

REPORTS ANALYSIS REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: September 19, 2007

ANALYST: Julie Fleming

- I. COMMITTEE: Orleans Parish Democratic Executive
Committee- Federal Account
(C00430751)
Sonia Badon, Treasurer
P.O. Box 791714
New Orleans, LA 70179
- II. RELEVANT STATUTES: 11 CFR §104.12
2 U.S.C. §434(b)(1)

III. BACKGROUND:

Failure to Provide Supporting Schedules (Failure to Disclose Source of Initial Cash)

Orleans Parish Democratic Executive Committee – Federal Account (“the Committee”) filed the committee’s first report, the 2006 Year-End Report, and disclosed an opening cash-on-hand balance of \$30,042.01 on Line 6(b) (Cash on Hand at Beginning of Reporting Period) (Image 27940115970).¹ However, the Committee failed to disclose the source of the initial cash-on-hand.

On January 19, 2007, the Committee’s Treasurer, Sonia Badon, called the Reports Analysis Division (RAD) analyst. She informed the Analyst that the Committee had cash-on-hand and requested guidance on how to disclose these funds. The Analyst advised Ms. Badon to report the beginning cash-on-hand and include a memo Schedule A (Itemized Receipts) to account for the source of these contributions (Attachment 2).

¹ The 2006 Year-End Report was electronically filed on 1/31/2007; however, the Treasurer inadvertently dated the report 1/31/2006.

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On January 31, 2007, the Committee filed the 2006 Year-End Report, covering the period of December 8, 2006 through December 31, 2006 (Image 27940115969). The 2006 Year-End Report included an opening cash-on-hand balance of \$30,042.01 on Line 6(b) (Cash on Hand at Beginning of Reporting Period) (Image 27940115970). The Committee failed to file a memo Schedule A (Itemized Receipts) to disclose the source of this initial cash.

On March 21, 2007, a Request for Additional Information (RAFI) was sent to the Committee referencing the 2006 Year-End Report. Among other noted discrepancies, the letter requested the Committee identify the source of the \$30,042.01 initial cash-on-hand using a memo Schedule A (Itemized Receipts) (Image 27039404616).

On April 30, 2007, the RAD analyst called the Committee's Treasurer, Sonia Badon, and spoke to her briefly regarding the Committee's failure to respond to the RAFI sent referencing the 2006 Year-End Report. The Analyst indicated an issue on the report was referable to the Commission's Alternative Dispute Resolution Office (ADRO) and requested that the Committee respond to the RAFI. Ms. Badon stated that the Analyst should speak to Stephanie Butler, the Committee's Reports Preparer, regarding this issue. The Analyst reminded Ms. Badon that she is legally liable, as Treasurer, to respond to the RAFI. The Analyst also offered to speak to Ms. Butler, but Ms. Badon was unsuccessful in locating Ms. Butler's phone number (Attachment 2).

On May 2, 2007, the RAD analyst called Ms. Badon and left a message reminding Ms. Badon that there was an outstanding issue on the Committee's 2006 Year-End Report that was referable to ADRO. The Analyst informed Ms. Badon that this outstanding issue may result in a civil penalty if the issue was not resolved. The Analyst also informed Ms. Badon that a response was due by May 9, 2007 and requested a call back as soon as possible (Attachment 2).

On May 9, 2007, the RAD analyst again contacted Ms. Badon. Ms. Badon was surprised that the Analyst had not yet heard from Ms. Butler. The Analyst advised Ms. Badon that there was still an outstanding referable issue on the Committee's 2006 Year-End Report concerning the failure to disclose the source of opening cash-on-hand, which totaled \$30,042.01. The Analyst reiterated that, as Treasurer, she is legally liable to respond to the RAFI. Ms. Badon stated that she had never received a letter from the Commission, so the Analyst directed Ms. Badon to the Commission's website and helped her locate the RAFI referencing the 2006 Year-End Report. The Analyst also verified the accuracy of Committee's mailing address and requested that Ms. Badon disclose the original source of the cash by filing an Amended 2006 Year-End Report by May 11, 2007 (Attachment 2).

On May 15, 2007, the Commission still had not received the Committee's Amended 2006 Year-End Report; therefore, the RAD analyst attempted to call Ms. Badon again. The Analyst left a message with Ms. Badon, reminding her that the Commission had expected to receive an amended report from the Committee by May 11, 2007 and that the Committee would be referred to the Commission's ADRO. The Analyst instructed Ms. Badon to call if she had any further questions regarding this situation.

To date, no further communication has been received from the Committee regarding this matter.

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ORLEANS PARISH DEMOCRATIC EXECUTIVE COMMITTEE - FEDERAL ACCOUNT
 SONIA BADON
 FILING FREQUENCY: QUARTERLY

PO BOX 791714

NEW ORLEANS

PARTY NON-QUALIFIED
 LA 70179

ID #C00430751

FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
1			N	12/08/2006	5	26039293408						
RQ	YE		2	3/21/2007	3	27039404616	12/08/2006 12/31/2006					
3	YE		N P	1/31/2007	11	27940115969	12/08/2006 12/31/2006	30042	5700	32409	3332	0
TOTAL									5700	32409		

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ORLEANS PARISH DEMOCRATIC EXECUTIVE COMMITTEE - FEDERAL ACCOUNT
SONIA BADON
FILING FREQUENCY: QUARTERLY

PO BOX 791714

NEW ORLEANS

PARTY NON-QUALIFIED
LA 70179

ID #C00430751

FORM TYPE	RPT TYPE	AI	PGI	REC DATE	PGS	BEG IMAGE	COVERAGE DATES	BEG CASH	RECEIPTS	DISBURSE	END CASH	DEBTS BY
3	Q1	N	P	5/08/2007	5	27990043738	1/01/2007 3/31/2007	3184	0	0	3184	0
3	Q2	N	P	7/13/2007	5	27990211123	4/01/2007 6/30/2007	3184	0	0	3184	0
TOTAL									0	0		

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