

28190274871

REPORTS ANALYSIS REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: November 2, 2007

ANALYST: Christian Hilland

I. CANDIDATE: Peter T. King
H2NY03089

STATE/DISTRICT: NY/3rd

II. PRINCIPAL CAMPAIGN COMMITTEE: Pete King for Congress Committee
C00272211
Anne Rosenfeld, Treasurer
Post Office Box 1428
Seaford, NY 11783

OTHER AUTHORIZED COMMITTEES: None Registered

III. REGISTRATION: Pre-January 1, 2005

CANDIDACY: January 13, 2005

IV. ELECTION RESULTS: The candidate won the General Election held on November 7, 2006, by 11.4%

V. BACKGROUND:

The Committee is the principal campaign committee for Peter T. King, a candidate in the 2006 election for New York's 3rd (third) congressional district. The candidate won the November 7, 2006 General Election by 11.4%.

The Committee was sent sixteen (16) Requests for Additional Information (RFAs) for reporting errors involving mathematical discrepancies, failure to provide schedules, and failure to properly itemize contributions from individuals.

DATE: November 2, 2007

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REPORTS ANALYSIS AUDIT REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

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I. CANDIDATE:	Peter T. King H2NY03089
STATE/DISTRICT:	NY/3rd
II. PRINCIPAL CAMPAIGN COMMITTEE:	Pete King for Congress Committee C00272211 Anne Rosenfeld, Treasurer Post Office Box 1428 Seaford, NY 11783
OTHER AUTHORIZED COMMITTEES:	None Registered
III. REGISTRATION:	Pre-January 1, 2005
CANDIDACY:	January 13, 2005
IV. ELECTION RESULTS:	The candidate won the General Election held on November 7, 2006, by 11.4%
V. SUMMARY OF RFAI RESPONSES:	The Committee responded inadequately to seven (7) of sixteen (16) RFAIs sent in the 2005-2006 election cycle.

ADR 431 (RAD 07L-49)
PETE KING FOR CONGRESS - SUMMARY OF RFAs 2005-2006

DESCRIPTION	REPORT(S)	DETAIL OF QUERY
Mathematical Discrepancies	2005 Q2	The Committee failed to correct a \$31,845.00 discrepancy on Line 1 of FEC Form 3Z-1 for the Primary Election. Line 1 of FEC Form 3Z-1 originally disclosed \$73,735.00 in gross receipts for the Primary, despite information provided on the original report and in prior reports which disclosed activity that totaled \$105,580.00.
Mathematical Discrepancies	2005 YE	The Committee was assigned a point after being sent a second RFAI for mathematical discrepancies.
Mathematical Discrepancies	Amended 2005 YE, received 3/20/06	<p>The Committee's report contained three (3) mathematical discrepancies totaling \$190,988.29.</p> <ul style="list-style-type: none"> - The Committee failed to correct an \$181,751.21 discrepancy on Line 1 of FEC Form 3Z-1 for the Primary Election. Line 1 of FEC Form 3Z-1 originally disclosed \$109,525.00 in gross receipts for the Primary, despite information provided on the original report and in prior reports which disclosed activity that totaled \$291,276.21. - The Committee failed to correct a \$4,000.00 discrepancy on Line 1 of FEC Form 3Z-1 for the General Election. Line 1 of FEC Form 3Z-1 originally disclosed \$6,000.00 in gross receipts for the General, despite information provided on the original report and in prior reports which disclosed activity that totaled \$10,000.00. - The Committee failed to correct a \$5,237.08 election cycle-to-date discrepancy for line(s) 6(a), 6(c), 7(a), 7(c), 11(a)(iii), 11(e), 16, 17, 18, 21, and 22 of the Summary and Detailed Summary Pages.

