



**Federal Election Commission
Washington, DC 20463**

May 14, 2001

James Lamb, Esq.
Ryan, Phillips, Utrecht & MacKinnon
1133 Connecticut Avenue, N.W. #300
Washington, DC 20036

Re: ADR 011 (RR 99L-15))

Dear Mr. Lamb:

Enclosed please find a signed copy of the agreement between the Illinois Senate Victory Fund and the Federal Election Commission (FEC/Commission) regarding ADR 011 (RR 99L-15). The agreement was approved by the Commission on May 10, 2001.

I need to remind you that the settlement agreement, along with the exchange of correspondence, will be made part of the public record. The FEC is obligated by federal statute to put settlement agreements on the public record. 11 C.F.R. § 111.20(b) Accordingly, the appropriate portions of this file will be forwarded to the Commission's Public Information Office to be placed on the public record.

This agreement resolves the matter that was initiated by the Commission pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities regarding violations of federal election campaign laws. I appreciate your assistance in effectively resolving this matter and bringing the case to a mutually satisfactory conclusion.

Sincerely,

Lynn M. Fraser, Assistant Director
Alternative Dispute Resolution Office
202-694-1665

Enclosure: Agreement

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Federal Election Commission
Washington, DC 20463

Case Number: ADR 011
Source: RR 99L-15
Case Name: Illinois Senate Victory Fund

NEGOTIATED SETTLEMENT

This matter was initiated by the Federal Election Commission ("Commission") pursuant to information ascertained in the normal course of carrying out its supervisory responsibilities. Following review of the matter, and in an effort to promote compliance with the Federal Election Campaign Act of 1971, as amended, ("FECA") and resolve this matter, the Commission entered into negotiations with James Lamb, Esq., representing the Illinois Senate Victory Fund ("Respondent"). It is understood that this agreement will have no precedential value relative to any other matters coming before the Commission.

Negotiations between the Commission and Respondent addressed all the issues raised in this matter. The parties agreed to resolve the matter according to the following terms:

1. The Commission entered into this agreement as part of its responsibility for administering the FECA, and in an effort to promote compliance on the part of Respondent. The Commission's use of alternative dispute resolution procedures (ADR) is authorized in "The Administrative Dispute Resolution Act of 1996," 5 U.S.C. § 572, and is an extension of 2 U.S.C. § 437g.
2. Respondent voluntarily entered into this agreement with the Commission.
3. Respondent failed to provide a supporting Schedule B for transfers made to affiliated committees on its 1998 October Quarterly Report covering the period from July 1, 1998 through September 30, 1998, filed October 14, 1998, totaling \$84,674.04. Respondent also failed to provide a supporting Schedule B for transfers made to affiliated committees on its 30 Day Post-General Report covering the period from October 15, 1998 through November 23, 1998, filed December 3, 1998, totaling \$55,808.96.

The FECA requires that "[e]ach report under this section shall disclose . . . the name and address of each . . . affiliated committee to which a transfer is

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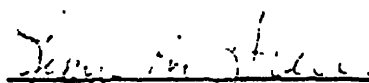
made by the reporting committee during the reporting period, and where the reporting committee is a political party committee, each transfer of funds by the reporting committee to another political party committee, regardless of whether such committees are affiliated, together with the date and amount of such transfer." 2 U.S.C. § 434(b)(5)(C).

- 4 Respondent, in order to resolve this matter, agreed to: (a) file the amended 1998 October Quarterly Report and the 30 Day Post-General Report with the requisite Schedule B for each; (b) take necessary steps to terminate Respondent's reporting obligations under the FECA; and (c) pay a civil penalty of \$1,500.
5. The parties agreed that if Respondent fails to comply with the terms of this settlement, the Commission may undertake civil action in the U.S. District Court for the District of Columbia to secure compliance.
6. This agreement shall become effective on the date signed by all parties and approved by the Commission. Respondent shall comply with the terms of the settlement within 30 days from the effective date of this agreement.
7. This Negotiated Settlement constitutes the entire agreement between the parties on ADR 011 (RR 99L-15), and effectively resolves this matter. No other statement, promise or agreement, either written or oral, made by either party, not included herein, shall be enforceable.

FOR THE COMMISSION:

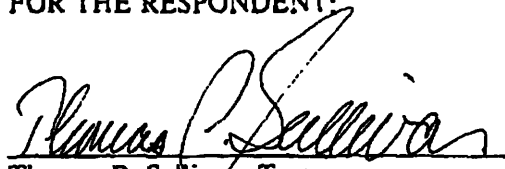
Allan D. Silberman, Director
Alternative Dispute Resolution Office

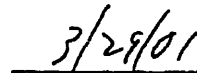
By:


Lynn M. Fraser, Assistant Director
Alternative Dispute Resolution Office


Date Signed

FOR THE RESPONDENT:


Thomas P. Sullivan, Treasurer
Illinois Senate Victory Fund


Date Signed