

REPORTS ANALYSIS DIVISION REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE

DATE: September 19, 2023

ANALYST: Kevin McQueen

I. COMMITTEE: Latinos for America First
C00685776
Moni Casarez, Treasurer
6443 Fairmont Pkwy. Ste 140-102
Pasadena, TX 77505

TYPE OF COMMITTEE: Non-Connected

II. REGISTRATION DATE: August 21, 2018

III. MULTICANDIDATE STATUS: Non-Qualified

IV. BACKGROUND:

The Committee was sent fifteen (15) Requests for Additional Information (RFAs) for reporting errors including excessive, prohibited, and other impermissible contributions, failure to provide supporting schedules, and failure to properly itemize contributions from individuals.

DATE: September 19, 2023

ANALYST: Kevin McQueen

**REPORTS ANALYSIS DIVISION AUDIT REFERRAL
TO
ALTERNATIVE DISPUTE RESOLUTION OFFICE**

I. COMMITTEE: Latinos for America First
C00685776
Moni Cesarez, Treasurer
6443 Fairmont Pkwy. Ste 140-102
Pasadena, TX 77505

TYPE OF COMMITTEE: Non-Connected

II. REGISTRATION: August 21, 2018

III. MULTICANDIDATE STATUS: Non-Qualified

IV. SUMMARY OF RFAI RESPONSES: The Committee responded inadequately or responded late to ten (10) of fifteen (15) RFAs sent in the 2021-2022 election cycle.

V. SUMMARY OF AUDIT POINTS BY STANDARD:

STANDARD	STANDARD DESCRIPTION	POINT(S) ASSIGNED	REPORT(S)	DESCRIPTION OF VIOLATION FOR WHICH AUDIT POINT(S) WAS ASSESSED	COMMITTEE RESPONSE TO RFAI
5	Excessive, Prohibited, and Other Impermissible Contributions or Transfers		2021 Q3	Schedule A supporting Line 11(a)(i) disclosed the receipt of excessive contributions totaling \$2,990.63, or 2.3% of the Committee's total contributions from individuals. These contributions were received from one (1) individual.	LIR (1/29/22)

7	Failure to Provide Supporting Schedules		Amended ² 2021 Q1, received 9/9/22	The Committee's report failed to include all of the receipts totaling \$15,918.28 on Schedule A supporting Lines 11(a)(i) and 11(a)(ii) and disbursements totaling \$28,473.60 on Schedule H4 supporting Line 21(a)(ii). No explanation was provided for these changes, resulting in missing Schedules of more than \$10,000.00, respectively, from the original report.	LIR (11/15/22)
			Amended 2021 Q2, received 9/9/22	The Committee's report failed to include all of the disbursements totaling \$35,710.19 on Schedule H4 supporting Line 21(a)(ii) and provided no explanation for the change, resulting in a missing Schedule of more than \$10,000.00 from the original report.	
7	Failure to Provide Supporting Schedules		2021 Q3	The report disclosed a negative cash-on-hand balance totaling \$10,483.72 for the reporting period.	LAR (1/29/22)
7	Failure to Provide Supporting Schedules		Amended 2021 Q3, received 1/29/22	The Committee's report failed to include all of the disbursements totaling \$12,700.00 on Schedule H4 supporting Line 21(a)(ii) and provided no explanation for the change, resulting in a missing Schedule of more than \$10,000.00 from the original report.	LIR (9/10/22)
7	Failure to Provide Supporting Schedules		Amended 2022 Q2, received 10/9/22	The Committee's report failed to include all of the disbursements totaling \$24,195.50 on Schedule B supporting Line 21(b) and provided no explanation for the change, resulting in a missing Schedule of more than \$10,000.00 from the original report.	IR (11/15/22)
7	Failure to Provide Supporting Schedules		Amended 2022 12G, received 11/16/22	The Committee's report disclosed additional receipts totaling \$55,063.53 on Schedule A supporting Lines 11(a)(i) and 11(a)(ii) and additional disbursements totaling \$33,276.49 on Schedule H4 supporting Line 21(a)(ii), resulting in an increase of more than \$10,000.00 over the original report.	LIR (2/27/23)

² The Committee filed a 2021 April Quarterly Report covering 1/1/21-3/31/21 and a 2021 July Quarterly Report covering 4/1/21-6/30/21 in lieu of the required [2021 Mid-Year Report covering 1/1/21-6/30/21](#). As such, point assessment was combined for these reports.

