTS: All reports within the dates listed below have received initial basic review. For all reports reviewed, see Attachment 1. **TO** ___6-30-78 5-4-73 PERIOD COVERED FROM _ TOTAL RECEIPTS \$ 18,048 TOTAL EXPENDITURES \$__ 24,724 DEBTS \$ _ CASH ON HAND \$. HISTORY: RESULTS OF REVIEW: ATTACHMENT REAI sent for July 10, July 10 Amended, 10 Day Pre-Primary and Termination Reports; RTB sent 4-30-79. COMMUNICATIONS WITH CANDIDATE/COMMITTEE: **ATTACHMENT** Crrittee did not return calls. REASON(S) FOR REFERRAL: ATTACHMENT Debt discrepancies meet Division threshold for referral. No committee response. OTHER PENDING ACTIONS INITIATED BY RAD: ATTACHMENT

OTHER RELEVANT INFORMATION: Communications between RAD and the candidate indicate candidate's reporting problems and unwillingness to cooperate. These problems not directly pertinent to the allegations PREVIOUS OGC/AUDIT REFERRALS FROM RAD: Candidate referred to OGC for non-filer on:

دی

ATTACHMENT

Committee referred to OGC for non-filer:

30 Day Post Primary '78 - 11/28/78 (NF 153) NO FURTHER ACTION - 12/26/78 October 10 *78 - 5/30/79 (NF 224) NO FURTHER ACTION - 10/10/79

30 Day Post Primary '78 - 11/23/78 (NF 153) NO FURTHER ACTION - 12/75/78

ATTACHMENT

RAD Form 1

FEDERAL ELECTION COMMISSION ? 1 8

COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C) 1977-1970

DATE 4DEC79 PAGE

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FEDERAL ELECTION COMMISSION

1125 K STRILLENW WASHINGTON, D.C. 20163

January 24, 1979

Mr. J. Robert Noell, Treasurer HEINSTEN FOR SELECTE 717 Broad St. Chattanooga, TN 37402

Dear Mr. Noell:

LO

This letter is prompted by our interest in assisting Senate candidates and committees who wish to comply with the Federal Election Campaign Act.

During review of the and Termination Report of Receipts and Expenditures, we noted that you contrad certain information or tade apparent machinatical errors in certain entries. Attached is an itemization of the information requested.

While we recognize the difficulties you may have experienced in filling our the reporting forms, we must ask that you supply the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, with the missing information within fifteen (15) days from the date of this letter. If you have any questions, please do not besitate to contact MIII Coopel you have any questions, please analysis Division on the toll free number (800)424-9520. Our local number is (202)525-1048.

Sincerely,

Colors B. Pour.

Orlando B. Potter Staff Director

Attachment FEC Form 12



EDERAL ELECTION COMMISSION 1325 K Street, N.W. Washington, D.C. 20463 DATE: Jan 24, 1979 HEINSOHN FOR SENATE/IN TO: I.D. NO.: C00097519 REQUEST FOR ADDITIONAL INFORMATION FOR THE REPORT OF RECEIPTS AND EXPENDITURES COVERING THE PERIOD. THROUGH _ PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED. A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s). Please provide the required data, as indicated (x): __ Coverage Dates: ___ omitted or ___ incorrect ___ Summary Page Line(s): ___ Column(s): ___ Totals: ___ omitted or ___incorrect _ Detailed Summary (Page 2) Line(s):___ Column(s):___ Totals:___ ___omitted or ___incorrect Schedule Totals: ____ disagree with Detailed Summary (Page 2) or ____ omitted 10 Date(s): ___omitted or ___ inadequate for Schedule(s) ___ Line(s) ___ Full Name(s)/Mailing Address(es): ____omitted or ____ inadequate for Schedule(s) ___ Line(s)___ X Occupation/Principal Place(s) of Business: X omitted or ___inadequate for Schedule(s) A Line(s)14_ Election Designation: ___omitted or ___inadequate for Schedule(s)___ Line(s)_ * Aggregate Year-to-Date Totals: **X omitted or **_______________inadequate for Schedule(s) **A. Line(s) 14 ___ Nature or Purpose of Expenditure: ___ omitted or ___ inadequate for Schedule(s)___ Line(s)__ X Nature or Purpose of Receipt: ___ omitted or _X inadequate for Schedule(s) _A Line(s) 14_ __ Inadequate Description of: ___ proceeds ___dates ___events ___location of Schedule __ Signature: ___ omitted ___ inadequate

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

X Other: Please provide further clarification concerning the contribution from The

report. Please resubmit a signed copy of your report.

