



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2018 JUN 19 AM 9:02

SENSITIVE

MEMORANDUM

June 13, 2018

TO: The Commission

THROUGH: Alec Palmer *awt/for*
Staff Director

FROM: Patricia C. Orrock *PCO* for PCO
Chief Compliance Officer

Krista J. Roche *KJR*
Director, ADR Office

SUBJECT: ADR 860 Edward Jones PAC and Jesse Hill, Treasurer,
Recommendation to Approve Negotiated Settlement

RESOLUTION TERMS: Certify that a representative of the Committee participated
in an FEC conference, webinar, or other program developed
in consultation with the FEC's Information Division; and
pay a civil penalty of \$4,000.

Attached for your review is a signed negotiated ADR Settlement Agreement pertaining to ADR 860 (RAD 18L-03). The ADR Office received this referral on January 11, 2018.

SUMMARY: RAD referred Edward Jones PAC and Jesse Hill, Treasurer (Respondents or the Committee) for failing to disclose all financial activity on its 2015 Year-End Report, originally filed on January 31, 2016. On October 14, 2016, the Committee filed an Amended 2015 Year-End Report including \$130,650.00 in additional receipts from the original report.

The Committee filed a Miscellaneous Electronic Submission (Form 99) on October 14, 2016, indicating that the Committee filed amended reports to correct errors recently discovered by the PAC during a routine, periodic review of past filings and reporting protocols. The Committee contends that each of these technical errors have now been appropriately self-corrected by the Committee and self-reported to the FEC. In addition, the Committee indicates that the administrative cause of the identified errors has been eliminated and an additional layer of review by both internal and external compliance experts has been added.

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RECOMMENDATIONS:

1. Approve the attached negotiated settlement of Edward Jones PAC and Jesse Hill, Treasurer.
2. Approve the appropriate letters.
3. Close the file on this matter.