

6373 NW 110 Avenue  
Parkland, Florida 33076  
August 17, 2004

Office of General Counsel  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

MUR # 5521

Re: Rick Penberthy, Congressional Candidate for Florida's 5<sup>th</sup> District

*Facts:*

The FEC mandates that each Congressional Committee timely file a report of their financial activity between April 1, 2004 and June 30, 2004 (July 15 Quarterly Report Q2). This report is to be completed and sent to the FEC no later than July 15, 2004. The FEC also mandates Congressional Committees who expect to or have raised, spent or expended over \$50,000 must prepare and send its financial quarterly filing electronically. The due date for electronic filing is July 15, 2004.

Democratic Candidate for Congress in Florida's Congressional District 5, Rick Penberthy, was subject to the above mentioned rules. On July 15, 2004, Doreen Penberthy, Treasurer and on behalf of Rick for Congress, mailed a hand written filing on July 15, 2004. This financial reporting was sent U.S. Mail and certified to have been sent on July 15, 2004. This document was reported to have been received on July 22, 2004.

The entry for "Total Receipts" (Line 16 on page 3) reports \$64,406.00 for the July Quarterly period.

Further, the FEC website has no specific information about a "Penberthy" Quarterly filing.

Rick for Congress' failure to file electronically as defined by the Act should be considered a "non-filer", versus "late" filer, and subject to administrative monetary fines. Rick for Congress' failure to file electronically has hindered the public's need for rapid on-line access to reports on file with the Commission, as was the primary goal of the electronic filing provisions proposed and later agreed to in 2000. Two other candidates in the District 5

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
OFFICE OF GENERAL  
COUNSEL

2004 AUG 26 1 A 9:51


00070706157

race filed electronically and were immediately subject to public scrutiny. Rick for Congress' paper filing made his reports unavailable to public and media review *for almost a month after the other candidate's information became available.*

*Person, committee or group who has violated the Act:*

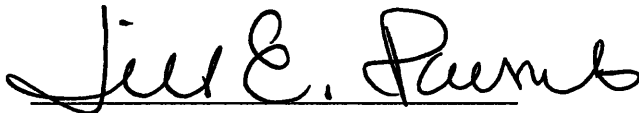
Doreen Penberthy, Treasurer, Rick for Congress  
Rick for Congress, P.O. Box 7279, Wesley Chapel, FL 33544  
Rick Penberthy, Candidate, Rick for Congress

Yours Truly,



Steven G. Hemmert

Sworn to and subscribed to before me this <sup>17<sup>th</sup></sup> day of August, 2004.  
(personally known to me)



Notary Public



Jill E. Parnes  
MY COMMISSION # DD145687 EXPIRES  
October 3, 2006  
BONDED THRU TROY FAIR INSURANCE, INC.

*Attachments:*

Copy of Rick for Congress July Quarterly Filing (pages 1-4, 15)  
Copy of FEC webpage showing no information for "Penberthy" (2 pages)

FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS For An Authorized Committee

RECEIVED FEC MAIL OPERATIONS CENTER

2004 JUL 22 A 10:10

Office Use Only

1. NAME OF COMMITTEE (In full) TYPE OR PRINT Example: If typing, type over the lines 12FE4M5 RICK FOR CONGRESS

ADDRESS (number and street) P.O. BOX 7279 WESLEY CHAPEL FL 33541-1050

Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER C CITY STATE ZIP CODE STATE DISTRICT FL 105

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1) X July 15 Quarterly Report (Q2) October 15 Quarterly Report (Q3) January 31 Year-End Report (YE) Termination Report (TER)

(b) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S) Election on in the State of (c) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S) Election on in the State of

5. Covering Period 04 01 2004 through 06 30 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Doreen Penberthy Signature of Treasurer Doreen Penberthy Date 07 15 2004

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g

Office Use Only table with 10 columns and 1 row. Includes FEC FORM 3 (Revised 02/2003) label.