Golden Council of Trusts for \$500.

11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original

Senate filers should file their submission(s) with the Secretary of the Senate. Office of Public Records. 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration. 1036 Longworth House Office Building, Washington, D.C. 20515.

FEC Form 12 (Revised November 1978)

PEDERACTIC TION TO WAR IN Payo Tho 1325 K Street, N.W. Washington, D.C. 20463 DATE: Jan 24, 1979 HEINSOHN FOR SPINATE I.D. NO.: C00097519 REQUEST FOR ADDITIONAL INFORMATION FOR THE July 10 Amendment
AND EXPENDITURES COVERING THE PERIOD 5/4/78 THROU . THROUGH _6/30/78 PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED. A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s). Please provide the required data, as indicated (x): __ Coverage Dates: ___ omitted or ___ incorrect Summary Page Line(s): ___ Column(s): ___ Totals: ___ ___ omitted or ___incorrect Detailed Summary (Page 2) Line(s): ____ Totals: _____ omitted or ____incorrect 1 Schedule Totals: ___ disagree with Detailed Summary (Page 2) or ___ omitted In Date(s): X_omitted or ___ inadequate for Schedule(s) B_ Line(s) 20_ Full Name(s)/Mailing Address(es): X omitted or _____ inadequate for Schedule(s) B Line(s) 20 Occupation/Principal Place(s) of Business: ___omitted or ___inadequate for Schedule(s)___ Line(s)_ Election Designation: ___omitted or ___imadequate for Schedule(s)__ Line(s)__ ___ Aggregate Year-to-Date Totals: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___ X Nature or Purpose of Expenditure: ____ omitted or _X inadequate for Schedule(s) B _ Line(s) 20 _____ Nature or Purpose of Receipt: ____ omitted or ____ inadequate for Schedule(s) ___ Line(s) ____ __ Inadequate Description of: ___ proceeds ___ dates ___ events ___ location of Schedule _omitted X inadequate Signature: . 11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report. X Other: Please be advised that the term "travel" does not constitute adequate disclosure. Please amend your report to indicate the particulars of the expenditure described as "travel" for \$1,651.13. Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address.

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (Ilouse) or 523-1474 (Party).

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FEULICAL ELECTION CONDIBSION 1325 K Street, N.W. Washington, D.C. 20463

O: HEINSOIN FOR SPNATT:/IN	DATE: Jan 24, 1979
	1.D. NO.: <u>C00097519</u>
REQUEST FOR ADDITIONAL INFORMATION FOR AND EXPENDITURES COVERING THE PERIOD PURSUANT TO THE FEDERAL ELECTION CAM	7/1/78 THROUGH 7/19/78
A review of the report indicates that additional infecturn a copy of this form with your amended subm	formation is needed in order to be considered complete. Please isssion(s).
Please provide the required data, as indicated (x):	
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X Other: Please see page five,	

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

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FEC Form 12 (Revised November 1978)

1325 K Street, N.W. Washington, D.C. 20463

HEINSOLN FOR SENATE/IN

Jan 24, 1979

TO: _	HEINSOIN FOR SENATE/TN	_ DATE: _	Jan 24, 1979
		I.D. NO.:	C00097519
AND	JEST FOR ADDITIONAL INFORMATION FOR THE EXPENDITURES COVERING THE PERIOD 7/20/	Termination 78	THROUGH 9/11/78
PURS	SUANT TO THE FEDERAL ELECTION CAMPAIGN A	ACT OF 1971, A	AS AMENDED.
	iew of the report indicates that additional information a copy of this form with your amended submission(s)		order to be considered complete. Please
Please	e provide the required data, as indicated (x):		
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	Summary Page Line(s): Column(s): Totals: _	omit	ted orincorrect
	Detailed Summary (Page 2) Line(s): Column(s):_	Totals:	omitted orincorrect
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	Full Name(s)/Mailing Address(es):omitted or	_ inadequate for	r Schedule(s) Line(s)
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> FEC Form 12 (Revised November 1978)