8	Failure to Properly Itemize Contributions from Individuals		2021 Q1 and 2021 Q2 ³	<p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 31 of 57, or 54.3%, of the contributions from individuals that require itemization as detailed below:</p> <p>Schedule A supporting Line 11(a)(i) of the 2021 Q1 Report failed to disclose adequate identification for 12 of 24 of the contributions from individuals that require itemization.</p> <p>Schedule A supporting Line 11(a)(i) of the 2021 Q2 Report failed to disclose adequate identification for 19 of 33 of the contributions from individuals that require itemization.</p>	LAR (9/9/22)
8	Failure to Properly Itemize Contributions from Individuals		2021 Q3 and 2021 YE ⁴	<p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 90 of 159, or 56.6%, of the contributions from individuals that require itemization as detailed below:</p> <p>Schedule A supporting Line 11(a)(i) of the 2021 Q3 Report failed to disclose adequate identification for 52 of 87 of the contributions from individuals that require itemization.</p> <p>Schedule A supporting Line 11(a)(i) of the 2021 YE Report failed to disclose adequate identification for 38 of 72 of the contributions from individuals that require itemization.</p>	LAR (9/10/22)
8	Failure to Properly Itemize Contributions from Individuals		2022 Q1	Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 16 of 52, or 30.8%, of the contributions from individuals that require itemization.	LAR (9/10/22)
	TOTAL				

Response Codes

IR – Inadequate Response

LAR – Late Adequate Response

LIR – Late Inadequate Response

NR – No Response

³ See footnote 2.

⁴ The Committee filed a 2021 October Quarterly Report covering 7/1/21-9/30/21 and a 2021 Year-End Report covering 10/1/21-12/31/21 in lieu of the required [2021 Year-End Report covering 7/1/21-12/31/21](#). As such, point assessment was combined for these reports.

Latinos for America First (C00685776)
ADRO Referral Response Guide

Excessive, Prohibited and Other Impermissible Contributions or Transfers

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for code
2021 Q3	1/20/22	1/29/22	LIR	<p>Schedule A supporting Line 11(a)(i) disclosed the receipt of excessive contributions totaling \$2,990.63, or 2.3% of the Committee's total contributions from individuals. These contributions were received from one (1) individual.</p> <p>The Committee filed an Amended 2021 Q3 on 1/29/22 that failed to adequately resolve this issue.</p>

Failure to Provide Supporting Schedules

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for code
Amended ⁵ 2021 Q1, received 9/9/22	11/14/22	11/15/22	LIR	<p>The Committee's report failed to include all of the receipts totaling \$15,918.28 on Schedule A supporting Lines 11(a)(i) and 11(a)(ii) and disbursements totaling \$28,473.60 on Schedule H4 supporting Line 21(a)(ii). No explanation was provided for these changes, resulting in missing Schedules of more than \$10,000.00, respectively, from the original report.</p> <p>The Committee filed an Amended 2021 Q1 on 11/15/22 that failed to adequately address the issue.</p>
Amended 2021 Q2, received 9/9/22				<p>The Committee's report failed to include all of the disbursements totaling \$35,710.19 on Schedule H4 supporting Line 21(a)(ii) and provided no explanation for the change, resulting in a missing Schedule of more than \$10,000.00 from the original report.</p> <p>The Committee filed an Amended 2021 Q2 on 11/15/22 that failed to adequately address the issue.</p>
2021 Q3	1/20/22	1/29/22	LAR	<p>The report disclosed a negative cash-on-hand balance totaling \$10,483.72 for the reporting period.</p> <p>The Committee filed an Amended 2021 Q3 on 1/29/22 that adequately addressed the issue.</p>
Amended 2021 Q3, received 1/29/22	4/26/22	9/10/22	LIR	<p>The Committee's report failed to include all of the disbursements totaling \$12,700.00 on Schedule H4 supporting Line 21(a)(ii) and provided no explanation for the change, resulting in a missing Schedule of more than \$10,000.00 from the original report.</p>

