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SENSITIVE

MEMORANDUM

May 18, 2011

TO: The Commission

THROUGH: Alec Palmer
Acting Staff Director *AP*

FROM: Patricia Carmona *PC*
Chief Compliance Officer

Lynn M. Fraser *LMF*
Director, ADR Office

BY: Krista J. Roche *KJR*
Assistant Director, ADR Office

SUBJECT: ADR 573 Blakeman 2010 Inc and Alan Kappel, Treasurer
Informational Memo on Assignment

The Reports Analysis Division (RAD) referred RAD 11L-12 for processing on May 16, 2011, and the ADR Office designated the referral ADR 573. We include herewith a summary and discussion of the referral for your information. We anticipate initiating communication with Respondents in ADR 573 on May 23, 2011. Please contact Krista Roche if you have any questions or concerns before that date.

Summary of Case: RAD referred Blakeman 2010 Inc and Alan Kappel, Treasurer, (Respondents or the Committee) for failure to disclose all financial activity. The Committee filed their original election-sensitive 2010 12 Day Pre-Primary Report on September 2, 2010, and disclosed total disbursements of \$59,623.43. On October 15, 2010, the Committee filed an Amended 2010 12 Day Pre-Primary report disclosing an additional \$153,548.31 in disbursements. In response to an RFAI, the Committee filed a Form 99 stating that "[t]he increase resulted from confusion by the bookkeeping staff over the different reporting schedule for a pre-primary report as compared to a quarterly report."

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