PAGE FIVE REQUEST FOR ADDITIONAL INFORMATION HEINSOHN FOR SENATE/IN

Schedule C, line 13 of your committee's July 10 Report discloses debts owed by the committee to the following:

> Lavidoe & Associates, Inc. \$21,429.76 The Mountain Press \$2,045.25 Steve Harrison \$1,249.60

Please be advised that Section 104.8(a) of the FEC Regulations requires debts to be continuously reported until extinguished. Please provide a Schedule C for your 10-Day Pre-Primary Report and your Termination Report to reflect the original amount of each debt, cumulative payments to date and outstanding balance of each debt. Please further be advised that Section 102.4(a) of the FEC Regulations prohibits a committee form terminating until all debts and obligations have been extinguished.





FEDERAL ELECTION COMMISSION

1325 K STREET N.W. WASHINGTON,D.C. 20463

April 30, 1979

J. Robert Noell, Treasurer Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Dear Mr. Noell:

On January 24, 1979, you were notified that the July 10, July 10 Amended, 10 Day Pre-Primary and Termination Reports of Receipts and Expenditures filed by your committee omitted certain required information.

As of this date, however, we have received no response from you. Your failure to amend your report gives the Commission reason to believe that you are in violation of 2 U.S.C. 434.

The Commission will take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Bill Coppel, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is (202)523-4048.

Sincerely,

Thomas E. Hamis

Commissioner

Certified Mail: Return Receipt Requested

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UNITED STATES POSTAL SERVICE OFFICIAL BUSINESS

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SENDER INSTRUCTIONS

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PENALTY FOR PRIVATE USE TO AVGIO PAYMENT OF POSTAGE. \$500



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WASHINGTON, D.C.

(Street or PO Box)

(City, State, and ZIP Code)



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PACE TWO The coverage dates for your July 10 Report should be your initial financial activity, (day, month, year), through 6/30/78. Please amend your report accordingly. the view were viewed Your July 10 Report discloses a beginning cash balance of \$0 as well as no receipts for the period. However, line 9 of the Summary Page disclose expenditures totaling \$8,592.70. Please provide a Schedule A disclosing the source(s) of the funds disbursed for this period. Your July 10 Report discloses expenditures totaling \$8,592.70. Please provide the full name, mailing address, and date for each disbursment. Your July 10 Report dischases a payment of \$313.25 described as "miscellaneous". Please be advised that Section 104.2(b) of the Commission's Regulations regaines the particulars for each expenditure that exceeds \$100 in accrecate to a single payee. Please amend your report to clarify the purpose of the expenditure merked "miscellaneous". at this time, and that the 8,592 70 was speat by me. Obviously, you can use opril 26, 1923 as starting ; all in the not -CK to Hall Brown - 278 UFW Conversa Feel - 25

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ATTACHMENT

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FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. J. Robert Noell Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Re: MUR 1141

Dear Mr. Noell:

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On April 30, 1979, the Commission found reason to believe that your committee may have violated 2 U.S.C. §434(b)(12) of the Federal Election Campaign Act of 1971, as amended ("the Act") in connection with the above captioned matter. However, after considering the circumstances of this matter, the Commission has determined to take no further action and close its file in this matter. This matter will be made part of the public record within 30 days. Should you wish to submit any materials to appear on the public record, please do so within 10 days.

The Commission reminds you that your failure to continuously report debts and obligations until they are distinguished nevertheless appears to be a violation of 2 U.S.C. §434(b)(12) and you should take immediate steps to insure that this activity does not occur in the future.

If you have any questions, please direct them to Michele D. Brown at 202/523-4175.

