

RAD REFERRAL #: A17-27

DATE: July 13, 2017
ANALYST: Nicholas Tarone

REPORTS ANALYSIS AUDIT REFERRAL

I. COMMITTEE: Urban Victory Fund (UVF)
C00578187
Drue Kinchen, Treasurer
13001 Justice Ave
Baton Rouge, LA 70816

TYPE OF COMMITTEE: Nonconnected (Hybrid PAC - Committee w/ Non-Contributed Account)

II. REGISTRATION DATE: May 18, 2015

III. MULTICANDIDATE STATUS DATE: Non-Qualified

IV. TOTAL POINTS ASSESSED:

V. SUMMARY OF AUDIT POINTS BY STANDARD:

Standard	#	Description
Standard	4	Failure to File Reports and Late Filing
Standard	5	Excessive, Prohibited and Other Impermissible Contributions and Transfers
Standard	7	Failure to Provide Supporting Schedules
		TOTAL

VI. NOTICES SENT TO AND RESPONSES RECEIVED FROM THE COMMITTEE:

See attached O-Index for the Committee.

VII. PROBLEMS FOR WHICH AUDIT POINTS WERE ASSESSED:

A. Standard 4 - Failure to File Reports and Late Filing:

Report Type	Due date	Date Filed
2016 Q3	10/15/16	11/2/16
2016 30G	12/8/16	1/14/17

Standard 4 Total:

B. Standard 5 – Excessive, Prohibited and Other Impermissible Contributions/Transfers:

Report Type	Violation (Description)
2016 Q3	Schedule A supporting Line 11(a)(i) disclosed the receipt of excessive contributions totaling \$9,516.00, or 41.2% of the Committee's total contributions from individuals, and did not include an indication that they were deposited into the non-contribution account. These contributions were received from three (3) individuals.
2016 12G	Schedule A supporting Line 11(a)(i) disclosed the receipt of an excessive contribution totaling \$25,000.00, or 99.9% of the Committee's total contributions from individuals, and did not include an indication that it was deposited into the non-contribution account. This contribution was received from one (1) individual.
2016 30G	Schedule A supporting Line 11(a)(i) disclosed the receipt of an excessive contribution totaling \$90,000.00, and did not include an indication that it was deposited into the non-contribution account. This contribution was received from one (1) individual.

Standard 5 Total:

C. Standard 7 - Failure to Provide Supporting Schedules:

Report Type	Violation (Description)
2016 30G	The Committee failed to provide a Schedule E for one (1) independent expenditure totaling \$30,000.00 disclosed on one (1) 48-Hour Report.

Standard 7 Total:

VIII. MATTERS REQUIRING REFERRAL TO OGC/ADRO:

A. OGC –

Excessive, Prohibited and Other Impermissible Contributions and Transfers (Standard 5)

The Committee can be referred to the Office of General Counsel (OGC) for the receipt of apparent excessive contributions totaling \$124,516.00 during the 2016 calendar year.

B. ADRO –

Failure to Provide Supporting Schedules (Standard 7)

The Committee can be referred to the Alternative Dispute Resolution Office (ADRO) on the 2016 30 Day Post-General Report for failing to disclose \$30,000 in independent expenditures on Schedule E that had previously been disclosed on a 48-Hour Report.

IX. OTHER COMMISSION ACTIONS DURING THE 2013-2014 AND 2015-2016 ELECTION CYCLES:

None.

X. OTHER RELEVANT INFORMATION:

None.

**Response Codes, Due Dates, and Receipt Dates for
Urban Victory Fund (UVF)
(C00578187)**

Standard 4

Report	Response Code	Description	Due Date	Response Date
2016 Q3	N/A	Late Filed Report (RQ-7)	10/15/16	N/A
2016 30G	N/A	Late Filed Report (RQ-7)	12/8/16	N/A

Standard 5

Report	Response Code	Description	Due Date	Response Date
2016 Q3	NR	Carey Committee Does Not Disclose “Non- Contribution Account” for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/10/17	N/A
2016 12G	NR	Carey Committee Does Not Disclose “Non- Contribution Account” for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/16/17	N/A
2016 30G	NR	Carey Committee Does Not Disclose “Non- Contribution Account” for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/16/17	N/A

Standard 7

Report	Response Code	Description	Due Date	Response Date
2016 30G	NR	Independent Expenditure(s) on Notice Not on Schedule E (U7-61)	5/16/17	N/A

**Urban Victory Fund (UVF)
(C00578187)**

1. List of Affiliated Committees:

None.

2. List of Pseudonyms:

None.

3. Coordinated Expenditure Report:

None.

4. List of 24/48-Hour Reports Filed:

Type	Date Filed	Image Number
48-Hour Report	11/4/16	201611049037115107
48-Hour Report	11/4/16	201611049037123948
24-Hour Report	11/6/16	201611069037127511
24-Hour Report	12/4/16	201612049037607834
24-Hour Report	12/6/16	201612069037635783