



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

FEDERAL ELECTION COMMISSION
SECRETARIAT

2011 JUL -8 A 9 19

SENSITIVE

MEMORANDUM

July 7, 2011

TO: The Commission

for THROUGH: Alec Palmer *AP*
Acting Staff Director

FROM: Patricia Carmona *PC*
Chief Compliance Officer

Lynn M. Fraser *LMF*
Director, ADR Office

SUBJECT: ADR 564 IUOE Operating Engineers and James Jones, Treasurer,
Recommendation to Approve Settlement Agreement

RESOLUTION TERMS: Develop and implement a compliance operations manual which includes internal controls consistent with the Commission's Internal Controls Policy (2007) and a process to track receipt of, and response to, communications with the Commission; send a representative to a FEC conference; and pay a civil penalty of \$2,000.

Attached for your review is a signed negotiated ADR Settlement Agreement pertaining to ADR 564 (RAD 11L-05). The ADR Office received this referral on March 8, 2011.

SUMMARY: In this case, the Reports Analysis Division (RAD) referred the IUOE Operating Engineers and James Jones, Treasurer (Respondents or the Committee) for failing to disclose all financial activity on their 2010 October Quarterly Report. The Committee filed its original 2010 October Quarterly Report on October 5, 2010. It then filed an amended 2010 October Quarterly Report on December 8, 2010 that disclosed additional receipts in the amount of \$60,758.23.

Respondents contend they inadvertently omitted data sent from the Committee. When they discovered the omission during a reconciliation of financial activity, they filed an amended report to correct the record.

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