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**SENSITIVE**

**MEMORANDUM**

May 19, 2011

TO: The Commission

THROUGH: Alec Palmer *AP*  
Acting Staff Director

FROM: Patricia Carmona  
Chief Compliance Officer

Lynn M. Fraser *L.M.F.*  
Director, ADR Office

SUBJECT: ADR 572 Lisa Maria Falcone  
Informational Memo on Assignment

The Office of General Counsel referred P-MUR 518 on May 11, 2011, and the ADR Office designated the referral as ADR 572. We included a summary and discussion of the referral for your information. We anticipate initiating communication with Respondents in ADR 572 on May 24, 2011. Please contact Lynn Fraser if you have any questions or concerns before then.

**Summary of Case:** In this *sua sponte* submission, counsel asserts that during the 2007-2008 election cycle Lisa Marie Falcone (Respondent) inadvertently exceeded the biennial aggregate contribution limit on giving to political action committees (PACs) and party committees by \$30,400. In addition and as a direct result, Respondent exceeded the overall biennial limit by \$15,300.

Counsel states that Respondent was new to the political contribution environment and not aware of aggregate contribution limits under the FECA. In addition, counsel states that refunds have been requested of the relevant committees.

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