ADR 431 (RAD 07L-49)
PETE KING FOR CONGRESS - SUMMARY OF RFAIs 2005-2006

Mathematical Discrepancies	2006 12P	<p>The Committee's report contained two (2) mathematical discrepancies totaling \$24,425.42.</p> <ul style="list-style-type: none"> - The Committee failed to correct a \$20,075.42 election cycle-to-date discrepancy for line(s) 6(a), 6(c), 7(a), 7(c), 11(a)(iii), 11(e), 16, 17, 18, 21, and 22 of the Summary and Detailed Summary Pages. - The Committee failed to correct aggregate election cycle-to-date totals of \$4,350.00 for three (3) individuals and two (2) political action committees.
Mathematical Discrepancies	2006 30G	<p>The Committee's report contained two (2) mathematical discrepancies totaling \$3,528,372.26.</p>
Failure to Provide Supporting Schedules	2006 Q3	<p>The Committee disclosed contributions from two (2) individuals and one (1) political action committee with aggregate election cycle-to-date totals which indicate prior contributions were received by the Committee during the election cycle. However, the Committee failed to disclose the receipt of these prior contributions. Thus, the difference between the itemized contribution amounts and the aggregate election cycle-to-date totals created a missing Schedule A of \$5,500.00.</p>
Failure to Provide Supporting Schedules	Amended 2006 12G, received 12/27/06	<p>The Committee disclosed contributions from one (1) individual with an aggregate election cycle-to-date total which indicates prior contribution(s) were received by the Committee during the election cycle. However, the Committee failed to disclose the receipt of these prior contribution(s). Thus, the difference between the itemized contribution amounts and the aggregate election cycle-to-date total created a missing Schedule A of \$2,000.00.</p>
Failure to Provide Supporting Schedules	2006 30G	<p>The Committee failed to file the required 48-Hour Notices for contributions of \$1,000 or more received from October 19 through November 4, 2006 totaling \$3,000.00 from one (1) individual and one (1) political action committee.</p>

ADR 431 (RAD 07L-49)
PETE KING FOR CONGRESS - SUMMARY OF RFAIs 2005-2006

Failure to Properly Itemize Contributions from Individuals	2006 Q3	The Committee failed to provide the identification for 49 of 219 (22%), of the contributions from individuals that require itemization.
Failure to Properly Itemize Contributions from Individuals	Amended 2006 12G, received 11/6/06	The Committee failed to provide the identification for 29 of 85 (34%), of the contributions from individuals that require itemization.
Failure to Properly Itemize Contributions from Individuals	2006 30G	The Committee failed to provide the identification for 14 of 41 (34%), of the contributions from individuals that require itemization.

ING, PETER

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ETE KING FOR CONGRESS COMMITTEE
osenfeld, Anne
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SEAFORD

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NY 11783

ID #C00272211

FORM	TYPE	RPT	TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
MS				J		10/04/2006	3	26039193196						
MS				4		10/27/2006	1	26960653469						
MS				4		10/29/2006	3	26950731683						
MS				4		10/30/2006	2	26940568935						
RQ				2		7/28/2005	3	25038861952	4/01/2005 6/30/2005					
RQ				YE		2/16/2006	4	26038994327	10/01/2005 12/31/2005					
RQ				YE		3/28/2006	3	26039021948	10/01/2005 12/31/2005					
RQ				Q1		6/06/2006	3	26039093453	1/01/2006 3/31/2006					
RQ				Q2		8/11/2006	3	26039164418	4/01/2006 6/30/2006					
RQ				12P		9/26/2006	3	26039191431	7/01/2006 8/23/2006					
RQ				Q3		12/05/2006	4	26039282796	8/24/2006 9/30/2006					
RQ				Q3		2/27/2007	3	27039393909	8/24/2006 9/30/2006					
RQ				Q3		4/17/2007	2	27039424071	8/24/2006 9/30/2006					
RQ				12G		12/05/2006	4	26039282800	10/01/2006 10/18/2006					
RQ				12G		2/27/2007	3	27039393900	10/01/2006 10/18/2006					
RQ				12G		4/17/2007	3	27039424073	10/01/2006 10/18/2006					
RQ				30G		12/28/2006	5	26039322672	10/19/2006 11/27/2006					
RQ				30G		4/17/2007	3	27039424211	10/19/2006 11/27/2006					
RQ				30G		6/14/2007	3	27039452931	10/19/2006 11/27/2006					
RQ				YE		7/19/2007	3	27039474946	11/28/2006 12/31/2006					
3		Q1	N	P	4/06/2005	37	25990418310	1/01/2005 3/31/2005	798789	21425	41214	778999	0	
3		Q1	A	P	5/03/2005	37	25980492981	1/01/2005 3/31/2005	796779	21425	41214	776989	0	
3		Q2	N	G	7/15/2005	59	25970614968	4/01/2005 6/30/2005	776989	75235	29831	822393	0	
3		Q2	A	G	8/05/2005	59	25970877816	4/01/2005 6/30/2005	776989	75235	29831	822393	0	

ING, PETER

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ETE KING FOR CONGRESS COMMITTEE
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ILING FREQUENCY: QUARTERLYPOST OFFICE BOX 1428
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NY 11783
ID #C00272211