⁵ The Committee filed a 2021 April Quarterly Report covering 1/1/21-3/31/21 and a 2021 July Quarterly Report covering 4/1/21-6/30/21 in lieu of the required [2021 Mid-Year Report covering 1/1/21-6/30/21](#). As such, point assessment was combined for these reports.

				The Committee filed an Amended 2021 Q3 on 9/10/22 that failed to include an additional \$8,516.94 in disbursements on Schedule H4 supporting Line 21(a)(i), for a total decrease in disbursements of \$21,216.94, with no explanation provided.
Amended 2022 Q2, received 10/9/22	12/12/22	11/15/22	IR	<p>The Committee's report failed to include all of the disbursements totaling \$24,195.50 on Schedule B supporting Line 21(b) and provided no explanation for the change, resulting in a missing Schedule of more than \$10,000.00 from the original report.</p> <p>The Committee filed an Amended 2021 Q2 on 11/15/22 that failed to adequately address the issue.</p>
Amended 2022 12G, received 11/16/22	2/22/23	2/27/23	LIR	<p>The Committee's report disclosed additional receipts totaling \$55,063.53 on Schedule A supporting Lines 11(a)(i) and 11(a)(ii) and additional disbursements totaling \$33,276.49 on Schedule H4 supporting Line 21(a)(ii), resulting in an increase of more than \$10,000.00, respectively, over the original report.</p> <p>The Committee filed an Amended 2022 12G on 2/27/23 correcting the issue for increased receipts but did not fully address the issue for increased disbursements. The amendment disclosed \$13,775.10 in additional disbursements with no explanation provided.</p>

Failure to Properly Itemize Contributions from Individuals

Report	RFAI Due Date	Response Date(s)	Response Code	Reason for code
2021 Q1 and 2021 Q2 ⁶	10/4/21	9/9/22	LAR	<p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 31 of 57, or 54.3%, of the contributions from individuals that require itemization as detailed below:</p> <p><u>2021 Q1</u></p> <p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 12 of 24 of the contributions from individuals that require itemization.</p> <p>The Committee filed an Amended 2021 Q1 on 9/9/22, reducing the violation to 2 of 36 of the contributions from individuals that require itemization.</p> <p><u>2021 Q2</u></p> <p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 19 of 33 of the contributions from individuals that require itemization.</p> <p>The Committee filed an Amended 2021 Q1 on 9/9/22, reducing the violation to 7 of 32 of the contributions from individuals that require itemization.</p>

⁶ See footnote 5.

2021 Q3 and 2021 YE ⁷	1/20/22	9/10/22	LAR	<p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 90 of 159, or 56.6%, of the contributions from individuals that require itemization as detailed below:</p> <p><u>2021 Q3</u></p> <p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 52 of 87 of the contributions from individuals that require itemization.</p> <p>The Committee filed an Amended 2021 Q3 on 9/10/22, reducing the violation to 8 of 97 of the contributions from individuals that require itemization.</p>
	4/26/22			<p><u>2021 YE</u></p> <p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 38 of 72 of the contributions from individuals that require itemization.</p> <p>The Committee filed an Amended 2021 YE on 9/10/22, reducing the violation to 8 of 82 of the contributions from individuals that require itemization.</p>
2022 Q1	7/11/22	9/10/22	LAR	<p>Schedule A supporting Line 11(a)(i) failed to disclose adequate identification for 16 of 52, or 30.8%, of the contributions from individuals that require itemization.</p> <p>The Committee filed an Amended 2022 Q1 on 9/10/22, reducing the violation to 3 of 53, or 5.7%, of the contributions from individuals that require itemization.</p>

