



Federal Election Commission
Washington, DC 20463

January 14, 2015

Jing-Li Yu, Esq.
80-20 National Asian American PAC
220-55 46th Avenue, 15-X
Bayside, NY 11361

Re: ADR 730 (RR 14L-07)
80-20 National Asian American PAC and Kathleen To, Treasurer

Dear Mr. Yu:

Enclosed is the signed copy of the agreement resolving the referral initiated on June 30, 2014 by the Federal Election Commission ("FEC/Commission") involving the 80-20 National Asian American PAC and Kathleen To, Treasurer ("Respondents"). The agreement for ADR 730 (RR 14L-07) was approved by the Commission on January 12, 2015 – the effective date of the agreement.

Note the specific time frames for compliance in paragraph 6 of the agreement. **Please forward to this office, a statement certifying Respondent's compliance with the terms listed in the aforementioned agreement.** The letter should note the dates on which Respondents satisfied each of the terms listed in paragraph 6, and contain the ADR caption and case number. **The civil penalty payment should be sent to the attention of the Accounting/Finance Office of the FEC. The civil penalty under the agreement is due on or before January 12, 2016.¹ Please put the ADR case number on the civil penalty check as well, to ensure crediting to the correct case.**

As you are aware, the settlement agreement will be made part of the record that is released to the public. The Commission will also place on the record copies of the referral, correspondence exchanged between your office and this office prior to our entry into settlement negotiations and reports prepared for the Commission by this office to assist in its consideration of this matter. The Commission is obliged by Federal statute to place on the public record documents in closed enforcement and alternative dispute

¹ Please note, if the Commission refers an unpaid civil penalty to the US Treasury or third party collection agent, additional costs and fees will be assessed.

resolution cases; accordingly, copies of documents relative to this matter will be forwarded shortly to the FEC's Public Information Office.

This agreement resolves the matter that was initiated by the Commission pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities regarding violations of federal election campaign laws. I appreciate your assistance in effectively resolving this matter and bringing the case to a mutually acceptable conclusion.

Sincerely,

Lynn M. Fraser, Director
Alternative Dispute Resolution Office
202-694-1665

Enclosure: Agreement

cc: Gwen Holmes and Adam Liu, Finance and Accounting Office
Room 819



**Federal Election Commission
Washington, DC 20463**

Case Number: ADR 730
Source: RR 14L-07
Case Name: 80-20 National
Asian American PAC

NEGOTIATED SETTLEMENT

This matter was initiated by the Federal Election Commission (Commission) pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. Following review of the matter, and in an effort to promote compliance with the Federal Election Campaign Act of 1971, as amended, (FECA) and resolve this matter, the Commission entered into negotiations with Jing-Li Yu, Esq., representing the 80-20 National Asian American PAC and Kathleen To, in her official capacity as Treasurer (the Committee or Respondents). It is understood that this agreement will have no precedential value relative to any other matters coming before the Commission.

Negotiations between the Commission and Respondents addressed the issues raised in this referral. The parties agree to resolve the matter according to the following terms:

1. The Commission entered into this agreement as part of its responsibility for administering the FECA, and in an effort to promote compliance on the part of Respondents. The Commission's use of alternative dispute resolution procedures (ADR) is guided by "The Administrative Dispute Resolution Act of 1996," 5 U.S.C. § 572 and is an extension of 52 U.S.C. § 30109 (formerly 2 U.S.C. § 437g).
2. Respondents voluntarily enter into this agreement with the Commission.
3. The Reports Analysis Division (RAD) referred the 80-20 National Asian American PAC and Kathleen To, Treasurer (Respondents or the Committee) for failing to disclose all financial information on its 2011 Year-End and 2012 April Quarterly Reports. Respondents filed Amended 2011 Year-End and Amended 2012 April Quarterly Reports that disclosed additional receipts of \$103,518.23 and additional disbursements of \$48,108.51.
4. Treasurers of political committees are required to report all financial activity, including all receipts and disbursements, pursuant to the FECA. 52 U.S.C. §§ 30104(b)(2) and (4) (formerly 2 U.S.C. §§ 434(b)(2) and (4), 11 C.F.R. §§ 104.3(a) and (b).
5. Respondents contend that the incomplete reports occurred due to the failure of the small and inexperienced staff to timely organize the information needed to file complete reports by the deadlines. As soon as the inaccuracies were noted, the Committee filed amended reports and provided additional training to staff.

6. Respondent, in an effort to avoid similar errors in the future, agree to: (a) participate in an FEC conference within twelve (12) months of the effective date of this agreement; (b) develop and certify implementation of a compliance operations manual which includes internal controls consistent with those described in the Commission's Internal Controls and Political Committees advisory document (2007) and the Best Practices for Committee Management (published in the April 2009 Record, available at www.fec.gov/pages/brochures/bestpractices.shtml), as well as a process to track receipt of, and response to, communications with the Commission within ninety (90) days of the effective date of this agreement; and (c) pay a civil penalty of \$3,700 in four equal payments of \$925, with the first payment due within ninety (90) days of the effective date of this agreement, and the subsequent payments of \$925 made every ninety (90) days thereafter. The entire civil penalty of \$3,700 would be paid in full no later than twelve (12) months following the effective date of this agreement.
7. Respondents agree that all information provided to resolve this matter is true and accurate to the best of their knowledge and that they sign this agreement under penalty of perjury pursuant to 28 U.S.C. § 1746.
8. The parties agree that if Respondents fail to comply with the terms of this settlement, the Commission may submit any unpaid civil penalty to the U.S. Treasury for collection or undertake civil action in the U.S. District Court for the District of Columbia to secure compliance.
9. This agreement shall become effective on the date signed by all parties and approved by the Commission. Respondents shall comply with the terms of this agreement as set out in paragraph 6 above, and shall certify compliance with the above settlement terms in writing to the Alternative Dispute Resolution Office on or before the date each term becomes due.
10. This Negotiated Settlement constitutes the entire agreement between the parties on ADR 730 (RR 14L-07), and resolves those issues identified in paragraph 3 above. No other statement, promise or agreement, either written or oral, made by either party, not included herein, shall be enforceable.


FOR THE COMMISSION:

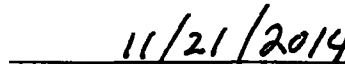
Lynn M. Fraser, Director
Alternative Dispute Resolution Office




_____ Date Signed

FOR THE RESPONDENTS:


_____ Jin-Li Yd, Esq.
Representing the 80-20 National Asian
American PAC and Kathleen To, Treasurer


_____ Date Signed