

RAD REFERRAL #: A19-17**DATE:** July 12, 2019**ANALYST:** Maureen Benitz**REPORTS ANALYSIS AUDIT REFERRAL**

I. COMMITTEE: Democratic Advancement PAC
 C00381517
 Thomas A. Cramer, Treasurer
 15600 NE 8th St
 B1, Box 931
 Bellvue, WA 98008

TYPE OF COMMITTEE: Independent Expenditure-Only

II. REGISTRATION DATE: August 28, 2002

III. MULTICANDIDATE STATUS DATE: Non-Qualified

IV. TOTAL POINTS ASSESSED:

V. SUMMARY OF AUDIT POINTS BY STANDARD:

Standard	#	Description	Total # of Point(s)	Points for Receipts	Points for Disbursements	Other Points
Standard	4	Failure to File Reports and Late Filing	Point(s)			
Standard	13	Allocated Federal and Non-Federal Activity	Point(s)			
		TOTAL	Point(s)			

VI. NOTICES SENT TO AND RESPONSES RECEIVED FROM THE COMMITTEE:

See attached O-Index for the Committee.

VII. PROBLEMS FOR WHICH AUDIT POINTS WERE ASSESSED:**A. Standard 4 - Failure to File Reports and Late Filing:**

Report Type	Due date	Date Filed	Points
2017 YE	1/31/18	2/23/18	
2018 Q1	4/15/18	6/11/18	
2018 30G	12/6/18	N/A	

Standard 4 Total:

Points for Receipts	Points for Disbursements	Other Points

B. Standard 13 - Allocated Federal and Non-Federal Activity:

Report Type	Violation (Description)	Points
2017 MY	The Committee failed to provide a Schedule H1 to disclose the allocation ratio for administrative expenditures on Schedule H4.	
2017 YE	Schedule H4 failed to disclose an adequate purpose of disbursement to two (2) vendors totaling \$6,883.00.	
2018 Q1	Schedule H4 failed to disclose an adequate purpose of disbursement to one (1) vendor totaling \$95,000.00.	
	Schedule H4 disclosed memo entries totaling \$4,071.00 that did not appear to correspond with any itemized transaction(s).	
2018 Q2	Schedule H4 disclosed memo entries totaling \$66,544.00 that did not appear to correspond with any itemized transaction(s).	
2018 Q3	The Committee failed to provide a Schedule H1 to disclose the allocation ratio for administrative expenditures on Schedule H4.	
2018 30G	The Committee failed to provide a Schedule H1 to disclose the allocation ratio for administrative expenditures on Schedule H4.	
2018 YE	The Committee failed to provide a Schedule H1 to disclose the allocation ratio for administrative expenditures on Schedule H4.	

Standard 13 Total:

Points for Receipts	Points for Disbursements	Other Points

VIII. MATTERS REQUIRING REFERRAL TO OGC/ADRO:

A. OGC-

Allocated Federal and Non-Federal Activity (Standard 13)

The Committee can be referred to the Office of General Counsel (OGC) on the 2018 April Quarterly Report for failing to clarify an inadequate purpose totaling \$95,000.00.

The Committee can be referred to OGC on the 2018 October Quarterly Report for failing to include a Schedule H1 to disclose the allocation ratio for \$66,982.47 in administrative expenditures on Schedule H4.

B. ADRO –

None.

IX. OTHER COMMISSION ACTIONS DURING THE 2015-2016 AND 2017-2018 ELECTION CYCLES

(AF #3455): The Committee was assessed a civil money penalty of \$2,981.00 for failing to file the 2018 July Quarterly Report.

X. OTHER RELEVANT INFORMATION:

None.

Response Codes, Due Dates, and Receipt Dates for Democratic Advancement PAC (C00381517)

Standard 4

Report	Response Code	Description	Due Date	Response Date	Points
2017 YE	N/A	Failure to Timely File Report (RQ-7)	N/A	N/A	
2018 Q1	N/A	Failure to Timely File Report (RQ-7)	N/A	N/A	
2018 30G	N/A	Failure to Timely File Report (RQ-7)	N/A	N/A	