⁷ The Committee filed a 2021 October Quarterly Report covering 7/1/21-9/30/21 and a 2021 Year-End Report covering 10/1/21-12/31/21 in lieu of the required [2021 Year-End Report covering 7/1/21-12/31/21](#). As such, the point assessment was combined for these reports.

O-Index (2023-2024)																
Cmte. ID: C00685776 Cmte. Name: LATINOS FOR AMERICA FIRST																
Treasurer Name: CASAREZ, MONI Address: 6443 FAIRMONT PKWY. STE 140-102, PASADENA, TX 77505																
Cmte. Type: N (NON-QUALIFIED NON-PARTY) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILER																
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans	
MS-M			2/21/2023	1	202302219578712524				-	-	-	-	-	-	-	-
MS-O			2/23/2023	2	202302230300174623				-	-	-	-	-	-	-	-
MS-T			3/1/2023	1	202303019578756631				-	-	-	-	-	-	-	-
F3XN	MY	N	7/15/2023	142	202307159582918024	1/1/2023	6/30/2023		\$129,230	\$153,880	\$150,851	\$132,258	-	-	-	\$0
Totals										\$153,880	\$150,851					

O-Index (2021-2022)																
Cmte. ID: C00685776 Cmte. Name: LATINOS FOR AMERICA FIRST																
Treasurer Name: CASAREZ, MONI Address: 6443 FAIRMONT PKWY. STE 140-102, PASADENA, TX 77505																
Cmte. Type: N (NON-QUALIFIED NON-PARTY) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILER																
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans	
RQ2	Q1	N	8/30/2021	3	202108300300125471	1/1/2021	3/31/2021	8/30/2021	-	-	-	-	-	-	-	-
RQ2	Q1	A	10/10/2022	2	202210100300155128	1/1/2021	3/31/2021	10/10/2022	-	-	-	-	-	-	-	-
RQ2	Q2	N	8/30/2021	3	202108300300125474	4/1/2021	6/30/2021	8/30/2021	-	-	-	-	-	-	-	-
RQ2	Q2	A	10/10/2022	2	202210100300155130	4/1/2021	6/30/2021	10/10/2022	-	-	-	-	-	-	-	-
RQ2	Q3	A	3/22/2022	2	202203220300138228	7/1/2021	9/30/2021	3/22/2022	-	-	-	-	-	-	-	-
RQ2	Q3	N	12/16/2021	6	202112160300129842	7/1/2021	9/30/2021	12/16/2021	-	-	-	-	-	-	-	-
RQ2	YE	N	3/22/2022	3	202203220300138230	10/1/2021	12/31/2021	3/22/2022	-	-	-	-	-	-	-	-
RQ2	Q1	N	6/5/2022	3	202206050300144713	1/1/2022	3/31/2022	6/5/2022	-	-	-	-	-	-	-	-
RQ2	Q2	N	8/11/2022	3	202208110300151270	4/1/2022	6/30/2022	8/11/2022	-	-	-	-	-	-	-	-
RQ2	Q2	A	10/10/2022	2	202210100300155132	4/1/2022	6/30/2022	10/10/2022	-	-	-	-	-	-	-	-