Sincerely,

cc: Mr. Douglas L. Heinsohn

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REFER TO INSTRUCTIONS ON REVERSE SIDE BEFORE COMPLETING

REPO	ORTS ANALYS	IS REFERRAL S	SHEET)	
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TO: OGC	Α	TEAM CHIEF	Suzanne W	ilson Sw
THROUGH: STAFF DIRECTOR	K Myi.	COMPLIANCE	REVIEW	Carroll Bowen CB 3
FROM: ASSISTANT STAF	F DIRECTOR	FOR REPORTS	ANALYSIS	11
			V	N N
CANDIDATE/COMMITTEE: TREASURER: ADDRESS: AFFILIATE(S):	Heinsohn Fo J. Robert N 717 Broad S Chattanooga	bell treet	0097519	V
ALLEGATION(S): Committee failed to provide the status of debts during the apporting cycle.	CITE:	2 U.S.C.434	4(b)(12)	ATTACHMENT(S) 2
DATE INITIATED: 1-24-79)			
□ Special Project:	INITIATED: Other:			ATTACHMENT
REPORTS: All reports within the reviewed, see Attachmen		low have receive		
PERIOD COVERED FROM	5-4-73	TO	6-30-	-73
TOTAL RECEIPTS \$ 18,048		TOTAL EXPEN	NDITURES	\$
CASH ON HAND \$		DEBTS \$	24,724	
HISTORY:				
RESULTS OF REVIEW:				ATTACHMENT
RFAI sent for July 10, July 1 10 Day Pre-Primary and Termin RTB sent 4-30-79.	O Amended, ation Reports	5;		3 4
COMMUNICATIONS WITH CANDI	DATE/COMMIT	TEE:		ATTACHMENT
Committee did not return call	The second secon			
REASON(S) FOR REFERRAL: Debt discrepancies meet Divisthreshold for referral. No committee response.	ion			ATTACHMENT
OTHER PENDING ACTIONS INITI.	ATEN DV DAN			ATTACHMENT
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Communications between RAD and	i the			5
candidate indicate candidate's reporting problems and unwilli cooperate. These problems not pertinent to the allegations. PREVIOUS OGC/AUDIT REFERENCE CONTROLLE CONT	ngness to directly ALS FROM RAI			
Candidate referred to OGC for	non-filer on		NO I	ry '78 - 11/28/78 (NF 15 FURTHER ACTION - 12/26/78
Committee referred to OGC for	non-filer:	October		5/30/79 (NF 224) FURTHER ACTION - 10/10/79

30 Day Post Primary '78 - 11/28/78 (NF 153) NO FURTHER ACTION - 12/26/78 RAD Form 1 REVISED Oct. 1979

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COMMITTEE THUSE OF DISCLOSURE DOCUMENTS - CC: 1977-1973

DATE ANECES FAGE

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CONNTITIES DOCUMENT	RECEIL	TS	EXPENI	TURES		¢ 0F	MYCROFY! n
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JULY TO RUARTERLY AMERDMENT						7;	78SFN/010/0734
REQUEST FOR ADDICTIONAL OFFORKATION				4114778	-30JUN78	i	29FEC/117/4855
REQUEST FOR ADDITIONAL INFORMATION				4MAY78	-30.JUN 28	2	79FFC/117/4053
TERMINATION REPORT	4>536		27,004	20.00.73	-J 18EF78	. 5.	Z3SENZ012Z0841
REQUEST FOR ADDICTIONAL OFFICEMATION				20.001.78	-11SEP78	3	79FEC/117/4857
TOTAL,	27,016	0	27,015	0		37	TOTAL PAGES

ALL REPORTS REVIEWED

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FEDERAL ELECTION COMMISSION

TUS K STREET NW: WASHINGTON, DC 20163

January 24, 1979

Mr. J. Robert Noell, Treasurer HEINSTED FOR SEDMIE 717 Broad St. Chattannoga, TN 37402

Dear Mr. Noell:

This letter is prompted by our interest in assisting Senate candidates and committees who wish to comply with the Federal Election Campaign Act.

Duly 10, July 10 Amendment, 10-Day Pre-Primary
During review of the and Termination Report of Receipts
and Expenditures, we noted that you contrad certain information or made apparent machinatical errors in certain entries. Attached is an itemization of the information requested.

While we recognize the difficulties you may have experienced in filling our the reporting forms, we must ask that you supply the Secretary of the Senate, Office of Public Records, 119 D Street, N.E., Washington, D.C. 20510, with the missing information within fifteen (15) days from the date of this lenter to contact have any questions, please do not besitate to contact half Coopel you have any questions, please do not besitate to contact half Coopel you have any questions, please do not besitate to contact half coopel you have any questions, please do not besitate to contact half coopel you have any questions, please do not besitate to contact half coopel you have any questions, please do not besitate to contact half coopel you have any questions, please do not besitate to contact half coopel you have any questions. Our local moment is (202)523-2048.

