



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

March 21, 2022

Roberto Alves, Treasurer  
Connecticut Democratic State Central Committee  
750 Main Street, Suite 1108-3  
Hartford, CT 06103

**Response Due Date**  
**April 8, 2022**

Re: ADR 1078 (AD A19-19)  
Connecticut Democratic State Central Committee and Roberto Alves, Treasurer  
(C00167320)

Dear Roberto Alves:

The Federal Election Commission (FEC or Commission) referred a compliance issue to the FEC's Alternative Dispute Resolution Office (ADR Office) for processing. The referral by the Audit Division was based on a review of reports filed by Connecticut Democratic State Central Committee which reflect a possible failure to comply with the Federal Election Campaign Act of 1971, as amended.

The FEC established the ADR Program to provide an informal means for resolving matters that come before the Commission and to facilitate negotiations directly with Connecticut Democratic State Central Committee and Roberto Alves, Treasurer (Respondents or the Committee). The ADR Program provides Respondents with an opportunity to negotiate settlement of a matter that is mutually agreeable. The negotiations occur prior to any Commission consideration of whether there is reason to believe a violation has occurred. If negotiations are successful, the resulting settlement would conclude the matter.

The Commission, in referring the matter to the ADR Office, determined that the case is eligible for processing in the ADR program. If Respondents decide to participate in the ADR Program, you must: 1) indicate in writing a willingness to have your case submitted for ADR processing; 2) agree to participate in the bilateral interest-based negotiations; and 3) waive the statute of limitations while the matter is being processed under the FEC's ADR program.

The issues referred to the ADRO, and the focus of our subsequent negotiations are summarized as follows:

The Audit Division referred Connecticut Democratic State Central Committee and Roberto Alves, Treasurer (Respondents or the Committee) for two (2) findings detailed in the Final Audit Report of the Commission, as approved on

FEDERAL ELECTION COMMISSION, ALTERNATIVE DISPUTE RESOLUTION OFFICE  
1050 FIRST STREET N.E., WASHINGTON, DC 20463  
TELEPHONE: (202) 694-1152  
EMAIL: [RMARSHALL@FEC.GOV](mailto:RMARSHALL@FEC.GOV)

February 9, 2022. The findings state that the Committee did not maintain monthly payroll logs or equivalent records, totaling \$111,812, and failed to disclose debts and obligations to vendors totaling \$33,273.

In response to the audit, the Committee filed a Miscellaneous Electronic Submission (Form 99) on June 22, 2021, to disclose the debts and obligations and correct the public record. Regarding the Recordkeeping for Employees Finding, the Committee stated that it has instituted procedures to ensure that time records are maintained for all employees paid in part with non-federal funds.

If after reviewing this letter and the enclosed ADR Frequently Asked Questions, which describe the ADR program, Respondent(s) would like to participate in ADR processing, you need to affirmatively indicate that on the enclosed Commitment to Submit Matter to ADR form. **Failure to respond affirmatively within fifteen (15) business days from the date of this letter will be taken as a notice of disinterest in the program and your case will be dropped from further consideration for ADR.** In that event, your case will be sent to the FEC's Office of General Counsel for further processing.

This matter has been designated as **ADR 1078**. Please refer to this number in future correspondence with the FEC. If you have questions about the ADR Program, please contact the ADRO at my direct dial as indicated below

Sincerely,



Rosa Marshall  
Assistant Director  
Alternative Dispute Resolution Office