RQ2	Q2	A	11/7/2022	2	202211070300160008	4/1/2022	6/30/2022	11/7/2022	-	-	-	-	-	-	-	-
RQ2	12G	A	1/18/2023	2	202301180300167786	10/1/2022	10/19/2022	1/18/2023	-	-	-	-	-	-	-	-
RQ2	12G	A	3/21/2023	2	202303210300176315	10/1/2022	10/19/2022	3/21/2023	-	-	-	-	-	-	-	-
RQ2	30G	A	2/15/2023	2	202302150300171253	10/20/2022	11/28/2022	2/15/2023	-	-	-	-	-	-	-	-
RQ2	YE	A	3/12/2023	2	202303120300175664	11/29/2022	12/31/2022	3/12/2023	-	-	-	-	-	-	-	-
F3XN	Q1	N	5/20/2021	34	202105209447072432	1/1/2021	3/31/2021		\$43,350	\$27,385	\$40,260	\$30,475	-	-	-	\$0
F3XA	Q1	A	9/9/2022	12	202209099528386355	1/1/2021	3/31/2021		\$26,844	\$11,467	\$11,786	\$26,525	-	-	-	\$0
F3XA	Q1	A	11/15/2022	12	202211159546801009	1/1/2021	3/31/2021		\$81,438	\$11,467	\$11,786	\$81,119	-	-	-	\$0
F3XN	Q2	N	7/15/2021	52	202107159451656666	4/1/2021	6/30/2021		\$30,475	\$77,000	\$107,174	\$301	-	-	-	\$0
F3XA	Q2	A	9/9/2022	26	202209099528386367	4/1/2021	6/30/2021		\$26,525	\$76,650	\$71,464	\$31,710	-	-	-	\$0
F3XA	Q2	A	11/15/2022	26	202211159546801021	4/1/2021	6/30/2021		\$81,119	\$76,650	\$71,464	\$86,304	-	-	-	\$0
F3XN	Q3	N	10/15/2021	66	202110159467880688	7/1/2021	9/30/2021		\$301	\$131,840	\$142,625	(\$10,483)	-	-	-	\$0
F3XA	Q3	A	1/29/2022	66	202201299475496204	7/1/2021	9/30/2021		\$301	\$131,840	\$129,925	\$2,216	-	-	-	\$0
F3XA	Q3	A	9/10/2022	62	202209109528388638	7/1/2021	9/30/2021		\$31,710	\$133,380	\$121,408	\$43,681	-	-	-	\$0
F3XA	Q3	A	11/15/2022	62	202211159546801047	7/1/2021	9/30/2021		\$86,304	\$133,380	\$121,408	\$98,275	-	-	-	\$0
F3XN	YE	N	1/29/2022	44	202201299475496270	10/1/2021	12/31/2021		\$2,216	\$65,006	\$64,114	\$3,108	-	-	-	\$0
F3XA	YE	A	9/10/2022	54	202209109528388700	10/1/2021	12/31/2021		\$43,681	\$65,238	\$59,453	\$49,466	-	-	-	\$0
F3XA	YE	A	11/15/2022	54	202211159546801109	10/1/2021	12/31/2021		\$98,275	\$65,238	\$59,453	\$104,060	-	-	-	\$0
F3XN	Q1	N	4/11/2022	35	202204119496054929	1/1/2022	3/31/2022		\$3,108	\$91,533	\$81,462	\$13,179	-	-	-	\$0
F3XA	Q1	A	9/10/2022	41	202209109528388754	1/1/2022	3/31/2022		\$49,466	\$90,901	\$75,590	\$64,778	-	-	-	\$0
F3XA	Q1	A	11/15/2022	41	202211159546801380	1/1/2022	3/31/2022		\$104,060	\$90,901	\$75,590	\$119,372	-	-	-	\$0

