

REPORTS ANALYSIS REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: November 13, 2008

ANALYST: Rebecca Hough

I. COMMITTEE: Oberweis for Congress
C00436642
Sharon Martin, Treasurer
335 North River Road, Suite 203
Batavia, IL 60510

II. RELEVANT STATUTE: 2 U.S.C 434(b)(8)
11 CFR §104.3 (d)

III. BACKGROUND:

Failure to Provide Supporting Schedules (Failure to Disclose all Financial Activity)

Oberweis for Congress ("the Committee") amended its 2008 30 Day Post-Special Report, covering the period of February 18, 2008 to March 31, 2008, to disclose debts totaling \$218,197.54 which were not included in the original 2008 30 Day Post-Special Report (Attachment 2).

On April 7, 2008, the Committee filed its original 2008 30 Day Post-Special Report disclosing no debts owed by the Committee on Schedule D (Image 28931063807).

On April 16, 2008, the Committee filed an Amended 2008 Post-Special Report disclosing \$170,110.40 in debts owed by the Committee on Schedule D (Image 28931167165-67).

On May 23, 2008, a Request for Additional Information ("RFAI") was sent to the Committee referencing the Amended 2008 Post-Special Report, received on April 16, 2008. The RFAI asked for clarification regarding the substantial increase in debts that were not disclosed in the original filing (Image 28039740260).

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On June 2, 2008, and June 18, 2008, the Committee filed subsequent amendments to the 2008 Post-Special Report (Images 28931741838 and 28991288438, respectively). The total amount of debts reported by the Committee did not change from the Amended 2008 Post-Special Report filed on April 16, 2008.

On June 23, 2008, the Committee filed a Miscellaneous Electronic Submission (FEC Form 99) stating in part, “[i]n our haste to complete the 30 Day Post-Special Report, we mistakenly overlooked the debt and amended our 30 Day Post-Special report as soon as we realized our error” (Image 28932095833).

On July 10, 2008, the Reports Analysis Division (RAD) Analyst informed Sharon Martin, the Committee treasurer, that due to the increase in debts on the Amended 2008 Post-Special Report the Committee was being referred for additional action by the Commission. The RAD Analyst stated that the Committee could place any additional materials on the public record to further clarify the situation (Attachment 3).

On July 15, 2008, the Committee filed an Amended 2008 Post-Special Report disclosing \$218,197.54 in debts owed by the Committee on Schedule D (Images 28991481923-29).

On August 28, 2008, an RFAI was sent to the Committee referencing the Amended 30 Day Post-Special Report, received on July 15, 2008. The RFAI asked for clarification regarding the substantial increase in debts that were not disclosed in the previous filings (Image 28039822548).

On September 29, 2008, the Committee filed a FEC Form 99 stating in part, “The amount of debt increased from our previous filing due to the receipt of bills that our media consultant company and our fundraising consultant had failed to forward to our attention” (Image 28933367253).

To date, no further communications have been received from the Committee regarding this matter.

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OBERWEIS, JAMES D

ID #H8IL14083

OBERWEIS FOR CONGRESS

Martin, Sharon

335 N River Road Ste 203

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ID #C00436642

FILING FREQUENCY: QUARTERLY

FORM TYPE RPT TYPE AI PGI REC DATE PGS BEG IMAGE COVERAGE DATES BEG CASH RECEIPTS DISBURSE END CASH DEBTS BY

FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
1			N	7/16/2007	5	27039473087						
1			A	9/05/2007	5	27039520565						
1			A	11/25/2007	6	27931620633						
MS			X	10/15/2007	1	27990822631						
MS			T	10/29/2007	5	27039554478						
MS			N	11/08/2007	2	27039562054						
MS			X	11/19/2007	1	27990954978						
MS			T	11/26/2007	1	27990975428						
MS			T	11/26/2007	1	27931621754						
MS			X	1/09/2008	1	28990040389						
MS			X	1/16/2008	1	28990056980						
MS			4	1/23/2008	2	28990078226						
MS			4	1/24/2008	2	28990088849						
MS			4	1/30/2008	2	28990139546						
MS			4	1/30/2008	2	28990165773						
MS			4	1/31/2008	2	28990305661						
MS			4	2/03/2008	2	28930571895						
MS			4	2/04/2008	2	28930573309						
MS			4	2/21/2008	2	28930719236						
MS			4	2/22/2008	12	28930719258						
MS			4	2/24/2008	2	28990515654						
MS			4	2/25/2008	4	28930730544						
MS			4	2/26/2008	2	28930735810						
MS			4	2/26/2008	2	28990526771						
MS			4	2/27/2008	2	28930736092						
MS			4	2/27/2008	2	28990527195						
MS			4	2/28/2008	2	28990527970						
MS			4	2/28/2008	2	28990527972						
MS			4	2/29/2008	2	28990535758						
MS			4	3/02/2008	2	28930738334						
MS			4	3/03/2008	5	28990536732						
MS			X	3/04/2008	1	28990538246						
MS			4	3/04/2008	3	28930739838						
MS			4	3/04/2008	2	28990538241						
MS			4	3/05/2008	3	28930760658						
MS			T	3/16/2008	1	28930770389						