DRM	TYPE	RPT	TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
3	Q3	N	G	10/14/2005	37	25980642603	7/01/2005	9/30/2005	822393	59597	18069	863921	0	
3	Q3	A	G	1/23/2006	37	26980028162	7/01/2005	9/30/2005	822393	59597	18069	863921	0	
3	Q3	A	G	5/19/2006	38	26940145427	7/01/2005	9/30/2005	822393	59597	18069	863921	0	
3	YE	N	G	1/26/2006	73	26990143137	10/01/2005	12/31/2005	863921	133599	37538	959981	0	
3	YE	A	G	3/17/2006	73	26990347553	10/01/2005	12/31/2005	863921	134599	37538	960981	0	
3	YE	A	G	3/20/2006	74	26920060775	10/01/2005	12/31/2005	863921	134599	37538	960981	0	
3	YE	A	G	4/27/2006	51	26950096756	10/01/2005	12/31/2005	863921	134599	37538	960981	0	
3	YE	A	G	5/19/2006	74	26940145465	10/01/2005	12/31/2005	980981	134599	37538	1058042	0	
3	Q1	N	G	4/14/2006	98	26960055870	1/01/2006	3/31/2006	960981	290832	76052	1175761	0	
3	Q1	A	G	7/06/2006	119	26950209052	1/01/2006	3/31/2006	1058042	290832	76052	1272821	0	
3	Q2	N	G	7/13/2006	85	26960199528	4/01/2006	6/30/2006	1272821	248657	27149	1494329	0	
3	Q2	A	G	8/31/2006	86	26960349137	4/01/2006	6/30/2006	1272821	240635	27149	1486307	0	
3	12P	N	G	8/31/2006	62	26950457124	7/01/2006	8/23/2006	1494329	148867	52596	1590600	0	
3	12P	A	G	10/14/2006	62	26940427014	7/01/2006	8/23/2006	1486307	148867	52596	1582578	0	
3	Q3	N	G	10/14/2006	117	26960510480	8/24/2006	9/30/2006	1582578	299855	285889	1596545	0	
3	Q3	A	G	12/27/2006	117	26940953991	8/24/2006	9/30/2006	1582578	299855	285889	1596545	0	
3	Q3	A	G	3/27/2007	114	27930385799	8/24/2006	9/30/2006	1582578	292110	285889	1588800	0	
3	Q3	A	G	5/17/2007	114	27990074584	8/24/2006	9/30/2006	1582578	292110	285889	1588800	0	
3	12G	N	G	10/26/2006	48	26960638480	10/01/2006	10/18/2006	1596545	110997	424449	1283093	0	
3	12G	A	G	11/06/2006	48	26960663152	10/01/2006	10/18/2006	1596545	110997	424449	1283093	0	
3	12G	A	G	12/27/2006	48	26940954108	10/01/2006	10/18/2006	1596545	110987	424449	1283083	0	
3	12G	A	G	3/27/2007	46	27930385933	10/01/2006	10/18/2006	1588800	107952	424449	1272303	0	
3	12G	A	G	5/17/2007	46	27930727246	10/01/2006	10/18/2006	1588800	107952	424449	1272303	0	
3	30G	N	P	12/01/2006	48	26950788973	10/19/2006	11/27/2006	1283093	96618	1062720	316990	0	
3	30G	A	G	1/29/2007	47	27930087228	10/19/2006	11/27/2006	1283083	95343	1062720	315705	0	
3	30G	A	G	5/17/2007	47	27990074717	10/19/2006	11/27/2006	1283083	95193	1062720	315555	0	
3	30G	A	G	7/12/2007	47	27990205367	10/19/2006	11/27/2006	1272303	95193	1062720	304775	0	
3	30G	A	G	7/12/2007	47	27930882500	10/19/2006	11/27/2006	1272303	95193	1062720	304775	0	
3	YE	N	P	1/31/2007	15	27940119127	11/28/2006	12/31/2006	315705	8065	19995	303774	0	

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ORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
3	YE	A	G	7/12/2007	15	27990205414	11/28/2006 12/31/2006	1075668	8065	19995	1063738	0
3	YE	A	G	7/12/2007	15	27930882547	11/28/2006 12/31/2006	304775	8065	19995	292844	0
3	YE	A	P	7/31/2007	15	27931028832	11/28/2006 12/31/2006	304775	8065	19995	292844	0
								TOTAL	1474510	2075502		

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