O-Index

9/18/2023 11:34 AM

F3XN	Q2	N	7/10/2022	52	202207109518298375	4/1/2022	6/30/2022		\$13,179	\$93,685	\$80,827	\$26,038	-	-	\$0
F3XA	Q2	A	9/10/2022	44	202209109528388795	4/1/2022	6/30/2022		\$64,778	\$203,420	\$345,789	(\$77,591)	-	-	\$0
F3XA	Q2	A	10/9/2022	44	202210099532106138	4/1/2022	6/30/2022		\$64,778	\$94,505	\$56,631	\$102,652	-	-	\$0
F3XA	Q2	A	11/15/2022	44	202211159546801421	4/1/2022	6/30/2022		\$119,372	\$94,505	\$56,631	\$157,246	-	-	\$0
F3XN	Q3	N	10/9/2022	104	202210099532106186	7/1/2022	9/30/2022		\$102,652	\$147,866	\$139,977	\$110,541	-	-	\$0
F3XA	Q3	A	11/15/2022	102	202211159546801470	7/1/2022	9/30/2022		\$157,246	\$147,866	\$139,977	\$165,135	-	-	\$0
F3XA	Q3	A	3/16/2023	102	202303169579279781	7/1/2022	9/30/2022		\$157,246	\$147,866	\$141,977	\$163,135	-	-	\$0
F3XN	12G	N	10/25/2022	52	202210259541638264	10/1/2022	10/19/2022		\$110,541	\$60,243	\$30,031	\$140,753	-	-	\$0
F3XA	12G	A	11/16/2022	144	202211169546804215	10/1/2022	10/19/2022		\$165,135	\$115,306	\$63,308	\$217,134	-	-	\$0
F3XA	12G	A	2/27/2023	54	202302279578737821	10/1/2022	10/19/2022		\$165,135	\$60,243	\$43,806	\$181,572	-	-	\$0
F3XA	12G	A	3/16/2023	54	202303169579279883	10/1/2022	10/19/2022		\$163,135	\$60,243	\$43,806	\$179,572	-	-	\$0
F3XN	30G	N	12/8/2022	61	202212089547427154	10/20/2022	11/28/2022		\$217,134	\$37,424	\$68,971	\$185,588	-	-	\$0
F3XA	30G	A	1/17/2023	61	202301179574784036	10/20/2022	11/28/2022		\$217,134	\$26,715	\$68,971	\$174,879	-	-	\$0
F3XA	30G	A	2/27/2023	59	202302279578737890	10/20/2022	11/28/2022		\$181,572	\$37,424	\$66,572	\$152,424	-	-	\$0
F3XA	30G	A	3/16/2023	59	202303169579280008	10/20/2022	11/28/2022		\$179,572	\$37,424	\$66,572	\$150,424	-	-	\$0
F3XN	YE	N	1/29/2023	18	202301299575122485	11/29/2022	12/31/2022		\$174,879	\$2,532	\$23,725	\$153,685	-	-	\$0
F3XA	YE	A	2/27/2023	18	202302279578737949	11/29/2022	12/31/2022		\$152,424	\$2,532	\$23,725	\$131,230	-	-	\$0
F3XA	YE	A	3/16/2023	18	202303169579280067	11/29/2022	12/31/2022		\$150,424	\$2,532	\$23,725	\$129,230	-	-	\$0
F1A		A	3/5/2021	4	202103059440285302				-	-	-	-	-	-	-
F1A		A	5/5/2022	4	202205059502588256				-	-	-	-	-	-	-
F1A		A	11/16/2022	4	202211169546804396				-	-	-	-	-	-	-
Totals										\$720,210	\$672,418				

