



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

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2011 JUL 11 P 1:59

SENSITIVE

MEMORANDUM

July 11, 2011

TO: The Commission

THROUGH: Alec Palmer *AP*
Acting Staff Director

FROM: Patricia Carmona *PC for PC*
Chief Compliance Officer

Lynn M. Fraser *LMF*
Director, ADR Office

SUBJECT: ADR 572 Lisa Maria Falcone, Recommendation to Approve Settlement Agreement

RESOLUTION TERMS: Develop and implement a process whereby Respondent will obtain review of Federal contributions from counsel; develop and implement a campaign finance training program for her staff; provide annual verification for 2011 and 2012 of the disgorgement to the U.S. Treasury of all refunds received from the committees relevant to this referral by December 31 of each year; and pay a civil penalty of \$1,500.

Attached for your review is a signed negotiated ADR Settlement Agreement pertaining to ADR 572 (P-MUR 518). The ADR Office received this referral on May 11, 2011.

SUMMARY: In this case, Lisa Maria Falcone (Respondent) asserts in a *sua sponte* submission that during the 2007-2008 election cycle she inadvertently exceeded the biennial aggregate contribution limit on giving to political action committees (PACs) and party committees by \$30,400. In addition and as a direct result, Respondent exceeded the overall biennial limit by \$15,300.

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RECOMMENDATIONS:

1. Approve the attached settlement agreement of Lisa Maria Falcone.
2. Approve the appropriate letters.
3. Close the file on this matter.

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