Sincerely,

Colors B. Poter.

Orlando B. Petter Staff Director

Attachment FEC Form 12



FEDERAL LLLCTION COMMISSION 1325 K Street, N.W. Washington, D.C. 20463 DATE: Jan 24, 1979 HEINSOHN FOR SENATE/TN TO: I.D. NO.: C00097519 July 10 REPORT OF RECEIPTS REQUEST FOR ADDITIONAL INFORMATION FOR THE THROUGH _ AND EXPENDITURES COVERING THE PERIOD. PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED. A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s). Please provide the required data, as indicated (x): __ Coverage Dates: ___ omitted or ___ incorrect Summary Page Line(s): ____ Column(s): ____ Totals: ____ omitted or ____incorrect __ Detailed Summary (Page 2) Line(s):___ Column(s):___ Totals:___ __ omitted or ___incorrect Schedule Totals: ____ disagree with Detailed Summary (Page 2) or ____omitted 10 Date(s): ___omitted or ___ inadequate for Schedule(s) ___ Line(s) ___ <u>Full Name(s)/Mailing Address(es):</u> ____omitted or ____inadequate for Schedule(s)____ Line(s)___ * Occupation/Principal Place(s) of Business: X omitted or ___inadequate for Schedule(s) A Line(s)14_ ► Election Designation: ___omitted or ___inadequate for Schedule(s)__ Line(s)__ X Aggregate Year-to-Date Totals: X omitted or inadequate for Schedule(s) A Line(s) 14 __ Nature or Purpose of Expenditure: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) X Nature or Purpose of Receipt: ____ omitted or _X inadequate for Schedule(s) _A Line(s) 14_ ___ Inadequate Description of: ___ proceeds ___dates ___events ___location of Schedule __ Signature: . _omitted ___inadequate 11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report. X Other: Please provide further clarification concerning the contribution from The Golden Council of Trusts for \$500.

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Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

FEC Form 12 (Revised November 1978)

TEDERAL LLLC HUN CU IIIISIUN Payo Tho 1325 K Street, N.W. Washington, D.C. 20463 DATE: Jan 24, 1979 HEINSOHN FOR SENVITE TO: I.D. NO.: C00097519 REQUEST FOR ADDITIONAL INFORMATION FOR THE July 10 Amendment
AND EXPENDITURES COVERING THE PERIOD _5/4/78 ______ THROU REPORT OF RECEIPTS THROUGH 6/30/78 PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED. A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s). Please provide the required data, as indicated (x): _ Coverage Dates: ___ omitted or ___ incorrect ___ Summary Page Line(s): ___ Column(s): ___ Totals: ___ omitted or ___ incorrect Detailed Summary (Page 2) Line(s): Column(s): Totals: _____omitted or ___incorrect L' Schedule Totals: ___ disagree with Detailed Summary (Page 2) or ___omitted In Date(s): X_omitted or ___ inadequate for Schedule(s) R_ Line(s) 20_ Full Name(s)/Mailing Address(es): X omitted or inadequate for Schedule(s) B Line(s) 20 Occupation/Principal Place(s) of Business: ____omitted or ____inadequate for Schedule(s)____ Line(s)___ Election Designation: __omitted or __inadequate for Schedule(s)__ Line(s)__ ___ Aggregate Year-to-Date Totals: ___ omitted or ___ inadequate for Schedule(s) ___ Line(s) ___ CO X Nature or Purpose of Expenditure: ____ omitted or _X inadequate for Schedule(s)_B_ Line(s)_20 Nature or Purpose of Receipt: ____ omitted or ____ inadequate for Schedule(s) ___ Line(s) ___ ____ Inadequate Description of: ____proceeds ____dates ____events ___location of Schedule _omitted X inadequate 11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report. X Other: Please be advised that the term "travel" does not constitute adequate disclosure. Please amend your report to indicate the particulars of the expenditure described as "travel" for \$1.651.13.

