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**SENSITIVE**

Federal Election Commission  
Washington, DC 20463

**MEMORANDUM**

January 9, 2017

TO: The Commission

THROUGH: Alec Palmer *AP*  
Staff Director

FROM: Patricia C. Orrock *PCO*  
Chief Compliance Officer

Lynn M. Fraser *JMF*  
Director, ADR Office

SUBJECT: ADR 796 Duckworth for Congress and Keith D. Lowey,  
Treasurer, Recommendation to Approve Settlement  
Agreement

RESOLUTION TERMS: As the Committee wishes to terminate its political committee status, Respondents will certify the closure of the Committee's federal account and file a termination report within ninety (90) days of the effective date of this agreement, and work with Commission staff to terminate their political committee status and reporting obligations with the Commission. The Committee will continue reporting until such time as the termination has been approved; and pay a civil penalty of \$2,280.

Attached for your review is a signed negotiated ADR Settlement Agreement pertaining to ADR 796 (RAD 16L-03). The ADR Office received this referral on February 17, 2016.

**SUMMARY:** In this case, the Reports Analysis Division referred Duckworth for Congress and Keith D. Lowey, Treasurer (Respondents or the Committee) for failing to remedy impermissible 2016 General Election contributions. Respondents received \$30,400 in 2016 General Election contributions from six (6) individuals and three (3) multicandidate political action committees that were not refunded or redesignated

within the permissible timeframe from the date the Candidate, L. Tammy Duckworth, announced her decision to not participate in the 2016 Primary Election.

On October 14, 2015, Respondents filed an FEC Form 99, stating in part, that the Committee refunded the 2016 General Election contributions totaling \$30,400, and that the refunds were disclosed on the 2015 October Quarterly Report. Respondents note that the referral does not allege, nor make a finding, that L. Tammy Duckworth engaged in any wrongdoing in connection with the issues identified in this agreement. The Committee wishes to terminate its political committee status upon resolution of this matter.

**RECOMMENDATIONS:**

1. Approve the attached settlement agreement of Duckworth for Congress and Keith D. Lowey, Treasurer.
2. Approve the appropriate letters.
3. Close the file on this matter.