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FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
MS			T	4/07/2008	1	28931063805						
MS			X	5/19/2008	1	28991105840						
MS			T	5/20/2008	1	28931653880						
MS			T	6/02/2008	1	28931742042						
MS			T	6/23/2008	1	28932095833						
MS			T	7/03/2008	1	28991368100						
MS			T	7/15/2008	1	28991482378						
MS			T	7/16/2008	1	28932257527						
MS			T	9/29/2008	1	28992286172						
MS			T	9/29/2008	1	28933367253						
MS			4	10/18/2008	2	28992668052						
MS			4	10/20/2008	2	28992745033						
MS			4	10/23/2008	2	28934158439						
MS			4	10/25/2008	2	28934175732						
MS			4	10/25/2008	2	28993071081						
MS			4	10/29/2008	2	28934181506						
MS			4	10/31/2008	3	28993095156						
RQ			4	10/25/2007	3	27039554030	10/25/2007					
RQ	Q3		2	10/25/2007	3	27039553961	7/01/2007 9/30/2007					
RQ			4	6/04/2008	3	28039742536	6/04/2008					
RQ	12P		2	2/14/2008	3	28039634081	10/01/2007 1/16/2008					
RQ	12P		2	4/04/2008	3	28039670579	10/01/2007 1/16/2008					
RQ	12S		2	5/23/2008	4	28039740256	1/17/2008 2/17/2008					
RQ	12S		2	8/28/2008	3	28039822545	1/17/2008 2/17/2008					
RQ	30S		2	4/30/2008	6	28039713476	2/18/2008 3/31/2008					
RQ	30S		2	5/23/2008	3	28039740260	2/18/2008 3/31/2008					
RQ	30S		2	6/17/2008	3	28039751229	2/18/2008 3/31/2008					
RQ	30S		2	8/28/2008	3	28039822548	2/18/2008 3/31/2008					
3	Q3		N	10/15/2007	62	27990800568	7/01/2007 9/30/2007	0	422071	243166	178905	302416

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FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
3	Q3	A		10/17/2007	62	27990828725	7/01/2007 9/30/2007	0	422071	243166	178905	302416
3	Q3	A		11/25/2007	62	27990974568	7/01/2007 9/30/2007	0	422071	243166	178905	302416
3	12P	N	P	1/24/2008	203	28930091480	10/01/2007 1/16/2008	178905	1628040	1409970	396975	1640000
3	12P	A	P	3/16/2008	204	28990570001	10/01/2007 1/16/2008	178905	1628040	1409970	396975	1640000
3	12P	A	P	5/05/2008	204	28931504709	10/01/2007 1/16/2008	178905	1628040	1409970	396975	1640000
3	12P	A	P	5/05/2008	204	28931504913	10/01/2007 1/16/2008	178905	1628040	1409970	396975	1640000
3	12S	N	S	2/25/2008	92	28930730448	1/17/2008 2/17/2008	396975	834381	686043	545314	2280000
3	12S	A	S	2/26/2008	92	28930735703	1/17/2008 2/17/2008	396975	834381	686043	545314	2280000
3	12S	A	S	4/16/2008	107	28990854987	1/17/2008 2/17/2008	396975	834381	686043	545314	2280000
3	12S	A	S	6/22/2008	111	28932080514	1/17/2008 2/17/2008	396975	834381	686043	545314	2280000
3	12S	A	S	7/15/2008	112	28932254865	1/17/2008 2/17/2008	396975	834381	686043	545314	2292626
3	30S	N	S	4/07/2008	197	28931063806	2/18/2008 3/31/2008	545314	1043031	1455627	132717	2830000
3	30S	A	S	4/16/2008	200	28931166968	2/18/2008 3/31/2008	545314	1043031	1455627	132717	3000110
3	30S	A	S	6/02/2008	204	28931741838	2/18/2008 3/31/2008	545314	2773031	3185627	132717	1270110
3	30S	A	S	6/18/2008	203	28991288438	2/18/2008 3/31/2008	545314	2773031	3185627	132717	1270110
3	30S	A	S	7/15/2008	201	28991481732	2/18/2008 3/31/2008	545314	1043031	1455627	132717	1318197
3	Q2	N		7/15/2008	75	28991481580	4/01/2008 6/30/2008	132717	733233	318759	547191	1644966
3	Q3	N		10/15/2008	103	28992581669	7/01/2008 9/30/2008	547191	314097	537260	324028	1828999
3	12G	N	G	10/23/2008	33	28934158535	10/01/2008 10/15/2008	324028	23558	136013	211573	1828999
TOTAL									4998411	4786838		

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Oberweis for Congress (C00436642)

2008 30 Day Post-Special Report/Amended 2008 30 Day Post-Special Report, received 7/15/08
Debt Overview

Schedule	Original 2008 30 Day Post-Special Report (Image 28931063807)	Amended 2008 30 Day Post-Special Report, filed 7/15/08 (Images 28991481923-29)	Debt Discrepancy Amount
Schedule D Supporting Line 10: Opening/Closing Balance	\$0.00	\$218,197.54	\$218,197.54

28991481923-29