O-Index (2019-2020)															
Cmte. ID: C00685776 Cmte. Name: LATINOS FOR AMERICA FIRST															
Treasurer Name: CASAREZ, MONI Address: 6443 FAIRMONT PKWY. STE 140-102, PASADENA, TX 77505															
Cmte. Type: N (NON-QUALIFIED NON-PARTY) Cmte. Designation: U (UNAUTHORIZED) Filing Frequency: QUARTERLY FILER															
Form Tp	Rpt Tp	A/I	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
RQ1		A	6/16/2019	2	201906160300041847			6/16/2019	-	-	-	-	-	-	-
RQ2	YE	A	6/25/2020	3	202006250300075086	7/1/2019	12/31/2019	6/25/2020	-	-	-	-	-	-	-
RQ2	Q1	N	6/25/2020	2	202006250300075089	1/1/2020	3/31/2020	6/25/2020	-	-	-	-	-	-	-
RQ2	Q2	N	7/21/2020	2	202007210300078567	4/1/2020	6/30/2020	7/21/2020	-	-	-	-	-	-	-
RQ2	Q2	A	12/20/2020	2	202012200300099248	4/1/2020	6/30/2020	12/20/2020	-	-	-	-	-	-	-
RQ2	Q3	A	12/20/2020	4	202012200300099250	7/1/2020	9/30/2020	12/20/2020	-	-	-	-	-	-	-
RQ2	12G	N	4/11/2021	3	202104110300115245	10/1/2020	10/14/2020	4/11/2021	-	-	-	-	-	-	-
RQ2	30G	A	4/11/2021	3	202104110300115248	10/15/2020	11/23/2020	4/11/2021	-	-	-	-	-	-	-
F3XN	Q1	N	4/15/2019	7	201904159146368084	1/1/2019	3/31/2019		\$622	\$266	\$622	\$266	-	-	\$0
F3XA	Q1	A	8/14/2022	7	202208149525386672	1/1/2019	3/31/2019		\$622	\$1,163	\$630	\$1,154	-	-	\$0
F3XN	Q2	N	7/14/2019	8	201907149150601178	4/1/2019	6/30/2019		\$266	\$1,578	\$1,310	\$534	-	-	\$0
F3XA	Q2	A	8/14/2022	7	202208149525386679	4/1/2019	6/30/2019		\$1,154	\$1,615	\$1,300	\$1,470	-	-	\$0
F3XN	YE	N	1/31/2020	8	202001319186157597	7/1/2019	12/31/2019		\$534	\$210	\$843	(\$99)	-	-	\$0
F3XA	YE	A	6/17/2020	16	202006179239817663	7/1/2019	12/31/2019		\$0	\$13,637	\$19,827	(\$6,190)	-	-	\$0
F3XA	YE	A	7/27/2020	16	202007279260819308	7/1/2019	12/31/2019		\$534	\$13,637	\$19,827	(\$5,656)	-	-	\$0
F3XA	YE	A	11/20/2020	16	202011209337059067	7/1/2019	12/31/2019		\$534	\$13,637	\$19,827	(\$5,656)	-	-	\$0
F3XA	YE	A	8/26/2022	11	202208269528254192	7/1/2019	12/31/2019		\$1,470	\$14,403	\$1,184	\$14,689	-	-	\$0
F3XN	Q1	N	4/15/2020	5	202004159219545840	1/1/2020	3/31/2020		(\$99)	\$0	\$0	(\$99)	-	-	\$0
F3XA	Q1	A	7/29/2020	18	202007299261188155	1/1/2020	3/31/2020		(\$5,656)	\$25,792	\$13,763	\$6,372	-	-	\$0

