

REPORTS ANALYSIS REFERRAL

TO

ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: November 24, 2009

ANALYST: Jodi Winship

I. COMMITTEE: Houston Fire Fighters Political Action Fund, International Association of Fire Fighters  
C00203497  
Jeffery Caynon. Treasurer  
1907 Freeman Street  
Houston, TX 77009

TYPE OF COMMITTEE: Separate Segregated Fund

II. REGISTRATION: February 27, 1986

III. BACKGROUND:

Houston Fire Fighters Political Action Fund, International Association of Fire Fighters ("the Committee") was sent thirteen (13) Requests for Additional Information (RFAs) and failed to adequately respond to nine (9) of these requests which included reporting errors involving mathematical discrepancies and failure to provide supporting schedules. Further, the Committee was sent two (2) Notices of Failure to File for failure to timely file two (2) reports.

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VIOLATION DESCRIPTION	REPORT(S)	DETAILS OF VIOLATION
Failure to File Reports and Late Filing	2007 YE	The Committee filed the 2007 Year End Report forty-two (42) days late.
Failure to File Reports and Late Filing	2008 Q1	The Committee filed the 2008 April Quarterly Report twenty-four (24) days late.
Mathematical Discrepancies	2007 MY	The Committee's beginning cash balance, \$-135.31, was less than the ending cash balance of the Committee's previous report, \$73,994.12, a discrepancy of \$74,129.43.
Mathematical Discrepancies	2007 YE	The Committee's beginning cash balance, \$0, was less than the ending cash balance of the Committee's previous report, \$15,735.19, a discrepancy of \$15,735.19.
Mathematical Discrepancies	2007 Amended YE, received 6/25/08	The Committee's report contained mathematical discrepancies totaling \$291,653.00.
Mathematical Discrepancies	2008 Q1	The Committee's beginning cash balance, \$12,741.35, was less than the ending cash balance of the Committee's previous report, \$27,562.64, a discrepancy of \$14,821.29.
Mathematical Discrepancies	2008 Amended Q3, received 1/8/09	The Committee's beginning cash balance, \$30,324.95, was greater than the ending cash balance of the Committee's previous report, \$14,234.85, a discrepancy of \$16,090.10.
Mathematical Discrepancies	2008 12G	<p>The Committee's report contained mathematical discrepancies totaling \$55,089.60, as detailed below:</p> <ul style="list-style-type: none"> <li>• The Committee's beginning cash balance, \$24,484.60, was less than the ending cash balance of the Committee's previous report, \$40,574.70, a discrepancy of \$16,090.10.</li> <li>• The Committee's report contained mathematical discrepancies totaling \$38,999.50.</li> </ul>
Mathematical Discrepancies	2008 30G	The Committee's beginning cash balance, \$39,305.89, was greater than the ending cash balance of the Committee's previous report, \$24,484.60, a discrepancy of \$14,821.29.
Mathematical Discrepancies	2008 YE	The Committee's report contained mathematical discrepancies totaling \$38,999.50.
Failure to Provide Supporting Schedules	2007 Amended MY, received 4/18/08	The Committee's report disclosed additional receipts totaling \$34,725.50 and additional disbursements totaling \$18,855.00 for the reporting period.
Failure to Provide Supporting Schedules	2007 Amended YE, received	The Committee's report disclosed additional receipts totaling \$34,807.00 and additional disbursements totaling

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	6/25/08	\$37,780.84 for the reporting period.
Failure to Provide Supporting Schedules	2007 Amended YE, received 6/25/08	The Committee's report disclosed a negative ending cash balance of \$3,659.15, resulting in an apparent missing Schedule D.

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