20040722 1010

Write or Type Committee Name

Rick For Congress

Report Covering the Period

From:

04 01 2004

To:

06 30 2004

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
<b>6. Net Contributions (other than loans)</b>		
(a) Total Contributions (other than loans) (from Line 11(a))...	3,224.00	5,429.00
(b) Total Contribution Refunds (from Line 20(d)) .....		
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a)).....	3,224.00	5,429.00
<b>7. Net Operating Expenditures</b>		
(a) Total Operating Expenditures (from Line 17).....	16,250.87	18,054.00
(b) Total Offsets to Operating Expenditures (from Line 14).....		
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a)).....	16,250.87	18,054.00
<b>8. Cash on Hand at Close of Reporting Period (from Line 27) .....</b>	48,557.00	48,557.00
<b>9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) .....</b>	61,312.92	61,312.92
<b>10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) .....</b>	0.00	0.00

For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20483

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3 (Revised 02/2003)

Page 3

Write or Type Committee Name

Rick For Congress

Report Covering the Period: From: 04 01 2004 To: 06 30 2004

**I. RECEIPTS**

**COLUMN A**  
Total This Period

**COLUMN B**  
Election Cycle-to-Date

**11. CONTRIBUTIONS (other than loans) FROM:**

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A) ..... 1,930.00 3,930.00

(ii) Unitemized ..... 1,294.00 1,499.00

(iii) TOTAL of contributions from individuals ..... 3,224.00 5,429.00

(b) Political Party Committees

(c) Other Political Committees (such as PACs)

(d) The Candidate

(e) TOTAL CONTRIBUTIONS

(other than loans) (add Lines 11(a)(i), (b), (c), and (d)) ..... 3,224.00 5,429.00

**12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES**

**13. LOANS:**

(a) Made or Guaranteed by the Candidate

61,182.00 61,182.00

(b) All Other Loans

(c) TOTAL LOANS

(add Lines 13(a) and (b)) ..... 61,182.00 61,182.00

**14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)**

**15. OTHER RECEIPTS (Dividends, Interest, etc.)**

**16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15)**

(Carry Total to Line 24, page 4) ..... 64,406.00 66,611.00

**DETAILED SUMMARY PAGE**  
of Disbursements

**II. DISBURSEMENTS**

**COLUMN A**  
Total This Period

**COLUMN B**  
Election Cycle-to-Date


17. OPERATING EXPENDITURES .....	16,250.87	18,054.00
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES .....		
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate .....		
(b) Of All Other Loans .....		
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b)) .....		
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees .....		
(b) Political Party Committees .....		
(c) Other Political Committees (such as PACs) .....		
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c)) .....		
OTHER DISBURSEMENTS .....		
TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21) ▶	16,250.87	18,054.00

**III. CASH SUMMARY**

3. CASH ON HAND AT BEGINNING OF REPORTING PERIOD .....	401.87
4. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3) .....	64,406.00
5. SUBTOTAL (add Line 23 and Line 24) .....	64,807.87
6. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22) .....	16,250.87
7. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25) .....	48,557.00

Federal Election Commission  
ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS

The FEC added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> USPS First Class Mail	Postmarked
<input checked="" type="checkbox"/> USPS Registered/Certified	Postmarked (R/C) 7/15/04
<input type="checkbox"/> USPS Priority Mail	Postmarked Delivery Confirmation™ Label <input type="checkbox"/>
<input type="checkbox"/> USPS Express Mail	Postmarked
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Overnight Delivery Service (Specify):	Shipping Date
<input type="checkbox"/> Received from House Records & Registration Office	Date of Receipt
<input type="checkbox"/> Received from Senate Public Records Office	Date of Receipt
<input type="checkbox"/> Received from Electronic Filing Office	Date of Receipt
<input type="checkbox"/> Other (Specify):	Date of Receipt or Postmarked
 PREPARER (5/2004)	7/22/04 DATE PREPARED



# FEC Electronic Filing Report Retrieval

Committee ID:

C

Partial Name of Candidate/Committee:

penberthy

State (Select as many as appropriate):

- ALL
- ALASKA
- ALABAMA
- ARKANSAS

Party Designation (Select as many as appropriate):

- ALL
- AMERICAN INDEPENDENT CONSERVATIVE
- AMERICAN INDEPENDENT PARTY
- AMERICAN PARTY

Committee Type (Select as many as appropriate):

- ALL
- COMMUNICATION COST
- DELEGATE
- HOUSE

Report Type:

Date Filed:

Form Type:

Send Query

Clear Form

### WARNING!!!:

Any information copied, or otherwise obtained, from any report or statement, or any copy, reproduction, or publication thereof, filed under the Act, shall not be sold or used by any person for the purpose of soliciting contributions or for any commercial purpose, except that the name and address of any political committee may be used to solicit contributions from such committees.

Last modified: 12 December 2001



25190262667



**If you can't find the filing you are looking for...**

What is the difference between view and download?

Format documentation for downloads

Download filings by date received

---

---

The following FEC filings are sorted by Committee name and then by date filed.

---

---



Generated Mon Aug 16 14:58:09 2004

25190262668