O-Index

9/18/2023 11:34 AM

F3XA	Q1	A	11/20/2020	18	202011209337059083	1/1/2020	3/31/2020		(\$5,656	\$25,792	\$13,763	\$6,372	-	-	\$0
F3XA	Q1	A	9/7/2022	23	202209079528356017	1/1/2020	3/31/2020		\$14,689	\$27,400	\$27,620	\$14,468	-	-	\$0
F3XA	Q1	A	11/15/2022	23	202211159546797860	1/1/2020	3/31/2020		\$14,689	\$27,400	\$26,965	\$15,123	-	-	\$0
F3XN	Q2	N	7/15/2020	10	202007159250150150	4/1/2020	6/30/2020		(\$6,190	\$24,347	\$0	\$18,156	-	-	\$0
F3XA	Q2	A	11/20/2020	20	202011209337058677	4/1/2020	6/30/2020		\$6,372	\$24,327	\$27,971	\$2,729	-	-	\$0
F3XA	Q2	A	9/7/2022	35	202209079528356057	4/1/2020	6/30/2020		\$14,468	\$41,284	\$41,075	\$14,678	-	-	\$0
F3XA	Q2	A	11/15/2022	36	202211159546797883	4/1/2020	6/30/2020		\$15,123	\$41,284	\$44,790	\$11,618	-	-	\$0
F3XN	Q3	N	10/15/2020	6	202010159295197826	7/1/2020	9/30/2020		\$6,539	\$0	\$48	\$6,491	-	-	\$0
F3XA	Q3	A	12/3/2020	41	202012039340588753	7/1/2020	9/30/2020		\$2,729	\$76,482	\$55,186	\$24,025	-	-	\$0
F3XA	Q3	A	9/7/2022	66	202209079528356125	7/1/2020	9/30/2020		\$14,678	\$141,415	\$121,668	\$34,425	-	-	\$0
F3XA	Q3	A	11/15/2022	67	202211159546800850	7/1/2020	9/30/2020		\$11,618	\$137,665	\$93,197	\$56,086	-	-	\$0
F3XN	12G	N	3/26/2021	31	202103269442268274	10/1/2020	10/14/2020		\$24,025	\$39,628	\$21,059	\$42,594	-	-	\$0
F3XA	12G	A	9/7/2022	28	202209079528356219	10/1/2020	10/14/2020		\$34,425	\$39,971	\$25,131	\$49,265	-	-	\$0
F3XA	12G	A	11/15/2022	30	202211159546800917	10/1/2020	10/14/2020		\$56,086	\$39,971	\$15,786	\$80,271	-	-	\$0
F3XN	30G	N	12/3/2020	42	202012039341060703	10/1/2020	11/23/2020		\$24,025	\$83,266	\$16,975	\$90,316	-	-	\$0
F3XA	30G	A	1/31/2021	71	202101319418653260	10/1/2020	11/23/2020		\$24,025	\$83,301	\$51,857	\$55,469	-	-	\$0
F3XA	30G	A	3/26/2021	47	202103269442268227	10/15/2020	11/23/2020		\$42,594	\$43,672	\$30,797	\$55,469	-	-	\$0
F3XA	30G	A	9/7/2022	36	202209079528356247	10/15/2020	11/23/2020		\$49,265	\$50,186	\$66,279	\$33,172	-	-	\$0
F3XA	30G	A	11/15/2022	50	202211159546800947	10/15/2020	11/23/2020		\$80,271	\$50,186	\$39,031	\$91,426	-	-	\$0
F3XN	YE	N	1/31/2021	20	202101319424734926	11/24/2020	12/31/2020		\$55,469	\$6,693	\$18,812	\$43,350	-	-	\$0
F3XA	YE	A	9/7/2022	10	202209079528356303	11/24/2020	12/31/2020		\$33,172	\$3,765	\$10,092	\$26,844	-	-	\$0
F3XA	YE	A	11/15/2022	12	202211159546800997	11/24/2020	12/31/2020		\$91,426	\$3,765	\$13,752	\$81,438	-	-	\$0
F1A		A	2/21/2020	4	202002219187238564				-	-	-	-	-	-	-
F1A		A	6/5/2019	4	201906059149928715				-	-	-	-	-	-	-
F1A		A	6/5/2019	4	201906059149928777				-	-	-	-	-	-	-
F1A		A	7/10/2019	4	201907109150534998				-	-	-	-	-	-	-
F1A		A	7/10/2019	4	201907109150535098				-	-	-	-	-	-	-
F1A		A	7/10/2019	4	201907109150535110				-	-	-	-	-	-	-
F1A		A	7/12/2019	4	201907129150575157				-	-	-	-	-	-	-
Totals										\$317,455	\$236,639				