

RAD REFERRAL #: A17-27

DATE: July 13, 2017

ANALYST: Nicholas Tarone

REPORTS ANALYSIS AUDIT REFERRAL

I. COMMITTEE: Urban Victory Fund (UVF)
C00578187
Drue Kinchen, Treasurer
13001 Justice Ave
Baton Rouge, LA 70816

TYPE OF COMMITTEE: Nonconnected (Hybrid PAC - Committee w/ Non-Contribution Account)

II. REGISTRATION DATE: May 18, 2015

III. MULTICANDIDATE STATUS DATE: Non-Qualified

IV. TOTAL POINTS ASSESSED:

V. SUMMARY OF AUDIT POINTS BY STANDARD:

Standard	#	Description
Standard	4	Failure to File Reports and Late Filing
Standard	5	Excessive, Prohibited and Other Impermissible Contributions and Transfers
Standard	7	Failure to Provide Supporting Schedules
		TOTAL

VI. NOTICES SENT TO AND RESPONSES RECEIVED FROM THE COMMITTEE:

See attached O-Index for the Committee.

VII. PROBLEMS FOR WHICH AUDIT POINTS WERE ASSESSED:

A. Standard 4 - Failure to File Reports and Late Filing:

Report Type	Due date	Date Filed
2016 Q3	10/15/16	11/2/16
2016 30G	12/8/16	1/14/17

Standard 4 Total:

B. Standard 5 – Excessive, Prohibited and Other Impermissible Contributions/Transfers:

Report Type	Violation (Description)
2016 Q3	Schedule A supporting Line 11(a)(i) disclosed the receipt of excessive contributions totaling \$9,516.00, or 41.2% of the Committee's total contributions from individuals, and did not include an indication that they were deposited into the non-contribution account. These contributions were received from three (3) individuals.
2016 12G	Schedule A supporting Line 11(a)(i) disclosed the receipt of an excessive contribution totaling \$25,000.00, or 99.9% of the Committee's total contributions from individuals, and did not include an indication that it was deposited into the non-contribution account. This contribution was received from one (1) individual.
2016 30G	Schedule A supporting Line 11(a)(i) disclosed the receipt of an excessive contribution totaling \$90,000.00, and did not include an indication that it was deposited into the non-contribution account. This contribution was received from one (1) individual.

Standard 5 Total:

C. Standard 7 - Failure to Provide Supporting Schedules:

Report Type	Violation (Description)
2016 30G	The Committee failed to provide a Schedule E for one (1) independent expenditure totaling \$30,000.00 disclosed on one (1) 48-Hour Report.

Standard 7 Total:

VIII. MATTERS REQUIRING REFERRAL TO OGC/ADRO:

A. OGC –

Excessive, Prohibited and Other Impermissible Contributions and Transfers (Standard 5)

The Committee can be referred to the Office of General Counsel (OGC) for the receipt of apparent excessive contributions totaling \$124,516.00 during the 2016 calendar year.

B. ADRO –

Failure to Provide Supporting Schedules (Standard 7)

The Committee can be referred to the Alternative Dispute Resolution Office (ADRO) on the 2016 30 Day Post-General Report for failing to disclose \$30,000 in independent expenditures on Schedule E that had previously been disclosed on a 48-Hour Report.

IX. OTHER COMMISSION ACTIONS DURING THE 2013-2014 AND 2015-2016 ELECTION CYCLES:

None.

X. OTHER RELEVANT INFORMATION:

None.

Cmte. ID: C00578187										Cmte. Name: URBAN VICTORY FUND (UVF)																			
Treasurer Name: DRUE KINCHEN										Address: 13001 JUSTICE AVE, BATON ROUGE, LA 70816																			
Type: V (NON-QUALIFIED NON-PARTY WITH NON-CONTRIBUTION ACCOUNT)										Cmte. Designation: U (UNAUTHORIZED)										Filing Frequency: QUARTERLY FILING									
/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans																	

No records were found for this cycle.