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or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submissionts) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House)

TEULICAL LECTION COMMISSION 1325 K Street, N.W. Washington, D.C. 20463

	TO: HEINSOIN FOR SENVITE/TN DATE: Jan 24, 1979
	I.D. NO.:
	REQUEST FOR ADDITIONAL INFORMATION FOR THE 10-Day Pro-Primary REPORT OF RECEIPTS AND EXPENDITURES COVERING THE PERIOD 7/1/78 THROUGH 7/19/78 PURSUANT TO THE FEDERAL ELECTION CAMPAIGN ACT OF 1971, AS AMENDED.
÷	A review of the report indicates that additional information is needed in order to be considered complete. Please return a copy of this form with your amended submission(s).
,	Please provide the required data, as indicated (x):
	Coverage Dates: omitted or incorrect
	Summary Page Line(s): Column(s): Totals: omitted orincorrect
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-	Occupation/Principal Place(s) of Business:omitted or inadequate for Schedule(s)A Line(s)14
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į	Signature: omitted inadequate 11 CFR 104.12(a) requires each person having the responsibility to file a report to sign the original report. Please resubmit a signed copy of your report.
	X Other: Please see page five,

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

Senate filers should file their submission(s) with the Secretary of the Senate, Office of Public Records, 119 D St., N.E., Washington, D.C. 20510. House filers should file their submission(s) with the Clerk of the House, Office of Records and Registration, 1036 Longworth House Office Building, Washington, D.C. 20515.

FEC Form 12 (Revised November 1978)

1325 K Street, N.W.

Washington, D.C. 20463

TO: HEINSOIN FOR SENVITE/IN	DATE:	Jan 24, 1979
	I.D. NO.: C	00097519
REQUEST FOR ADDITIONAL INFORMATION FOR AND EXPENDITURES COVERING THE PERIOD _	7/20/78 T	REPORT OF RECEIPTS
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A review of the report indicates that additional inforeturn a copy of this form with your amended submis		er to be considered complete. Please
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Signature:omittedinadequate 11 CFR 104.12(a) requires each person report. Please resubmit a signed copy of ye	having the responsibility	
X Other: Please see page five.		

Your initial submission(s), together with this request for additional information, has been made available for public inspection. The Commission urges you to file the additional submission(s) promptly to the above address. If you have any questions regarding this request, please call the Reports Analysis Division toll free at (800) 424-9530. The local Washington, D.C. telephone numbers are (202) 523-4048 (Senate, Non-Party), 523-4172 (House) or 523-1474 (Party).

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> FEC Form 12 (Revised November 1978)

MC.5 PAGE FIVE DEQUEST FOR ADDITIONAL INFORMATION MEINSOIN FOR SENATE/IN Schedule C, line 13 of your committee's July 10 Report discloses debts owed by the committee to the following: Lavidge & Associates, Inc. The Mountain Press \$21,429.76 \$2,045.25 Steve Harrison \$1,249.60 Please be advised that Section 104.8(a) of the FEC Regulations requires debts to be continuously reported until extinguished. Please provide a Schedule C for your 10-Day Pre-Primary Report and your Termination Report to reflect the original amount of each debt, cumulative payments to date and outstanding balance of each debt. Please further be advised that Section 102.4(a) of the FDC Regulations prohibits a committee form terminating until all debts and obligations have been extinguished.



FEDERAL ELECTION COMMISSION

1325 K STREET N.W. WASHINGTON,D.C. 20463

April 30, 1979

J. Robert Noell, Treasurer Heinsohn for Senate 717 Broad Street Chattanooga, TN 37402

Dear Mr. Noell:

On January 24, 1979, you were notified that the July 10, July 10 Amended, 10 Day Pre-Primary and Termination Reports of Receipts and Expenditures filed by your committee omitted certain required information.

As of this date, however, we have received no response from you. Your failure to amend your report gives the Commission reason to believe that you are in violation of 2 U.S.C. 434.

The Commission will take no action against you until you have had a reasonable opportunity to respond to this letter. This response should be mailed to the Commission within fifteen (15) days from the date of this letter.

If you have responded to the above or you require additional information, please contact Bill Coppel, our Reports Analyst assigned to you on our toll free number (800)424-9530. Our local number is (202)523-4048.

