



FEDERAL ELECTION COMMISSION  
Washington, DC 20463

November 28, 2007

Anne Rosenfield  
P.O. Box 1428  
Seaford, NY 11783

Re: ADR 431 (RR 07L-49)  
Pete King for Congress and Anne Rosenfield, Treasurer

Dear Mr. Rosenfield:

The Reports Analysis Division of the Federal Election Commission (FEC/Commission) determined that matters arising from its recent review of reports filed by the Pete King for Congress warranted further examination for possible violation of the Federal Election Campaign Act of 1971, as amended. The Commission, thereafter, reviewed the file and voted on [date] to assign this matter to the FEC's Alternative Dispute Resolution Office (ADR Office) for processing.

The FEC established the ADR Program to provide an informal means for resolving matters that come before the Commission and to facilitate negotiations directly with Pete King for Congress and Anne Rosenfield, Treasurer (Respondents or Committee). The ADR Program provides Respondents with an opportunity to negotiate, and if appropriate, mediate settlement of a matter that is mutually agreeable. The negotiations occur prior to any Commission consideration of whether there is reason to believe a violation has occurred. If negotiations and/or mediation are successful, the resulting settlement would conclude the matter.

The Commission, in referring the matter to the ADR Office, determined that the case is eligible for processing in the ADR program. If Respondents decide to participate in the Program, i.e., negotiation and/or mediation, you must: 1) indicate in writing a willingness to have your case submitted to the ADR process; 2) agree to participate in the bilateral negotiations and if appropriate, mediation; and 3) waive the statute of limitations while the matter is being processed under the FEC's ADR program.

The issues referred to the ADR Office, and the focus of our subsequent negotiations are summarized as follows:

**SUMMARY:** Treasurers of political committees are required to report all financial activity. The Reports Analysis Division (RAD) referred Pete King for Congress Committee and Anne Rosenfeld, Treasurer (Respondents or the Committee) for a series of errors beginning with the 2005 July Quarterly Report and continuing through the 2006

28190274885

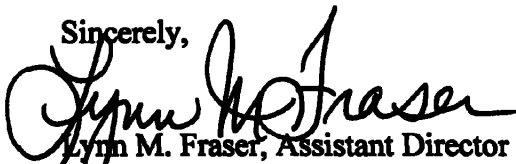
30 Day Post General Report. While most of the errors involved significant mathematical discrepancies, which Respondents failed to correct, the reports also included a failure to file accurate schedules of contributions, a failure to file required 48-Hour Notices, and a failure to provide identification on more than twenty percent (20%) of the contributors on a report. The relevant issues, and potential violations, were noted in the sixteen (16) Requests for Additional Information sent to the Committee.

If after reviewing this letter and the enclosed brochure, which describes the ADR program, Respondents would like this case selected for ADR processing, you need to affirmatively indicate that on the enclosed Commitment to Submit Matter to ADR Program form. **Failure to respond affirmatively within fifteen (15) business days of receipt of this letter will be taken as a notice of disinterest in the program and your case will be dropped from further consideration for ADR.** In that event, your case will be sent to the FEC's Office of General Counsel for further processing, and the likelihood that the Committee will be audited may increase.

If you decide to participate in the ADR Program, please provide any additional responses Respondents would like to make, including proposed stipulations or terms to resolve the matter. **Please also provide some dates and times when you'd be available to discuss this matter in detail on a separate sheet of paper.** I will then review my calendar, so that a time can be scheduled.

This matter has been designated as ADR 431. Please refer to this number in future correspondence with the FEC. If you have any questions about the ADR Program, please contact me directly at the number given below.

Sincerely,

  
Lynn M. Fraser, Assistant Director  
Alternative Dispute Resolution Office  
202-694-1665

Enclosures: Brochure  
Commitment to Submit Matter to ADR  
Designation of Representative/Counsel