O-Index (2015-2016)												
Cmte. ID: C00578187			Cmte. Name: URBAN VICTORY FUND (UVF)									
Treasurer Name: DRUE KINCHEN			Address: 13001 JUSTICE AVE, BATON ROUGE, LA 70816									
Type: V (NON-QUALIFIED NON-PARTY WITH NON-CONTRIBUTION ACCOUNT)			Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY									
I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans
N	4/5/2017	4	201704050300083625	7/1/2016	9/30/2016	4/5/2017	-	-	-	-	-	-
	10/31/2016	2	201610310300066026	7/1/2016	9/30/2016	10/31/2016	-	-	-	-	-	-
N	4/11/2017	4	201704110300084163	10/1/2016	10/19/2016	4/11/2017	-	-	-	-	-	-
N	4/11/2017	5	201704110300084167	10/20/2016	11/28/2016	4/11/2017	-	-	-	-	-	-
	12/28/2016	2	201612280300072604	10/1/2016	11/28/2016	12/28/2016	-	-	-	-	-	-
N	1/31/2016	12	201601319004967082	7/1/2015	12/31/2015		\$0	\$8,101	\$4,488	\$3,613	-	-
N	4/27/2016	8	201604279015361175	1/1/2016	3/31/2016		\$3,613	\$2,050	\$4,847	\$815	-	-
N	7/31/2016	10	201607319022161911	4/1/2016	6/30/2016		\$815	\$8,200	\$7,503	\$1,511	-	-
N	11/2/2016	18	201611029037018249	7/1/2016	9/30/2016		\$1,511	\$26,124	\$23,874	\$3,761	-	-
A	7/6/2017	18	201707069066529936	7/1/2016	9/30/2016		\$1,511	\$26,124	\$23,874	\$3,761	-	-
N	1/14/2017	8	201701149041390415	10/1/2016	10/19/2016		\$3,761	\$25,008	\$3,725	\$25,044	-	-
A	7/6/2017	8	201707069066529954	10/1/2016	10/19/2016		\$3,761	\$25,008	\$3,725	\$25,044	-	-
N	1/14/2017	13	201701149041390423	10/20/2016	11/28/2016		\$25,044	\$90,000	\$91,012	\$24,031	-	-
N	1/14/2017	7	201701149041390436	11/29/2016	12/31/2016		\$24,031	\$0	\$22,125	\$1,906	-	-
N	5/18/2015	.7	15031424338				-	-	-	-	-	-
	11/4/2016	1	201611049037123948				-	-	-	-	-	-
	11/6/2016	1	201611069037127511				-	-	-	-	-	-
	12/4/2016	3	201612049037607834				-	-	-	-	-	-
	11/4/2016	1	201611049037115107				-	-	-	-	-	-
	12/6/2016	1	201612069037635783				-	-	-	-	-	-
								\$159,485	\$157,579			

O-Index (2013-2014)												
Cmte. ID: C00578187			Cmte. Name: URBAN VICTORY FUND (UVF)									
Treasurer Name: DRUE KINCHEN			Address: 13001 JUSTICE AVE, BATON ROUGE, LA 70816									
Type: V (NON-QUALIFIED NON-PARTY WITH NON-CONTRIBUTION ACCOUNT)			Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILING									
/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans
No records were found for this cycle.												

No records were found for this cycle.

**Response Codes, Due Dates, and Receipt Dates for
Urban Victory Fund (UVF)
(C00578187)**

Standard 4

Report	Response Code	Description	Due Date	Response Date
2016 Q3	N/A	Late Filed Report (RQ-7)	10/15/16	N/A
2016 30G	N/A	Late Filed Report (RQ-7)	12/8/16	N/A

Standard 5

Report	Response Code	Description	Due Date	Response Date
2016 Q3	NR	Carey Committee Does Not Disclose "Non-Contribution Account" for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/10/17	N/A
2016 12G	NR	Carey Committee Does Not Disclose "Non-Contribution Account" for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/16/17	N/A
2016 30G	NR	Carey Committee Does Not Disclose "Non-Contribution Account" for Receipt-Apparent Prohibited AND Excessive Receipt (U5-65)	5/16/17	N/A

Standard 7

Report	Response Code	Description	Due Date	Response Date
2016 30G	NR	Independent Expenditure(s) on Notice Not on Schedule E (U7-61)	5/16/17	N/A

**Urban Victory Fund (UVF)
(C00578187)**

1. List of Affiliated Committees:

None.

2. List of Pseudonyms:

None.

3. Coordinated Expenditure Report:

None.

4. List of 24/48-Hour Reports Filed:

Type	Date Filed	Image Number
48-Hour Report	11/4/16	201611049037115107
48-Hour Report	11/4/16	201611049037123948
24-Hour Report	11/6/16	201611069037127511
24-Hour Report	12/4/16	201612049037607834
24-Hour Report	12/6/16	201612069037635783