Sincerely,

Thomas E. Hamis

Commissioner

Certified Mail: Return Receipt Requested

Complete items 1 2 and B Add your address in the reverse O 40: The following service is requested (check one) ¢ Show to whom and date delivered Apr 13 ¢ Show to whom, date, and address of delivery RESTRICTED DELIVERY ¢ Show to whom and date delivered RESTRICTED DELIVERY Show to whom, date, and address of delivery \$ RETURN RECEIPT CONSULT POSTMASTER FOR FEES 2 ARTICLE ADDRESSED TO 3 ARTICLE DESCRIPTION REGISTERED **INSURED NO** REGISTERED NO CERTIFIED NO (Always obtain signature of addressee or agent) I have received the article described above SIGNATURE Addressee Authorized agent INSURED AND CERTIFIED MAIL DATE OF DELIVERY 5 ADDRESS (Complete only if requested) :) CLERKS 6 UNABLE TO DELIVER BECAUSE ☆GPO 1977-0-249-596 institution Fame

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USE TO AVOID PAYMENT OF POSTAGE \$300



DESCRIPTION COMMISSION

(Name of Sender)

1325 K STREET. N.W.

(Street or PO Box)

WASHINGTON, D.C. 20463 (City, State, and ZIP Code)

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9000 CEPTIFIED 9 125000 WA 12915-50293-000240000 9077 AS L. HET RSONN ****CERTIFIED**** CALLI COUS TY 3773# TN DEM C 1065 807383 •3 SOUTH SETS BEEN NOTIFIED BY CERTIFIED HAIL FROM THE FEDERAL BLEC-TION COMMISSION THAT WAY MAVE SAILED TO FILE THE OCTORES TO OUTSIES OF RECEIPTS AND EXPENDITURES AS MODULODO BY THE FED-EVAL ELECTION CAMPAIGN ACT. THIS REPORT WAS DUE ON OCTORER TO, 1979. A COON SUNNER ALSO BE FILED WITH THE SECRETARY OF STATE OF ENVIVALENT STATE OFFICER . AS OF THIS DATE, NO RESPONSE WAS BEEN DECEIVED FROM DOUG. COMMISSION REASONABLE CAUSE TO RELIEVE THE ARMY MENTIONED REPORT GIVES THE U.S.C. 444. BUTTET THE STATUTE, VOU HAVE AN OPPORTURITY TO CONCILIATE THIS MATTER WITH THE COMMISSION (2 U.S.C. 4376). MONTHER PAILURE TO IMPEDIATELY RESPOND WILL RESULT IN PUBLICATION OF YOUR NAME ON A LIST OF NOW-FILESS AND REFERRAL COUNSEL WHICH PAY RESULT IN CIVIL PENALTIES. THE THE EVENT THAT YOU HAVE ALREADY FILED YOUR ADPORT, PLEASE CONTACT OUR ADPORTS ANALYSIS DIVISION ON THE TOLL FREE MUNTER (ROO) 424-9530. OUR LOCAL TUMBER IS (20?) 923-404F. SI TERDLY. JOAY D. AIYEYS CHAIRMAR in Sept - why don't art you and together. my back, and some the towners you have CERTIFIED MAIL RETIRA AECEIOT ADOUSTED 121 557

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REQUEST FOR ADDITIONAL TOWNSHOLD

The coverage dates for your July 10 Report should be from the date of your initial financial activity, (day, month, year), through 6/30/78.

Please amend your report accordingly.

Your July 10 Report discloses a beginning cash balance of \$0 as well as no receipts for the period. However, line 9 of the Summary Page discloses expenditures totaling \$2,592.70. Please provide a Schedule A disclosing the source(s) of the funds disbursed for this period.

Your July 10 Report discloses expenditures totaling \$8,592.70. Please provide the full name, mailing address, and date for each disburgant.

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Your July 10 Report discloses a parment of \$313.23 described as "miscellaneous". Please the advised that Section 104.2(b.49) of the Commission's Regulations requires the particulars for each expenditure that exceeds \$100 in appropriate to a single payor. Please smend your report to clarify the purpose of the expenditure marked "miscellaneous".

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FEDERAL ELECTION COMMISSION

1325 K 51RFE1 N.W WASHINGTON,D.C. 20463

THIS IS THE BEGINNING OF MUR # 1141

Date Filmed May 10, 1481 Camera No. --- 2

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