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Federal Election Commission
Washington, DC 20463

SENSITIVE

MEMORANDUM

November 4, 2013

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Lynn M. Fraser *LMF*
Director, ADR Office

BY: Krista J. Roche *KJR*
Assistant Director, ADR Office

SUBJECT: ADR 665 Friends of Herman Cain, Inc. and Mark J. Block, Treasurer
Informational Memo on Assignment

The Reports Analysis Division (RAD) referred RAD 13L-47 on October 30, 2013, and the ADR Office designated the referral as ADR 665. We include a summary and discussion of the referral for your information. We anticipate initiating communication with Respondents in ADR 665 on November 8, 2013. Please contact Krista Roche if you have any questions, comments or concerns before then.

Summary of Case: RAD referred Friends of Herman Cain, Inc. and Mark J. Block, Treasurer (Respondents or the Committee) for receiving prohibited, excessive, and other impermissible contributions totaling \$186,871.98 for the 2012 Primary and General Elections which were not remedied within the permissible timeframes. The Committee did not timely refund, reattribute, or redesignate, as applicable, excessive and prohibited contributions for the 2012 Primary Election totaling \$99,091.90 from sixty-six individuals and two corporate entities. In addition, the Committee did not timely refund 2012 General Election contributions totaling \$87,780.08 from forty-three individuals and one political committee after the candidate announced on December 3, 2011 that he was no longer participating in the 2012 Primary Election.

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