Standard 13

Report	Response Code	Description	Due Date	Response Date	Points
2017 MY	NR	Missing H1 for IE-Only Committees with Allocated Activity (U13-7 modified)	4/18/18	N/A	
2017 YE	NR	Inadequate Purpose (Schedules B and/or H4) (U13-57)	4/19/18	N/A	
2018 Q1	NR	Inadequate Purpose (Schedules B and/or H4) (U13-57)	7/18/18	N/A	
		Memos Do Not Correspond With Any Transaction (U13-114)			
2018 Q2	NR	Memos Do Not Correspond With Any Transaction (U13-114)	9/26/18	N/A	
2018 Q3	NR	Missing H1 for IE-Only Committees with Allocated Activity (U13-7 modified)	12/6/18	N/A	
2018 30G	NR	Missing H1 for IE-Only Committees with Allocated Activity (U13-7 modified)	6/4/19	N/A	
2018 YE	NR	Missing H1 for IE-Only Committees with Allocated Activity (U13-7 modified)	6/5/19	N/A	

**Democratic Advancement PAC
(C00381517)**

1. List of Affiliated Committees:

None.

2. List of Pseudonyms:

None.

3. Coordinated Expenditure Report:

None.

4. List of 24/48-Hour Reports Filed:

None.

O Index

Filings from: 1/1/2017 - 12/31/2018

Committee ID:	C00381517	Multi-Candidate Status/Date:	NO
Committee Name:	DEMOCRATIC ADVANCEMENT PAC	Date Effective:	
Committee Designation:	UNAUTHORIZED	Committee Type:	INDEPENDENT EXPENDITURE-ONLY COMMITTEE
Filing Frequency:	QUARTERLY FILER	Treasurer Name:	THOMAS A. CRAMER
Candidate ID:		Committee Address:	15600 NE 8TH ST
Candidate Name:			B1, BOX 931
			BELLVUE, WA 98008
Special Interest Group:	Not Available	Analyst Assigned:	Maureen Benitz

<u>Form</u> <u>Type</u>	<u>Report</u> <u>Type</u>	<u>A/I</u>	<u>Receipt Dt</u>	<u>Pgs</u>	<u>Begin Image #</u>	<u>Beg Cvg Dt</u>	<u>End Cvg Dt</u>	<u>Ltr Mail Dt</u>	<u>Begin Cash</u>	<u>Rcpts</u>	<u>Disb</u>	<u>End Cash</u>	<u>Debts</u>	<u>Loans</u>
RFAI	RQ-7-YE			2	802200300099	7/1/17	12/31/17	2/20/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-MY	N		2	803140300000	1/1/17	6/30/17	3/14/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-YE	N		2	803150300001	7/1/17	12/31/17	3/15/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-30G	N		2	904300300038	10/18/18	11/26/18	4/30/19	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-7-Q1			2	805010300008	1/1/18	3/31/18	5/1/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-YE	N		2	905010300038	11/27/18	12/31/18	5/1/19	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-Q1	N		2	806130300012	1/1/18	3/31/18	6/13/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-Q2	N		2	808220300018	4/1/18	6/30/18	8/22/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-2-Q3	N		2	811010300023	7/1/18	9/30/18	11/1/18	0.00	0.00	0.00	0.00	0.00	0.00
RFAI	RQ-7-30G			2	812200300027	10/1/18	10/17/18	12/20/18	0.00	0.00	0.00	0.00	0.00	0.00
F3XN	YE	N	1/30/19	31	901309144219	11/27/18	12/31/18		84,708.73	2,137.00	1,593.98	85,251.75	0.00	38,112.97
F3XN	YE	N	2/23/18	53	802239095553	7/1/17	12/31/17		1,216.81	20,423.00	12,899.94	8,739.87	0.00	3,103.91
F3XN	Q1	N	6/11/18	60	806119113660	1/1/18	3/31/18		8,739.87	110,535.00	105,214.26	14,060.61	0.00	900.00
F3XN	MY	N	7/31/17	65	707319069917	1/1/17	6/30/17		932.07	15,280.00	15,495.26	716.81	0.00	626.91
F3XN	Q2	N	8/1/18	31	808019119337	4/1/18	6/30/18		14,060.61	75,820.00	6,382.34	83,498.27	0.00	0.00
F3XN	Q3	N	10/15/18	22	810159125260	7/1/18	9/30/18		83,498.27	67,877.00	66,982.47	84,392.80	0.00	35,227.00
F3XN	30G	N	12/6/18	21	812069135364	10/18/18	11/26/18		84,392.80	1,548.97	1,233.04	84,708.73	0.00	36,775.97
Final Report's Total:									293,620.97	209,801.29				